

3 Multiple generations of grain aggregation in different  
4 environments preceded solar system body formation

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17  
18 **Classification**

19 Major: Physical Sciences

20 Minor: Earth, Atmospheric, and Planetary Sciences

21  
22  
23 Submitted to *Proceedings of the National Academy of Sciences*  
24

25 **Abstract:** The solar system formed from interstellar dust and gas in a molecular cloud.  
26 Astronomical observations show that typical interstellar dust consists of amorphous (*a*-) silicate  
27 and organic carbon. *Bona fide* physical samples for laboratory studies would yield unprecedented  
28 insight about solar system formation, but they were largely destroyed. The most likely  
29 repositories of surviving presolar dust are the least altered extraterrestrial  
30 materials, interplanetary dust particles (IDPs) with probable cometary origins. Cometary IDPs  
31 contain abundant submicron *a*-silicate grains called GEMS, believed to be carbon-free. Some  
32 have detectable isotopically anomalous *a*-silicate components from other stars, proving they are  
33 preserved dust inherited from the interstellar medium. However, it is debated whether the  
34 majority of GEMS predate the solar system or formed in the solar nebula by condensation of  
35 high-temperature (>1300K) gas. Here, we map IDP compositions with single nanometer-scale  
36 resolution and find that GEMS contain organic carbon. Mapping reveals two generations of  
37 grain aggregation, the key process in growth from dust grains to planetesimals, mediated by  
38 carbon. GEMS grains, some with *a*-silicate subgrains mantled by organic carbon, comprise the  
39 earliest generation of aggregates. These aggregates (and other grains) are encapsulated in lower  
40 density organic carbon matrix, indicating a second generation of aggregation. Since this organic  
41 carbon thermally decomposes above ~450K, GEMS cannot have accreted in the hot solar nebula  
42 and formed, instead, in the cold presolar molecular cloud and/or outer protoplanetary disk. We  
43 suggest that GEMS are consistent with surviving interstellar dust, condensed *in situ*, and cycled  
44 through multiple molecular clouds.

45  
46 **Significance Statement:** The initial solids from which the solar system formed consisted almost  
47 entirely of amorphous silicate, carbon and ices. This dust was mostly destroyed and reworked by  
48 processes that led to the formation of planets. Surviving samples of presolar dust are most likely  
49 to be preserved in comets, small cold bodies that formed in the outer solar nebula. In  
50 interplanetary dust particles originating from comets, we observe organic carbon mantles on  
51 subgrains within amorphous-silicate-dominated grains called GEMS. Our observations constrain  
52 GEMS grain formation to cold and radiation-rich environments, making a compelling case that  
53 these exotic grains, unique to a relatively obscure class of extraterrestrial material, are surviving  
54 dust from (variable) interstellar environments and thus the original building materials of  
55 planetary systems.

56 \body **Introduction:** Knowledge of the dust from which our molecular cloud and, later, the solar  
57 system formed is critical to our understanding of chemical and physical processes in star-forming  
58 regions, the inventory of organics incorporated in the solar system, as well as the accretion and  
59 subsequent evolution and processing of solar system bodies. Limited insight about the initial dust  
60 population has come from laboratory studies of primitive extraterrestrial objects: Some dust  
61 grains inherited from the interstellar medium (ISM) are recognizable by their dramatically non-  
62 solar isotopic compositions, and they have survived at the few to several hundred parts-per-  
63 million level in samples of primitive extraterrestrial objects. These rare, preserved “stardust  
64 grains” are the most refractory dust that formed in circumstellar outflows of other stars or  
65 supernovae and retained their isotopic signatures despite residence in the interstellar medium and  
66 solar system. However, they are a minor and unrepresentative fraction of the dust observed and  
67 modeled by astronomers (1). Most of the mass of interstellar dust (97-99%) is completely  
68 reprocessed in the interstellar medium and is subjected to shocks, impacts, recondensation and  
69 repeated cycling in and out of dense molecular clouds (2). Typical reprocessed interstellar dust  
70 grains should have averaged elemental and isotopic compositions that are similar to the sun for  
71 dust-forming elements. Although laboratory analytical studies of isotopically anomalous presolar  
72 dust have provided key insights into circumstellar environments, they cannot confidently identify  
73 most presolar dust because isotopic composition analyses in such small samples do not reliably  
74 discriminate between dust formed in the solar nebula and dust formed or accreted in the ISM.

75

76 Thus, we rely on astronomical observations and experiments to infer the characteristics of  
77 average interstellar dust that was incorporated in the solar system (1,3-6). Astronomical  
78 observations indicate that the interstellar dust incorporated in molecular clouds and cold outer  
79 nebula environments is comprised predominantly of two kinds of solids, amorphous (*a*-) silicate  
80 and organic carbon (5) (SI Appendix). Grain sizes of interstellar dust are typically 5 – 500 nm in  
81 diameter, and the *a*-silicates are inferred to be Mg-rich, likely with nanometer-scale metallic iron  
82 (5-8). Additional data was recently provided by *in situ* analysis of an ISM dust stream currently  
83 entering the solar system: The Cosmic Dust Analyzer (CDA) on board the Cassini spacecraft  
84 determined that contemporary interstellar dust grains from the diffuse ISM consist primarily of  
85 grains of magnesium-rich silicate composition with ~solar relative abundances of the non-  
86 volatile rock-forming elements Mg, Si, Ca and Fe, a mean size of ~200 nm and inferred presence

87 of iron inclusions (9). (Carbon detection by the CDA was difficult in such small samples  
88 impacting at high speeds due, in part, to contamination issues (9).)

