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## **Continuous behavioural 'switching' in human spermatozoa and its regulation by Ca<sup>2+</sup>-mobilising stimuli**

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*Published in:*  
Molecular Human Reproduction

*DOI:*  
[10.1093/molehr/gaz034](https://doi.org/10.1093/molehr/gaz034)

*Publication date:*  
2019

*Document Version*  
Peer reviewed version

[Link to publication in Discovery Research Portal](#)

### *Citation for published version (APA):*

Achikanu, C., Correia, J., Guidobaldi, H., Giojalas, L., L. R. Barratt, C., Da Silva, S.M., & Publicover, S. (2019). Continuous behavioural 'switching' in human spermatozoa and its regulation by Ca<sup>2+</sup>-mobilising stimuli. *Molecular Human Reproduction*, 25(8), 423-432. <https://doi.org/10.1093/molehr/gaz034>

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4 **Continuous behavioural 'switching' in human spermatozoa and its**  
5 **regulation by Ca<sup>2+</sup>-mobilising stimuli**  
6

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**Running title:** Long-term patterns of sperm behaviour

25

26 **Abstract**

27

28 Human sperm show a variety of different behaviours (types of motility) that have different  
29 functional roles. Previous reports suggest that sperm may reversibly switch between these  
30 behaviours. We have recorded and analysed the behaviour of individual human sperm (180  
31 cells in total), each cell monitored continuously for 3-3.5 min either under control conditions  
32 or in the presence of  $\text{Ca}^{2+}$ -mobilising stimuli. Switching between different behaviours was  
33 assessed visually (1 s bins using 4 behaviour categories), and was verified by fractal  
34 dimension analysis of sperm head tracks. In the absence of stimuli, approximately 90% of  
35 cells showed at least one behavioural transition (mean rate under control conditions= $6.4 \pm 0.8$   
36 transitions.min<sup>-1</sup>). Type 1 behaviour (progressive, activated-like motility) was most common  
37 but the majority of cells (>70%) displayed at least three behaviour types. Treatment of sperm  
38 with  $\text{Ca}^{2+}$ -mobilising agonists had negligible effects on the rate of switching but increased the  
39 time spent in type 2 and type 3 (hyperactivation-like) behaviours ( $P < 2 \times 10^{-8}$ ; chi square).  
40 Treatment with 4-aminopyridine under alkaline conditions ( $\text{pH}_o = 8.5$ ), a highly-potent  $\text{Ca}^{2+}$ -  
41 mobilising stimulus, was the most effective in increasing the proportion of type 3 behaviour,  
42 biasing switching away from type 1 ( $P < 0.005$ ) and dramatically extending the duration of  
43 type 3 events ( $P < 10^{-16}$ ). Other stimuli, including 300 nM progesterone and 1% human  
44 follicular fluid, had qualitatively similar effects but were less potent. We conclude that  
45 human sperm observed in vitro constitutively display a range of behaviours and regulation of  
46 motility by  $[\text{Ca}^{2+}]_i$ , at the level of the single cell, is achieved not by causing cells to adopt a  
47 'new' behaviour but by changing the relative contributions of those behaviours.

48 **Key words:** spermatozoa – behaviour – motility – calcium - pH

49

## 50 **Introduction**

51

52 The ability of mammalian sperm to change behaviour is essential, as different types of  
53 motility are suited to overcoming the different barriers that the sperm encounters as it ascends  
54 the female tract. For instance, sperm from mice that are null for CatSper (the primary  $\text{Ca}^{2+}$   
55 channel of sperm) fail to hyperactivate and consequently cannot fully ascend the female tract  
56 (as they cannot escape after binding the oviduct wall) and are unable to penetrate the zona  
57 pellucida (Carlson, et al., 2003, Ho, et al., 2009). During semen analysis, the motility of a  
58 sperm population is typically assessed by estimating quantitative characteristics of the  
59 population (% motile, % progressively motile). Use of computer-assisted sperm analysis  
60 (CASA) provides more detailed information by tracking the movement of each sperm head,  
61 typically for a fraction of a second. With these data, the kinematics of each sperm (usually  
62 several hundred cells) are calculated, providing an overview of the nature and variation of  
63 motility in the population (Mortimer, 2000). In both cases, the quantitative data are  
64 calculated/estimated from a 'snapshot' sample, effectively assuming that each cell has a  
65 motility type and that the distribution of these types reflects the nature of the population  
66 (Pacey, et al., 1997).

67 However, when mammalian (including human) sperm are monitored for a longer periods (1-  
68 10 s), it becomes apparent that the behaviour of individual cells may change rapidly. For  
69 instance, hyperactivated-like motility may occur as intermittent 'bursts' interspersed with  
70 activated (progressive) swimming (Cooper, et al., 1979; Katz and Yanagimachi, 1980;  
71 Johnson, 1981; Burkman, 1984; Mortimer and Swan, 1995; Pacey, et al., 1995). This  
72 alternation of behaviour may well be functionally significant, possibly enhancing penetration  
73 of the zona pellucida (Bedford, 1998; Katz et al., 1989) or facilitating detachment from the  
74 oviduct wall.

75 Thus, although it is likely that a primary ‘aim’ of stimuli that affect sperm motility is simply  
76 to increase the fraction of the population in which a required behaviour occurs, more subtle  
77 effects might be achieved at the level of individual cells. For instance, by biasing the  
78 probability of switching towards (or away from) a particular behaviour, or by selectively  
79 changing the duration of a specific behaviour, the relative amounts of time spent in each  
80 behaviour may be regulated. It is also possible that the absolute duration of an individual  
81 period of a specific behaviour is functionally important, such as during interaction with the  
82 female tract or oocyte vestments.

83 We hypothesised that behavioural switching and expression of multiple behaviour types is a  
84 common feature of human sperm, even under unstimulated conditions. To better understand  
85 both the occurrence of multiple patterns of behaviour in individual human sperm and the  
86 way in which behavioural switching is regulated, we have: (i) recorded and analysed the  
87 activity of individual cells over a prolonged period (>3 minutes) and (ii) investigated whether  
88 this behaviour is modified through elevation of  $[Ca^{2+}]_i$ .

