

## CIAT Research Online - Accepted Manuscript

---

### Socioeconomic status connected imbalances in arable land size holding and utilization in smallholder farming in Zimbabwe: Implications for a sustainable rural development

---

The International Center for Tropical Agriculture (CIAT) believes that open access contributes to its mission of reducing hunger and poverty, and improving human nutrition in the tropics through research aimed at increasing the eco-efficiency of agriculture.

CIAT is committed to creating and sharing knowledge and information openly and globally. We do this through collaborative research as well as through the open sharing of our data, tools, and publications.

**Citation:**

Makate, Clifton; Mango, Nelson; Makate, Marshall. 2019. Socioeconomic status connected imbalances in arable land size holding and utilization in smallholder farming in Zimbabwe: Implications for a sustainable rural development. *Land Use Policy*, 87: 104027.

**Publisher's DOI:**

<https://doi.org/10.1016/j.landusepol.2019.104027>

**Access through CIAT Research Online:**

<https://hdl.handle.net/10568/101552>

**Terms:**

© 2019. CIAT has provided you with this accepted manuscript in line with CIAT's open access policy and in accordance with the Publisher's policy on self-archiving.



This work is licensed under a [Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 International License](https://creativecommons.org/licenses/by-nc-nd/4.0/). You may re-use or share this manuscript as long as you acknowledge the authors by citing the version of the record listed above. You may not change this manuscript in any way or use it commercially. For more information, please contact CIAT Library at [CIAT-Library@cgiar.org](mailto:CIAT-Library@cgiar.org).

1           **Socioeconomic status connected imbalances in arable land size holding and utilization in**  
2           **smallholder farming in Zimbabwe: Implications for a sustainable rural development.**

3                           **Clifton Makate<sup>1\*</sup>, Nelson Mango<sup>2</sup> & Marshall Makate<sup>3</sup>**

4           <sup>1</sup>Africa Centre of Excellence (ACE) for Climate Smart Agriculture and Biodiversity Conservation  
5           (Climae SABC), Haramaya University, P.O. Box 138, Dire Dawa, Ethiopia. [ruumakate@live.com](mailto:ruumakate@live.com)

6                           <sup>2</sup>International Centre for Tropical Agriculture (CIAT), Harare, Zimbabwe

7                           <sup>3</sup>Health Systems and Health Economics, School of Public Health, Curtin University

8           **\*Correspondence**

9           **Abstract**

10           Access to land and other natural resources are key means of driving rural people out of abject  
11           poverty. Inequalities in access to land and supportive services that ensure full utilization of land are  
12           therefore hurting in rural societies. This article enumerates and explain wealth-related imbalances in  
13           land size holding and utilization in Zimbabwe's smallholder farming sector. It relies on cross-  
14           sectional household level data collected from 601 smallholder farming households randomly sampled  
15           from four districts. Disparities in land size holding and utilization are measured using the Erreygers  
16           corrected concentration index. A decomposition exploration is performed to determine the  
17           contributing factors to enumerated inequalities in land size holding and utilization. Results reveal a  
18           pro-poor distribution of inequalities in low and very low arable land size holding and also in low land  
19           utilization. Conversely, a pro-rich distribution of inequalities is observed in high land size holding and  
20           high land utilization. Wealth-related inequalities are evident in both men and women farmer groups,  
21           youth and non-youth farmer groups and in different geographic regions. A decomposition analysis  
22           show that observed inequalities in both land size holding and utilization are hugely explained by asset  
23           wealth. Other factors including access to extension, age of farmer, fulltime-farming status and  
24           geographic location were also found to minimally explain measured inequalities. In conclusion, low  
25           land size holding and low land utilization are phenomenon highly concentrated within the poorest  
26           segments of society in Zimbabwe whilst high land size holding and utilization are found within the  
27           more affluent population. Asset holding chiefly explain the disparities. Results suggest the need for  
28           decision makers in land allocation, distribution, re-distribution, and agrarian transformation in general,  
29           to deliberately concentrate on the most vulnerable and poorer segments of society. Such will have far  
30           reaching implications for rural transformation and development.

31           **Key words:** arable land holding; land utilization; socioeconomic disparities, corrected concentration  
32           index, smallholder farmer, Zimbabwe

33

## 1. Introduction

Land is a critical resource that can significantly transform livelihoods of rural dwellers in developing countries. For instance, land is considered a central economic resource for development in Zimbabwe and that is why it was considered for indigenization and economic empowerment since 1980 (Ruzivo Trust 2013). Further, Zimbabwe as a country is agro based with more than 80% of the populace depending on agriculture for their livelihoods. Agriculture in Zimbabwe is a significant contributor to the economy. Since the year 2010, agriculture has been contributing between 14% and 19.5% to the National Gross Domestic Product (Faostat 2015; Munhande et al. 2013; Mutambara et al. 2013). In addition to significant contribution to GDP the sector in the year 2010, accounted for more than 40% of the value of exports, 60% of raw materials to agro-industries, supported at least 70% of the population in terms of livelihoods and contributed to about 66% to formal employment (both direct and indirectly (CAADP 2010). Statistics show that Zimbabwe has about 39.6 million hectares of land area in total and about 40% (15.8 million ha) of that land area is used in agriculture (FAO 2012; Ruzivo Trust 2013). However, only 11% (4.3 million hectares) of the total land area can be effectively used in agricultural production (arable land) (FAO 2012; Ruzivo Trust 2013).

The significance of agriculture to the economy and livelihoods make land access and utilization key amongst smallholder producers in Zimbabwe. This is why the government have been much concerned with land reform and redistribution programs since independence (1980) (Sithole 1996; Juana and Mabugu 2005). In different parts of the world, land reforms have occurred in circumstances where there were great social, economic, political inequalities in income and power agriculture (Pacione 1984). In Zimbabwe, land reform was meant to address inequitable land distribution, unsustainable plus sub-optimal use of land and insecurity of land tenure (Scoones et al. 2011; Ministry of Lands and Agriculture 1999). As such, about 3.6 million hectares of land were acquired and redistributed between the year 1980 and 2000 (Rugube and Chambati 2001). Further, and with the same motive, the government embarked on a radical land reform in year 2000 often known as the Fast Track Land Reform Program (FTLRP) which acquired agricultural land from large scale white commercial farmers and gave it out to landless and other land-short indigenous black people who were previously marginalized (Moyo 2011; Mujeyi et al. 2015; Sadomba 2011). Statistics show that almost 200 thousand households benefited from the redistribution of more than 10 million hectares of land formerly held by only about 4500 large scale white commercial farmers (Moyo 2011; Moyo and Chambati 2013). Resultantly, the agrarian reforms gave birth to a new crop of mostly family run farms (Moyo and Yeros 2005; Sadomba 2013) which are predominantly resource poor (Makate et al. 2016). At present, almost 70% of farmers in the country are smallholders which make them the majority of food producers. The pool of smallholder farmers in Zimbabwe include both Communal farmers and the so-called A1 schemes (villagized arrangements and or small-self-contained farms)(Scoones et al. 2011).

land reform programs have greatly reduced land holding and utilization inequalities in the country especially across racial lines. According to UNDP (2012) inequalities in landholding significantly declined from about 0.6 Gini index before FTLRP to below 0.5 after the FTLRP. Despite, the noted significant impacts of land redistribution programs in the past (i.e. slight decline in inequalities in land holding and in access to other related resources), little is known pertaining to the existence of wealth or income-related disparities in land access and utilization in the smallholder farming sector (i.e. communal and A1 farming schemes). Socioeconomic status (wealth or income) related disparities in land access and utilization could still exist in the smallholder farming sector. This is plausible given that different factors have influenced implementation of the agrarian reforms for instance, income/resource inequalities, and pressure from political groups influencing the FTLRP (Sadomba 2013). Specifically, literature point to the influence of various grievance groups particularly war veterans of the 1970 liberation struggle to implementation of the FTLRP (Sadomba 2011; Sadomba 2013). Also, Scoones (2011) stated that those with elite connections, resources and benefiting from political patronage had higher odds of benefiting from the FTLR exercise. This may have led to concentration of land holding in the hands of those with access to resources, with elite connections, liberation war veterans or other closely related groups. In addition, corruption in land deals, and other factors could add to the factors contributing to disparities in land holding and access to related

87 resources. According to Mutondoro et al. (2016) corruption in land sector is common in Africa and is  
88 largely associated with the rise of large scale land deals. Corruption in land administration and politics  
89 also facilitates land grabbing (MacInnes 2012). Given the role of local leadership (i.e. chiefs and or  
90 village headman) in land administration in rural Zimbabwe, it may be possible that corruption by the  
91 local leaders in land administration could contribute to disparities in landholding.

92 In terms of utilization, farmers with access to land may fail to fully utilize it because of a number  
93 of reasons. For instance, lack of capital, poverty, lack of farming time due to commitment in other off-  
94 farm activities (e.g. formal employment), lack of farming knowledge and other necessary farming  
95 resources (Jayne et al. 2003; Moyo 2013; Rigg 2006). This again can impact on income/wealth related  
96 inequalities in society as it is likely that land under-utilizers may benefit less from the land and also  
97 disadvantage potential beneficiaries (of land) along the value chain i.e. lost employment opportunities.  
98 This again can further fuel income related inequalities in rural society in general. However, it may not  
99 always be the case that land under-utilizers benefit less from land given the rise of informal land  
100 rental markets in the Zimbabwe's agrarian sector (Tatsvarei et al. 2018a; Tatsvarei et al. 2018b).  
101 Recent studies confirm informal renting out and renting in of land by land reform beneficiaries  
102 (Tatsvarei et al. 2018a; Tatsvarei et al. 2018b). In such cases, land owners may get additional income  
103 through renting out land to other people.

