

1 **Characterization of Cloud Cover with a Smartphone Camera**

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7 **Keywords:** solar radiation; clouds; smartphone; sky camera

8 9 **Abstract**

10 A smartphone sky camera and associated image analysis algorithm has been developed and
11 validated for the determination of the percentage of cloud cover. This provides the total cloud
12 cover and the percentage of thick and thin cloud in the image. The system has been validated
13 and tested using supervised image classification for a range of cloud types and cloud cover
14 ranging from 4% to 98% and solar zenith angles between 6° and 49°. Additionally, this
15 system provides the percentage of total cloud and thick and thin cloud in proximity to the
16 solar disc. The size of the errors is comparable to those associated with the cloud fractions
17 determined with commercial sky camera systems. The benefits of increasing the availability
18 of cloud fraction measurements through the system described include the potential to develop
19 improved local ultraviolet index and weather forecasts and contribute toward better
20 understanding of local trends in cloud patterns that is required to be considered in the
21 generation of solar energy.

1 **Introduction**

2 Cloud cover and type for a given site, date and time are the major influences on ground based
3 solar irradiances. Component water droplets and ice crystals may absorb or scatter incident
4 direct and diffuse solar radiation influencing the ratio of both components measured at the
5 earth's surface⁽¹⁾. Cloud cover affects the measured solar radiation depending on the cloud
6 type, the total optical path at the time of measurement, total sky distribution, and cloud
7 proximity relative to the solar disc⁽¹⁾. In addition to the influence on the solar irradiance, there
8 is also an associated wavelength dependence^(2,3,4). In the majority of cases, clouds reduce the
9 solar radiation reaching the earth's surface. However, there are cases with certain types and
10 configuration of cloud when the irradiances of the shorter waveband ultraviolet are enhanced
11 above that of a cloudless day^(5,6). Traditionally, the fraction of cloud cover has been provided
12 by trained observers, with the amount of cloud provided in octas (or sky cover measured in
13 eighths)⁽⁷⁾. A review of cloud detection methods has been previously undertaken⁽⁸⁾. One
14 group of these cloud detection methods is based on taking digital images of the sky and using
15 image processing algorithms to determine the level of cover. Sky cameras that image a wide
16 field of view, coupled with image analysis have provided a means for the determination of
17 the amount of cloud cover with a high temporal resolution^(9,10,11,12). Additionally, the relevant
18 calibration has allowed the extraction of radiance information from all-sky images^(13,14).

19

20 Several automated sky cameras have been developed for the evaluation of cloud
21 characteristics. An automated Whole Sky Imaging system for the determination of both the
22 daytime and night time cloud cover has been reported^(9,10). A sky camera system with 16 bit
23 resolution and image capture up to every 1.6 seconds for use in short-term forecasting of
24 solar power generation has been reported⁽¹⁵⁾. A Sun Centred Sky Camera has been
25 reported^(16,17) as providing approximately 82% correct identification of the cloud fraction and

1 77% correct identification of solar obstruction. This camera was installed on a tracker
2 keeping the sun in the centre of the image throughout the day. Another form of upward
3 looking sky camera is the upward looking fish eye lens camera or Whole Sky Camera
4 instrument⁽¹⁸⁾. This instrument has a shadow band that runs east-west which can be
5 periodically adjusted for the seasonal change in solar altitude. A red/blue pixel ratio was used
6 to count the proportional area of cloud cover, classifying image pixels as either 'cloud' or 'no
7 cloud'. Pixel ratios above a threshold value of 0.6 were used to designate image pixels as
8 cloudy or below this as cloud-free pixels. A digital camera with a 36° field of view⁽¹⁹⁾ was
9 employed at a high latitude site with cloud classification based on the saturation component
10 of the intensity, hue and saturation space, with the results compared to the classification of
11 the amount of cloud cover by two meteorologists.

12

13 An automated sky imager with a 160° field of view has been developed and is commercially
14 available in the form of the Total Sky Imager (model TSI440 and model TSI880, Yankee
15 Environmental Systems, MA, USA)^(18,20). These instruments are based on a digital camera
16 pointing downwards onto a mirrored hemispherical dome. The dome has a black strip to
17 block the solar disc to prevent saturation of the charge-coupled device (CCD) camera as the
18 dome rotates during the day. In the image, each pixel is analysed for the red/blue ratio and a
19 threshold applied to this to establish if they are a cloud or no cloud pixel. An extension to the
20 application of the Total Sky Imager has been research on the use of a pair of separated Total
21 Sky Imager instruments for the determination of the cloud base height^(21,22).

