

1 **Defensive responses by a social caterpillar are tailored to different**
2 **predators and change with larval instar and group size**

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9 **Key words:** antipredator, group behavior, predation, *Malacosoma disstria*, prey-predator
10 interactions

11

12 **Abstract:**

13 Gregariousness in animals is widely accepted as a behavioral adaptation for
14 protection from predation. However, predation risk and the effectiveness of a prey's
15 defense can be a function of several other factors, including predator species, and prey
16 size or age. The objective of this study was to determine if the gregarious habit of
17 *Malacosoma disstria* caterpillars is advantageous against invertebrate natural enemies,
18 and whether it is through dilution or cooperative defenses. We also examined the effects
19 of larval growth and group size on the rate and success of attacks.

20 Caterpillars of *M. disstria* responded with predator-specific behaviors, which led
21 to increased survival. Evasive behaviors were used against stinkbugs, while thrashing by
22 fourth instar caterpillars and holding on to the silk mat by second instar caterpillars was
23 most efficient against spider attacks. Collective head flicking and biting by groups of
24 both second and fourth instar caterpillars were observed when attacked by parasitoids.

25 Increased larval size decreased the average number of attacks by spiders but
26 increased the number of attacks by both stinkbugs and parasitoids. However, increased
27 body size decreased the success rate of attacks by all three natural enemies, and increased
28 handling time for both predators.

29 Larger group sizes did not influence the number of attacks from predators but
30 increased the number of attacks and the number of successful attacks from parasitoids. In
31 all cases, individual risk was lower in larger groups. Caterpillars showed collective
32 defenses against parasitoids but not against the walking predators.

33 These results show that caterpillars use different tactics against different natural
34 enemies. Overall, these tactics are both more diverse and more effective in fourth instar

35 than in second instar caterpillars, confirming that growth reduces predation risk. We also
36 show that grouping benefits caterpillars through dilution of risk, and, in the case of
37 parasitoids, through group defenses. The decreased tendency to aggregate in the last
38 larval instar may therefore be linked to decreasing predation risk.

39

40 **Introduction:**

41 Many animals live in groups, and gregariousness has been shown to provide
42 protection from predation in a variety of taxa such as anuran larvae (DeVito 2003; Smith
43 and Awan 2009), fish (Krause and Godin 1995), invertebrates (Clark and Faeth 1997;
44 Uetz *et al.* 2002; Lemos *et al.* 2005), small mammals (Hass and Valenzuela 2002;
45 Rogovin *et al.* 2004), ungulates (Mooring and Hart 1992) and many others. Predation risk
46 and the effectiveness of a prey's defense can be a function of several variables, including
47 prey group size, and individual prey size as a function of age (Botham *et al.* 2006; Smith
48 and Awan 2009). Although larger groups of prey may be more easily discovered and may
49 suffer more frequent attacks due to increased conspicuousness, hunting success of
50 predators and per capita predation risk of prey have also been shown to decrease in larger
51 groups (Lawrence 1990; Clark and Faeth 1997; Hunter 2000; Botham *et al.* 2005). Group
52 members may suffer a lower risk of capture because of cooperative defense, enhanced
53 advertisement of unprofitability in aposematic species, shared and more effective
54 vigilance and a reduced probability of predation by virtue of a dilution effect when a
55 predator can take only a limited number of individuals from the group (e.g Seyfarth *et al.*
56 1980; Peterson *et al.* 1987; Vulinec 1990; Mooring and Hart 1992; Uetz *et al.* 2002;
57 DeVito 2003). In addition, animals in the centre of a group can decrease their risk of
58 predation by surrounding themselves with others (Tostowaryk 1971; Mooring and Hart
59 1992; Krause *et al.* 1998), which Hamilton (1971) termed the selfish herd effect.

60 As prey individuals grow, their vulnerability to predators can also change. Smaller
61 predator species may not be physically capable of handling large prey, or the costs of
62 subduing them may be too great (Peters 1983; Warren and Lawton 1987; Cohen *et al.*

63 1993), whereas larger predator species may avoid small prey because they are too costly
64 to handle for the energy gains. Gaston *et al.* (1997) found that the body masses of the bird
65 species feeding on successive instars of the mopane worm were strongly correlated with
66 the larvae's mass. The ability of pentatomid predators to subdue caterpillars also depends
67 on the larvae's size and behavior (Iwao and Wellington 1970).

68 Most prey are subject to predation from multiple predators, and different defenses
69 are thought to have evolved in response to selective pressures from different types of
70 predators. As such, different predators may elicit different responses, or a prey species
71 may adopt a general response which provides protection from many different types of
72 predator (Botham *et al.* 2006). Generalized rather than species-specific responses may
73 benefit prey in species that co-occur with multiple similar predators (Webb *et al.* 2010),
74 hence the importance of testing the effectiveness of a prey's defensive mechanisms
75 against different predators. Yet many studies investigating behavioral responses in
76 predator-prey interactions have focused on single predators, and experimental evidence
77 that prey benefit in terms of survival by adopting different responses to different
78 predators appears to be lacking (Botham *et al.* 2006; Castellanos and Barbosa 2006).

79 We examined the responses of *Malacosoma disstria* caterpillars against three
80 natural enemies and tested the effects of larval growth and gregarious behavior on the
81 rate and success of attacks. Caterpillars of *M. disstria* are gregarious until the final larval
82 stadium, and decreased predation risk is often listed among the benefits of group-living
83 for this (Parry *et al.* 1998) and other gregarious caterpillar species (Reader and Hochuli
84 2003). *Malacosoma disstria* caterpillars are collective nomadic foragers and use
85 pheromone trails to travel as a cohesive group between feeding sites. These caterpillars

86 hatch in early spring when food quality is high and they develop rapidly to escape
87 predation (Parry *et al.* 1998), as predation risk is thought to decrease with increasing
88 larval size (Costa 1993; Reavey 1993). The importance of predation in shaping the
89 gregarious and fast-developing life history traits is not known, nor is the identity of the
90 predators exerting the selection pressure. Caterpillars of *M. disstria* are unpalatable to
91 most vertebrates (Heinrich 1983; Heinrich 1993a), but little is known of the defensive
92 mechanisms against invertebrate predators (see Fitzgerald 1995). Synchronous flicking of
93 the body has been described for many social caterpillars (see Fitzgerald and Costa 1999
94 and references therein), and some, such as the closely-related *Malacosoma americanum*,
95 also combine these displays with defensive regurgitation of enteric fluid containing host-
96 derived benzaldehyde when attacked by predatory ants (Peterson *et al.* 1987).

