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5-1-2019

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Nils Tappenbeck

Hannes M. Schröder

Elke Niebergall-Roth

Fathema Hassinger

Ulf Dehio

*See next page for additional authors*

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10.1016/j.jcyt.2018.12.005 Tappenbeck, N., Schröder, H. M., Niebergall-Roth, E., Hassinger, F., Dehio, U., Dieter, K., ... Kluth, M. A. (2019). In vivo safety profile and biodistribution of GMP-manufactured human skin-derived ABCB5-positive mesenchymal stromal cells for use in clinical trials. *Cytotherapy*, 21(5), 546-560. Available [here](#)  
This Journal Article is posted at Research Online.  
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## Authors

Nils Tappenbeck, Hannes M. Schröder, Elke Niebergall-Roth, Fathema Hassinger, Ulf Dehio, Kathrin Dieter, Korinna Kraft, Andreas Kerstan, Jasmina Esterlechner, Natasha Y. Frank, Karin Scharffetter-Kochanek, George F. Murphy, Dennis P. Orgill, Joachim Beck, Markus H. Frank, Christoph Ganss, and Mark A. Kluth



## ***In vivo* safety profile and biodistribution of GMP-manufactured human skin-derived ABCB5-positive mesenchymal stromal cells for use in clinical trials**

NILS TAPPENBECK<sup>1,#</sup>, HANNES M. SCHRÖDER<sup>2,#</sup>, ELKE NIEBERGALL-ROTH<sup>2,#</sup>, FATHEMA HASSINGER<sup>1</sup>, ULF DEHIO<sup>1</sup>, KATHRIN DIETER<sup>1</sup>, KORINNA KRAFT<sup>1</sup>, ANDREAS KERSTAN<sup>3</sup>, JASMINA ESTERLECHNER<sup>2</sup>, NATASHA Y. FRANK<sup>4,5,6,7</sup>, KARIN SCHARFFETTER-KOCHANEK<sup>8</sup>, GEORGE F. MURPHY<sup>9</sup>, DENNIS P. ORGILL<sup>10</sup>, JOACHIM BECK<sup>11</sup>, MARKUS H. FRANK<sup>6,9,12,13</sup>, CHRISTOPH GANSS<sup>1,2</sup> & MARK A. KLUTH<sup>1,2</sup>

<sup>1</sup>RHEACELL GmbH & Co. KG, Heidelberg, Germany, <sup>2</sup>TICEBA GmbH, Heidelberg, Germany, <sup>3</sup>Department of Dermatology, Venereology, and Allergology, University Hospital, Würzburg, Germany, <sup>4</sup>Department of Medicine, VA Boston Healthcare System, Boston, Massachusetts, USA, <sup>5</sup>Division of Genetics, Brigham and Women's Hospital, Harvard Medical School, Boston, Massachusetts, USA, <sup>6</sup>Transplant Research Program, Boston Children's Hospital, Harvard Medical School, Boston, Massachusetts, USA, <sup>7</sup>Harvard Stem Cell Institute, Harvard University, Cambridge, Massachusetts, USA, <sup>8</sup>Department of Dermatology and Allergic Diseases, University Hospital, Ulm, Germany, <sup>9</sup>Department of Dermatology, Brigham and Women's Hospital, Harvard Medical School, Boston, Massachusetts, USA, <sup>10</sup>Division of Plastic Surgery, Brigham and Women's Hospital, Harvard Medical School, Boston, Massachusetts, USA, <sup>11</sup>Aesthetic Quartier Heidelberg GmbH, Heidelberg, Germany, <sup>12</sup>Harvard Stem Cell Institute, Harvard University, Cambridge, Massachusetts, USA, and <sup>13</sup>School of Medical and Health Sciences, Edith Cowan University, Perth, Western Australia, Australia

### **Abstract**

**Background aims.** Human dermal ABCB5-expressing mesenchymal stromal cells (ABCB5<sup>+</sup> MSCs) represent a promising candidate for stem cell-based therapy of various currently incurable diseases in several fields of regenerative medicine. We have developed and validated a method to isolate, from human skin samples, and expand ABCB5<sup>+</sup> MSCs that meet the guideline criteria of the International Society for Cellular Therapy. We are able to process these cells into a Good Manufacturing Practice-conforming, MSC-based advanced-therapy medicinal product. **Methods.** To support the development of ABCB5<sup>+</sup> MSCs for potential therapeutic topical, intramuscular and intravenous administration, we have tested our product in a series of Good Laboratory Practice-compliant nonclinical *in-vivo* studies addressing all relevant aspects of biosafety, including potential long-term persistence and proliferation, distribution to nontarget tissues, differentiation into undesired cell types, ectopic tissue formation, tumor formation and local tissue reaction. **Results.** (i) Subcutaneous application of  $1 \times 10^7$  ABCB5<sup>+</sup> MSCs/animal and intravenous application of  $2 \times 10^6$  ABCB5<sup>+</sup> MSCs/animal, respectively, to immunocompromised mice did not result in safety-relevant biodistribution, persistence or proliferation of the cells; (ii) three monthly subcutaneous injections of ABCB5<sup>+</sup> MSCs at doses ranging from  $1 \times 10^5$  to  $1 \times 10^7$  cells/animal and three biweekly intravenous injections of  $2 \times 10^6$  ABCB5<sup>+</sup> MSCs/animal, respectively, to immunocompromised mice were nontoxic and revealed no tumorigenic potential; and (iii) intramuscular injection of  $5 \times 10^6$  ABCB5<sup>+</sup> MSCs/animal to immunocompromised mice was locally well tolerated. **Discussion.** The present preclinical *in vivo* data demonstrate the local and systemic safety and tolerability of a novel advanced-therapy medicinal product based on human skin-derived ABCB5<sup>+</sup> MSCs.

**Key Words:** stromal cells, stem cells, MSC, biodistribution, safety, ABCB5, GMP, tumorigenicity, toxicity, persistence

### **Introduction**

Human dermal mesenchymal stromal cells (MSCs) expressing the P-glycoprotein molecule ATP-binding cassette subfamily B member 5 (ABCB5) [1] represent an undifferentiated subpopulation of dermal

progenitor cells that fulfill the identity criteria for MSCs proposed by the International Society for Cellular Therapy [2]. In these physiological skin progenitor cells, ABCB5 functions as a determinant of the membrane potential and as such regulates the

Correspondence: **Andreas Kluth**, PhD, TICEBA GmbH, Im Neuenheimer Feld 517, 69120 Heidelberg, Germany. E-mail: [andreas.kluth@ticeba.com](mailto:andreas.kluth@ticeba.com)

# These authors contributed equally to this work.

(Received 23 November 2018; accepted 26 December 2018)

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<https://doi.org/10.1016/j.jcyt.2018.12.005>

propensity of the cell to remain undifferentiated or to undergo differentiation [1]. ABCB5<sup>+</sup> MSCs exert immunomodulatory and anti-inflammatory effects involving several pathways [3–5], making them a promising novel therapeutic candidate for the treatment of conditions associated with chronic inflammation and tissue degeneration. Thus, ABCB5<sup>+</sup> MSCs bear the potential to make a significant contribution toward unmet needs of patients suffering from various currently incurable diseases. This panorama prompted us to develop and validate a method according to the requirements of Good Manufacturing Practice (GMP) by which human dermal ABCB5<sup>+</sup> MSCs can be reliably isolated, expanded on a large scale and manufactured as a homogenous MSC population for future in-human use as an advanced-therapy medicinal product (ATMP).

Even though the vast majority of clinical trials conducted with MSCs derived from various sources has not revealed any significant treatment-related health concerns [6–8], stem cell-based products basically may carry specific risks associated with potential donor-to-recipient pathogen transmission and/or undesired effects of the applied cells [9]. Thus, to ensure patient benefit and safety at its best, in addition to rigorous, regulatory-compliant donor screening and testing, a comprehensive set of GMP-compliant quality and safety control measures are carried out during the manufacturing process of our ATMP, testing and securing identity, vitality, viability and potency of the cells as well as purity and stability of the manufactured pharmaceutical product, while precluding the presence of any conceivable bio-burden and other adventitious agents. To further the development of ABCB5<sup>+</sup> MSCs as an ATMP for potential topical, intramuscular and intravenous administration, we have tested our final GMP-conform pharmaceutical product in a series of Good Laboratory Practice (GLP)-compliant nonclinical *in vivo* studies (listed in Table I) addressing all relevant aspects of biosafety, including potential long-term persistence and proliferation of the applied cells in the host organism, distribution of the cells to nontarget tissues, differentiation into undesired cell types, ectopic tissue formation, tumor formation, and local tissue reaction.

