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Corresponding Author: Dr. Ann Weber, PhD

Corresponding Author's Institution: Stanford University School of Medicine

First Author: Ann Weber, PhD

Order of Authors: Ann Weber, PhD; Beniamino Cislaghi, PhD; Valerie Meausoone, BS; Safa Abdalla, MD; Iván Mejía-Guevara, PhD; Pooja Loftus, MS; Emma Hallgren, PhD; Ilana J Seff, MPH; Lindsay Stark, DrPh; Cesar G Victora, MD; Romina Buffarini, PhD; Aluísio J Barros, MD, PhD; Ben Domingue, PHD; Devika Bhushan, MD; Ribhav Gupta, BS; Jason Nagata, MD; Holly B Shakya, PhD; Linda Richter, PhD; Shane A Norris, PhD; Thoai Ngo, PhD; Sophia Chae, PhD; Nicole Haberland, MPH; Katherine McCarthy, MPH; Mark Cullen, MD; Gary L Darmstadt, MD

Abstract: Despite global commitments to achieving gender equality and improving health and well-being for all, quantitative data and methods to precisely estimate the effect of gender norms on health inequities are under-developed. Nonetheless, existing global, national, and sub-national data provide key opportunities for testing associations between gender norms and health. Using innovative approaches to analysing proxies for gender norms, we generated evidence that gender norms impact the health of women and men across life stages, health sectors, and world regions. Six case studies demonstrated that: 1) gender norms are complex and may intersect with other social factors to impact health over the life course; 2) early gender-normative influences by parents and peers may have multiple and differing health consequences for girls and boys; 3) non-conformity with, and transgression of, gender norms may be harmful to health, in particular when they trigger negative sanctions; and 4) the impact of gender norms on health can be context-specific, demanding care when designing effective gender-transformative health policies and programs. Limitations of survey-based data are described that resulted in missed opportunities for exploring certain populations and domains. Recommendations for optimising and advancing research on the health impacts of gender norms are made.

# Manuscript

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# 8 Authors:

- 9 Ann Weber, PhD<sup>1</sup>; Beniamino Cislaghi, PhD<sup>2</sup>; Valerie Meausoone, BS<sup>3</sup>; Safa Abdalla, MD<sup>1</sup>; Iván Mejía-
- 10 Guevara, PhD<sup>3</sup>; Pooja Loftus, MS<sup>3</sup>; Emma Hallgren, PhD<sup>3</sup>; Ilana Seff, MPH<sup>4</sup>; Lindsay Stark, DrPh<sup>5</sup>;
- 11 Cesar Gomes Victora, MD, PhD<sup>6</sup>; Romina Buffarini, PhD<sup>6</sup>; Aluísio J. D. Barros, MD, PhD<sup>6</sup>; Ben
- 12 Domingue, PhD<sup>7</sup>; Devika Bhushan, MD<sup>1</sup>; Ribhav Gupta, PhD<sup>1</sup>; Jason M. Nagata, MD<sup>8</sup>; Holly B. Shakya,
- 13 PhD<sup>9</sup>; Linda Richter, PhD<sup>10</sup>; Shane A Norris, PhD<sup>10</sup>; Thoai Ngo, PhD<sup>11</sup>; Sophia Chae, PhD<sup>11</sup>; Nicole
- 14 Haberland, MPH<sup>11</sup>; Katharine McCarthy, MPH<sup>11</sup>; Mark Cullen, MD<sup>3</sup>; Gary L. Darmstadt, MD<sup>1,3</sup>; for the
- 15 Gender Equality, Norms and Health Steering Committee\*

16		
17	1	Stanford University
18		Department of Pediatrics, Stanford University School of Medicine
19		1265 Welch Road, Stanford, CA 94305 USA
20		
21	2	London School of Hygiene & Tropical Medicine
22		15-17 Tavistock Place
23		London WC1H 9SH
24		United Kingdom
25		
26	3	Center for Population Health Sciences
27		Stanford University School of Medicine
28		1070 Arastradero Rd, Palo Alto, CA 94304 USA
29		
30	4	Columbia University
31		Department of Population and Family Health
32		Mailman School of Public Health
33		722 West 168th St. NY, NY 10032 USA
34		
35	5	Brown School at Washington University in St. Louis
36		One Brookings Drive
37		St. Louis, MO 63130
38		
39	6	Federal University of Pelotas, Brazil
40		International Center for Equity in Health
41		Rua Deodoro, 1120
42		96020-220 Pelotas, Rs, Brazil
43		
44	7	Stanford University
45		Graduate School of Education
46		485 Lasuen Mall, Stanford, CA 94305 USA
47		
48	8	University of California San Francisco

49 50 51		Department of Pediatrics 3333 California Street San Francisco CA 94143
52		
53	9	University of California San Diego
54		Department of Medicine, Center on Gender Equity and Health (GEH)
55		9500 Gilman Drive
56		La Jolla, CA 92093 USA
57	10	
58	10	University of Witwatersrand
59		Wits School of Public Health
60		Private Bag 3
61		Wits 2050
62		Johannesburg, South Africa
63		
64	11	Population Council
65		One Dag Hammarskjold Plaza
66		New York, NY 10017 USA
67		
68	* Mer	nbers listed at the end of the manuscript
69		
70	Corre	esponding Author: Ann Weber, PhD <sup>1</sup>
71	Email	address: annweber@stanford.edu

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### 83 Abstract

Despite global commitments to achieving gender equality and improving health and well-being for all, 84 85 quantitative data and methods to precisely estimate the effect of gender norms on health inequities are 86 under-developed. Nonetheless, existing global, national, and sub-national data provide key opportunities for testing associations between gender norms and health. Using innovative approaches to analysing 87 88 proxies for gender norms, we generated evidence that gender norms impact the health of women and men across life stages, health sectors, and world regions. Six case studies demonstrated that: 1) gender norms 89 90 are complex and may intersect with other social factors to impact health over the life course; 2) early 91 gender-normative influences by parents and peers may have multiple and differing health consequences for girls and boys; 3) non-conformity with, and transgression of, gender norms may be harmful to health, 92 in particular when they trigger negative sanctions; and 4) the impact of gender norms on health can be 93 context-specific, demanding care when designing effective gender-transformative health policies and 94 95 programs. Limitations of survey-based data are described that resulted in missed opportunities for exploring certain populations and domains. Recommendations for optimising and advancing research on 96 the health impacts of gender norms are made. 97

# 99 Key Messages

100	1.	Existing survey-based data can be harnessed to generate new evidence of the pervasive influence
101		of gender norms on the health and well-being of girls, boys, women, and men across a range of
102		health-related outcomes and the life course in high, middle, and low-income countries. While
103		these data may be inadequate for making causal claims of the impact of specific gender norms on
104		health, the data were sufficient to expose important gendered pathways to health and well-being.
105		Additional opportunities remain to build on this evidence and generate new hypotheses with
106		survey-based data.
107	2.	By applying diverse analytical methods to different types of proxy measures for gender norms,
108		we demonstrated that:
109		a. Gender norms are complex and may intersect with other social factors to impact health
110		over the life course;
111		b. Gender-normative influences by parents and peers start early, and may have multiple
112		short- and long-term health consequences that differ for girls and boys;
113		c. Non-conformity and transgression of gender norms can be harmful to health, in particular
114		when they trigger negative sanctions; and
115		d. Gender norms are often context-specific, demanding a deeper understanding to design
116		effective gender-transformative policies and programmes.
117	3.	Existing survey-based data can introduce or perpetuate bias when used for studying the impact of
118		gender norms on health:
119		a. Reliance on sex-disaggregated data can result in misclassification of gender and ignores
120		trans-gender and non-binary experiences.
121		b. Datasets include rich gender-related attitude data or health-related data, but rarely both;
122		c. Data are limited or non-existent for who enforces norms, how they are enforced, or what
123		sanctions transgressors of norms may face.

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124		d. Global datasets are generally not powered to study how gender norms intersect with
125		strata of other social determinants of health (e.g., wealth, religion, and ethnicity) and may
126		be missing data for entire demographic groups (e.g., boys and men, children 6-14 years,
127		women over 49 years, gender minorities) or world regions.
128		e. Questions are often unbalanced by sex of the respondent (e.g., only women are asked
129		about child health and care) and phrasing of questions frequently revealed underlying
130		gender biases in research.
131	4.	Future development of quantitative proxy measures for gender norms would benefit from mixed
132		methods that utilise qualitative research to unpack the origins, preservation, and shifts in gender
133		norms and their links with health outcomes.
134	5.	Going forward, data on all facets of gender, including data for gender minorities, are necessary in
135		future surveys with the above limitations addressed. To achieve these goals, collaborations are
136		needed at multiple levels:
137		a. Across disciplines to provide a conceptual bridge for effective use of data that aligns
138		around an evidence-based research agenda;
139		b. Between domain experts and gender scholars, survey designers and analysts, and
140		community partners and policy makers to generate data systems that will enable studying
141		health at the intersection of gender and other social determinants; and
142		c. Across global data collection organisations to set standards for measuring gender, gender
143		norms, and key demographic characteristics.

# 145 Introduction

Gender equality is a foundational human right, reflected in Sustainable Development Goal (SDG) 5, and a
necessary means to achieve other SDGs, including 3, to "ensure healthy lives and promote well-being for
all."<sup>1,2</sup> Mixed-methods studies document the consequences of gender inequality for women's and men's
health.<sup>3-6</sup> However, quantitative data and methods are under-developed to precisely estimate these
consequences and study how gender norms may contribute to health inequities. Nonetheless, existing
survey-based data can be leveraged to gain important insights into pathways from gender norms to health.