104 Given this background, the study aims to explore the possibility of existence and extent of wealth-  
105 related disparities in land size holding and utilization in Zimbabwe's smallholder farming sector. This  
106 has been an understudied case as much focus by a few number of studies have dwelled on  
107 inequalities in landholding across racial lines in the pre and post-colonial periods (see (Moyo 2013)).  
108 Few studies for Zimbabwe have tried to explore wealth-related inequalities in land size holding and  
109 utilization and their main contributing factors in smallholder farming which now has most of  
110 Zimbabwe's food producers. Literature on this subject and particularly for Zimbabwe is scarce but  
111 emerging. For instance, a study by Moyo (2013) examined farmland holding and income inequalities  
112 in southern Africa including Zimbabwe. The study relied on the Gini coefficient<sup>1</sup> to compute income  
113 related inequalities in agricultural land holding and other key resources in southern African countries  
114 including Zimbabwe. The study noted median levels of land concentration in southern African  
115 countries such as Lesotho, Zambia and Botswana with Gini values of between 0.4 and 0.50. the rest of  
116 southern African countries were reported to have Gini coefficients less than 0.4. For Zimbabwe, the  
117 Gini coefficient was very high before the fast track land reform with a Gini index of over 0.6.  
118 however, this is said to be now estimated to be below 0.5 following the FTLRP (Moyo 2013; UNDP  
119 2012). As much as the aforementioned study give a picture on income inequalities in land size holding  
120 in Southern Africa, it provides results at a very low resolution (larger scale) which may be a challenge  
121 for local level policy makers. Further, the study did not touch on income inequalities in land  
122 utilization and contributing factors to the income inequalities. Additionally, inequalities in land  
123 holding and access to related farming resources have been linked to widening income inequalities in  
124 the general populace. This is mainly related to accumulation of greater benefits by landowners and  
125 users from profits, and rents (Moyo 2008). This highlights how negative inequalities in land holding  
126 and other necessary farming resources can be in worsening the wealth gradient in the society. This  
127 motivates undertaking of this study as results can inform policy on the possible channels that can be  
128 taken to address socioeconomic status-related inequalities in land access and utilization in smallholder  
129 farming for equity and sustainable agrarian transformation.

130 The study quantify and explain socioeconomic status (wealth)-related inequalities in land size  
131 holding and utilization using the Erreygers (2009) corrected concentration index in Zimbabwe's  
132 smallholder farming which is an understudied case.

---

<sup>1</sup> Gini coefficient is a statistical measure of inequality of a statistical distribution ranging from 0 (total equality) to 1 (maximum inequality), used in various disciplines to compare incomes or wealth.

133 The rest of the article is organized as follows: section two present the methodology followed by  
134 this article whilst sections three and four present results and discussions respectively. Section five  
135 concludes the paper and give results implications and policy recommendations.

## 136 2. Methods

### 137 2.1. Measuring disparities in land size holding and utilization

138 As alluded earlier, the primary objective of this article is to measure and explain socioeconomic  
139 status-related inequalities in land size holding and utilization in smallholder farming in selected  
140 districts of Zimbabwe. The study adopts the Erreygers (2009) corrected concentration index to  
141 measure and explain inequalities in land size holding and utilization which is widely adopted in health  
142 research (O'donnell et al. 2008; Wagstaff and van Doorslaer 2000; Makate and Makate 2017).  
143 According to Wagstaff and van Doorslaer (2000) the concentration index measures the extent to  
144 which an outcome (i.e. health outcome) is associated with inequality in a measure of socioeconomic  
145 status usually wealth or income. In the case of this article, the index will measure the extent to which  
146 land size holding and utilization at household level is associated with household wealth inequality.  
147 The study relies mainly on explaining disparities in land size holding and utilization based on binary  
148 indicator variables following other recent applications (Makate and Makate 2017; Ngandu et al. 2017).  
149 The article follows recommendations suggested by Erreygers (2009) and apply the corrected version  
150 of the concentration index. For more information on the merits of applying the corrected version of  
151 the concentration as opposed to the standard concentration index when outcome variables are binary  
152 readers can refer to Wagstaff (2005) and Erreygers (2009). Algebraically the corrected concentration  
153 index is expressed as follows:

$$154 \quad E(L) = 8cov(L_i, W_i) \quad (1)$$

155 where  $E(L)$  is the Erreygers corrected concentration index,  $L_i$  is the land size holding or land  
156 utilization outcome of interest,  $W_i$  is the individual or respondent's relative rank in the household  
157 wealth distribution. If statistically significant, the higher the value of  $E(L)$ , the larger the inequality  
158 landholding or utilization. Positive (negative) values of  $E(L)$  indicate a pro-rich (pro-poor)  
159 distribution in the outcome variable of interest in this case in land size holding and or land utilization.

160 To deduce significant inferences Wagstaff et al. (2003) suggested a way of decomposing the  
161 measured inequalities (i.e. inequalities in land size holding or land utilization) into their specific  
162 determining components using the following linear equation:

$$163 \quad L_i = \beta_0 + \sum_{k=1}^K \beta_k x_{ik} + \varepsilon_i \quad (2)$$

164 where  $L_i$  is the land size holding or land utilization measure,  $X$  is a vector of characteristics that are  
165 likely determinants of land size holding and or utilization. Equation (2) is estimated using an ordinary  
166 least square (OLS) regression model (van Doorslaer and Koolman 2004). From this point, we will  
167 refer the corrected concentration index to simply the concentration index for simplicity. Results for  
168 the concentration index were run at (i) full sample, (i) at district level, (iii) by gender of farmer and (iv)  
169 by farmer youth status. All the analysis is conducted in STATA software version 13.0 (Stata 2013).

### 170 2.2. Data used

171 This study uses cross-sectional household-level data collected during a survey that was done in  
172 Zimbabwe and covered four districts (Goromonzi, Guruve, Mudzi and Wedza) between October and  
173 December of 2011. The simple random sampling technique was used to select wards from a list of  
174 households obtained from the district extension office of each of the four districts. Within the selected  
175 wards, the interviewed households were randomly chosen from households' lists provided by resident  
176 agricultural extension officers. A total of 601 households; 175 from Goromonzi, 187 from Guruve,  
177 120 from Mudzi and 119 from Wedza were then selected for the survey. Data collection was in the  
178 form of face-to-face administration of structured questionnaires. The surveys collected vital  
179 information on several household and farm characteristics including land size holding, land utilization,

180 ownership of a number of assets kept by the household, crop and animal production, access to  
181 agricultural extension services etc. The data on asset holdings was extensively covered and it gathered  
182 information on ownership of livestock, household goods (i.e. television, radio, bicycle etc.), farm  
183 implements and other intermediate technologies (e.g. oxcart, planter, wheelbarrow, tractor, plough  
184 etc.), household dwelling characteristics (such as floor, roof and wall material) and other common  
185 assets (such as mobile phones). The study took advantage of such information in generating a  
186 comprehensive wealth index variable for the household using Principal Components Analysis (PCA)  
187 (Filmer and Pritchett 2001).

### 188 **2.3. Outcome variables**

#### 189 *2.3.1. Land size holding*

190 Binary variables to characterise land size holding are derived from a continuous variable arable  
191 land size holding. The study defines very low and low arable land size holding as binary variables (1=  
192 yes; 0=otherwise) indicating whether the smallholder farmer owns less than 0.5 and 1.0 hectares of  
193 arable land respectively. More so, the study defines high and very high land size holding as binary  
194 variables (1= yes; 0=otherwise) indicating whether a smallholder farmer owns more than 3.0 and  
195 more than 5.0 hectares of arable land respectively. Defining low and high arable land size holding at  
196 two levels was done to ensure ease of robustness check on the results. More details on variable  
197 definition are shown in table (2). Defining the different levels of land size holding are guided by the  
198 researcher's knowledge of smallholder farming land holding characteristics, sample arable land size  
199 holding averages and literature pointing to average land size holdings in the southern African region  
200 and particularly Zimbabwe. According to Moyo (2013) average sizes of family farms in the southern  
201 African region are fairly small and ranges between 1 and 3 hectares (Moyo 2013).

#### 202 *2.3.2. Land utilization*

203 Land utilization is measured in two farming seasons 2009 and 2010. Binary variables for low and  
204 high land utilization in two seasons are specified. Low (high) land utilization is defined as a binary  
205 variable with a value of (1) if the smallholder farmer cultivated less (more) than 0.5 (2.0) hectares of  
206 land in a given season, and (0) otherwise. See table (2) on detailed descriptions of variable definitions  
207 on land utilization. Definition of land utilization levels is mainly guided by average land use values in  
208 the studied areas and the researcher's knowledge of the smallholder farming sector and their problems  
209 i.e. lack of access to credit, farming inputs and other necessary intermediate farming technologies  
210 which may limit effective land utilization.

### 211 **2.4. Explanatory variables used**

212 The study relied on a number of variables mainly used in explaining dynamics in resource access  
213 and use at farmer household level including Age gender marital status and education of household  
214 head, availability of labour (proxied by household size), main occupation of farmer, access to  
215 extension services and wealth. The chosen explanatory variables could possibly explain observed  
216 inequalities in land size holding and utilization in smallholder farming. For instance, age of the farmer  
217 can proxy farming experience which is an important factor that influence farming decisions (Fischer  
218 and Qaim 2012; Makate et al. 2018b) including land use. Gender is another important demographic  
219 characteristic than can influence farming decisions. Women farmers for instance may not have the  
220 same influence and resources to make crucial decisions relating to changing agricultural practices  
221 (Murray et al. 2016; Quisumbing and Pandolfelli 2010) or land use patterns. Marital status reflects on  
222 the strength of the family system and can have knock-on effects on farming decisions including  
223 technology adoption, productivity, land use (Makate et al. 2018a). Also, educated farmers are  
224 expected to relate better farming decisions (e.g. effective land use, improved technology adoption) to  
225 betterment of their farming enterprise competitiveness and are more likely to be quick in making such  
226 decisions. For instance, Upadhyay et al. (2003) reiterated that educated farmers relate technology  
227 adoption with improvement of their farming activities and hence are more likely to take a shorter time  
228 to adopt technologies (Makate et al. 2018a; Upadhyay et al. 2003). Availability of labour is also an  
229 important determinant of farming decisions and land use. Households with more labour available may  
230 have higher affinity for owning or using larger land sizes unlike those with shortage of labour.