89

90

91

## 92 **Materials and methods**

93

### 94 **Materials**

95 All chemicals were obtained from Sigma-Aldrich (Poole, UK) unless stated otherwise.  
96 Progesterone (P4) and 4-aminopyridine (4-AP) were prepared as stocks in  
97 dimethylsulphoxide (DMSO) at 10 mM and 500 mM respectively. Human follicular fluid  
98 (FF) was thawed and diluted in saline on the day of use. Thimerosal was prepared as 100 mM  
99 stock in deionised water. Working concentrations were made by diluting in supplemented  
100 Earle's balanced salt solution (sEBSS) at the appropriate pH prior to use. DMSO in P4 and 4-  
101 AP experiments was 0.003% and 0.4% respectively. 1% DMSO had no detectable effect on  
102 kinematic properties of sperm motility (Achikanu et al., 2018).

### 103 **Salines**

104 sEBSS contained (mM) 90 NaCl, 1.017 NaH<sub>2</sub>PO<sub>4</sub>, 5.4 KCl, 0.81 MgSO<sub>4</sub>, 5.5 C<sub>6</sub>H<sub>12</sub>O<sub>6</sub>, 2.5  
105 C<sub>3</sub>H<sub>3</sub>NaO<sub>3</sub>, 19 CH<sub>3</sub>CH(OH)COONa, 25 NaHCO<sub>3</sub>, 1.8 CaCl<sub>2</sub>, 25 mM 4-(2-hydroxyethyl)-1-  
106 piperazineethanesulfonic acid (HEPES; pH 7.4), 0.3% BSA. Osmolality was then adjusted to  
107 291-294 mOsm as necessary by adding NaCl. For buffering at pH 8.5, HEPES was replaced  
108 with [tris(hydroxymethyl)methylamino]propanesulfonic acid (TAPS).

### 109 **Selection and preparation of spermatozoa**

110 Semen samples were from donors with normal sperm concentration and motility (WHO  
111 2010). Samples were obtained by masturbation after 2-3 days sexual abstinence. After  
112 liquefaction (30 min), sperm were swum up into sEBSS (60 min), adjusted to ≈6 million/ml  
113 and incubated under capacitating conditions (sEBSS at pH 7.4; 37°C, 6% CO<sub>2</sub>) for 5 hours  
114 (Alasmari et al., 2013a).

115 **Human follicular fluid**

116 Follicular fluid (FF) was prepared as described in Brown et al. (2017). Briefly, oocytes  
117 (metaphase II) were retrieved by transvaginal aspiration. FF without blood contamination  
118 was centrifuged (2500 g for 10 min) to separate cellular components The supernatant (0.22  
119  $\mu\text{m}$  filtered) was stored (at  $-20^{\circ}\text{C}$ ) until use.

120 **Ethical approval**

121 Written consent was obtained in accordance with the Human Fertilisation and Embryology  
122 Authority (HFEA) Code of Practice (version 8) under local ethical approval (University of  
123 Birmingham ERC 07-009 and ERN-12-0570) and (13/ES/0091) from the East of Scotland  
124 Research Ethics Service REC1.

125 **Long-duration sperm tracking**

126 Observation chambers, 20  $\mu\text{m}$ -deep, were constructed by mounting a 22x32 mm coverslip  
127 (0.13-0.16 mm thickness; Academy, Beckenham, UK) onto a 22x50 mm coverslip (thickness  
128 no. 1.5; VWR International). Soda lime glass beads (18-22  $\mu\text{m}$ ; Cospheric, Santa Barbara,  
129 USA) were dispersed in vacuum grease (Dow-Corning, USA) and small dabs were placed at  
130 each corner of the 22 mm x 32 mm coverslip, providing a  $\approx 20$  mm space when the two  
131 coverslips were pressed together.

132 Capacitated cells were pelleted by centrifugation and re-suspended in 100  $\mu\text{l}$  aliquots (cell  
133 density adjusted to 1.2 million/ml) in sEBSS pH 7.4 or 8.5 as required. The stimulus (1%  
134 DMSO control, 300 nM progesterone, 1% FF (containing  $\approx 300$  nM P4 (Brown et al. 2017)),  
135 1  $\mu\text{M}$  thimerosal or 2 mM 4-AP) was added to the cell suspension and incubation ( $37^{\circ}\text{C}$ ) was  
136 continued for a further 1-2 min. A 5  $\mu\text{l}$  aliquot of cell suspension was carefully loaded into the  
137 coverslip chamber, which was then placed on the platform of a thermostatic controller (TC-



138 324B, Warner, USA) set at 36.5°C on the stage of an inverted microscope (Nikon Eclipse  
139 TE200) equipped with a motorised stage (Prior Scientific, UK). Images were acquired with a  
140 sCMOS camera (Zyla 5.5, ANDOR TM Technology, Belfast, UK) through a 10x phase  
141 contrast objective.

142 Imaging was started approximately 5 min after stimulus application. Images were acquired at  
143 50 Hz, 15 ms exposure for a period of 180-216 s using Micro Manager software v1.4.22  
144 (image 1392 x 1040 pixels, 16 bit dynamic range, global camera shuttering). Illumination  
145 intensity was reduced as far as possible and applied via a red filter to prevent direct effects of  
146 illumination on sperm motility (Shahar, et al., 2011; Gabel, et al., 2018). For each recording,  
147 a randomly selected sperm was followed. When the cell approached the edge of the field of  
148 view, it was moved back using the motorised stage. Using playback of videos, sperm  
149 behaviour was analysed in 1 s blocks, allocating each block to one of four different  
150 behaviours (motility types 1, 2, 3 and 4; Table 1; Fig. S1; see results). Observation of videos  
151 gave no indication that stage movements induced or modified behavioural switching (Fig.  
152 S2). We analysed the relationship between the number of stage movements during a 3 min  
153 recording (x) and number of behavioural switches in that 3 min (y). There was no significant  
154 relationship ( $y=0.08x+18$ ;  $P=0.9$ ;  $R^2=0.001$ ). Furthermore, in many cells in which switching  
155 was frequent, no stage movements were required. We also assessed whether the behaviour  
156 changes over the period of the recording might occur if evaporation of saline affected  
157 osmolality or pH of the droplet. Neither the rate of switching nor the behaviour score showed  
158 a significant trend over the duration of the recording ( $P=0.83$ ;  $P=0.58$  respectively; Fig. S3).

159 In a subset of experiments, where the head centroid could be reliably detected throughout the  
160 recording, the multidimensional motion analysis application in MetaMorph® (Molecular  
161 Devices, USA) was used to generate positional information for the sperm head for the entire

162 recording period. Separate sections of the sperm track (between stage re-centring) were  
163 ‘stitched’ together by compensating the offset of ‘x’ and ‘y’ co-ordinates in Microsoft Excel.