89  
90 The logical repositories of surviving presolar dust are small solar system bodies that escaped the  
91 differentiation processes experienced by large planetary bodies. Although rare *a*-silicate and  
92 organic carbon grains with isotopic signatures of presolar origins are found in the most primitive  
93 meteorites, the hot conditions in the inner solar nebula were not conducive to their survival  
94 (7,10). Even if such grains survived the heating, evaporation and re-condensation processes at  
95 work in the hot inner part of the solar system to be incorporated into asteroid parent bodies of  
96 meteorites, the evidence would have been largely overwritten by compaction, collisional shock,  
97 additional heating and aqueous alteration (1,11). Instead, minimally-altered primary *a*-silicates  
98 and organic carbon are most likely to survive in carbon-rich, anhydrous, interplanetary dust  
99 particles (IDPs) and micrometeorites, uncompacted dust grain aggregates believed to originate  
100 from small bodies like comets that have escaped significant alteration because of their small  
101 sizes and accretion in cold outer regions of the protoplanetary disk (12,13).

102  
103 Cometary IDPs contain varying abundances of *a*-silicate grains known as GEMS (glass with  
104 embedded metal and sulfides). They are prime candidates for the initial bricks and mortar used to  
105 make planets because 1) some have been shown to have non-solar isotope compositions  
106 consistent with origins in the outflows of other stars or supernovae (14,15) and are thus  
107 unambiguous remnant interstellar dust, and 2) they have many properties consistent with those  
108 observed and inferred for interstellar dust: GEMS grains have ~solar relative abundances of the  
109 rock-forming elements, a mean grain size of ~180 nm and are unique among known meteoritic  
110 materials in having nanometer-sized inclusions of FeNi alloy (kamacite) and iron-rich sulfide  
111 (pyrrhotite) embedded in magnesium-rich, amorphous silicate glass (8,13,16-18). In addition,  
112 regions containing only GEMS grains and only organic carbon in cometary IDPs have both been  
113 shown to exhibit an ultraviolet (UV) – visible spectral feature at 5.7 eV that corresponds to the  
114 2175 Ångstrom feature observed in the ISM and is attributed to the presence of polyaromatic  
115 hydrocarbons (PAHs) (19). Infrared spectral similarity between the organic carbon in meteorites,  
116 IDPs and dust in the (diffuse) interstellar medium has long been recognized (20). Crystalline  
117 minerals, generally believed to have been transported from hot regions of the disk, and GEMS



118 grains are often bound together by a typically-porous, organic carbon matrix. Organic carbon  
119 nanoglobules originating in the presolar molecular cloud or outer reaches of the protosolar disk  
120 have been reported in some cometary IDPs (21).

121  
122 Two very different mechanisms and environments of GEMS formation have been proposed. The  
123 first theory proposes that GEMS formed by irradiation processing that resulted in gradual  
124 isotopic and chemical homogenization of mineral grains in a cold environment like the ISM (17).  
125 This theory posits that all GEMS are surviving presolar *a*-silicates, and only some retain  
126 detectable remnant isotope signatures of their stellar origins. The second theory proposes that  
127 most GEMS formed by non-equilibrium condensation in a hot environment like the inner solar  
128 nebula after more refractory minerals condensed from a gas of solar (elemental and isotopic)  
129 composition (18). This second theory posits that there are two populations of GEMS, some,  
130 presolar *a*-silicates, but most, solar system condensates. More details of the two theories and  
131 counterarguments are given in the SI Appendix.

132  
133 Both theories for GEMS formation assume that GEMS are composed exclusively of inorganic *a*-  
134 silicate matrix and mineral grains (FeNi metal and FeNi sulfides), that is, that they are carbon-  
135 free. However, the carbon content of GEMS grains has remained elusive due to their sub-  
136 picogram masses, compositional and structural heterogeneity, terrestrial contamination, and the  
137 impracticality of gathering together such small, embedded objects to permit bulk analyses. If  
138 GEMS grains, even those that are isotopically normal, contain organic carbon, it would represent  
139 a new observational constraint on their formation conditions. Here, we describe state-of-the-art  
140 1-3 nanometer spatial resolution analyses of GEMS to assess the petrographic (spatial)  
141 relationships between *a*-silicate and organic carbon components and consider constraints on the  
142 processes involved in GEMS formation and the astrophysical setting in which those processes  
143 most likely occurred.

144  
145 **Results:** We examined two cometary IDPs that are rich in *a*-silicate GEMS grains and organic  
146 carbon and poor in crystalline silicates condensed in hot inner solar system regions. One contains  
147 nanoglobules. We examined a  $\sim 10$   $\mu\text{m}$  diameter IDP (U217B19) and a  $\sim 10$   $\mu\text{m}$  diameter  
148 fragment or “clast” (LT39) of a giant cluster particle (U220GCA) using electron microscopy,

149 secondary ion mass spectroscopy (SIMS) and Fourier transform infrared spectroscopy (FTIR) on  
150 ultramicrotomed sections. (See SI Appendix and Fig. S1.) Both IDPs are a ~50/50% mixture by  
151 volume of organic carbon and  $\alpha$ -silicate GEMS (Fig. 1). The GEMS grains contain FeNi metal  
152 (kamacite) and FeNi sulfide (pyrrhotite) nanocrystals embedded in amorphous Mg-silicate  
153 matrix.