97 The objective of this study was to determine if the gregarious habit of *M. disstria*
98 is advantageous against invertebrate predation, and whether it is through dilution or
99 cooperative defenses. We also hypothesized that the rate and success of attacks would
100 decrease with increasing group size and caterpillar size (as a function of larval instar), but
101 that these could vary between the three natural enemies tested, depending on the
102 behavioral response exhibited in each case.

103

104 **Methodology:**

105 Unhatched egg masses of *M. disstria* were collected from Southern Ontario,
106 Canada (44°33.5N, 76°24.1W) in March 2009 and stored at 4°C with 80% R.H. until use.
107 To minimize mortality from pathogens, egg bands were sterilized by soaking in 5%
108 sodium hypochlorite as described by Grisdale (1985). Caterpillar colonies arising from a

109 single egg mass were kept in plastic rearing containers and kept in a rearing chamber
110 under a controlled light and temperature regime of 21°C, 70% RH and 16L: 8D.
111 Caterpillars were fed *ad libitum* on a nutritionally balanced, standard wheat germ-based
112 meridic artificial diet (Addy 1969). Although *M. disstria* caterpillars have never been
113 observed to regurgitate, gut content may affect predation and so caterpillars were given
114 fresh leaves of their primary host, trembling aspen (*Populus tremuloides*), 24 hrs before
115 being used in experiments with the walking predators. Leaves were collected from
116 multiple trees in Montreal, Quebec and were sterilized using 1% hypochlorite solution
117 and rinsed with tap water against the possible presence of pathogens. All experiments
118 were conducted at temperatures ranging between 20-23°C and 50-60% RH.

119 Fifteen species of hemipteran stinkbugs are known to prey on tent caterpillars, but
120 *Podisus maculiventris* Say is one of the most common and it is distributed over most of
121 the United States and southern part of Canada. Stinkbugs overwinter as adults and are
122 active in early spring, searching for prey and responding within a short distance or after
123 physical contact (Evans 1982). When a prey is detected, stinkbugs stretch out their
124 proboscis before eventually attacking by inserting their stylets. Stinkbugs appear limited
125 to attacking caterpillars of 20 mm or less (Evans 1982). Beetles in the genus *Calosoma*
126 are also well-known predators of tent caterpillars, which are grasped and cut in half with
127 sharp mandibles (Fitzgerald and Costa 1999 and references therein). Spiders are also
128 important generalist predators, especially of earlier instars (McClure and Despland 2010;
129 Ronnas *et al.* 2010). Although many species of parasitoids attack the eggs or pupae of
130 *Malacosoma*, a few families also attack the larval stage (see Fitzgerald 1995 and
131 references therein; Williams *et al.* 1996). *Malacosoma* caterpillars are known for flicking

132 the anterior portion of their body when attacked by parasitoids, and this behavior quickly
133 propagates through the group into a synchronized behavior. Prop (1960) found that such
134 group displays in gregarious sawflies deterred oviposition by an ichneumonid parasitoid.

135 Three invertebrate predators, which co-occur with *M. disstria*, were therefore
136 initially selected: stinkbugs (*Podisus maculiventris*) were obtained from The Bug Factory
137 (Canada) and carabid beetles (*Calosoma sp.*) and spiders (*Thanatus vulgaris*) were
138 collected in Montreal (Quebec, Canada). However, in preliminary trials (N=6) carabid
139 beetles were found to be too mobile, with beetles escaping the set-up often without
140 contacting the group of caterpillars (N=4), and were subsequently not used. A generalist
141 parasitoid wasp (*Hyposoter fugitivus*) was also selected and was obtained from Dr.
142 Stoltz's rearing colony (Dalhousie Univeristy in Halifax, Canada). All walking predators
143 were starved 24h before use and a predator used in a test was not used again until it had
144 fed and again been deprived of food. The predators were fed larvae of the greater wax
145 moth, *Galleria mellonella*, and were supplied with moisture via a soaked paper towel.
146 The parasitoids were fed with honey droplets. All walking predators were maintained in
147 rearing chambers under a controlled light and temperature regime of 21°C, 70% RH and
148 16L: 8D, and parasitoids were stored at 10°C until use.

149 Tested group sizes were of 2, 10 and 30 second or fourth instar caterpillars. Only
150 second and fourth instar caterpillars were studied during our experiments, as they reflect
151 distinct differences in both body size and group behaviour (older caterpillars exhibit more
152 independent locomotion). The experimental set-up consisted of a plastic arena (43 cm
153 long x 3 cm) covered in brown paper. The arena was balanced on rubber stoppers covered
154 in acetate, placed in a tray containing 2 cm of water in order to prevent caterpillars from

155 leaving. Caterpillars were placed at one end of the arena 20 minutes before the
156 introduction of a predator or 2 parasitoids to allow them to acclimatize and caterpillars
157 were only used once. When using parasitoids, the experimental setup was placed in a
158 mesh cage.

159 All group size, instar and natural enemy combinations were repeated 20 times.
160 Experiments were terminated after 20 min for predators and 40 min for parasitoids. This
161 was considered enough time to observe an attack, as on average predators attacked in less
162 than 1 minute (mean±SE of 49.22±11.49 secs), and parasitoids did so in less than 8
163 minutes (mean±SE of 7.96±1.10 min). A video camera was mounted above the arena and
164 all experiments were recorded for further analysis. The likelihood of attack in each
165 treatment was analysed using chi-square. A multivariate analysis of variance
166 (MANOVA) was used for each natural enemy to determine if the number of caterpillars
167 attacked and the number of those attacks that were successful was significantly affected
168 by group size and/or larval instar. The MANOVA for both walking predators also
169 included the latency to attack (i.e. the time from the moment the predator is introduced
170 into the arena to the first attack observed) and the handling time (i.e. the time required for
171 a predator to subdue its prey) as dependent variables. In addition, the MANOVA for the
172 stinkbugs also included the time needed to perceive the caterpillars (determined as when
173 the proboscis was raised). The MANOVA for the parasitoids included the time caterpillar
174 groups spent head flicking after an attack as a dependent variable. Behavioral
175 descriptions of predator or parasitoid attacks and escape responses of caterpillars were
176 also noted for every predator-prey combination. Parasitizing success was determined by
177 rearing some of the groups (a minimum of 7 replicates per group size-instar combination

178 was used for a total of N=45) until parasitoid emergence. Mortality risk per caterpillar
179 from each natural enemy was also calculated by dividing the number of individuals
180 within a group by the number of successful attacks and averaging them for all larval
181 instar and group sizes.

