

# 1 **Development and application of process-based simulation models for cotton** 2 **production: A review of past, present, and future directions**

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4 **Discipline:** Agronomy & Soils

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6 K. R. Thorp<sup>1\*</sup>, S. Ale<sup>2†</sup>, M. P. Bange<sup>3†</sup>, E. M. Barnes<sup>4†</sup>, G. Hoogenboom<sup>5†</sup>, R. J. Lascano<sup>6†</sup>, A. C.  
7 McCarthy<sup>7†</sup>, S. Nair<sup>8†</sup>, J. O. Paz<sup>9†</sup>, N. Rajan<sup>2†</sup>, K. R. Reddy<sup>10†</sup>, G. W. Wall<sup>1†</sup>, and J. W. White<sup>1†</sup>

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9 <sup>1</sup>USDA-ARS, Arid-Land Agricultural Research Center, Maricopa, AZ, USA10 <sup>2</sup>Texas A&M AgriLife Research, Vernon, TX, USA11 <sup>3</sup>CSIRO Plant Industry, Narrabri, NSW, Australia12 <sup>4</sup>Cotton Incorporated, Cary, NC, USA13 <sup>5</sup>AgWeatherNet, Washington State University, Prosser, WA, USA14 <sup>6</sup>USDA-ARS, Cropping Systems Research Laboratory, Lubbock, TX, USA15 <sup>7</sup>National Centre for Engineering in Agriculture, University of Southern Queensland, Toowoomba, QLD,  
16 Australia17 <sup>8</sup>Department of Agricultural and Applied Economics, Texas Tech University, Lubbock, TX, USA18 <sup>9</sup>Department of Agricultural and Biological Engineering, Mississippi State University, Mississippi State,  
19 MS, USA20 <sup>10</sup>Department of Plant and Soil Sciences, Mississippi State University, Mississippi State, MS, USA

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22 \*Corresponding Author: Kelly R. Thorp ([kelly.thorp@ars.usda.gov](mailto:kelly.thorp@ars.usda.gov))

23 †Authors #2 through #13 contributed equally to this work.

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33 **Abbreviations:** Full list appears at the end of the document.

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38 **Abstract**

39 The development and application of cropping system simulation models for cotton production has  
40 a long and rich history, beginning in the southeastern United States in the 1960's and now expanded to  
41 major cotton production regions globally. This paper briefly reviews the history of cotton simulation  
42 models, examines applications of the models since the turn of the century, and identifies opportunities for  
43 improving models and their use in cotton research and decision support. Cotton models reviewed include  
44 those specific to cotton (GOSSYM, Cotton2K, COTCO2, OZCOT, and CROPGRO-Cotton) and generic  
45 crop models that have been applied to cotton production (EPIC, WOFOST, SUCROS, GRAMI,  
46 CropSyst, and AquaCrop). Model application areas included crop water use and irrigation water  
47 management, nitrogen dynamics and fertilizer management, genetics and crop improvement, climatology,  
48 global climate change, precision agriculture, model integration with sensor data, economics, and  
49 classroom instruction. Generally, the literature demonstrated increased emphasis on cotton model  
50 development in the previous century and on cotton model application in the current century. Although  
51 efforts to develop cotton models have a 40-year history, no comparisons among cotton models were  
52 reported. Such efforts would be advisable as an initial step to evaluate current cotton simulation  
53 strategies. Increasingly, cotton simulation models are being applied by non-traditional crop modelers,  
54 who are not trained agronomists but wish to use the models for broad economic or life cycle analyses.  
55 While this trend demonstrates the growing interest in the models and their potential utility for a variety of  
56 applications, it necessitates the development of models with appropriate complexity and ease-of-use for a  
57 given application, and improved documentation and teaching materials are needed to educate potential  
58 model users. Spatial scaling issues are also increasingly prominent, as models originally developed for  
59 use at the field scale are being implemented for regional simulations over large geographic areas.  
60 Research steadily progresses toward the advanced goal of model integration with variable-rate control  
61 systems, which use real-time crop status and environmental information to spatially and temporally  
62 optimize applications of crop inputs, while also considering potential environmental impacts, resource  
63 limitations, and climate forecasts. Overall, the review demonstrates a languished effort in cotton  
64 simulation model development, but the application of existing models in a variety of research areas  
65 remains strong and continues to grow.

66 **Keywords:** agriculture, computer, cotton, model, simulation

## 67 **1. Introduction**

68 Cotton (*Gossypium hirsutum* and *Gossypium barbadense*) is an important commodity crop  
69 globally, providing sources of fiber, feed, food, and potentially fuel for diverse industries. Cotton fiber is  
70 used in products ranging from textiles to paper, coffee filters, and fishing nets. Cottonseed meal and hulls  
71 are used mainly for ruminant livestock feed. Cottonseed oil is currently refined as a vegetable oil for  
72 human consumption and has potential as a biofuel. From 2008 to 2012, China was the top cotton producer  
73 and averaged 33.1 million bales annually (USDA-FAS, 2013), followed by India (25.1 million bales), the  
74 United States (14.7 million bales), Pakistan (9.3 million bales), Brazil (7.2 million bales), Uzbekistan (4.2  
75 million bales), and Australia (3.2 million bales). One bale contains 218 kg (480 lbs) of cotton fiber. In the  
76 2010-2011 growing season, average global cotton fiber yield was 757 kg ha<sup>-1</sup> and ranged from 1681 kg  
77 ha<sup>-1</sup> in Australia to 200 kg ha<sup>-1</sup> in some resource limited countries. A main issue for cotton in the  
78 developed world is the high cost of production, and improvements in cotton production practices are  
79 needed to keep cotton economically competitive with other commodity crops and fiber sources. For  
80 cotton production to be sustainable, water and energy resource limitations must also be considered. These  
81 goals for improved cotton production can be realized with smarter irrigation and nitrogen (N) fertilizer  
82 management, better understanding of climate impacts on cotton yield, further advancement in cotton  
83 breeding and genetics, greater adoption of precision agriculture technologies, and increased knowledge of  
84 cotton genetics by environment by management (GEM) interactions.

85 Many of the issues facing cotton industries can be better understood and perhaps mitigated by  
86 implementing process-based cropping system simulation models (Boote et al., 1996; Reddy et al., 1997a),  
87 which are important and powerful computer-based tools for guiding cotton management and research.  
88 Developers of these models synthesized the knowledge gained from decades of field, laboratory, and  
89 controlled-environment experiments and produced computer algorithms that simulate fundamental  
90 cropping system processes, including evapotranspiration (ET), soil water redistribution, nutrient  
91 dynamics, energy transfer, and crop growth and development. Past model applications include assessing  
92 irrigation and N management alternatives for cotton (Hearn and Bange, 2002), analyzing potential global  
93 warming impacts on cotton production (Reddy et al., 2002a), and forecasting seed cotton yield (seed plus  
94 fiber) from satellite remote sensing images (Hebbar et al., 2008).

95 In the United States, early development and application of crop growth models was historically  
96 linked with the cotton industry. By the mid-1970's, fundamental equations were developed to describe  
97 cotton growth and development (Baker et al., 1972; McKinion et al., 1975; Wanjura et al., 1973), cotton  
98 plant N balance (Jones et al., 1974), and ET and soil water balance (Ritchie, 1972; Shirazi et al., 1976).  
99 Also, the effects of leaf angle and leaf area vertical distribution on light penetration and cotton canopy  
100 photosynthesis had been examined using computer models (Fukai and Loomis, 1976). Approaches for

101 simulating the development of cotton fruits, including squares, bolls, seed, and fiber, were investigated  
102 later (Jackson et al., 1988; Wanjura and Newton, 1981). Notably, these initial efforts led to the  
103 development of the GOSSYM simulation model (Table 1) and the accompanying CrOp Management  
104 eXpert system (COMAX), which was used across the United States Cotton Belt to guide on-farm cotton  
105 management in the 1980's (McKinion et al., 1989; Whisler et al., 1986).

106 In addition to GOSSYM/COMAX, other simulation models for cotton production systems were  
107 developed more recently (Table 1): Cotton2K (Marani, 2004), COTCO2 (Wall et al., 1994), OZCOT  
108 (Hearn, 1994), and CROPGRO-Cotton (Jones et al., 2003; Pathak et al., 2007; 2012). A variety of generic  
109 cropping system models, with reduced complexity for simulating a variety of crop types, were also  
110 recently evaluated for cotton production (Farahani et al., 2009; Sommer et al., 2008; Zhang et al., 2008).  
111 The models vary greatly in details and approaches for simulating various plant and soil processes and  
112 management practices, and none have yet reached their full potential. Landivar et al. (2010) provided an  
113 excellent review of strategies for physiological simulation of cotton growth and development; however,  
114 "it [was] not the purpose of this chapter to compare cotton models." Landivar et al. (2010) mainly  
115 described model development approaches and did not contrast existing cotton models or review recent  
116 advances in cotton model applications.

117 The objective of this article was to review the state-of-the-art in development and application of  
118 computer simulation models for cotton production systems. Because of its comprehensive scope, cotton  
119 researchers with diverse interests and levels of expertise should find useful information herein. Given the  
120 trend for new cotton modeling efforts beyond traditional analyses of agronomic field experiments, the  
121 review also provides a resource for non-traditional and beginning modelers to learn about past and present  
122 cotton modeling efforts. A brief history is presented of cotton model development and applications in the  
123 last century, from 1960 to 2000. Descriptions and qualitative comparisons of existing cotton models are  
124 emphasized in this section. Next, the review describes cotton model development and applications in the  
125 current century thus far. Since year 2000, the literature has demonstrated a marked increase in articles that  
126 describe applications of the cotton models previously developed, and fewer articles focus on development  
127 of new models. Finally, considering the reviewed literature holistically, a perspective is provided on  
128 anticipated future challenges and opportunities for the application of process-based simulation models to  
129 cotton production.

130

## 131 **2. Past Directions: 1960-2000**

132

### 133 **2.1. Overview of simulation approaches**

134 The cotton models discussed herein are classified as mechanistic, dynamic, and deterministic.  
135 The models are mechanistic as they describe processes with some level of understanding (e.g., plant  
136 growth based on calculations of intercepted radiation). They are dynamic, because the time variable is  
137 explicit. Thus, the models use partial differential equations to calculate how quantities vary with time  
138 (e.g., transpiration and plant growth). The models are deterministic rather than stochastic, because the  
139 calculations are made without any associated probability distribution. Although most cotton simulation  
140 models share these characteristics, different model design strategies have been explored. For example, the  
141 cotton model of Plant et al. (1998) used qualitative categorical variables (e.g., HIGH, MODERATE, or  
142 LOW) rather than quantitative variables to describe plant and soil states. The coarseness of the Plant et al.  
143 (1998) model improved simulation robustness at the expense of precision, but the model was arguably  
144 less mechanistic and dynamic than traditional cotton models. Most cotton simulation models have  
145 simulated soil and plant processes explicitly and quantitatively in a mechanistic, dynamic, and  
146 deterministic fashion.

147 Process-based crop models share a common goal of estimating crop yield by simulating the  
148 contribution of soil water, nutrient, and plant growth and developmental processes to the formation of  
149 harvestable plant products. However, the approaches used to simulate these processes vary widely among  
150 existing crop models (Tables 2 and 3; Landivar et al., 2010). To simulate plant development, many crop  
151 models use a growing degree-day concept, where measured air temperature is assessed in relation to  
152 known functions of crop development rate with air temperature. Simulation details, such as the number of  
153 development stages considered, the treatment of leaf appearance, and the development of yield  
154 components, vary widely among models (Table 2). Carbon (C) assimilation and biomass accumulation  
155 are commonly simulated as a function of measured solar irradiance, using simulated leaf area index (LAI)  
156 to calculate the fraction of photosynthetically active radiation intercepted by the crop canopy. Simulations  
157 of water, nutrient, and temperature stresses and atmospheric carbon dioxide (CO<sub>2</sub>) concentrations ([CO<sub>2</sub>])  
158 may further adjust energy to biomass conversions. Approaches for representing plant stress factors vary  
159 widely among models.

160 Perhaps the most important physiological difference among models is whether they use a  
161 radiation use efficiency approach to account for plant growth and maintenance respiration (Monteith,  
162 1977) or whether they explicitly simulate photosynthesis and respiration as independent processes (Boote  
163 and Pickering, 1994; Farquhar et al., 1980; McCree, 1974; Mutsaers, 1982). Models also differ in  
164 simulation details for leaf area expansion, stem elongation, organ growth, and yield components. To  
165 simulate the soil water balance, several crop models implement the 'tipping bucket' method of Ritchie  
166 (1972; 1998), while others use numerical methods to solve the soil water balance. Simulations of ET are  
167 conducted using a variety of methods with varying complexity and data requirements: Priestley and

168 Taylor (1972); FAO-56 Penman-Monteith (Allen et al., 1998); or surface energy balance. Approaches to  
169 simulate N dynamics are also variable, while some models do not simulate any nutrient effect on plant  
170 growth (Table 3). Models also vary in their consideration of management impacts on cotton production,  
171 including irrigation, fertilization, sowing date, tillage, and defoliation events (Table 4). The time steps of  
172 calculations also vary among models, but hourly or daily time steps are common (Table 1). Given the  
173 diverse approaches for simulating cotton production systems, it is not the objective of this review to claim  
174 one approach as superior to the other, but rather it is to summarize and contrast the approaches currently  
175 implemented in existing cotton models. The appropriateness of a given model will depend mainly on the  
176 specific application.

177

## 178 **2.2. Established crop simulation models for cotton**

179

### 180 *2.2.1. GOSSYM*

181 The development, characteristics, and applications of the cotton model, GOSSYM, were  
182 previously described extensively (Baker et al., 1983; Hodges et al., 1998; Landivar et al., 2010; McKinion  
183 et al., 1989; Reddy et al., 1997a; 2002a). Briefly, GOSSYM uses mass balance principles to simulate  
184 water, C, and N processes in the plant and soil root zone. It requires environmental variables, such as  
185 solar irradiance, air temperature, precipitation, and wind, as well as information on soil physical  
186 properties and cultural practices, including variety-dependent parameters. The model estimates potential  
187 growth and developmental rates as a function of air temperature under optimum water and nutrient  
188 conditions, and it corrects the potential rates by the intensity of environmental stresses using  
189 environmental productivity indices (Baker et al., 1983; Reddy et al., 2008). Each day, the model simulates  
190 the birth and abscission of organs, their size and growth stage, and the intensity of stress factors. The user  
191 can assume certain future weather conditions (days, weeks, and years) to determine fiber yield estimates  
192 and impact of altered cultural practices on cotton maturity and fiber yield.

193 The GOSSYM model consists of several subroutines for various aspects of crop production  
194 (Hodges et al., 1998) and biology (Reddy et al., 1997a). A unique aspect is its treatment of the soil  
195 (Lambert et al., 1976) and the processes therein, as they influence the plant's physiological processes. In  
196 addition to plant and soil processes, an expert system known as COMAX was explicitly developed for the  
197 GOSSYM model (Hodges et al., 1998; Lemmon, 1986; McKinion et al., 1989).