152 Gender norms are society's spoken and unspoken rules about acceptable ways of being a girl or a boy, a

153 woman or a man – how they should behave, look, and even think or feel. Gender norms are perpetuated

and challenged in families, communities, schools, workplaces, institutions and the media.<sup>3,5,7–9</sup> These

155 expectations start early and powerfully shape individuals' attitudes, opportunities, experiences, and

156 behaviours, with important health consequences throughout the life course.<sup>10</sup>

157 Quantifying the effect of gender inequalities on health is challenging, partly because differences related to sex- (e.g., biological factors, including chromosomal, hormonal, and biomechanical) and gender (e.g., 158 culturally-defined constructs associated with being female or male) are intertwined.<sup>11-14</sup> Globally, women 159 outlive men by 2-4 years on average, but girls and women have a higher burden of some disabilities and 160 morbidities.<sup>2,15–18</sup> These differences cannot be explained by sex alone, which we demonstrate with the 161 2016 Global Burden of Disease data,<sup>19</sup> extending work by Snow (2008).<sup>20</sup> We identified 15 causes of 162 disability-adjusted life years (DALYs) that most disproportionately affected females (Figure 1a) or males 163 (Figure 1b) globally. The >40:1 female-to-male DALY ratio from breast cancer is primarily sex-driven, 164 165 whereas the ~3:1 female-to-male DALY ratio from eating disorders reflects gender-related factors.<sup>3</sup> 166 Higher road traffic injuries among males, explaining nearly 4% of their all-cause age-standardised DALYs, also reflects male gender norms pertaining to driving, risk-taking, and alcohol use.<sup>21</sup> Sex/gender 167 also intersect with other social factors to impact DALY ratios. For example, given differential exposures 168

169	within gendered occupations, <sup>10</sup> women are more vulnerable to Ebola (from nursing) in low Socio-
170	Demographic Index (SDI) countries and men to pneumoconiosis (from mining) in high-SDI countries. <sup>11,22</sup>
171	From over a dozen case studies involving secondary analyses of existing global, national, and sub-
172	national datasets, we selected six to present here (Table 1) based on conceptual and practical
173	considerations (see Appendix 8 for the selection process). Conceptually, we aimed to study a range of
174	gendered pathways to health for which evidence exists, as framed by Heise, Greene et al. <sup>10</sup> Our analyses
175	were informed by feminist sociological theories of how gender norms contribute to shaping an unequal
176	gender system that can be harmful to both women, men, boys and girls. <sup>13,23–25</sup> We sought to include
177	pathways across the life course, around the world, and for diverse mental and physical health-related
178	outcomes, despite challenges in data quality and operationalising gender norms. Following the case
179	studies, we reflect on data opportunities and limitations, concluding with recommendations for optimising
180	research on health impacts of gender norms.

181 Gendered pathways to health

We rely on sex-disaggregated data, recognising that sex and gender typically are conflated in surveys.<sup>26,27</sup> 182 183 Additionally, existing survey data do not systematically measure gender norms, so we created proxies by aggregating individual-level data to the level of influential social or reference groups (e.g. peers). With 184 the exception of studies 2 and 3, we aggregated gendered behaviours (what women/girls and men/boys 185 186 do) or attitudes (what people believe women or men should do) to the level of a community, community 187 cluster, or school. We then tested different pathways between gender norms and health. When data 188 allowed, we tested how gender interacted with other analytical categories (e.g. wealth or religion) in 189 shaping health-related social disadvantages. In case studies 1 and 5, we contrasted aggregated behaviours 190 or attitudes for males and females to ask: "what can these differences tell us about gender norms and their implications for health?" In case studies 5 and 6, we asked of between-group variation: "can we detect 191 192 differences in individual health by the strength of the gender-normative environment?" In case studies 4

and 6, we contrasted individual behaviour with that of groups to ask: "can non-conformity with, or
transgression of, the norm impact individual health—for example, can it result in harm?" Finally, in case
5, we contrasted group-level attitudes (what people should do) with the corresponding behaviour (what
people actually do) to ask: "can the discordance between them impact individual health?" Only in case
studies 2 and 3 do we use individual-level data for the norm, taking advantage of the normative questions:
"what do you think others think about you?" to explore gender differences and ask: "can a person's belief
in what others think of them affect their health?"

200 For each case study presented below, we link the case to a gendered pathway, including key literature;

201 describe the data, gender norm proxy measure, and analytic approach; and present key results and

202 insights. The case studies are arranged by life stage, from childhood, to adolescence, to early adulthood.

## 203 Case study 1. Care-seeking for childhood illness in Ethiopia

204 Restrictive gender norms can affect young children's health. For example, when girls are seen as a lesser financial asset than boys, parents might invest less in girls' health and education,<sup>28-31</sup> reflected in 205 differences in access to care for common childhood illnesses.<sup>32</sup> We used geospatial information available 206 207 in the Demographic and Health Survey (DHS) for Ethiopia in 2011 to examine differences in care-208 seeking for girls and boys <5 years (n=3,161 children in 544 villages), which we hypothesised varied within country by geographic and sociodemographic contexts.<sup>33,34</sup> Care-seeking was defined as medical 209 care sought from a certified medical practitioner for symptoms of pneumonia, fever, or diarrhoea 210 (available disease indicators) in the previous two weeks. 211

We aggregated individual care-seeking behaviour using geospatial hierarchical cluster analysis <sup>35</sup> identifying spatially proximal clusters of communities with significantly higher (hot spots) and lower (cold spots) care-seeking than the national average, separately for girls, boys, and the differential (boys minus girls) (Appendix 1). We created a gender norms proxy of gender preference in care-seeking by

assigning a yes/no indicator to communities in hot spots for differential care-seeking. We tested whether
key community-level characteristics (e.g., socio-economic status, dominant religion, and vaccination
rates) predicted this proxy measure.

219 Hot and cold spots were mapped separately for girls and boys (Figure 2). Sex-specific maps were overlaid 220 with spatial distributions of increasingly wealthy (panels 2a and 2b) and Muslim (panels 2c and 2d) 221 households in communities (see Appendix 1 for factor selection). Clusters of hot (or cold) spots for girls 222 and hot (or cold) spots for boys appear in the same geographic areas, except for a cluster of hot spots for boys in the east, for which there is no equivalent for girls and where communities appear wealthier and 223 majority Muslim. In adjusted logistic regressions of sex-specific hot spots, we found that majority 224 225 Muslim (>50% of households) communities were associated with increased odds of being care-seeking 226 hot spots for boys but decreased odds for girls compared to communities with <50% Muslim households 227 (Appendix Table A1.4). Differential care-seeking hot spots favouring boys had a very large and 228 significant association with majority Muslim compared to minority Muslim communities (OR=18.2, 95% 229 CI 8.72, 40.7; p-value<0.0001) (Appendix Table A1.4). Differential care-seeking favouring boys was 230 also associated with mostly wealthy (>50% of households) communities, but the association was weaker 231 and not statistically significant (OR=2.67, 95% CI 0.95, 7.46; p-value 0.062). We found no clear evidence for interaction between wealth and religion on care-seeking hot spots. 232

These findings suggest that, unlike reports from elsewhere,<sup>36</sup> poverty did not drive lower care-seeking for
girls in Ethiopia. Our findings, however, are consistent with reports of son preference in other
contexts.<sup>37,38</sup> Notably, preferential care-seeking for boys in Ethiopia was very strongly associated with
Muslim majority communities. Evidence of care seeking in favour of boys in geographically focused
communities, regardless of socioeconomic status, suggests that equal access to care is insufficient in
achieving gender equality and highlights the importance of local contextual variation when addressing
gender norms in programming and policy.

# 240 Case studies 2 and 3. Adolescent weight control and mental health in South Africa and Brazil

241	Gender norms learned in the family <sup>7,39-41</sup> are later reinforced or challenged in the community, at school,
242	and by the media. <sup>9,10</sup> Evidence suggests that internalisation of gender norms and their influence on health-
243	related behaviours might be especially powerful during adolescence, <sup>7–9,41–43</sup> when important biological
244	and psychological changes occur and many health-related behaviours are adopted.44,45 We examine
245	pathways through which normative pressures from parents and peers may contribute to adolescents'
246	gendered health behaviours and differential health outcomes. We present two complementary studies
247	together as they offered unique data on individuals' perceptions of norms around body image.
248	Case 2:

Known manifestations of weight concerns—for example, eating disorders—are highly gendered globally,
primarily affecting girls.<sup>3,46,47</sup> We used prospective cohort data from South Africa (Birth-to-20)<sup>48</sup> to
examine how early normative pressures from peers affected adolescents' later weight control behaviour,
and how this association differed by sex/gender and social context. The data are from mostly Black
children (N=3,273) born in Soweto-Johannesburg in the early 1990s, during a period of rapid
urbanisation<sup>48</sup> and simultaneous emergence of eating disorders among Black girls.<sup>49</sup>

The gender norms measure was adolescent boys' or girls' perceptions of peers' approval of their appearance (measured on a scale of 0-never to 4-always). Adjusted linear regression models used sexdisaggregated data from ages 13, 17, and 22 years<sup>48</sup> to test associations between perception and eating disorders risk (measured by the Eating Attitudes Test with three subscales: dieting, bulimia, and oral control, where higher scores mean higher risk).<sup>50</sup> Body satisfaction score (regarding one's own weight and appearance, where a higher score means higher satisfaction) was an intermediary factor (Table 1 and Appendix 2).

262 Among girls, increased perceived peer approval of their appearance between ages 13 and 17 was 263 associated with increased body satisfaction, controlling for change in body mass index (BMI) over the same period ( $\beta$ =2.567, 95% CI 1.405, 3.729; p-value<0.0001). An increase in body satisfaction, in turn, 264 265 was associated with decreased dieting risk score by age 22 ( $\beta$ =-0.048, 95% CI -0.088, -0.008; p-266 value=0.019) (Appendix Table A2.3). This translated into a statistically significant indirect association 267 between perceived peer approval and dieting ( $\beta$ =-0.124, 95% CI -0.008, -0.240, p-value= 0.036), with 268 similar trends for bulimia and attempts to control eating as measured by oral control scores (Appendix 269 Figure A2.1), and across levels of household wealth. The direct association between perceived approval 270 and eating disorder risk was small and not statistically significant.

Boys' body satisfaction was also influenced by perceived peer opinion, but overall risk of eating disorders
was not consistently influenced, with wealth having a moderating role (Appendix Figure A2.2). For boys
in lower-wealth households, increased perception of peers' approval over time was associated with a
reduction in dieting scores, with a marked reversal of this association in higher-wealth households.

These results demonstrate the importance of peer-mediated body dissatisfaction in dieting behaviours in girls, and intersectionality of normative expectations with wealth in boys, perhaps reflecting broader media influences in wealthier households. Findings suggest that interventions aiming to reduce adolescents' harmful weight control behaviour should engage peer networks in challenging unhealthy norms of body appearance.

280 *Case 3:* 

What children believe to be their parents' judgments of their weight, communicated through either words or actions (e.g. weight-based teasing) is associated with body dissatisfaction,<sup>51</sup> and has in turn been linked to adverse mental health outcomes. We examine the influence of normative pressure from parents in

Brazil, where urban culture places high value on body appearance and is accepting of weight control
behaviours.<sup>52</sup>

The Brazil data are from a birth cohort (N=5,249) from the city of Pelotas in 1993.<sup>53</sup> Here, we test the role 286 of perceived parents' opinion of adolescent boys' and girls' weight at age 11 ('thin,' 'normal,' or 'fat') as 287 288 a moderator of the effect of body dissatisfaction at age 15 (feeling fatter or thinner than ideal) on mental health at age 18. Mental health was measured using the Self-Reporting Questionnaire (SRQ) screening 289 instrument (higher score indicates worse mental health).<sup>54</sup> We restricted the analytic sample to girls 290 291 (n=1309) and boys (n=1113) with normal BMI at age 11 so that our gender norms proxy – perceived parental opinion for boys or girls – was unlikely to reflect genuine parental health concerns about 292 293 overweight or underweight status (Appendix 3).

