EXPLORING MECHANISMS FOR SPRING BLOOM EVOLUTION: CONTRASTING 2008 AND 2012 BLOOMS IN THE SOUTH WEST PACIFIC OCEAN

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23 Abstract

- Observations from two research cruises made in 2008 and 2012 to east of New
- 25 Zealand are put into context with satellite data to contrast and compare surface
- 26 chlorophyll *a* evolution in the two years in order to explore mechanisms of
- 27 phytoplankton bloom development in the southwest Pacific Ocean.
- In 2008, sea surface chlorophyll *a* largely followed the long-term climatological
- 29 cycle, and 2008 can be considered a canonical year, where the autumn bloom is
- 30 triggered by increasing vertical mixing at the end of summer, and the spring bloom is
- 31 triggered by decreasing vertical mixing at the end of winter. In contrast, 2012 was
- 32 anomalous in that there was no autumn bloom, and in early spring there were several
- 33 periods of sustained increase in surface chlorophyll *a* that did not become fully
- 34 developed spring blooms. (In this region, we consider spring blooms to occur when
- 35 surface chlorophyll *a* exceeds 0.5 mg m^{-3}). These events can be related to alternating
- 36 episodes of increased or decreased vertical mixing. The eventual spring bloom in
- 37 October was driven by increased ocean cooling and wind stress and, paradoxically,
- 38 was driven by mechanisms considered more appropriate for autumn rather than spring
- 39 blooms.
- 40
- 41 **Keywords** Primary production, spring bloom, physical control, wind mixing, heat
- 42 flux
- 43

INTRODUCTION

Spring blooms of phytoplankton are a near-global phenomenon in subtropical,
temperate and subpolar oceans (e.g., Cole *et al.*, 2015, Westberry *et al.*, 2016).
Perhaps the most prominent and most studied spring bloom is in the North Atlantic
Ocean, (e.g., Henson *et al.*, 2009, and references therein). However, spring blooms
have also been observed in the southwest Pacific Ocean, although surface chlorophyll
levels there are considerably less than in the North Atlantic Ocean and may only reach
1 mg m⁻³ (Chiswell *et al.*, 2013).

51 There are several theories for the cause and timing of spring blooms, and many 52 are one-dimensional (1-d) in the vertical based around some mechanism that retains 53 phytoplankton in the photic zone near the surface in the spring.

A commonly cited hypothesis, referred to here as the shoaling mixed layer hypothesis (SMLH), is based on Sverdrup (1953). Under the SMLH, the spring bloom is considered to start when the mixed layer shoals to be less than the critical depth (e.g., Dutkiewicz *et al.*, 2001, Levy, 2015). However, observations of spring blooms occurring before the mixed layer began to shoal led to hypotheses that blooms are initiated by the shutdown of turbulent mixing (Huisman *et al.*, 1999, Taylor & Ferrari, 2011) or by the onset of near-surface stratification (Chiswell, 2011).

61 These theories appear to be contradictory, but Chiswell et al. (2015b) showed 62 that much of the contradiction disappears when one is careful to distinguish between 63 surface phytoplankton concentrations and depth-integrated phytoplankton stock. 64 Chiswell *et al.* (2015b) proposed a 1-d model, where there is a transition from deep 65 winter mixing to a well-mixed but low turbulence regime in early spring, and then 66 another transition to a (density) stratified regime later in the spring once surface waters begin to warm. In their model, Chiswell et al. (2015b) suggested that after an 67 68 autumn bloom, surface phytoplankton concentrations decrease during deep winter 69 mixing due to dilution (e.g., Evans & Parslow, 1985) and may start to increase once 70 the low-turbulence regime commences (e.g., Huisman et al., 1999, Taylor & Ferrari, 71 2011), but it is only when the ocean begins to stratify that surface production is 72 maximised. Chiswell et al. (2015b) note that the depth-integrated phytoplankton stock 73 shows quite different behaviour than surface phytoplankton concentration. Depth-74 integrated phytoplankton stock can either decrease during winter if winter mixing is 75 deep compared to the photic zone (typically at higher latitudes), or can increase

during winter if winter mixing is shallow compared to the photic zone (typicallylower latitudes).

78 Many of the arguments supporting the various spring bloom hypotheses and the 79 unified interpretation by Chiswell et al. (2015b), are based on observed correlations 80 between various bloom metrics and forcing quantities. For example, between mixed 81 layer depth (MLD) and chlorophyll concentration (Henson et al., 2006), between 82 bloom initiation and the end of convective forcing (Taylor & Ferrari, 2011), between 83 bloom initiation and the net heat flux (NHF) sign change (Cole et al., 2015), or 84 between the seasonal progression of the spring bloom and the seasonal progression of the wind stress (Chiswell et al., 2013). 85

However, there is a danger in inferring a causal connection from the correlation of quantities (i.e., NHF, MLD and wind stress) that are inherently driven by the same solar insolation, and so the relative roles of NHF, MLD and wind stress in the timing of spring blooms are still open to some debate.

Here, to further explore the mechanisms of bloom development, we compare the time evolution of blooms in Subtropical Waters east of New Zealand. In both 2008 and 2012, research cruises were made to the same region east of the North Island (Figure 1) during the spring with the aim of describing bloom development. During each cruise, measurements of size-fractionated primary production and biomass, nutrients, NHF, MLD and other quantities were made following a floating array deployed near the centre of an anticyclonic mesoscale eddy.

97 Data from the 2008 cruise have been analysed by Chiswell (2011), and were the 98 basis for the onset of stratification hypothesis. Here, we start with a similar analysis of 99 the 2012 data. After this comparison, we use satellite data to put the cruise 100 observations into context of their respective years. In 2008, sea surface chlorophyll 101 concentration largely followed the long-term climatological cycle and 2008 can be 102 considered a canonical year. In contrast, in 2012 was anomalous in that there was no 103 autumn bloom, and in winter and spring there were events that did not become fully 104 developed spring blooms. We consider the departures from climatology in each year 105 to explain these events. We then address the question of how representative the 106 experimental site is of the region in general by considering surface chlorophyll in the

region derived from satellite. Finally, we discuss our results and present ourconclusions.