198 The concept and development of GOSSYM started in the late 1960's with a meeting at the  
199 University of Arizona, sponsored by the Department of Agronomy and Agricultural Engineering (Baker  
200 et al., 1983; Hodges et al., 1998; Landivar et al., 2010; Reddy et al., 2002b). Significant contributions  
201 were made from several institutions (Baker et al., 1972; 1976; 1983; Hesketh and Baker, 1967; Hesketh et

202 al., 1971; 1972; Lambert et al., 1976; McKinion et al., 1975; Wanjura et al., 1973) in the years after that  
203 first meeting.

204 With the construction of Soil-Plant-Atmosphere-Research facilities at several locations in the  
205 southeastern United States (Phene et al., 1978; Reddy et al., 2001), cotton physiological, growth, and  
206 developmental processes as affected by abiotic stress factors were quantified. Based on data from these  
207 facilities, algorithms were developed to improve the model's functionality and accuracy of simulation  
208 results (Marani et al., 1985; Reddy et al., 1995; 2000; 1993; 1997a, 1997b; 2001; 2003). In 1984,  
209 GOSSYM was first implemented on commercial cotton farms as a decision support system (DSS). Based  
210 on user requests, the COMAX interface was developed to facilitate its delivery to over 70 cotton farms  
211 across the United States Midsouth. By 1990, GOSSYM-COMAX had been implemented on over 300  
212 commercial farms (Ladewig and Taylor-Powell, 1989; Ladewig and Thomas, 1992). Extensive model  
213 validation efforts were conducted across the United States Cotton Belt (Boone et al., 1993; Fye et al.,  
214 1984; Reddy, 1994; Reddy and Baker, 1988; 1990; Reddy and Boone, 2002; Reddy et al., 1985; Reddy et  
215 al., 1995; Staggenborg et al., 1996) and overseas (Gertsis and Symeonakis, 1998; Gertsis and Whisler,  
216 1998). Several modifications in the simulation procedures and model validation efforts using field data  
217 sets (Ali et al., 2004; Khorsandi and Whisler, 1996; Khorsandi et al., 1997) made the model applicable on  
218 many fronts, including farm management, economics, climate change, and policy issues (Doherty et al.,  
219 2003; Landivar et al., 1983a; 1983b; Liang et al., 2012a, 2012b; McKinion et al., 1989; 2001; Reddy et  
220 al., 2002b; Wanjura and McMichael, 1989; Watkins et al., 1998; Xu et al., 2005).

#### 221 222 2.2.2. *Cotton2K*

223 The Cotton2K model was developed by Dr. Avishalom Marani at the School of Agriculture of the  
224 Hebrew University of Jerusalem. The source code of Cotton2K is written in C++ and is available for free  
225 download (Marani, 2004). Cotton2K uses the process-based equations of GOSSYM (Baker et al., 1972;  
226 1983), and its history can be traced and linked to other cotton modeling efforts, including SIMCOTI  
227 (Baker et al., 1972), SIMCOTII (Jones et al., 1974), and CALGOS (Marani et al., 1992a; 1992b; 1992c).  
228 The main purpose of Cotton2K was to provide a more useful model for cotton production in arid, irrigated  
229 environments, such as the western United States and Israel.

230 A general description of the history, main characteristics, scientific principles, and input  
231 requirements for Cotton2K are given by Marani (2004). The fundamental difference between Cotton2K  
232 and GOSSYM is the weather data requirement. While GOSSYM uses daily weather data, Cotton2K uses  
233 either measured hourly values of air temperature and humidity, wind speed, and shortwave irradiance or  
234 calculates hourly values from daily data using the method of Ephrath et al. (1996). The hourly weather  
235 values are used to calculate corresponding hourly water and energy balances; this allows the model to

236 more closely represent arid conditions and improves the model's ability to more accurately calculate the  
237 water balance under irrigation (Marani, 2004). The main effect of these changes was to improve the  
238 accuracy in the calculation of ET, which also affected related variables. Further, the deviations created by  
239 using daily weather data time steps, rather than shorter time steps, was particularly important when hourly  
240 data followed non-linear diurnal patterns or where interactions of weather parameters were important in  
241 calculation of energy or water balances (i.e., non-linear diurnal wind speed patterns and/or interactions of  
242 wind speed and solar irradiance driving ET) (Ephrath et al., 1996). Other modifications in Cotton2K  
243 included a routine for sub-surface drip irrigation, updates to N mineralization and nitrification processes,  
244 calculation of N uptake using a Michaelis-Menten procedure, updates to plant growth and phenology  
245 functions, and energy balance equations to provide the temperatures of the soil surface and crop canopy  
246 (Marani, 2004). In summary, the addition of hourly weather input data allowed the calculation and the  
247 integration of differential equations on an hourly time-step for the processes of plant transpiration, soil  
248 water evaporation, soil water redistribution, heat and N fluxes, and the exchanges of energy and water at  
249 the soil-plant-atmosphere interfaces. These modifications greatly improved the utility and the  
250 applicability of Cotton2K for irrigation in arid environments.

251 The main processes calculated in Cotton2K are related to the exchanges of energy and water  
252 between the soil, plant, and the environment. Processes are based on the principles of mass and energy  
253 conservation, whereby inputs and outputs to the system are balanced and accounted for as a function of  
254 time. The Cotton2K model was designed for specific management of agronomic inputs, including  
255 irrigation, N fertilizer, defoliation, and application of a plant growth regulator. Plant growth and  
256 development are based on the 'stress' theory (Grime, 1977; Craine, 2005), which includes stresses related  
257 to air temperature, water, C, and N. In this context, stress is a condition that restricts potential production  
258 due to suboptimal air temperatures and shortages of water and nutrients (Grime, 1977). Plant growth rates  
259 are related to ambient temperature using the concept of heat units (Wang, 1960; Peng et al., 1989).  
260 Potential growth rates of all plant organs, including roots, stems, leaf blades and petioles, and fruiting  
261 sites (squares, bolls, and seed cotton), are related to source-sink relations of C and water via stress factors.  
262 The stress factors between source and sink vary numerically from 1 (no stress) to 0 (severe stress). The C  
263 stress is related to net C assimilation (i.e., gross photosynthesis minus photorespiration and growth and  
264 maintenance respiration). The water stress is related to transpiration and transport of water as a function  
265 of leaf water potential. The N stress is based on supply and demand of N. In the soil, Cotton2K calculates  
266 rates of available N from urea hydrolysis, mineralization of organic N, nitrification of ammonium,  
267 denitrification of nitrate, and movement of soluble N. The model also calculates the N in plant organs  
268 (roots, stems, leaves, and fruiting sites) and, if supply does not meet requirements, an N stress factor is



269 calculated. All supply and demand functions related to temperature, water, C, and N are dynamic and thus  
270 their values change with time.

271 The boundary conditions that define the one dimensional soil-plant-atmosphere system in  
272 Cotton2K are 2 m above and 2 m below the soil surface. The height (2 m) above the soil surface  
273 represents the screen-height where input weather data are measured, and the soil depth of 2 m represents  
274 the lower boundary of the soil profile. Required input weather data include shortwave irradiance, air  
275 temperature and humidity, wind speed, and rainfall. Cotton2K uses hourly weather input values; however,  
276 if not available, daily values of radiation and wind run, and maximum and minimum values of air  
277 temperature and humidity are used to calculate hourly values (Ephrath et al., 1996). For each irrigation  
278 event, the application method (sprinkler, furrow, and drip), timing (start and end), and applied depth are  
279 specified. The user defines the geometry of the soil profile by specifying the number and the thickness of  
280 each soil layer. At the onset of simulation, (i.e., time = 0), the user specifies for each soil layer a value of  
281 temperature, water, organic matter, N, and soil salinity. In addition, the soil layers are grouped into  
282 horizons, each having unique soil hydraulic properties. These properties define the relationship of soil  
283 water content to water potential and to hydraulic conductivity and are used in Richards' equation to  
284 calculate water movement in the soil profile. The user specifies the water table depth and the date and  
285 depth of each cultivation event. Other fixed parameter input values are location (latitude, longitude, and  
286 elevation), start and end of simulation period, date of planting and/or emergence, and field data (planting  
287 density and row spacing, including skip rows). Parameters describing individual cultivars affect  
288 phenology, growth, and development and ultimately impact the calculation of cotton fiber yield as  
289 suggested by Marani (2004) and shown by Booker (2013). The current version of Cotton2K has been  
290 tested for six cotton cultivars: Acala SJ-2, GC-510, Maxxa, Deltapine 61, Deltapine 77, and Sivon.

291 The Cotton2K model can be used in a management mode for irrigation, N, defoliation, and  
292 application of a growth regulator. Under these options, Cotton2K is executed using predicted weather  
293 scenarios, and the user selects several options that include, for example, date of starting and ending  
294 irrigation, date of N fertilizer application, date of defoliation, and application of a plant growth regulator.  
295 Cotton2K outputs are recorded in text files, charts, and soil maps. The text files are a summary of all input  
296 and output values, detailed daily output, and plant maps. The charts plot the dynamics of key output  
297 variables with time, and the soil maps are two-dimensional plots of horizontal and vertical simulated  
298 values of soil water and nitrogen contents, temperature, and other variables, each as a function of time.

299 The Cotton2K model has been directly and indirectly used and tested by many researchers.  
300 Directly, Cotton2K has been used by Yang et al. (2008) where the effect of pruning and topping was  
301 tested under field conditions and by Yang et al. (2010) and Nair et al. (2013) to optimize irrigation  
302 allocation under limited water conditions. Recently, Booker (2013) incorporated Cotton2K into a

303 landscape-scale model and applied it to cotton production across the major soil types of the Texas High  
304 Plains. Given the similarities of Cotton2K to GOSSYM and CALGOS models, indirectly some of the  
305 algorithms in Cotton2K have been evaluated for a wide range of soil and environmental conditions by  
306 Staggenborg et al. (1996), Clouse (2006), Baumhardt et al. (2009), and others.

307

### 308 2.2.3. *COTCO2*

309 The COTCO2 model simulates cotton physiology, growth, development, water use, biomass, and  
310 boll yield (Wall et al., 1994). Written in Fortran in a modular design, it is capable of simulating cotton  
311 crop responses to elevated [CO<sub>2</sub>] and potential concomitant changing climate variables, particularly  
312 temperature. Explicit physiological mechanisms are used to minimize reliance on empirical relationships,  
313 which are data dependent. The morphogenetic template concept in the KUTUN model (Mutsaers, 1984)  
314 and the physiological detail in an alfalfa model, ALFALFA (Denison and Loomis, 1989), served as  
315 prototypes for the COTCO2 model.

316 Leaf physiology is central to simulating plant response to the environment in COTCO2 and  
317 consists of the following components, which are simulated hourly: 1) leaf energy balance to account for  
318 stomatal effects on leaf temperature, transpiration, and assimilation; 2) stomatal conductance coupled  
319 with leaf energy balance; 3) biochemical chloroplast CO<sub>2</sub> assimilation; 4) apparent dark respiration for  
320 each organ type based on basal coefficients for the quantitative biochemistry of biosynthesis of existing  
321 phytomass (maintenance respiration) and that linked to growth (growth respiration); and 5) carbohydrate  
322 pool dynamics.

323 Growth is simulated for individual meristem, stem segment, leaf blade, taproot, lateral root, and  
324 fruit (squares and bolls) organs. Potential growth is calculated, followed by the carbohydrate and N  
325 required to meet potential growth. Actual growth is based on potential growth, substrate availability, and  
326 water and temperature stress. Physiological age, which is the time-integrated value of developmental rate,  
327 places an upper limit on growth rate, and physiological age determines organ phenological state. The  
328 phenology of the simulated cotton plant does not develop based on calendar days. Rather, plant  
329 development and growth rates are based on a time-temperature running sum. The response of  
330 physiological time to temperature is based on an Arrhenius equation with both low and high temperature  
331 inhibition. At the reference temperature (e.g., 25°C), physiological time is equal to calendar days. Within  
332 the low and high temperature limits, physiological time proceeds faster and slower than calendar time at  
333 temperatures higher and lower than the reference temperature, respectively.

334 The COTCO2 model can simulate cotton production over a broad environmental range, while  
335 providing the means to predict the impact of change in [CO<sub>2</sub>] and any associated potential climate change

336 on global cotton production. Ultimately, it could aid in the development of strategies to mitigate the  
337 adverse effects of global climate change, while optimizing those that are beneficial.

338

#### 339 2.2.4. OZCOT

340 The structure of the OZCOT model has been described in detail by Hearn (1994) and Hearn and  
341 Da Roza (1985). It was developed using a 'top down' approach, meaning processes were simulated with  
342 only sufficient detail to provide reliable estimation of the impact of management and environment on  
343 cotton growth, development, and fiber yield. Simulation approaches were broadly mechanistic at the crop  
344 and plant level. The OZCOT model, which advances on a daily time step, is principally driven by air  
345 temperature and intercepted radiation, and it was built by linking a model of fruiting dynamics with a  
346 water balance model and simple N uptake model. In addition to validation using research experiments  
347 (Hearn, 1994), OZCOT has also been validated in commercial fields for both irrigated (Richards et al.,  
348 2008) and rainfed cotton systems (Bange et al., 2005).

349 The central component of OZCOT is the fruit production and survival subroutine (Hearn and Da  
350 Roza, 1985), which was used in the SIRATAC pest management DSS (Hearn and Bange, 2002). The  
351 rates of fruit production, fruit shedding, and growth of organs are governed by C supply. The OZCOT  
352 model tracks the total number of fruiting sites, squares, bolls, and open bolls by daily cohorts. A new  
353 cohort of squares is produced and subsequently developed through anthesis to maturity. Although  
354 OZCOT does not explicitly simulate the branching structure of the plant, aspects of morphology are  
355 implicit in the function that generates the number of squares (Hanan and Hearn, 2003).

356 Carbon supply for a given day is estimated from intercepted light and a canopy-level  
357 photosynthetic rate (Baker et al., 1983), with respiration calculated as an empirical function of fruiting  
358 site count and mean air temperature. Light interception is estimated using Beer's law, and leaf area is  
359 simulated using an empirical correlation between fruiting site production and leaf area (Jackson et al.,  
360 1988). The rates of leaf expansion, photosynthesis, and fruiting are modulated by the supply of water and  
361 N and by waterlogging.

362 The water balance in OZCOT is calculated using the Ritchie (1972) approach with a calibrated  
363 soil water extraction routine based on increasing supply with increasing depth of extraction over time.  
364 The OZCOT model does not maintain a dynamic soil N balance analogous to water, but uses a N uptake  
365 model. At the start of the season, potential N uptake is estimated based on soil N and fertilizer inputs  
366 (Constable and Rochester, 1988) and is reviewed daily to calculate a stress index. The stress index scales  
367 the rate of a process and is based on the ratio either between supply and demand for a resource or between  
368 the current and maximum value of a state variable. In addition to N, there are also stress indices for  
369 shortages of water and C.