## Methods

### Animals

NOD-*scid* (NOD/MrkBomTac-*Prkdc*<sup>*scid*</sup>) and NOG (NOD-Shi-*scid* *IL2Rγ*<sup>*null*</sup>) mice were purchased from Taconic (Ry, Denmark) and NSG (NOD *scid* gamma; NOD.*Cg-Prkdc*<sup>*scid*</sup>*Il2rg*<sup>*tm1Wjl*</sup>/SzJ) mice from Charles River (Saint-Germain-Nuelles France). At

initiation of treatment, the animals were 7–8 weeks (biodistribution/persistence studies, toxicity/tumorigenicity studies) or 9–10 weeks (local tolerance studies) old. Mice were housed under special hygienic conditions with 12 h/12 h light-dark cycle, fed *ad libitum* with complete diet and had free access to water. All animal experiments were performed by specialized contract research organizations, meeting the animal protection requirements defined in the European regulations [10] and the applicable national (German and UK) animal welfare legislations. All animal experimentation procedures had been approved by the competent authorities: Regierung Oberbayern, Germany (AZ 55.2-1-54-2532.2-7-13, subcutaneous biodistribution/persistence study; AZ 55.2-1-54-2532.2-13-12, subcutaneous toxicity/tumorigenicity study); United Kingdom Home Office, Animals in Science Regulation Unit (PPL 70/08287, October 2014, intravenous biodistribution/persistence study; PPL 80/02501, January 2012, intravenous toxicity/tumorigenicity study); and Landesamt für Umwelt, Gesundheit und Verbraucherschutz des Landes Brandenburg, Germany (V3-2347-A-13-8-2011, 6 February 2012, local tolerance studies).

### Isolation, production and potency testing of human ABCB5<sup>+</sup> MSCs

After informed written consent, human ABCB5<sup>+</sup> MSCs were derived from skin samples obtained from patients undergoing abdominoplasties or other medical interventions providing leftover skin tissue.

Cell production took place in a clean-room facility complying with GMP grade A–D regulations, following a validated protocol. In brief, skin tissue was freed from excess subcutaneous adipose tissue, disinfected, washed, dissected into pieces and enzymatically digested. Cells were centrifuged and expanded after adherence selection in stem cell-selecting growth media as unsegregated cell culture. ABCB5<sup>+</sup> MSCs were isolated by antibody-coupled magnetic bead sorting using a mouse anti-human ABCB5 monoclonal antibody [1]. Purity of the isolated cells was determined by flow cytometry; a content of ≥90% ABCB5<sup>+</sup> cells was set as a release criterion, with the remaining up to 10% (mean: 3,8%) being ABCB5-negative MSCs that also meet the International Society for Cellular Therapy guideline criteria. Corresponding to the main modes of action of MSCs, that is (i) immunomodulation (switch from pro-inflammatory M1 to anti-inflammatory M2 macrophages), (ii) secretion of bioactive molecules (mediation of angiogenesis and neo-vascularization) and (iii) trans-differentiation (into CD31-expressing endothelial cells), the following validated

Table I. Overview over the preclinical *in vivo* safety study program of ABCB5<sup>+</sup> MSCs.

Mouse strain	Number of animals	Treatment	Route and time of application	Observation period
Biodistribution and persistence studies				
NOD- <i>scid</i>	40 (20 M, 20 F)	1 × 10 <sup>7</sup> ABCB5 <sup>+</sup> MSCs/animal	SC, day 1	1 week (n = 10) 3 months (n = 10) 4 months (n = 10)
NSG	40 (20 M, 20 F)	Vehicle	IV, day 1	4 months (n = 10)
		2 × 10 <sup>6</sup> ABCB5 <sup>+</sup> MSCs/animal		1 week (n = 10) 4 weeks (n = 10) 13 weeks (n = 10)
		Vehicle		13 weeks (n = 10)
Repeated-dose toxicity and tumorigenicity studies				
NOD- <i>scid</i>	110 (55 M, 55 F)	1 × 10 <sup>5</sup> ABCB5 <sup>+</sup> MSCs/animal (n = 20)	SC, days 1, 29, 57	12–16 weeks
		1 × 10 <sup>6</sup> ABCB5 <sup>+</sup> MSCs/animal (n = 20)		12 weeks
		1 × 10 <sup>7</sup> ABCB5 <sup>+</sup> MSCs/animal (n = 30)		12 weeks
		Vehicle (n = 30)		12–16 weeks
NSG	50 (25 M, 25 F)	1 × 10 <sup>7</sup> HeLa cells/animal (n = 10)	SC, day 1	51 days
		2 × 10 <sup>6</sup> ABCB5 <sup>+</sup> MSCs/animal (n = 20)	IV, days 1, 15, 29	13 weeks
		Vehicle (n = 20)		
		1.08 × 10 <sup>6</sup> HeLa cells/animal (n = 10)	SC, day 1	
Local tolerance studies				
NOG	16 males	5 × 10 <sup>6</sup> ABCB5 <sup>+</sup> MSCs/animal (n = 8)	IM, day 1	7 days
		Vehicle (n = 8)		
NOG	24 males	5 × 10 <sup>6</sup> ABCB5 <sup>+</sup> MSCs/animal (n = 8)	IM, day 1	28 days
		Vehicle (n = 8)		
		PBS (n = 8)		

F, female; IM, intramuscular; IV, intravenous; M, male; SC, subcutaneous.

assays were used for potency testing: (i) secretion of interleukin-1 receptor antagonist in a co-culture with M1-polarized macrophages (enzyme-linked immunoassay [ELISA] based screening assay), (ii) secretion of VEGF when cultured under hypoxic conditions (ELISA-based screening assay), and (iii) tube formation assay in extracellular matrix for construction and forming of vessels (trans-differentiation assay, microscopic evaluation). Upon meeting the release specifications, cells were cryo-preserved in CryoStor CS10 freeze medium (BioLife Solutions, Bothell, WA) containing 10% dimethyl sulfoxide and stored in the gas phase of liquid nitrogen.

#### Reconstitution and injection of human ABCB5<sup>+</sup> MSCs

ABCB5<sup>+</sup> MSCs were thawed, washed and suspended in HRG solution (Ringer's lactate solution containing 2.5% human serum albumin and 0.4% glucose) at concentrations ranging from 5 × 10<sup>5</sup> to 5 × 10<sup>7</sup> cells/mL, as required. The injection volume (200 µL) was drawn up into a sterile single-use 500-µL or 1-mL syringe. Immediately before injection, the syringes were gently inverted 5–10 times to ensure homogenous suspension of the cells. For injection, mice were isoflurane-anesthetized. Subcutaneous injections (23-G needle) were given at the right flank, intravenous injections (26-G needle) into the left or right caudal vein, and

intramuscular injections (30-G needle) split over four injection sites at the left thigh muscles after shaving the left thigh with an electric clipper. Intravenous and intramuscular injections were given at a rate of 10 µL/5 s.

#### HeLa cells

HeLa cells (ATCC CCL-2) were cultured in Eagle's Minimum Essential Medium containing 10% fetal bovine serum, 2 mmol/L glutamine, 1% nonessential amino acids, 1 mmol/L sodium pyruvate, 100 U/mL penicillin and 100 µg/mL streptomycin. Cells were passaged at least twice. On the day of dosing, cells were harvested using trypsin–ethylenediaminetetraacetic acid solution, pelleted by centrifugation and then rinsed once with phosphate-buffered saline (PBS) before being resuspended in PBS at a concentration of 5 × 10<sup>7</sup> cells/mL (subcutaneous tumorigenicity study) and 5.4 × 10<sup>6</sup> cells/mL (intravenous tumorigenicity study), respectively. The injection volume (200 µL) was drawn up into a sterile single-use 1-mL syringe and given subcutaneously into the right flank.