231 However, a negative influence of labour on land size holding and utilization can be expected in cases  
 232 where family with many members engage in off-farm activities in order to gain extra income to ease  
 233 consumption pressures exerted by a larger family (Deressa et al. 2008). Also engaging in full-time  
 234 farming by the household head may influence land holding and use decisions. Farmers into full-time  
 235 farming are expected to use larger tracts of land than part-time farmers who may not find time to fully  
 236 utilize larger tracts of the land. Access to agriculture extension is an important determinant of farming  
 237 decisions including land use (Anderson and Feder 2007). Also wealth itself can determine access to  
 238 complementary resources by the farmer (Cunguara et al. 2011; Makate et al. 2018b) which again can  
 239 influence land holding and use decisions.

240 **2.5. Measuring the household socioeconomic status using the wealth index**

241 Studies in developing countries are increasingly using asset-based indices as measures of the  
 242 socioeconomic status of the family given the difficulty associated with acquiring data on household  
 243 income or consumption (O'donnell et al. 2008). The study shadow this burgeoning literature and  
 244 compute the asset/wealth index as a proxy for household wealth using PCA (Filmer and Pritchett  
 245 2001). Several studies focusing on explaining disparities in health outcomes in low-income countries  
 246 have used the asset index as a measure of socioeconomic status (Gwatkin et al. 2007; Hajizadeh et al.  
 247 2014; Makate and Makate 2017; Ngandu et al. 2017). This asset index is computed based on the  
 248 household's ownership of several household property, animals, and household dwelling  
 249 characteristics and is summarized in Table 1. For brevity, other output from PCA is omitted and only  
 250 the mean of the variables considered are shown (see Table 1).

251 Table 1: Principal components and summary statistics for the variables used to compute the smallholder farmer  
 252 wealth index

Variables	Statistics		
	Mean	SD	Component score
Number of cattle	2.411	3.417	0.238
Owens draft cattle	0.496	0.500	0.219
Owens draft donkey	0.025	0.156	0.057
Owens sheep	0.188	1.053	0.068
Number of goats	2.651	3.503	0.190
Number of pigs	0.316	1.404	0.030
Number of chickens	11.819	19.730	0.162
Number of hoes	5.408	3.504	0.215
Owens a plough	0.589	0.492	0.215
Owens a tractor	0.012	0.107	0.041
Number of wheelbarrows	0.544	0.596	0.243
Owens a sprayer	0.308	0.462	0.177
Owens a planter	0.020	0.140	0.037
Owens an oxcart	0.378	0.485	0.237
Owens a hand cart	0.005	0.071	0.051
Owens a bicycle	0.378	0.485	0.172
Owens a car	0.028	0.166	0.080
Owens a truck	0.010	0.099	0.043
Owens a bike	0.008	0.091	0.032
Owens a cellphone	0.784	0.412	0.167
Owens a radio	0.594	0.491	0.159
Owens a television	0.290	0.454	0.191
Floor material type			
Mud	0.296	0.457	-0.271
Cement	0.686	0.465	0.275
Tiles	0.017	0.128	-0.025
Wall material type			
Mud	0.085	0.279	-0.077
Cement bricks	0.494	0.500	0.297
Mud bricks	0.421	0.494	-0.257
Roof material type			
Grass	0.344	0.476	-0.271

Iron sheets	0.143	0.350	-0.010
Asbestos	0.506	0.500	0.260
Observations	601		

253 Notes: SD = Standard deviation; Component score is the overall contribution of the variable to the overall  
254 principal components score.

### 255 3. Results

#### 256 3.1. Descriptive statistics

##### 257 3.1.1. Outcome variables

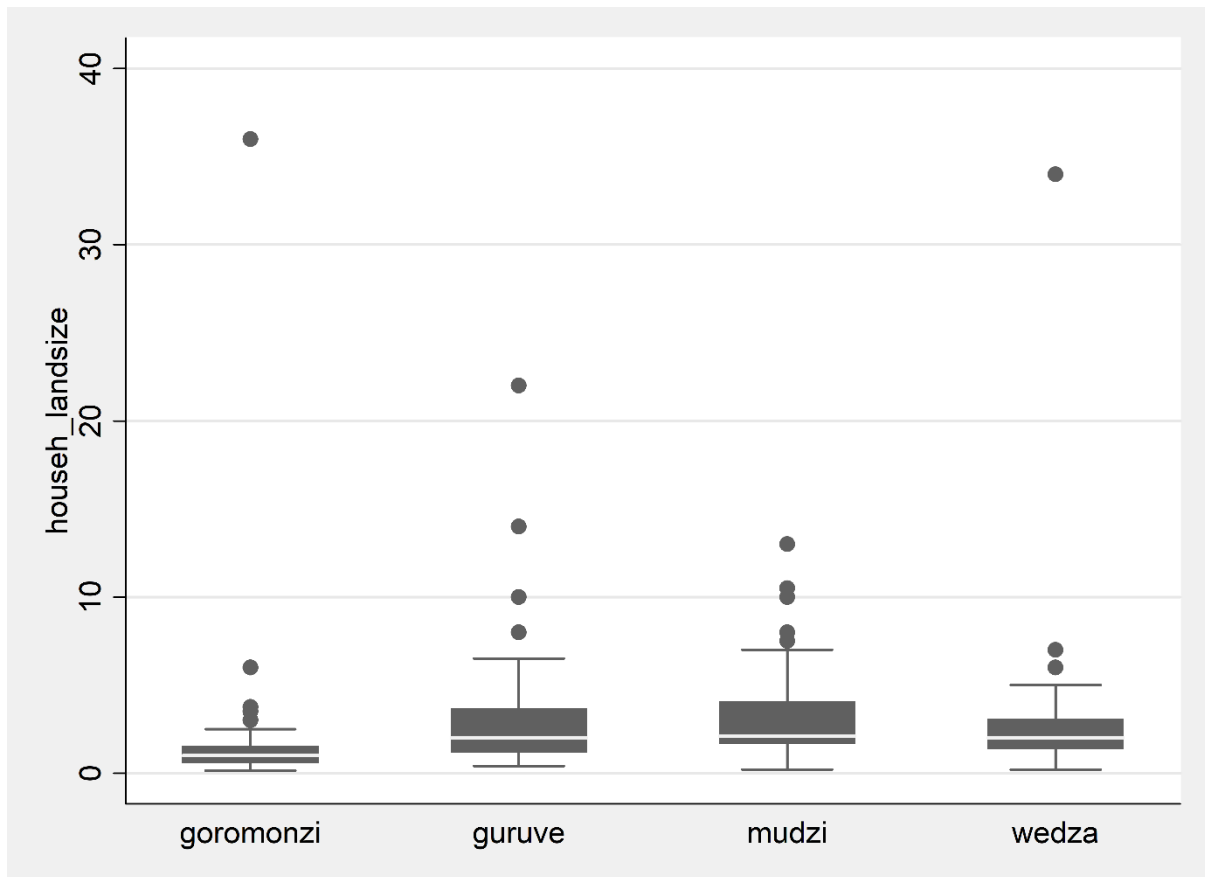
258 Presented in table 2 are means and standard deviations of all the outcome and explanatory  
259 variables used in the analysis stratified by district.

##### 260 a) *Land size holding*

261 Average land size owned by the household is reported to be 1.42, 2.73, 2.98 and 2.46 hectares in  
262 Goromonzi, Guruve, Mudzi and Wedza districts respectively. For a clearer view on the distribution of  
263 land size holding see figure 1. Results from the analysed sample show that low and very low land size  
264 holding was most prevalent in Goromonzi district with 42.9% of the farmers owning less than one  
265 hectare of arable land and about 13.1% of farmers owning less than 0.5 hectares of arable land. On the  
266 other extreme, Mudzi district was reported to have the lowest average proportions of farmers with low  
267 (5.8%) and very low (0.8%) land size holding. Guruve and Wedza lie in between with almost similar  
268 average proportions. Guruve and Wedza had about 1.6 and 3.4% of farmers with very low land size  
269 holding respectively. Additionally, 8.6% and 11.8% of farmers in Guruve and Wedza were in the low  
270 land size holding category respectively.

271 On the contrary, farmers in Guruve and Mudzi were reported to have high average proportions of  
272 representation in the high and very high land size holding categories. Results show about 6.4% and  
273 10.8% proportions of farmers in Guruve and Mudzi are in the very high land size holding category  
274 respectively. Goromonzi and Wedza had only 1.1% and 3.4% representation in the same category.  
275 Similarly, in the high land size holding category, a similar trend is observed with Guruve and Mudzi  
276 district dominating representation in the category with about 33.2% and 29.2% of farmers respectively.