We found that a higher percentage of normal-BMI girls than boys reported that their parents thought they 294 were fat at age 11 (7.1% vs 5.8%), whereas more boys than girls reported that their parents thought they 295 296 were thin (42.6% vs 36.9%). In sex-disaggregated regression, there was some evidence for an interaction 297 between perceived parent's opinion about weight at age 11 and body dissatisfaction at age 15. Girls who thought they were fatter than ideal at age 15 had significantly poorer mental health at age 18 compared to 298 299 those who were satisfied with their bodies, but only if, at age 11, they had reported that their parents 300 thought they were fat ( $\beta$ =3.081, 95% CI 1.049, 5.114; p-value=0.003). In contrast, for girls who believed 301 their parents thought they were normal or thin at age 11, feeling fatter than ideal at age 15 was not 302 associated with SRQ scores (Figure A3.1). We did not observe a similar pattern among boys, suggesting 303 that parents' opinions about body image operate differently for girls' and boys' mental health. Thus, perceived parental opinion about weight appears to be a determining factor in whether girls desiring 304 305 thinness impacts their mental health.

The long-term contribution of normative parental influences to girls' later mental health in Brazil suggestsa more powerful influence than previously documented. These findings further emphasise the importance

308 of multi-level interventions across influential groups, such as parents and teachers, to temper socially-

driven health inequities.

# 310 Case study 4. School peer influences on adolescent health in the USA

Pressure to conform to restrictive gender norms can have profound effects on adolescents' mental health.<sup>55–57</sup> Negative social sanctions for transgressing norms are particularly salient during adolescence, when adolescents seek identity through group membership.<sup>9,58</sup> Sanctions can include bullying or ostracism by peers, and scolding or punishment by caretakers and/or teachers.<sup>7</sup> Here, we examine a pathway to risky health behaviours and poor outcomes from non-conformity with gender norms in schools.

We use data from the U.S. National Longitudinal Study of Adolescent to Adult Health (Add Health),<sup>59</sup> a 317 nationally representative sample of adolescents aged 11-18 years (1994-1995) (n=20,745), randomly 318 319 selected from 80 paired middle and high schools. The dataset lacks gender-specific attitude questions, but is rich in behavioural and health-related data. Following the work of Fleming et.al.,<sup>60</sup> we created a gender 320 321 normativity measure for each student using a set of factors found to discriminate between binary sex assignment in the survey (Appendix Table A4.1). For the gender norms proxy, sex-specific individual 322 323 scores were aggregated to the median of same-sex school-level peers. We tested non-conformity to 324 dominant gender norms, expressed as the difference between an individual's estimated gender 325 normativity and the median of their same-sex school peers, on health.

For each outcome, we conducted sex-stratified piecewise linear regressions to estimate separate effects of more typically feminine and more typically masculine behaviours compared to the median of their school, controlling for an individual's own gender normativity, birth year, race/ethnicity, and school fixed effects (Appendix Table A4.6). Standardised regression coefficients are plotted for girls (Figure 3 panel a) and boys (Figure 3 panel b) (also in Appendix Table A4.6).

Multiple health-related outcomes were associated with gender norm non-conformity. Boys and girls 331 332 reporting more typically 'masculine' behaviours than their same-sex peers were significantly more likely to report risky behaviours, for example engaging in delinquent behaviour ( $\beta=0.158, 95\%$  CI 0.015, 333 334 10.531; p-value <0.0001 for girls and  $\beta$ =0.399, 95% CI 0.028, 14.426; p-value <0.0001 for boys). On the other hand, boys and girls reporting more typically 'feminine' behaviours, were more likely to report 335 336 weight loss behaviours ( $\beta$ =0.228, 95% CI 0.025, 9.265; p-value <0.0001 for girls and  $\beta$ =0.143, 95% CI 337 0.018, 7.774; p-value < 0.0001 for boys). Girls were more likely to report increased depressive symptoms, 338 and suicidal ideation and attempts with increasing difference in either direction (more typically 'masculine' or 'feminine') from peers' median gender normativity score. Results were similar controlling 339 340 for household socioeconomic status (Appendix Table A4.7).

In summary, US students at the extremes of a gender-normative measure relative to other students in their school may suffer multiple health-related effects. Negative sanctions from gender-norm dominant peers may be one of the paths through which these associations operate. These results highlight the need to address stigma and negative behavioural and mental health consequences associated with gender nonconformity in schools.

# 346 <u>Case study 5. Premarital sex and HIV status in Zambia</u>

347 Sub-Saharan Africa has the highest prevalence of human immunodeficiency virus (HIV) infection

globally, with new cases concentrated among adolescents<sup>44</sup> and disproportionately among girls.<sup>31,61</sup>

349 Gender norms and power imbalances play a key role in HIV acquisition,<sup>62–64</sup> as they impact, for instance,

- 350 condom access and use.<sup>62,63</sup> In the USA, embarrassment may prevent adolescents from receiving HIV
- information, seeking contraception, using condoms, or accessing care.<sup>65,66</sup>
- 352 We examine a gendered pathway to HIV infection among youth in Zambia through community
- 353 expectations of appropriate sexual behaviour.<sup>67,68</sup> Where social norms against premarital sex exist, we

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hypothesised that youth engaging in premarital sex would refrain from talking about it (with peers,

parents, or health professionals), reducing their ability to learn about and access HIV protection and

increasing their acquisition risk. We also hypothesised a greater impact on girls than boys, partly because

of double standards<sup>10,69</sup> regarding appropriate sexual behaviour.

We analysed data for young women (n=1669) and men (n=1285) (ages 15-24 years) from the 2007 DHS in Zambia, one of six countries with HIV status information and balanced questions about expectations around premarital sex (Appendix 5). The gender norms proxy was adult (ages 25-49) women and men's attitudes about premarital sex, obtained by aggregating sex-specific data to 18 regional and urban-rural strata. We tested the effect of adult non-compliance with norms for premarital sex, expressed as the discordance between adult attitudes and their behaviours (believing premarital sex to be wrong, but engaging in it), on HIV acquisition risk among youth (n=2954).

365 Attitudes towards premarital sex did not vary substantially by sex or region in Zambia and were 366 conservative: more than 80% of adults disapproved of premarital sex in most regions (Figure 4, panel a). 367 In contrast, attitudes and behaviours were mostly discordant for men (most disapproved of premarital sex, but were assessed as having engaged in it, panel b), whereas women were more likely to be concordant 368 369 (most disapproved of premarital sex and refrained from it). Women's perceptions of what most other 370 women did (descriptive norms of high perceived prevalence of premarital sex) were discordant with their 371 own behaviours (lower prevalence of premarital sex, panel c). Panel d illustrates substantial heterogeneity 372 in HIV prevalence among youth (15-24 years) across Zambia (range 3-27%), disproportionately affecting 373 young women in urban regions.

374 At the regional level, an increasing proportion of adult women (25-49 years) who refrained from engaging

375 in premarital sex was associated with reduced HIV prevalence among adolescent women (Pearson

376 correlation, rho=-0.43; p-value=0.077), while conservative attitudes were not. Importantly, discordance

among adult women was strongly correlated with adolescent women's HIV prevalence (rho=0.63; p-

value=0.005), explaining an additional 20% of the variation in adolescent women's HIV status over behaviour alone. Furthermore, in sex-stratified Poisson regressions, we found that a 10% increase in discordance among adult women or adult men was associated with a 27% (RR=1.27, 95% CI 1.11, 1.45; p-value=0.001) or 28% (RR=1.28; 1.05, 1.56; p-value=0.015) increase, respectively, in individual-level relative risk of HIV for adolescent women, controlling for demographic and regional-level factors (Appendix 5). Risks were similar for adolescent men, but not statistically significant.

These results illustrate that gender norm non-compliance can harm health, here the risk of HIV infection, with potentially fatal consequences. Given sexual double standards,<sup>10,69</sup> young women may especially avoid seeking information, negotiating condom use, or seeking care to minimise risks of premarital sex, as they may anticipate heightened disapproval, relative to men. Efforts to protect women from harm associated with sexual activity should consider the normative environment in which adolescents' sexual relationships take place.

#### 390 Case study 6. Women working outside the home and intimate partner violence in Nigeria

Gender norms intersect with power as adolescents move into early adulthood,<sup>5,7,8,43,70</sup> with unequal power relations shaping and being shaped by gender inequalities and restrictive gender norms.<sup>10,13</sup> Those in power benefit from, and seek to uphold, the existing social order by (consciously or unconsciously) sanctioning non-compliers.<sup>71,72</sup> We examine a pathway through which gendered power disparities can generate punishment (privately, at home) for women who violate the gender order by working outside the home.

Evidence is mixed on whether female labour force participation (FLFP) increases<sup>73–76</sup> or reduces<sup>77,78</sup>
women's risk of intimate partner violence (IPV) in low gender-equality contexts, as IPV largely takes
place in private. FLFP can be protective for working women in countries where most women work, but
may be a risk factor for IPV in countries where most women do not.<sup>78,79</sup> We tested whether women who

work outside the home are at increased IPV risk relative to women who do not in two types of
communities in Nigeria: communities where few women work outside the home and communities where
FLFP is more normative.

404 We used data from the 2014 cluster sample design Violence against Children Survey (VACS) on experience of IPV for female youth (n=1,633, ages 13-24) (Appendix 6). FLFP was based on self-405 406 reported work outside the home in the last week. We used intraclass correlation coefficients (ICC) to 407 detect that FLFP was clustered at the community level for girls (but not boys), with sufficient 408 heterogeneity across communities to test our hypothesis. Assuming equal economic opportunities for 409 work across communities, a low proportion of young women engaging in work outside the home was our 410 gender norms proxy reflecting restrictive norms around women's mobility and opportunities to earn 411 income. Communities were then classified as either: 1) FLFP-high (assumed absence of restrictive norms around FLFP), or 2) FLFP-low (assumed presence of norms sanctioning FLFP), based on a data-driven 412 413 cut-point of 28% of female respondents engaging in outside labour. Results were robust to different cut-414 points (data not shown).

There were no statistically significant differences in overall past-year exposure to sexual or physical IPV for all women between the two community types (adjusted Wald tests [FLFP-high 7.3% (1.16); FLFPlow 7.9% (1.50); p-value=0.733]). Using logistic regression controlled for age, marital status, and having ever attended school, we found that women who worked in FLFP-low communities had significantly higher odds of experiencing past-year IPV compared to non-working women [OR=2.381, 95% CI 1.292, 4.389; p-value=0.006]. However, in FLFP-high communities, women's IPV risk did not differ by working status (Appendix Table A6.4).