#### Histopathology

After sacrifice of the animals, all organs and tissues designated for histopathological assessment were dissected and preserved in 10% neutral buffered formalin

solution containing approximately 4% formaldehyde (testes and eyes: modified Davidson's fixative). The samples were trimmed, processed, embedded in paraffin wax, cut to an approximate thickness of 2–5  $\mu\text{m}$ , stained with hematoxylin and eosin (H&E), and inspected by conventional light microscopy.

#### *Detection of human-specific DNA*

In the biodistribution and persistence studies, the following tissues and organs were collected for detection of human-specific genomic DNA using quantitative polymerase chain reaction (qPCR) according to a validated protocol: blood, injection depot (only after subcutaneous administration and only from animals sacrificed 1 week after cell administration), skin/subcutis and skeletal muscle at the injection site, lymph node draining the injection site, contralateral lymph node, liver, spleen, lungs, brain, femur bone, femur bone marrow, kidneys, thymus, thyroid/parathyroid gland and ovaries or testes. In the subcutaneous repeated-dose toxicity study, enlarged organs considered to result from spontaneous lymphoma development were collected for human-specific genomic DNA detection.

In the subcutaneous biodistribution/persistence and toxicity/tumorigenicity studies, soft tissues (muscle, lymph nodes, liver, spleen, lung, brain, kidney, thymus, thyroid/parathyroid gland and ovaries or testes) were taken up in lysis/binding buffer (Roche, Mannheim, Germany) and ground with a micro-pestle. As positive controls, two additional aliquots of liver tissue from one vehicle-treated animal were prepared, and 10 000 human ABCB5<sup>+</sup> MSCs in 50  $\mu\text{L}$  saline were added for spiking. Hard tissues (skin, bones) were taken up in lysis/binding buffer (Roche) into homogenization tubes containing ceramic beads (Precellys Ceramic kit 2.8 mm; VWR, Darmstadt, Germany) and disrupted in a MagNA Lyser Instrument (Roche) for  $2 \times 25$  s at 6000 rpm with 90 s cooling between the two cycles. Nucleic acid extraction from tissue homogenates and blood was performed with an automated nucleic acid extraction system (MagnaPure LC, Roche) using the Total Nucleic Acid Isolation kit. For detection of human DNA sequences, forward primer cr17\_1a, reverse primer cr17\_4b and the FAM-TAMRA-labeled probe TMsatex were used. For detection of mouse DNA sequences, forward primer PTGER2hmf, reverse primer PTGER2r and the VIC-NONE-labeled MGB probe MOUQ01 were used. For sequences, see supplementary Table I. Cycle 40 was set as detection cutoff.

In the intravenous biodistribution and persistence study, tissues were taken up in lysis buffer T1 and proteinase K (NucleoSpin 96 Tissue kit,

Macherey-Nagel, Düren, Germany) into homogenization tubes containing ceramic beads (for soft tissues) or steel beads (for bone tissue) and homogenized using the Precellys Evolution homogenizer (Bertin Technologies, Frankfurt, Germany) at 6000 rpm for least two cycles (20 s each) at room temperature with at least 30 s pause between two cycles. When further cycles were needed, samples were cooled in between. Nucleic acid extraction from tissue homogenates and blood was performed using NucleoSpin 96 Tissue kit (Macherey-Nagel). For detection of human DNA sequences, forward primer ACC-25, reverse primer ACC-26 and FAM-labeled probe ACC-27 (Microsynth, Balgach, Switzerland) were used. For detection of mouse DNA sequences, forward primer ACC-28, reverse primer ACC-29 and TAMRA-labeled probe ACC-30 (Microsynth) were used. For sequences, see supplementary Table I. The lower limit of quantification was 125 cells.

#### *Immunohistochemistry*

##### *AMA and Ki67 staining*

Formalin-fixed, paraffin-embedded lung and skin tissue samples were sectioned at three levels approximately 100  $\mu\text{m}$  apart. At each level three sequential 4- to 5- $\mu\text{m}$ -thick sections were taken and stained with H&E, AMA antibody (ab92824, Abcam, Cambridge, United Kingdom, 2  $\mu\text{g}/\text{mL}$ ; secondary antibody: biotinylated rabbit anti-mouse, E0413, Dako, Glostrup, Denmark) and anti-Ki67 antibody (ab16667, Abcam, dilution 1:200; secondary antibody: biotinylated goat anti-rabbit, E0423, Dako), respectively, according to validated protocols. Sections designated for H&E and AMA staining were stained and examined first. Only if the AMA staining was positive, the corresponding third slide was then stained with the anti-Ki67 antibody.

##### *$\beta$ 2-Microglobulin staining*

Staining of 4- $\mu\text{m}$  sections of paraffin-embedded thigh muscle specimens was performed using rabbit anti- $\beta$ 2-microglobulin (polyclonal, immunoglobulin G, Abcam; dilution 1:200) incubated for 60 min at room temperature. Staining was carried out with Leica Bond III fully automated staining system using the horseradish peroxidase (HRP)-linker antibody conjugate system Bond Polymer Refine Detection kit as secondary reagent (Leica Biosystems, Wetzlar, Germany).

##### *Vimentin staining*

Staining of 4- $\mu\text{m}$  sections of paraffin-embedded thigh muscle specimens was performed out using rabbit anti-vimentin (ab137321, polyclonal immunoglobulin

G, Abcam; dilution 1:1000) incubated for 60 min at room temperature. Staining was carried out with Leica Bond III fully automated staining system using the HRP-linker antibody conjugate system Bond Polymer Refine Detection kit as secondary reagent (Leica Biosystems).

### Statistics

Data acquisition and analysis were carried out using the integrated software system PDS ToxData 3.0 (Pathology Data Systems, Pratteln, Switzerland).

In the subcutaneous biodistribution and persistence study, inferential statistics were based on the results of a Shapiro–Wilk test. In the case of normality, homogeneity of medians was assessed by Levene test and analysis of variance. In the case of non-normality and non-homogeneity, a nonparametric Kruskal–Wallis test was performed. Multiple comparisons were carried out using a post hoc Dunn’s test.

In the intravenous biodistribution and persistence study, cell concentrations as detected by qPCR were statistically analyzed by non-paired one-way analysis of variance followed by Tukey’s multiple comparison test.

In the subcutaneous repeated-dose toxicity and tumorigenicity study, inferential statistics were performed according to four different decision trees, based on the results of a Shapiro–Wilk test, Kolmogorov–Smirnov test and Bartlett test, respectively, followed by appropriate post hoc testing procedures.

In the intravenous repeated-dose toxicity and tumorigenicity study, inferential statistics were based on the results of a Bartlett test for variance homogeneity. If the Bartlett test was not significant at the 1% level, a parametric analysis was performed. Groups were compared using *t*-tests. If the Bartlett test was still significant at the 1% level following both logarithmic and square-root transformations, a nonparametric analysis was performed. Groups were compared using Wilcoxon rank sum tests.

In the local tolerance studies inferential statistics were not performed due to the rather descriptive character of these studies.

## Results

### *Biodistribution and persistence of ABCB5<sup>+</sup> MSCs after single subcutaneous administration*

Three groups of 10 (5 male, 5 female) NOD-*scid* mice were subcutaneously injected with  $1 \times 10^7$  ABCB5<sup>+</sup> MSCs/animal. Mice were followed up for 1 week, 3 months and 4 months, respectively, then sacrificed and subjected to necropsy. As control, a fourth group of 10 mice received vehicle without cells and was followed up for 4 months.

Although no spontaneous deaths occurred, two moribund animals, suffering from spontaneously developed lymphoma, which is known to be a frequent occurrence in NOD-*scid* mice [11], were euthanized during the observation period (days 90 and 69, respectively). In general, clinical findings noted during the observation period as well as macroscopic pathological findings were either associated with spontaneously developing lymphomas or related to shaving, housing or cage mates. There were no clinical or necropsy findings related to administration of ABCB5<sup>+</sup> MSCs noted in any of the animals.

In MSC-treated animals, human DNA sequences were detectable by qPCR in all subcutaneous injection depots and two injection site-draining lymph nodes after 1 week and in one skin sample after 3 months, but not in any other tissue and blood examined. A further positive result in one brain sample after 1 week was considered accidental (probably contamination-related), as it was observed only in one of two aliquots tested. In vehicle-treated animals, human DNA sequences could not be detected in either organ/tissue, whereas mouse DNA sequences were detectable in all tissues and at all time points in MSC- and vehicle-treated animals, both of which confirming the reliability of the method.