370 The OZCOT model can be principally used in two modes: a strategic mode that generates  
371 simulations over multiple seasons using pre-determined management rules and historical climate data or a  
372 tactical mode that simulates specific management practices for a particular season. In both modes, daily  
373 values of rainfall (mm), maximum and minimum air temperature (degrees C), and solar irradiation (MJ m<sup>-2</sup>)  
374 are required. Relative humidity at 0900 h and wind run (km) can also be included for improved  
375 precision of daily ET estimates. Soil input information includes the number of soil layers and their depths,  
376 plant available water holding capacity, initial plant available water (in volumetric units), and average soil  
377 bulk density across layers.

378 Agronomic inputs include parameters for different cotton cultivars, including leaf type (okra or  
379 palmate), squaring rate, maximum boll size and development rate, fiber percentage, background fruit  
380 retention (transgenic or non-transgenic), row spacing, plants per m of row, initial available soil N,  
381 irrigation rates and application dates, N rates and application dates, and planting dates. If a specific  
382 planting date or days when irrigation occurs is not provided, management rules are used to estimate these  
383 times in the strategic mode.

384 The OZCOT model can simulate production in rainfed or limited irrigation cropping systems  
385 using 'skip row' configurations (Bange et al., 2005). These are row configurations that have entire rows  
386 missing from the planting configuration to increase the amount of soil water available to the crop at  
387 critical growth stages. The OZCOT model uses a modified soil water content stress index that accounts  
388 for the non-uniform distribution of the availability of soil water from the planted and non-planted rows  
389 (Milroy et al., 2004).

390 Key outputs generated by the OZCOT model include seasonal estimates of fiber yield, yield  
391 components, dates of phenological stages, maximum LAI, N use, and water balance metrics such as  
392 effective rainfall and crop water use efficiency (WUE). A separate output file is also generated that  
393 provides daily within-season calculations of crop progress, stress indices, and resource use.

394 The OZCOT model is the only supported cotton model in Australia that is used in decision  
395 support and research. Currently, the OZCOT model is the core component of the HydroLOGIC tactical  
396 and strategic cotton irrigation DSS (Richards et al., 2008). To refine simulations of in-season crop water  
397 use in HydroLOGIC, OZCOT was modified to accept additional measurements of soil water status and  
398 crop growth, such as LAI and fruit number. Other DSSs that have used OZCOT include CottBASE  
399 (<http://cottassist.cottoncrc.org.au>) for irrigated cotton systems and Whopper Cropper (Nelson et al. 2002)  
400 for rainfed cotton systems. Both are databases of pre-run OZCOT simulations based on historical climate  
401 data for various combinations of management options, soils, and regions.

402 The crop growth component of OZCOT is used as the cotton module of the Agricultural  
403 Production Systems sIMulator (APSIM) modeling framework (Keating et al., 2003), which is used to

404 address farming systems issues (Carberry et al., 2009). Four main components form the basis of APSIM:  
405 a set of biophysical modules that simulate farming system processes; management modules allowing  
406 users to specify management rules; modules to facilitate handling of input and output data; and a  
407 simulation engine that drives the simulation process and passes messages between independent modules.  
408 Biophysical modules are available for a diverse range of crops, pastures, and trees within APSIM, and  
409 modules for soil water balances, N and P transformations, soil pH, erosion and a full range of  
410 management controls are also included.

411 Until recently, OZCOT was written in Fortran and compiled as a dynamic link library. Currently  
412 called 'mvOZCOT', the OZCOT model has been rewritten in C# and was reengineered using the common  
413 modeling protocol of the Commonwealth Scientific and Industrial Research Organisation (CSIRO) to  
414 allow more seamless integration with APSIM and other modeling frameworks (Moore et al., 2007). This  
415 has enabled OZCOT users to implement the model with other soil water and N modules. While OZCOT  
416 continues to be used as a research and management tool, current efforts to enhance its functionality  
417 include the addition of new algorithms to simulate fiber quality and climate change impacts.

418

#### 419 2.2.5. CSM-CROPGRO-Cotton

420 The Cropping System Model (CSM)-CROPGRO-Cotton model (Jones et al., 2003; Pathak et al.,  
421 2007) is implemented in the Decision Support System for Agrotechnology Transfer (DSSAT;  
422 Hoogenboom et al., 2012). The DSSAT system has a long history originating with the International  
423 Benchmark Sites Network for Agrotechnology Transfer (IBSNAT) Project that was funded by the United  
424 States Agency for International Development from 1982 through 1993 (Uehara and Tsuji, 1989). The  
425 initial crop simulation models of DSSAT included the CERES-Wheat, CERES-Maize, SOYGRO, and  
426 PNUTGRO models. The SOYGRO, PNUTGRO, and BEANGRO models were later combined into a  
427 generic grain legume model, CROPGRO (Hoogenboom et al., 1992). To address cropping systems and  
428 especially crop rotations, the CSM was developed (Jones et al., 2003). The CSM model uses a single set  
429 of computer code for dynamic simulation of the soil water, inorganic soil N, and organic C and N  
430 balances (Gijssman et al., 2002; Godwin and Singh, 1998; Ritchie, 1998, Ritchie et al., 2009). Recently a  
431 soil phosphorus module was also added to CSM (Dzotsi et al., 2010). For the simulation of growth,  
432 development and ultimately yield for individual crops, different crop modules are being used, such as the  
433 CERES-Maize module for maize (*Zea mays*), CERES-Rice for rice (*Oryza sativa*; Ritchie et al., 1998) or  
434 the CROPGRO module for grain legumes (Boote et al., 1998). This allows for the continuous simulation  
435 of crop rotations, such as a soybean (*Glycine max*) and wheat (*Triticum aestivum*) rotation or a wheat and  
436 rice rotation (Bowen et al., 1998; Tojo Soler et al., 2011).

437           The CROPGRO module uses a daily time step for integration, starting at planting and ending at  
438 crop maturity or on the final harvest date. The differences among the individual crops or species are  
439 handled through external genotype files, as opposed to values or specific equations that are embedded in  
440 the code. There are three genotype files: one each for cultivar, ecotype, and species coefficients  
441 (Hoogenboom and White, 2003). The latter includes a range of temperature functions for development,  
442 photosynthesis, partitioning, and various other physiological functions. It also includes detailed  
443 composition parameters with respect to proteins, lipids, fiber, carbohydrates, and other properties of  
444 different plant components, including leaves, stems, roots, and reproductive structures. This approach  
445 assumes that the underlying plant physiological processes of each crop are similar, but the interaction of  
446 genetics with environment and management is different.

447           The original DSSAT systems did not include a model for fiber crops. Because of the importance  
448 of cotton in the southeastern United States, especially as part of common rotations with peanut (*Arachis*  
449 *hypogaea*), there was a need for the development of a comprehensive cotton model. Rather than  
450 developing a new set of code, the decision was made to use the CROPGRO module as a template. The  
451 emphasis was to obtain detailed physiological information to define the functions and parameters for the  
452 species file and experimental data for initial model calibration and evaluation. The CSM-CROPGRO-  
453 Cotton model was developed through a collaborative effort among scientists at the University of Florida  
454 and the University of Georgia (Pathak et al., 2007). Because of the existing infrastructure of DSSAT, the  
455 cotton model could easily be added to DSSAT without creating different utilities for data input and  
456 application programs.

457           Similar to the other DSSAT crop simulation models, the CSM-CROPGRO-Cotton model requires  
458 environmental data, crop management, and genetic information as inputs (Hunt et al., 2001). Required  
459 environmental measurements include daily weather data for maximum and minimum air temperatures,  
460 solar irradiance, precipitation, and soil profile data. Required soil data include soil surface characteristics,  
461 such as slope, color, albedo, soil drainage, and descriptions of a one-dimensional profile, including lower  
462 limit of plant extractable water (LL), drained upper limit (DUL), saturated soil water content (SAT), bulk  
463 density, organic C, and total soil N. Recently, a new feature was added to the CSM models that allows  
464 input of [CO<sub>2</sub>] from an external file, which is based on the CO<sub>2</sub> values measured at the long-term CO<sub>2</sub>  
465 monitoring site on Mauna Loa in Hawaii. Crop management practices include planting date; plant density  
466 and row spacing; planting depth; dates and amounts of irrigation application; dates, amounts and type of  
467 fertilizer application; and dates, types, and depths of tillage. Environmental modifications, including  
468 climate change modifications, can be entered in the environmental modification section of the crop  
469 management file.

470 As stated previously, the genetic information is provided in three data files. The species file is  
471 associated with a specific crop and is part of the core model development and calibration. Therefore, end  
472 users should not modify parameters in the species file. The cultivar parameter file specifies 18 cultivar-  
473 specific parameters for each cultivar. These include coefficients that describe the time from emergence to  
474 flowering, time from flowering to first boll and first seed, time from first seed to physiological maturity,  
475 maximum single leaf photosynthetic rate, single leaf size, specific leaf area, individual seed size, fraction  
476 of seed cotton weight over total green boll weight, and oil and protein composition of the seeds. The  
477 cultivar file that is distributed with DSSAT includes a few cultivars for which the cultivar parameters  
478 have already been defined, including those for the example experimental files that are distributed with  
479 DSSAT. In general, however, users must calibrate their cultivar parameters using a set of measured data  
480 from either experiments or variety trials (Pathak et al., 2012). The ecotype file includes 17 parameters that  
481 define the unique characteristics of a group of cultivars, such as a short season versus a long season  
482 cultivar, and they normally will not change among a group of similar cultivars.

483 In CSM-CROPGRO-Cotton, the overall integration of differential equations occurs on a daily  
484 time step. The CSM is written in Fortran (Thorp et al., 2012), and the software code includes different  
485 sections for model initialization, calculation of the rate variables, integration of the equations, and update  
486 of the state variables. Both daily and seasonal output routines are available (Jones et al., 2003). The model  
487 is initiated at the start of simulation, which can occur at or prior to planting. At this point, the initial or  
488 boundary conditions are set, especially with respect to initial soil water content, inorganic soil N, soil  
489 organic C, and residue remaining from the previous crop. If the model is started prior to planting, only the  
490 soil processes are simulated. When planting occurs, the crop growth module is initiated and vegetative  
491 development is simulated. Internally, both the vegetative and reproductive development processes are  
492 calculated on an hourly basis while integration occurs at a daily level. Hourly ambient temperature is  
493 calculated internally based on the maximum and minimum daily air temperature. In parallel to crop  
494 development, photosynthesis is simulated on an hourly basis based on light interception of a hedgerow  
495 canopy, and integration occurs on a daily basis (Boote and Pickering, 1994). The model accounts for  
496 maintenance respiration based on current total biomass, for growth respiration based on partitioning to the  
497 different plant organs, including roots, stems, leaves, bolls, and seed cotton, and for the composition of  
498 each organ.

499 During vegetative growth, partitioning to roots, leaves, and stems is a function of the  
500 development stage and is source-driven. However, once reproductive development has started,  
501 partitioning is sink-driven based on the requirements for carbohydrates for the reproductive structures,  
502 including the bolls. Any remaining carbohydrates that are not used for growth of the reproductive  
503 structures can be used for further growth of the vegetative structures. Once flowering has started, the

504 model accounts for the number of flowers that are formed on a given day, called clusters. This system is  
505 maintained through the entire reproductive process, allowing for the abortion of flowers, squares, and  
506 bolls if insufficient carbohydrates are available for reproductive growth. The priority of the carbohydrate  
507 distribution is based on the status of the cohorts; the ones that were formed first have the highest priority  
508 for carbohydrates and the ones that were formed last have the lowest priority. During reproductive  
509 growth, remobilization of N from senesced leaves and petioles can also occur in order to support  
510 reproductive growth. Most of the growth, development, and partitioning processes have their own  
511 temperature response functions that are defined in the species file.

512 Drought stress is represented by two different stress factors: one that affects the turgor-based  
513 growth processes and another that affects photosynthesis and growth processes. Drought stress occurs  
514 when the potential demand for water lost through transpiration and soil water evaporation is higher than  
515 the amount of water that can be supplied by the soil through the root system (Anothai et al., 2013).  
516 Evaporative demand is calculated using the Priestley-Taylor equation, which requires daily solar  
517 irradiance and maximum and minimum air temperatures as input (Priestley and Taylor, 1972). An option  
518 is also available to use the Penman-Monteith equation for calculating potential ET. The soil water balance  
519 is based on the tipping bucket approach for a one-dimensional soil profile (Ritchie, 1972; 1998). Each soil  
520 horizon or computational soil layer is characterized by the LL, DUL, and SAT, which can be calculated  
521 based on soil texture and bulk density using utilities provided with DSSAT. The daily potential ET  
522 demand is calculated first, and the potential water supply for root uptake is based on the soil water content  
523 of each layer, the root distribution, and a root resistance factor. If the potential supply is greater than the  
524 potential demand, the supply is set equal to the demand, and the associated processes are updated. If the  
525 demand is greater than the supply, transpiration and soil water evaporation are reduced to the simulated  
526 supply, and drought stress factors are calculated based on the difference between potential demand and  
527 potential supply.

528 The CSM-CROPGRO-Cotton model includes a detailed soil and plant N balance. Although the  
529 original CROPGRO model included N fixation, the modular structure of CSM allows for individual  
530 modules to be turned on or off (Jones et al., 2003). A detailed description of the soil N balance is given by  
531 Godwin and Singh (1998), which is the same for all crop modules of the CSM. Soil N includes a myriad  
532 of processes that are calculated for each soil horizon or computational layer for the transformation of  
533 organic N to inorganic N in the form of nitrate and ammonium. For the calculation of the processes  
534 associated with soil organic C and N, there are two options. One is the original model developed by  
535 Godwin and Singh (1998), and the other is an advanced approach based on CENTURY (Gijssman et al.,  
536 2002). The latter approach is especially suitable for low-input systems or for determining the soil C  
537 balance associated with soil C sequestration.



538 Because of the generic structure of the CROPGRO model, the CROPGRO-Cotton module  
539 benefits from other model features that were previously added to CROPGRO. One such feature is the  
540 generic coupling points that emulate the potential impact of pests and diseases on crop growth and  
541 development (Boote et al., 2008; 2010; 1983). These coupling points allow for the removal of tissue of  
542 the various organs, a modification of leaf area, a reduction in the availability of carbohydrates, and  
543 various others that are specified in a crop specific pest input file. The actual removal or changes are  
544 provided through a time-series input file. Ortiz et al. (2009) used this option to study the impact of  
545 southern root-knot nematodes on biomass growth and seed cotton yield.