#### 164 **CASA**

165 Hyperactivation was assessed as described previously (Achikanu et al.; 2018). After addition  
166 of the stimulus, cells were loaded into pre-warmed 20 µm CASA chamber (36°C). Motility  
167 was assessed (approximately 300 s after stimulus application) with a Hamilton Thorn CEROS  
168 CASA system (version 14.0). Criteria for hyperactivation were VCL (curvilinear velocity)  
169  $\geq 150 \mu\text{m/s}$ , LIN (linearity)  $< 50\%$  and ALH (amplitude of lateral head movement)  $\geq 7 \mu\text{m}$   
170 (Mortimer, 2000).

#### 171 **Data analysis**

172 Fractal dimension (FD) was determined from x, y coordinates over a period of 1 s (50 points)  
173 for each track point along the trajectory. FD was calculated using the equation

$$174 \quad FD = \log(n) / [\log(n) + \log(ld/cd)]$$

175 where n is the number of points in the interval analysed (50 points), ld is the linear distance  
176 between the first and the last point and cd is the length of the trajectory (Mortimer et al.  
177 1996). FD was calculated with a homemade macro (paNoel 1.0; Universidad Nacional de  
178 Córdoba; <http://www.iibyt.conicet.unc.edu.ar/software/>) using Fiji software (Schindelin et al.,  
179 2012). Maximum FD was set at 2.0.

180 Behaviour score (average of all the recorded scores for each 1 s period over the entire  
181 recording), % time (% of total recording period spent in each behaviour type), switching rate  
182 (transitions.min<sup>-1</sup>), behaviour dwell time (period during which a single behaviour type  
183 occurred) and transition type (behaviour type entered upon a transition) were calculated in  
184 Microsoft Excel and statistical analysis was carried out using Minitab18 and Excel.

185 Behaviour score, % time and switching rate were calculated separately for each cell and a  
186 mean was calculated from these values (n=number of cells analysed). Dwell times and  
187 transition types were analysed using all individual behaviour events of the specified type  
188 (n=total number of events). All summary values given in the text are mean  $\pm$  SEM unless  
189 stated otherwise. Before analysis, data were tested for normality (Anderson-Darling) and data  
190 sets were then compared using Chi-square, Student's t-test, Mann-Whitney, 2-way ANOVA  
191 and Kruskal-Wallis (with post-hoc Holm-Bonferroni sequential correction; Gaetano, 2013) as  
192 appropriate. Autocorrelation assessment of periodicity was carried out in Minitab 18.

193

## 194 **Results**

195

196 Using five conditions (control and four different stimuli), each at two different values of  $\text{pH}_o$   
197 (7.4 and 8.5), we collected video recordings from a total of 180 cells. By visual analysis of  
198 video playback, we categorised behaviour into four types. Types 1, 2 and 3 resembled the  
199 patterns of motility normally referred to as activated, transitional and hyperactivated (Figure  
200 S1, Table 1; Videos1 and 2; Mortimer, 2000). An additional type of behaviour was  
201 occasionally observed, in which the mid-piece and anterior flagellum became tightly curved  
202 (forming a 'J' shape or coil) and arrested or 'twitched' (Video 3, Table 1). Since behaviour of  
203 this type is seen in cells treated with  $\text{Ca}^{2+}$ -ionophore, where it apparently reflects excess  $\text{Ca}^{2+}$ -  
204 loading which takes the cells 'beyond' hyperactivated motility (Sanchez-Cardenas et al.,  
205 2018), this was designated type 4 behaviour. This behaviour was typically interspersed with  
206 very brief (100-500 ms) bursts of flagellar activity such that the cell became virtually  
207 immobilised for up to 20 s (Figure S1d, Video 3).

### 208 **Free-swimming sperm cells repeatedly 'switch' behaviour**

209 Examination of the behaviour of cells incubated under control conditions (sEBSS; pH 7.4)  
210 showed that the majority (16/18 cells) changed their behaviour at least once during the  
211 recording. Type 1 behaviour was seen in 18/18 cells, type 3 occurred in 16/18 (no significant  
212 difference in incidence;  $P=0.15$ ; Chi square) and 13 of these cells showed three or (in one  
213 case, Figure 1a) all four types of behaviour. Among the 18 control cells analysed, the number  
214 of behavioural transitions varied widely (from 0 to 45), with the mean rate being  $6.4 \pm 0.8$   
215  $\text{transitions} \cdot \text{min}^{-1}$ . Dwell-times (length of period during which a single behaviour type  
216 occurred) for types 1, 2 and 3 events all formed negative exponential distributions (Figure  
217 S4a), with the median duration of type 1 events being significantly greater than those for  
218 types 2, 3 and 4 ( $P < 5 \cdot 10^{-5}$ ; Kruskal-Wallis; Figure 2a). Analysis of transition types showed

219 that switching between behaviours was not random ( $P < 10^{-10}$ ; Chi square), with transitions  
220 into type 4 behaviour being very rare (4.3%) and into type 1 behaviour occurring most  
221 frequently (44%; Figure 2c column 1). Consequently, cells spent most time ( $65 \pm 6\%$ ) in type  
222 1 behaviour, more than double that of any of the other behaviour types (Figure 2b column 1;  
223  $P < 0.0005$ ; Kruskal-Wallis with post-hoc comparison). Occurrence of type 4 behaviour was  
224 very rare, making up less than 3% of behaviour events and of total time (Figure 2b). Neither  
225 the rate of switching nor the type of behaviour expressed showed a significant trend over the  
226 recording period ( $P = 0.83$ ;  $P = 0.58$  respectively; Figure S3).

227 In most cells where behavioural switching occurred there was no clear pattern, as type 1  
228 behaviour was interrupted by ‘bursts’ of type 2 and type 3 activity (Figure 1a). In a small  
229 number of cells (4/18), behaviour appeared to oscillate but analysis by autocorrelation  
230 showed only one instance where an oscillatory frequency emerged (Figure S5, compared to  
231 Figure 1) and even in this case, the autocorrelation failed to reach significance ( $P > 0.05$ ;  
232 Figure S5b). For 3 of the 18 control cells, where video quality was adequate for reliable  
233 detection of the sperm head throughout the 3 min recording, we were able to reconstruct  
234 entire tracks lasting over 3 min, which clearly showed the observed transitions in behaviour  
235 (Figure 1c). To confirm that visual analysis identified genuine behavioural transitions, we  
236 used the track coordinates to assess temporal variation of fractal dimension (FD; a numerical  
237 value between 1 and 2, which reflects the complexity of the sperm track; Mortimer, et al.,  
238 1996). Colour coding of track points for FD confirmed that high values occur at periods of  
239 complex motility and overlays of FD and visual analyses confirmed that the same  
240 behavioural transitions were identified (Figure 1b,c, Figure S5c,d, Figure S6). Autocorrelation  
241 of the FD data in Figure S5 produced near identical results to those obtained through visual  
242 analysis (Figure S5b,e). When data from all tracked cells were analysed, the mean FD and

243 mean behavioural score (average score over the entire recording) for each cell were clearly  
244 correlated ( $R^2=0.56$ ;  $P=5*10^{-6}$ ;  $n=28$ ; Figure S7).