109 Before proceeding further, it is worth commenting on the use of the term 110 'bloom'. There have been various definitions of a spring bloom. These definitions often include a threshold, for example when surface chlorophyll exceeds 1 mg m⁻³ 111 112 (e.g., Brody et al., 2013), although as Smayda (1997) notes, what constitutes a bloom 113 may have regional and species-specific aspects. Here we use the term 'spring bloom' when surface chlorophyll exceeds 0.5 mg m⁻³ to reflect the observations that spring 114 blooms are weaker in the southwest Pacific Ocean than in the Atlantic Ocean. An 115 116 'autumn bloom' is defined by a threshold level of 0.25 mg m^{-3} .

METHODS

117 Shipboard measurements

118 *Hydrography*

119 Cruises were made in both 2008 and 2012 to the same location east of the North 120 Island (Figure 1). These cruises were part of an iron cycling project, and are known as 121 FeCycle II and FeCycle III, respectively. Data from the 2008 cruise (FeCycle II) have 122 been reported elsewhere (Chiswell, 2011, Twining *et al.*, 2014).

Each cruise lasted from mid-September until early October. Prior to each cruise, satellite altimeter data were scanned to choose an anti-cyclonic mesoscale eddy close to the nominal study site. Upon arrival at the site, a shipboard ADCP survey was made of the eddy, then a Lagrangian floating array extending to ~120 m was deployed in the eddy centre.

During each cruise, daily CTD casts were made at 3 am and noon close to the Lagrangian array. In 2012, the array was lost toward the end of the cruise, after which casts were made at the estimated eddy centre. CTD casts were also made at other times of the day, not necessarily near the Lagrangian array, for a variety of different experiments. To avoid issues associated with non-photochemical quenching (e.g., Müller *et al.*, 2001) the primary data used here are the 3 am casts. Water samples for chlorophyll, phytoplankton and microzooplankton and
nutrient analyses were collected on upcasts using 24 10-L Niskin bottles mounted on
the CTD rosette.

For each CTD cast, two estimates of the mixed layer depth (MLD₁ and MLD₂) were computed as the depths where the *in situ* density exceeded the surface value by 0.125 and 0.025 kg m⁻³, respectively (Chiswell, 2011, Chiswell *et al.*, 2013). In this region, MLD₁ can be considered the depth of the seasonal thermocline, whereas MLD₂ reflects weak stratification that would normally be considered to be within the mixed layer (Chiswell, 2011).

143 Meteorology

144 Continuous surface measurements of 10-m wind speed, sea surface temperature, 145 sea surface salinity and sea surface transmissivity were also made from the ship's 146 underway sampling system. Surface stress was calculated from 10-m wind speed, W, 147 as $\tau = \rho_a c_d W^2$, where the air density, ρ_a , was set to 1 kg m⁻³, and the drag 148 coefficient, c_d was set to 1.5×10^{-3} (e.g., Kara *et al.*, 2007).

In 2012, sensible and latent heat fluxes were calculated from ship-based
measurements of wind speed, atmospheric pressure, humidity, irradiance and air and
sea surface temperature using the bulk formulae of the NOAA COARE3.1 algorithms
(Fairall *et al.*, 2011).

153 Nutrients

154 Macronutrients from the upcast water samples were determined using an

automated micro-segmented flow analyser with digital detector (Pickmere, 1998).

156 Dissolved iron (dFe) was measured at a set of predetermined depths using standard

157 trace-metal sampling (i.e., a trace-metal clean rosette and Kevlar line), and was

determined using flow injection analysis (Floor *et al.*, 2015, Obata *et al.*, 2002).

159 Further analytical details are described in Chandrasekhar *et al.* (2018).

160 Chlorophyll

161 The CTD fluorometer was calibrated against chlorophyll obtained from 500 mL 162 samples taken from each water bottle on the CTD casts. Chlorophyll extractions were 163 performed following Parsons *et al.* (1984), using acetone extraction. Chlorophyll in the extracts was determined with a fluorometer calibrated against a pure chlorophyll astandard (Sigma Chemicals).

166 Size-fractionated primary production

167 Net primary production was based on radioisotope measurements and 24-hour incubations (e.g., Laws, 1991). Samples were collected pre-dawn from trace-metal 168 clean Niskin bottles deployed on a trace-metal rosette. Water was sampled from three 169 170 depths: 20, 40, and at one depth within the 60-90 m stratum determined from the previous midday irradiance profiles. Samples were spiked with 20 μ Ci of Sodium ¹⁴C-171 bicarbonate (NaH¹⁴CO₃; specific activity 1.85 GBq mmol⁻¹) and then incubated for 24 172 173 hours in neutral-density mesh bags in a deckboard incubator at 6 intensities: 80, 50, 174 30, 15, 3, and 0.5 % of incident irradiance (% I₀) (2008), and 65, 50, 35, 16, 2, and 1 175 % I_o (2012). These light levels corresponded to *in situ* depths of 2-70 m (2008), and 4-176 94 m (2012).

Upon completion of the 24-hour incubation, samples were analysed by liquidscintillation counting (Beckman LS 5000).

179 Phytoplankton and microzooplankton identification and enumeration

For the 2012 cruise, phytoplankton >2 μm and microzooplankton were
identified and enumerated in 250 mL subsamples preserved with Lugol's Iodine
solution (1% final concentration) using a Leica DMI3000B inverted microscopic.
Samples were then counted and identified with an inverted microscope at 100x to
600x magnification.

185 Phytoplankton were identified where practical to genus or species level but 186 there was no differentiation of plastidic ciliates. Ciliate biomass was estimated from 187 dimensions of 10-20 randomly chosen individuals of each taxon. The volumes were 188 estimated from approximate geometric shapes and were converted to carbon biomass using a factor of 0.19 pg C µm⁻³ (e.g., Putt & Stoecker, 1989). Separate 189 190 picophytoplankton ($<2 \mu m$) samples were frozen in liquid nitrogen (e.g., Lebaron et 191 al., 1998) and thawed immediately before counting by flow cytometry following the methods of Hall and Safi (2001). 192

In 2008, the diatom species were analysed by using a combination of light
 microscopy and the abundance of Asterionellopsis16S rDNA sequences as a

proportion of diatom sequences, and all photoautotrophic sequences (e.g., Twining *et al.*, 2014).