The increased risk of IPV exposure for working women in FLFP-low communities suggests that some
male partners may use IPV to punish women for transgressing gender norms around work and the
perceived threat to their masculine role as breadwinner or power-holder. Although early transgressors of

restrictive norms may experience IPV as a consequence, they may also initiate long-term norm changes in
ways that improve employment opportunities and health for future generations.<sup>80</sup> We examine elsewhere
the implications of gender norms for FLFP and women's health across geo-cultural contexts<sup>81</sup> and time.<sup>82</sup>

428 These findings have important implications for interventions at the intersection of gender equality and

429 global health and development—for example, efforts to empower women through employment or micro-

430 finance of small businesses. When instituting such empowerment programmes, risks of harm to those

431 encouraged to challenge restrictive gender norms must be anticipated, and harm prevention and mitigation

432 strategies implemented for effective reduction in gender inequalities and health inequities.

433 **Opportunities and challenges** 

Our case studies provided practical opportunities to conduct gender norm-health research using existing survey data in new ways. For example, geospatial clustering in case 1 revealed regional variation in gender norms where sex intersected with religious identity to produce large inequities in healthcare seeking – a finding that individual-level analyses might miss. Clustering communities together overcame the challenge of small numbers (i.e., precision) when estimating group-level behaviours for communities with few sick children. This innovative approach to identifying gender inequities could be extended to other health-related indicators and countries.

The inclusion of a targeted question in case studies 2 and 3 about 'what adolescents thought that others thought' was useful for estimating the normative influence of peers and parents. Similarly targeted questions could be added with limited additional expense to future surveys. In case 4, the construction of a gender normativity index enabled the use of a dataset rich in measures of gender-related behaviours to study gender non-conformity and health. This novel approach could be generalised to datasets such as the Global school-based student health survey to expand this exploration in diverse contexts.

The measure of discordance between group-level attitudes and behaviours related to premarital sex in
case 5 disrupted the common practice of using only attitudes or only behaviours as gender norm proxies.
Contrasting other matched attitude-behaviour pairs in this way could generate additional new insights for
gendered pathways to health, as shown here for the acquisition of HIV. Finally, case 6 demonstrates how
ICC, which is traditionally used to estimate effective sample size in clustered study designs, can be
reinterpreted to identify sufficient clustering of behaviours to study within-country variation in gender
norms.

454 Nevertheless, we encountered multiple data limitations, not the least of which was relying on sexdisaggregated data to study gender. In recent decades, global health leaders have increasingly 455 recommended incorporation of gender in data systems.<sup>12,83–89</sup> A comprehensive United Nations report on 456 457 gender statistics recommended that data should systematically be sex-stratified; measure gender facets, 458 including norms and relations; reflect the diversity of women and men, capturing multi-dimensional aspects of their lives; and be free of gender stereotypes and biases.<sup>88</sup> While these guidelines provide a 459 useful framework for collecting gender-sensitive data, none of the 17 publicly available data sources we 460 explored (Appendix Table A8.1) were designed accordingly. The substitution of a binary sex indicator for 461 gender in sex-disaggregated data represents a missed opportunity to study gender and health along a 462 463 continuum of experiences and may have introduced important misclassification biases in our analyses.

Moreover, many datasets lacked the combination of gender-related attitudes or behaviours and health outcomes required for understanding pathways between them. Even when both were available, data were often missing for certain demographic groups or regions of the world. For example, DHS represent lowand middle-income countries and data were often missing for men (e.g., questions on child care), women (e.g., questions on some sexual practices), or certain age groups (e.g., children 6-14 years and women over 49), which can bias data interpretation. In some cases, the available proxy was perhaps too distal

470 from the health outcome of interest, or confounded by intermediate factors, to detect an association (e.g.,

471 between attitudes around IPV and childhood malnutrition).<sup>90</sup>

Additional data limitations included the inability to stratify samples by subgroups, both because of lack of
indicators (e.g., missing race/ethnicity information) and small samples. Attempts to disaggregate national
survey data to sub-national levels or across socio-economic strata decreased statistical power, limiting our
capacity to study impacts of intersecting disadvantage with precision.

476 Notably, we encountered survey questions that belied gender-biased assumptions in their construction. For example, we used the rich attitudinal data in the World Values Survey (WVS) to explore adult self-477 478 rated health and gender norms around employment. However, the employment status question cannot 479 account for cross-cultural differences in the meaning of self-employment, and includes the gender-biased term "housewife" as one of its English-version response categories. Forty-three of 46 surveys back-480 481 translated to English used a housewife-like phrase or word (21 of 24 languages and 33 of 36 countries) as 482 opposed to a gender-neutral description (Appendix Table A7.3). Such variation made the category 483 unreliable for cross-national comparisons and likely biased. Additionally, phrasing of attitudinal questions, such as "Pre-school children suffer with a working mother," communicates the stereotype that 484 485 mother's role is at home as caregiver while father's employment-related absence is inconsequential for young children. It is also unclear whether the question refers to a situation where both parents work, or 486 487 only the mother versus the father works. Furthermore, questions phrased with the terms "wife" or "husband" suggest that the questions only apply to married couples in heterosexual unions. 488

Finally, women and men may answer survey questions based on gendered expectations of what they think they should say rather than on their lived experiences, particularly around such gender-charged topics as sexual behaviour or eating disorders. Potentially biased responses may have led us to reproduce current, potentially biased understandings of gendered behaviour and health risk, while missing important at-risk groups.

Combined, these data limitations hindered our exploration of how, and by whom, norms are enforced and the differential impacts of norm violations across the life course and world regions. Heise, Greene et al. argued that gender "biases can be manifested and reinforced by research methodologies".<sup>10</sup> While publicly available survey data provided many opportunities for testing hypotheses about gender norms and health, care is required to avoid introducing or perpetuating bias when constructing and using gender norms proxies from these data.

#### 500 **Research agenda**

501 In future research, we join many others in advocating for collecting survey-based data on all facets of gender, including data for gender minorities.<sup>12,83–89</sup> We also advocate for balanced survey data in which 502 503 men and women are equally represented across age groups and asked the same unbiased attitudinal and behavioural questions, enabling gender-comparative research. Given constrained resources, we recognise 504 that choices must be made in designing surveys, but each confers trade-offs that should be analysed from 505 506 an intersectional lens encompassing gender. If certain domains are assumed unimportant (e.g., childcare provided by men) and hence not measured, then we will not be able to assess or effect change.<sup>91</sup> Data that 507 508 reflect society not only as it is, but also as we aspire for it to be, are critical for monitoring progress on 509 SDGs. Identifying and better measuring current and evolving gender norms across cultures, life stages, 510 and areas of society will enable more robust study of gender norms and health.

In addition to more gender-sensitive data, we require more research on gendered pathways to health, including integrating qualitative research to unpack the origins, preservation, and shifts in gender norms. The collection of harmonised and consistent data across contexts and over time (e.g., standards for measuring gender and gender norms across global surveys), combined with longitudinal methods, would allow for cross-national comparisons, assessments of cohort effects and causal impact, and monitoring of gender norm evolution. Methods that overlay different types of data, such as survey-based and geospatial data, could utilise external factors (e.g., climate change and economic shocks) to identify locations of

518 gender-based discrimination. Machine learning algorithms and natural language processing could offer 519 novel approaches to eliminating gender-related biases coded in large existing datasets. 520 Finally, we advocate for enhanced collaborations across the humanities and social and health sciences to 521 provide conceptual bridges for effective data use around an evidence-based research agenda. 522 Representation from domain experts and gender scholars, survey designers and analysts, and community 523 partners and policy makers will allow for data systems that enable studying health at the intersection of 524 gender and other social determinants (e.g., race, religion, and social class). Identifying mechanisms for safely sharing and analysing survey datasets is critical for safeguarding privacy while enabling new 525 526 opportunities to study this intersectionality in global health research.

# 527 Conclusion

A variety of analytic tools applied to existing survey-based data across six case studies examined how restrictive gender norms can harm the health of women and men, boys and girls, across diverse settings and outcomes. We demonstrated how to construct creative gender norm proxies and conduct analyses using a variety of methods to gain novel insights into links between gender norms and health using available survey data. We also presented key limitations to advancing the field.

Four key findings emerged that have important implications for programmatic practice and policy. First, 533 534 as the case study on care-seeking for childhood illness in Ethiopia shows, gender norms may intersect 535 with other social determinants to impact health, sometimes in unexpected ways, deviating from what 536 practitioners and policy-makers might intuitively anticipate. Second, as evidence in Brazil and South 537 Africa suggests, early gender normative influences may affect health in different ways for boys and girls, 538 and differentially by family context. Third, as the Add Health data in the US and the VACS data in 539 Nigeria highlight, gender non-conformity and norm transgression may be harmful to health, particularly 540 when challenging power relations and triggering negative sanctions. Finally, as shown with proxy

measures across case studies, the impact of gender norms can be highly context-specific. Therefore, 541 542 generalisations around gender norms can be counterproductive, misleading, or even harmful. Ecological studies (e.g., with national indicators of gender inequality), while informative for hypothesis generation, 543 544 belie the complexity and importance of local factors that influence relationships between gender norms and health. A deep understanding of sociocultural contexts, aided by qualitative research, is required to 545 546 design effective prevention and mitigation strategies for socially-driven health inequities, and ongoing 547 monitoring must be in place to identify, support and protect those who challenge restrictive gender norms 548 and existing gender-based power differentials. Public health programs and policies that are locally 549 relevant while globally active are central to achieving both gender equality and health. Progress can be 550 accelerated through improved qualitative and quantitative data collection, analysis, and interpretation that 551 accounts for the pervasive role of gender norms in shaping human health and well-being.