22

23 Cloud modification factors have been employed in research to establish relationships between
24 amounts of cloud and irradiances in different wavebands⁽²³⁾. The cloud modification factor is
25 defined as the ratio between the measured irradiance in a specific waveband and the

1 corresponding clear sky irradiance for the same solar zenith angle and atmospheric
2 conditions.

3

4 Satellite cloud data are available, for example from the Moderate Resolution Imaging
5 Spectroradiometer on a satellite with a sun synchronous orbit. The Moderate Resolution
6 Imaging Spectroradiometer provides information on cloud parameters and cloud fraction⁽²⁴⁾.

7 The temporal resolution of this data is daily, but it provides almost global geographical
8 coverage. However, the spatial resolution is of the order of 250 to 500 m in the visible

9 waveband and local cloud data for a specific site requires the use of ground based

10 instrumentation. The satellites on geostationary orbits such as the Geostationary Operational

11 Environmental Satellite and the Meteosat series provide data with a higher temporal
12 resolution, but with a lower spatial resolution⁽⁸⁾. An example of the application of this data

13 includes the study of three years of Total Sky Imager data from a Southern Hemisphere site at

14 20° S that were analysed to determine local cloud cover⁽²⁵⁾. A comparison of this with

15 satellite derived cloud data showed that the satellite data typically underestimated the cloud
16 cover, but it was possible to establish a relationship that related one to the other.

17

18 For both the Whole Sky Camera and the Total Sky Imager, the ratio of the red/blue pixels is

19 employed to distinguish between no cloud and cloud covered sky as the red pixel values are

20 relatively small for parts of the sky that are cloud free due to the minimal Rayleigh scattering

21 of these wavelengths in a cloud free atmosphere. A problem with this method includes the

22 incorrect classification of cloud pixels in proximity to the solar disc for the cases of a bright

23 sky. This is due to scattering by aerosols and is worse for cases where there are high

24 atmospheric aerosols or at lower solar elevations which increase the optical path^(18,26).

25 Various thresholds have been employed such as 0.6 for the Whole Sky Camera system⁽¹⁸⁾.

1 Alternatively, a variable threshold has been employed in the Total Sky Imager system⁽¹⁸⁾.
2 Another approach employs the calculation of a difference of the blue and red pixels and a
3 difference threshold instead of using a ratio⁽²⁷⁾. The research of Heinle et al.⁽²⁷⁾ reports that
4 employing a threshold on the difference between the two channels outperforms an approach
5 that uses a threshold on the ratio of the two channels.

6
7 The previous research has shown that the use of sky cameras and image processing can
8 provide the fractional cloud cover with the errors being no worse than that for human
9 observations⁽¹⁸⁾. The advantages of a sky camera over human observations are the increased
10 frequency of non-subjective observations and the improved spatial resolution compared to
11 satellite observations. However, the cost of the current sky cameras can at times be a hurdle
12 for the widespread use of these devices. Earlier research has investigated the use of
13 smartphone sensors in ultraviolet A radiation measurement⁽²⁸⁻³²⁾. This paper extends this
14 earlier research and describes a sky camera based on a smartphone to collect a sky image of
15 wide angle, along with the analysis of the sky images to determine the cloud fraction and the
16 fraction of cloud in proximity to the solar disc.

17

18 **Methods**

19 *Image Collection*

20 Images of the sky were collected with the camera on a smartphone (Apple iPhone 5) fitted
21 with a fish eye lens⁽³³⁾ over the phone's second camera. Although, an iPhone was employed
22 in this research, any make and model smartphone that produces a jpg file could have been
23 employed. The second camera was employed as it provides access to the shutter button on the
24 phone's screen. The fish eye lens is readily available to purchase online, is inexpensive and
25 can be readily attached over the smartphone camera lens by firstly fixing an adhesive metal

1 ring around the camera sensor to which the lens attaches magnetically. A light tight coupling
2 of the lens to the phone was ensured by placing a thin rim of pliable 'blu tack' at the junction
3 between the lens and the camera. The smartphone sky camera setup is shown in Figure 1 with
4 the fish eye lens attached to the phone and the black occultation disc manually positioned to
5 obscure the direct solar radiation from the sensor. The wire suspending the occultation disc
6 was inserted into a small block of wood for stability, however anything that held the wire
7 could be employed.