197

Satellite estimates of sea surface chlorophyll and temperature

198 The Moderate Resolution Imaging Spectroradiometer (MODIS, Esaias *et al.*,

199 1998), launched in 2002 provides estimates of sea surface chlorophyll concentration.

200 Data used here are 9-km 8-day composites of sea surface chlorophyll downloaded

201 from NASA, http://oceandata.sci.gsfc.nasa.gov/MODISA/Mapped/8Day/9km/chlor/.

These data were composited for 100 km diameter around the nominal cruise location (180°E, 39°S) to provide time series of surface chlorophyll concentration. The climatological annual cycle of surface chlorophyll concentration at the nominal experimental site was computed using the 8-d composite data from 2003 to 2012, and interpolated to daily values.

Sea surface temperature (SST) from the Advanced Very-High-Resolution
Radiometer (AVHRR) instruments is available from an objectively-analysed product
provided by NOAA (NOAA OI SST V2 High Resolution Dataset, Reynolds *et al.*,
2007). Here we use the AVHRR only product (<u>https://www.ncdc.noaa.gov/oisst</u>). The
climatological annual cycle of SST was computed for the time period 1985 to 2012.

- 212 *NCEP surface fluxes*
- 213 Daily values of wind stress, latent and sensible heat, and long- and short-wave 214 radiation daily fluxes at ~2° resolution were downloaded from the National Centers
- 215 for Environmental Prediction (NCEP) reanalysis products
- 216 <u>http://www.esrl.noaa.gov/psd/data/gridded/data.ncep.reanalysis.surfaceflux.html</u>. To
- 217 be consistent with the MODIS data, annual cycles of these variables at the nominal
- experimental site were computed using data from 2003 to 2012.
- 219 Argo profiles

The Argo program maintains about 3000 profiling floats around the planet
(Gould & Turton, 2006) which provide profiles of temperature and salinity between

222 2000 m and the surface about every 10 d. Argo data are available from the French

223 Research Institute for Exploitation of the Sea (Ifremer) via their website

224 <u>ftp://ftp.ifremer.fr/ifremer/argo/</u>.

We selected profiles taken within 125 km of the nominal experimental site in each year. This radius was chosen as a compromise between having too few profiles and having profiles that do not reflect the water mass characteristics of the experimental site. There were 42 profiles in 2008, and 23 profiles in 2012 that were within 125 km of the experimental site (Figure 1).

Mixed layer depths MLD₁ and MLD₂ were computed from the temperature and salinity profiles using the same criteria as used to compute mixed layer depth from the CTD.

RESULTS

233

2008 and 2012 cruise observations

The study area is in a region of Subtropical Water (Chiswell *et al.*, 2015a)
where the mean surface chlorophyll derived from MODIS is ~0.3 mg m⁻³ (Figure 1).

236 Locations of all CTD casts made during the two cruises are shown in Figure 2. 237 In 2008, the Lagrangian array remained close to the centre of the eddy until about 4 days from the end of the experiment, when ship-board Acoustic Doppler Profiler 238 239 (ADCP) data indicated that the array spun out of the eddy (Chiswell, 2011). In 2012, the array travelled north west with a mean speed of 0.056 m s⁻¹, but showed a looping 240 241 structure, which suggests that, as in 2008, it was slipping out from the centre. The 3 242 am and noon CTD casts were made close to the array until 1 October when the array 243 was lost. After this, the eddy centre was estimated from the daily ADCP surveys.

Figure 3 summarises the 3 am data for both cruises, showing temperature and chlorophyll derived from the CTD casts, along with wind stress derived from the ship's anemometer, and two estimates of the mixed layer depth, MLD₁ and MLD₂ (based on density differences of 0.125 and 0.025 kg m⁻³, see Methods).

The evolution of the MLD and the biological responses during the 2008 cruise (Figure 3, left-hand panels) have been discussed by Chiswell (2011). On arrival at the site, the water column was weakly stratified and near-surface chlorophyll concentration, C_0 , was about 1 mg m⁻³. Soon after arrival, increasing winds destroyed the stratification and mixed the phytoplankton down, leading to a decrease in C_0 . Winds then decreased and an increase in C_0 occurred in the re-emerging stratification. The depth-integrated chlorophyll stock over the upper 300 m, $C_{0/300} = \int_0^{300} C(z) dz$, showed little change during the cruise having a mean ± standard deviation of ~31.2 ± 6.7 mg m⁻². There was no significant correlation (r²= 0.03) between $C_{0/300}$ and C_0 .

Chiswell (2011) interpreted the 2008 data to suggest that spring blooms in
surface chlorophyll initiate with the onset of shallow weak stratification that forms
during periods of low winds, and that chlorophyll can be stratified in the mixed layer
defined by MLD₁. The 2008 spring bloom was dominated by the diatom *Asterionellopsis glacialis* (Twining *et al.*, 2014), and was likely terminated by iron
limitation, even though diatoms consumed less than 1/3 of the mixed-layer dissolved
iron inventory (Boyd *et al.*, 2012).

Conditions during 2012 (Figure 3, right-hand panels), were different, however. Upon arrival at the site, the water column was ~0.5 °C cooler than in 2008, and there was little evidence of stratification (both MLD₁ and MLD₂ were at about 350 m). The wind was weaker than in 2008, with 4 relatively calm periods (19 September, 21-24 September, 28-29 September, and 3-5 October) during which the wind stress was less than 0.1 N m⁻² (corresponding to a wind speed of ~8 m s⁻¹). These calm periods were interspersed with periods where the wind stress rose to 0.4 N m⁻² (~16 m s⁻¹).

272 During the first calm period (19 September) there was a small (~0.1 °C) increase 273 in surface temperature, with an associated shoaling of MLD₂. This temperature signal 274 was mixed down by stronger winds the next day. The second calm period from 21-24 275 September, lasted considerably longer, but surprisingly, SST did not show any 276 substantial response, rising by only 0.03 °C during this event (in comparison SST rose 277 0.35 °C during a 2-day calm period in 2008). During this second calm period there 278 was no apparent increase in stratification - both MLD₁ and MLD₂ remained at about 279 350 m. Paradoxically, SST started to rise as the wind increased on 25 September, and 280 continued to increase even as the winds strengthened through 27 September. Also, 281 counter intuitively, stratification increased during these stronger winds, with MLD₂

starting to shoal from about 26 September. The third calm period (28-29 September)
was short lived, but substantial surface warming occurred during this calm when SST
rose by ~0.5 °C. This increase in SST was accompanied by a shoaling in MLD₂ (and
temporarily MLD₁).