277

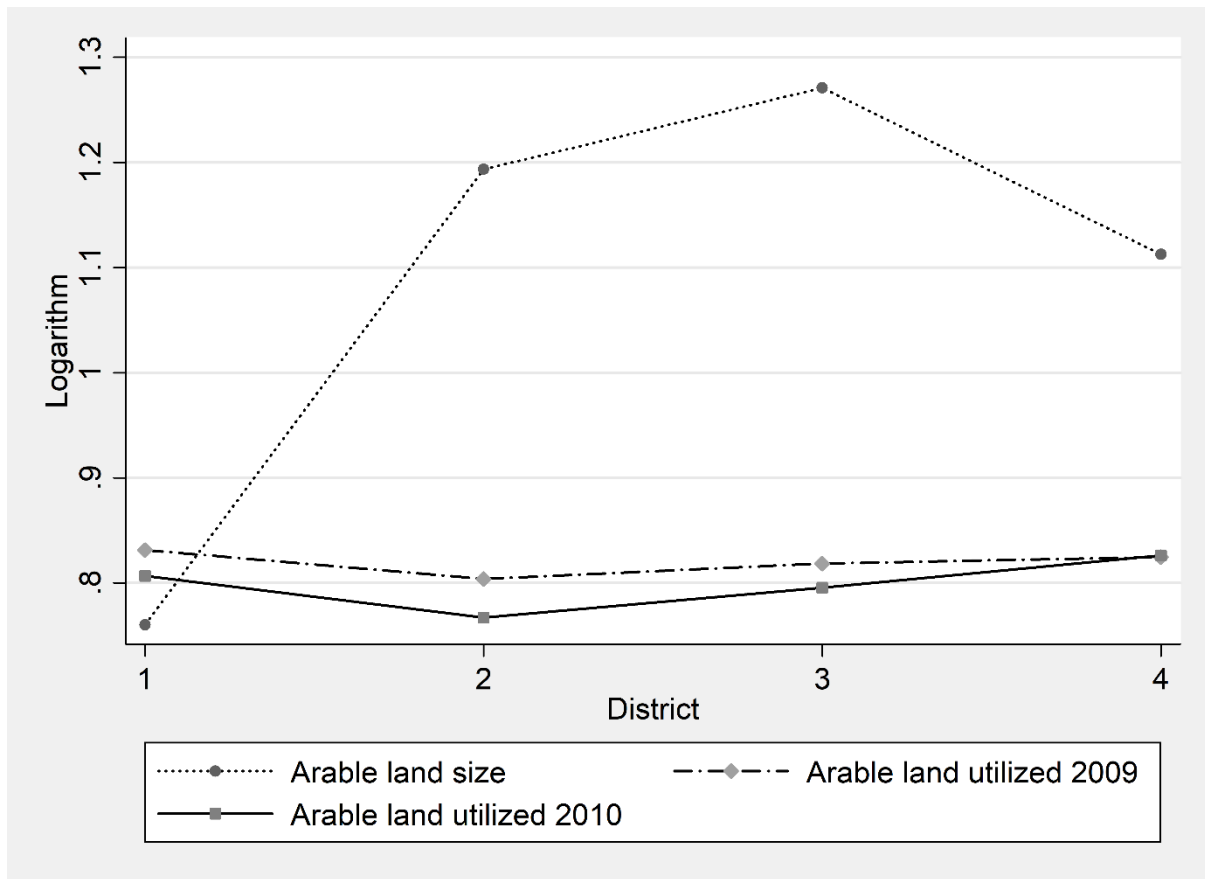
278 Figure 1: Box plot on household land size holding distribution by district. Land size is  
 279 measured in hectares.

280 *b) Land utilization*

281 Results show that in 2009, more farmers from Guruve (18.2%) and Mudzi (17.5%) ploughed less  
 282 than 0.5 hectares of their arable land. Proportions of farmers in the same category in Goromonzi and  
 283 Wedza were slightly lower 12 and 10.1% respectively. On the other hand, almost similar proportions  
 284 of farmers in the high land utilization category (more than 2 ha ploughed) are reported in Goromonzi  
 285 (18.9%), Guruve (20.3%) and Mudzi (20%) in the same year 2009. Only in Wedza district where the  
 286 average proportion is slightly different and lower (14.3%).

287 In 2010, almost a similar trend is observed in terms of land utilization. More farmers in Guruve  
 288 (20.9%) and Mudzi (18.3%) ploughed less than 0.5 hectares of their arable land. Proportions of  
 289 farmers in the same category are lower in Goromonzi (13.1%) and Wedza (9.2%). In terms of average  
 290 proportion in the high land utilization category (farmers who ploughed more than 2 hectares of land)  
 291 all the four districts had almost similar representation ranging from 17.1% in Goromonzi to 20.0% in  
 292 Mudzi district.

293 Average total land utilization in Goromonzi, Guruve, Mudzi and Wedza in 2009 are reported to be  
 294 1.51, 1.49, 1.53 and 1.44 hectares respectively. In the 2010 season average land utilization figures  
 295 remained almost the same as they are reported to be 1.42, 1.40, 1.47 and 1.44 hectares in Goromonzi,  
 296 Guruve, Mudzi and Wedza respectively. Figure 2 compare the average household land size holding  
 297 and the average land utilization levels in the two farming seasons 2009 and 2010 by district.



298

299 Figure 2: Arable land size owned, land utilized by the household in 2009 and 2010 shown by  
 300 district. 1=Goromonzi, 2=Guruve, 3=Mudzi and 4=Wedza district.

301

302 3.1.2. Explanatory variables

303 A number of explanatory variables were included in this study. Descriptive statistics report an  
 304 almost uniform average age of farmers across the four districts. Mean age of farmer was 51.3, 48.5,  
 305 52.2 and 55.5 years in Goromonzi, Guruve, Mudzi and Wedza respectively. More so, Goromonzi,  
 306 Mudzi and Wedza had youth representation rates at 13, 24, 14 & 12% respectively. Male  
 307 representation was almost similar across the four districts. Mean percent of male representation  
 308 ranged between 71.4% in Wedza and 78.6% in Guruve. Goromonzi and Mudzi had about 74.3 and  
 309 77.5% mean male representation proportions respectively. The majority of the household heads in  
 310 Mudzi (77.5%), Guruve (75.9%) and Goromonzi (75.4%) were married as at survey date. Slightly  
 311 lower marriage rate of household heads is reported in Wedza (67.2%). In terms of education,  
 312 household heads in Guruve, Goromonzi, Mudzi and Wedza had respective mean proportions of  
 313 having attained at least secondary education as at survey date at 50.3, 48.0, 45.8 and 45.4%. Mean  
 314 number of workers per household members (family size) was about 5 (members) across all districts.

315 Most surveyed farmers in all the districts indicated that their main trade was farming. The  
 316 proportions reported ranged between 79.4% in Goromonzi and 96.7% in Mudzi. Minimum percent of  
 317 recorded contact with agriculture extension workers was 53.7% in Guruve and the maximum recorded  
 318 was 71.4% in Wedza district. Guruve and Mudzi reported almost similar mean proportions 62.6 and  
 319 60.0% respectively.

320 Table 2: Summary statistics of variables used in analysis by district

Variable Description and measurement	Goromonzi	Guruve	Mudzi	Wedza	Full Sample
--------------------------------------	-----------	--------	-------	-------	-------------

		mean	mean	mean	mean	mean
Very_low_holding	Binary variable =1 if farmer owns less than 0.5 hectares of arable land; 0 otherwise	0.131	0.016	0.008	0.034	0.052
Low_holding	Binary variable =1 if farmer owns less than 1 ha of land; 0 otherwise	0.429	0.086	0.058	0.118	0.186
High_holding	Binary variable =1 if farmer owns land more than 3 hectares of arable land; 0 otherwise	0.023	0.332	0.292	0.168	0.201
Very_high_holding	Binary variable =1 if farmer owns more than 5 hectares of arable land; 0 otherwise	0.011	0.064	0.108	0.034	0.052
Low_utilization_09	Binary variable=1 if farmer used less than 0.5 hectares of arable land in 2009; 0 otherwise	0.120	0.182	0.175	0.101	0.146
High_utilization_09	Binary variable=1 if farmer used more than 2 hectares of arable land in 2009; 0 otherwise	0.189	0.203	0.200	0.143	0.186
Low_utilization_10	Binary variable=1 if farmer used less than 0.5 hectares of arable land in 2010; 0 otherwise	0.131	0.209	0.183	0.092	0.158
High_utilization_10	Binary variable=1 if farmer used more than 2 hectares of arable land in 2010; 0 otherwise	0.171	0.182	0.200	0.185	0.183
househ_landsize	Arable land size owned by the household	1.419	2.727	2.978	2.464	2.344
Land_use_09	Arable Land area used by the household in 2009 season	1.507	1.488	1.529	1.438	1.492
Land_use_10	Arable land area used by the household in 2010 season	1.424	1.395	1.472	1.440	1.428
househ_age	Age of household head in years as at survey date	51.309	48.503	52.183	55.454	51.431
Youth	Proportion of youths (Farmers age 35 and below)	0.131	0.241	0.142	0.118	0.165
househ_male	Binary variable =1 if gender of household head is male; 0 otherwise	0.743	0.786	0.775	0.714	0.757
househ_married	Binary variable =1 if household head is married; 0 otherwise	0.754	0.759	0.775	0.672	0.744
Household_size	Household Size	5.274	5.225	5.908	5.277	5.386
educ_secondary	Binary variable =1 if household head reached	0.480	0.503	0.458	0.454	0.478

	at least secondary school; 0 otherwise						
emp_farmer	Binary variable =1 if household's main occupation is farming; 0 otherwise	0.794	0.898	0.967	0.832	0.869	
agric_extension	Binary variable =1 if farmer has had contact with agricultural extension workers; 0 otherwise	0.537	0.626	0.600	0.714	0.612	
asset_quintile1	Binary variable =1 if farmer is in asset quintile 1 (poorest); 0 otherwise	0.131	0.299	0.217	0.134	0.201	
asset_quintile2	Binary variable =1 if farmer is in asset quintile 2; 0 otherwise	0.200	0.246	0.142	0.185	0.200	
asset_quintile3	Binary variable =1 if farmer is in asset quintile 3; 0 otherwise	0.234	0.182	0.125	0.252	0.200	
asset_quintile4	Binary variable =1 if farmer is in asset quintile 4; 0 otherwise	0.194	0.144	0.283	0.210	0.200	
asset_quintile5	Binary variable =1 if farmer is in asset quintile 5 (richest); 0 otherwise	0.240	0.128	0.233	0.218	0.200	
<i>N</i>		175	187	120	119	601	

321 Data Source: Data for this study comes from a survey of smallholder farming households in four  
322 selected districts  
323

324 Guruve and Mudzi had more than 20% each of their farmers represented in the poorest wealth  
325 category (asset quintile 1). Goromonzi and Mudzi had however, lower proportions slightly above 13%.  
326 On the other hand, Goromonzi was highly represented in the highest wealth category (asset quintile 5)  
327 with 24% representation. Guruve and Wedza were also highly represented with 23.3 and 21.8%  
328 respectively. Only Mudzi was lowly represented in the high wealth category with about 12.8%  
329 representation.