154  
155 Energy-dispersive X-ray spectroscopy (EDX) and mapping were used to assess composition and  
156 structural relationships between GEMS grains and organic carbon. Elemental mapping with 1-3  
157 nm spatial resolution reveals carbon mantles both on the exterior surfaces of GEMS grains and  
158 also on subgrains inside GEMS grains as well as partial mantles of GEMS material on  
159 nanoglobule surfaces (Fig. 1 and SI Appendix, Table S1). Partial mantles of GEMS are identified  
160 by their GEMS-like morphology and elemental chemistry. While some GEMS grains display  
161 clear carbonaceous mantles on subgrains, the carbon in others is more diffusely distributed. The  
162 average composition of the organic carbon matrix for U217B18, determined by STEM EDX, is  
163  $C_{83}N_8O_9$  (SI Appendix, Fig. S5 and Table S2). Additional details of EDX data and procedures  
164 employed are described in the SI Appendix.

165  
166 Electron energy loss spectroscopy (EELS) confirms the N/C ratio of 0.07 (SI Appendix, Fig. S6  
167 and Table S2). This N/C ratio is similar to that found in chondritic (CI) meteorites, although C  
168 and N bulk abundances in U217B19 (and LT39) are approximately an order of magnitude  
169 higher. LT39 matrix organic carbon, however, has a consistently lower N/C ratio of 0.02. From  
170 relative X-ray and EELS scattering intensities, we distinguish two densities of organic carbon.  
171 One is a low-density matrix, in which the GEMS grains and few crystals are embedded, and is  
172 compositionally similar in both U217B19 and LT39. The other is higher density organic carbon  
173 present as mantles on GEMS grains and their internal subgrains and as individual blebs in matrix  
174 organic carbon (Fig. 1). Organic nanoglobules, when present, are also higher in density than the  
175 surrounding matrix. The nanoglobules have O/C ratios similar to the lower density organic  
176 carbon matrix, but the blebs and mantles on grains have higher O/C ratios (SI Appendix, Table  
177 S2).

178  
179 FTIR spectra (diffraction-limited) over entire individual thin sections show that the carbon in

180 U217B19 is organic. While the peak wavenumbers of several of the proposed features are not  
181 uniquely identifiable and the relative strengths of the features do not directly scale with  
182 abundances, we find that the organic carbon contains a significant aliphatic component and  
183 complex hydroxyl (R-OH), carbonyl (C=O), cyano (C≡N) and probable minor nitro (R-NO<sub>2</sub>)  
184 molecular chemistry (Fig. 2). The sharp silicate feature at ~1100 cm<sup>-1</sup> (~9 μm) is due not to  
185 GEMS but to the presence of minor crystalline silicates (pyroxene) in the ultramicrotomed  
186 section. Infrared signal from the GEMS is very weak relative to the crystalline component and is  
187 further weakened due to their high content of metal, sulfides and carbon (19). In the presence of  
188 crystalline silicates, GEMS are undetected in infrared spectra from IDP thin sections (22,23).  
189 Additional details of FTIR data and procedures employed are described in the SI Appendix.

190  
191 EELS was used to investigate molecular functionality and variation at the 5 nm spatial scale in  
192 matrix organic carbon and nanoglobules (Fig. 3). Limited signal-to-noise unfortunately  
193 precluded reliable EELS collection from thin organic carbon mantles. We note, however, that  
194 the carbon within GEMS is consistent with organic matter since GEMS have a 2175 Ångstrom  
195 feature associated with PAHs in low loss EELS (24), and we see no evidence for inorganic  
196 nitride or carbide. Consistent with the FTIR data, low-loss valence-band EELS measurements  
197 from the low- and high-density organic carbon exhibit features with fine structures indicative of  
198 aliphatic and polyaromatic hydrocarbons (PAHs) and core-loss N, C and O EELS analyses  
199 indicate diverse heteroatomic N and O moieties consistent with the EDX measurements (Fig. 3b-  
200 d) (20-21,24-26). Similar hydrocarbon fine structures are observed at larger spatial scales (30-50  
201 nm) among other IDPs and micrometeorites using synchrotron XANES (27). The EELS data  
202 reveal that molecular complexity persists to the single nanometer scale in IDPs (Fig. 3a).  
203 Additional details of EELS data and procedures employed are described in the SI Appendix.

204  
205 The N, C and O isotopic compositions of IDP U217B19 were measured by ion imaging of  
206 several ultramicrotomed slices using NanoSIMS. Enrichments in <sup>15</sup>N, up to  $\delta^{15}\text{N} = 412 \pm 37$  (1 $\sigma$ )  
207 ‰, were measured in the higher density nanoglobules in two thin sections (Fig. 4). N-rich  
208 regions elsewhere in the section correlate with locations of GEMS grains and high density  
209 organic carbon, but are not isotopically anomalous at statistically significant levels due to  
210 insufficient signal-to-noise. No statistically significant isotopic anomalies were identified in

211 either C or O (SI Appendix, Fig. S2). Continuous N and C signals during NanoSIMS secondary  
212 ion sputtering and imaging are consistent with organic carbon distributed throughout the volumes  
213 of GEMS grains. Additional details of NanoSIMS data and procedures employed are described  
214 in the SI Appendix.

215  
216 **Discussion:** We report the first observation within individual GEMS grains of organic carbon  
217 mantles on *a*-silicate subgrains as small as ~10 nanometers, in addition to distinct mantles on  
218 GEMS grain exteriors (Fig. 1a-d). These GEMS and other crystalline components are bound  
219 together by an organic matrix. The organic mantles have measurably higher O contents and  
220 densities than the surrounding organic matrix. We interpret these observations as evidence for  
221 two sequential generations of aggregation, possibly in different environments. GEMS are thus  
222 first-generation aggregates in which subgrain mantles may have played a role in the aggregation  
223 (or accretion) process. The second generation of aggregation involved sticking of GEMS grains,  
224 crystals and nanoglobules, also perhaps facilitated by a second generation of mantles, to form the  
225 aggregate structure with organic matrix observed in cometary IDPs.