### *Biodistribution and persistence of ABCB5<sup>+</sup> MSCs after single intravenous administration*

To investigate trafficking, homing, engraftment, differentiation and persistence of human ABCB5<sup>+</sup> MSCs after single intravenous administration, three groups of ten (five male, five female) NSG mice were intravenously injected with  $2 \times 10^6$  ABCB5<sup>+</sup> MSCs/animal in 200  $\mu$ l vehicle solution. After cell injection, mice were followed up for 1, 4, and 13 weeks, respectively, after which the mice were sacrificed. As control, a fourth group of 10 mice received vehicle without cells and was followed up for 13 weeks. During these periods, no case of death and no treatment-related effects on body weight and clinical signs occurred.

In MSC-treated animals, human-origin cells, as revealed by detection of human DNA sequences using qPCR, were predominantly recorded in the injection site tissues (skin and skeletal muscle) and in the lungs (Table II), where human-origin cells were detectable in individual animals up to the end of the study (day 92). This points to a certain degree of persistence of the administered MSCs in these tissues. Maximum cell concentrations were measured in individual injection-site tissue samples on day 8 and in individual lung tissue samples on day 29, declining thereafter. The mean cell concentration observed in

Table II. Concentration of human-origin cells in injection-site tissues and lungs of NSG mice intravenously injected with  $2 \times 10^6$  ABCB5<sup>+</sup> MSCs.

Time after cell application	Sex	Animal number	Cell concentration (cells/mg tissue)		
			Injection site tissues		Lung
			Skin	Skeletal muscle	
Day 8 (1 week)	M	6	8	–	36
		7	162	Detected	–
		8	59	46	14
		9	13	–	11
		10	–	–	–
	F	36	Detected	200	12
		37	Detected	129	7
		38	16	Detected	31
		39	39	–	19
		50	Detected	–	10
Day 29 (4 weeks)	M	11	–	–	15
		12	21	Detected	15
		13	18	–	12
		14	Detected	Detected	30
		15	7	Detected	10
	F	40	–	–	–
		41	33	19	44
		42	18	24	7
		43	Detected	15	35
		51	–	–	32
Day 92 (13 weeks)	M	16	17	–	–
		17	–	57	7
		18	–	–	6
		19	31	46	–
		20	11	35	9
	F	45	–	–	–
		46	Detected	–	–
		47	8	–	–
		48	13	17	7
		49	8	18	–

Animals were sacrificed at 1, 4 or 13 weeks after cell injection. Human-origin cells were determined by detection of human-specific DNA using qPCR. Detected = value < lower limit of quantification but >  $2.5 \times$  blank; – = undetected. F, female; M, male.

the lungs was, however, not significantly increased in day 29 compared with day 8 samples but was significantly reduced in day 92 samples (Figure 1).

In the tissues beyond injection site and lungs, human DNA was occasionally detected across the various tissue types (except for reproductive organs

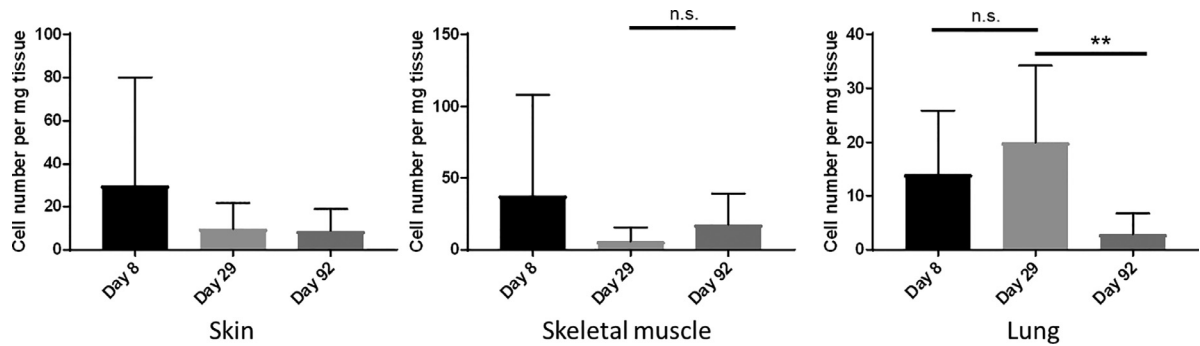


Figure 1. Concentration of human-origin cells as detected by qPCR in injection-site tissues (skin and skeletal muscle) and in the lung of NSG mice at 8 (black bars), 29 (light gray bars) and 92 days (medium gray bars) after intravenous injection of  $2 \times 10^6$  ABCB5<sup>+</sup> MSCs. Shown are means of 10 animals; error bars indicate SD; \*\* $P < 0.01$ , non-paired one-way analysis of variance followed by Tukey's multiple comparison test. A separate control group (not shown), comprising the mice injected with cell-free vehicle and sacrificed 92 days thereafter, did not reveal any detectable human-origin cells except for muscle tissue of one animal, which was considered an artifact (see Results).



and brain) in individual MSC-treated animals. However, quantifiable levels of human cells could only be detected in one kidney (7 cells/mg) and one thymus (151 cells/mg) sample taken on day 29, but not in any other of totally 300 tissue and organ samples beyond injection-site tissues and lungs of the 30 MSC-treated animals. Because these positive signals were singular findings and no quantifiable cell concentrations were recorded in the same tissue types at earlier or later time points, we consider these two positive findings out of 300 samples as incidental, likely resulting from accidental contamination with human cells by the investigators during animal handling and/or tissue sampling or preparation.

Unexpectedly, quantifiable human-origin cell concentrations were detected in four individual samples from 4 out of 10 control animals taken on day 92 (skeletal muscle: 51 cells/mg; thyroids: 82 and 359 cells/mg, respectively; ovaries: 19 cells/mg). However, all other 126 samples analyzed from control animals as well as all assay controls, that is blank (not-spiked mouse matrix) and no-template control (DNase-free water) samples, tested negative for human cells, which argues against a false-positive background signal of the assay. Instead, given the high sensitivity of our assay detecting as little as 125 cells in 200  $\mu$ L tissue lysate or 100  $\mu$ L blood and the high contamination risk associated with qPCR techniques, we deem these findings to have resulted from contamination during sample processing at an indeterminate stage. Because such contamination poses a certain risk to overestimate but in no way underestimate the presence of human MSCs in the examined tissues, we do not consider these findings to be detrimental to the validity or integrity of our data in terms of safety evaluation.

Immunohistochemical staining of injection sites and lungs of the MSC-treated animals with a human-specific antimitochondrial antibody (AMA) and, in case of AMA positivity, with an antibody against the proliferation marker Ki67 was performed on lung tissue samples from all 30 animals and skin samples from 25 animals (for 5 of 10 animals at the 13-week time point, there was no skin tissue available). Positive AMA staining was observed in the subcutaneous injection-site tissue of one animal and within a thrombus in a lung vessel of another animal, both sacrificed at 1 week after MSC application. Cells staining positively for AMA were spindloid-shaped. Only in the lung thrombus was positive staining observed also for Ki67, with the majority of positively staining cells staining positively only for either AMA or Ki67. Most Ki67<sup>+</sup> cells appeared as clumsy cells with oval-shaped nuclei, thus morphologically being clearly different from the AM<sup>+</sup> (human-origin) cells. Such host-origin Ki67<sup>+</sup> cells

would be expected within a thrombus due to active reorganization of the thrombus. Nevertheless, in some areas of the thrombus, positive staining for Ki67 and AMA was seen in close association, and as such, it could not be completely ruled out that some cells could have stained positive for both. However, all cells staining positive for AMA were scattered throughout the thrombus and did not show any evidence of clustering together to form a mass. No positive staining for Ki67 was seen at the injection site, indicating that the subcutaneously detected human cells were not actively proliferating. No AMA<sup>+</sup> cells were observed at later time points (4 and 13 weeks after MSC application, respectively).