### 245 **Manipulation of $[Ca^{2+}]_i$ modifies the pattern of behavioural switching**

246 A pivotal mechanism for regulation of behaviour in human sperm is  $[Ca^{2+}]_i$  (Publicover, et  
247 al., 2007; Suarez, 2008). To investigate the effect of  $[Ca^{2+}]_i$  elevation, we monitored the  
248 activity of cells exposed to four different stimuli (300 nM P4, 1% FF [containing  
249 approximately 300 nM P4], 2 mM 4-AP and 1 mM thimerosal), all of which are known to  
250 increase  $[Ca^{2+}]_i$  and stimulate hyperactivation in human and other sperm, but with varying  
251 potency (Ho and Suarez, 2001; Alasmari, et al., 2013b; Brown, et al., 2017; Achikanu et al.,  
252 2018). CASA assessments, carried out during the period of behavioural data collection, using  
253 cells prepared under identical conditions, confirmed the efficacy and relative potency of these  
254 stimuli (Figure S8a). The proportion of cells in which we observed switching between  
255 behaviours was not changed by any of these manipulations (Table 2), but the relative  
256 incidence (% time) of the different behaviour types was significantly modified ( $P<2*10^{-8}$ ; chi  
257 square). Incidence of type 1 behaviour was reduced by all four stimuli ( $P<0.05$ ) whereas 4-  
258 AP significantly increased the proportion of type 3 behaviour ( $P=2*10^{-6}$ ) and thimerosal  
259 significantly increased the occurrence of both types 2 and 3 behaviours ( $P<0.05$ ; Kruskal-  
260 Wallis with post-hoc comparison; Figure 2b). Examination of switching showed that this  
261 change was partly due to biasing of switching towards types 2 and 3 behaviours in stimulated  
262 cells (P4  $P=0.1$ ; FF  $P<0.05$ ; 4-AP and thimerosal  $P<5*10^{-5}$ ; Chi-square; Figure 2c), but there  
263 were also marked effects on dwell times of the different behaviours. Type 1 events were  
264 significantly briefer in stimulated cells (except for those exposed to thimerosal; Figure 2d)  
265 whereas type 2 and type 3 events were markedly prolonged in cells exposed to 4-AP and to  
266 thimerosal (Figure 2e,f; Figure S6), such that the overall frequency of behaviour switching

267 was significantly lower than in controls ( $P=0.02$  and  $P=0.0004$  for 4-AP and thimerosal  
268 respectively; Kruskal-Wallis).

### 269 **Effect on behaviour of elevated $pH_o$**

270 To investigate the effect on behavioural switching of elevated  $pH_o$ , sperm were prepared  
271 using the standard protocol but then re-suspended in saline buffered at pH 8.5, which causes  
272 stable alkalinisation of the cytoplasm (increase from 6.9 to 7.2) within 200-300 s and  
273 increases levels of hyperactivated motility (Achikanu et al., 2018; Figure S8a). The  
274 proportion of sperm in which switching occurred was not significantly changed at elevated  
275 pH except in cells exposed to 4-AP, where switching was observed in only 10/20 cells  
276 ( $P=0.005$  compared to 4-AP at pH 7.4; Table 2). The frequency of switching at  $pH_o=8.5$ ,  
277 compared to cells incubated at  $pH_o=7.4$ , was consistently lower ( $P<2*10^{-7}$ , 2-way ANOVA;  
278 Figure 3a), but when the five conditions were analysed separately, this effect of pH was  
279 significant only in cell exposed to FF ( $P<0.01$ ) and 4-AP ( $P<0.001$ ; Mann-Whitney).  
280 Similarly to our observations at  $pH_o=7.4$ , at  $pH_o=8.5$  all of the stimuli significantly changed  
281 the relative abundance (% time) of the four behaviours (Figure 3b;  $P<10^{-14}$ ; Chi-square), with  
282 the most striking effect being in 4-AP-treated cells, where sperm spent  $94\pm 2\%$  of their time in  
283 type 3 behaviour (Figure 3b;  $P=3*10^{-9}$  compared to control; Kruskal-Wallis with post-hoc  
284 comparison). Examination of the effect of stimuli on the characteristics of transitions between  
285 behaviours also showed that, as at pH 7.4,  $Ca^{2+}$ -mobilising treatments biased switching  
286 events towards types 2 and 3 behaviour (Figure 3c;  $P<0.005$ ; Chi-square). Dwell times for  
287 type 1 behaviour were not significantly altered by  $Ca^{2+}$ -mobilising stimuli at pH 8.5, but the  
288 effects of agonists on type 3 dwell times were more consistent and greater than at  $pH_o=7.4$ ,  
289 with all four stimuli significantly extending the duration of type 3 behaviour compared to  
290 control cells (Figure 3f;  $P<0.001$ ; Kruskal-Wallis with post-hoc comparison). Most strikingly,  
291 in the presence of 4-AP, type 3 behaviour median dwell time was 56 s (compared to 3 s in

292 controls;  $P=10^{-16}$ , Kruskal-Wallis with post-hoc comparison; Figure 3f). Only thimerosal  
293 significantly increased type 2 dwell times at both  $pH_o=7.4$  and  $pH_o=8.5$  ( $P=1*10^{-10}$  and  
294  $P=1*10^{-5}$  respectively; Kruskal-Wallis with post-hoc comparison). Comparison of mean  
295 behaviour scores and % hyperactivated cells (CASA) for the 10 conditions assessed (control  
296 and four agonists, each at pH 7.4 and pH 8.5) showed a positive correlation between the two  
297 assessments of motility ( $R^2=0.5$ ;  $P=0.022$ ; Figure S8b).