## 553 Author Contributions

- AW, BC and VM worked closely with analysts and data owners to conceive, plan, and interpret results
  from the case studies. They framed, drafted, and revised the manuscript.
- 556 GLD was the Principal Investigator of *The Lancet* Series on Gender and Health project and implemented

the multiple data contributor/partnership for the case studies. He also worked closely with the analysts

and data owners to conceive, plan, and interpret results from the case studies, as well as providing critical

- input on framing, review and edits to the manuscript.
- 560 The following authors worked on one or more case studies, including data analyses and writing methods

and results (e.g., for appendices), contributed to the interpretation of the results for case studies, as well as

562 a critical review of the manuscript: for case study 1: PL (primary) with AJDB, IMG, EH, and SA, and the

563 support of working group members in Pelotas, Brazil; case study 2: SA (primary) with LR and SAN; case

study 3: SA (primary) with CGV and RB; case study 4: BD (primary) with JN, HB, and SA; case study 5:

565 IMG (primary) with EH and PL; case study 6: IS and LS (primary) with EH and IMG.

- Additional input for case studies received from TN, NH, SC, and KM, as well as review and edits to themanuscript.
- 568 DB performed literature searches for individual case studies and the overall paper and contributed to the 569 writing and review of the manuscript.
- RG performed the analyses for the survey question translation example, prepared the data and created theword clouds for the DHS modules, and contributed to the methods documentation.
- 572 MC provided critical input on framing, review and edits to the manuscript.
- 573

# 574 **Declaration of Interests**

- 575 The authors declare they have no conflicts of interest. The views expressed are those of the authors and
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- 577 corresponding author, AMW states that she had full access to all data and final responsibility to submit
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## 585 <sup>a</sup>Working Group

- 586 Kathleen M. Harris, PhD, University of North Carolina at Chapel Hill
- 587 Carolina Coll, PhD, Federal University of Pelotas, Brazil
- 588 Janaina Calu Costa, MS, Federal University of Pelotas, Brazil
- 589 Leonardo Ferreira, MS, Federal University of Pelotas, Brazil
- 590 Franciele Hellwig, Federal University of Pelotas, Brazil
- 591 Eran Bendavid, MD, Stanford University
- 592 Marcella Alsan, MD, PhD, Stanford University
- 593 Sarah Hawkes, MD, PhD, University College London
- 594 James Zou, PhD, Stanford University
- 595 Londa Schiebinger, PhD, Stanford University
- 596 Lori Heise, PhD, Johns Hopkins Bloomberg School of Public Health
- 597
- <sup>b</sup>Gender Equality, Norms and Health Steering Committee:
- 599 Gary Darmstadt, MD (Chair); Margaret Greene, PhD; Sarah Hawkes, PhD; Lori Heise, PhD; Sarah
- 600 Henry, MPH; Jody Heymann, PhD; Jeni Klugman, PhD; Ruth Levine, PhD; Anita Raj, PhD; Geeta Rao
- 601 Gupta, PhD

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# Tables, Figures & Panels

# Table 1: Overview of case study analyses

Case Study	Gendered pathways <sup>a</sup>	Data Source	Population	Gender norm proxy	Research Questions	Diagram <sup>b</sup>	Results: Norm- Health association
# 1 Differential care- seeking of ill children	Gender differences in access to care	DHS, Ethiopia, 2011	Children, 0-5 y, who were ill in prior 2 weeks (n=3,161 children in 544 villages)	Indicator of communities being in a hot spot (compared to national average) for differential care-seeking for boys minus girls (proxy for gender preference)	What community factors best predict hot spots for differential care-seeking for boys vs. girls?		Differential care- seeking increased with increasing percentages of wealthy and Muslim households in communities. Differential care- seeking was greatest in communities that were both wealthy and Muslim- majority.
# 2 Community peer influence and eating disorders	Gendered health behaviours	Birth-to- 20 Cohort, Soweto- Johannes burg, 1994	Male and female youth, 13-22 y (n=3273)	Individual-level perception of peers' approval of their appearance	Do adolescent perceptions of peers' opinion impact eating disorders in early adulthood? Does this vary by sex and family wealth?		As perceived peer approval increased, girls' and boys' body satisfaction increased. For girls, increasing body satisfaction was associated with a decrease in eating disorders. Boys risk of dieting varied by household wealth.

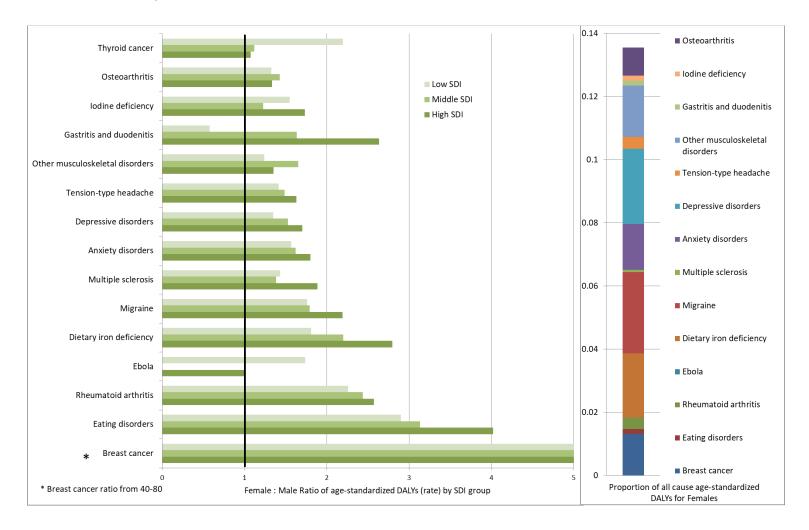
Case Study	Gendered pathways <sup>a</sup>	Data Source	Population	Gender norm proxy	Research Questions	Diagram <sup>b</sup>	Results: Norm- Health association
# 3 Parental influence and mental health	Gendered health behaviours	Pelotas Birth Cohort, Brazil, 1993	Male (n=1113) and female (n=1309) youth, 11-18 y, with normal BMI at 11 y	Individual-level perception of parent's opinion of their weight	Do early adolescent perceptions of parents' opinion impact mental health in later adolescence? Does this vary by sex?		Among girls, but not boys, body dissatisfaction (feeling fatter than ideal) was associated with worse mental health outcomes when they thought their parents' opinion was also that they were fatter than ideal.
# 4 School grade peer influence and health	Gendered health behaviours	Add Health, USA, 1994-95	Male and female youth, 11-18 y (n=20,745)	Median gender normativity score of same- sex school peers (see Appendix 4 for details)	Does individual non-conformity with school peers' gender normativity impact health? Does this vary by sex and direction of non- conformity (more 'masculine' or 'feminine' than same-sex peers)?		For both girls and boys, increasing gender non- conformity with same-sex peers in either direction (i.e.: more 'masculine' or more 'feminine') was associated with increased risk for multiple health and behaviour outcomes.

Case Study	Gendered pathways <sup>a</sup>	Data Source	Population	Gender norm proxy	Research Questions	Diagram <sup>b</sup>	Results: Norm- Health association
# 5 Premarital sex and HIV status in Zambia	Gendered power disparities	DHS, Zambia, 2007	Female (n=1669) and male (n=1285) youth, 15-24 y, who ever had sex	Cluster-level (urban/rural region) average of male and female adult (25-49 y) attitudes about young people engaging in premarital sex.	Does community- level non- conformity with norms for premarital sex impact adolescent risk for HIV acquisition? Does this vary by sex?		In regions were most adults disapprove of premarital sex (and yet have premarital sex), sexually-active girls, but not boys, are at higher risk of positive HIV status
# 6 Female labour force participatio n (FLFP) and IPV in Nigeria	Gendered power disparities	VACS, Nigeria, 2014	13-24 y, females, (n=1633)	Indicator of community with a low % of women working outside the home (FLFP- low)	Does individual transgression of gender norms related to FLFP in low-FLFP communities impact a young women's risk of experiencing IPV?		Women who work outside the home experience higher rates of IPV than women who don't, but only in communities where working outside the home is not the norm.

<sup>a</sup> The gendered pathways provide a conceptual link to the gender system and health framework presented in Heise and Greene.<sup>10</sup>

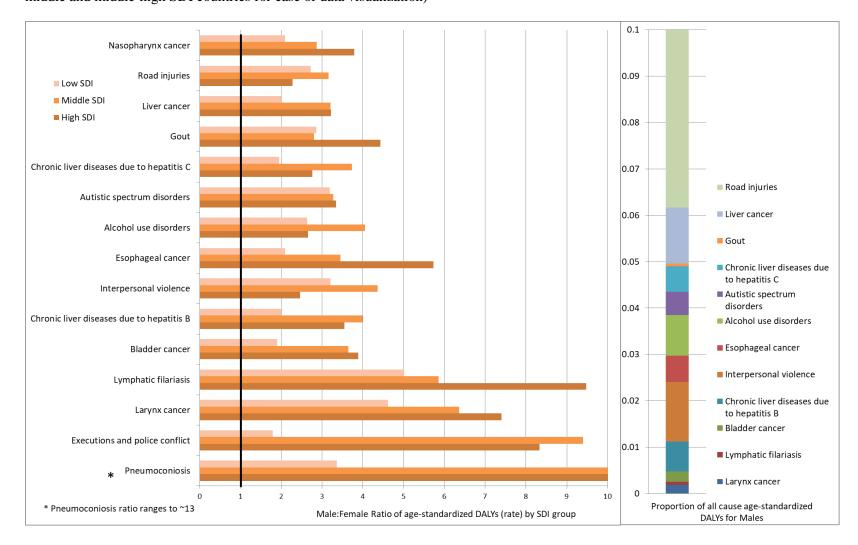
<sup>b</sup> The diagrams reflect the hypotheses we aimed to test and indicate a temporal causal direction. However, most of the data are cross-sectional and insufficient to determine causality.

**Figure 1a**: Female: Male ratio of age-standardized DALYs for low, middle, and high Sociodemographic Index (SDI)<sup>a</sup> groups (excluding lowmiddle and middle-high SDI countries for ease of data visualization)



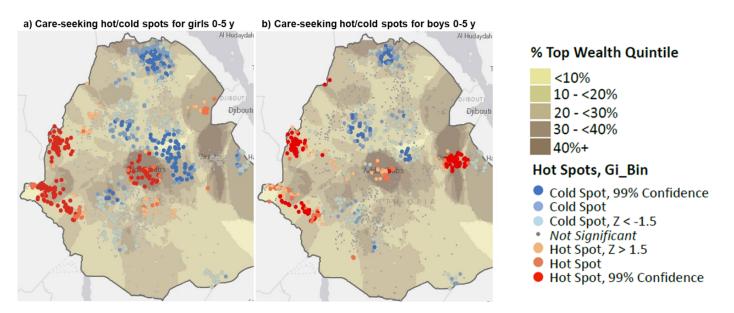
<sup>a</sup> SDI is comprised of: average income per person, educational attainment, and total fertility rate.

**Figure 1b**: Male: Female ratio of age-standardized DALYs for low, middle, and high Sociodemographic Index (SDI)<sup>a</sup> groups (excluding lowmiddle and middle-high SDI countries for ease of data visualization)



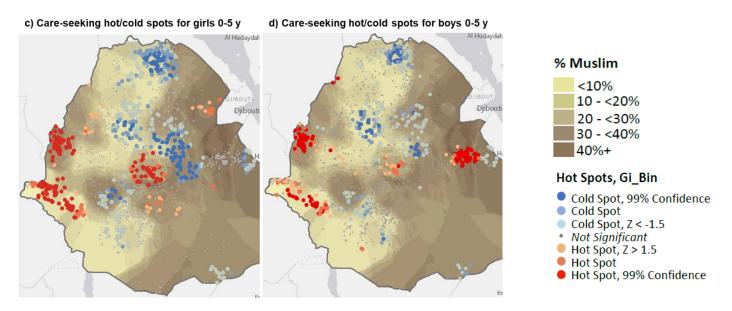
<sup>a</sup> SDI is comprised of: average income per person, educational attainment, and total fertility rate.