546 Most of the applications of the CSM-CROPGRO-Cotton model have been conducted in the  
547 southeastern United States, including the determination of irrigation water use in Georgia (Guerra et al.,  
548 2007), the impact of climate variability and El Niño/La Niña Southern Oscillation (ENSO) on seed cotton  
549 yield under different cotton management options (Garcia y Garcia et al., 2010; Paz et al., 2012),  
550 sensitivity to solar irradiance (Garcia y Garcia et al.; 2008) and other inputs (Pathak et al., 2007), and crop  
551 insurance (Cabrera et al., 2006). Applications beyond the United States have been limited, except for a  
552 climate change application in Cameroon (Gérardieux et al., 2013) and a study of irrigation strategies in  
553 Australia (Cammarano et al., 2012).

554 The CSM-CROPGRO-Cotton model is included in DSSAT (Hoogenboom et al., 2012). The most  
555 recent version of DSSAT can be requested from the DSSAT Foundation web site ([www.DSSAT.net](http://www.DSSAT.net)) at  
556 no cost. Utility programs are available within DSSAT for entering experimental and environmental data,  
557 as well as measured data, for model calibration and evaluation. DSSAT also includes special application  
558 programs for crop sequence or rotation analyses and for seasonal analyses that include economic  
559 components. The source code for the model is available upon request.

560

#### 561 2.2.6. *Generic crop models*

562 Several generic crop models, which simplify crop growth routines for applicability to a variety of  
563 crops, have also been developed, and limited reports are available for the use of such models in cotton.  
564 The Environmental Policy Integrated Climate (EPIC) model, originally called the Erosion-Productivity  
565 Impact Calculator (Williams et al., 1984), simulates the impact of climate and management on soil  
566 erosion, water quality, and crop production. The generic crop model in EPIC (Williams et al., 1989) is  
567 currently parameterized for approximately 80 crops. Evaluations of the EPIC model have been conducted  
568 for cotton systems in Georgia (Guerra et al., 2004) and Texas (Ko et al., 2009a). The Simple and  
569 Universal CROp growth Simulator (SUCROS; Van Ittersum et al., 2003) models daily canopy CO<sub>2</sub>  
570 assimilation for potential production and includes a tipping bucket soil water balance routine with  
571 Penman ET. Zhang et al. (2008) modified SUCROS (SUCROS-Cotton) to simulate 'cut-out', fruit

572 dynamics, fruit abscission, single boll weight, and fiber yield for cotton. The model was evaluated for a  
573 cotton system in China. Another Wageningen crop model, WO<sup>r</sup>ld FO<sup>o</sup>d ST<sup>u</sup>dies (WOFOST; Van Diepen  
574 et al., 1989; Van Ittersum et al., 2003), is used for generic crop growth simulations in the Soil-Water-  
575 Atmosphere-Plant model (SWAP; Kroes et al., 2008), which simulates vadose zone transport of water and  
576 solutes. Crop yield in SWAP can also be computed using a simplified crop growth algorithm (Doorenbos  
577 and Kassam, 1979). The GRAMI model (Maas, 1993a; b; c) was originally developed to estimate growth  
578 and yield of gramineous crops such as wheat, maize, and sorghum (*Sorghum bicolor*). The model was  
579 specifically designed to accept remote sensing data inputs for improving the accuracy of its crop growth  
580 simulation. Ko et al. (2005) modified the original GRAMI model to simulate growth and fiber yield of  
581 non-stressed cotton. The Root Zone Water Quality Model (RZWQM; Ma et al., 2012) originally  
582 incorporated a generic crop growth model but now includes the CSM crop modules (Jones et al., 2003),  
583 specifically the CROPGRO-Cotton model for cotton systems. CropSyst (Stöckle et al., 2003) is a daily  
584 time-step cropping system model that simulates water and N balances, crop growth and development,  
585 residue recycling, erosion by water, and salinity in response to climate, soils, and management. Sommer  
586 et al. (2008) recently evaluated CropSyst for cotton in Uzbekistan.

587

### 588 **2.3 Historic applications of cotton models**

589 In the previous century, cotton simulation models were used to assess irrigation and N fertilizer  
590 management strategies and to understand the effects of climate variability on cotton fiber yield. Many of  
591 these early efforts were based on the GOSSYM model (McKinion et al., 1989). Comparisons of  
592 GOSSYM-simulated crop water use with field measurements were an important step to evaluate the  
593 model for irrigation management purposes (Asare et al., 1992; Staggenborg et al., 1996). The Australian  
594 model, OZCOT, was used to make irrigation management decisions in relation to water supply (Dudley  
595 and Hearn, 1993a; Hearn, 1992). To characterize N impacts on cotton production, GOSSYM was used to  
596 manage N fertilization events for a field study in South Carolina (Hunt et al., 1998), to evaluate N  
597 fertilizer recovery and residual soil N for cotton systems in Mississippi (Stevens et al., 1996), and to  
598 assess the effect of N fertilization rate and timing on cotton fiber yield over a long-term weather record in  
599 west Texas (Wanjura and McMichael, 1989). Ramanarayanan et al. (1998) used the EPIC model to  
600 optimize N fertilization management in Oklahoma while considering N recovery in cotton fiber yield and  
601 N loss to the environment.

602 Using GOSSYM, Landivar et al. (1983a) examined effects of the 'okra-leaf' trait on cotton fruit  
603 abscission and fiber yield. Under favorable N conditions, it appeared that a slight yield advantage with the  
604 okra-leaf trait was the result of improved light interception. However, under less favorable conditions,  
605 okra-leaf restricted LAI, which reduced yields. In a second paper (Landivar et al., 1983b), photosynthetic

606 rate, specific leaf weight, and leaf longevity were varied. Greater photosynthetic rate increased fiber yield,  
607 but if increased photosynthesis was achieved through greater specific leaf weight (thicker leaves), no  
608 yield benefit occurred. Extending leaf longevity appeared more promising for increasing yield, but the  
609 model did not deal with possible tradeoffs between leaf longevity and processes such as N remobilization.

610 Due to concerns of declining cotton fiber yield over several decades, GOSSYM was used to  
611 examine climate effects on cotton fiber yield at several locations across the United States Cotton Belt  
612 (Reddy and Baker, 1990; Reddy et al., 1990; Wanjura and Barker, 1988). Weather variables were shown  
613 not to be a driver of fiber yield declines, but increasing ozone level may have reduced fiber yields in  
614 Phoenix, AZ and Fresno, CA (Reddy et al., 1989). Small increases (10%) in fiber yield due to elevated  
615 CO<sub>2</sub> were found when soil N levels were sufficient. Dudley and Hearn (1993b) used OZCOT to evaluate  
616 El Niño effects on irrigated cotton systems in Namoi, Australia. Other early applications of the GOSSYM  
617 model included an economic evaluation of alternative desiccant application strategies (Watkins et al.,  
618 1998) and an assessment of N fertilizer recommendations in the context of precision agriculture  
619 (McCauley, 1999). Exploration of the link between crop simulation models and canopy spectral  
620 reflectance indices was also an early priority in cotton research (Wiegand et al., 1986). Within-season  
621 calibration of crop growth models using remote sensing data was originally described by Maas (1988a;  
622 1988b) and later implemented in GRAMI. In this calibration procedure, within-season estimates of actual  
623 crop growth, such as LAI or ground cover, were obtained from remote sensing data. The model  
624 parameters and initial conditions were then iteratively adjusted to minimize the difference between  
625 simulated crop growth and the measured growth from remote sensing data (Maas, 1993a; b; c). Finally,  
626 Larson and Mapp (1997) used the COTTAM model (Jackson et al., 1988) to estimate cotton production  
627 responses and net revenue to various management inputs. The simulation results were then used to  
628 evaluate the performance of cotton cultivars and to assess planting, irrigation, and harvest decisions under  
629 risk. These studies laid the foundation for cotton modeling applications in the new century.

630

### 631 **3. Present Directions: 2000-2013**

#### 632 **3.1. Recent development of cotton models**

633 Studies on the application of cotton simulation models after year 2000 vastly outnumbered the  
634 studies reporting new model developments. However, there are a few recent and notable accomplishments  
635 in the development of simulation models for cotton. The AquaCrop model, supported by the Food and  
636 Agriculture Organization (FAO) of the United Nations, is a new generic crop model for simulating yield  
637 response to water management (Raes et al., 2009; Steduto et al., 2009). This effort resulted in a simulation  
638 model, based on plant physiology and soil water balance, that replaced previous FAO publications for  
639 estimating crop productivity in relation to water supply. In a short time, the model has been used for a

640 number of irrigation management studies in cotton, discussed in the next section, and in other crops.  
641 Pachepsky et al. (2009) developed and parameterized the new WALL model for cotton, which simulates  
642 individual leaf transpiration with emphasis on water movement within the leaf. Finally, Liang et al.  
643 (2012a) developed a GOSSYM-based, geographically distributed cotton growth model that has been  
644 coupled with the Climate-Weather Research Forecasting Model (Skamarock et al., 2005) for studying the  
645 effects of changing climate on cotton production.

646 The literature demonstrates a significant research thrust toward cotton simulation model  
647 development in China, the world's leading cotton producer. Ma et al. (2005) conducted field studies at  
648 four locations in China and developed a simulation model for cotton development and fruit formation.  
649 Zhu et al. (2007) designed a web-based DSS for crop management that included process-based simulation  
650 models for four crops, including cotton. Li et al. (2009) developed a model for simulating boll maturation,  
651 seed growth, and oil and protein content of cottonseed. The model was calibrated and evaluated using  
652 experimental data sets from two locations in China. Zhao et al. (2012) focused on cotton fiber production  
653 and developed a model for simulating cotton fiber length and strength based on air temperature, solar  
654 irradiance, and N effects.

655 Another noteworthy direction of research is the recent development of higher-dimensional  
656 models that simulate cotton canopy and root architecture. Coelho et al. (2003) used principles from  
657 GOSSYM and DSSAT-CSM to develop a model for simulation of horizontal and vertical distributions of  
658 cotton root growth at the field scale. Similarly, simulation of three-dimensional cotton root growth was  
659 investigated by Zhang and Li (2006) in China. Hanan and Hearn (2003) linked a model of cotton plant  
660 morphogenesis and architecture with OZCOT. The combined models allocated flower buds to assigned  
661 positions on the plant, and water, N, and C stresses controlled fruit growth and abortion. Jallas et al.  
662 (2009) combined a mechanistic model of crop growth and development with a three-dimensional model  
663 of plant architecture. Together, the two models produced an animated visualization of cotton growth for  
664 one or several cotton plants. Alarcon and Sassenrath (2011) analyzed digital images of cotton canopies  
665 and developed a dynamic model to simulate changes in cotton leaf number and leaf size during the  
666 growing season. These studies evidence a move toward simulation models that consider the influence of  
667 plant architecture on cotton growth, a characteristic that is not considered in most existing cotton models.

668

### 669 **3.2. Recent applications of cotton models**

#### 670 *3.2.1. Crop water use and irrigation management*

##### 671 3.2.1.1. North American cotton production

672 Several cotton simulation models, including Cotton2K, CSM-CROPGRO-Cotton, EPIC,  
673 GOSSYM, and GRAMI, were implemented for water-related research in North America since 2000.

674 Researchers have used these models to assess crop water demand and as a tool for cotton irrigation  
675 scheduling. The models were sometimes integrated with other models and software to increase their  
676 utility and effectiveness.

677 Baumhardt et al. (2009) simulated fiber yield using GOSSYM for a 40-year period at Amarillo,  
678 Texas and used these data to analyze the impact of irrigation depth, irrigation duration, and initial soil  
679 water content on WUE and fiber yield of cotton. At lower initial moisture content, fiber yield and WUE  
680 increased with increasing irrigation depth, while at higher initial soil water content, WUE was lower for  
681 the higher irrigation depth although fiber yield was higher. They also reported that, with low irrigation  
682 water availability, concentrating the irrigation water to a subset of the field area could increase cotton  
683 fiber yield.

684 The CSM-CROPGRO-Cotton model was evaluated for simulating cotton growth and  
685 development under different irrigation regimes in Georgia and was found to be a promising tool for  
686 irrigation scheduling (Suleiman et al., 2007). Simulations of ET were compared with field experimental  
687 data from Griffin, Georgia to evaluate the FAO-56 crop coefficient procedure for irrigation management  
688 in deficit irrigated cotton production. Root mean squared errors between measured and simulated ET  
689 ranged from 2.5 to 3.5 mm d<sup>-1</sup>, and model efficiency statistics were less than 0.28. These results indicate  
690 potential for further refinement of the model's ET simulation.

691 Guerra et al. (2004) evaluated the EPIC model to simulate cotton fiber yield and irrigation  
692 demand in Georgia. The model simulated cotton fiber yield and irrigation requirements with root mean  
693 squared deviations of 0.29 t ha<sup>-1</sup> and 75 mm, respectively. The model performance for cotton was better  
694 than for soybean and peanut. The EPIC model was also used to compare simulated crop water  
695 requirements for cotton, peanut, and corn with the actual irrigation amounts applied by farmers in Georgia  
696 (Guerra et al., 2005). This study revealed that EPIC was useful for assessing on-farm irrigation water  
697 demand. Guerra et al. (2007) used the CSM-CROPGRO-Cotton model to simulate irrigation applications  
698 for individual fields and then used kriging to estimate the spatial distribution of the irrigation water use  
699 for cotton in Georgia. The technique enabled estimation of water use at spatial scales more suitable to  
700 inform policy makers.

701 Nair et al. (2013) evaluated Cotton2K for the Texas High Plains by simulating cotton fiber yield  
702 for a 110-year period at Plainview, Texas. Sixty-eight different irrigation treatments were simulated to  
703 analyze the production and profitability impacts of partitioning a center pivot irrigated cotton field into  
704 irrigated and dryland areas. By irrigating only a subset of the field area, cotton fiber yield and profitability  
705 were increased. The benefit was higher when available irrigation water was low and in low rainfall years.

706 Ko et al. (2006) used a modified version of GRAMI, capable of within-season calibration using  
707 remotely sensed crop reflectance data, to model water-stressed cotton growth at Lubbock, Texas. Even

708 though the model adequately simulated cotton growth under deficit irrigation, its performance was  
709 unsatisfactory at higher irrigation regimes. Ko et al. (2009b) used data from field trials conducted in  
710 Uvalde, Texas to calibrate the radiation use efficiency and the light interception coefficient of the EPIC  
711 crop model. The calibrated model simulated field conditions with more accuracy and hence could be a  
712 better tool to manage irrigation water resources.

713 Evett and Tolk (2009) reviewed nine papers that used cropping system simulation models to  
714 simulate yield and WUE of four crops, including cotton. All the models in these studies simulated WUE  
715 with considerable accuracy under well-watered conditions, but performed poorly under water stress. Crop  
716 growth models are important components of web-based DSSs, which can be used by crop managers for  
717 irrigation scheduling decisions (Fernandez and Trolinger, 2007).