226  
227 Organic carbon within GEMS grains clarifies our understanding of previous observations. Acid  
228 etching experiments demonstrated a close association of GEMS grains with organics that is now  
229 revealed to be even more intimate. (See SI Appendix and references therein.) Prior studies have  
230 also noted GEMS grains' anomalously low density relative to crystalline silicates, now readily  
231 explained by organic carbon within GEMS grains. Finally, observed stoichiometric excesses of  
232 oxygen were attributed solely to the *a*-silicate, but oxygen in the organic carbon also contributes  
233 to an apparent excess.

234  
235 Our observations present new constraints on GEMS grains formation environment(s). The  
236 organic carbon found in GEMS interiors is inconsistent with subgrain aggregation in the hot  
237 inner solar nebula because C=O and C≡N containing molecules, like those we observe, are  
238 thermally unstable at temperatures as low as 450K (10,28). One possibility is that GEMS  
239 subgrains were transported outward from the hot inner regions, as were the minor quantities of  
240 crystalline single-mineral grains (e.g. enstatite, forsterite and pyrrhotite) that are consistent with  
241 vapor-phase condensation in the hot inner solar nebula and transport to the cold outer nebula

242 comet-forming region. In this case, outward-transported GEMS subgrains would have  
243 aggregated into GEMS grains in cold regions prior to the second aggregation to form cometary  
244 parent bodies. However, GEMS subgrains were not subject to the same environment as the  
245 mineral grains because the latter lack higher density mantles. This suggests that GEMS subgrains  
246 were not condensed in the inner solar system and transported outwards prior to aggregation in a  
247 colder environment. Whether or not GEMS subgrains condensed in the inner solar nebula, the  
248 presence of interior organic carbon precludes (aggregate) GEMS grain formation by non-  
249 equilibrium condensation in the inner solar system, one of the theories currently espoused for  
250 GEMS grain formation (18). It is also inconsistent with a single process in the ISM, like  
251 irradiation processing of mineral grains, the other theory for GEMS grain formation (17).

252  
253 A number of lines of evidence point to cold environments for both generations of aggregation.  
254 First, GEMS-rich IDPs contain the highest abundances of surviving isotopically anomalous  
255 refractory and non-refractory components, consistent with a cold environment well beyond the  
256 influence of the early Sun (14,15). Second, the elemental and isotopic compositions of the  
257 organic carbon in the IDPs analyzed here support their formation in an extremely cold  
258 environment, like those in molecular clouds and/or the outer solar nebula (21,29-31). Nitrogen-  
259 rich organic carbon is believed to result from low-temperature UV photolysis of N-rich ices and  
260 (icy) mantles on grains (4,29,31). Non-solar  $^{15}\text{N}/^{14}\text{N}$  isotope ratios in the nanoglobules are  
261 believed to result from chemical fractionation at even lower temperatures ( $\sim 20\text{K}$ ) (20). Different  
262 N/C element ratios in the matrix carbon of the two IDPs suggest either variability in the volatile  
263 chemistry of the molecules condensed into icy mantles prior to second generation aggregation or,  
264 more likely, differences in the temperatures and/or irradiation experienced by second generation  
265 aggregates resulting in different degrees of loss of some N-bearing species. Higher O/C ratios in  
266 the mantles on GEMS grains, relative to that in the organic matrix, are consistent with prolonged  
267 irradiation of O-bearing ices at low temperatures (20). High levels of O in organics have been  
268 previously associated with presolar molecular cloud material in IDPs (32). Third, our  
269 observations indicate that GEMS subgrains aggregated in the presence of organic nanoglobules.  
270 The organic nanoglobules in U2-17B19 exhibit inorganic, partial mantles of GEMS material on  
271 their surfaces, not previously reported (Fig. 1e-h). Nitrogen-rich,  $^{15}\text{N}/^{14}\text{N}$ -enriched nanoglobules  
272 have been extensively studied and require cold and radiation-rich formation environments,

273 although not necessarily simultaneously (20,21,26). As such, we infer that, like nanoglobules,  
274 GEMS grains form in such environments. Finally, the mixed aliphatic and aromatic content and  
275 the remarkable diversity of N- and O-bearing moieties and rich molecular heterogeneity that  
276 extends down to the nanometer length scale (Figs. 2 and 3) in the organic carbon is consistent  
277 with astronomical observations of rich molecular chemistry in molecular clouds (33,34).

278  
279 Given the constraints on GEMS formation environments established by this study, we favor a  
280 presolar origin for GEMS subgrains. Non-solar oxygen isotopic abundances detected in several  
281 large GEMS grains in other IDPs show that some GEMS grains contain stardust and supernovae  
282 ejecta not completely destroyed in the ISM (14,15). The observed sizes of GEMS  $\alpha$ -silicate  
283 subgrains are smaller than the lateral resolution in isotope measurements, suggesting that when  
284 carriers of isotope anomalies are individual accreted subgrains, they may be widespread but too  
285 small to be detected with current instruments. GEMS grains identified as presolar grains by  
286 isotope anomalies are indisputably surviving interstellar dust. Since the vast majority (97% or  
287 more) of ISM dust is expected to have formed *in situ* in dense cloud environments and, thus, be  
288 isotopically  $\sim$ solar\*, the vast majority of GEMS grains are also consistent with dust formed *in*  
289 *situ* in the ISM.