### 330 **3.2. Wealth-related disparities in land size holding and land utilization in selected** 331 **smallholder farming areas of Zimbabwe.**

#### 332 3.2.1. Full farmer sample results

333 Reported estimates in table 3 are concentration indices based on the Erreygers (2009) corrected  
334 concentration index. Precisely, reported in the table are the indices and their respective standard errors  
335 given in parenthesis. Results show that wealth related inequalities in low land size holding (-0.133)  
336 and very low (-0.053) land size holding exist and are statistically significant at 1 and 10% levels  
337 respectively and are mostly pro-poor. Precisely stated, observed inequalities in low and very low land  
338 size holding exist and highly concentrated in the poorer smallholder farmers. On the other hand,  
339 results also show that the concentration index for high land size holding is positive and highly  
340 significant at 1% implying that inequalities in high land size holding are highly concentrated within  
341 the affluent groups of smallholder farmers.

342 Table 3: Wealth-related inequalities in Land size holding and land utilization level in  
343 Zimbabwe's smallholder farming

(Very low holding)	(Low holding)	(High holding)	(Very high)
-----------------------	---------------	-------------------	----------------

	holding)		holding)		holding)		holding)	
	Coefficient	Standard error	Coefficient	Standard error	Coefficient	Standard error	Coefficient	Standard error
Concentration index	-0.053*	(0.020)	-0.133***	(0.033)	0.127***	(0.038)	0.018	(0.021)
Number of observations	601		601		601		601	
	(Low utilization_09)		(High Utilization_09)		(Low utilization_10)		(High utilization 10)	
Concentration index	-0.190***	(0.033)	0.144***	(0.034)	-0.232***	(0.035)	0.168***	(0.033)
Number of observations	601		601		601		601	

344 Notes: \*\*\*Significant at 1% level; \*\*significant at 5% level; \*significant at 10% level.  
345

346 Also, results in table 3 report pro-poor inequalities in low land utilization levels both in the years  
347 2009 (-0.190) and 2010 (-0.232). The concentration indices are all negative and highly significant at  
348 1%. Conversely, results report existence of a pro-rich distribution of inequalities in high land  
349 utilization levels both in 2009 (0.144) and 2010 (0.168). The concentration indices are both positive  
350 and highly significant at 1% level.

### 351 3.2.2. Heterogeneities of results by district of farmer residence

352 Table 4 show study results on wealth-related disparities in land size holding and utilization in  
353 smallholder farming by district of farmer. Only concentration indices for low land utilization, high  
354 land utilization, low land utilization in 2010 and high land utilization in 2010 are reported in table 4.  
355 Results show pro-poor distribution of inequalities low land size holding in Goromonzi district (CI= -  
356 0.385) significant at 1%. Concentration indices for the other three districts (Guruve, Wedza and  
357 Mudzi) are shown not to be significant. Furthermore, results show that low land utilization is pro-poor  
358 in Goromonzi, Guruve and Mudzi districts with concentration indices at -0.159 (significant at 5%); -  
359 0.324 (significant at 1%), and -0.286 (significant at 1%) respectively. Also, results communicate a  
360 pro-rich distribution of inequalities in high land size holding in Guruve (CI= 0.281) and Mudzi  
361 (CI=0.319) both significant at 1%.

362 Table 4: Wealth-related inequalities in land holding and utilization in selected districts of Zimbabwe

District	Counts	Low holding		High holding		Low utilization_10		High utilization 10	
		CI	Std.err	CI	Std.err	CI	Std.err	CI	Std.err
Goromonzi	175	-0.385***	(0.075)	0.059	(0.030)	-0.159**	(0.060)	0.098	(0.057)
Guruve	187	-0.081	(0.046)	0.281***	(0.076)	-0.324***	(0.064)	0.212***	(0.060)
Mudzi	120	-0.075	(0.051)	0.319***	(0.087)	-0.286***	(0.083)	0.206*	(0.081)
Wedza	119	-0.130	(0.070)	0.013	(0.087)	-0.065	(0.061)	0.185*	(0.072)

363 Notes: \*\*\*Significant at 1% level; \*\*significant at 5% level; \*significant at 10% level. Presented are  
364 the Erreygers (2009) corrected concentration indices with robust standard errors in parenthesis.  
365 CI=Concentration Index; Std.err=Standard Error.  
366

367 Likewise, results report pro-rich distribution of inequalities in high land utilization in 2010 in  
368 Guruve (CI=0.212), Mudzi (0.206) and Wedza (0.185). Results for Guruve, Mudzi and Wedza are  
369 significant at 1, 10 and 10% levels respectively. Overall results confirm existence of wealth-related  
370 inequalities in land size holding and utilization in smallholder farming in Zimbabwe which are  
371 slightly differentiated by geographic region.

### 372 3.2.3. Heterogeneities by Gender of Farmer

373 Further scrutinizing upshots by gender of farmer reveal that inequalities in land size holding and  
374 utilization are evident in both male and male farmer groups (Table 5). Precisely, results report pro-

375 poor inequalities in low land size holding in both male (CI= -0.109) and female (CI= -0.181) farmer  
 376 groups significant at 5%. Further, results show significant pro-poor distribution of inequalities in low  
 377 land utilization in both male (CI= -0.243) and female (CI= -0.202) farmer groups.

378 Table 5: Wealth-related inequalities in land holding and utilization in Zimbabwe by gender

Gender	Counts	Low holding		High holding		Low utilization_10		High utilization 10	
		CI	Std.err	CI	Std.err	CI	Std.err	CI	Std.err
Male	455	-0.109**	(0.037)	0.120**	(0.046)	-0.243***	(0.039)	0.158***	(0.038)
Female	146	-0.181**	(0.068)	0.088	(0.068)	-0.202*	(0.079)	0.169*	(0.067)

379 Notes: \*\*\*Significant at 1% level; \*\*significant at 5% level; \*significant at 10% level. Presented are the  
 380 Erreygers (2009) corrected concentration indices with robust standard errors in parenthesis.  
 381 CI=Concentration Index; Std.err=Standard Error.  
 382

383 Conversely, results show pro-rich distribution of inequalities in land size holding significant only  
 384 in the male sub-sample (CI= 0.120). Also, results report pro-rich distribution of inequalities in high land  
 385 utilization significant in both male (CI=0.158) and female (CI=0.169) sub-samples. Overall, results  
 386 confirm existence of significant inequalities in land holding and utilization in smallholder farming in  
 387 Zimbabwe in both male and female farming households.

388

### 389 3.2.4. Heterogeneities by farmer youth status

390 Table 6 show results on distribution of wealth-related inequalities in land size holding and  
 391 utilization by farmer youth status. Results report significant pro-poor distribution of inequalities in  
 392 low land size holding amongst non-youthful farmers (older farmers) (CI=-0.146) significant at 1%.  
 393 Also, significant pro-poor inequalities in low land utilization in both youth (CI= -0.419) and  
 394 non-youth (CI= -0.184) farmer groups are reported. Consistently, results by farmer youth-status show  
 395 existence of significant pro-rich distribution of inequalities in high land holding in the non-youth  
 396 farmer group (CI=0.125) and high land utilization in both youth (CI=0.317) and non-youths  
 397 (CI=0.139).

398 Table 6: Wealth-related inequalities in land holding and utilization in Zimbabwe by youth status

Youth Status		Low holding		High holding		Low utilization_10		High utilization 10	
Gender	Counts	CI	Std.err	CI	Std.err	CI	Std.err	CI	Std.err
Youth	99	-0.100	(0.090)	0.153	(0.081)	-0.419***	(0.096)	0.317***	(0.080)
Non-Youth	502	-	(0.035)	0.125**	(0.043)	-0.184***	(0.036)	0.139***	(0.037)
		0.146***							

399 Notes: \*\*\*Significant at 1% level; \*\*significant at 5% level; \*significant at 10% level. Presented are the  
 400 Erreygers (2009) corrected concentration indices with robust standard errors in parenthesis.  
 401 CI=Concentration Index; Std.err=Standard Error.  
 402

403 Overall, results confirm existence of socioeconomic status related inequalities in land size  
 404 holding and utilization in both youth and non-youth farmer groups.

### 405 3.3. Breakdown of wealth-related imbalances in land size holding and land utilization levels

406 A decomposition analysis of the measured socioeconomic disparities in land size holding and  
 407 utilization level in smallholder farming was done and the results are shown in table 8. The  
 408 decomposition exercise allows us to measure the contribution of each explanatory variable to the  
 409 measured inequalities in land holding and utilization. For brevity, only presented in this article are  
 410 decomposition results for low land size holding, high land size holding, low land utilization in 2010  
 411 and high land utilization in 2010. The coefficient estimates from the OLS models estimated using  
 412 equation (2) are shown in table 7. A positive (negative) x% contribution of variable X is to be

413 interpreted as follows: wealth-related land size holding or utilization inequality would, be x% lower  
 414 (higher) if variable X were equally distributed across the wealth range (population), or if variable X  
 415 had a zero-land size holding or utilization level elasticity.