8

9 The modified smartphone was used to collect sky images on a horizontal plane on a relatively
10 unobstructed building roof at the University of Southern Queensland Toowoomba Campus
11 (27.6° S, 690 m a.s.l), Australia. A series of forty images were collected over a solar zenith
12 angle range of 6° to 49° degrees and for cloud cover ranging from 4% to 98%.

13

14 The fish eye lens attachment provides an approximate 160° field of view, however the image
15 is truncated at the top and bottom sides, corresponding to the east and west sides. These
16 truncated parts of the image result in approximately a 100° field of view in the east-west
17 direction. For each image collected, the solar disc was manually obscured with a black
18 occultation disc of 28 mm diameter that was suspended 60 mm above the top of the lens on a
19 goose neck shaped thin wire. The number of pixels obstructed varies with the solar zenith
20 angle and in the images in this research is of the order of 3.5%. The number of pixels
21 obscured by the wire suspending the occultation disc were minimal. The occultation disc was
22 positioned manually over the lens to shadow the sensor from the direct sun and the shutter on
23 the screen then pressed for image collection. A small red circle of approximately 4 mm
24 diameter was adhered to the centre of the underside of the black occultation disc in order to
25 allow identification of the centre of the solar disc in the image analysis described in the next

1 section. The positioning of the occultation disc is not possible for totally overcast skies when
2 it is not possible to see the shadow of the disc. However, it is possible in all other cases when
3 there is at least a partial shadow cast.

4

5 *Image Analysis*

6 The images collected are stored in jpeg format and for the phone employed are recorded in
7 960 rows and 1,280 columns (~ 1.2 MegaPixels). The jpeg images were stored and processed
8 in *Matlab*⁽³⁴⁾ as an indexed image where each pixel is assigned an integer (or image map
9 marker) that points to the relevant red, green and blue (RGB) values of an 8-bit (256 row)
10 colour map or matrix of three columns of floating point numbers between 0 and 1 to specify
11 the red, green and blue components of each colour to be referenced by corresponding image
12 pixels.

13

14 For each image, the approach employed was to analyse the red, green and blue values for
15 each sky image pixel to provide a classification of clouded sky regions, where for blue sky
16 there is a significantly higher proportion of blue than red due to preferential atmospheric
17 scattering of short wavelength radiation compared with cloud cover which tends to scatter
18 light independently of wavelength. Pixels covered by the black occultation disc and those
19 lying outside the circular fish eye lens were digitally masked (red=0, green=0, blue=0) before
20 each image was processed. The fraction of cloud cover was calculated employing the
21 approach of Long et al.⁽¹⁸⁾:

22

$$f = \frac{N_{cloud}}{N_{total}} \quad (1)$$

23 where N_{cloud} is the number of pixels that were classified as being cloud and N_{total} is the
24 number of pixels in the sky image, not counting masked pixels. The approach employed by
25 the Total Sky Imager⁽¹⁸⁾ was utilised here where no correction was made for the slightly

1 different solid angle view of each pixel compared to the neighbouring pixel. Long et al.⁽¹⁸⁾
2 evaluated the error in the fractional cloud cover of not applying this correction as only
3 marginally greater than 1%.

4

5 Each image was analysed using a specifically written algorithm for *Matlab*⁽³⁴⁾ employing
6 *Matlab*'s Image Processing Toolbox. Smartphone images were transferred to a computer with
7 the image analysis software. The approach employed in the image analysis was to analyse the
8 difference between the blue and red values for each pixel as done by Heinle et al.⁽²⁷⁾, rather
9 than the ratio of the two values. The difference between the blue and red values will be
10 referred to in the following as blue-red. The image analysis process is described in the steps
11 below.

- 12 • The maximum difference between the blue and red values was determined and the
13 contrast of the colour map increased with this value employed to scale the blue-red to
14 a maximum value of 1.
- 15 • The parts of the colour map that refer to the red dot on the black occultation disc were
16 established to determine the position of the solar disc. Due to the differences in the
17 brightness of different images, this was determined by considering the ratio of the red
18 to the blue values and identified as the red disc if the ratio was greater than 2 and the
19 red pixel map value was above 0.2.
- 20 • The parts of the colour map for pixels that correspond to no image due to being
21 outside of the field of view or being hidden by the occultation disc were determined.
22 These were determined by considering the difference between the red and green
23 values and if less than 0.04 and the blue pixel map value was low at less than a
24 threshold, this was identified as no image. The threshold employed was 0.3 for the

1 majority of cases, except for images with darker storm clouds when it was lowered to
2 0.23.