718

#### 719 3.2.1.2. Australian cotton production

720 The Australian cotton model, OZCOT (Hearn, 1994), is commonly used for irrigation water  
721 management research and decision support in Australia. It was used extensively to assess potential and  
722 risk of productivity and value of improvements in WUE across all Australian cotton production regions at  
723 the field scale (e.g., Hearn, 1992). The need for these assessments was associated with considerable  
724 reductions in water allocations and climate variability, including severe droughts. These investigations  
725 have also included assessments of seasonal climate forecasts to improve risk quantification (e.g., Bange et  
726 al., 1999). Today much of this information is delivered in databases of pre-run OZCOT simulations,  
727 based on historical climate data for various combinations of management options, soils, regions, and  
728 seasonal forecasts (CottBASE; <http://cottassist.cottoncrc.org.au/>). Cammarano et al. (2012) used a  
729 calibrated CSM-CROPGRO-Cotton model to undertake similar assessments for research purposes.

730 In parallel to the use of OZCOT for research, a DSS named 'HydroLOGIC' was developed to  
731 calibrate the OZCOT model using available weather, soil water, fruit load and leaf area data for irrigation  
732 scheduling (Hearn and Bange, 2002; Richards et al., 2008). Irrigation timing was assessed by varying  
733 target soil water deficits for triggering irrigations and then by simple user optimization of fiber yield and  
734 water use estimates generated by OZCOT outputs. Simulations of fiber yield and water use were based on  
735 potential growth determined by OZCOT and historical climate records for the remainder of the season.  
736 HydroLOGIC can also be used in a strategic mode which enables users to explore the fiber yield and  
737 water productivity of irrigation management practices (pre- and post-season) under different weather  
738 patterns using long-term climate data. In this mode, schedules are user-defined and can irrigate the crop  
739 when the soil-water deficit reaches a set level, where the first and final irrigation dates are determined by  
740 square and boll development.

741           Recent advances in irrigation management have included the development of a framework  
742 'VARIwise' that develops and simulates site-specific irrigation control strategies (McCarthy et al., 2010).  
743 VARIwise divides fields into spatial subunits based on databases for weather, soil, and plant parameters  
744 to better account for field variability. The OZCOT model is used in two capacities in VARIwise: 1) to  
745 simulate the performance of the control strategies and 2) to calculate the irrigation application that  
746 achieves a desired performance objective (e.g., maximized bale yield or water productivity). In the first  
747 option, industry standard irrigation management strategies are tested, which apply irrigation to fill the soil  
748 profile. In the second option, VARIwise executes the calibrated crop model with different irrigation  
749 volumes over a finite horizon (e.g., five days) to determine which irrigation volumes and timing achieves  
750 the desired performance objective (e.g., maximize bale yield or water productivity) as calculated by the  
751 model. The optimal combination is implemented and this procedure is repeated daily to determine the  
752 timing of the next irrigation event and the site-specific irrigation volumes. An automatic model  
753 calibration procedure for soil water, vegetation, and fruit load was developed to minimize the error  
754 between the measured and simulated soil and plant responses (McCarthy et al., 2011). A genetic  
755 algorithm was used to refine the soil and plant parameters that characterized cotton development.

756           Evaluation of VARIwise has shown improvements in irrigation WUE for center pivot irrigated  
757 cotton (McCarthy et al., 2010) and surface irrigation. The field implementation of VARIwise for surface  
758 irrigation includes irrigation hydraulics to determine the control actions (inflow rate and cut-off time)  
759 required to achieve the appropriate irrigation distribution along the furrow as determined by the control  
760 strategies. This further improves irrigation efficiencies. McCarthy et al. (2013) reviewed the use of crop  
761 models for advanced process control of irrigation and argued that process-based simulation models  
762 perform better than crop production functions. Significant opportunity remains to further enhance the  
763 VARIwise system by linking the predictive functionalities of HydroLOGIC, which is focused on crop  
764 growth performance, with the improved irrigation practice recommendations generated by VARIwise.

765           On-farm water storage and distribution are limiting factors of the irrigation decision making  
766 process for cotton production. The APSIM framework incorporates water storage and has enabled the  
767 exploration of irrigation management options that rely on effluent water or opportunistic capture of  
768 overland flow as water sources (Carberry et al., 2002a). To provide probabilistic forecasts of on-  
769 allocation and off-allocation water, catchment models and seasonal climate forecasts have been  
770 implemented, and the simulated water supply was used with a cotton simulation model to determine  
771 seasonal water requirements and cotton bale yield (Power et al., 2011a; 2011b). The gross margins, water  
772 requirements, and subsequent bale yields were then used to evaluate different cropping areas with  
773 different water availability and management paradigms. Alternatively, the irrigation events were  
774 scheduled when the OZCOT-simulated soil water deficit reached a set limit or when OZCOT maximized

775 bale yield (Ritchie et al., 2004). Then, a gross margin model was developed using the seasonal climate  
776 forecasts, estimated bale yield, and water application for the given water supply. The resulting bale yield,  
777 water and crop production costs, and crop price were provided for each year of the simulation.

778 With current water reform actions in the Australian states of Queensland and New South Wales,  
779 water supply was calculated using seasonal stream flow forecasts from the Australian Bureau of  
780 Meteorology (Power et al., 2011b) and the Integrated Quantity Quality Model (IQQM), a river flow and  
781 water use hydrological model (Ritchie et al., 2004). The calculations can be used to estimate water  
782 availability for input into crop models. In these applications, OZCOT was used to determine the optimal  
783 planting area and water requirements for different planting areas according to the calculated volume of  
784 water at sowing (Power et al., 2011b).

785

#### 786 3.2.1.3. Asian cotton production

787 Asia is home to several major cotton producing countries in the world, including China, India,  
788 Pakistan, Kazakhstan, and Uzbekistan. Irrigated cotton production in these countries relies mostly on  
789 traditional water management using surface irrigation practices. Nevertheless, several studies applied  
790 cotton simulation models for improving water management strategies in these Asian countries. Yang et al.  
791 (2010) used the Cotton2K model for estimating the irrigation water requirements for cotton in the North  
792 China Plain using 20 years of agronomic, hydrologic, and climate data. On average, irrigated cotton  
793 production accounted for 8% of the total water requirements in that region. Singh et al. (2006) evaluated  
794 water management strategies at various spatial and temporal scales using the SWAP model in an  
795 agricultural district in Northern India. The simulation results indicated that seed cotton yield and water  
796 productivity could be improved by ensuring an adequate water supply during the *kharif* (summer) season.  
797 The SWAP model was also used by Qureshi et al. (2011) to determine irrigation amounts for cotton  
798 grown in the Syrdarya province of Uzbekistan. Results demonstrated that an irrigation application of 2500  
799  $\text{m}^3 \text{ha}^{-1}$  produced an optimal seed cotton yield of 3000  $\text{kg ha}^{-1}$  under the current climatic conditions with a  
800 water table depth of 2 m. Buttar et al. (2012) used a calibrated CropSyst model for studying the impact of  
801 global warming on seed cotton yield and water productivity of Bt cotton grown under semi-arid  
802 conditions in North India. Their results showed that total ET and crop water productivity decreased with  
803 an increase in air temperature from 28° to 32° C.

804

#### 805 3.2.1.4. Mediterranean cotton production

806 Irrigation water management simulation studies in the Mediterranean region have mostly used the  
807 AquaCrop, CropWat, and SWAP models. While using the SWAP model to evaluate the performance of  
808 the Menemen Left Bank irrigation system, located at the tail end of the River Gediz in western Turkey,



809 Droogers et al. (2000) determined that the cotton irrigation requirement was about 1000 mm, and water  
810 productivity, expressed in terms of seed cotton yield per amount of water depleted from the soil, was  
811 maximized at an irrigation amount of 600 mm. Ismail and Depeweg (2005) also studied water  
812 productivity and cotton production in relation to water supply under continuous flow and surge flow  
813 irrigation methods in short fields of clay and sandy soils in Egypt using the CropWat model (FAO, 2013).  
814 Their analysis indicated that surge flow irrigation is an efficient tool either to produce the same yield with  
815 less water than in continuous flow or to produce higher yields than continuous flow when using the same  
816 gross irrigation supply.

817 Garcia-Vila et al. (2009) determined the optimum level of applied irrigation water for cotton  
818 production in southern Spain under several climatic and agricultural policy scenarios using AquaCrop.  
819 After calibrating the model with data from four experiments in the Cordoba Province, functions of seed  
820 cotton yield versus applied irrigation were developed for different scenarios, and an economic  
821 optimization procedure was applied. Maximum profits occurred when irrigation amounts were between  
822 540 and 740 mm for the conditions at the study area, depending on the climatic scenario. However, profits  
823 remained close to the maximum (above 95%) for applied irrigation water levels exceeding 350 mm.

824 Accurate simulation of crop yield under various irrigation regimes (full and deficit irrigation) is  
825 important to optimize irrigation under limited availability of water resources. Farahani et al. (2009)  
826 evaluated AquaCrop for cotton under full (100%) and deficit (40%, 60%, and 80% of full) irrigation  
827 regimes in the hot, dry, and windy Mediterranean environment of northern Syria. AquaCrop simulated  
828 seed cotton yields within 10% of the measured yields for the 40% and 100% irrigation regimes, while the  
829 errors increased to 32% for the 60% and 80% irrigation regimes. Simulations of ET, biomass, and soil  
830 water for the four irrigation regimes were particularly promising given the simplicity of the AquaCrop  
831 model and its limited parameterization. AquaCrop was also used to study seed cotton yield responses to  
832 deficit irrigation for a three-year (2007-2009) field experiment conducted in the southeast of Damascus,  
833 Syria (Hussein et al., 2011). Drip irrigation was used for cotton management under full and deficit  
834 irrigation (80%, 65%, and 50% of full irrigation). Simulations of seed cotton yields were within 6% of the  
835 measurements. However, the model overestimated WUE under water-deficit conditions.

836

### 837 *3.2.2. Nitrogen dynamics and fertilizer management*

838 Over application of N and other fertilizers on farmlands not only increases input costs but also  
839 causes excessive vegetative growth and delayed maturity in cotton. Excess N fertilizer can also  
840 contaminate surface water and groundwater and can increase nitrous oxide emissions from the soil.  
841 Cotton simulation models that include soil processes help assess impacts of fertilizer management,  
842 including application rates, method, and timing, on nutrient dynamics and water quality. Reddy et al.

843 (2002b) reviewed the use of GOSSYM to assess the impact of fertilization on cotton productivity,  
844 evaluate N dynamics as influenced by fertilizer application rates, and investigate the effect of N fertilizer  
845 application timing on cotton fiber yield. In general, GOSSYM overestimated fertilizer N recovery by  
846 plants, which was attributed to the inability of the model to simulate mineralization and immobilization  
847 processes or ammonia volatilization losses from the soil or the plants (Boone et al., 1993).

848 Braunack et al. (2012) examined the effect of cotton planting date and cultivar selection on N use  
849 efficiency in cotton farming systems in Australia through field experiments and OZCOT model  
850 simulations. From the field experiments conducted over two years at Narrabri in New South Wales, they  
851 found that there was no difference in N use efficiency between two cotton cultivars: CSX6270BRF and  
852 Sicot 70BRF. They also found that the N use efficiency was not statistically decreased if planting  
853 occurred within 30 days from the normal target planting date of 15 October. The OZCOT simulations  
854 using 53 seasons (1957 to 2010) of climate data for long, medium, and short cotton growing regions in  
855 New South Wales and Queensland indicated that the N use efficiency was relatively constant over  
856 planting dates from 30 September to 30 October in the medium and short season areas and from 30  
857 September to 30 November in the long season areas, and decreased steeply thereafter.

858 The soil N dynamics and seed cotton yields under varying N rates for cotton in the Khorezm  
859 region in Uzbekistan were simulated by Kienzler (2010) using the generic cotton routine within the  
860 CropSyst model. The simulated plant N uptake was higher than the applied fertilizer for all treatments up  
861 to the N fertilizer rate of 160 kg ha<sup>-1</sup> and increased with higher N fertilizer amounts to a maximum of 214  
862 kg N ha<sup>-1</sup> for a fertilizer rate of 250 kg N ha<sup>-1</sup>. Simulated crop production under farmers' practice was not  
863 N-limited when more than 80 kg N ha<sup>-1</sup> was applied. Hence, while maintaining the total amount of N  
864 fertilizer within 120 to 250 kg N ha<sup>-1</sup>, changing the timing or number of applications did not improve seed  
865 cotton yields. The simulations also indicated that increasing seed cotton yields without increasing N  
866 losses was possible when water supply better matched demand.

867 The EPIC model was used by Kuhn et al. (2010) to estimate cotton fiber yields as a function of  
868 fertilizer application rates (ranging from 0 to 300 kg N ha<sup>-1</sup>) at the regional scale, by dividing the Upper  
869 Oueme basin in Benin, West Africa into 2550 crop response units, which were quasi-homogenous with  
870 respect to land use, soil, and climate. The outputs of the crop simulations for different N application rates  
871 were then used to establish yield response functions, which were finally integrated to an economic model  
872 to simulate the effects of tax exemptions on fertilizer use, crop yields, food balances, and use of land  
873 resources for the most important crops of the region, including cotton.

874 Chamberlain et al. (2011) used DAYCENT, a C and N cycling model, to simulate N dynamics  
875 under cotton production and then employed the simulation results to assess the environmental impacts of  
876 land conversion from cotton to switchgrass in the southern United States. Long-term simulations showed

877 a reduction of N in runoff (up to 95%) for conversion from cotton to switchgrass at N application rates of  
878 0–135 kg N ha<sup>-1</sup>. They concluded that the model could more accurately simulate ‘relative differences’  
879 rather than ‘absolute values’ for each cropping system. Using RZWQM, Abrahamson et al. (2006)  
880 simulated nitrate leaching from tile drains under conventional and no-tillage management practices in  
881 cotton production and rye (*Secale cereale*) cover cropping practices in a Cecil soil (kaolinitic, thermic,  
882 Typic Kanhapludult) in Georgia. However, the model was unable to simulate the pattern of nitrate  
883 transport in these soils, which led to large differences between simulated and measured values of leached  
884 nitrate (62 and 73 kg ha<sup>-1</sup> for conventional tillage and no-till, respectively). The authors stated that the ion  
885 exchange equations in the RZWQM were included only for the major cations and not for anions adsorbed  
886 onto soil, and this might have resulted in the poor simulation of nitrate leachate losses.

887 Recently, Shumway et al. (2012) tested the new Nitrogen Loss and Environmental Assessment  
888 Package (NLEAP) for its ability to simulate N dynamics for different cropping systems, including cotton,  
889 in three different locations in the Arkansas Delta. Simulations by the NLEAP showed that the model  
890 simulated the effects of management on residual soil nitrate, and it could be used as a tool to quickly  
891 evaluate management practices and their effects on potential N losses from cropped lands.