416 Table 7: Coefficient estimates used for the decomposition analysis

	Low_hol ding	High_hol ding	Low_utilizati on_10	High_utilizati on_10
househ_ag e	0.0026** (0.00 09)	0.0002 (0.00 09)	0.0007 (0.00 09)	-0.0009 (0.00 09)
househ_m ale	0.0613 (0.06 06)	0.0127 (0.05 20)	0.0919 (0.06 38)	0.0397 (0.05 32)
househ_m arried	-0.0645 (0.06 16)	0.0649 (0.05 26)	-0.0449 (0.06 26)	0.0064 (0.05 27)
Household _size	-0.0052 (0.00 68)	0.0157 (0.00 97)	0.0083 (0.00 82)	0.0063 (0.00 75)
educ_seco ndary	0.0407 (0.02 98)	-0.0112 (0.03 25)	-0.0135 (0.03 00)	0.0069 (0.03 42)
emp_farm er	-0.0271 (0.04 43)	0.0616 (0.03 64)	0.1056* (0.04 13)	0.0377 (0.04 24)
agric_exte nsion	-0.0217 (0.02 92)	0.0653* (0.03 02)	0.0616* (0.03 14)	-0.0086 (0.03 04)
asset_quint ile2	0.0209 (0.04 82)	0.0419 (0.04 50)	-0.0275 (0.05 49)	0.0532 (0.03 41)
asset_quint ile3	0.0167 (0.04 81)	0.0768 (0.04 65)	-0.1890*** (0.04 78)	0.2506*** (0.04 58)
asset_quint ile4	-0.0658 (0.04 56)	0.0892 (0.04 97)	-0.1579** (0.05 08)	0.2398*** (0.04 67)
asset_quint ile5	- 0.1995*** (0.04 40)	0.1776*** (0.05 33)	-0.2109*** (0.04 90)	0.1746*** (0.04 42)
geo_goro monzi	0.3817*** (0.04 19)	- 0.2590*** (0.03 90)	0.0547 (0.04 04)	-0.0230 (0.04 24)
geo_guruv e	0.0234 (0.02 99)	0.0591 (0.04 86)	0.0714 (0.04 38)	0.0201 (0.04 18)
geo_wedza	0.0517 (0.03 87)	-0.1228* (0.05 17)	-0.0044 (0.04 49)	-0.0157 (0.04 71)
N	601	601	601	601
Mean of the dependent variable	0.1853	0.2003	0.1586	0.1803

417 Notes: \*\*\*Significant at 1% level; \*\*significant at 5% level; \*significant at 10% level. Reported are the  
 418 marginal probability effects and robust standard errors shown in parentheses. The reference categories are as  
 419 follows: Household wealth = 1 (poorest); and District = 1 (Mudzi). Coefficient is the linear regression  
 420 coefficients for the models examining the factors associated with land size holding and utilization in selected  
 421 districts of Zimbabwe.  
 422

423 Results show that measured inequalities in low land size holding, high land size holding, low and  
 424 high land utilization in 2010 are explained largely by asset wealth. Precisely, results reveal that  
 425 measured disparities in low land size holding, high land size holding, low land utilization in 2010 and  
 426 high land utilization in 2010 would have been 94.8, 82.9, 74.71 and 92.47% lower if wealth was  
 427 equally distributed across the wealth range (smallholder farming population) respectively. More so, a  
 428 number of other explanatory variables are found to minimally explain the measured wealth-related  
 429 disparities in the outcome variables.

430 For low land size holding, in addition to household wealth, household age (-6.14%), and  
 431 Goromonzi district (-25.39) contributed minimally but significantly to measured inequalities.  
 432 Precisely, results reveal that if the variable age had zero low land size holding elasticity, measured  
 433 inequalities would have been worse by 6.14 %. Also, results reveal that if Goromonzi district  
 434 (geographic location) had zero low land size holding elasticity wealth related low land size holding  
 435 inequalities would have been 25.39% higher.

436 For high land size holding, results show that in addition to household wealth, access to agriculture  
 437 extension services and geographic location significantly explains observed wealth-related inequalities  
 438 in high land size holding. Precisely, results show that if access to extension was equally distributed  
 439 across the wealth range (smallholder farming population), measured pro-rich inequalities in high land  
 440 size holding would have been lower by 13.65%. Also, if geographic location had zero high land size  
 441 holding elasticity, measured inequalities would have been higher by 28.49%.

442 Table 8: contributions of explanatory variables to overall concentration indices for land size holding  
 443 and land utilization

Variables	Low land size holding			High land size holding			Low land utilization 2010			High land utilization 2010		
	Contribution	%	sum med	Contribution	%	Sum med	Contribution	%	Sum med	Contribution	%	sum med
househ_age	0.0095	-		0.0008			0.0027	-		-0.0033	-	
		6.1			1.4			0.2			2.7	
		4	-6.14		9	1.49		7	-0.27		6	-2.76
househ_male	0.0071	-		0.0015			0.0106	-		0.0046		
		4.3			2.1			3.2			1.7	
		0	-4.30		6	2.16		6	-3.26		2	1.72
househ_married	-0.0098	9.2		0.0099	6.5		-0.0068	1.9		0.0010	0.4	
		5	9.25		6	6.56		3	1.93		7	0.47
Household_size	-0.0034	10.53		0.0100			0.0053	-		0.0040		
		53			8.6			3.0			1.2	
			10.53		6	8.66		8	-3.08		5	1.25
educ_secondary	0.0059	-		-0.0017	-		-0.0020			0.0010		
		3.3			2.3			1.8			0.4	
		3	-3.33		0	-2.30		5	1.85		5	0.45
emp_farmer	0.0019	-		-0.0043	-		-0.0073			-0.0026	-	
		0.2			2.3			4.1			2.7	
		4	-0.24		7	-2.37		6	4.16		6	-2.76
agric_extension	-0.0046	15.42		0.0138			0.0130	-		-0.0018	-	
		42			13.65			4.2			1.8	
			15.42		65	13.65		2	-4.22		9	-1.89
asset_quintile2	-0.0065			-0.0135	-		0.0088	-		-0.0169	-	
		2.8			10.60			3.5			11.16	
		1			60			6			16	
asset_quintile3	0.0000	-		0.0001			-0.0003			0.0004		
		10.02			1.10			1.23			0.13	
asset_quintile4	-0.0210	7.7		0.0288	12.89		-0.0506	19.80		0.0771	41.39	
		7			79.51	82.9		57.24	74.71	0.1116	62.11	92.47
asset_quintile5	-0.1279	19	94.75	0.1137			-0.1349					
					51			24			11	
geo_goromonzi	0.0378	-		-0.0257	-		0.0054	-		-0.0023	-	
		25.39			12.21			3.34			2.37	
								4			7	
geo_guruvue	-0.0054			-0.0136	-		-0.0165			-0.0046	-	
		4.07			10.63			9.10			3.16	
								0			6	
geo_wedza	0.0036	-		-0.0086	-		-0.0003		6.90	-0.0011	-	-7.18
		2.7			5.6	28.49		1.14			1.65	
CI	-0.133***			0.127***			-0.232***			0.168***		
Residual			8.09			17.74			21.2			18.23
Total			91.91			82.26			78.72			81.77



444 Notes: \*\*\*Significant at 1% level; \*\*significant at 5% level; \*significant at 10% level The reference categories  
445 are as follows: Household wealth = 1 (poorest); and District = 1 (Mudzi). Contribution = the absolute  
446 contributions of explanatory variables to the concentration index; CI=Concentration index.

447 Further, in addition to household wealth, being a fulltime farmer and access to extension services  
448 significantly explains observed pro-poor inequalities in low land utilization in year 2010. Precisely,  
449 results reveal that, if all farmers were into full time farming observed pro-poor inequalities in low land  
450 utilization would have been lower by 4.16%. Also, if extension access was equally distributed  
451 amongst the smallholder farming population, observed pro-poor inequalities in low land utilization  
452 would have been higher by 4.22%.

#### 453 **4. Discussions**

454 This article measured and explained wealth-related inequalities in arable land size holding (very  
455 low, low, high and very high land holding), and arable land utilization in two agricultural farming  
456 seasons 2009 & 2010 (low and high) using the corrected concentration index as suggested by  
457 Erreygers (2009). Further, it attempted to ascertain factors explaining the measured inequalities in  
458 arable land size holding and utilization levels was performed. Cross-sectional data collected from  
459 smallholder farming households from four selected districts in Zimbabwe was analysed. Results  
460 reveal a pro-poor distribution of inequalities in low land size holding and low land utilization in  
461 smallholder farming. Wealth-related inequalities are evident in both men and women farmer groups,  
462 youth and non-youth farmer groups and in different geographic regions. This implies that low arable  
463 land size holding and low arable land utilization is highly concentrated within the poorest segments of  
464 the smallholder farming population. A pro-poor distribution of inequalities in low land size holding  
465 could be reflecting on the biases in land distribution at village level and that of it favouring the more  
466 affluent population. It is a common practice in Zimbabwe for villagers/farmers acquiring land through  
467 local leadership Chiefs and or village headman, the affluent could be having huge advantages in  
468 accessing more arable land unlike the less resourced. This is supported partly by findings from a study  
469 by Mutondoro et al. (2016) who concludes that corruption in land administration and politics is a  
470 common practice in Africa and it facilitates land grabbing (MacInnes 2012). Local leadership can  
471 assist the elite population in corrupt deals to access land at the expense of the poor which widens  
472 inequalities in land holding. More so, given the benefits associated with land access and use (Moyo  
473 2013) this can widen general income inequalities in society.

474 More so, a pro poor distribution in low land size utilization in smallholder farming can be  
475 explained by the fact that, more affluent farmers are the ones with higher propensities to access the  
476 much needed farming inputs and technologies and hence they can afford to use a larger proportion of  
477 the arable land unlike the poorer farmers *ceteris paribus*. This is supported by literature that have  
478 found wealth and or access to resources as important determinants of agricultural technologies  
479 adoption and resource use in agriculture (Feder et al. 1985; Feder and Umali 1993; Filmer and  
480 Pritchett 2001; Mahapatra and Mitchell 2001; Makate et al. 2017; Mazvimavi and Twomlow 2009).  
481 Precisely, unavailability of farming resources has been directly linked with putting low land area  
482 under cultivation. Related, the harsh macroeconomic environment in the country for the past two  
483 decades could have contributed to impoverishment of the general populace particularly the rural  
484 dwellers which then reduced their odds of affording the much needed farming inputs to plough much  
485 of their arable land.

486 A pro-rich distribution of inequalities in high land size holding confirms the influence of wealth as  
487 an important determinant for access to relatively large arable land size holding in smallholder farming.  
488 The richer farming population have an upper hand in accessing larger arable land size holdings  
489 through purchase or renting in from other farmers willing to sell or rent out part of their land in order  
490 to get additional income. This is a worrying development since such a move can widen  
491 socioeconomic disparities in the smallholder farming population (making the rich richer and the poor  
492 poorer). Land size holding is in itself a very critical resource, a major determinant of technologies  
493 adoption on the farm (Feder et al. 1985; Feder and Umali 1993; Nkonya et al. 1997), a determinant of  
494 credit access (Doss 2006) and hence an important determinant for income and wealth accumulation.  
495 Farmers who owns and use relatively larger land sizes at a particular point in time are therefore more

496 likely to reap more income benefits from it and hence improve on their wealth. According to Moyo  
497 (2008) the unequal distribution of agricultural land ownership is potentially one of the key sources of  
498 income inequality in southern Africa as the shares of agricultural income realised by large land  
499 holders from profits and rents can be expected to be higher than the shares realised by workers (Moyo  
500 2013). Also, a pro-rich distribution of inequalities in land utilization observed in this study confirms  
501 the importance of farmer's wealth in determining the area of arable land he/she can cultivate in a  
502 given season. Richer farmers have higher odds of putting more land under cultivation as compared to  
503 their less affluent counterparts. With relatively more wealth they can access and buy much needed  
504 farming inputs and can put much of their land to productive use. Several studies have linked asset  
505 wealth to access of innovative farming resources on a farm (Cunguara et al. 2011; Onu 2006; Makate  
506 et al. 2018b).