- 3 • The remaining parts of the colour map belonging to the image with cloud were
4 determined as cloud based on a threshold applied to the blue-red values. This
5 threshold to determine between cloud and sky was employed as 0.9 for bright skies
6 and 0.65 otherwise. The threshold value for bright skies was the maximum blue-red
7 value being greater than 0.4. The pixels classified as cloud were further classified as
8 thin cloud or thick cloud based on a threshold of 0.45 applied to the blue-red values.
9 This threshold was determined by the analysis of images for a solar zenith angle over
10 6 to 49 degrees and low to high amounts of cloud cover. These thresholds may require
11 minor adjustments with a different smartphone camera or other atmospheric
12 conditions.
- 13 • The colour map values were allocated as blue, black, red, white or green
14 corresponding to sky, no image, solar disc, thick cloud and thin cloud respectively.
- 15 • The image was now analysed to count the number of pixels classified as sky, no
16 image, thick cloud and thin cloud, allowing the percentage of sky, thin cloud, thick
17 cloud and total cloud to be calculated. The parts of the image classified as no image or
18 red as corresponding to the solar disc were not included in the calculation.
- 19 • A circle with a radius of 250 pixels was determined around the position of the solar
20 disc and within this circle the number of pixels classified as sky, thick cloud and thin
21 cloud were determined and counted to calculate the percentage of sky, total cloud,
22 thick cloud and thin cloud. Again, the parts of the image classified as no image or red
23 were not included in the calculation.

24

1 *Validation*

2 The validation of the sky image classification was undertaken with the *MultiSpec* image
3 analysis software⁽³⁵⁾. Each of the jpeg images to be validated was opened in *MultiSpec* and
4 supervised classification of each image undertaken. Supervised classification requires the
5 user selection and identification of representative parts of an image that are known as training
6 sets, followed by software classification of pixels in the image according to the respective
7 training set features that the pixel most closely resembles. Training sets were established for
8 thick cloud, thin cloud, sky, the red dot specifying the solar position and the other image
9 components not belonging to the sky image. The maximum likelihood classifier was
10 employed for classification of each pixel. For the cases where visual inspection of the
11 classified image showed that there were parts of the image which were not correctly
12 classified, a second iteration with the definition of further training sets was employed to
13 improve the classification. The number of pixels classified in each category was provided by
14 *MultiSpec* and these numbers were employed to calculate the percentages of total cloud, thick
15 cloud, thin cloud and sky in each image. These classifications were compared to those
16 resulting from the *Matlab* algorithm.

17

18 For the circle of 250 pixels around the sun, a separate image was generated from the original
19 value using *MatLab* with the pixels more than 250 pixels from the solar disc masked as black
20 for two test images, one with a solar zenith angle of 6° and one with a solar zenith angle of
21 11° . Training sets were established using *Multispec* for the classification of the pixels within
22 these images for comparison to those resulting from the *Matlab* algorithm.

23

1 **Results and Discussion**

2 A sample of an image using this process is shown in Figure 2. The occultation disc masks a
3 number of pixels centred around the sun in the image in a similar manner to the bands on the
4 Whole Sky Camera and Total Sky Imager systems⁽¹⁸⁾. The top and bottom of the image,
5 corresponding to the east west axis were truncated due to the image produced by the fish eye
6 lens being larger than the sensitive area of the smartphone camera sensor. However, the
7 truncated image regions are for the parts of the sky closer to the horizon and provides a field
8 of view of approximately 100 degrees for the east west axis.

9

10 The smartphone sky camera images for low and mid amounts of cloud are shown in Figure 2
11 and Figure 3, with the image on the right in each figure showing the processed image. The
12 processed images show the thick cloud as white, the thin cloud as green and the sky as blue.
13 The parts of the image that are masked due to the occultation disc or that are outside of the
14 fish eye image area are shown in black, with the centre of the sun depicted by the red dot.
15 There are pixels of the images where there is cloud that are saturated for the red value and the
16 blue value. However, the process employed in this paper where the blue-red value is
17 calculated allows the classification of these pixels as thick cloud.