298 **Discussion**

299

300 By continuously observing ‘capacitated’, free-swimming human sperm, for a period of longer  
301 than 3 min, we have shown, for the first time, the occurrence of continuous switching  
302 between different types of motility, with the mean incidence being more than six transitions  
303 per minute. Even in control (unstimulated) cells, multiple behaviours, including  
304 hyperactivated-like motility, were seen in  $\approx 90\%$  of cells. For analysis of this switching, the  
305 intervening periods, where behaviour appeared consistent, were classified using four  
306 categories. Although tracks from behaviour types 1-3 resemble those referred to as activated,  
307 transitional and hyperactivated motility (Mortimer, 2000), these behaviours were derived by  
308 visual analysis and cannot be considered equivalent to categories defined by kinematic  
309 criteria. Type 4 behaviour where the flagellum arrested, typically in a ‘J’ shape, appears to be  
310 equivalent to the freeze and freeze-flex behaviours, which were observed in  $\approx 7\%$  of cells  
311 from normal semen (Burkman, 1984). In cells where analysis of FD was possible, it was clear  
312 that (i) visual analysis and FD identified the same behavioural transitions and (ii) for each  
313 recording, the mean FD was clearly and positively correlated with mean behaviour score  
314 ( $R^2=0.56$ ). We conclude that our analysis reliably identifies sperm behaviours and switching  
315 between them and that continuous behavioural switching is a normal activity of human sperm  
316 (at least for cells observed in vitro). Though some behaviour-time plots appeared to show  
317 oscillatory behaviour, autocorrelation analysis did not reveal significant periodicity.

318 The occurrence of repeated behavioural switching might be brought about by either, or both,  
319 of two different mechanisms. Changes in behaviour might be completely reliant on  
320 endogenous signalling activity, for instance,  $[Ca^{2+}]_i$  spikes and oscillations that have been  
321 described in human sperm (Harper et al, 2004; Aitken & McLaughlin, 2007; Sanchez-  
322 Cardenas et al, 2014; Mata-Martinez et al, 2018). These changes were observed in

323 immobilised cells and were correlated with changes in flagellar beating (Harper et al, 2004;  
324 Bedu-Addo et al, 2007). However, it is yet to be established whether these  $[Ca^{2+}]_i$  signals  
325 cause or are caused by the changes in flagellar beating. Alternatively, if mechanisms  
326 controlling sperm behaviour are sensitive to changes in the environment, such as pH, ionic  
327 environment, temperature and mechanical stimulation (including fluid flow), heterogeneity in  
328 the sperm's environment might present such 'stimuli' as it swims. Our data indicate that  
329 potential methodological artefacts related to movements of the stage and/or evaporation of  
330 the saline did not cause such effects (see Methods; Long Duration Sperm Tracking).  
331 However, the environment through which the sperm swims, even in the simple system used  
332 for our recordings, might be sufficiently spatially heterogenous that the sperm encounters a  
333 series of physico-chemical 'stimuli' due to its own movement. The complex environment  
334 encountered by the sperm in the female tract will almost certainly present such 'stimuli'. If  
335 behavioural switching is induced in this way, our observation that  $[Ca^{2+}]_i$  elevating stimuli  
336 prolong periods of type 3 behaviour (Figures 2f, 3f; see below) might indicate that  $Ca^{2+}$ -  
337 signalling resets the stimulus-sensitivity of transition into this type of motility. In either case,  
338 it appears likely that conditions/stimuli that result in behavioural switching in vitro will also  
339 occur in vivo.

340 Analysis of the effects of manoeuvres that elevate  $[Ca^{2+}]_i$  in human sperm indicate that  
341 motility kinematics (assessed by CASA) are directly related to the absolute level of  $[Ca^{2+}]_i$ ,  
342 irrespective of the  $Ca^{2+}$  source mobilised, with the percentage of hyperactivated cells  
343 increasing as a function of  $[Ca^{2+}]_i$  (Achikanu et al, 2018). Exposure of cells to a range of  
344 stimuli which elevate  $[Ca^{2+}]_i$  significantly altered the relative abundance of the different  
345 behaviours. Consistent with a shift toward hyperactivated motility, the proportion of time  
346 spent in type 2 and type 3 behaviours markedly increased in cells exposed to  $Ca^{2+}$ -mobilising  
347 stimuli. Thimerosal and 4-AP were particularly potent in this regard. Thimerosal modifies

348 sperm behaviour by mobilising stored  $\text{Ca}^{2+}$  (Ho and Suarez, 2001; Alasmari et al., 2013b).  
349 Although 4-AP is often used as a  $\text{K}^+$  channel blocker, its effects on the  $\text{K}^+$ -permeable  
350 channels expressed in sperm are negligible (Tang et al, 2010; Mansell et al, 2014) and a  
351 significant aspect of its action on sperm  $[\text{Ca}^{2+}]_i$  and behaviour is likely to be its ability to  
352 mobilise stored  $\text{Ca}^{2+}$  (Gobet 1995; Grimaldi 2001; Baskhar 2008; Alasmari et al., 2013b  
353 Kasatkina, 2016), The potent effects of  $\text{Ca}^{2+}$ -store-mobilising stimuli on switching are  
354 consistent with their ability to persistently elevate  $[\text{Ca}^{2+}]_i$ , inducing prolonged hyperactivation  
355 in human sperm (Achikanu, et al., 2018; Alasmari, et al., 2013a,b). When cells were  
356 suspended in medium buffered at pH 8.5, some effects of  $\text{Ca}^{2+}$ -mobilising stimuli were  
357 greatly enhanced, particularly the prolongation of type 3 behaviour dwell-time by 4-AP. The  
358 effect of 4-AP on  $[\text{Ca}^{2+}]_i$  is strongly potentiated at pH=8.5 (Achikanu et al., 2018) and it is  
359 likely that this underlies the striking effect of the drug on behavioural switching under these  
360 conditions. Significantly, these potent hyperactivating stimuli had negligible effect on the  
361 proportion of cells in which type 3 (hyperactivated-like) behaviour was observed. Even under  
362 control conditions, type 3 behaviour (and behavioural switching) occurred in 90% of cells.  
363 Thus it appears that the well-characterised ability of these  $[\text{Ca}^{2+}]_i$ -mobilising compounds to  
364 increase the level of hyperactivation detected in population motility assays occurs not by  
365 recruitment of cells into a hyperactivated population, but by increasing the proportion of time  
366 that continuously-switching cells spend in type 3 (hyperactivated-like) motility, achieved  
367 primarily by extending the dwell-time of this behaviour.