182

183 **Results:**

184 Although these caterpillars are covered in setae, especially in the later instars,
185 observations during this study gave no indication that they played any role in defense
186 against the predators and parasitoids that were used. Because spiders and stinkbugs are
187 only capable of preying on one individual at a time and require time to consume it, there
188 could not be more than one successful attack per given trial. However, when
189 unsuccessful, multiple attacks by these predators could be made within a single trial.
190 *Malacosoma disstria* caterpillars were never observed to regurgitate. Group activity
191 (defined as either active or resting) was never a significant predictor of either attacks or
192 the success of these attacks for any of the natural enemies.

193

194 Carabid beetles

195 Preliminary trials with carabid beetles (N=6) were done with groups of 30 fourth
196 instar caterpillars, but proved to be difficult as the carabid beetles were large and too
197 mobile for the chosen experimental set-up. In 4 of the trials, the beetle repeatedly escaped
198 the set-up without making contact with the caterpillars. In 2 trials, the beetle attacked 1
199 caterpillar within the group and quickly devoured it. Predated individuals thrashed
200 vigorously, but were never successful at escaping. The group's response consisted of all

201 caterpillars walking away and relocating at the opposite end of the bridge set-up while the
202 predator was occupied with its prey. Although carabid beetles have been described as
203 being aggressive predators which often attack multiple caterpillars within a group, only
204 one individual was observed to be attacked (N=2). Both beetles subsequently escaped the
205 set-up shortly after the predation events.

206

207 Spiders

208 Spiders attacked by pouncing on the caterpillars and rapidly piercing them with
209 their chelicerae. Responses of caterpillars attacked by spiders were different for second
210 and fourth instars (Table 1). Although 42% of second instar caterpillars thrashed when
211 grasped and a small number bit the spiders (5%), this was never successful. Surprisingly,
212 52% of the attacked caterpillars responded by gripping onto the silk mat: when spiders
213 were unable to dislodge the caterpillar from its silk mat, they abandoned it. This tactic
214 was successful in evading a predation event 80% of the time and bitten caterpillars that
215 were abandoned always survived. Although this is not a group response per se, a group is
216 needed to build a silk mat and this response was therefore not possible for individuals in
217 groups of two. The larger fourth instar caterpillars were more aggressive in their
218 responses. All individuals that were attacked thrashed vigorously. When not combined
219 with any other behavior, this was successful in only 37% of attacks. Survival was similar
220 when thrashing was combined with biting, but increased if caterpillars dropped off the
221 bridge, which was always an effective evasive tactic. This would also be advantageous in
222 the field as larger caterpillars can survive in the absence of conspecifics (Fitzgerald and
223 Costa 1999).

224 For spiders, the time to attack (i.e. the latency for the spider to attack from the
225 moment it is introduced) was not significantly influenced by group or larval size, but
226 handling time was much longer for fourth instar caterpillars than for second instar
227 caterpillars ($162.00 \pm 33.87s$ vs. $2.58 \pm 0.33s$; Table 2). The probability of at least one
228 attack occurring during the trial decreased with larval instar ($\chi^2=4.805$; $df=1$; $p=0.028$)
229 but was not affected by group size ($\chi^2=1.669$; $df=2$; $p=0.434$). The number of attacks per
230 trial increased with group size for second but decreased for fourth instar caterpillars (Fig.
231 1). Attacks on fourth instar caterpillars were less likely to be successful than on second
232 instar caterpillars. Attack success rate was not affected by group size (Table 2), and
233 therefore the per capita mortality risk decreased in larger groups (Fig. 4). Position within
234 the group was also found to be important, as the centre of the group sustained fewer
235 attacks.

236

237 Stinkbugs

238 Caterpillars responded differently to stinkbugs, which after detecting the
239 caterpillars raised their proboscis and approached them slowly, than they did to spiders.
240 When second instar caterpillars detected the stinkbug before an attack (which occurred in
241 10% of cases), they took evasive responses by jerking away (Table 1). This was always
242 successful as stinkbugs retreated. Once the predator had inserted its stylets into the
243 caterpillar, none succeeded in escaping despite 60% of caterpillars thrashing in response
244 to the attack. Fourth instar caterpillars showed a larger range of behavioral responses to
245 stinkbugs, which occurred either singly or in various combinations. Caterpillars took
246 evasive measures in 37% of cases, either by walking quickly out of the predator's path or

247 by jerking away, and this was always successful in evading an attack. Predators were
248 sometimes seen pursuing an escaping caterpillar with extended proboscis, but they never
249 succeeded in catching them and quickly gave up the chase. Caterpillars responded to the
250 stylets being inserted into their body by thrashing 56% of the time, but this was only
251 effective in 17% of cases, even when combined with biting. Although only very few
252 attacked caterpillars were able to both thrash and fall off the bridge (2%), this was always
253 a successful tactic and these caterpillars always survived the piercing of their cuticle.

254 Whether stinkbugs attacked at least once was not significantly affected by either
255 instar ($\chi^2=1.634$; $df=1$; $p=0.201$) or group size ($\chi^2=2.467$; $df=2$; $p=0.291$). Stinkbugs
256 launched more attacks per trial against fourth instar caterpillars, but were more successful
257 in capturing second instar caterpillars (Fig. 2). Again, because the number of successful
258 attacks was not affected by group size, the mean mortality risk decreased with group size
259 (Fig. 4). The time needed for stinkbugs to perceive the caterpillars (i.e. the time between
260 introducing the stinkbug to the arena and the first moment they raised their proboscis)
261 was not affected by either larval instar or group size (Table 2), but both the amount of
262 time required to attack ($35.68\pm 10.43s$ vs. $200.57\pm 43.29s$; Table 2) and to subdue the prey
263 (i.e. for the attacked caterpillar to stop moving) ($25.58\pm 3.64s$ vs. $168.95\pm 32.15s$; Table 1)
264 was significantly longer for fourth instar caterpillars. Position within the group was again
265 found to be important, as the centre of the group did not sustain any attack for either the
266 second or fourth instar caterpillars.