892

### 893 3.2.3. Genetics and crop improvement

894 The ability of crop models to simulate the interactive effects of plant traits, environment, and  
895 management makes such models attractive tools for crop improvement (White, 1998). Models find  
896 application both in simulating how specific traits impact yield and in analyzing how variability in  
897 production environments impact yield. While models are often proposed as tools for analyzing genotype  
898 by environment responses in support of breeding (e.g., Chapman et al., 2003; White, 1998), no examples  
899 were found where a cotton model was used to characterize the target population of environments or to  
900 analyze the environmental effects in breeding nurseries or varietal tests. One constraint may be that cotton  
901 simulation models lack sufficient genetic and physiological detail to describe cultivar differences in traits  
902 such as canopy temperature. Gene-based modeling is one avenue to strengthen the genetics and  
903 physiology of models, but it requires understanding of the genetic control of traits of interest (Bertin et al.,  
904 2010; White and Hoogenboom, 2003). Until gene-based modeling goals are realized, model inversion  
905 techniques may be useful to estimate crop traits of varieties in large field trials, where crop sensors are  
906 deployed for field-based high-throughput phenotyping (White et al., 2012).

907

### 908 3.2.4. Climatology

909 Since crop development is driven by weather, an important application of cotton models is to  
910 analyze the impact of climatological patterns on production. Fernandez and Trolinger (2007) described a

911 web-based DSS that provides easy access to weather network data and numerical tools that simulate  
912 cotton responses to environmental conditions in south Texas. A heat unit approach was used for crop  
913 development, while crop height, LAI, and canopy cover were simulated using empirical equations. To use  
914 models for large-scale spatially distributed simulations, reliable weather data is often unavailable,  
915 particularly for solar radiation and precipitation. Therefore, researchers have sought alternative ways to  
916 derive such data. Richardson and Reddy (2004) used seven solar radiation models and four temporal  
917 averaging schemes to estimate solar irradiance, and cotton production simulations were evaluated at ten  
918 locations across the United States using the solar irradiance data in GOSSYM. Cotton fiber yield  
919 estimation accuracy depended on solar irradiance estimation accuracy, but location and management  
920 practice (irrigated versus rainfed) also impacted the simulation results. Although the radiation models  
921 estimated solar irradiance and fiber yield well, the combination of minimum and maximum air  
922 temperatures, rainfall, and wind speed performed best for simulation of solar irradiance and fiber yield at  
923 all locations. Garcia y Garcia et al. (2008) compared the effects of measured and generated solar  
924 irradiance on simulations of cotton, maize, and peanut crops in Georgia using the CSM. Simulations of  
925 total ET, aboveground biomass, and seed cotton yield were similar for generated and measured solar  
926 radiation. They concluded that generated solar radiation data could be reliably used as input to cotton  
927 simulation models in locations where measured data were not available.

928 Cotton simulation models have also been used to study the effect of cyclical climate variations on  
929 cotton production, particularly the ENSO. Garcia y Garcia et al. (2010) studied the spatial variability of  
930 seed cotton yield and WUE of cotton grown in the southeastern United States as related to ENSO phases.  
931 Seed cotton yield and WUE of rainfed cotton were differentially affected by ENSO, and seed cotton yield  
932 was differentially affected by rainfall, air temperature, and solar irradiance within ENSO phase.  
933 Simulated seed cotton yield for rainfed cotton was higher during La Niña than during El Niño and neutral  
934 years, ranging from 3044 to 3304 kg ha<sup>-1</sup> during El Niño years, from 2950 to 3267 kg ha<sup>-1</sup> during neutral  
935 years, and from 2891 to 3383 kg ha<sup>-1</sup> during La Niña years. Also, simulated seed cotton yield of rainfed  
936 cotton showed a stronger spatial dependence during El Niño and neutral years than during La Niña years.  
937 Paz et al. (2012) examined the ENSO effect on cotton fiber yields in Georgia for various planting dates at  
938 three spatial levels: county, crop reporting district, and region. Using CROPGRO-Cotton, fiber yields  
939 were simulated for 97 counties and 38 to 107 years, depending on county, each with nine planting dates  
940 within the planting window of 10 April through 6 June. Fiber yields were separated by ENSO phase, and  
941 analyses showed different results regarding the ENSO effect. According to county level analyses, ENSO  
942 had little and spatially less consistent effects, but the effect became more evident at larger spatial scales.  
943 According to regional level analysis, the fiber yield difference among ENSO phases was minimal for  
944 average planting dates, but substantial if planting date deviated from the average. In the northern Murray

945 Darling Basin, Australia, the impacts of ENSO phases on precipitation patterns were used to develop  
946 seasonal climate forecasts for the region (Ritchie et al., 2004). To test the outcome of irrigators using  
947 climate forecasts to schedule irrigations, OZCOT simulations provided cotton bale yield responses to  
948 climate-based irrigation management over a long-term weather record.

949 Liang et al. (2012b) implemented a geographically distributed GOSSYM model to simulate  
950 United States cotton fiber yield responses over a long-term climate record from 1979 to 2005. The model  
951 simulated long-term mean cotton fiber yield within 10% of measurements at a scale of 30 km across the  
952 United States Cotton Belt, and the model responded appropriately to regional climate variation. The study  
953 was an important precursor to using the geographically distributed GOSSYM model for study of cotton  
954 responses to future climate scenarios. However, to use cotton models for future climate change scenarios,  
955 the weather inputs for air temperature, radiation, wind speed, and precipitation must be obtained from  
956 future climate models. These climate models, for now, provide monthly data, rather than the daily inputs  
957 required by most models. Reddy et al. (2002a) developed a method to create daily future weather files by  
958 modifying daily current weather assuming that changes in daily weather parameters remain constant for  
959 each month. The monthly mean maximum and minimum air temperature changes were added to current  
960 daily measurements and the change fractions for precipitation, solar irradiance, and wind speed were  
961 multiplied by current daily measurements to generate a 30-year record of daily future weather. This  
962 methodology retained the existing natural variability in the historic weather for those years. A similar  
963 methodology was used by Doherty et al. (2003) to simulate cotton fiber yields spatially across the  
964 southeastern United States.

965

#### 966 3.2.5. *Global climate change*

967 Simulation models are widely used to assess the potential impacts of climate change on cropping  
968 systems (White et al., 2011) and to quantify greenhouse gas fluxes from agricultural systems. In both  
969 applications, the models are valued for their ability to quantify potential complex interactions of cultivars,  
970 weather, soils, and management. However, skeptics question the accuracy of simulation models relative  
971 to statistical models from historical analyses of yield and climate trends (Schlenker and Roberts, 2009;  
972 Lobell et al., 2011).

973 In impact assessment, the usual approach is to compare yield or other traits for a baseline  
974 situation (e.g., 30 years of historical weather and [CO<sub>2</sub>]) with one or more scenarios where future climatic  
975 and [CO<sub>2</sub>] conditions are input to the model for one or more reference periods or for an assumed generic  
976 change (e.g., by increasing daily air temperatures 2° C). Among methodological concerns in this process  
977 are how to realistically alter cultivar characteristics and management to account for likely adaptive  
978 changes in cropping seasons.

979 Modifications to the GOSSYM model were required to facilitate simulations of cotton responses  
980 under future climate scenarios. Model improvements have focused on the canopy photosynthesis response  
981 to elevated CO<sub>2</sub> (Reddy et al., 2008), pollen and fruit production efficiency responses to higher air  
982 temperatures (Reddy et al., 1997c), and growth and developmental responses to ultraviolet-B radiation  
983 effects (Reddy et al., 2003). Using GOSSYM, Reddy et al. (2002a) simulated cotton response to climate  
984 change, including an increase of [CO<sub>2</sub>] from 360 to 540 ppm, for a 30-year period (1964 to 1993 as the  
985 baseline) at Stoneville, Mississippi. Considering only effects of [CO<sub>2</sub>], fiber yield increased by 10% from  
986 1560 to 1710 kg ha<sup>-1</sup>, but when all projected climatic changes were included, fiber yield decreased by 9%  
987 to 1430 kg ha<sup>-1</sup>. The adverse effect of warming was more pronounced in hot and dry years. With climate  
988 change, most days with average air temperatures above 32° C primarily occurred during the reproductive  
989 phase. As a result, the authors emphasized that irrigation will be needed to satisfy the high water demand,  
990 thus reducing boll abscission by lowering canopy temperatures. Also, if global warming occurs as  
991 projected, fiber production in the future environment will be reduced, and breeding cultivars tolerant to  
992 heat and cold will be necessary to sustain cotton production in the United States Midsouth. Cultural  
993 practices such as earlier planting may be used to avoid flowering in mid to late summer, when high air  
994 temperatures occur. Doherty et al. (2003) simulated cotton response to climate change for the  
995 southeastern United States using the GOSSYM model integrated with general circulation models.  
996 Baseline weather from 1960 to 1995 and a reference [CO<sub>2</sub>] of 330 ppm were considered. Climate  
997 scenarios corresponded to a [CO<sub>2</sub>] of 540 ppm. In the absence of [CO<sub>2</sub>] effects and ignoring adaptation  
998 for planting date (i.e., changing the planting date from 1 May to 1 April), fiber yields decreased by 4% for  
999 a coarse-scale climate grid and by 16% for a fine-scale grid. Allowing for [CO<sub>2</sub>] and adaptation, fiber  
1000 yields increased 30% with the coarse grid and 18% with the fine grid. While confirming that increased  
1001 [CO<sub>2</sub>] and adaptation have the potential to offset likely adverse effects of warming, the large effects of  
1002 spatial scale emphasize the uncertainties inherent in simulation of climate change.

1003 Using the Cotton2K model for irrigated cotton in Israel, Haim et al. (2008) reported that  
1004 adaptation by planting two weeks earlier and increasing irrigation could offset the negative effects of  
1005 warming under two climate change scenarios. Using CropSyst to model irrigated cotton in India's Punjab  
1006 region, Buttar et al. (2012) confirmed that warming could reduce seed cotton yield through accelerated  
1007 development and hence shorter growth duration.

1008 Independent of potential impacts of climate change on cotton production, researchers have also  
1009 used simulation models to quantify greenhouse gas fluxes from cotton systems and to simulate long term  
1010 changes in soil C where cotton is grown. The EPIC model was used to simulate changes in soil organic C  
1011 under different management scenarios (Causarano et al., 2007). Differences due to landscape position  
1012 were correctly simulated, but the model needed refinement before the simulations were accurate enough

1013 to direct management practices at that scale. The EPIC model was also used to evaluate the ability of a  
1014 soil conditioning index to estimate the impact of different cotton tillage systems and other variables on  
1015 soil C content (Abrahamson et al., 2007; 2009). In general, the index provided the same directional  
1016 change in C as EPIC (increase or decrease); however, the relationship was not linear. Del Grosso et al.  
1017 (2006) used the DAYCENT model to estimate nitrous oxide emissions across the United States and  
1018 included cotton systems (typically a cotton-corn rotation) but only reported net emissions. Similarly,  
1019 DAYCENT was used to quantify changes in greenhouse gas fluxes due to conversion from conventional  
1020 to alternative cropping systems (Chamberlain et al., 2011; De Gryze et al., 2010).

1021

### 1022 3.2.6. Precision agriculture

1023 The goal of precision agriculture is to optimize field-level management based on several factors,  
1024 such as soil physical properties, yield history, and economic benefit. Since the initial pioneering efforts in  
1025 the late 1990's (McCauley, 1999; Paz et al., 1998; 1999), various strategies to analyze spatial and  
1026 temporal yield variability and develop precision crop management plans using cropping system  
1027 simulation models have been proposed (Batchelor et al., 2002; Booltink et al., 2001; Sadler et al., 2002;  
1028 Thorp et al., 2008). These studies highlighted the importance of using models to account for soil  
1029 heterogeneity across the field. McKinion et al. (2001) integrated the GOSSYM-COMAX DSS with a  
1030 geographic information system (GIS) to determine N fertilization and irrigation management strategies  
1031 that optimized cotton fiber yield spatially. Variation in soil properties was specified in the model using  
1032 soil sample data at 88 locations across the study area on a 1 ha grid. They opined that this system has the  
1033 potential to be used in automatic calculation of optimal irrigation rates considering within-field spatial  
1034 variability. Using data from a cotton study in Arizona, Jones and Barnes (2000) conceptually  
1035 demonstrated the integration of GIS, remote sensing images, cropping systems simulation, and a decision  
1036 model to provide decision support for precision crop management while considering competing economic  
1037 and environmental objectives. Basso et al. (2001) showed that, with a combination of crop modeling and  
1038 remote sensing methods, management zones and causes for yield variability could be identified, which is  
1039 a prerequisite for zone-specific management prescriptions. Clouse (2006) used simulated annealing  
1040 optimization to spatially calibrate the soil parameters of Cotton2K for sites in west Texas, and the  
1041 calibrated model was used to compare site-specific and uniform irrigation management strategies.  
1042 Simulated cotton fiber yields were higher with site-specific irrigation management, but the yield increases  
1043 did not make site-specific irrigation more profitable. In China, Guo et al. (2008) developed a web-based  
1044 DSS for cotton production systems, which integrated a crop simulation model into a GIS. McCarthy et al.  
1045 (2011) reported the development of VARIwise, which incorporated the OZCOT model for evaluation of  
1046 agronomic factors and engineering control strategies for variable-rate irrigation in cotton. Recently, Thorp

1047 and Bronson (2013) developed an open-source GIS tool that could manage spatial simulations for any  
1048 point-based crop model. They demonstrated the tool using both the AquaCrop and CROPGRO-Cotton  
1049 models to simulate site-specific seed cotton yield in response to irrigation management, N management,  
1050 and soil texture variability for a 14 ha study area near Lamesa, Texas.

1051 Although not directly applied to cotton production, several other studies have demonstrated  
1052 important simulation methodologies that would also have relevance for precision cotton management. For  
1053 example, Paz et al. (2002) examined site-specific soybean water stress by adjusting root growth factors  
1054 and tile drainage parameters in CROPGRO-Soybean to minimize error between measured and simulated  
1055 spatial soybean yield. Also, Paz et al. (2003) used CROPGRO-Soybean to analyze options for soybean  
1056 variety selection and to develop prescription maps to achieve economic goals while considering weather  
1057 history and soil variability. Thorp et al. (2006) developed a simulation methodology to determine  
1058 precision N fertilization recommendations while considering the trade-off between maize production and  
1059 loss of N to the environment. Thorp et al. (2007) also demonstrated a cross validation approach to  
1060 evaluate site-specific maize yield simulations with the CERES-Maize model and to identify causes for  
1061 spatial yield variability. Oliver et al. (2010) described the integration of farmer knowledge with several  
1062 precision agriculture tools, including a crop simulation model, to devise practical and effective  
1063 management plans for historically poor performing areas in the field. All of these simulation strategies  
1064 would likely have similar applicability for cotton production systems.