As in 2008, the onset of stratification appeared to trigger a phytoplankton response in the upper 100 m. From about 28 September, there was a general trend for chlorophyll to become more stratified in the water column, with surface chlorophyll concentration rising to ~0.8 mg m⁻³ by the end of the cruise.

Compared to 2008, there was about 10% less total chlorophyll in the water column, with mean \pm standard deviation of $C_{0/300} = 28.6 \pm 3.8$ mg m⁻² (cf. 31 \pm 6.7 mg m⁻² in 2008). As in 2008, $C_{0/300}$ changed little during the cruise and was uncorrelated with C_0 (r² = 0.16).

During both cruises, $C_{0/300}$ showed much less variation than C_0 . This suggests 294 295 that the photic zone was shallower than the depth to which phytoplankton were being 296 mixed. Thus, as the vertical mixing ceased (i.e., as MLD₂ shoaled) phytoplankton 297 below the mixed layer did not survive, and increased biomass at the surface was 298 compensated for by losses at depth (it is probably coincidental that the losses almost 299 exactly balanced the increased production). When MLD₂ deepened (e.g., from 26 Sept 300 2008), mixing distributed the phytoplankton throughout the deepening mixed layer so 301 that the surface concentration decreased even though the total amount of 302 phytoplankton in the water column stayed approximately the same (e.g., Evans & 303 Parslow, 1985).

The 2008 and 2012 cruises were different in their nutrient evolution (Figure 4). In 2008, the macronutrients (nitrate, silicate and phosphate) were substantially depleted in the upper 100 m on arrival at the site. The wind event 19-20 September mixed up nutrients from below the nutricline, but these were then consumed by the production starting 23 September (Boyd *et al.*, 2012). Similarly it appears that the stronger winds towards the end of the cruise also mixed nutrients up into to the mixed layer.

In contrast, during 2012, nitrate plus nitrite and phosphate were relatively well
 mixed over the upper 300 m from the beginning of the cruise until they were

- consumed by phytoplankton production above 100 m from about 28 September.
- 314 Concentrations of nitrate plus nitrite (>4 µmolar) and phosphate (>0.4 µmolar) at the
- beginning of the cruise were typical of the deeper values seen in 2008.
- 316 Silicate, on the other hand, showed high levels (>2.3 µmolar) throughout the 317 water column (except for the very upper few metres) on the first day of the cruise, but 318 after that concentrations (~1.9 µmolar) dropped to less than half the deep values seen 319 in 2008. Silicate was further depleted (to $\sim 1.5 \,\mu$ molar) during the increase in 320 phytoplankton biomass starting ~28 September. Dissolved iron showed a pattern 321 similar to that of silicate, with high values (~0.5 nmolar) throughout most of the water 322 column on the first day, but then dropping to ~ 0.35 nmolar before being reduced to 323 ~0.1 nmolar by the production starting ~28 September.
- Even though depth-integrated chlorophyll stocks agreed to within 10% during both cruises (Figure 3D), the phytoplankton community structure and sizefractionated net primary production (NPP) were quite different (Figure 5).
- 327 During 2008, NPP was initially dominated by cells larger than 20 μ m, and 328 community NPP was 2875 to 4040 mg C m⁻² d⁻¹. During the cruise both the portion of 329 NPP due to cells larger than 20 μ m and total community NPP decreased by a factor of 330 more than 2, so that NPP was 1446 mg C m⁻² d⁻¹ at the end of the cruise.
- In 2012, however, cells larger than 20 μ m accounted for only 8-15% of NPP. NPP was 615 mg C m⁻² d⁻¹ initially and doubled to 1384 mg C m⁻² d⁻¹ at the end of the cruise (one third the maximum rate seen in 2008). The fate of the spring bloom in 2008 – as downward export or herbivory – is not known.
- Early in 2012, picophytoplankton represented around 80% 90% of NPP in the surface 50 m. This dominance was largely maintained until between 30 September and 5 October, when an increase in diatom abundance occurred (concurrent with the increase in C_0).
- The >2 μ m phytoplankton population consisted of a mix of small flagellates (2-20 μ m), dinoflagellates and diatoms. The diatom populations included species of *Asterionellopsis, Cerataulina, Fragilaria, Corethron, Guinardia, Chaetoceros, Thalassiosira* and *Ditylum*. The silicoflagellate, *Dictyocha,* was also present. The dinoflagellates which initially outnumbered diatoms were dominated by *Ceratium (C. lineatum C. furca* and *C. fuscus)*, and *Gymnodinium*, but also included some genera

- 345 which are known to be heterotrophic or mixotrophic (some with endosymbionts)
- 346 including Gyrodinium, Scrippsiella, Dinophysis, Protoperidinium and Prorocentrum.
- 347 On-board deck incubation experiments became diatom dominated primarily by the
- 348 genus Asterionellopsis.

The dominance of large cells in the 2008 bloom and the high rates of NPP relative to the 2012 bloom indicate that the fate of this NPP was likely downward export, although we have no sediment trap data to test this.

352 Microzooplankton data from each cruise are shown in Figure 6. In 2008 353 microzooplankton levels were highest on arrival at station, concurrent with the high 354 concentration of chlorophyll seen in the upper water column at that time (Figure 5). 355 There was a general decrease in microzooplankton levels as this initial phytoplankton 356 was mixed down, and then a delayed response of Aloricate ciliates (>20 µm) to the increase in primary biomass seen from 29 September. In 2012, there was a reasonably 357 358 rapid increase in microzooplankton levels in response to the primary production 359 starting ~28 September.

360

Heat and wind fluxes during 2012 cruise

A more detailed analysis of the upper water column during the 2012 cruise (Figure 7) explains why the water column did not stratify during the calm period 21-24 September, and why counter-intuitively, SST increased and the mixed layer shoaled when the winds increased from 25 September.