290  
291 GEMS grains that contain both  $\alpha$ -silicate and organic carbon have been considered in  
292 astronomical observations, experiments and modeling. A core-mantle model for interstellar dust  
293 was proposed decades ago but lacked confirmation in physical samples until now (36). To better  
294 match astronomical observations, more recent models also incorporate physically realistic  
295 composite grains having organic carbon mantles on  $\alpha$ -silicate cores or aggregates of  $\alpha$ -silicate  
296 and organic carbon, rather than separate populations of  $\alpha$ -silicate and organic carbon grains (2-  
297 6). Other recent observations and models also implicate a role of organic carbon grain mantles in  
298 grain aggregation (5). However, in the absence of identified physical samples, there has been  
299 ongoing debate among astronomers about the significance of composite grains, either as  
300 aggregates or as organic mantles on silicate grains (37,38). Specific mechanisms and  
301 environments for accretion are also far from settled. Our finding of organic carbon mantles on

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\* With few exceptions, galactic cosmic ray measurements indicate that the interstellar dust from which they are generated is, on average, isotopically  $\sim$ solar (35).

302 subgrains in GEMS indicates that organics or, more likely, their icy precursors were present  
303 during initial grain sticking to form first generation aggregates. Prior low resolution analyses  
304 have noted organics coating IDP components on size scales consistent with matrix organics that  
305 suggest organics or precursors were also present in the second generation of aggregation in the  
306 solar nebula (39). Some experiments and modeling find that icy, volatile-rich mantles on grains  
307 may act to facilitate grain sticking and growth of aggregates (4,40). Finally, organic mantles  
308 have been proposed to form by UV and cosmic ray irradiation of volatile ices condensed on the  
309 surfaces of exposed refractory silicate cores (4,30,31,41). We suggest that our observations can  
310 better inform future modeling.

311  
312 To accommodate our observations, we propose a new GEMS formation scenario. We propose  
313 that interstellar dust experienced grain shattering (fragmentation), amorphization and sputtering  
314 erosion by supernovae shocks in the diffuse ISM as well as grain growth (recondensation) by  
315 sticking of gas-phase species to form amorphous grains of comminuted material (2,42-45). *In-*  
316 *situ* formation mechanisms likely account for the overwhelming majority of interstellar *a*-silicate  
317 dust (1-2,42,45,46). Additional cold condensation of refractory elements likely occurred along  
318 with volatile condensation in dense molecular clouds (2,47), where nanoglobules formed,  
319 volatile sulfur condensed, and organic-precursor-rich icy mantles grew on grain surfaces.  
320 Sticking of grains (coagulation) to form proto-aggregates may have occurred if cloud lifetimes  
321 were sufficiently long (48). With repeated cycling in and out of cold molecular clouds, mantled  
322 dust and any aggregates were repeatedly and progressively partially-destroyed and reformed.  
323 Cassini mission data suggest the presence of iron metal in contemporary interstellar dust (9).  
324 From this and nanoparticulate metal observed in ion-irradiated silicates, we infer that irradiation  
325 in the diffuse ISM (by cosmic rays, for example) likely deposited sufficient energy to permit  
326 aggregation within the amorphous silicates of handfuls of metal atoms into nanometer-sized  
327 grains of FeNi metal. Upon collapse of the presolar molecular cloud and protoplanetary disk  
328 formation, the first generation aggregate GEMS and nanoglobules, inherited from cycles through  
329 many prior molecular cloud environments and the presolar molecular cloud, were brought  
330 together with crystalline grains, likely transported from hot regions of the inner solar nebula, for  
331 the second generation of aggregation to form aggregate particles like IDPs that were  
332 incorporated in small, icy, cometary bodies. We suggest the second aggregation occurred in the

333 outer regions of the collapsing cloud or young protoplanetary disk subsequent to silicate  
334 condensation at high temperatures. The high abundance of GEMS grains in some cometary IDPs  
335 (~100% of nominally-inorganic grains in some cases) indicates that the outermost regions were  
336 dominated by *a*-silicate-rich grains. To produce the observed N-bearing complex organics in the  
337 organic matrix, ice-mantled grains must have experienced a radiation-rich environment prior to  
338 their incorporation in a larger parent body. Vertical diffusion of dust above the midplane of the  
339 protoplanetary disk to warmer layers, even at large heliocentric distances, may have served this  
340 role (31). Thus, nanoglobules, GEMS grains, and their high-density mantles are all consistent  
341 with products of repeated cycling in and out of cold molecular clouds followed by radiation  
342 exposure outside of, or in, optically thin regions near the edge of the solar accretion disk formed  
343 from our presolar molecular cloud.

344  
345 This proposed scenario addresses additional observations about GEMS. All GEMS grains,  
346 including those that are isotopically anomalous, show nanometer-scale elemental composition  
347 heterogeneity (17,18), and it is often only collectively that GEMS grains are ~solar in elemental  
348 composition. Elemental heterogeneity is expected if the population of initial, nanometer-scale  
349 grains, from which GEMS grains subsequently aggregated, were comprised of ISM-condensed  
350 grains and partially-destroyed stellar grain fragments that acted as substrates for ISM-  
351 condensation, physically separated by icy/organic mantles. We note that other researchers have  
352 proposed near-solar elemental compositions (e.g.  $\pm 20\%$ ) as a means of identifying interstellar  
353 dust that does not display detectable isotopic anomalies (18,49); however, incomplete ISM  
354 processing of the sub-components in a dust grain, combined with chemical affinities, may  
355 produce objects that retain sufficient elemental compositional heterogeneity to be non-solar but  
356 without sufficient isotopic compositional heterogeneity to be detectable by lower spatial  
357 resolution isotope analyses.