18

19 The percentages of total cloud, thick cloud and thin cloud in the whole image and within a
20 250 pixel radius of the solar disc for the images in Figure 2 and Figure 3, along with an image
21 with high amount of cloud are shown in Table 1. The pixel counting to calculate these
22 percentages does not include the masked pixels hidden by the occultation disc or those
23 outside the image area.

24

1 As has been previously found for the Total Sky Imager, the threshold to distinguish between
2 thick and thin cloud will vary with sky conditions and with the camera⁽¹⁸⁾. Consequently, this
3 threshold can be readily varied within the software as appropriate. The part of the sky not
4 classified due to the masking of the solar disc is of the order of 3.5%. The masking of the
5 solar disc is also employed with the Total Sky Imager and Whole Sky Camera systems to
6 prevent saturation of the charge-coupled device camera and pixel blooming effects in bright
7 sky conditions.

8

9 The validation of the smartphone sky camera was undertaken with *Matlab* image processing
10 compared to image classification using a supervised image classification approach with
11 *MultiSpec* for the cases of total cloud, thick cloud and thin cloud for solar zenith angles from
12 6 to 49 degrees and total cloud from 4% to 98%. For the total cloud, the differences are
13 within 10%. For the thick cloud and thin cloud, the differences are within 15%. These are
14 also the differences for the proximity to the sun clouds in the first two images in Table 1.
15 This second set of differences for the thin cloud are marginally higher due to the subjectivity
16 of distinguishing between thin and thick cloud in the supervised classification and in setting
17 the threshold between thin and thick cloud in the image analysis. This threshold and the
18 threshold for distinguishing between sky and cloud may need small adjustments for different
19 cameras on other smartphones and for different atmospheric conditions. However, this can be
20 readily done using the image analysis algorithm.

21

22 The percentages of total cloud, thick cloud and thin cloud in proximity to the solar disc,
23 within a 250 pixel radius are provided in Figure 4 for the images taken in this research. The
24 advantage of providing the percentage of cloud in proximity to the solar disc is that the
25 clouds in proximity to the solar disc have a significant influence on the solar radiation

1 reaching the surface of the earth, including the potential for enhancement above cloud free
2 conditions⁽²⁾.

3

4 The errors associated with the system developed are comparable to those associated with
5 existing commercial systems and those associated with manual observations. The system
6 described in this paper has the advantage of low cost and the potential for widespread use in
7 research associated with the influence of cloud on renewable energy production. There is also
8 the potential for more widespread use in citizen science investigations^(31,36).

9

10 **Conclusion**

11 A smartphone sky camera and associated image analysis algorithm has been developed and
12 validated for the determination of the percentage of cloud cover. This provides the total cloud
13 cover and the percentage of thick and thin cloud in the image. The system has been validated
14 and tested for a range of cloud types and cloud fractions. Additionally, this system provides
15 the percentage of total cloud and thick and thin cloud in proximity to the solar disc. The size
16 of the error in the percentages of total, thick and thin cloud determined with this system are of
17 a comparable size to the errors in the cloud fraction determined with commercial sky camera
18 systems, where the estimated error of the Total Sky Imager system for total cloud cover is
19 $\pm 10\%$ at least 95% of the time⁽²⁰⁾. They are also comparable to the errors associated with
20 manual determination of cloud fraction by trained observers.

21

22 The system developed using readily available smartphone imaging technologies has the
23 significant advantage of reduced costs compared to commercial sky cameras and potentially
24 increased temporal frequency of measurements, including use for educational purposes,
25 compared to manual observations by trained human observers. Furthermore, the use of a

1 greater number of ground based imaging systems has the potential to provide for increased
2 validation of cloud cover measurements made by satellites. The use of a mobile phone image
3 sensor and the computing processing power that is inherent in modern day phones has
4 allowed the generation of a methodology that is low cost, accessible and an accurate means of
5 producing cloud images and cloud fractions. The immediate benefits of such readily available
6 algorithms include the potential to develop improved local ultraviolet index and weather
7 forecasts. Longer term models developed from digitally collected cloud information further
8 contribute toward better understanding local trends in cloud patterns, an important factor that
9 requires consideration for the generation of solar energy. The increased spatial resolution of
10 ground based systems such as those developed from smartphone technologies significantly
11 increases the ubiquity of surface information available for the scientific analysis of these
12 phenomena.

13
14 **Acknowledgements:** The equipment employed in this research was funded by internal
15 University of Southern Queensland grants.