Prior to, and during, the 21-24 September calm, the winds were generally from the south, and the air was substantially colder than the ocean with an air-sea difference of -5 °C on 21 September. About 24 September, the wind turned to become from the north, and as the wind speed increased, the air warmed to finally became warmer than the ocean about 27 September. Thus, the cool southerly airflow that dominated the region until ~27 September suppressed almost all ocean heating, with the net result that SST increased only marginally during the 21-24 September calm.

It was not until the air became warmer than the ocean on 27 September that SST increased, and the ocean began to stratify. SST peaked during the 28-29 September calm, and this period was characterised by increasing, but weak, stratification and shoaling MLD₂.

Mesoscale variability in 2012

Mesoscale spatial variability in both the physics and biology of the region will be aliased in the Lagrangian sampling, we can use the CTD data collected on the daily surveys to attempt to determine whether the conclusions based on the 3 am casts are likely to be impacted by this aliasing.

The horizontal scale of the eddy, and how close the 3 am casts were made to the eddy centre can be estimated from the daily shipboard ADCP surveys (Figure 8). The daily ADCP surveys did not always completely map the eddy (which was also evolving). However, inspection of the maps suggests that at least up until 26 September, the 3 am CTD cast was made within 2 to 3 km of the centre of the eddy. By 30 September, however, it appears that the Lagrangian Array was slipping towards the outside of the eddy.

388 Figure 9 shows the sea surface temperature, sea surface chlorophyll, and 389 temperature and chlorophyll sections constructed from all casts. Temperature shows 390 evidence of diurnal heating near the surface, with SST at noon on average 0.14 °C 391 warmer than at 3 am. The diurnal heating was uncorrelated with separation distance between 3 am and noon casts, and with time into the cruise. Early in the cruise this 392 393 diurnal heating impacted MLD₂, but once the stratification strengthened there was no 394 difference in MLD₂ between the night-time and day-time casts. Apart from the diurnal 395 heating effects, the same progression from well-mixed to stratified conditions would 396 have been observed had the 3 am casts been replaced with any cast taken on the same 397 day, suggesting that spatial variability associated with the eddy was insufficient to 398 alias the observed temporal evolution of the density structure significantly.

Surface chlorophyll shows quenching in the upper layers, with surface
chlorophyll at noon being 0.19 mg m⁻³ lower on average than the previous 3 am cast.
Although quenching was highest late in the cruise, it was also uncorrelated with the
separation distance and time into the cruise. This quenching was severe enough that
we cannot map chlorophyll across the eddy, but illustrates the importance of using the
3 am casts for this analysis.

405 *Comparison of 2008 and 2012 years*

406 The shipboard observations show that in both 2008 and 2012, surface 407 phytoplankton concentration increased in response to the onset of weak stratification 408 (characterised by shoaling of MLD₂, but not MLD₁), although vertically-integrated 409 chlorophyll stocks remained relatively constant. The main differences are that in 2012 410 there the onset of stratification was delayed by the presence of cool southerly winds.

Figure 10 puts the cruise observations into context of the physical forcing during their respective years, showing sea surface chlorophyll, C_0 , from MODIS, temperature from Argo, and NHF and wind stress from NCEP reanalyses. We also show the rate of surface chlorophyll production, $r = \partial \ln(C_0)/\partial t$. The NHF and wind stress have been smoothed in time to approximately match the smoothing inherent in the compositing of the satellite data. We also show the climatological annual cycles for NHF, wind stress, sea surface chlorophyll, and r (see Methods).

418 Although not shown here, of all years between 2002 and 2012, C_0 during 2008 419 was the closest to the climatological annual cycle. Thus 2008 can be regarded as a 420 canonical year, showing both autumn and spring blooms (Figure 10A), although the 421 spring bloom was initially much stronger than climatology.

In contrast, 2012 showed little evidence of an autumn bloom, and instead of showing the canonical decrease during winter, C_0 slowly increased from late summer until late spring, but with 1-2 month oscillations (events) superimposed on this rise. The last of these was largest when C_0 peaked at about 60% above the climatological value.

427 The Argo profiles (Figure 10C) were too infrequent to fully resolve the time 428 sequence of temperature, but surface waters were ~20 °C in summer and ~13 °C in 429 winter in both years. The mixed layer depth, MLD₁, was typically about 50 m during 430 summer and began to deepen in April, with deepest MLD₁ in late August. In both 431 years, the cruises took place a month to 6 weeks after the time of deepest observed 432 MLD₁.

The NHF in both years (Figure 10D) peaked in June and crossed zero in midSeptember, about a month to 6 weeks after the deepest MLD (i.e., the deepest mixed

layer occurs a month or so before the ocean stops cooling). In each year, the NHF
showed month to month variability about the climatological annual cycle, with the
longest departure from normal being in 2012 when NHF was consistently lower than
climatology during July and August.

The climatological cycle of wind stress has a minimum in summer and peaks in
June (Figure 10E). Both 2008 and 2012 showed large oscillations about this cycle,
this reflects that fact that while winds are generally stronger in winter than in summer,
the wind field in this region is highly variable.

443 Because the sea surface chlorophyll growth rate, r, is the time derivative of 444 $\ln C_0$, even the climatological value is quite noisy although it shows positive values from February to April reflecting the autumn bloom, negative values from May to 445 446 July and then positive values from August to October. Compared this climatology, r447 in both years shows large oscillations, but in 2008 showed peaks in autumn associated 448 with the autumn bloom and in September associated with the spring bloom (Figure 449 10F). In 2012, there was a series of oscillations in r from August to October reflecting the series of blooms seen in sea surface chlorophyll. 450

451 **2012** Anomalies

452 A more detailed analysis of the variability in 2012 can be made by considering 453 the departures from the climatological mean.

454 Figure 11 shows the surface chlorophyll, C_0 , the growth term, $r = \partial \ln C_0 / \partial t$, 455 and the NHF anomaly, $\Delta_{NHF} = NHF - NHF$, and wind stress anomaly, $\Delta_{\tau} = \tau - \tilde{\tau}$ 456 (where the overbar indicates the climatological value).

457 Vertical lines on all plots indicate 9 local maxima in r, which correspond to 458 with the leading edges of individual events in C_0 .