368 If behavioural switching occurs in vivo, does it have adaptive value? Switching induced  
369 externally (by the sperm's sensitivity to heterogeneity in its environment; see discussion  
370 above) may simply be behavioural 'noise' that can be modulated by the cells signalling  
371 activity (such as elevation of  $[\text{Ca}^{2+}]_i$  as described here) but may not be functionally  
372 significant. However, observations of sperm interacting with the female tract and cumulus-

373 oocyte-complex suggest that switching of motility types may be of value. Pacey et al (1995)  
374 described an attach-detach cycle in the interaction of human sperm with epithelial cells  
375 isolated from the isthmic and ampullary sections of human oviducts, that may play an  
376 important role in migration to the fertilisation site. Detachment was associated with  
377 hyperactivated-type motility whereas cells that were attaching showed far more linear  
378 motility. Similarly, observation of sperm during the passage through the zona reveals  
379 alternation of low and high amplitude flagellar beats, which may facilitate penetration by  
380 alternation of ‘cutting’ and ‘thrusting’ forces (Bedford, 1998). Clearly further work is  
381 required to determine the nature and complexity of sperm behaviour in vivo and its  
382 significance.

383

384

385 **Authors' roles:** CA, JC and SMDS obtained samples and carried out the laboratory work.  
386 CA, SP and HG analysed the data. CA, JC, HG, LG, CLRB, SMDS and SJP contributed to  
387 writing of the manuscript.

388

389 **Funding:** This study was support by the Medical Research Council (MR/M012492/1). CA  
390 was in receipt of a scholarship from the Nigerian government (Tertiary Education Trust  
391 (TET) Fund).

392

393 **Conflict of interest:** C.L.R.B. was the editor-in-chief of Molecular Human Reproduction,  
394 has received lecturing fees from Merck and Ferring and is on the Scientific Advisory Panel  
395 for Ohana BioSciences. C.L.R.B. was also chair of the World Health Organization Expert  
396 Synthesis Group on Diagnosis of Male infertility (2012-2016). He is an editor of RBMO.

397 CLRB reports grants from the MRC, Gates Foundation and the Scottish Office during the  
398 conduct of the study; personal fees from Pharmasure, Ferring, Ohana, and RBMO outside the  
399 submitted work. CA, JC, HG, LG, SMDS and SJP declare no conflict of interest.

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500

501 **Figure 1. Behavioural switching in a free-swimming human sperm under control**

502 **conditions (pH<sub>o</sub>=7.4).** (a) Variation in behaviour type (categorised visually as type1, type 2,

503 type 3 or type 4; see Figure S1) of a single sperm over a period of 190 s. (b) Variation in

504 fractal dimension (FD) over time (black trace) overlaid with the visually categorised

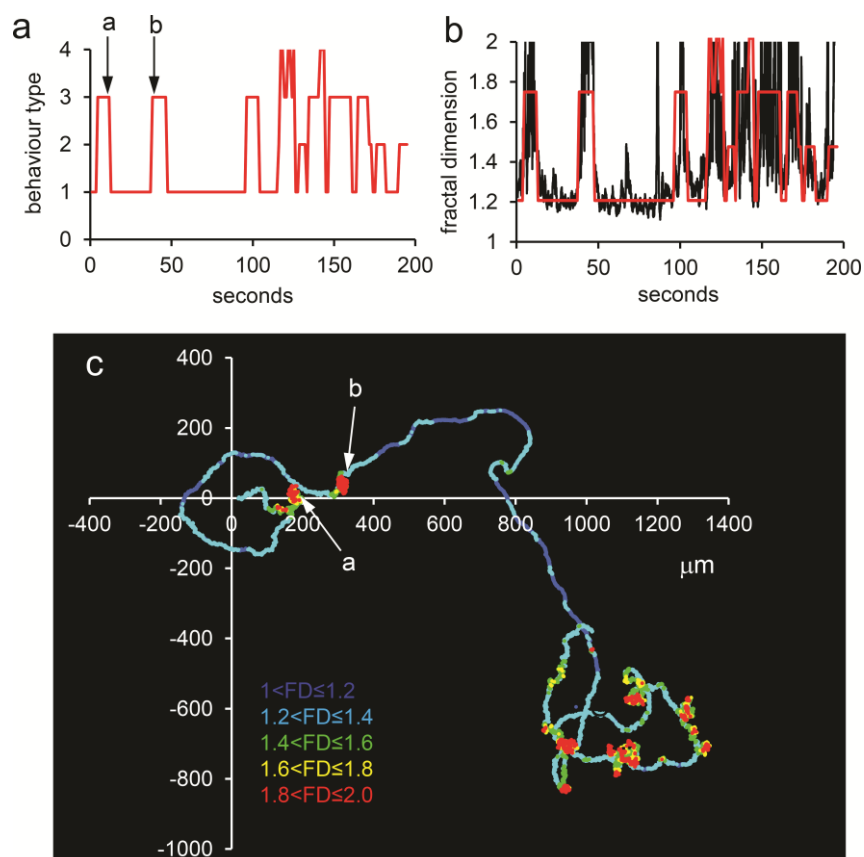
505 behaviour types (red trace). Visual analysis and FD show good agreement, with no visually

506 identified behavioural transitions that are not confirmed by FD. (c) Track of the same cell,

507 colour coded to display variation in the fractal dimension (FD); ( $1 < FD \leq 1.2$  (dark blue);

508  $1.2 < FD \leq 1.4$  (light blue);  $1.4 < FD \leq 1.6$  (green);  $1.6 < FD \leq 1.8$  (yellow);  $1.8 < FD \leq 2.0$  (red). Axes