#### *Combined study on subcutaneous repeated-dose toxicity and tumorigenicity*

To assess the potential toxicity of human ABCB5<sup>+</sup> MSCs, male and female NOD-*scid* mice were randomly allocated to receive three doses of  $1 \times 10^5$  (n = 20),  $1 \times 10^6$  (n = 20) or  $1 \times 10^7$  (n = 30) ABCB5<sup>+</sup> MSCs/animal or vehicle without cells (negative control, n = 30), injected subcutaneously on days 1, 29 and 57. In addition, the tumorigenic potential of human ABCB5<sup>+</sup> MSCs was assessed in the high-dose group treated with  $1 \times 10^7$  cells/animal (as recommended by the World Health Organization [12]). A fifth group of mice receiving a single injection of  $1 \times 10^7$  HeLa cells on day 1 (n = 10) served as positive control. Full necropsy and subsequent histopathological evaluation were performed after 12 weeks of daily observation, except for HeLa cell-treated mice, which were euthanized for animal welfare reasons on day 51. The vehicle and the high-dose group both included additional five male and five female mice undergoing an additional 4-week recovery period (necropsy and subsequent histopathological evaluation after 16 weeks).

With regard to the investigated toxicology parameters (i.e., mortality, clinical signs, body weight development, food consumption, ophthalmologic examination, urinalysis, hematology, blood chemistry and coagulation, organ weights, macro-pathological and histopathological findings), the study did not reveal any relevant adverse effects related to ABCB5<sup>+</sup> MSCs (for details, see supplementary [Tables II–IV](#)).

The predominant histopathological findings were systemic lymphoblastic neoplasms, with incidences ranging between 5% in the medium-dose MSC group and 17% in the vehicle group. These neoplasms are known to spontaneously develop in NOD-*scid* mice [11] and were clearly judged not related to the application of ABCB5<sup>+</sup> MSCs (see Discussion). In the positive-control (HeLa cell-treated) group, subcutaneous nodules were

palpated in all (10 of 10) animals at the injection site from day 6 (males) and day 8 (females) on. Due to the progressive tumor growth for more than 2 weeks, these animals were euthanized on day 51.

#### Combined study on intravenous repeated-dose toxicity and tumorigenicity

The potential toxicity and tumorigenicity of human ABCB5<sup>+</sup> MSCs after intravenous administration was assessed in male and female NSG mice randomly allocated to receive three intravenous injections of  $2 \times 10^6$  ABCB5<sup>+</sup> MSCs/animal (n = 20) or vehicle without cells (negative control, n = 20) on day 1, 15 and 29. As positive control (i.e., to validate the used mouse strain as being susceptible to tumor formation), 10 mice received  $1.08 \times 10^6$  HeLa cells injected subcutaneously on day 1. Albeit this dose level was, due to technical reasons, lower than the level recommended by the World Health Organization ( $1 \times 10^7$  cells/mouse [12]), it was considered sufficient in the mouse strain used because all these mice developed tumors (discussed subsequently).

During a 13-week observation period, mortality, clinical condition, palpable masses, body weight development, food consumption, ophthalmologic findings and hematological and blood chemistry parameters (both in the peripheral blood sampled from the retro-orbital sinus under isoflurane anesthesia before sacrifice) were recorded. Thereafter, the mice were sacrificed and subjected to full necropsy, including organ weight measurements and macro- and histopathological examination.

In most cases either no significant changes between vehicle- and MSC-treated animals were observed, or the observed findings were judged unrelated to the treatment with ABCB5<sup>+</sup> MSCs, based on incidence and distribution. The deaths of two MSC-treated mice (day 1 and day 15, respectively) were considered related to the injection procedure. Body and organ weight development were not basically altered in the MSC-treated group (Table III). Minor differences between vehicle- and MSC-treated animals were seen for some hematology and clinical chemistry parameters (Table IV). In all (10/10) HeLa cell-treated mice, dorsocranial and/or dorsocaudal masses were palpable on the right side of the body in the region of the injection site. Three mice developed also dorsocranial/dorsocaudal masses on the left side of the body. In contrast, no palpable masses were detectable on vehicle- or MSC-treated animals, and neither macro-pathological nor histopathological examination revealed evidence of tumor forming in these groups (Table V).

To complement and expand the findings of the earlier-reported (single-dose) intravenous biodistribution

Table III. Body weight (alive) and selected organ weights of NSG mice following three intravenous injections of  $2 \times 10^6$  ABCB5<sup>+</sup> MSCs/animal or vehicle without cells on day 1, 15 and 29.

	Vehicle	$2 \times 10^6$ MSCs/animal
<b>Males</b>		
Body weight (alive), g	n = 10	n = 10
Baseline	27.9 (1.34)	27.9 (0.94)
13 weeks	34.4 (1.52)	32.7 (0.69)*
Organ weight, g	n = 10	n = 9
Brain	0.4783 (0.0168)	0.4853 (0.0120)
Heart	0.1800 (0.0132)	0.1684 (0.0069)
Kidneys	0.5599 (0.0572)	0.5079 (0.0269)
Liver	1.9112 (0.1416)	1.6879 (0.0532)**
Spleen	0.0259 (0.0062)	0.0222 (0.0028)
Testes	0.2059 (0.0122)	0.2047 (0.0083)
Thymus	0.2059 (0.0122)	0.2047 (0.0083)
<b>Females</b>		
Body weight, g	n = 10	n = 10
Baseline	21.8 (1.29)	22.1 (1.59)
13 weeks	26.6 (1.23)	26.9 (2.13)
Organ weight, g	n = 10	n = 10
Brain	0.4931 (0.0125)	0.4879 (0.0143)
Heart	0.1395 (0.0111)	0.1373 (0.0108)
Kidneys	0.3076 (0.0204)	0.3112 (0.0185)
Liver	1.3087 (0.0888)	1.2165 (0.1176)*
Ovaries	0.0212 (0.0043)	0.0210 (0.0044)
Spleen	0.0249 (0.0037)	0.0243 (0.0033)
Thymus	0.0086 (0.0028)	0.0069 (0.0030)

Animals were followed up for 13 weeks. Values are means (SD). \* $P < 0.05$ , \*\* $P < 0.01$  versus vehicle group, *t*-test on log-transformed data (body weight) or after adjustment for body weight (organ weights).

and persistence study to the repeated-dose setting, lungs and injection-site tissues of all MSC-treated animals were stained with human-specific AMA and an antibody against the proliferation marker Ki67 to evaluate the presence and proliferation status of the intravenously administered ABCB5<sup>+</sup> MSCs. The lungs of four vehicle-treated animals served as negative control, whereas injection-site tissue of a HeLa cell-treated animal was used for assay validation.

In lung tissue, positive AMA staining was seen in 7 of the 20 animals treated with human ABCB5<sup>+</sup> MSCs, indicating the presence of cells of human origin in the lungs. Staining was exceptionally rare (frequently amounting to no more than 1–2 cells per tissue section), and the positively staining cells were situated within the walls of the alveoli or, occasionally, free within the alveoli (Figure 2). In one animal, a cluster of positively staining cells was seen within the lumen of a lung vessel. This cell cluster was also visible on the corresponding H&E-stained section, although the cell type could not be identified. This appeared to be a thrombus that had detached from the vessel wall during histological processing as a small section of vessel wall adhered to these cells. At the injection sites, there was no positive AMA staining

Table IV. Selected hematology and clinical chemistry parameters of NSG mice after three intravenous injections of  $2 \times 10^6$  ABCB5<sup>+</sup> MSCs/animal or vehicle without cells on day 1, 15 and 29.