507 Decomposition analysis performed confirmed wealth as a chief contributor to measured disparities  
508 in land size holding and utilization level in smallholder farming. This imply that distribution of wealth  
509 hugely explains land holding and use patterns in smallholder farming. However, other factors were  
510 found to minimally contribute to the measured socioeconomic inequalities. For instance, age of farmer,  
511 access to extension services, full-time farming and geographical location were found to meaningfully  
512 contribute to measured wealth related disparities in land size holding and utilization. Results point to  
513 the importance of age, full-time farming, access to extension services and geographic location in  
514 explaining socioeconomic disparities in land size holding and utilization. Farmers from different age  
515 groups are expected to have different conditions confronting them hence influencing their wealth  
516 endowments differently. Access to extension services also influences several farming decisions  
517 including land use (Anderson and Feder 2007) which explains why access to extensions significantly  
518 explain in high land size holding and low land utilization. More so, access to extension services can  
519 positively influence land area put under cultivation and hence profits from it through its role as a very  
520 reliable information source (Makate et al. 2018b). Further, full time farming determines commitment  
521 to the economic activity(farming) which can explain arable land size utilization inequality. Also,  
522 geographic location reflects on differences in a number of other factors (e.g. climate, infrastructure)  
523 which explains its significant contribution to explaining inequalities in land size holding and  
524 utilization.

525 Overall, the study results point to the existence of socioeconomic disparities in arable land size  
526 holding and land utilization in smallholder farming areas of Zimbabwe which are hugely explained by  
527 asset wealth. The study findings are in line with other studies that have analysed land concentration  
528 and wealth-related inequalities. For instance, a study by Suu (2004) analysed disparities in land access,  
529 land use rights and utilization in the Red River Delta and concluded that huge disparities exist.  
530 However, the aforementioned study took a more qualitative than quantitative approach. In another  
531 related study, Moyo (2013) analysed farm land, asset holdings and income inequalities in a number of  
532 southern African countries and found different patterns and levels of land concentration in studied  
533 countries. It is important to note that the approaches used in the noted studies are very different from  
534 the present analysis which is mainly focused on measuring and explaining wealth related inequalities  
535 in land size holding and utilization in smallholder farming in Zimbabwe. Further, this study gives  
536 further scrutiny of inequalities by gender farmer youth status, and geographic location which is a  
537 unique contribution to literature.

## 538 **5. Conclusion, implication & recommendations for a sustainable rural development**

### 539 **5.1. Conclusion**

540 In conclusion, the study points to the existence of pro-rich inequalities in high land size holding  
541 and high land utilization and pro-poor inequalities in low land size holding and utilization in  
542 smallholder farming in Zimbabwe. In other words, low land size holding and utilization is highly  
543 concentrated within the poorest segments of society whilst high land size holding and utilization is a  
544 phenomenon of the more affluent population. More so, household asset wealth was the most  
545 important factor to explain disparities in land size holding and utilization. In addition, other household  
546 socioeconomic variables such as age, full-time farming, access to extension, and geographic variables  
547 also explained measured disparities. Results do not point to a conducive environment for a sustainable

548 rural development. This is mainly because, inequalities in access to assets particularly land are known  
549 to have negative implications for the rural population as their livelihoods are mainly land-based. What  
550 then are the implications for a sustainable small-holder farmer led rural development in Zimbabwe?

## 551 **5.2. Implications and recommendations for a sustainable rural development**

552 Results of this study suggest the need for decision makers in land allocation, distribution, re-  
553 distribution and utilization, to deliberately concentrate on the most vulnerable and poorer segments of  
554 society. Also, deliberate policies targeting bringing underutilized land into full production and  
555 reducing inequalities in landholdings will have far reaching implications for sustainable rural  
556 development and transformation. Ensuring equitable land distribution in smallholder farming areas  
557 will go a long way in improving agro-based livelihoods and in effectively reducing poverty. More so,  
558 supporting programs for land holders to access inputs and other relevant technologies will also be key  
559 in ensuring that more land is utilized and also in improving productivity, incomes and hence food  
560 security.

561 Moreover, the supporting policies should be pro-poor and should ensure a conducive environment  
562 prevails for the poor to build their asset wealth. Also, infrastructure for transport, communications,  
563 markets and supportive services such as extension, and other necessary training are also vital. This  
564 will effect agricultural growth and reduce socioeconomic disparities in land utilization and overall  
565 income inequalities in society. This is highly possible since the odds of bettering rural land-based  
566 livelihoods are high if rural people gain access to land and effective supportive policies.

567 Overall, the fact that wealth and other household socioeconomic variables such as age, full-time  
568 farming status access to extension and geographic location variables are found to be the main factors  
569 explaining disparities in land holding and utilization in smallholder farming calls for the need of a  
570 multi-sectoral approach to addressing these inequalities.

571 The study is however, without shortfalls. Analysis relied on cross-sectional household survey data  
572 which in itself, is associated with shortfalls. Related, it may be plausible that due to the cross-sectional  
573 nature of the data it may not clearly and precisely reveal the dynamics of land size holding and  
574 utilization in the studied regions. However, despite the noted concerns, the study provides invaluable  
575 and insightful findings on socioeconomic status-related imbalances in land holding and utilization, in  
576 Zimbabwe which is an understudied case in the empirical literature.

## 577 **6. References**

- 578 Anderson, J., and G. Feder (2007). Agricultural extension. In: (Eds.),. In Robert Evenson, and Prabhu.  
579 L. Pingali (Eds.), *Handbook of Agricultural Economics* (Vol. 3, pp. 2343-2378 ).
- 580 CAADP (2010). Comprehensive African Agricultural Development Programme Zimbabwe: Ministry of  
581 Agriculture Mechanization and Irrigation Development.
- 582 Cunguara, Benedito, Augustine Langyintuo, and Ika Darnhofer (2011). The role of nonfarm income in  
583 coping with the effects of drought in southern Mozambique. *Agricultural Economics*, 42(6),  
584 701-713.
- 585 Deressa, Temesgen, Rashid M Hassan, Tekie Alemu, Mahmud Yesuf, and Claudia Ringler (2008).  
586 *Analyzing the determinants of farmers' choice of adaptation methods and perceptions of*  
587 *climate change in the Nile Basin of Ethiopia*: Intl Food Policy Res Inst.
- 588 Doss, Cheryl R (2006). Analyzing technology adoption using microstudies: limitations, challenges, and  
589 opportunities for improvement. *Agricultural Economics*, 34(3), 207-219.
- 590 Erreygers, Guido (2009). Correcting the concentration index. *Journal of Health Economics*, 28(2),  
591 504-515.
- 592 FAO (2012). The State of Food Insecurity in the world. Economic growth is necessary but not  
593 sufficient to accelerate reduction of hunger and malnutrition. Rome, Italy: FAO.
- 594 Faostat (2015). Agriculture Organization of the United Nations, 2011. *FAO*, Retrieved am from  
595 <http://faostat3.fao.org/faostat-gateway/go/to/download/Q/QC/S>. Acceso, 20.

596 Feder, Just, and Zilberman (1985). Adoption of agricultural innovations in developing countries: A  
597 survey. *Economic Development and Cultural Change*, 33(2), 255-298.

598 Feder, and Umali (1993). The adoption of agricultural innovations: A review. . *Technology Forecast &*  
599 *Social Change*, 43, 215-239.

600 Filmer, Deon, and Lant H Pritchett (2001). Estimating wealth effects without expenditure data—or  
601 tears: An application to educational enrollments in states of india\*. *Demography*, 38(1), 115-  
602 132.

603 Fischer, Elisabeth, and Martin Qaim (2012). Linking smallholders to markets: determinants and  
604 impacts of farmer collective action in Kenya. *World Development*, 40(6), 1255-1268.

605 Gwatkin, D. R., S. Rutstein, K. Johnson, E. Suliman, A. Wagstaff, and A. Amouzou (2007). Socio-  
606 economic differences in health, nutrition, and population within developing countries: an  
607 overview. *Nigeria Journal of Clinical Practice*, 10(4), 272-282.

608 Hajizadeh, Mohammad, Arijit Nandi, and Jody Heymann (2014). Social inequality in infant mortality:  
609 What explains variation across low and middle income countries? *Social Science & Medicine*,  
610 101, 36-46, doi:<http://dx.doi.org/10.1016/j.socscimed.2013.11.019>.

611 Jayne, Thomas S, Takashi Yamano, Michael T Weber, David Tschirley, Rui Benfica, Antony Chapoto,  
612 and Ballard Zulu (2003). Smallholder income and land distribution in Africa: implications for  
613 poverty reduction strategies. *Food Policy*, 28(3), 253-275.

614 Juana, J.S, and R.E Mabugu (2005). Assessment of small-holder agriculture’s contribution to the  
615 economy of Zimbabwe: a social accounting matrix multiplier analysis. *Agrekon*, 44(3), 344-  
616 362.

617 MacInnes, M (2012). Corruption and Large-Scale Land Acquisitions: An Analysis of the Role HighLevel  
618 Corruption Plays in Enabling Elite Capture of Land. . New York: Ithaca.

619 Mahapatra, AK, and CP Mitchell (2001). Classifying tree planters and non planters in a subsistence  
620 farming system using a discriminant analytical approach. *Agroforestry Systems*, 52(1), 41-52.