267

268 Parasitoid wasps

269 Caterpillars reacted to parasitoid attacks, which stung the caterpillars with their
270 ovipositor, both individually and as a group by flicking their heads, and in some cases,
271 head flicking was accompanied by biting, which made it a far more effective tactic (Table
272 1). Groups of two second instar caterpillars almost never reacted to being attacked.
273 However, for both second and fourth instar caterpillars, groups of 30 were more likely to
274 use biting along with flicking than groups of 10 caterpillars.

275 The probability of at least one attack by a parasitoid per trial significantly
276 increased with group size ($\chi^2=9.872$; $df=2$; $p=0.007$) but was not affected by larval instar
277 ($\chi^2=0.573$; $df=1$; $p=0.449$). The number of attacks increased with caterpillar instar (Fig.
278 3), but the number of successful attacks (i.e. determined by the subsequent emergence of
279 a parasitoid from the caterpillar) decreased with instar (Table 2). The number of attacks
280 and of successful attacks increased with group size (Fig. 3), but the individual mortality
281 risk still decreased with group size (Fig. 4). The time before an attack (i.e. time elapsed
282 between the start of the experiment and the first attack observed) was not influenced by
283 either caterpillar instar or group size, but the amount of time caterpillars spent head
284 flicking after an attack significantly increased with group size (Table 2). Position within
285 the group was again found to be important, as the centre of the group sustained fewer
286 attacks.

287

288 **Discussion**

289 Caterpillars of *M. disstria* responded to attacks with predator-specific behaviors,
290 which in many cases were successful in warding off attacks. When stinkbugs were used
291 as predators, evasive behaviors were the most efficient in increasing survival, as has also

292 been observed for *Nezara viridula* (De Clercq *et al.* 2002) and *Bombyx mori* (Lemos *et*
293 *al.* 2005) caterpillars. These behaviors were never observed against spiders. Many fourth
294 instar caterpillars thrashed when attacked by either spiders or stinkbugs, but this behavior
295 was most successful when used against spiders. Second instar caterpillars that were
296 attacked by spiders sometimes responded by holding onto the silk mat. This behavior was
297 never observed with stinkbugs, and it would not likely have been successful, as shriveled
298 caterpillar carcasses are often found still attached to naturally occurring tents and silk
299 mats of *Malacosoma* colonies attacked by *Podisus* stinkbugs. Head flicking and biting
300 were observed in both second and fourth instar caterpillars when attacked by parasitoids,
301 but not when attacked by walking predators. Predator specific responses of *M. disstria*
302 groups were also observed during preliminary trials using *Calosoma* beetles: attacked
303 individuals thrashed vigorously, but unsuccessfully as even fourth instar caterpillars are
304 much smaller than the beetles. But while the beetle was busy with one prey, the rest of
305 the caterpillar group moved away together and relocated to a new bivouac elsewhere,
306 which is important because a single beetle can eradicate an entire colony (Fitzgerald and
307 Costa 1999). Other studies (e.g. Clark and Faeth 1997) have shown that, if predators are
308 not satiated by a single prey item, or if they show a strong and very rapid numerical
309 response, they can annihilate entire groups and group relocation may be beneficial. Indeed,
310 groups of *M. disstria* caterpillars have also been shown to relocate their bivouac in
311 response to attacks by *Polistes* wasps (McClure and Despland 2010). However, relocation
312 of the entire group before a food patch is depleted is likely costly, and it makes sense that
313 this response would only be observed when caterpillar groups are attacked by predators
314 capable of successfully preying on most, if not all, of the group.

315 Although different responses to different predators is believed to be adaptive and
316 has previously been suggested, little experimental work has been done to empirically
317 demonstrate the behavioral ecology of defenses (Botham *et al.* 2006).

318 The escape responses of *M. disstria* caterpillars to predator attacks also varied
319 with larval instar. Smaller caterpillars had fewer defensive behaviors and never dropped
320 off the bridge, probably because the cost of being separated from the group is much
321 higher for younger caterpillars (Despland and Le Huu 2007). Although second instar
322 caterpillars were at times aggressive against parasitoids, biting their legs and antennae,
323 they never successfully bit either the spiders or the stinkbug predators, both of which are
324 larger than the parasitoids. The larger fourth instar caterpillars, however, were more
325 likely to defend themselves with aggressive retaliation such as biting against all
326 predators, as the value of this defense increases with the size of the prey relative to its
327 predator.

328 As such, the number of caterpillars successfully predated or parasitized decreased
329 with increasing body size, and the time required to subdue the prey increased for both
330 spiders and stinkbugs. However, stinkbugs and parasitoids did attack fourth instar
331 caterpillars more often. Because stinkbugs are cautious predators that slowly approach
332 their prey, failed attempts often occurred before any physical contact was made. As such,
333 attempting to attack a larger caterpillar was possibly less costly for stinkbugs than for
334 spiders, and in fact, stinkbugs were more likely to try again. However, this is likely to
335 change with continued growth of the caterpillars and more aggressive defensive
336 behaviours (Morris 1963), and in fact Evans (1983) observed that stinkbugs experienced
337 increasing difficulty in capturing *Malacosoma* caterpillars as the season advanced. As the

338 caterpillars grew, they rapidly gained the ability to defend themselves from attacking
339 adult stinkbugs by thrashing vigorously and forcing the timid stinkbugs to retreat and
340 abandon the attack.