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3 Table 1. The percentages of total cloud, thick and thin cloud in the whole image and within a

4 250 pixel radius of the sun for the images in Figure 2 and Figure 3 and an image with a high

5 percentage of cloud cover.

Solar Zenith Angle	Whole Image Cloud			Proximity to Sun Cloud		
	Total (%)	Thick (%)	Thin (%)	Total (%)	Thick (%)	Thin (%)
11°	24.8	12.1	12.7	7.1	2.4	4.7
6°	58.1	43.6	14.5	72.4	57.1	15.3
38°	99.4	98.5	0.9	98.8	98	0.8

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1 **Figure Captions**

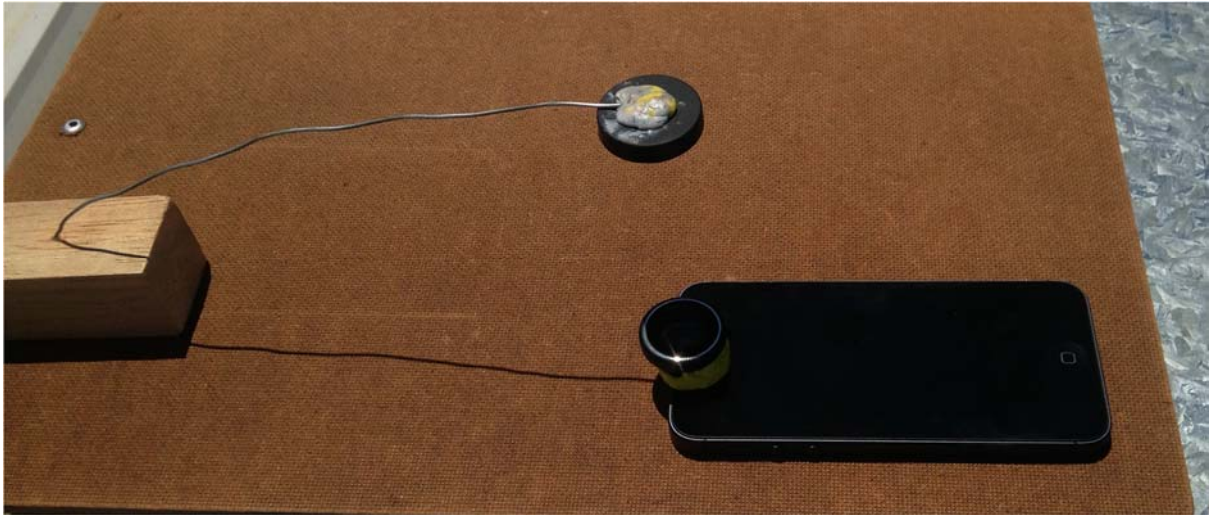
2 Figure 1 – The setup showing the fish eye lens attached to the smartphone and the shadow
3 disc to obscure the sun from the sensor.

4 Figure 2 - The unprocessed (left) and the processed image (right) with a low amount of cloud
5 taken on 7 Jan 2015 1117 (solar zenith angle of 11°). The processed images show the thick
6 cloud as white, the thin cloud as green and the sky as blue. The parts of the image that are
7 masked due to the occultation disc or that are outside of the fish eye image area are shown in
8 black, with the centre of the sun depicted by the red dot.

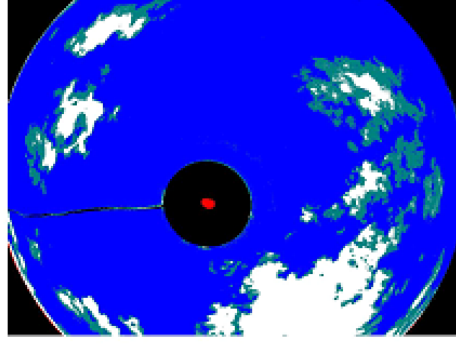
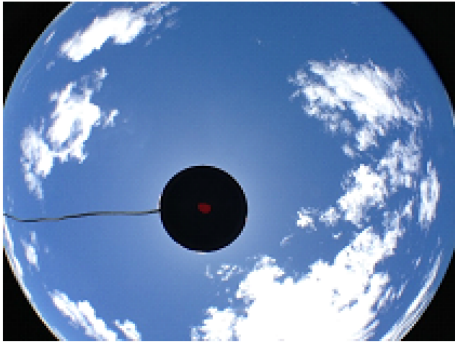
9 Figure 3 – The unprocessed (left) and the processed image (right) with a mid amount of cloud
10 taken at 7 Jan 2015 1146 (solar zenith angle of 6°).