358  
359 **Conclusion:** This analytical study provides new constraints on the formation conditions and  
360 aggregation processes resulting in GEMS grains in cometary IDPs by demonstrating that they are  
361 comprised of organic-mantled, *a*-silicate subgrains. These observations restrict GEMS formation  
362 by aggregation to cold environments and strengthen links to presolar interstellar dust. We favor a  
363 scenario involving cycling between dense molecular cloud and diffuse ISM environments to



364 form  $\alpha$ -silicate subgrains and suggest that GEMS aggregates may have formed in the presolar  
365 molecular cloud. Then, final aggregation of GEMS together with other IDP components may  
366 have occurred in the collapsing cloud or outer regions of the proto-planetary disk. In this  
367 scenario, GEMS acted as the original bricks and mortar of the solar system, carrying rock-  
368 forming elements and organic carbon with diverse molecular chemistry from the cold ISM into  
369 the solar nebula where remnants are preserved in small icy bodies that have avoided significant  
370 thermal and aqueous processing. There is more work to be done to fully illuminate the earliest  
371 stages of solar system body formation, and the results of this study may serve to motivate  
372 additional analyses, observations and modeling.

373  
374 **Acknowledgments:** We dedicate this work in memory of Christine Floss, our friend and  
375 colleague, whose passion, talent, dedication, care and thoughtfulness are sorely missed. We  
376 thank E. Dobrica, F. Ciesla, G. Flynn, D. Glavin, S. Sandford, S. Wirick, A. Westphal and H.  
377 Yubata for useful discussions. We also thank the anonymous reviewers of this manuscript and of  
378 an earlier version of this manuscript. Portions of this work were performed at the Molecular  
379 Foundry and the Advanced Light Source at Lawrence Berkeley National Laboratory, which are  
380 supported by the Office of Science, Basic Energy Sciences, U.S. Department of Energy under  
381 Contract No. DE-AC02-05CH11231. HAI acknowledges funding by NASA's Laboratory  
382 Analysis of Returned Samples and Emerging Worlds Programs (NNX14AH86G and  
383 NNX16AK41G). JPB acknowledges funding by NASA's Cosmochemistry Program  
384 (NNX14AI39G). CF acknowledges funding by NASA's Cosmochemistry Program  
385 (NNX14AG25G). Data reported in this paper are archived online in the SI Appendix and  
386 available upon request to HAI.

387  
388 **Methods:** IDP samples were prepared by ultramicrotomy and analyzed by transmission electron  
389 microscopy using imaging to study petrography, energy dispersive x-ray spectroscopy for  
390 elemental compositions and mapping, and electron energy loss spectroscopy for organic  
391 composition and bonding analyses. Secondary ion mass spectroscopy using NanoSIMS provided  
392 C, N, and O isotopic composition mapping. Fourier transform infrared spectroscopy spectra were  
393 acquired using a synchrotron source over entire samples. The multiple methods used in this study  
394 are identified in the Results section and described in more detail in the SI Appendix.

395

396

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554

## Figure Legends

555

556 **Figure 1:** Petrographic relationship between organic carbon and amorphous silicates in cometary  
557 IDPs. (a) High angle annular darkfield (HAADF) image of a section through the middle of a  
558 single GEMS grain in U217B19 and (b) Corresponding carbon element map showing organic  
559 rims on subgrains within the GEMS grain. (c) HAADF image of a section through the middle of  
560 a GEMS grain in LT39 and (d) corresponding carbon element map showing a higher brightness  
561 organic carbon rim mantling the GEMS exterior surface. The higher brightness rim corresponds  
562 to higher-density organic carbon with higher C/O ratio (See SI Appendix). (e) HAADF image of  
563 PAH-rich nanoglobules (ng) comprised of higher-density organic carbon and (f) element map.  
564 Red=C, blue=Mg, green=Fe and yellow=S. One nanoglobule has a partial GEMS mantle shown  
565 in inset. (g) HAADF image of a nanoglobule heavily decorated with GEMS. (h) Brightfield  
566 image of two carbon-rich GEMS, with one on right a torus with an organic carbon interior and  
567 inorganic exterior.

568

569 **Figure 2:** Synchrotron FTIR spectrum from a thin section of IDP U217B19 mounted on a carbon  
570 film substrate TEM grid. The spectrum allows definitive assignments of hydroxyl (-OH),  
571 aliphatic hydrocarbon (-CH<sub>3</sub>, CH<sub>2</sub>), carbonyl (C=O), and silicate (SiO<sub>x</sub>) functional groups.  
572 Despite the predominance of amorphous silicate in the section volume, the silicate feature is  
573 relatively narrow due to the strong signal from the (minor) crystalline silicates that are present.  
574 The spectral features also indicate the possible presence of cyano (C≡N) and nitro groups (R-  
575 NO<sub>2</sub>).

576

577 **Figure 3:** Electron energy-loss spectra from organic carbon in U217B19. **(a)** Low-loss spectra  
578 from matrix (a.1) and nanoglobule (a.2) displaying a prominent ~5.5 eV feature characteristic of  
579 polyaromatic hydrocarbons (PAHs). **(b)** Core loss carbon-K edges from two different regions of  
580 the organic matrix (b.1&b.2), a nanoglobule (b.3) and the carbon support substrate (b.4). Fine  
581 structures on the edges are consistent with the following functional groups: aliphatic and/or  
582 aromatic ring -C=C-; imine C=N; aldehydes O=CH, ketones C=O, nitrile C≡N; aliphatic C=C;  
583 amide O=C-NH<sub>x</sub>, carboxyl O=C-O. (See SI Appendix for peak assignments.) **(c)** Nitrogen-K  
584 edge with a feature at 401.5 eV consistent with nitrile and/or amide functionalities. **(d)** Oxygen-