	Vehicle	$2 \times 10^6$ MSCs/animal
<b>Males</b>	<b>n = 10</b>	<b>n = 9</b>
<b>Hematology</b>		
Hematocrit, L/L	0.459 (0.0257)	0.476 (0.0144)
Hemoglobin, g/dL	12.6 (0.63)	13.0 (0.27)
White blood cells, $\times 10^9$ /L	0.81 (0.207)	1.10 (0.574)
Neutrophils, $\times 10^9$ /L	0.53 (0.153)	0.65 (0.233)
Lymphocytes, $\times 10^9$ /L	0.22 (0.126)	0.33 (0.357)
Monocytes, $\times 10^9$ /L	0.04 (0.023)	0.09 (0.039)**
Eosinophils, $\times 10^9$ /L	0.02 (0.010)	0.03 (0.011)
<b>Clinical chemistry</b>		
AST, U/L	45 (9.7)	43 (7.9)
ALP, U/L	46 (3.1)	45 (2.8)
Urea, mmol/L	8.56 (0.741)	7.72 (0.433)*
Cholesterol, mmol/L	2.63 (0.127)	2.38 (0.240)*
Triglycerides, mmol/L	1.02 (0.273)	0.61 (0.194)**
Glucose, mmol/L	14.09 (1.271)	15.82 (2.533)
Na <sup>+</sup> , mmol/L	152 (1.2)	152 (2.2)
K <sup>+</sup> , mmol/L	4.3 (0.41)	4.4 (0.32)
Ca <sup>2+</sup> , mmol/L	2.39 (0.045)	2.34 (0.072)*
Albumin, g/L	31 (1.1)	29 (0.8)**
Total protein, g/L	49 (1.3)	46 (1.5)**
<b>Females</b>	<b>n = 10</b>	<b>n = 10</b>
<b>Hematology</b>		
Hematocrit, L/L	0.490 (0.0096)	0.478 (0.0154)*
Hemoglobin, g/dL	13.6 (0.38)	13.5 (0.43)
White blood cells, $\times 10^9$ /L	0.93 (0.256)	0.80 (0.256)
Neutrophils, $\times 10^9$ /L	0.62 (0.153)	0.55 (0.164)
Lymphocytes, $\times 10^9$ /L	0.23 (0.140)	0.18 (0.137)
Monocytes, $\times 10^9$ /L	0.06 (0.038)	0.05 (0.016)
Eosinophils, $\times 10^9$ /L	0.02 (0.013)	0.02 (0.010)
<b>Clinical chemistry</b>		
AST, U/L	48 (10.8)	43 (8.3)
ALP, U/L	77 (8.1)	73 (6.0)
Urea, mmol/L	7.37 (0.619)	6.83 (0.483)
Cholesterol, mmol/L	1.94 (0.143)	1.81 (0.151)
Triglycerides, mmol/L	0.63 (0.271)	0.42 (0.220)
Glucose	15.09 (1.147)	11.93 (1.829)**
Na <sup>+</sup> , mmol/L	151 (1.3)	151 (2.9)**
K <sup>+</sup> , mmol/L	3.7 (0.30)	4.0 (0.29)*
Ca <sup>2+</sup> , mmol/L	2.39 (0.046)	2.34 (0.055)*
Albumin, g/L	31 (0.6)	31 (1.1)
Total protein, g/L	46 (1.2)	46 (1.3)

Animals were followed up for 13 weeks. Values are means (SD); \* $p < 0.05$ , \*\* $p < 0.01$  vs. vehicle group, *t*-test on log-transformed data (hematology) or *t*-test or Wilcoxon test (clinical chemistry), as appropriate.

seen in any animal. K-67 staining revealed no positive signals that corresponded to the AMA-positive cells in any tissue section from any of the animals examined.

#### Seven-day local tolerance of intramuscularly injected ABCB5<sup>+</sup> MSCs

To evaluate potential local muscular side effects, male NOG mice were intramuscularly injected with

Table V. Histopathology findings in NSG mice after three intravenous injections of  $2 \times 10^6$  ABCB5<sup>+</sup> MSCs/animal or vehicle without cells on day 1, 15 and 29.

Organ/tissue and findings	Number of affected/ examined animals	
	Vehicle	$2 \times 10^6$ MSCs/animal
<b>Males</b>		
Epididymides		
Sperm reduced, luminal, moderate	1/10	0/9
Spermatocoele, moderate	0/10	1/9
Kidneys		
Basophilia, tubular, minimal	0/10	1/9
Liver		
Necrosis, hepatocellular, focal, minimal	0/10	1/9
Spleen		
Metaplasia, osseous, slight	1/10	0/9
Testes		
Atrophy, minimal	3/10	3/9
<b>Females</b>		
Kidneys		
Dilatation, tubular, minimal	2/10	0/10
Liver		
Necrosis, hepatocellular, focal, minimal	0/10	1/10
Lungs and bronchi		
Thrombus, minimal	1/10	2/10
Macrophage aggregation, alveolar, minimal	1/10	0/10
Pancreas		
Vacuolation, acinar cells, minimal	0/10	1/10
Spleen		
Metaplasia, osseous, slight	0/10	1/10
Uterus		
Dilatation, glandular, cystic, minimal	6/10	7/10

Animals were followed up for 13 weeks.

$5 \times 10^6$  ABCB5<sup>+</sup> MSCs/animal in 200  $\mu$ L vehicle ( $n = 8$ ) or with 200  $\mu$ L vehicle without cells ( $n = 8$ ). One animal in the control group died during application of the vehicle, probably due to intolerance to anesthesia. Mice were followed up for 7 days, during which no effects of the treatment on general condition including body weight development and food consumption became apparent.

At necropsy all injection muscles appeared macroscopically inconspicuous. Histopathological examination revealed minor degenerative/regenerative myofiber reactions at the injection sites of vehicle-treated animals (Figure 3, left). Myofiber degeneration was manifest in form of focal, small, basophilic fibers. Regenerative large myoblasts were present.

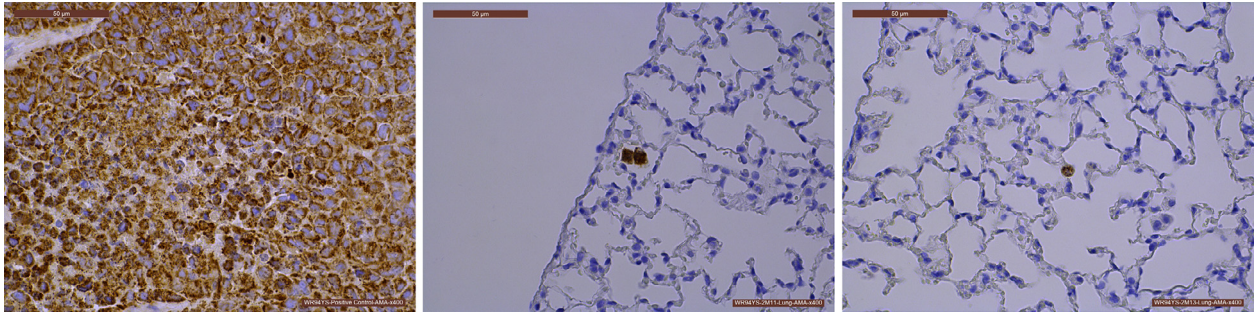


Figure 2. Histological evaluation of the persistence of human cells in the lungs of NSG mice treated with three bi-weekly intravenous injections of  $2 \times 10^6$  ABCB5<sup>+</sup> MSCs/mouse. Mice were sacrificed at 13 weeks after the first MSC injection. Tissues were stained with anti-mitochondrial antibody (AMA) (brown) as a marker of human-origin cells. (Left) Skin at the injection site of a mice injected subcutaneously with  $1.08 \times 10^6$  HeLa cells served as positive control. (Middle and Right) Representative microphotographs of lung sections of MSC-treated mice in which AMA staining was exceptionally rare. Scale bars: 50  $\mu$ m.

These reactions were judged as normal consequence of injection of a volume into muscular tissue. Degenerative and inflammatory processes were quantified as summary score for local cellular and tissue response according to ISO 10993-6:2007 [13], including the criteria polymorphonuclear cells, lymphocytes, plasma cells, macrophages, giant cells, myofiber degeneration, myofiber regeneration, necrosis, neovascularization, fibrosis and fatty infiltrate. MSC-treated animals exhibited a minor increase in the mean summary score for local cellular and tissue response (4.6 compared with 3.7 for vehicle-treated animals). This increase paralleled with the presence of administered MSCs as detected by vimentin and  $\beta$ 2-microglobulin mesenchymal cell staining, which formed small strands or foci of mesenchymal cells. These were associated with minimal fibrotic reactions observed in the corresponding H&E-stained sections (Figure 3, right), which

demarcated the cell groups from the surrounding tissues. Local intolerance of the applied product was not deduced from these findings.