341 Although parasitoids can develop in second instar caterpillars, they face a higher
342 risk of the host dying before the parasitoid larvae can complete its development (pers.
343 obs.). Therefore fourth instar caterpillars are better hosts and this is most likely why
344 parasitoids preferentially attacked more of the fourth instar caterpillars. Yet the
345 proportion of caterpillars successfully parasitized decreased with increasing larval size,
346 which suggests a trade-off for parasitoids. This may be due to both an increasing
347 difficulty in successfully parasitizing the caterpillars due to defensive behaviours such as
348 biting, and a stronger immune system in older caterpillars. As such, successful parasitism
349 is likely to continue decreasing with increasing growth of the caterpillars. Thus overall
350 increased body size lowers likelihood of successful attack for all three natural enemies
351 but, at least for parasitoids, larval body size appears to increase attractiveness of prey.

352 Grouping appeared to lower individual risk from all three natural enemies via
353 dilution and the selfish herd effect. In all three cases, individual risk decreased with
354 increasing group size and individuals in the center of the group were at a lesser risk of
355 sustaining attacks than individuals situated at the periphery.

356 For spiders and stinkbugs, group size had no effect on the number of attacks or
357 the number of successfully captured caterpillars. Because the number of prey successfully
358 attacked was never more than one per trial, mortality risk always decreased with group
359 size. There were no group responses for either second or fourth instar caterpillars
360 attacked by either of the walking predators and therefore, against these predators, dilution

361 of risk appears to be the only group benefit. Presumably, larger aggregations would be
362 beneficial in the field if they do not attract more predators. For the gregarious caterpillar
363 *Halisidota caryae* (Lawrence 1990), larger aggregations did not attract more invertebrate
364 predators than did smaller ones, and so the likelihood of being taken was lower in a larger
365 group. For *Malacosoma* species, Evans (1983) found that the density of caterpillars in a
366 group was always high enough that the functional response of a pentatomid predator was
367 independent of larval density.

368 By contrast, collective defense was observed against parasitoids. The parasitoids
369 attacked more than one caterpillar once a group was located. However, despite multiple
370 attacks and a higher attack success rate, mean mortality still decreased for individual
371 caterpillars living in larger groups. The number of individuals successfully parasitized did
372 not increase as rapidly as the number of individuals within a group. This may in part be
373 because the optimal foraging time spent at a patch for parasitoids is limited by a
374 diminishing return (Wajnberg 2006), but may also be due to the increasing difficulty in
375 attacking defensive groups.

376 Indeed, although there was no evidence for group vigilance in trials done with
377 spiders or stinkbugs, caterpillars appeared to benefit from the warning of a parasitoid's
378 presence, possibly through the wing vibrations of parasitoids, vibrations in the silk mat
379 generated by flicking caterpillars, and/or through the direct physical contact with flicking
380 caterpillars, although they don't appear to respond to vibrations caused by approaching
381 predators or by thrashing conspecifics. Caterpillars attacked by the parasitoids usually
382 aggregated as tight flicking groups and displayed co-operative defenses such as
383 simultaneous biting of the wasps' legs and antennae. Individuals who started flicking

384 before having sustained an attack themselves therefore appear to be benefiting from the
385 signaling of other individuals, but those who have already been attacked also benefit as
386 they may be attacked more than once (pers. obs.). Although groups of two caterpillars
387 occasionally displayed these behaviors, they occurred less often, at a lower intensity and
388 for a shorter time. The time spent flicking by groups after the first attack also increased
389 with group size, which suggests that the effectiveness of this behaviour increases for
390 larger groups.

391 In conclusion, we show that *M. disstria* exhibit different behaviours in response to
392 different predators and at different larval stadia. Like guppies (Botham *et al.* 2006) and
393 monkeys (Seyfarth *et al.* 1980), these caterpillars are able to discriminate between
394 different predators, likely as a result of very different modes of attack, and respond
395 appropriately. Indeed, this study shows experimentally that prey benefit in terms of
396 survival by adopting different responses, although how these caterpillars are able to
397 identify the predator and decide which response to make has yet to be determined.

398 In general, fourth instar caterpillars showed more varied defensive responses,
399 including falling off the bridge and biting the aggressor, and were more successful
400 against all three natural enemies. Our results confirm that larval vulnerability is greatest
401 in the early larval instars, supporting the idea that rapid growth constitutes a defensive
402 benefit. An extended development time in herbivorous insects increases larval exposure
403 to natural enemies, termed the slow-growth-high-mortality hypothesis, and has been
404 shown in many species (Schultz 1983; Benrey and Denno 1997). For example, Parry *et al.*
405 (1998) found that survivorship of later hatching *Malacosoma* caterpillars was
406 drastically reduced by invertebrate predation and Evans (1982) observed that during

407 unfavorable weather in the spring, the activity of predatory stinkbugs was temporarily
408 suppressed and enabled the tent caterpillars to escape predation by growing to sizes too
409 large to be subdued by the predators. We show that increased size is advantageous for
410 caterpillars against three very different modes of attack, due not only to the predator's
411 difficulty in handling larger prey, but also to the caterpillar's broader range of defensive
412 behaviors. Our results also show a lower per capita predation risk in larger groups. In the
413 case of spiders and stinkbugs, the benefits of grouping could only be attributed to dilution
414 of risk, but against parasitoids, caterpillars also exhibited group defenses. Improved anti-
415 predator defense has been suggested as a benefit to group living in a wide range of taxa
416 (e.g. Hass and Valenzuela 2002; Uetz *et al.* 2002; e.g. DeVito 2003; Rogovin *et al.* 2004;
417 Lemos *et al.* 2005; Smith and Awan 2009), including many caterpillars (see Vulinec
418 1990). We confirm that grouping does indeed protect *M. disstria* caterpillars against
419 predation and that they use group defenses in some contexts. Aggregations of early
420 instars of *M. disstria* have also been shown to benefit from group thermoregulation
421 (McClure *et al.* 2010) which enhances larval growth rates (Levesque *et al.* 2002), and
422 thus the aggregated larval lifestyle may also indirectly reduce predation by decreasing
423 exposure to predators. Grouping thus appears to protect *M. disstria* against predation via
424 several simultaneously acting mechanisms: predator dilution, group defenses, faster
425 development and possibly aposematism (Heinrich 1993b). Hunter (2000), who compared
426 the shapes of published survivorship curves of gregarious and solitary Lepidoptera and
427 Symphyta, concluded that there was something in addition of the possession of defenses
428 that explains the higher larval survival of gregarious species. This study further supports
429 their suggestion that dilution of risk, possibly in concert with increased group defense

430 behaviors, and reduced duration of exposure to enemies because of rapid development
431 time may explain the survival advantage of gregariousness.