1065

### 1066 3.2.7. *Integration of sensor data with models*

1067 Despite the many potential uses for cotton simulation models described above, a potential  
1068 drawback is the need to adequately specify the values of numerous model parameters to produce  
1069 consistently accurate simulation results. Building on the pioneering work of Maas (1988a; b; 1993a; b; c),  
1070 efforts in the new century have improved the accuracy of crop simulation models by incorporating  
1071 reflectance measurements of the crop canopy during the growing season. A primary source of information  
1072 for within-season crop model calibration is airborne and satellite remote sensing imagery and ground-  
1073 based proximal sensors. For example, using medium-resolution satellite imagery, Maas and Rajan (2008)  
1074 estimated ground cover for a variety of field crops. To demonstrate the utility of ground cover  
1075 information for cotton growth model calibration, Ko et al. (2005) modified the GRAMI model for cotton  
1076 and used a within-season calibration procedure to adjust model simulations using relatively simple input  
1077 data derived from proximal sensing. Ko et al. (2006) revised and tested GRAMI to simulate cotton  
1078 growth and fiber yield of water-stressed cotton. The model simulated cotton fiber yield with root mean  
1079 squared errors ranging from 28 to 100 kg ha<sup>-1</sup>, suggesting that the within-season calibration method could  
1080 be used to model cotton growth under various water-limiting conditions. Rajan et al. (2010) described



1081 how GRAMI could be used with infrequent satellite input data for simulating daily crop ground cover and  
1082 estimating crop water use for irrigation scheduling. Sommer et al. (2008) calibrated the CropSyst model  
1083 using within-season satellite-derived LAI of cotton grown in the Khorezm region of Uzbekistan. The high  
1084 temporal resolution of the satellite imagery was useful for improving above ground biomass and LAI  
1085 simulations with the model.

1086 Remote sensing images have also been useful in efforts to use crop models for crop yield  
1087 forecasting. Bastiaanssen and Ali (2003) used data from the Advanced Very High Resolution Radiometer  
1088 (AVHRR) with Monteith's biomass simulation model and the Surface Energy Balance Algorithm for  
1089 Land (SEBAL) model to estimate regional crop yield for multiple crops, including cotton, in the Indus  
1090 Basin in Pakistan. A limitation of the study was the spatial resolution of the images, which did not permit  
1091 field-scale forecasts. Shi et al. (2007) used multi-temporal images from the Moderate Resolution Imaging  
1092 Spectroradiometer (MODIS) with an agro-meteorological model, based on Monteith's biomass simulation  
1093 model, to estimate seed cotton yield in the Khorezm region of Uzbekistan. The use of remote sensing data  
1094 inputs reduced the need for field data input in their study. The difference between modeled seed cotton  
1095 yield estimations and published government data was within 10%. Hebbbar et al. (2008) used the Infocrop-  
1096 cotton model along with data from the Indian Remote Sensing program's Linear Imaging Self-Scanning  
1097 (LISS-III) satellite for simulating seed cotton yield in major cotton growing states in India. The model  
1098 accurately simulated water and N stress, total biomass, and seed cotton yield. The ready availability of  
1099 multispectral imagery at little or no cost, such as that from the Landsat series of satellites, ensures that  
1100 remote sensing data will continue to be a viable source of information to guide crop model simulations  
1101 and potentially improve model performance.

1102

### 1103 3.2.8. *Economics*

1104 Economists use cotton simulation models to determine economically optimal resource use,  
1105 analyze the risk associated with agricultural production, and assess the socio-economic implications of  
1106 agricultural policies. Process-based crop simulation models are now regarded by economists as a better  
1107 alternative to the traditional regression based models, because the former simulates the biological and  
1108 physical process related to the plant growth with better precision (Bontemps et al., 2001). For example,  
1109 Cammarano et al. (2012) used CROPGRO-Cotton to determine profit-maximizing strategies for cotton  
1110 under deficit irrigation in Australia, and the long-term temporal seed cotton yield distribution generated  
1111 by the model was used to determine the economic feasibility of deficit irrigation practices. Nair (2011)  
1112 used cotton fiber yield simulations generated using Cotton2K and an economic model to determine the  
1113 economically optimal strategies to allocate irrigation water among different growth stages of cotton at  
1114 different sub-optimal levels of irrigation water availability. Cotton2K was also used to assess the

1115 profitability of partitioning a cotton field, irrigated by center pivot, into irrigated and rainfed portions  
1116 (Nair et al., 2013). This study showed that the field partitioning increased both fiber yield and profitability  
1117 of deficit irrigated cotton. Reddy et al. (2002b) reviewed applications of the GOSSYM model for  
1118 economic and policy decisions.

1119 From an economist's point of view, the year-to-year variability in profit, which indicates  
1120 production risk, plays an important role in a producer's decision making. Bontemps et al. (2001) linked  
1121 the data generated by EPIC to an economic model and showed that when irrigation water availability is  
1122 too low to have risk-reducing impact, but high enough for normal crop growth, the farmers are very  
1123 responsive to changes in water price. Ritchie et al. (2004) used OZCOT to assess risk management  
1124 strategies using seasonal climatic forecasting for cotton in Murray-Darling Basin in Australia. Although  
1125 adjusting planted area in response to seasonal climatic forecasts led to significant increases in returns,  
1126 farmer responses to the forecasts depended on their attitude toward risk. The crop growth simulation  
1127 model, APSIM, coupled with an economic model was used to analyze the benefits and risks of investing  
1128 in recycled water in Australia (Brennan et al., 2008), and a case study was used to illustrate the  
1129 combination of biological and economic models. The Cotton2K model was used along with an  
1130 econometric model to assess the impact of a cotton producer's attitude towards risk on optimal irrigation  
1131 water allocation decisions for center pivot irrigated cotton in the Texas High Plains (Nair, 2011). The  
1132 results indicated that optimal irrigation water allocation has both profit increasing and risk reducing  
1133 effects.

1134 Cotton simulation models are also used to analyze the impact of agricultural policies and to assist  
1135 in making whole-farm management decisions. A windows-based application of the EPIC model,  
1136 CROPMAN, was used to assess the effectiveness of water conservation policies for the Ogallala Aquifer  
1137 in the Texas High Plains (Das et al., 2010; Johnson et al., 2009). These studies compared the water saving  
1138 potential and local economic impacts of water conservation policies, such as imposing pumping  
1139 restrictions and charging a water tax. A multi-field configuration of APSIM named 'APSFarm' was used  
1140 to explore management alternatives and develop whole-farm management decisions in Australia (Power  
1141 et al., 2011a). Kuhn et al. (2010) used EPIC along with an economic model to evaluate the effect of tax  
1142 exemptions on fertilizer use in Benin and reported that tax exemption on fertilizers increased crop  
1143 productivity and decreased excessive expansion of cropped area. Wang and Nair (2013) developed a  
1144 theoretical framework for determining economically optimal irrigation water allocations for cotton under  
1145 deficit irrigation and used this economic model along with the fiber yield data generated using Cotton2K  
1146 to analyze the water saving potential of the cost-share program aimed at improving adoption of high  
1147 efficiency irrigation systems. They concluded that this program did not provide any incentive for the  
1148 producers to conserve water.

1149

1150 *3.2.9. Classroom instruction*

1151 Cropping system simulation models have been used by instructors to teach principles of life  
1152 sciences and environmental management (Boote et al., 1996; Graves et al., 2002; Reddy et al., 2002b).  
1153 However, most models are not classroom-friendly and are not easily portable from one instructor or  
1154 institution to another. Therefore, models as instructional aides are limited even though the potential  
1155 benefits to students, instructors, and institutions exist (Graves et al., 2002).

1156 Many graduate students and postgraduate researchers at Mississippi State University and other  
1157 institutions have contributed to various aspects of GOSSYM model development (Reddy et al., 2002b).  
1158 Researchers in agricultural engineering, agronomy, climate change, computer science, economics,  
1159 entomology, extension education, meteorology, and soil and biological sciences have engaged in this  
1160 effort. The GOSSYM model has been used as an instructional tool to teach students the basic principles  
1161 of botany, climate impacts, and management options in cotton production, to enhance problem solving  
1162 skills in the life sciences, and to provide a holistic understanding of cropping system processes. Two  
1163 instructional methodologies have been used: one in which students improve the functionality of the  
1164 models by adding new knowledge to the existing model code and another in which the model is used for  
1165 classroom instruction. One approach for classroom instruction teaches a given cropping system concept  
1166 by demonstrating how it is modeled. For example, students learn how cotton growth and development is  
1167 affected by multiple stress factors and how these factors are summarized using the environmental  
1168 productivity index to reduce photosynthesis (Reddy et al., 2008; [www.spar.msstate.edu/classes.html](http://www.spar.msstate.edu/classes.html)).  
1169 Another approach for classroom instruction demonstrates how a model can be used to study management  
1170 options and to understand crop development and yield responses to environmental variables, such as  
1171 climate change. Students learn to implement cropping system simulation models to study the effects of  
1172 alternate planting dates, future climate change, and alternate fertility or irrigation schedules on crop  
1173 development and yield. Without a process-based model such as GOSSYM, it would be difficult to teach  
1174 crop and climate interactions in a traditional setting. Students appreciate the utility of simulation models  
1175 for understanding cropping system concepts and how management affects cotton production in real-world  
1176 scenarios.

1177 Instruction on the use of the DSSAT crop models has been provided during annual short-term  
1178 training workshops. These training programs have attracted between 50 to 100 attendees internationally  
1179 from private businesses, universities, and government agencies, demonstrating the interest in the models  
1180 among a variety of people. Such workshops are currently the primary source of formal training for post-  
1181 graduate agricultural professionals aiming to use crop models in their work.

1182

1183 *3.2.10. Other agronomic considerations*

1184 To assist research in cotton management issues, OZCOT has been used to investigate  
1185 opportunities for using high fruit retention transgenic cotton with changes in planting time to improve  
1186 crop WUE (Braunack et al., 2012) and to assess the risk of alternative management strategies for early  
1187 crop maturity (Richards et al., 2001). As part of the FARMSCAPE initiative, which was a participatory  
1188 action research approach used to encourage the use of cropping system models in Australian commercial  
1189 cotton production (Carberry et al., 2002b), OZCOT was implemented to assist dryland cotton growers in  
1190 choosing summer crops (sorghum or cotton) and cotton row configurations (solid planted versus skipped  
1191 rows) to reduce risk of crop failure (Bange et al., 2005). Extending this effort by using the APSIM  
1192 simulation framework (Keating et al., 2003) has enabled assessments of the production, economic, and  
1193 environmental consequences of different dryland crop rotation sequences involving cotton (Carberry et  
1194 al., 2002b).

1195 To estimate changes in soil organic C for different cropping systems in West Africa, Tojo Soler et  
1196 al. (2011) used CROPGRO-Cotton with other DSSAT crop modules to simulate eight crop rotations that  
1197 included cotton, sorghum, peanut, maize, and fallow. In agroforestry research, Zamora et al. (2009) used  
1198 the CROPGRO-Cotton model to investigate light availability to cotton under a pecan alley cropping  
1199 system. Finally, Ortiz et al. (2009) used CROPGRO-Cotton to assess the impacts of root-knot nematode  
1200 parasitism on biomass and seed cotton yield in Georgia.

1201

1202 **4. Future Directions and Opportunities**

1203 In the last century, research efforts resulted in the development of several cropping system  
1204 simulation models for cotton, including GOSSYM, Cotton2K, COTCO2, OZCOT, and CROPGRO-  
1205 Cotton. At that time, research funding was available specifically for model development and testing. For  
1206 example, GOSSYM development was initially funded within the USDA Agricultural Research Service  
1207 (Baker et al., 1983), and CROPGRO development originated with the IBSNAT Project (Uehara and  
1208 Tsuji, 1998) funded by the United States Agency for International Development (USAID). Sources of  
1209 funding for model development have largely disappeared. The Agricultural Model Intercomparison and  
1210 Improvement Project (AgMIP) is a recent noteworthy effort to improve existing crop simulation models,  
1211 although model developers are expected to provide their own resources for this effort. AgMIP is an  
1212 international effort to link climate, crop, and economic models to address climate change impacts on  
1213 world food security in both developed and developing countries ([www.agmip.org](http://www.agmip.org)). Two major themes of  
1214 AgMIP that will advance the use of cropping systems simulation models in the new century are 1) the  
1215 intercomparison and improvement of existing crop models to identify simulation approaches that best  
1216 estimate cropping system processes and 2) the development of multidisciplinary teams that unite

1217 researchers in the areas of climate science, crop science, computer science, and economics.  
1218 Multidisciplinary teamwork and efforts to compare cotton models, such as that exemplified in AgMIP,  
1219 will increase the utility of these models for addressing cotton production issues in the new century.

1220 A notable accomplishment reported herein is the development of the spatially-distributed  
1221 GOSSYM model (Liang et al., 2012b), because large-scale applications of cropping system models are  
1222 becoming increasingly important to address the imminent challenge of global climate change. Policy  
1223 makers, economists, and climate scientists are more interested in simulation results at regional scale, such  
1224 as county-level, state-level, or the 30 km grid used by Liang et al. (2012b). However, because existing  
1225 cotton simulation models were developed from decades of experiments at the scale of individual  
1226 agronomic plots, plants, or plant leaves, the implementation of the models at regional scale offers several  
1227 challenges. Foremost is the challenge of collecting model input data over large areas with spatial  
1228 resolution high enough to satisfy the original model scaling assumptions. Since current data collection  
1229 methods are unable to provide such detailed information, the only option has been to conduct simulations  
1230 at reduced spatial resolutions with knowledge that landscape heterogeneity can largely invalidate the  
1231 original scaling assumptions of the model. The degree to which system processes measured and simulated  
1232 at the point-scale is relevant at broader scales remains an open question. One solution lies in the  
1233 development of better data collection methodologies, so model input requirements can be satisfied at an  
1234 appropriate spatial scale. Until that goal is realized, generalization and simplification of existing models is  
1235 necessary to provide appropriate simulation tools for large-scale analyses that are not focused within the  
1236 borders of a given agronomic unit.

1237 Satellite remote sensing has been proposed as a source of spatial data for model parameterization  
1238 and calibration; however, remaining challenges are how to appropriately interface remotely sensed  
1239 measurements with the simulation models and whether remote sensing offers enough information to  
1240 effectively guide a given model. This issue is also likely related to the issue of model complexity versus  
1241 generality. With the notable exception of GRAMI, most cropping system simulation models were  
1242 developed independently from advancements in remote sensing, which complicates their union. Further  
1243 development and perhaps generalization of existing models, while considering the types of information  
1244 that can be obtained from remote and proximal sensing, will promote the union of the models with these  
1245 sensing technologies. Conversely, model parameterization requirements can advise the development of  
1246 novel sensors that provide better estimates of model input parameters. For example, sensors that measure  
1247 leaf orientation or boll development may assist model parameterization efforts. Improving the union of  
1248 models and sensor data will facilitate the regional-scale modeling endeavors described above as well as  
1249 precision agriculture applications at the field scale.