#### *Twenty-eight-day local tolerance of intramuscularly injected ABCB5<sup>+</sup> MSCs and of the vehicle*

To investigate the effects of both, ABCB5<sup>+</sup> MSCs and the vehicle (HRG), on local myofiber degeneration and regeneration over a somewhat longer period, male NOG mice were intramuscularly injected with either  $5 \times 10^6$  ABCB5<sup>+</sup> MSCs/animal in 200  $\mu$ L vehicle (n = 8), 200  $\mu$ L vehicle without cells (n = 8) or 200  $\mu$ L PBS (to detect or rule out possible effects of the HRG vehicle, n = 8). Mice were followed up for 28 days, during which nearly all animals were inconspicuous. Only one PBS-injected animal died 3 days upon application for an unknown reason. The fact that this mouse was considerably more strongly

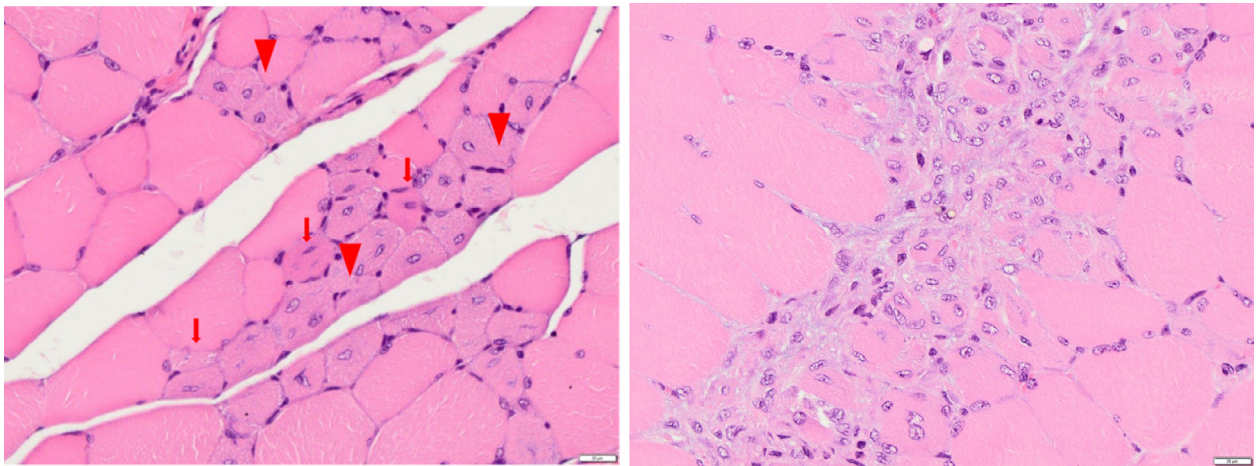


Figure 3. Histopathological evaluation of local reactions in thigh muscle tissue of NOG mice to intramuscular injection of 200  $\mu$ L HRG solution without (left) and with (right)  $5 \times 10^6$  ABCB5<sup>+</sup> MSCs/mouse, split over four injection sites. (Left) Mice were sacrificed at 7 days after cell injection. Representative microphotograph of a thigh muscle section of a control animal, treated with vehicle only, showing slight focal myofiber degeneration (arrows) and regenerative myoblasts (arrowheads). (Right) Representative microphotograph of a thigh muscle section of an MSC-treated mouse, showing focal accumulation of mesenchymal cells with minimal fibrotic aspect. H&E staining; magnification 20  $\times$  (left), 40  $\times$  (right); scale bars: 20  $\mu$ m.

affected by the anesthesia applied for injection than were the other animals may point to an unrecognized pre-existing condition that eventually had led to its premature death. Macroscopic appearance of the thigh muscles during necropsy was inconspicuous. Histopathological evaluation revealed no treatment-related irritation in any of the tested samples. Only mild myofiber degeneration was detected in one MSC- and in one PBS-treated animal. These reactions were considered incidental and judged as a normal consequence of injection of a volume into muscular tissue.

## Discussion

In the nonclinical *in vivo* studies presented here, we investigated and demonstrate the safety and tolerability ABCB5<sup>+</sup> MSCs in view of a potential therapeutic in-human use. Basically, the testing strategy followed the recommendations of the European Medicines Agency [14], considering the specific nature of the product and its intended clinical use. Accordingly, it was crucial to demonstrate that the applied cells do not show unwanted homing or even proliferation. Strong attention was paid to possible tumor formation potential and toxicological effects during short and longer (up to 4 months) periods of time. All these aspects were addressed in severely immunocompromised mice, to prevent rejection of the applied cells by the xenogeneic host's immune system. This should have provided the greatest possible ability to detect any ectopic tissue- or tumor-forming potential of the administered cells. To capture any impact of possible cellular alterations that may occur during the cell expansion and ATMP production process, the final GMP-produced ATMP as intended for use in clinical trials was tested in all studies.

Studying the distribution and persistence behavior after local (subcutaneous) injection, we showed that the applied ABCB5<sup>+</sup> MSCs were confined to the injection site and virtually did not migrate to other tissues and organs, including the reproductive organs. Cell concentrations in the injection-site tissues decreased over time and had reached non-detectable levels in all but one sample at 3 months. This is in line with earlier results on placenta-derived MSCs [15], showing that locally administered MSCs do not persist for longer periods but are eradicated even from severely immunocompromised hosts.

Biodistribution and possible persistence and proliferation potential of ABCB5<sup>+</sup> MSCs were also investigated after systemic (intravenous) application of the cell product. The first barrier for intravenously administered cells is the capillary bed of the lung, and because culture-expanded MSCs are relatively large cells, obstructive events such as intravascular emboli

formation may seem likely during lung passage [16]. Indeed, "first-pass" accumulation of intravenously delivered MSCs in the lungs has been repeatedly described [17–20]. The risk that such mechanical cell entrapment causes pulmonary embolus formation grows with increasing cell concentrations, which may result in insufficient dilution of the cells in the circulating blood [17]. Thus, it was crucial to test the same cell concentration as that we intend to use in clinical trial (i.e.,  $1 \times 10^7$  MSCs/mL, corresponding to  $2 \times 10^6$  MSCs/mouse, given the maximal injection volume of 200  $\mu$ L recommended for intravenous injections to mice [21]). This cell load was well tolerated without clinical signs or mortality. Human-origin cells were predominantly recorded in the injection-site tissues (skin and skeletal muscle) and in the lungs, as expected. The observed kinetics over time suggests a certain degree of persistence of the cells in these tissues (Figure 1). However, the cells did not appear to readily traffic, home or engraft on tissues beyond the immediate area of the dose site and the lung as "first-pass" organ.

For evaluation of these findings in view of the expectable safety of ABCB5<sup>+</sup> MSCs given intravenously to humans, it should be considered that the data were obtained in severely immunocompromised (NSG) mice. Culture-expanded MSCs show an inefficient homing potential, which is apparently due to a deficit or even lack of relevant cell adhesion and chemokine receptors responsible for coordinated extravasation and tissue-specific homing [20,22]. In immunocompetent (C57BL/6) mice intravenously injected with human bone marrow-derived MSCs, qPCR revealed a rapid loss of traceable human DNA within the first 24 h after injection. At that time point, only <0.1% of the initially injected cells were detectable in the lungs (maximum: 52.5% at 5 min), and human cells were undetectable in the liver (maximum: 0.05% at 2 h) [23]. Immunodeficiency, as was the case in the present study, seems to have a favoring effect on persistence of exogenous cells, which, however, would not be given in non-immunosuppressed human patients.

Another major concern regarding the safety of stem cell therapies is a potential tumorigenic risk [24]: first, stem cells present some characteristics that are also seen in cancer cells, including long life span, self-renewal, high proliferation rate and relative resistance to the host's immune defense mechanisms such as apoptosis [6]. Second, MSCs could aggravate (potentially undiagnosed) pre-existing neoplastic diseases in the host by promoting tumor growth and metastasis through various mechanisms, including the MSCs' immunomodulatory properties, by which they could suppress the host's anti-tumor response [25,26], and their pro-angiogenic capacities, by which they may

facilitate tumor growth and metastasis [27]. Third, neoplastic transformation of MSCs may result from an accumulation of genetic and/or epigenetic changes, which may occur during the process of *in vitro* stem cell expansion, isolation and ATMP manufacture [24]. Transformation has been observed in long-term cultures of bone marrow-derived MSCs [28–30], although other investigators have described such events as very rare or even failed to observe any at all [31–33]. Together, a thorough tumor risk assessment after local and systemic application is essential for biosafety evaluation of MSC-based therapies, even if MSC-originating tumors have never been diagnosed in several hundreds of patients treated with MSCs [7,8,34,35].