432 Finally, the decreased tendency to aggregate of later instars of *Malacosoma*
433 species has been tied to an increase in food competition (Despland and Le Huu 2007) and
434 a reduced need for thermoregulation (McClure *et al.* 2010); our results suggest that it may
435 be further enabled by caterpillars' increased ability to defend themselves against
436 invertebrate predators.

437

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447

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List of figures

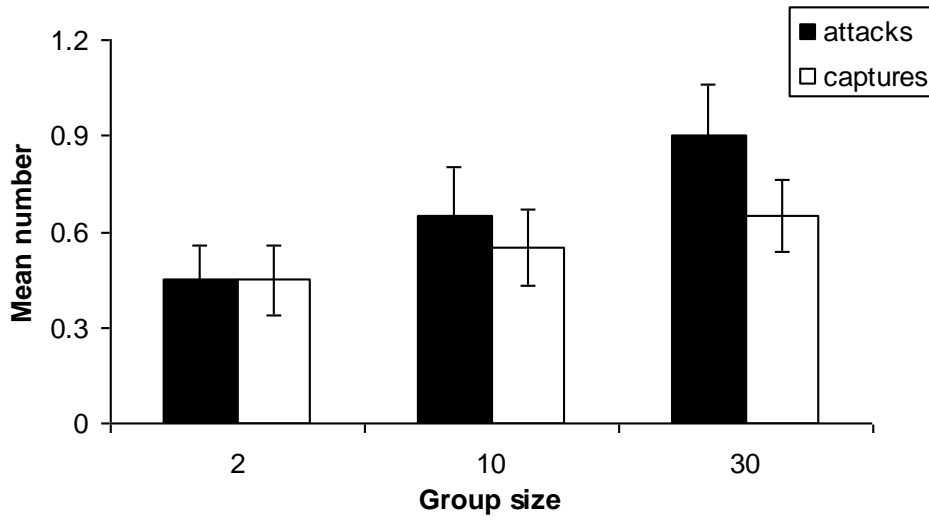
Fig. 1: Spiders: the average number of attacks and successful attacks (\pm SEM) for different group sizes of a) second and b) fourth instar caterpillars of *Malacosoma disstria* (N=20 caterpillar groups per treatment combination of instar and group size)

Fig. 2: Stinkbugs: the average number of attacks and successful attacks (\pm SEM) for different group sizes of a) second and b) fourth instar caterpillars of *Malacosoma disstria* (N=20 caterpillar groups per treatment combination of instar and group size)

Fig. 3: Parasitoids: the average number of attacks and successful attacks (\pm SEM) for different group sizes of a) second and b) fourth instar caterpillars of *Malacosoma disstria* (N=20 caterpillar groups per treatment combination of instar and group size)

Fig. 4: The mean mortality (\pm SEM) per capita of *Malacosoma disstria* caterpillars in different group sizes, for both a) second and b) fourth larval instar, for different invertebrate predators and parasitoids (N=20 caterpillar groups per treatment combination of instar and group size)

a)



b)

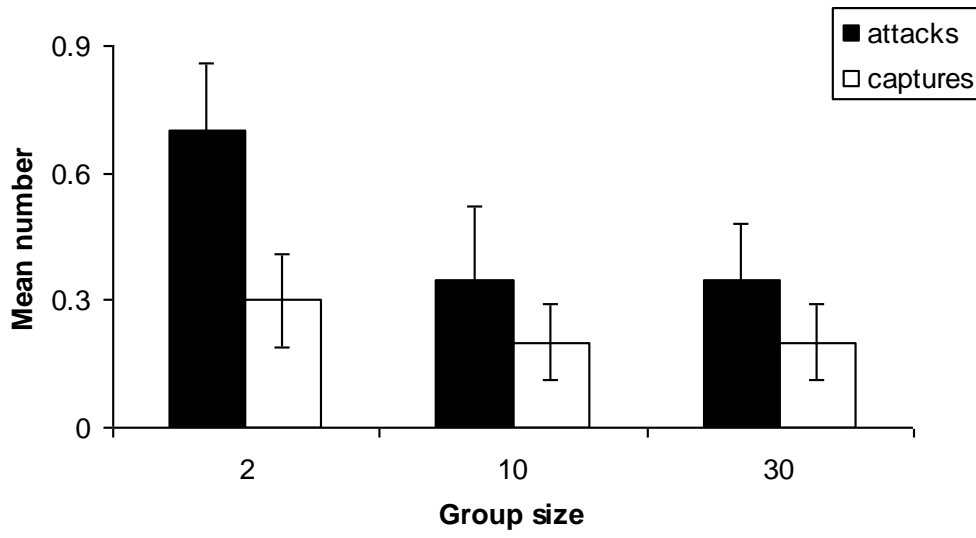
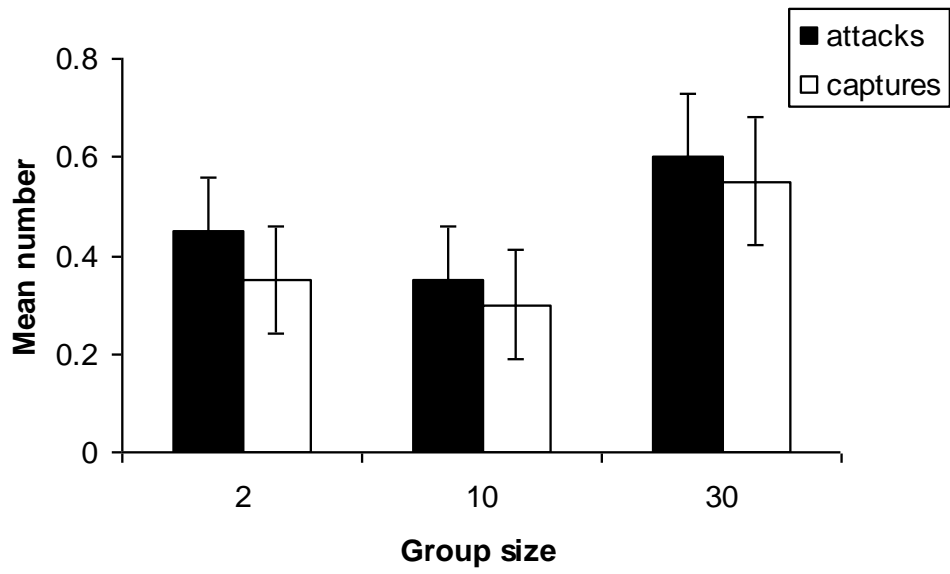