11 Figure 4 - The percentages of total cloud (top graph), thick cloud and thin cloud (bottom
12 graph) in proximity to the solar disc determined from a sample of 40 smartphone images
13 recorded for a solar zenith angle between 6° and 49° .

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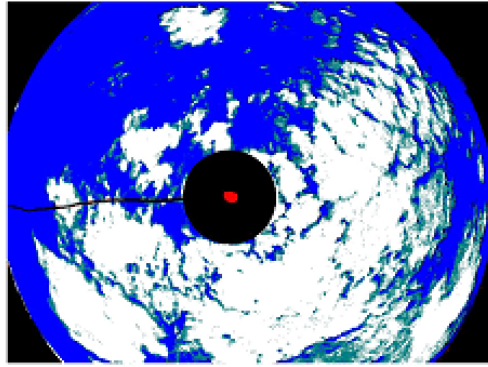
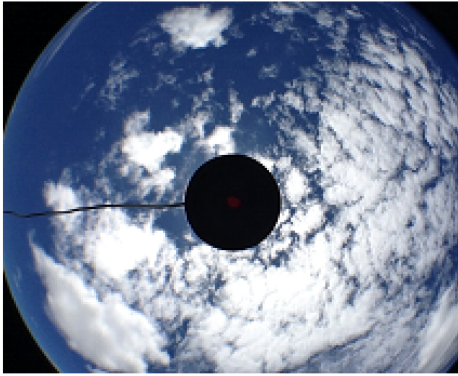


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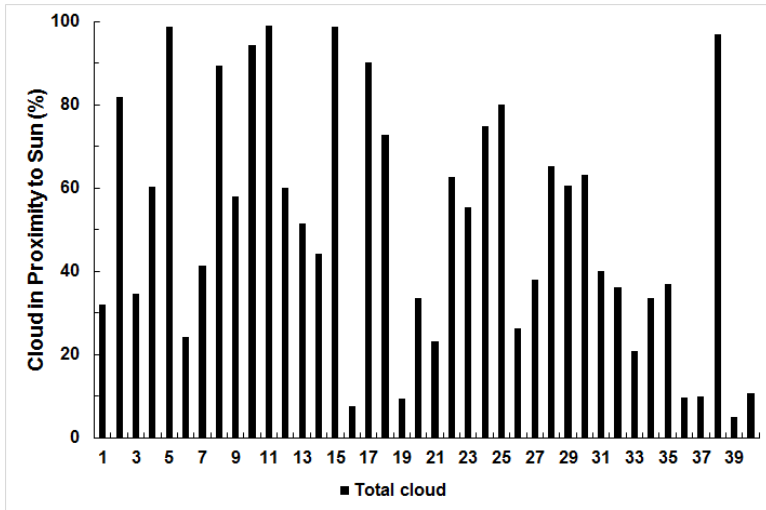
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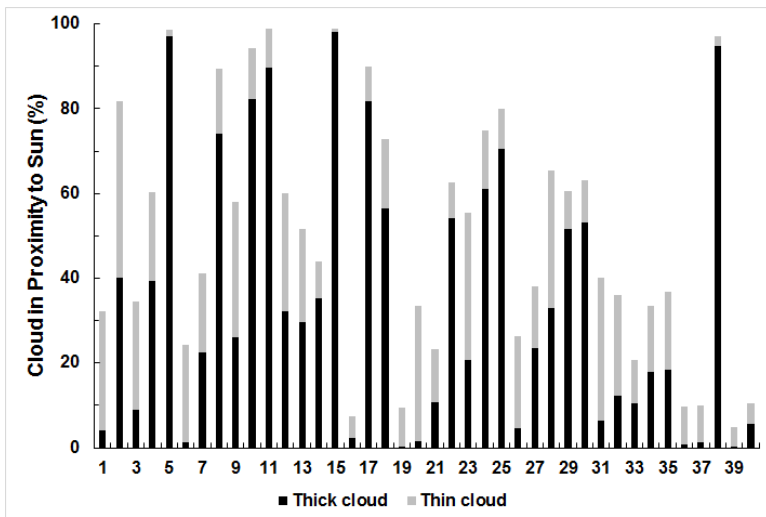


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