The tumorigenicity studies were combined with the studies for toxicity assessment, which allowed for keeping animal numbers as low as possible and reduce potential interstudy variance. Doing so seemed reasonable given that toxicity studies on cell-based medicinal products require longer observation periods than conventional single-dose toxicity studies because the applied cells may be effective for longer times than conventional medicinal products, either because they may not immediately be rejected or by affecting resident cells eliciting effects that continue even after the applied cells are no longer present in the tissue [36].

In the subcutaneous study, the predominant findings were systemic lymphoblastic neoplasms seen throughout all groups treated with ABCB5<sup>+</sup> MSCs or vehicle, with incidences ranging between 5% in the medium-dose MSC group and 17% in the vehicle group. These tumors were, however, clearly judged not related to the application of ABCB5<sup>+</sup> MSCs for three reasons: first, these tumors were observed in both, MSC- and vehicle-treated groups. Second, the obvious hematopoietic/lymphatic (and thus non-mesenchymal) origin of the tumors together with the absence of human DNA sequences in the affected organs as confirmed by qPCR ruled out any involvement of the applied human ABCB5<sup>+</sup> MSCs. Third, spontaneously developing lymphomas are known to be a frequent occurrence in NOD-*scid* mice [11], which were used in this study. In contrast, in the intravenous study, which instead used NSG mice, which exhibit only a very low spontaneous lymphoma incidence [37], neither in the vehicle- nor in the MSC-treated group any signs of gross tumor development, ectopic tissue formation or micrometastases were observed. In the lungs of the MSC-treated animals, a small amount of the administered ABCB5<sup>+</sup> MSCs persisted during the observation period. Combined AMA and Ki67 staining demonstrated, however, that these cells were not proliferating.

The question may arise whether a 12-week (subcutaneous) or 13-week (intravenous study) follow-

up is actually sufficient for ruling out a tumorigenic potential of the MSCs, considering that in one skin sample in the subcutaneous biodistribution study human cells were detected at 3 months (which could have persisted even longer if the animal was not sacrificed). However, it needs to be considered that the data were obtained in severely immunocompromised mice to prevent degradation or rejection of the MSCs by the host's immune system and extend cell survival and persistence in the host for as long as possible. Thus, the level of cell survival observed in our studies is far above of what is expected in an immunocompetent organism. In immunocompetent (C57BL/6) mice intravenously injected with human bone marrow-derived MSCs, qPCR revealed a rapid loss of traceable human DNA already within the first 24 h. At that time point, for example, only <0.1% of the initially injected cells were detectable in the lungs (maximum: 52.5% at 5 min) [23].

As with the tumorigenicity parts of the studies, their toxicity parts did not reveal any significant effect of ABCB5<sup>+</sup> MSCs in either dose tested on the parameters investigated. In general, either no significant differences between vehicle- and MSC-treated animals were observed, or the observed findings were judged unrelated to the treatment with ABCB5<sup>+</sup> MSCs, based on their incidence and distribution.

Taken together, these studies demonstrate that repeated (three monthly) subcutaneous injections of human skin-derived ABCB5<sup>+</sup> MSCs at doses ranging from  $1 \times 10^5$  to  $1 \times 10^7$  cells/mouse and repeated (three biweekly) intravenous injections of human ABCB5<sup>+</sup> MSCs at a dose of  $2 \times 10^6$  cells/mouse, respectively, were non-tumorigenic and nontoxic, with  $1 \times 10^7$  ABCB5<sup>+</sup> MSCs/mouse (for subcutaneous application) and  $2 \times 10^6$  cells/mouse (for intravenous application), respectively, being considered the “no observed adverse effect level” (NOAEL). As these doses represent the highest doses tested in our studies, the actual NOAEL might be even higher. Although we cannot state with certainty that our studies exactly duplicated the biodistribution condition in humans, the use of immunocompromised mice can be expected to have provided the greatest possible cell survival. This allows for reasonable translation of our animal-derived data to the allogeneic but immunocompetent human setting.

An issue that could not be studied in NSG mice, which lack mature T-, B- and natural killer-cell activity, is the potential development of antibodies against foreign cell antigens. On the other hand, studies of human MSCs in immunocompetent mice would reflect the xenogeneic situation and thus provide only limited informative value in view of human (i.e., allogeneic) use. Given the multifaceted immunosuppressive properties of ABCB5<sup>+</sup> MSCs [3–5],

the generally low immunogenicity of MSCs [2,38] and the observation that occasional anti-donor antibody formation following repeated intravenous MSC injections was associated with neither clinical consequences nor with loss of therapeutic efficacy of the applied cells [39,40], we do not expect any significant safety concerns.

Finally, to facilitate intramuscular application settings, two studies were specifically designed to investigate short-term (7 days) and longer-term (29 days) intramuscular local tolerance. The latter study involved an additional group that was treated with PBS to detect any potential local side effect of the HRG vehicle. No clinical and macroscopic abnormalities were observed in these studies. Microscopic reactions were for the most part judged as a normal consequence of injection of a volume into muscular tissue. Local intolerance, emanating from either the MSCs or from the vehicle, could not be deduced from the findings of these studies. One may speculate that the immunocompromised state of the animals may have prevented or masked potential local tissue reactions that would otherwise occur. Although such a contribution cannot be definitively ruled out, it may be emphasized that good intramuscular tolerability of MSCs of various sources has also been observed in the immunocompetent organism, as was demonstrated, for example, with intramuscular injections of human bone marrow-derived MSCs to C57BL/6 mice [41] or of adipose tissue-derived MSCs to humans [42].

In conclusion, the present study program covered the specific aspects to be addressed in preclinical safety studies for somatic cell therapy medicinal products [43], including the evaluation of distribution and engraftment after administration, ectopic engraftment and oncogenic transformation. The set of tests and pattern of analysis may be generally applicable to preclinical safety and tolerability evaluation of MSC-based medicinal products. Our data demonstrate the preclinical safety and tolerability of a novel ATMP based on human skin-derived ABCB5<sup>+</sup> MSCs that may represent a significant contribution toward the unmet medical needs of various degenerative and inflammatory conditions.

### Acknowledgements

The authors gratefully acknowledge expert advice on the study designs from Monika Chabicovsky, PhD, MC Toxicology Consulting GmbH, Vienna, Austria. We also thank the contract research organizations Aurigon Life Science GmbH, Tutzing, Germany; Envigo CRS Limited, Huntingdon, United Kingdom; and Preclinics, Potsdam, Germany, for conducting the subcutaneous, intravenous and intramuscular animal

studies, respectively; Accelero Bioanalytics GmbH, Berlin, Germany, for qPCR analysis; and AnaPath GmbH, Oberbuchsitzen, Switzerland, for histopathological examinations in the local tolerance studies.

**Disclosure of interest:** Markus H. Frank is inventor or co-inventor of US and international patents assigned to Brigham and Women's Hospital and/or Boston Children's Hospital, Boston, MA, USA, licensed to TICEBA GmbH (Heidelberg, Germany) and RHEACELL GmbH & Co. KG (Heidelberg, Germany). Markus H. Frank and Karin Scharffetter-Kochanek serve as scientific advisors to TICEBA GmbH and RHEACELL GmbH & Co. KG and participate in corporate-sponsored research collaborations with RHEACELL GmbH & Co. KG. Nils Tappenbeck, Fathema Hassinger, Ulf Dehio, Kathrin Dieter and Korinna Kraft are (senior) project managers at RHEACELL, Jasmina Esterlechner is scientist at TICEBA, Hannes M. Schröder is senior project manager at TICEBA, Elke Niebergall-Roth is scientific writer at TICEBA, Christoph G. Ganss is CEO, and Mark A. Kluth is CSO of RHEACELL and TICEBA. The remaining authors declare no conflict of interest.

This study was funded by RHEACELL GmbH & Co. KG. We wish to confirm that there has been no further financial support for this work that could have influenced its outcome.

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### Supplementary material

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.jcyt.2018.12.005>.