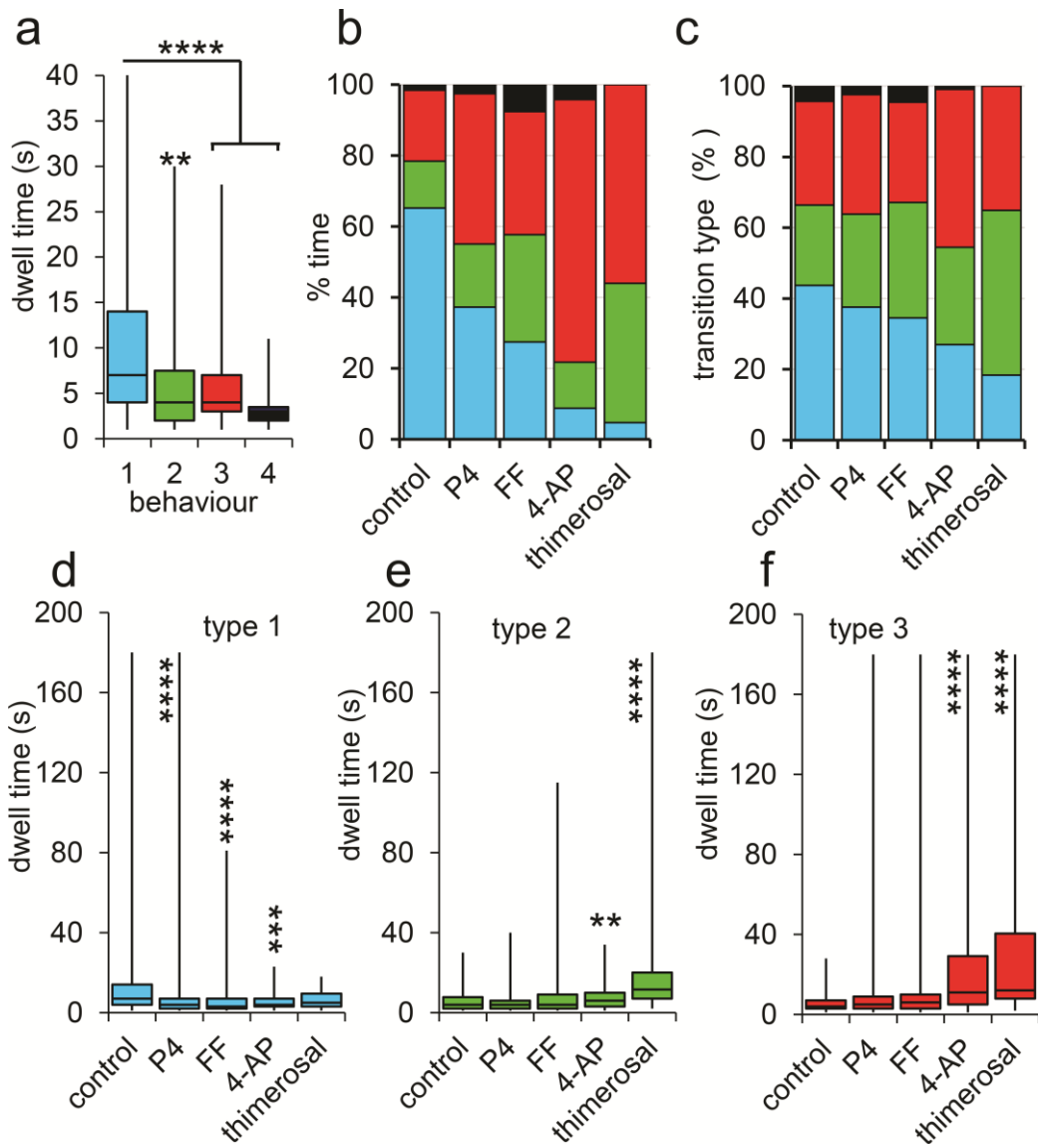
509 show distance in mm.



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511 **Figure 2. Characteristics of behavioural switching.** (a) Dwell times (period during which a  
512 single behaviour type occurred) for types 1 (light blue, n=164 events), 2 (green, n=79), 3  
513 (red, n=107) and 4 (black, n=15) behaviours in cells control cells (pH 7.4). Plots show  
514 median and interquartile range (box) and maximum/minimum values (whiskers). (b) Mean %  
515 time spent in each behaviour; type 1 (light blue), type 2 (green), type 3 (red) and type 4  
516 (black) under control conditions (n=18 cells) and in the presence of 300 nM P4 (n=18 cells),  
517 1% FF (n=17 cells), 2 mM 4-AP (n=21 cells) and 1 mM thimerosal (n=17 cells). (c) Relative  
518 frequencies of transitions into type 1 (light blue), type 2 (green), type 3 (red) and type 4  
519 (black) behaviours under control conditions (n=347 transitions) and in the presence of  
520 progesterone (P4; 300 nM; n=420), human follicular fluid (FF nM, 1%; n=353), 4-  
521 aminopyridine (4-AP, 2 mM, n=211) and thimerosal (1 mM, n=115). Asterisks indicate  
522 significant difference from control; \*\*\*=P<0.005. (d-f) Dwell times for type 1 (panel 'd';  
523 blue), type 2 (panel 'e'; green) and type 3 (panel 'f'; red) behaviours under control conditions  
524 and in the presence of 300 nM progesterone (P4), 1% human follicular fluid (FF), 2 mM 4-  
525 aminopyridine (4-AP) and 1 mM thimerosal. Plots show median and interquartile range (box)  
526 and maximum/minimum values (whiskers) of 51-167 events. Asterisks indicate significant  
527 difference from control; \*\*=P<0.01; \*\*\*=P<0.001; \*\*\*\*=P<0.0001.

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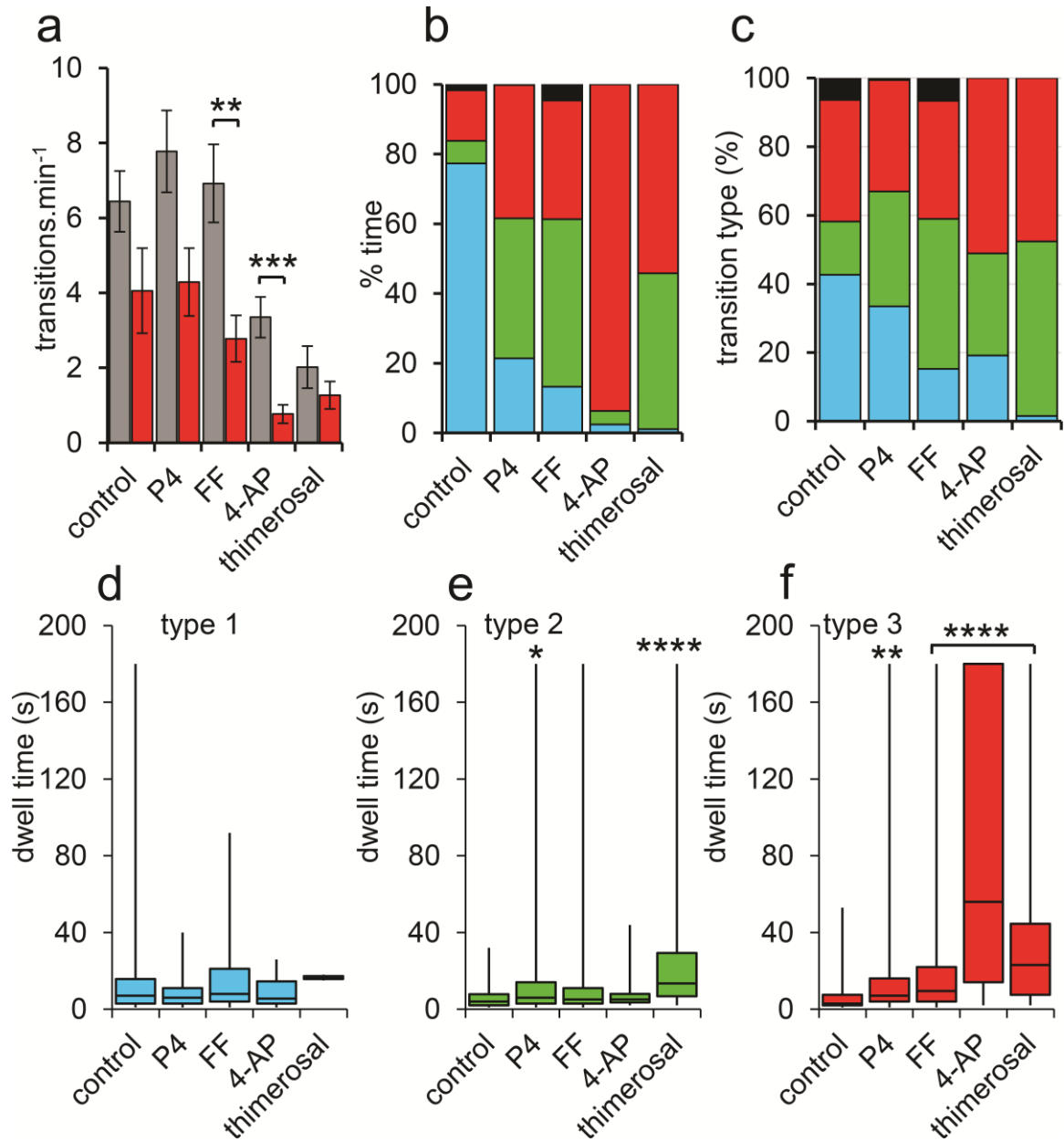