# Figure 2a and 2b: Care-seeking hot/cold spots for girls (a) and boys (b) in Ethiopia by %wealthy households

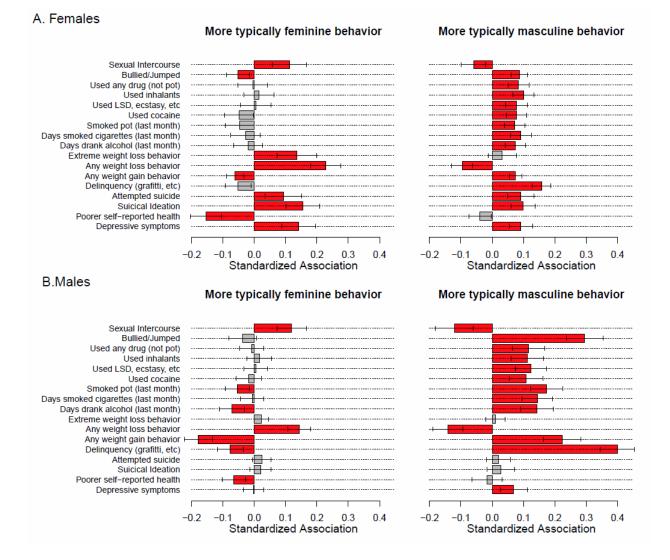


Hot spots (red) and cold spots (blue) are clusters of communities with significantly higher and lower careseeking than the national average, respectively, for girls (a) and boys (b) separately (see Appendix 1 for details). Maps are overlaid with the spatial distribution of the percentage in the communities of top wealth quintile households (for the country). The spatial distribution is displayed using kriging, a method for interpolating spatial data.<sup>92</sup>

# Figure 2c and 2d: Care-seeking hot/cold spots for girls (c) and boys (d) in Ethiopia by %Muslim households



Hot spots (red) and cold spots (blue) are clusters of communities with significantly higher and lower careseeking than the national average, respectively, for girls (c) and boys (d) separately (see Appendix 1 for details). Maps are overlaid with the spatial distribution of the percentage in the communities of Muslim households. The spatial distribution is displayed using kriging, a method for interpolating spatial data.<sup>92</sup> **Figure 3**: Estimated effects of positive and negative differences between an individual's estimated gender normativity and the median normativity of same-sex peers on health outcomes and health-related behaviours among US students, by sex.

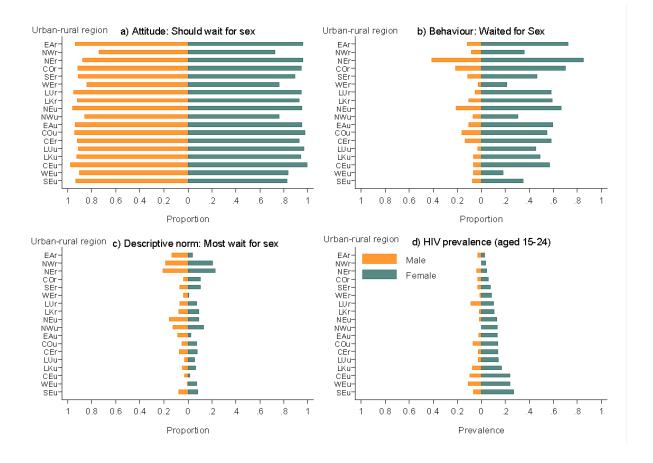


The exposure of interest was gender norms non-conformity, or the difference between an individual's estimated gender normativity and the median of their same-sex school peers. Regressions are sexstratified piecewise linear regressions (knot at zero) with separate effect estimates for more typically feminine and more typically masculine behaviours compared to the median of their school, controlling for an individual's own gender normativity, birth year, race, and school fixed effects. Effect estimates are

#### 43

standardised so that the magnitudes can be compared across outcomes. For example, a 1 SD increase in the difference (or non-conformity) measure is associated with a 0.399 SD increase in delinquent behaviour among boys. Error bars represent 95% confidence intervals. Bars are coloured red if they are significant at the 0.01 (0.05/5) level for an appropriate Bonferroni correction based on a parallel analysis of the outcomes in the full sample, suggesting that there are 5 components.

**Figure 4:** Sex differentials in the proportion of adult (men and women, aged 25-49 years) for a) attitudes, b) behaviours, c) descriptive norms towards premarital sex, and d) HIV prevalence among youth (aged 15-24 years) by urban-rural regions<sup>a</sup> in Zambia in 2007<sup>b</sup>



<sup>a</sup>Regional codes: Central "CE", Copperbelt "CO", Eastern "EA", Luapula "LU", Lusaka "LK", Northern "NE", Northwestern "NW", Southern "SE", Western "WE". The subscripts "u" and "r" stand for urban or rural region, respectively.

<sup>b</sup>Authors' estimates with information from 2007 ZDHS.

Aggregated responses were sex-stratified: men's responses about men's attitudes/behaviours and women's responses about women's attitudes/behaviours.

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Case Study	Gendered	Data	Population	Gender norm	Research	Diagram <sup>b</sup>	Results: Norm-
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# 4 School grade peer influence and health	Gendered health behaviours	Add Health, USA, 1994-95	Male and female youth, 11-18 y (n=20,745)	Median gender normativity score of same- sex school peers (see Appendix 4 for details)	Does individual non-conformity with school peers' gender normativity impact health? Does this vary by sex and direction of non- conformity (more 'masculine' or 'feminine' than same-sex peers)?	Sex	School median minus individual gender normativity score Multiple health- related outcomes	For both girls and boys, increasing gender non- conformity with same-sex peers in either direction (i.e.: more 'masculine' or more 'feminine') was associated with increased risk for multiple health and behaviour outcomes.

Case Study	Gendered	Data	Population	Gender norm	Research	Diagram <sup>b</sup>	Results: Norm-
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# 6 Female labour force participatio n (FLFP) and IPV in Nigeria	Gendered power disparities	VACS, Nigeria, 2014	13-24 y, females, (n=1633)	Indicator of community with a low % of women working outside the home (FLFP- low)	Does individual transgression of gender norms related to FLFP in low-FLFP communities impact a young women's risk of experiencing IPV?	Work outside home       FLFP- low       Intimate Partner       Violence	Women who work outside the home experience higher rates of IPV than women who don't, but only in communities where working outside the home is not the norm.

<sup>a</sup> The gendered pathways provide a conceptual link to the gender system and health framework presented in Heise and Greene.<sup>10</sup>

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2	Paper 2 of <i>The Lancet</i> Series on Gen	nder Equality, Norms and Health
3	<del>How <u>gG</u>ender norms <u>shape and</u> healt</del>	h: insights from global survey data
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## 8 Authors:

- 9 Ann Weber, PhD<sup>1</sup>; Beniamino Cislaghi, PhD<sup>2</sup>; Valerie Meausoone, BS<sup>3</sup>; Safa Abdalla, MD<sup>1</sup>; Iván Mejía-
- 10 Guevara, PhD<sup>3</sup>; Pooja Loftus, MS<sup>3</sup>; Emma Hallgren, PhD<sup>3</sup>; Ilana Seff, MPH<sup>4</sup>; Lindsay Stark, DrPh<sup>5</sup>;
- 11 Devika Bhushan, MD<sup>4</sup>; Cesar Gomes Victora, MD, PhD<sup>6</sup>; Romina Buffarini, PhD<sup>6</sup>; Aluísio J. D. Barros,
- 12 MD, PhD<sup>6</sup>; Ben Domingue, PhD<sup>7</sup>; <u>Devika Bhushan, MD<sup>1</sup></u>; Ribhav Gupta, PhD<sup>1</sup>; Jason M. Nagata, MD<sup>8</sup>;
- 13 Holly B. Shakya, PhD<sup>9</sup>; Linda Richter, PhD<sup>10</sup>; Shane A Norris, PhD<sup>10</sup>; Thoai Ngo, PhD<sup>11</sup>; Sophia Chae,
- 14 PhD<sup>11</sup>; Nicole Haberland, MPH<sup>11</sup>; Katharine McCarthy, MPH<sup>11</sup>; Mark Cullen, MD<sup>3</sup>; Gary L. Darmstadt,
- 15 MD<sup>1,3</sup>; for the Gender Equality, Norms and Health Steering Committee\*

16		
17	1	Stanford University
18		Department of Pediatrics, Stanford University School of Medicine
19		1265 Welch Road, Stanford, CA 94305 USA
20		
21	2	London School of Hygiene & Tropical Medicine
22		15-17 Tavistock Place
23		London WC1H 9SH
24		United Kingdom
25		
26	3	Center for Population Health Sciences
27		Stanford University School of Medicine
28		1070 Arastradero Rd, Palo Alto, CA 94304 USA
29		
30	4	Columbia University
31		Department of Population and Family Health
32		Mailman School of Public Health
33		722 West 168th St. NY, NY 10032 USA
34		
35	5	Brown School at Washington University in St. Louis
36		One Brookings Drive
37		St. Louis, MO 63130
38		
39	6	Federal University of Pelotas, Brazil
40		International Center for Equity in Health
41		Rua Deodoro, 1120
42		96020-220 Pelotas, Rs, Brazil
43		
44	7	Stanford University
45		Graduate School of Education
46		485 Lasuen Mall, Stanford, CA 94305 USA
47		
48	8	University of California San Francisco

## Lancet Series on Gender Equality, Norms and Health

49		Department of Pediatrics				
50		3333 California Street				
51		San Francisco CA 94143				
52						
53	9	University of California San Diego				
54		Department of Medicine, Center on Gender Equity and Health (GEH)				
55		9500 Gilman Drive				
56		La Jolla, CA 92093 USA				
57						
58	10	University of Witwatersrand				
59		Wits School of Public Health				
60		Private Bag 3				
61		Wits 2050				
62		Johannesburg, South Africa				
63		Johumiesburg, South Ameu				
64	11	Population Council				
65	11	1				
		One Dag Hammarskjold Plaza				
66		New York, NY 10017 USA				
67						
68	* Men	bers listed at the end of the manuscript				
69						
70	<b>Corresponding Author:</b> Ann Weber, PhD <sup>1</sup>					
71	Email	address: annweber@stanford.edu				

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### 80 Disclaimer

- 81 The views and opinions expressed in this paper are those of the authors and do not reflect the official
- 82 position of any of the organizations for which the authors work.