Fig. 1

a)



b)

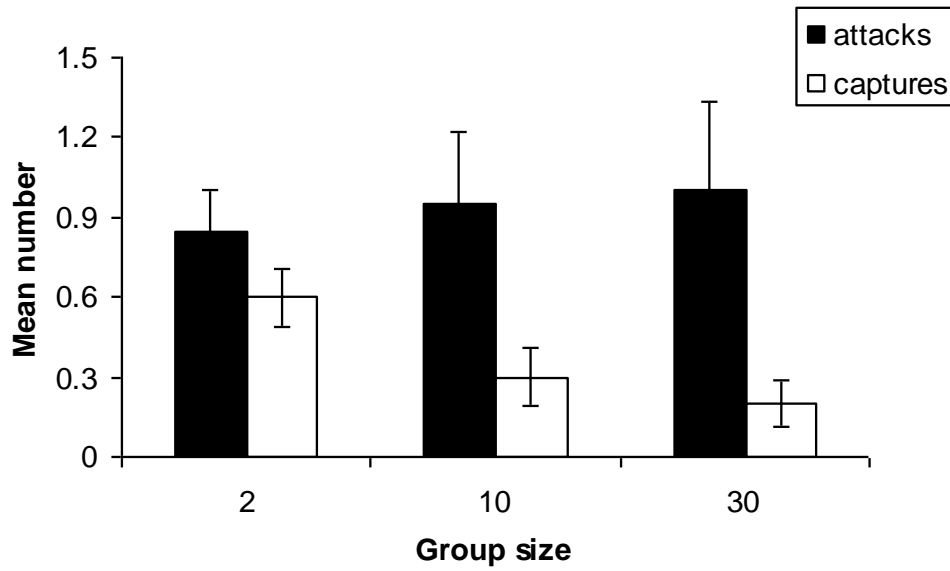
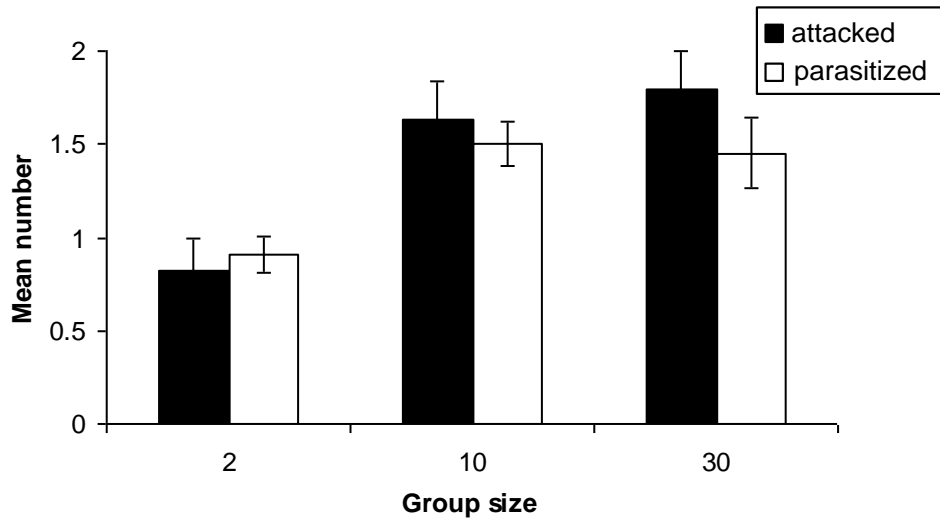


Fig. 2

a)



b)

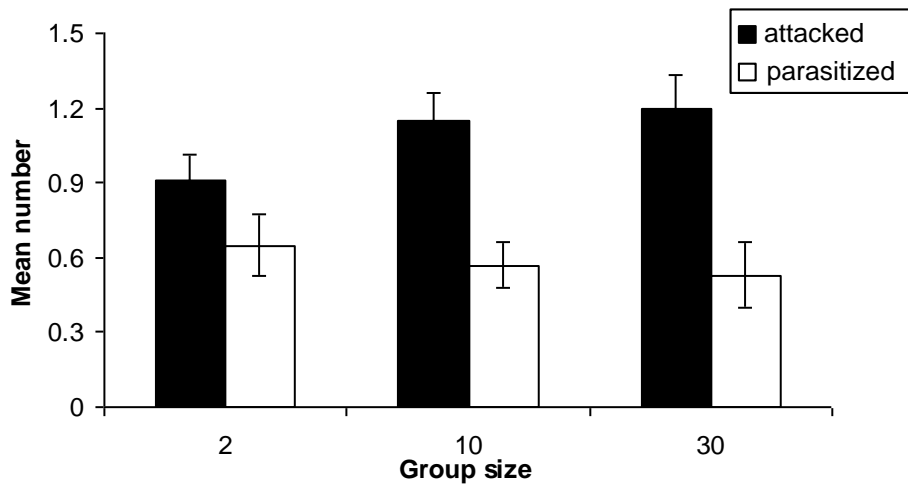
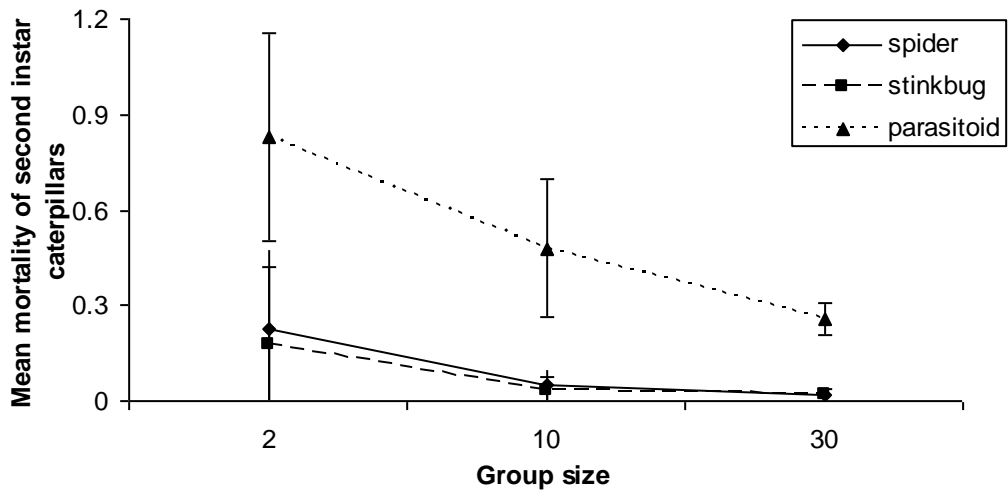