546 **Figure 3. Characteristics of behavioural switching at  $\text{pH}_o=8.5$ .** (a) Mean switching rate  
547 ( $\pm$ s.e.m.) for cells incubated at  $\text{pH}_o=7.4$  (grey bars) and  $\text{pH}_o=8.5$  (red bars) under control  
548 conditions (n=18 cells) and in the presence of 300 nM P4 (n=18 cells), 1% FF (n=17 cells), 2  
549 mM 4-AP (n=21 cells) and 1 mM thimerosal (n=17 cells). (b) Mean % time spent in each  
550 behaviour at  $\text{pH}_o=8.5$ ; type 1 (light blue), type 2 (green), type 3 (red) and type 4 (black) under  
551 control conditions (n=18 cells) and in the presence of 300 nM P4 (n=15 cells), 1% FF (n=18  
552 cells), 2 mM 4-AP (n=20 cells) and 1 mM thimerosal (n=16 cells). (c) Relative frequencies of  
553 transitions into type 1 (light blue), type 2 (green), type 3 (red) and type 4 (black) behaviours  
554 at  $\text{pH}_o=8.5$  under control conditions (n=220 transitions) and in the presence of progesterone  
555 (P4; 300 nM; n=194), human follicular fluid (FF nM, 1%; n=151), 4-aminopyridine (4-AP, 2  
556 mM, n=47) and thimerosal (1 mM, n=63). (d-f) Dwell times at  $\text{pH}_o=8.5$  for type 1 (panel 'd';  
557 blue), type 2 (panel 'e'; green) and type 3 (panel 'f'; red) behaviours under control conditions  
558 and in the presence of 300 nM progesterone (P4), 1% human follicular fluid (FF), 2 mM 4-  
559 aminopyridine (4-AP) and 1 mM thimerosal. Plots show median and interquartile range (box)  
560 and maximum/minimum values (whiskers) of 10-110 events (except thimerosal type 1 where  
561 n=2). Asterisks indicate significant difference from control; \*= $P<0.05$ ; \*\*= $P<0.01$ ;  
562 \*\*\*= $P<0.001$ ; \*\*\*\*= $P<0.0001$ .

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569 **Supplementary video legends**

570 **Video 1. Example of repeated behavioural switching.** In this cell type, 1 behaviour occurs  
571 at the start of the video and also for the periods 3-5.5 s, 11.5-14 s and 17.5-20 s as shown by  
572 the time stamp (seconds) at the top left of the image. Periods of type 3 behaviour occur at  
573 approximately 2-3 s, 10-11.5 s and 14-17.5 s. Between 5.5 and 10 s both type 3 and (briefly)  
574 type 2 behaviours occur. Total duration= 20 s. Frame dimensions = 230 mm \* 225 mm

575 **Video 2. Example of a cell showing type 2 behaviour.** Total duration= 6 s. Frame  
576 dimensions = 230 mm \* 240 mm

577 **Video 3. Example of a cell showing type 4 (arrested) motility.** This cell shows periods of  
578 type 4 motility (where the flagellum arrests in a J shape) interspersed by brief periods (< 1 s)  
579 of flagellar beating. Total duration= 5.9 s. Frame dimensions = 205 mm \* 180 mm.

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581 **Table 1. Characteristics of the motility types identified by visual analysis of videos.** Values  
 582 shown for typical ALH (amplitude of lateral head displacement) show range of values obtained from  
 583 examination of 15-20 tracks of each type and are descriptive, not definitive. n/a indicates not  
 584 applicable.

motility type	characteristics	progressive/non-progressive	typical ALH
1	low amplitude flagellar beat, symmetric or occasionally weakly asymmetric causing curved or circular path	progressive	≈2-4 μm
2	intermediate amplitude beat, symmetric or slightly asymmetric (causing circling)	progressive	≈3-8 μm
3	high-amplitude highly asymmetric beat	non-progressive, continuous turning or tumbling	≥6 μm
4	tight bending of the midpiece producing a 'J'-shape or coil	non-progressive arrested	n/a

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591 **Table 2. Incidence of behavioural switching.** Data show proportion of cells in which behavioural  
 592 switching was observed (number of cells showing switching/total number of cells analysed) at  
 593 pH<sub>o</sub>=7.4 and pH<sub>o</sub>=8.5 under control conditions and in the presence of 300 nM progesterone (P4), 1%  
 594 human follicular fluid (FF), 2 mM 4-aminopyridine (4-AP) and 1 μM thimerosal. The bottom row of  
 595 the table (P) shows results of chi-square test to compare between pH values (corrected for multiple  
 596 comparisons) under each condition.

pH <sub>o</sub>	treatment				
	control	P4	FF	4-AP	thimerosal
7.4	16/18	16/18	16/17	20/21	14/19
8.5	16/18	13/15	14/18	10/20	11/16
P	1	1	0.67	0.01	1

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