In 5 out of the 9 instances (March, May, June, early September and October as indicated by dashed lines) the maxima in r occurred when the wind stress and NHF anomalies were at or near local positive maxima. This suggests for these 5 instances, the peaks in surface chlorophyll were driven by higher than normal vertical mixing and more convective overturn than normal. We refer to these events as mixing events. In 4 of the 9 instances (February, August, late September, and November as indicated by solid vertical lines), the maxima in *r* occurred when both the heat flux anomaly and the wind stress anomaly were negative. This suggests that the corresponding peaks in surface chlorophyll were driven by increased stability due to reduced turbulence and/or more heat into the ocean than normal. We refer to these events as stability events.

Thus Figure 11 suggests that unlike a canonical year, sea surface chlorophyll concentration in 2012 showed a series of winter and spring events modulated by the local wind and heat flux. The event in early October just reached our 0.5 mg m⁻³ spring bloom threshold, but the peak in surface chlorophyll was not until late October. We return to the implications of this findings later, but first investigate whether the results from the nominal site are representative of the region as a whole.

476

Spatial scales of surface chlorophyll from MODIS

To address the question of how representative the nominal site is of the region in general, Figure 12 shows 8-day composite images of C_0 from MODIS for various dates in 2008 and 2012, along with the climatological values for the same dates.

480 Perhaps the most striking feature of these images is the high degree of 481 patchiness in C_0 , with the obvious implication that had a different experimental site 482 been chosen, a different sequence of events may have been computed for each year. 483 Nevertheless, there are some broad conclusions that are supported by Figure 12.

The climatology shows surface chlorophyll concentrations are generally low 484 485 throughout the region in mid-August. A spring bloom starts in late September and 486 peaks about a month later. By late-November, surface chlorophyll levels decrease to 487 low summer values everywhere except for over the Chatham Rise. The influence of 488 the warm core Wairarapa Eddy (e.g., Roemmich & Sutton, 1998) appears to be strong 489 with reduced surface biomass within the eddy, presumably because of deeper 490 pycnocline (i.e., deeper nutricline) in summer and hence less nutrient availability 491 (e.g., Bradford et al., 1982). Surface chlorophyll concentration is highest along the 492 Subtropical Front along the Chatham Rise in summer. The climatology is consistent

with previous work on primary production in the region (e.g., Bradford *et al.*, 1982,
Chiswell *et al.*, 2013).

495 Compared to climatology, surface chlorophyll in 2008 shows near-identical
496 sequence, except that values are much higher than expected early in the spring bloom
497 (also seen in Figure 10). The influence of the Wairarapa Eddy is clear, and the spatial
498 structure of surface chlorophyll matches climatology well.

499 In contrast, the 2012 images paint an entirely different picture of surface chlorophyll showing a series of 'blooms and busts', some of which are quite limited in 500 501 areal extent. Surface chlorophyll at the site showed a small event in mid-August (the 5th of the 9 anomalies discussed earlier). The composite image for 17 August 2012 502 503 shows that this bloom was likely limited spatially to a region west of East Cape, and 504 that much of the rest of the region showed chlorophyll levels typical of mid-winter 505 (although the considerable cloud cover means we cannot be sure of this). A little over 506 a month later, the 26 September 2012 image coincides with the mid-point of the 507 cruise, and surface chlorophyll shows levels throughout the region that are 508 substantially lower than climatology. By 4 October a bloom had developed over most 509 of the region (except at the experimental site) that brought surface chlorophyll to near 510 climatology. However, by 20 October (which should be near the peak spring bloom in 511 the climatology) this bloom had disappeared and surface chlorophyll was well below 512 climatology over most of the region. At the experimental site, surface chlorophyll rose 513 rapidly about this time (Figure 10A) and the following 8-day satellite composite (28 514 October) shows an extensive region where surface chlorophyll exceeds 1 mg m^{-3} . On 515 21 November, surface chlorophyll over most of the region was near climatology, but 516 the time series at the site show a small positive anomaly developing (Figure 11), 517 which appears to be a relatively small localised feature.

DISCUSSION

518 The main findings of this work are that in 2008, the timing of the surface 519 chlorophyll concentration at the experimental site followed the climatological annual 520 cycle for this location, although the spring bloom was stronger than climatology. In 521 contrast, in 2012, surface chlorophyll concentration showed little evidence of an 522 autumn bloom and showed a series of winter and spring events modulated by the local 523 wind and heat flux that did not become fully developed blooms until October. The 524 satellite imagery suggests these findings apply to a broad region east of the North Island, although there was substantial spatial patchiness in the surface chlorophylllevels.

527 The 2012 sequence of events in surface chlorophyll illustrates that surface 528 chlorophyll growth can be categorised by what we term either mixing or stability 529 events. Mixing events mix up any deep chlorophyll maximum and/or inject new 530 nutrients into the surface layers allowing increased production (e.g., Findlay *et al.*, 531 2006). Stability events allow phytoplankton to remain in the photic zone and grow 532 (e.g., Chiswell *et al.*, 2015b, Huisman *et al.*, 1999, Taylor & Ferrari, 2011).

Autumn blooms are typically mixing events, and the 2008 autumn bloom was a mixing event induced by increased wind stress and the onset of convective overturn at the end of summer.

536 Spring blooms are typically stability events, and there is little doubt that the 537 2008 spring bloom was a mixing event. Surface chlorophyll started to increase at 538 about the time of deepest MLD – almost a month before the NHF changed sign, and 539 the peak of the bloom occurred after the onset of stratification. This timing is 540 consistent with the timeline for spring blooms suggested by Chiswell *et al.* (2015b) 541 who note that in a 1-d system, the mixed layer must start to shoal before the NHF 542 changes sign.

543 The 2008 spring bloom had been underway for some time before the 2008 544 cruise, hence the partial drawdown of nitrate and dissolved iron, and high zooplankton 545 levels seen at the start of the cruise. This conclusion is also supported by Ellwood et 546 al. (2015) who found dissolved iron within the surface mixed layer was isotopically 547 heavy. (Isotopically heavy iron occurs when the lighter iron isotopes are selectively 548 removed from the water column due to the uptake by phytoplankton.) Strong winds 549 about 19 September mixed down this early bloom, and resupplied the mixed layer 550 with nutrients to allow the second bloom seen near 25 September. It appears that even 551 in canonical years there are episodic interruptions to the bloom dynamics.