Paper 2

# Paper 2

## 83 Abstract

84 Despite global commitments to achieving gender equality and improving health and well-being for all, 85 quantitative data and methods to precisely estimate the effect of gender norms on health inequities are 86 under-developed. Nonetheless, existing global, national, and sub-national data provide key opportunities 87 for testing associations between gender norms and health. Using innovative approaches to analysing 88 proxies for gender norms, we generated evidence that gender norms impact the health of women and men 89 across life stages, health sectors, and world regions. Six case studies demonstrated that: 1) gender norms 90 are complex and may intersect with other social factors to impact health over the life course; 2) early 91 gender-normative influences by parents and peers may have multiple and differing health consequences for girls and boys; 3) non-conformity with, and transgression of, gender norms may be harmful to health, 92 93 in particular when they trigger negative sanctions; and 4) the impact of gender norms on health can be 94 context-specific, demanding care when designing effective gender-transformative health policies and programs. Limitations of survey-based data are described that resulted in missed opportunities for 95 96 exploring certain populations and domains. Recommendations for optimising and advancing research on the health impacts of gender norms are made. 97

98

99	Key N	lessages
100	1.	Existing survey-based data can be harnessed to generate new evidence of the pervasive influence
101		of gender norms on the health and well-being of girls, boys, women, and men across a range of
102		health-related outcomes and the life course in high, middle, and low-income countries. While
103		these data may be inadequate for making causal claims of the impact of specific gender norms on
104		health, the data were sufficient to expose important gendered pathways to health and well-being.
105		Additional opportunities remain to build on this evidence and generate new hypotheses with
106		survey-based data.
107	2.	By applying diverse analytical methods to different types of proxy measures for gender norms,
108		we demonstrated that:
109		a. Gender norms are complex and may intersect with other social factors to impact health
110		over the life course;
111		b. Gender-normative influences by parents and peers start early, and may have multiple
112		short- and long-term health consequences that differ for girls and boys;
113		c. Non-conformity and transgression of gender norms can be harmful to health, in particular
114		when they trigger negative sanctions; and
115		d. Gender norms are often context-specific, demanding a deeper understanding to design
116		effective gender-transformative policies and programmes.
117	3.	Existing survey-based data can introduce or perpetuate bias when used for studying the impact of
118		gender norms on health:
119		a. Reliance on sex-disaggregated data can result in misclassification of gender and ignores
120		trans-gender and non-binary experiences.
121		b. Datasets include rich gender-related attitude data or health-related data, but rarely both;
122		c. Data are limited or non-existent for who enforces norms, how they are enforced, or what
123		sanctions transgressors of norms may face.

Paper 2

## Lancet Series on Gender Equality, Norms and Health

124	d. Global datasets are generally not powered to study how gender norms intersect with
125	strata of other social determinants of health (e.g., wealth, religion, and ethnicity) and may
126	be missing data for entire demographic groups (e.g., boys and men, children 6-14 years,
127	women over 49 years, gender minorities) or world regions.
128	e. Questions are often unbalanced by sex of the respondent (e.g., only women are asked
129	about child health and care) and phrasing of questions frequently revealed underlying
130	gender biases in research.
131	4. Future development of quantitative proxy measures for gender norms would benefit from mixed
132	methods that utilise qualitative research to unpack the origins, preservation, and shifts in gender
133	norms and their links with health outcomes.
134	5. Going forward, data on all facets of gender, including data for gender minorities, are necessary in
135	future surveys with the above limitations addressed. To achieve these goals, collaborations are
136	needed at multiple levels:
137	a. Across disciplines to provide a conceptual bridge for effective use of data that aligns
138	around an evidence-based research agenda;
139	b. Between domain experts and gender scholars, survey designers and analysts, and
140	community partners and policy makers to generate data systems that will enable studying
141	health at the intersection of gender and other social determinants; and
142	c. Across global data collection organisations to set standards for measuring gender, gender
143	norms, and key demographic characteristics.

144

### 145 Introduction

146 Gender equality is a foundational human right, reflected in Sustainable Development Goal (SDG) 5, and a 147 necessary means to achieve other SDGs, including 3, to "ensure healthy lives and promote well-being for all."<sup>1,2</sup> Mixed-methods studies document the consequences of gender inequality for women's and men's 148 health.<sup>3-6</sup> However, quantitative data and methods are under-developed to precisely estimate these 149 150 consequences and study how gender norms may contribute to health inequities. Nonetheless, existing 151 survey-based data can be leveraged to gain important insights into pathways from gender norms to health. Gender norms are society's spoken and unspoken rules about acceptable ways of being what it means to 152 be, or be seen as, a girl or a boy, a woman or a man - how they should behave, look, and even think or 153 154 feel. Gender norms are perpetuated and challenged in families, communities, schools, workplaces, institutions and the media.<sup>3,5,7–9</sup> These expectations start early and powerfully shape individuals' attitudes, 155 156 opportunities, experiences, and behaviours, with important health consequences throughout the life course.10 157

158 Quantifying the effect of gender inequalities on health is challenging, partly because differences related to 159 sex- (e.g., biological factors, including chromosomal, hormonal, and biomechanical) and gender (e.g., culturally-defined constructs associated with being female or male) are intertwined.<sup>11-14</sup> Globally, women 160 161 outlive men by 2-4 years on average, but girls and women have a higher burden of some disabilities and morbidities.<sup>2,15-18</sup> These differences cannot be explained by sex alone, which we demonstrate with the 162 2016 Global Burden of Disease data,<sup>19</sup> extending work by Snow (2008).<sup>20</sup> We identified 15 causes of 163 164 disability-adjusted life years (DALYs) that most disproportionately affected females (Figure 1a) or males 165 (Figure 1b) globally. The >40:1 female-to-male DALY ratio from breast cancer is primarily sex-driven, 166 whereas the ~3:1 female-to-male DALY ratio from eating disorders reflects gender-related factors.<sup>3</sup> Higher road traffic injuries among males, explaining nearly 4% of their all-cause age-standardised 167 DALYs, also reflects male gender norms pertaining to driving, risk-taking, and alcohol use.<sup>21</sup> Sex/gender 168

169	also intersect with other social factors to impact DALY ratios. For example, given differential exposures
170	within gendered occupations, <sup>10</sup> women are more vulnerable to Ebola (from nursing) in low Socio-
171	Demographic Index (SDI) countries and men to pneumoconiosis (from mining) in high-SDI countries. <sup>11,22</sup>
172	From over a dozen case studies involving secondary analyses of existing global, national, and sub-
173	national datasets, we selected six to present here (Table 1) based on conceptual and practical
174	considerations (see Appendix 8 for the selection process). Conceptually, we aimed to study a range of
175	gendered pathways to health for which evidence exists, as framed by Heise, Greene et al. <sup>10</sup> Our analyses
176	were informed by feminist sociological theories of how gender norms contribute to shaping an unequal
177	gender system that can be harmful to both women, men, boys and girls. <sup>13,23–25</sup> We sought to include
178	pathways across the life course, around the world, and for diverse mental and physical health-related
179	outcomes, despite challenges in data quality and operationalising gender norms. Following the case
180	studies, we reflect on data opportunities and limitations, concluding with recommendations for optimising
181	research on health impacts of gender norms.

#### 182 Gendered pathways to health

We rely on sex-disaggregated data, recognising that sex and gender typically are conflated in surveys.<sup>26,27</sup> 183 184 Additionally, existing survey data do not systematically measure gender norms, so we created proxies by 185 aggregating individual-level data to the level of influential social or reference groups (e.g. peers). With the exception of studies 2 and 3, we aggregated gendered behaviours (what women/girls and men/boys 186 187 do) or attitudes (what people believe women or men should do) to the level of a community, community 188 cluster, or school. We then tested different pathways between gender norms and health. When data 189 allowed, we tested how gender interacted with other analytical categories (e.g. wealth or religion) in 190 shaping health-related social disadvantages. In case studies 1 and 5, we contrasted aggregated behaviours 191 or attitudes for males and females to ask: "what can these differences tell us about gender norms and their implications for health?" In case studies 5 and 6, we asked of between-group variation: "can we detect 192

193	differences in individual health by the strength of the gender-normative environment?" In case studies 4
194	and 6, we contrasted individual behaviour with that of groups to ask: "can non-conformity with, or
195	transgression of, the norm impact individual health-for example, can it result in harm?" Finally, in case
196	5, we contrasted group-level attitudes (what people should do) with the corresponding behaviour (what
197	people actually do) to ask: "can the discordance between them impact individual health?" Only in case
198	studies 2 and 3 do we use individual-level data for the norm, taking advantage of the normative questions:
199	"what do you think others think about you?" to explore gender differences and ask: "can a person's belief
200	in what others think of them affect their health?"
201	For each case study presented below, we link the case to a gendered pathway, including key literature;
202	describe the data, gender norm proxy measure, and analytic approach; and present key results and
203	insights. The case studies are arranged by life stage, from childhood, to adolescence, to early adulthood.
204	Case study 1. Care-seeking for childhood illness in Ethiopia
205	Restrictive gender norms can affect young children's health. For example, when girls are seen as a lesser
206	financial asset than boys, parents might invest less in girls' health and education,28-31 reflected in
207	differences in access to care for common childhood illnesses. <sup>32</sup> We used geospatial information available
208	in the Demographic and Health Survey (DHS) for Ethiopia in 2011 to examine differences in care-
209	seeking for girls and boys <5 years (n=3,161 children in 544 villages), which we hypothesised varied
210	within country by geographic and sociodemographic contexts. <sup>33,34</sup> Care-seeking was defined as medical
211	care sought from a certified medical practitioner for symptoms of pneumonia, fever, or diarrhoea
212	(available disease indicators) in the previous two weeks.
213	We aggregated individual care-seeking behaviour using geospatial hierarchical cluster analysis <sup>35</sup>
214	identifying spatially proximal clusters of communities with significantly higher (hot spots) and lower
215	(cold spots) care-seeking than the national average, separately for girls, boys, and the differential (boys

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minus girls) (Appendix 1). We created a gender norms proxy of gender preference in care-seeking by
assigning a yes/no indicator to communities in hot spots for differential care-seeking. We tested whether
key community-level characteristics (e.g., socio-economic status, dominant religion, and vaccination
rates) predicted this proxy measure.