1250           While large-scale applications of cotton simulation models are becoming increasingly important,  
1251 the main utility of the models remains as a tool for guiding management decisions. In the last decade, the  
1252 literature has demonstrated substantial efforts to use cotton simulation models for irrigation water  
1253 management in all major cotton-producing regions across the globe. The models were also used to  
1254 address N fertilization issues and to make crop management decisions in response to near-term  
1255 climatological predictions or water supply constraints. Lascano and Booker (2013) discussed several  
1256 factors that have contributed to the surge in use of mechanistic crop models as management tools. Factors  
1257 included advances in computer hardware and software, electronics, variable-rate application, and  
1258 proliferation and availability of the input data required by the models. For example, soil data provided by  
1259 the United States Department of Agriculture, elevation data provided by the United States Geological  
1260 Survey, and weather data from weather networks provide the necessary inputs for model implementation  
1261 throughout most of the United States Cotton Belt. Despite these positive developments, a substantial gap  
1262 persists between the use of cotton simulation models for research and for on-farm decision making  
1263 (McCown, 2002b; McCown et al., 2002). Scientists have theorized (McCown, 2002a) and developed  
1264 (McCown et al., 2002) many agricultural DSSs to deliver scientific knowledge to farm managers.  
1265 Unfortunately, many such DSSs remain unused (McCown, 2002b). Also, McCown et al. (2012)  
1266 documented farmers' tendency to reduce model simulation results to a set of intuitive management rules,  
1267 thereby foregoing model use as an on-going decision aid. Lessons for successful on-farm implementation  
1268 of scientific DSSs include 1) treatment of the DSS as a tool to assist the decision process rather than to  
1269 by-pass it, 2) the importance of positive social interaction between the DSS developer and the farmer, and  
1270 3) the potential for co-creation of DSSs that incorporate both practical and scientific knowledge  
1271 (McCown, 2002b). Notable examples of successful interactions between scientists and farmers include  
1272 the early efforts to use GOSSYM-COMAX for on-farm cotton management (McKinion et al., 1989); the  
1273 use of APSIM in the FARMSCAPE initiative to examine the benefits of science-based soil sampling,  
1274 climate forecasting, and simulation modeling applied to on-farm decision support (Carberry et al., 2002b);  
1275 and an application of OZCOT within the HydroLOGIC irrigation management software for eleven on-  
1276 farm experiments in Australia (Richards et al., 2008). Continued interaction between cotton growers and  
1277 research scientists is warranted to facilitate the use of cotton models for on-farm decisions and to develop  
1278 appropriate decision tools that implement the models to answer pertinent questions.

1279           Applications of cotton simulation models in the broader assessment of environmental impacts are  
1280 also increasing in importance. This review provides many examples of model use for analyzing losses of  
1281 N fertilizer and other production inputs to the environment, quantifying greenhouse gas emissions from  
1282 agricultural soils, and assessing the potential for soil C sequestration. However, there is currently a  
1283 movement toward life-cycle assessment or cradle-to-grave analysis for many consumer products,

1284 including textiles and food. These efforts originate both from policy mandates such as those in the  
1285 European Union (Wolf et al., 2012) and from industry initiatives such as The Sustainability Consortium  
1286 ([www.sustainabilityconsortium.org](http://www.sustainabilityconsortium.org)). Cropping system simulation models are the only tool that can  
1287 account for complex cropping system processes and estimate the impacts of crop management practices  
1288 over a wide range of environmental conditions and geographic locations.

1289 In the early days of cropping system simulation model development, the models were commonly  
1290 regarded as stand-alone tools for crop growth simulation, and computing technology at that time did not  
1291 permit much more. Increasingly, the models are now implemented as a single component within broader  
1292 software and hardware systems. For example, the use of cotton simulation models with optimization  
1293 algorithms and advanced process control for irrigation management (McCarthy et al., 2013), within GIS  
1294 software for spatial simulation analyses (Thorp et al., 2013), or with other process models that simulate  
1295 water availability (Ritchie et al., 2004), irrigation hydraulics (Bautista et al., 2009), or climate forecasts  
1296 (Liang et al., 2012b) will be increasingly important for optimizing management practices while more  
1297 broadly considering the desired management outcomes. Hence, it is expected that the greatest benefit of  
1298 cotton simulation models will be realized by integrating the models with the other software and hardware  
1299 components, as required for whole system optimization. For example, cotton simulation models could be  
1300 integrated with equipment control systems (e.g., irrigation consoles and tract sprayer controllers), which  
1301 use real-time telemetry data that describe environmental conditions and crop status to automatically adjust  
1302 crop inputs both spatially and temporally for optimum crop production. Simultaneously, models  
1303 integrated with geospatial technologies on a large server could calculate cropping system responses  
1304 regionally and provide field-scale control systems with information on crop input limitations or  
1305 restrictions, considering potential environmental impacts, resource restraints, and climate predictions at  
1306 the regional scale.

1307 This broad vision for model implementation requires the models to be succinct, well-structured,  
1308 and flexible enough for seamless integration into diverse software and hardware systems. It also  
1309 necessitates improvements in model documentation, training courses, and educational materials, because  
1310 the next generation of cotton modelers will likely come from diverse disciplines and may have limited  
1311 knowledge of the ecophysiology represented in the models. Efforts are needed to design models that are  
1312 more foolproof, quickly learned, and easily implemented. This will increase confidence in the models,  
1313 attract more users who find value in modeling endeavors, and insure that future generations benefit from  
1314 the model development efforts undertaken in the past decades.

1315

## 1316 **5. Conclusions**

1317           Prior to conducting this review of literature, the consensus among several of the authors was that  
1318 the development and application of cotton simulation models had somewhat languished since the early  
1319 successes with the GOSSYM model in the last century. With regard to model development, this  
1320 assessment appears accurate. No sustained advancements in the development of simulation models  
1321 specific to cotton were noted in the new century. However, there has been a substantial increase in the  
1322 application of cotton models since 2000. In fact, the main topics of early reports on cotton simulation  
1323 modeling applications, including irrigation and fertilizer management, climate assessment, and model  
1324 integration with remote sensing, have all been expounded to full sections herein, each describing several  
1325 reports of new progress since the turn of the century. These contributions have been largely disconnected  
1326 however, an issue that this review aimed to remedy.

1327           An encouraging finding is the increased interest and use of cotton simulation models by non-  
1328 agronomists and non-traditional crop modelers. Researchers in economics, engineering control, and  
1329 climate forecasting recognize the utility of process-based cropping system simulation models for  
1330 applications within their areas of expertise. Increasingly, cotton simulation models are being implemented  
1331 beyond simple evaluations of agronomic experiments. As a result, a challenge for model developers is to  
1332 address complexity issues with the models and to insure that models of appropriate complexity are  
1333 available for a given application. A related issue is to improve the ease of model implementation for non-  
1334 traditional crop modelers.

1335           While improving model versatility for non-agronomists is an important goal, a main thrust for  
1336 cotton simulation modeling research and application continues to be in the area of on-farm management  
1337 decisions, including both strategic planning for allocation of limited resources and routine management of  
1338 production inputs by growers. Thus, further efforts to develop and evaluate existing cotton simulation  
1339 models are warranted to improve their ability to respond adequately to environmental conditions and  
1340 simulate cotton growth, development, and yield at the field scale. No efforts to compare existing cotton  
1341 simulation models were found in literature, so this would be advisable as a first effort to evaluate  
1342 methodologies among existing cotton simulation models.



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1990 **List of Abbreviations**

1991	Advanced Very High Resolution Radiometer	AVHRR
1992	Agricultural Model Intercomparison and Improvement Project	AgMIP
1993	Agricultural Production Systems sIMulator	APSIM
1994	Atmospheric Carbon Dioxide Concentration	[CO <sub>2</sub> ]
1995	Carbon	C
1996	Carbon DiOxide	CO <sub>2</sub>
1997	Commonwealth Scientific and Industrial Research Organization	CSIRO
1998	CrOp MAnagement EXpert	COMAX
1999	Cropping System Model	CSM
2000	Decision Support System for Agrotechnology Transfer	DSSAT
2001	Decision Support System	DSS
2002	Drained Upper Limit	DUL
2003	El Niño/La Niña Southern Oscillation	ENSO
2004	Environmental Policy Integrated Climate	EPIC
2005	EvapoTranspiration	ET
2006	Food and Agriculture Organization	FAO
2007	Genetics by Environment by Management	GEM
2008	Geographic Information System	GIS
2009	International Benchmark Sites Network for Agrotechnology Transfer	IBSNAT
2010	Integrated Quantity Quality Model	IQQM
2011	Leaf Area Index	LAI
2012	Linear Imaging Self-Scanning	LISS
2013	Lower Limit of plant extractable water	LL
2014	MODerate Resolution Imaging Spectroradiometer	MODIS
2015	Nitrogen	N
2016	Nitrogen Loss and Environmental Assessment Package	NLEAP
2017	Root Zone Water Quality Model	RZWQM
2018	SATurated soil water content	SAT
2019	Simple and Universal CROp growth Simulator	SUCROS
2020	Soil-Water-Atmosphere-Plant	SWAP
2021	Surface Energy Balance Algorithm for Land	SEBAL
2022	United States Agency for International Development	USAID
2023	United States Department of Agriculture-Agricultural Research Service	USDA-ARS
2024	Water Use Efficiency	WUE
2025	WORld FOod STudies	WOFOST
2026		

**Table 1.** General information on existing cotton simulation models.

<b>Model</b>	<b>Predecessor Models</b>	<b>Programming Language</b>	<b>Time Step</b>	<b>Key References</b>	<b>Decision Support Tools</b>
GOSSYM	SIMCOTI SIMCOTII	Fortran	Daily	Baker et al. (1983) Reddy et al. (2002b)	COMAX
Cotton2K	GOSSYM CALGOS	C++, formerly Fortran	Hourly	Marani (2004)	None
COTCO2	KUTUN ALFALFA	Fortran	Hourly	Wall et al. (1994)	None
OZCOT	SIRATAC	C#, formerly Fortran	Daily	Hearn and Da Roza (1985) Hearn (1994)	APSIM CottBASE HydroLOGIC VARIwise Whopper Cropper
CSM-CROPGRO-Cotton	CROPGRO-Soybean	Fortran	Daily	Hoogenboom et al. (1992) Jones et al. (2003)	DSSAT

**Table 2.** Crop growth and development processes simulated by existing cotton simulation models.

	<b>GOSSYM</b>	<b>Cotton2K</b>	<b>COTCO2</b>	<b>OZCOT</b>	<b>CROPGRO-Cotton</b>
Phenology	Develops vegetative and fruiting branches and nodes based on thermal time  Calculates the number of branches, squares, bolls, open bolls, fruiting sites, and aborted fruits	Develops vegetative and fruiting branches and nodes based on thermal time  Calculates the number of branches, squares, bolls, open bolls, fruiting sites, and aborted fruits	Develops meristem tissue, leaf primordia, petioles, growing and mature leaves, stem segments between nodes, squares, bolls, and open bolls based on thermal time	Develops the number of fruiting sites based on thermal time  Calculates the number of squares, bolls, open bolls, and aborted fruits based on crop carrying capacity	Development proceeds through growth stages based on photothermal time: emergence, first leaf, first flower, first seed, first cracked boll, and 90% open boll.  Calculates boll number and aborted fruits
Plant maps	Yes	Yes	Yes	No	No
Potential carbon assimilation	Canopy-level radiation interception	Canopy-level radiation interception	Organ-level biochemistry (Farquhar et al., 1980)	Canopy-level radiation interception	Leaf-level biochemistry (Farquhar et al., 1980)
Respiration	Uses an empirical function of respiration based on biomass and air temperature	Calculates growth and maintenance respiration and photorespiration	Calculates organ-level growth and maintenance respiration and photorespiration	Uses empirical functions of respiration based on fruiting site count and air temperature	Calculates growth and maintenance respiration
Partitioning	Allocates carbon to individual growing organs	Allocates carbon to individual growing organs	Allocates carbon to individual growing organs	Allocates carbon to cohort pools for developing bolls	Allocates carbon to single pools for leaves, stems, roots, and bolls
Canopy size	Calculates plant height	Calculates plant height	Calculates stem segment lengths	None	Calculates hedgerow-based canopy height and width
Yield components	Calculates fiber mass as a fraction of boll mass and boll size	Calculates burr mass and seed cotton mass	Calculates boll mass	Calculates fiber mass as a fraction of boll mass and boll size	Calculates boll mass, seed cotton mass, seed number, and unit seed weight
Stress	Calculates stress due to water, nitrogen, and air temperature	Calculates stress due to water, nitrogen, and air temperature	Calculates stress due to water and air temperature	Calculates stress due to water, nitrogen, and air temperature	Calculates stress due to water, nitrogen, and air temperature

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**Table 3.** Atmospheric and soil processes simulated by existing cotton simulation models.

	<b>GOSSYM</b>	<b>Cotton2K</b>	<b>COTCO2</b>	<b>OZCOT</b>	<b>CROPGRO-Cotton</b>
[CO <sub>2</sub> ] effect on photosynthesis	Yes	Yes	Yes	No	Yes
[CO <sub>2</sub> ] effect on transpiration	No	No	Yes	No	Yes
ET	Ritchie (1972)	Modified Penman equation from CA Irrigation Management Information System	Leaf-level energy balance coupled with stomatal conductance	Ritchie (1972)	Priestley and Taylor (1972) and FAO-56 (Allen et al., 1998)
Soil water	2D RHIZOS model (Lambert et al., 1976)	2D RHIZOS model (Lambert et al., 1976)	2D model	Ritchie (1972)	Ritchie (1998) and Ritchie et al. (2009)
Soil nitrogen	Dynamic simulation of soil and plant nitrogen balances	Dynamic simulation of soil and plant nitrogen balances	No	Static, empirical approach that predicts potential N uptake	Godwin and Singh (1998) or Gijsman et al. (2002)
Soil phosphorus	No	No	No	No	Yes
Soil salinity	No	Yes	No	No	No
Waterlogging	No	No	No	Yes	Yes
Flooding	No	No	No	No	Yes

**Table 4.** Management practices simulated by existing cotton simulation models and other applications.

	<b>GOSSYM</b>	<b>Cotton2K</b>	<b>COTCO2</b>	<b>OZCOT</b>	<b>CROPGRO-Cotton</b>
Sowing date	X	X	X	X	X
Cultivar selection	X	X	X	X	X
Row spacing	X	X	X	X	X
Skip rows	X	X		X	
Planting density	X	X	X	X	X
Irrigation	X	X	X	X	X
Fertilizer	X	X		X	X
Crop residue					X
Tillage		X			X
Growth regulators	X	X			
Defoliation	X	X		X	X
Insect damage	X	X	X	X	X
Disease impact		X			X
Climate change	X		X		X
Cropping sequences				X	X
Geospatial analysis		X		X	X