552 The first indication that 2012 was anomalous was the lack of an autumn bloom. 553 At the beginning of March, there was a period of stronger than normal winds and 554 more cooling than normal (event 2), which would normally be expected to drive an 555 autumn bloom. However, these conditions were replaced by a period of negative NHF 556 anomaly which lasted throughout April. This suggests that during late March and April there was less cooling than normal, and that this was sufficient to prevent anautumn bloom from occurring.

The progression of events over the rest of 2012 was also different from canonical. The series of mixing events seen in May, June and early September 2012, suggest that even in winter, increased surface chlorophyll can be initiated by increased mixing. Since deep chlorophyll maxima are generally not seen in winter (Chiswell, 2011) these winter mixing events were likely driven by entrainment of nutrients leading to increased production in the mixed layer.

565 Three winter/spring stability events occurred in August, late September and 566 November 2012 (events 5, 7 and 9). The late September event was observed during 567 the cruise when surface chlorophyll rose in response to increased stratification (Figure 568 3). It is likely the November bloom was triggered in a similar fashion, but the August 569 event took place while the NHF was still positive (although anomalously weak). It 570 seems unlikely that water column stratification could occur when there was still 571 cooling, and so without in situ data for this event, we can only speculate that this was 572 an event triggered by reduced turbulence (e.g., Huisman et al., 1999).

573 Perhaps the most interesting observation of 2012 is that the largest peak in 574 surface chlorophyll, in late October 2012, was likely driven by increased mixing and 575 paradoxically was therefore propelled by mechanisms more appropriate for an autumn 576 bloom rather than a spring bloom.

577 Thus, it seems that in 2012, the spring bloom started with an increase in 578 stratification leading to a conventional bloom in early October, but increasing winds 579 and decreased surface heating led to this bloom initially being mixed out, and then 580 replaced with a mixing bloom in late October.

581 It is not clear from our observations why 2012 should have been such an 582 anomalous year. SST at the site was cooler than climatology throughout most of 2012

583 (Figure 10), and 2012 was the third coolest year between 1985 and 2017 (Figure 13).

584 This is despite the fact that, according to NOAA

585 (www.ncdc.noaa.gov/sotc/global/201213), the global average SST for 2012 was

586 0.45°C above the 20'th century mean (and at that time, 2012 was the 10'th warmest

587 year on record). At the experimental site there is no clear relationship between El

588 Nino and SST anomaly – for example, SST anomaly was negative during the during

the 1992/93 El Nino, but positive during the 1997/1998 El Nino years. There was

- 590 nothing particularly anomalous about either the annual wind stress or net heat flux
- 591 during 2012, and there was no clear relationship between either of these quantities and

592 SST (Figure 13). These observations suggest that in 2012, while SST east of New

Zealand did not follow the global trends, it was also not driven solely by local forcing
and thus was a response to a complex set of forcing that is beyond the scope of this
article to determine.

596 It likely that the cooler than normal conditions during 2012 played a role in 597 the mixing spring bloom in that year, because these imply a weaker than normal 598 thermocline. However, how common mixing spring blooms are, and whether they 599 have a significant impact on the annual net primary production is uncertain, and these 600 questions remain topics for future research.

CONCLUSION

601 The temporal evolution of stability during bloom development is critical. 602 Surface chlorophyll blooms can be triggered by loss of stability when increased wind 603 stress and convective overturn lead to mixing up of the deep chlorophyll maximum and or increase nutrients into the mixed layer, or they can also be triggered by 604 605 increased stability when decreased wind stress and decreased convective overturn lead to conditions when phytoplankton remain in the photic zone. Traditionally, autumn 606 607 blooms are considered to be triggered by decreasing stability, and spring blooms are 608 considered to be triggered by increasing stability. This research suggests that this 609 paradigm does not always hold, and that there is at least one case where the spring 610 bloom is triggered by loss of stability. We can for now only speculate how common 611 such blooms are, whether this mechanism drives more productive spring blooms than 612 those driven by increased stability.

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748	

FIGURES

749	Figure 1. Study region east of the North Island of New Zealand. Locations of 3 am
750	casts made during spring bloom cruises in 2008 (red squares) and 2012 (blue
751	squares) are superimposed on mean sea surface chlorophyll, C_0 , derived from
752	MODIS aqua ocean colour satellites. The 1000 m isobath is shown as a dashed
753	line and the centre of the Wairarapa Eddy is labelled WE. Also shown are the
754	locations of 42 Argo profiles in 2008 (solid red circles) and 23 profiles in 2012
755	(blue circles) made within 125 km of the nominal experimental site.
756	Figure 2. Locations of CTD casts made during the 2008 and 2012 spring bloom
757	cruises. Blue squares show casts made at 3 am, red squares show casts made at
758	noon (no noon casts were made in 2008) and green circles show other casts. The
759	path of the Lagrangian array in 2012 is shown as a blue line.
760	Figure 3. Shipboard data from 2008 and 2012 cruises. (A) Wind stress, τ , numbers 1
761	to 4 in 2012 refer to the calm periods discussed in the text; (B) Sea surface
762	temperature, T_0 ; (C) Temperature, T, from 3 am CTD casts (note different
763	scales for each year); (D) Surface and depth-integrated chlorophyll from the 3
764	am CTD casts. Surface chlorophyll, C_0 , is taken as the average over the top 5

765 m, and the depth-integrated chlorophyll, $C_{0/300}$, is integrated over the top 300 766 m; (E) Chlorophyll, C, section from the 3 am CTD casts. Two estimates of the 767 mixed layer depth, MLD₁ and MLD₂, based on density differences of 0.125 and 768 0.025 kg m⁻³, respectively (see Methods), are superimposed on the temperature 769 and chlorophyll. Panels relating to 2008 data have been adapted from Chiswell 770 (2011).