Fig. 3

a)



b)

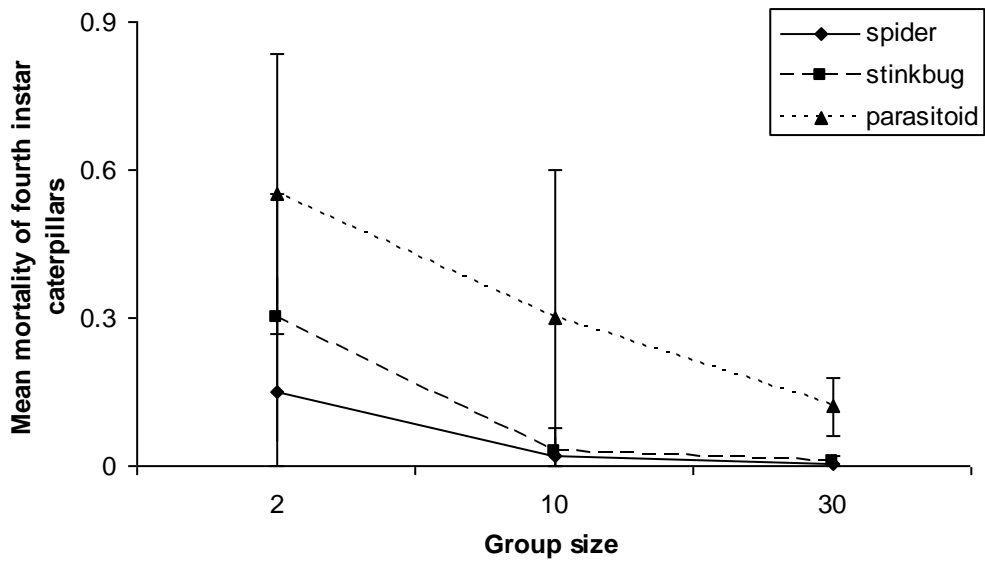


Fig. 4

Table 1: The behavioural response (when one was observed) elicited by an attack by an invertebrate predator or parasitoid, the proportion of *Malacosoma disstria* caterpillars responding and the proportion of those that were successful in escaping predation or parasitisation.

Type of predator	Instar	Behavioral response	% responding	% responding successfully	
Spider	2	Thrashing	42	0	
		Biting	5	0	
		Holding the silk mat	53	80	
	4	thrashing	37	38	
		thrashing & biting	30	38	
Stinkbug	2	thrashing & falling	33	100	
		jerking back	11	100	
		thrashing	61	0	
	4	walking away	12	100	
		jerking back	26	100	
		thrashing	56	17	
		thrashing & biting	5	0	
	Parasitoid	2	thrashing & falling	2	100
			head flicking	70	9
		4	head flicking & biting	30	30
head flicking			66	32	
head flicking & biting			34	66	

Table 2: Statistical results for 3 separate MANOVAs done for each natural enemy as a function of group size and larval instars of *Malacosoma disstria* caterpillars (N=120 groups per analysis).

Type of predator	Measured variable	Experimental factor	F value	df	p value	
Spider	Number of attacks	Caterpillar instar	2.7	1, 114	0.103	
		Group size	0.36	2, 114	0.701	
		Interaction	3.77	2, 114	0.026*	
	Number of captures	Caterpillar instar	12.26	1, 114	0.001*	
		Group size	0.27	2, 114	0.768	
		Interaction	1.02	2, 114	0.36	
	Time to attack (secs)	Caterpillar instar	0.15	1, 59	0.698	
		Group size	0.08	2, 59	0.923	
		Interaction	0.93	2, 59	0.402	
	Handling time (secs)	Caterpillar instar	86.38	1, 40	>0.001*	
		Group size	0.81	2, 40	0.453	
		Interaction	0.85	2, 40	0.434	
Stinkbug	Number of attacks	Caterpillar instar	7.94	1, 114	0.006*	
		Group size	0.37	2, 114	0.695	
		Interaction	0.16	2, 114	0.851	
	Number of captures	Caterpillar instar	0.31	1, 114	0.58	
		Group size	1.27	2, 114	0.286	
		Interaction	4.42	2, 114	0.014*	
	Time to perceive (secs)	Caterpillar instar	1.6	1, 78	0.214	
		Group size	0.3	2, 78	0.741	
		Interaction	0.23	2, 78	0.798	
	Time to attack (secs)	Caterpillar instar	15.96	1, 78	>0.001*	
		Group size	2.63	2, 78	0.087	
		Interaction	1.8	2, 78	0.181	
	Handling time (secs)	Caterpillar instar	14.28	1, 53	>0.001*	
		Group size	0.01	2, 53	0.994	
		Interaction	0.02	2, 53	0.984	
	Parasitoid	Number of attacks	Caterpillar instar	4.16	1, 114	0.042*
			Group size	4.75	2, 114	0.009*
			Interaction	1.47	2, 114	0.232
Successfully parasitized		Caterpillar instar	16.69	2, 39	>0.001*	
		Group size	20.02	1, 39	>0.001*	
		Interaction	3.22	2, 39	0.051	
Time to attack (secs)		Caterpillar instar	6.29	1, 72	0.594	
		Group size	0.002	2, 72	0.998	
		Interaction	0.33	2, 72	0.719	
Time spent flicking (secs)		Caterpillar instar	0.6	1, 72	0.441	
		Group size	26.03	2, 72	>0.001*	
		Interaction	1.32	2, 72	0.276	