621 Makate, Clifton, Marshall Makate, and Nelson Mango (2018a). Farm household typology and  
622 adoption of climatesmart agriculture practices in smallholder farming systems of southern  
623 Africa. *African Journal of Science, Technology, Innovation and Development*, 10(4), 421-439,  
624 doi:DOI: 10.1080/20421338.2018.1471027.

625 Makate, Clifton, Marshall Makate, and Nelson Mango (2018b). Farm types and adoption of proven  
626 innovative practices in smallholder bean farming in Angonia district of Mozambique.  
627 *International Journal of Social Economics*, 45(1), 140-157, doi:[https://doi.org/10.1108/IJSE-](https://doi.org/10.1108/IJSE-11-2016-0318)  
628 11-2016-0318.

629 Makate, Clifton, Shephard Siziba, Benjamin T Hanyani-Mlambo, Zvakanyorwa Sadomba, and Nelson  
630 Mango (2016). The efficiency of small and medium enterprises in informal metal  
631 manufacturing in Zimbabwe: Implications for stakeholders in the agricultural sector.  
632 *Development Southern Africa*, 33(2), 247-257.

633 Makate, Clifton., Rongchang. Wang, Marshall. Makate, and Nelson. Mango (2017). Impact of drought  
634 tolerant maize adoption on maize productivity, sales and consumption in rural Zimbabwe.  
635 *Agrekon*, 56(1), 67-81, doi:10.1080/03031853.2017.1283241.

636 Makate, M., and C. Makate (2017). The evolution of socioeconomic status-related inequalities in  
637 maternal health care utilization: evidence from Zimbabwe, 1994–2011, . *Global Health*  
638 *Research and Policy*, 2(1), doi:doi:10.1186/s41256-016-0021-8.

639 Mazvimavi, Kizito, and Steve Twomlow (2009). Socioeconomic and institutional factors influencing  
640 adoption of conservation farming by vulnerable households in Zimbabwe. *Agricultural*  
641 *Systems*, 101(1), 20-29.

642 Ministry of Lands and Agriculture (1999). National Land Policy Framework Paper,. Harare:  
643 Government of Zimbabwe.

644 Moyo, S, and P Yeros (2005). *Reclaiming the land: The resurgence of rural movements in Africa, Asia*  
645 *and Latin America*. London: Zed Books.

- 646 Moyo, Sam (2008). *African Land Questions, Agrarian Transitions and the State: Contradictions of*  
647 *Neoliberal Land Reforms*. Dakar, Senegal: CODESRIA.
- 648 Moyo, Sam (2011). Three decades of agrarian reform in Zimbabwe. *Journal of Peasant Studies*, 38(3),  
649 493-531.
- 650 Moyo, Sam (2013). Land ownership patterns and income inequality in Southern Africa. *Background*  
651 *paper prepared for World Economic and Social Survey 2014: African Institute of Agrarian*  
652 *Studies*.
- 653 Moyo, Sam, and Walter Chambati (2013). *Land and agrarian reform in Zimbabwe. Beyond white-*  
654 *settler capitalism*. Dakar, Senegal: Codesria Book Series.
- 655 Mujeyi, Kingstone, Jackqueline Mutambara, Shephard Siziba, Wilbert Z Sadomba, and Tarisai K  
656 Manyati (2015). Entrepreneurial innovations for agricultural mechanisation in Zimbabwe:  
657 Evidence from an informal metal industry survey. *African Journal of Science, Technology,*  
658 *Innovation and Development*, 7(4), 276-285.
- 659 Munhande, C., R. Mapfungautsi, and P Mutanga (2013). Climate Risk Management: Actors,  
660 Strategies, and Constraints For Smallholder Farmers In Zimbabwe: A Case Study Of Chivi  
661 District. *Journal of Sustainable Development in Africa*, 15(8).
- 662 Murray, Una, Zewdy Gebremedhin, Galina Brychkova, and Charles Spillane (2016). Smallholder  
663 Farmers and Climate Smart Agriculture Technology and Labor-productivity Constraints  
664 amongst Women Smallholders in Malawi. *Gender, Technology and Development*,  
665 0971852416640639.
- 666 Mutambara, J., O. Jiri, and E. Makiwa (2013). Agricultural Training Post Land Reform in Zimbabwe:  
667 Implications and Issues. *Online Journal of African Affairs*, 2(2), 38-45.
- 668 Mutondoro, Farai, Mary Ncube, Jane Awelana, Mary Addah, and Francis Kairu (2016). *An analysis of*  
669 *the impact of land related corruption on women: case studies from Ghana and Zimbabwe*.  
670 Paper presented at the Annual World Bank Conference on Land and Poverty, Washington DC,  
671 14-18 March 2016
- 672 Ngandu, Nobubelo Kwanele, Carine Van Malderen, Ameena Goga, and Niko Speybroeck (2017).  
673 Wealth-related inequality in early uptake of HIV testing among pregnant women: an analysis  
674 of data from a national cross-sectional survey, South Africa. *BMJ open*, 7(7), e013362.
- 675 Nkonya, Ephraim, Ted Schroeder, and David Norman (1997). Factors affecting adoption of improved  
676 maize seed and fertiliser in northern Tanzania. *Journal of Agricultural Economics*, 48(1 - 3),  
677 1-12.
- 678 O'donnell, Owen, Eddy van Doorslaer, Adam Wagstaff, and Magnus Lindelow (2008). Analyzing  
679 Health Equity Using Household Survey Data *A Guide to Techniques and Their Implementation*.  
680 Washington DC: World Bank.
- 681 Onu, Donatus Orji (2006). Socio-economic factors influencing farmers' adoption of alley farming  
682 technology under intensified agriculture in Imo state, Nigeria. *Philippine Agricultural*  
683 *Scientist (Philippines)*.
- 684 Pacione, M. (1984). *Rural Geography*. London.: Harper and Row Limited.
- 685 Quisumbing, Agnes R, and Lauren Pandolfelli (2010). Promising approaches to address the needs of  
686 poor female farmers: Resources, constraints, and interventions. *World Development*, 38(4),  
687 581-592.
- 688 Rigg, Jonathan (2006). Land, farming, livelihoods, and poverty: rethinking the links in the rural South.  
689 *World Development*, 34(1), 180-202.
- 690 Rugube, L, and W Chambati (2001). Land Redistribution in Zimbabwe: Five Census Surveys of  
691 Farmland Transactions, 1996-2000, . *Working paper*.
- 692 Ruzivo Trust (2013). Agriculture Sector Fact Sheet. *Policy Influencing Project (PIP)*. Harare, Zimbabwe:  
693 Ruzivo Trust.
- 694 Sadomba, Z.W (2013). A decade of Zimbabwe's revolution: The politics of war – The war veteran  
695 vanguard. . In S and Chambati Moyo, W. (Ed.), *Land and agrarian reform in Zimbabwe:*

696 *Beyond white-settler capitalism*. (pp. 79–122). Dakar, Senegal: Council for the Development  
697 of Social Science Research in Africa (CODESRIA), .

698 Sadomba, Zvakanyorwa Wilbert (2011). *War Veterans in Zimbabwe's revolution: Challenging neo-*  
699 *colonialism & settler & international capital*: Boydell & Brewer Ltd.

700 Scoones, I. (2011). Zimbabwe's land reform : challenging the myths. *Journal of Peasant Studies*, 38(5),  
701 967-993.

702 Scoones, I., N. Marongwe, B. Mavedzenge, F. Murimbarimba, J. Mahenehene, and C. Sukume (2011).  
703 Zimbabwe's Land Reform: A summary of findings. . *IDS*. Brighton: IDS.

704 Sithole, G (1996). Analysis of policy reform and structural adjustment programs in Zimbabwe with  
705 emphasis on agriculture and trade. *Technical Paper No 36*. Washington DC.: USIAD/Bureau  
706 of Africa.

707 Stata (2013). Stata Statistical Software; Release 13. *Statistical Software*. College Station. . Texas, USA:  
708 College Station; StataCorp LP.

709 Suu, Nguyen Van (2004). The Politics of Land: Inequities in Land Access and local conflicts in the Red  
710 River Delta since Decollectivization. In Philip Taylor (Ed.), *Social inequality in Vietnam and the*  
711 *Challenges to Reform*. Singapore: Institute of South East Asian Studies.

712 Tatsvarei, Simbarashe, Abbyssinia Mushunje, Simon Matsvai, and Saul Ngarava (2018a). Farmer  
713 perceptions in Mashonaland East Province on Zimbabwe's agricultural land rental policy.  
714 *Land Use Policy*, 75, 468-477.

715 Tatsvarei, Simbarashe, Abbyssinia Mushunje, Saul Ngarava, and Clifton Makate (2018b).  
716 Determinants of Informal Land Renting Decisions by A1 and A2 Farmers in Mashonaland East  
717 Province of Zimbabwe. *Journal of Economics and Behavioral Studies*(6), 70-78%V 10,  
718 doi:10.22610/jeps.v10i6.2595.

719 UNDP (2012). Africa Human Development Report 2012: Towards a Food Secure Future. . New York:  
720 United Nations.

721 Upadhyay, Bharat M, Douglas L Young, Holly H Wang, and Philip Wandschneider (2003). How do  
722 farmers who adopt multiple conservation practices differ from their neighbors? *American*  
723 *Journal of Alternative Agriculture*, 18(01), 27-36.

724 van Doorslaer, E, and X Koolman (2004). Explaining the differences in income-related health  
725 inequalities across European countries. *Health Economics*, 13(7), 609-628.

726 Wagstaff, A, and E van Doorslaer (2000). Measuring and Testing for Inequity in the Delivery of Health  
727 Care *The Journal of Human Resources* 716.

728 Wagstaff, Adam (2005). The bounds of the concentration index when the variable of interest is  
729 binary, with an application to immunization inequality. *Health economics*, 14(4), 429-432.

730 Wagstaff, Adam, Eddy van Doorslaer, and Naoko Watanabe (2003). On decomposing the causes of  
731 health sector inequalities with an application to malnutrition inequalities in Vietnam.  
732 [Article]. *Journal of econometrics*, 112, 207-223, doi:10.1016/s0304-4076(02)00161-6.

733