585 K edge with a sharp pre-edge feature at 531 eV consistent with carbonyls (C=O).

586

587 **Figure 4:** (a) Ion-induced secondary electron image of a region of thin section of U217B19 and  
588 (b) corresponding  $\delta^{15}\text{N}$  intensity map. The  $^{15}\text{N}$ -rich hotspot in the rectangle corresponds to the  
589 enlarged region in d) and has  $\delta^{15}\text{N} = 412 \pm 37 \%$ . Black regions correspond to locations with  
590 insufficient N to determine isotopic ratios, typically low density organic carbon matrix. Other N-  
591 rich regions correspond to areas in which GEMS and high density organic carbon are present but  
592 with insufficient signal-to-noise to determine isotopic anomalies at a statistically significant  
593 level. (c) HAADF image of thin section of U217B19. Rectangle indicates the location of the  
594 enlarged region in d). (d) HAADF image of the region containing the  $^{15}\text{N}$ -rich hotspot shows that  
595 it corresponds to a high density organic carbon nanoglobule (ng). The darker region labeled “c”  
596 is lower density organic carbon.

Figure 1

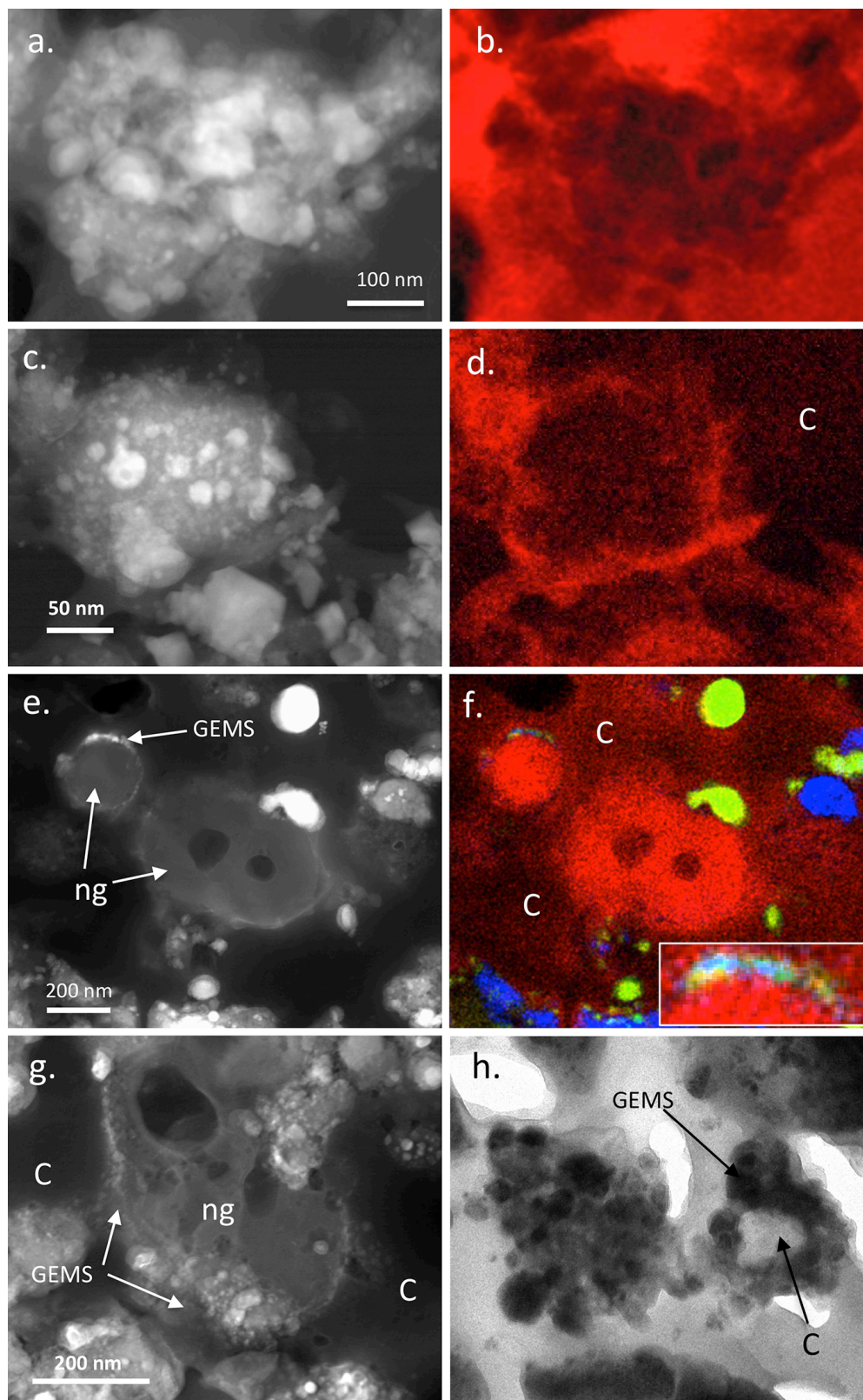


Figure 2

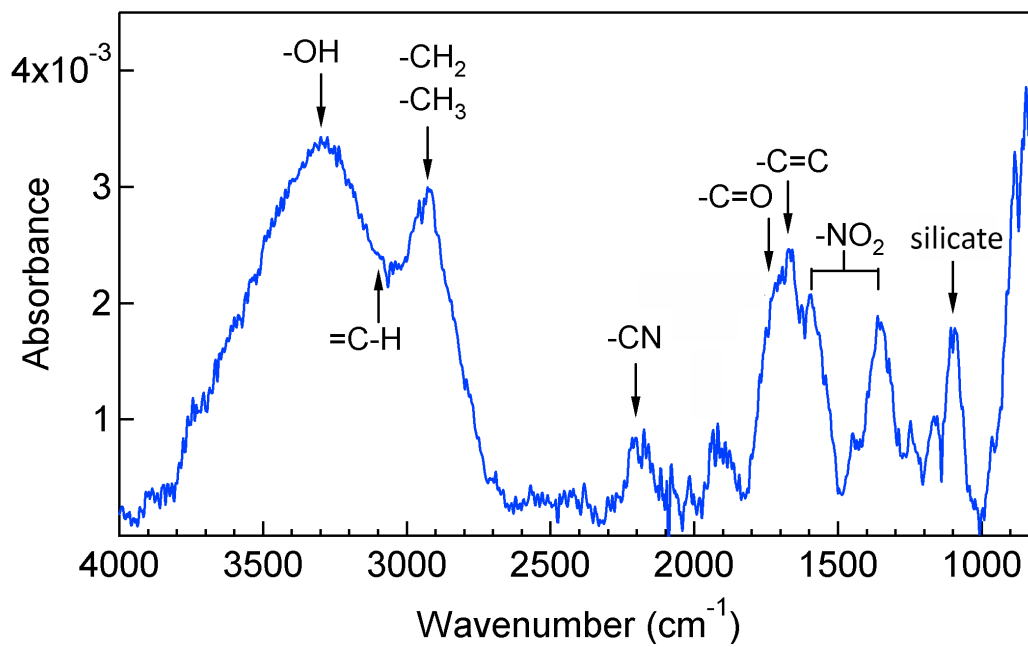


Figure 3

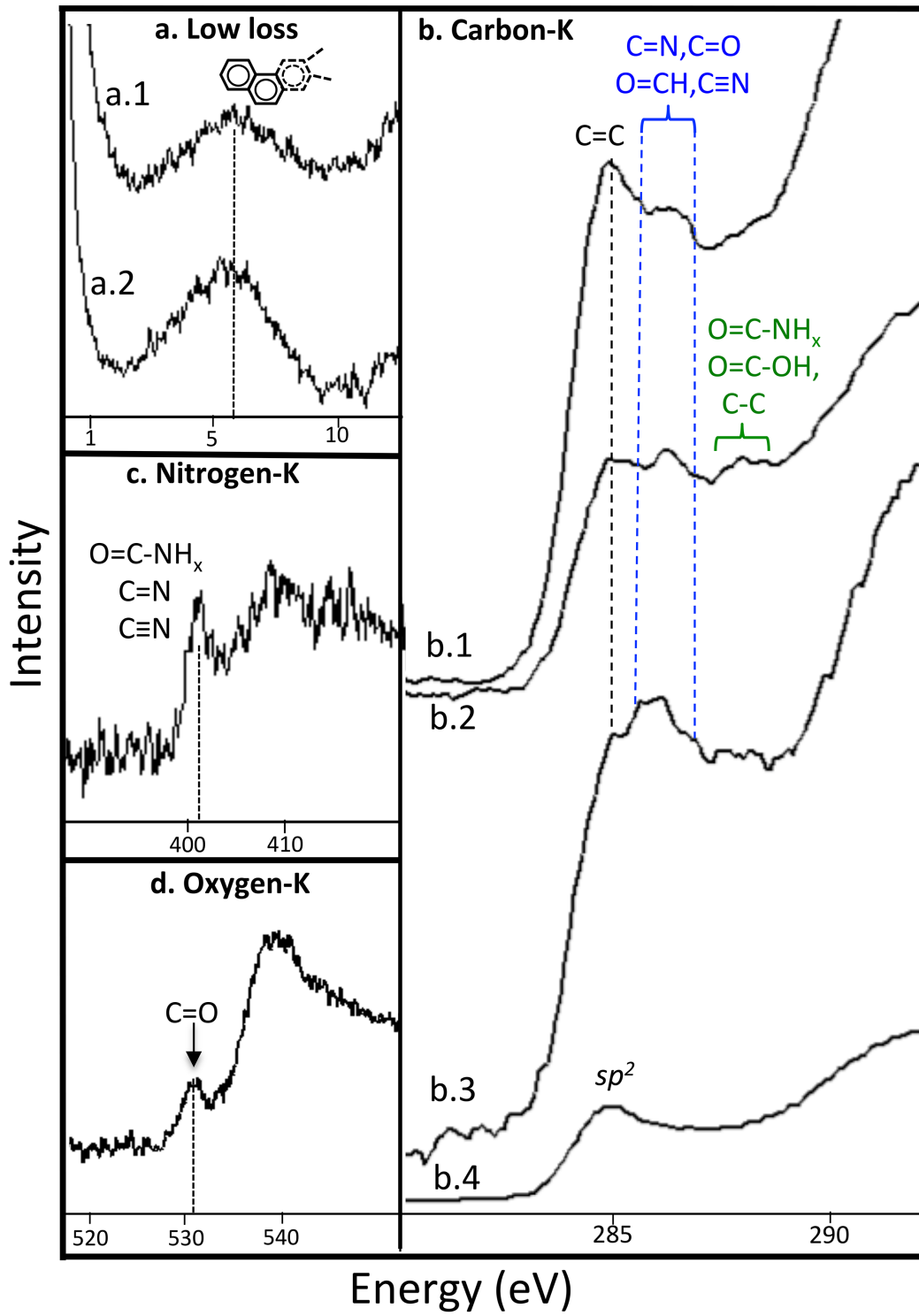


Figure 4