Figure 4. Nutrients during the 2008 and 2012 cruises from upcast water samples taken on the 3 am CTD casts. Two estimates of the mixed layer depth, MLD₁ and MLD₂, based on density differences of 0.125 and 0.025 kg m⁻³, respectively (see Methods), are superimposed on the chlorophyll sections. (**A**) Wind stress, τ (as in Figure 3); (**B**) Chlorophyll, *C*; (**C**) Nitrate, *NO*₃, for 2008, and Nitrate plus Nitrite, *NO*₃ + *NO*₂, for 2012; (**D**) Silicate, *SiO*₃; (**E**) Phosphate, *PO*₄; and (**F**) Dissolved iron, *dFe*, taken from trace-metal samples.

778	Figure 5. Metrics of phytoplankton biomass and productivity for the 2008 and 2012
779	cruises. (A) Percentage of Net Primary Production (NPP) by cells larger than 20
780	μ m; (B) Community NPP (cells larger than 0.2 μ m); and (C) Chlorophyll, C
781	(also shown in Figure 3, but with expanded depth scale). Dashed lines are mixed
782	layer depth, MLD ₂ , based on density difference0.025 kg m ⁻³ .
783	Figure 6. Microzooplankton from 2008 and 2012 cruises. (A) Wind stress, τ (as in
784	Figure 3); (B) Aloricate ciliates $<20 \mu m$; (C) Aloricate ciliates $>20 \mu m$; (D)
785	Tintinnids; (E) Mean Microzooplankton and chlorophyll concentrations over the
786	top 100 m. For comparison, contours of chlorophyll (as seen in Figure 3) are
787	shown in panels B to D.
788	Figure 7. Shipboard measurements from the 2012 cruise. (A) Wind speed, W ; (B)
789	Wind direction, θ (180° indicates winds from the south); (C) Air minus sea
790	temperature, $T_{air} - T_{sea}$; (D) Sea temperature, T_{sea} , from ships underway system,
791	and sea surface temperature, T_0 , and chlorophyll, C_0 , from 3 am CTD casts.
792	Figure 8. Locations of CTD casts every second day during the 2012 spring bloom
793	cruise, Blue squares show the 3 am casts, green squares show casts made
794	between 12 hours before and 12 hours after the 3 am cast, along with the drifter
795	track (green shows the full track, blue shows the track within 12 hours of the 3
796	am CTD cast), and surface velocities within 12 hours of the 3 am CTD cast
797	from the shipboard Acoustic Doppler Current Profiler (ADCP, cyan vectors).
798	Each panel is centred on the 3 am cast location and the dashed line shows a 10-
799	km radius circle centred on that location.
800	Figure 9. (A) Sea surface temperature, T_0 , from CTD casts made in the 2012 cruise.
801	The blue line connects T_0 from the 3 am casts, the green line connects T_0 from
802	all CTD casts, and red squares show T_0 from the noon CTD casts (see Figure 2
803	for CTD locations); (B) Temperature section from all CTD casts made during
804	the cruise. The dashed and solid lines are mixed layer depths MLD_1 and MLD_2
805	calculated using the 3 am casts as shown in Figure 3; (C) Sea surface
806	Chlorophyll, C_0 , from CTD casts made in the 2012 cruise. The blue line
807	connects C_0 from the 3 am casts, the green line connects C_0 from all CTD casts

and red squares shows C_0 from the noon CTD casts; (**D**) Chlorophyll, *C*, section from all CTD casts made during the cruise. The dashed and solid lines are the 0.5 and 0.25 mg m⁻³ contours shown in Figure 3.

Figure 10. Satellite and reanalysis data for 2008 and 2012. (A) Sea surface 811 812 chlorophyll derived from MODIS satellite (blue line). Vertical dashed line indicates date of deepest mixed layer from Argo data. Horizontal dashed lines 813 show 0.5 and 0.25 mg m⁻³ threshold criteria for spring and atumn blooms, 814 respectively; (B) Sea surface temperature (SST) derived from AVHRR satellite 815 (blue line); (C) Temperature derived from Argo profiles made within 125 km of 816 the nominal site. Red and black dot-dashed lines indicate two estimates of the 817 mixed layer depth, MLD₁ and MLD₂, based on density differences 0.125 and 818 0.025 kg m⁻³ (see Methods); (C) Net heat flux, NHF, from NCEP reanalysis 819 (green); (**D**) Wind stress, τ , from NCEP reanalysis (blue); (**E**) Rate of change 820 of surface chlorophyll, $r = \partial \ln C_0 / \partial t$. Vertical solid lines in all panels indicate 821 beginning and ending of spring bloom cruises in 2008 and 2012. Grey lines in 822 823 panels A, B, D and E show the climatological annual cycles of the respective 824 quantities.

Figure 11. Satellite and reanalysis data for 2012. (A) Sea surface chlorophyll, C_0 , 825 derived from MODIS satellite data for the experimental site shown in Figure 1; 826 (**B**) Rate of change of surface chlorophyll $r = \partial \ln C_0 / \partial t$; (**C**) Net Heat Flux 827 anomaly, Δ_{NHF} , calculated as the difference between NHF during 2012 and the 828 climatological value of NHF; (**D**) Wind stress annual anomaly, Δ_{τ} , calculated 829 830 as the difference between τ during 2012 and the climatological value of τ . Vertical lines indicate periods of sustained surface chlorophyll increase as 831 832 determined by local maxima in r. Solid lines indicate events driven by more 833 heat entering the ocean than normal and less winds than normal and are referred 834 to as 'stability' events. Dashed lines indicate evens driven by less heat entering the ocean than normal and/or higher wind stress than normal, and are referred to 835 as 'mixing' events. 836

- 837 Figure 12. Sea surface chlorophyll derived from MODIS aqua satellite for selected days. Left-hand panels show the climatological values for the day of year. 838 839 Centre and right-hand panels show 8-day composite sea surface chlorophyll for dates in 2008 and 2012. Discontinuities along 180° reflect the date-line change, 840 841 and its impact on compositing data. 842 Figure 13. Annual anomalies for various quantities at the experimental site. For each 843 year, the anomaly is calculated as the mean value of the quantity minus its 844 climatology. (A) Sea surface temperature anomaly derived from AVHRR estimates of SST; (**B**) Wind stress anomaly derived from NCEP reanalysis; (**C**) 845 Net heat flux (NHF) anomaly derived from NCEP reanalysis. 846
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