Hot and cold spots were mapped separately for girls and boys (Figure 2). Sex-specific maps were overlaid 220 221 with spatial distributions of increasingly wealthy (panels 2a and 2b) and Muslim (panels 2c and 2d) 222 households in communities (see Appendix 1 for factor selection). Clusters of hot (or cold) spots for girls 223 and hot (or cold) spots for boys appear in the same geographic areas, except for a cluster of hot spots for 224 boys in the east, for which there is no equivalent for girls and where communities appear wealthier and 225 majority Muslim. In adjusted logistic regressions of sex-specific hot spots, we found that majority 226 Muslim (>50% of households) communities were associated with increased odds of communities being 227 care-seeking hot spots for boys but decreased odds for girls (Appendix Table A1.3) compared to 228 communities with <50% Muslim households (Appendix Table A1.4). Differential care-seeking hot spots 229 favouring boys had a marginally significant associationwas associated with mostly wealthy (>50% of 230 households) communities, but the association was not statistically significant (OR=2-56, 95% CI 0-92, 231 7 12; p value 0 071). On the other hand, differential care seeking hot spots and had a very large and 232 significant association with majority Muslim compared to minority Muslim communities 233 (OR=18·221-49, 95% CI 8·725, 5240·78; p-value<0.0001) (Appendix Table A1.34). Communities with 234 good vaccine coverage were also significantly associated with differential care seeking in preference of 235 boys (OR=2-15, 95% CS 1-17, 3-98; p value 0-014).- Differential care-seeking favouring boys was also 236 associated with mostly wealthy (>50% of households) communities, but the association was weaker and 237 not statistically significant (OR=2.67, 95% CI 0.95, 7.46; p-value 0.062). We found no clear evidence for interaction between wealth and religion on care-seeking hot spots. 238

These findings suggest that, unlike reports from elsewhere,<sup>36</sup> poverty did not drive lower care-seeking for girls in Ethiopia. Our findings, however, are consistent with reports of son preference in other

241	contexts <sub>2</sub> <sup>37,38</sup> -although the association with higher wealth was only marginally significant. Notably,
242	preferential care-seeking for boys in Ethiopia was very strongly associated with Muslim majority
243	communities. Evidence of care seeking in favour of boys in geographically focused Muslim majority
244	communities, regardless of socioeconomic status, suggests that equal access to care is insufficient in
245	achieving gender equality and highlights the importance of local contextual variation when addressing
246	gender norms in programming and policy.
247	Case studies 2 and 3. Adolescent weight control and mental health in South Africa and Brazil
248	Gender norms learned in the family <sup>7,39–41</sup> are later reinforced or challenged in the community, at school,
249	and by the media.9,10 Evidence suggests that internalisation of gender norms and their influence on health-
250	related behaviours might be especially powerful during adolescence, <sup>7–9,41–43</sup> when important biological
251	and psychological changes occur and many health-related behaviours are adopted.44,45 We examine
252	pathways through which normative pressures from parents and peers may contribute to adolescents'
253	gendered health behaviours and differential health outcomes. We present two complementary studies
254	together as they offered unique data on individuals' perceptions of norms around body image.
255	Case 2:
256	Known manifestations of weight concerns-for example, eating disorders-are highly gendered globally,
257	primarily affecting girls. <sup>3,46,47</sup> We used prospective cohort data from South Africa (Birth-to-20) <sup>48</sup> to
258	examine how early normative pressures from peers affected adolescents' later weight control behaviour,
259	and how this association differed by sex/gender and social context. The data are from mostly Black
260	children (N=3,273) born in Soweto-Johannesburg in the early 1990s, during a period of rapid
261	urbanisation <sup>48</sup> and simultaneous emergence of eating disorders among Black girls. <sup>49</sup>
262	The gender norms measure was adolescent boys' or girls' perceptions of peers' approval of their
263	appearance (measured on a scale of 0-never to 4-always). Adjusted linear regression models used sex-

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disaggregated data from ages 13, 17, and 22 years<sup>48</sup> to test associations between perception and eating disorders risk (measured by the Eating Attitudes Test with three subscales: dieting, bulimia, and oral control, where higher scores mean higher risk).<sup>50</sup> Body satisfaction score (regarding one's own weight and appearance, where a higher score means higher satisfaction) was an intermediary factor (Table 1 and Appendix 2).

- Among girls, increased perceived peer approval of their appearance between ages 13 and 17 was
- associated with increased body satisfaction, controlling for change in body mass index (BMI) over the
- 271 same period  $(\beta=3.095, 95\% \text{ CI } 2.199, 3.990; \text{ p-value}<0.0001)_{(\beta=2.567, 95\% \text{ CI } 1.405, 3.729; \text{ p-})}$
- 272  $\underline{value < 0.0001}$ . An increase in body satisfaction, in turn, was associated with decreased dieting risk score
- 273 by age 22  $(\beta = 0.061, 95\% \text{ CI} 0.096, -0.025; \text{ p-value} = 0.001) (\beta = -0.048, 95\% \text{ CI} 0.088, -0.008; \text{ p-})$
- 274 <u>value=0.019</u> (Appendix Table A2.3+). This translated into a statistically significant indirect association 275 between perceived peer approval and dieting ( $\beta$ = 0.171, 95% CI - 0.054, 0.286) ( $\beta$ =-0.124, 95% CI -
- 276 0.008, -0.240, p-value= 0.036), with similar trends for bulimia and attempts to control eating as measured
- by oral control scores (Appendix Figure A2.31), and across levels of household wealth. The direct
- association between perceived approval and eating disorder risk was small and not statistically significant.
- Boys' body satisfaction was also influenced by perceived peer opinion, but overall risk of eating disorders
  was not consistently influenced, with wealth having a statistically significant-moderating role (Appendix
  Figure A2.42). For boys in lower-wealth households, increased perception of peers' approval over time
  was associated with a marginally significant-reduction in dieting scores, with a marked reversal of this
  trend-association in higher-wealth households.
- These results demonstrate the importance of peer-mediated body dissatisfaction in dieting behaviours in girls, and intersectionality of normative expectations with wealth in boys, perhaps reflecting broader media influences in wealthier households. Findings suggest that interventions aiming to reduce

- adolescents' harmful weight control behaviour should engage peer networks in challenging unhealthynorms of body appearance.
- 289 Case 3:

What children believe to be their pParents' judgments of their weight, communicated through either
words and or actions (e.g. weight-based teasing and encouragement to control weight), are is associated
with body dissatisfaction,<sup>51</sup> and have has in turn been linked to adverse mental health outcomes. We
examine the influence of normative pressure from parents in Brazil, where urban culture places high value
on body appearance and is accepting of weight control behaviours.<sup>52</sup>

- The Brazil data are from a birth cohort (N=5,249) from the city of Pelotas in 1993.<sup>53</sup> Here, we test the role 295 of perceived parents' opinion of adolescent boys' and girls' weight at age 11 ('thin,' 'normal,' or 'fat') as 296 297 a moderator of the effect of body dissatisfaction at age 15 (feeling fatter or thinner than ideal) on mental health at age 18. Mental health was measured using the Self-Reporting Questionnaire (SRQ) screening 298 instrument (higher score indicates worse mental health).<sup>54</sup> We restricted the analytic sample to girls 299 300  $(n=\frac{1419}{309})$  and boys  $(n=\frac{1245}{1113})$  with normal BMI at age 11 so that our gender norms proxy – perceived parental opinion for boys or girls - was unlikely to reflect genuine parental health concerns 301 302 about overweight or underweight status (Appendix 3).
- We found that a higher percentage of normal-BMI girls than boys reported that their parents thought they were fat at age 11 (7.1% vs 5.86%), whereas more boys than girls reported that their parents thought they were thin (42.46% vs 36.97%). In sex-disaggregated regression, there was a marginally significantsome evidence for an interaction between perceived parent's opinion about weight at age 11 and body dissatisfaction at age 15 (p-value =0.052). Girls who thought they were fatter than ideal at age 15 had significantly poorer mental health at age 18 compared to those who were satisfied with their bodies, but only if, at age 11, they had reported that their parents thought they were fat ( $\beta$ =3.081, 95% CI 1.049,

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310	$5 \cdot 114$ ; p-value= $0 \cdot 003$ ). In contrast, for girls who believed their parents thought they were normal or thin
311	at age 11, feeling fatter than ideal at age 15 was not significantly associated with SRQ scores (Figure

312 A3.1). We did not observe a similar pattern among boys, suggesting that parents' opinions about body

image operate differently for girls' and boys' mental health. Thus, perceived parental opinion about

314 weight appears to be a determining factor in whether girls desiring thinness impacts their mental health.

315 The long-term contribution of normative parental influences to girls' later mental health in Brazil suggests

a more powerful influence than previously documented. These findings further emphasise the importance

of multi-level interventions across influential groups, such as parents and teachers, to temper socially-

318 driven health inequities.

#### 319 Case study 4. School peer influences on adolescent health in the USA

320 Pressure to conform to restrictive gender norms can have profound effects on adolescents' mental

health.<sup>55–57</sup> Negative social sanctions for transgressing norms are particularly salient during adolescence,

322 when adolescents seek identity through group membership.<sup>9,58</sup> Sanctions can include bullying or

323 ostracism by peers, and scolding or punishment by caretakers and/or teachers.<sup>7</sup> Here, we examine a

pathway to risky health behaviours and poor outcomes from non-conformity with gender norms inschools.

We use data from the U.S. National Longitudinal Study of Adolescent to Adult Health (Add Health),<sup>59</sup> a nationally representative sample of adolescents aged 11-18 years (1994-1995) (n=20,745), randomly selected from 80 paired middle and high schools. The dataset lacks gender-specific attitude questions, but is rich in behavioural and health-related data. Following the work of Fleming et.al.,<sup>60</sup> we created a gender normativity measure for each student using a set of factors found to discriminate between binary sex assignment in the survey (Appendix Table A4.1). For the gender norms proxy, sex-specific individual scores were aggregated to the median of same-sex school-level peers. We tested non-conformity to

333 dominant gender norms, expressed as the difference between an individual's estimated gender

anormativity and the median of their same-sex school peers, on health.

335 For each outcome, we conducted sex-stratified piecewise linear regressions to estimate separate effects of

336 more typically feminine and more typically masculine behaviours compared to the median of their school,

337 controlling for an individual's own gender normativity, birth year, race/ethnicity, and school fixed effects

(Appendix Table A4.6). Standardised regression coefficients are plotted for girls (Figure 3 panel a) and

boys (Figure 3 panel b) (also in Appendix Table A4.6).

340 Multiple health-related outcomes were associated with gender norm non-conformity. Boys and girls

341 reporting more typically 'masculine' behaviours than their same-sex peers were significantly more likely

to report risky behaviours, for example engaging in delinquent behaviour ( $\beta$ =0.158, 95% CI 0.015,

343 10.531; p-value <0.0001 for girls and  $\beta$ =0.399, 95% CI 0.028, 14.426; p-value <0.0001 for boys). On

the other hand, boys and girls reporting more typically 'feminine' behaviours, were more likely to report

weight loss behaviours ( $\beta$ =0.228, 95% CI 0.025, 9.265; p-value <0.0001 for girls and  $\beta$ =0.143, 95% CI

346 0.018, 7.774; p-value <0.0001 for boys). Girls were more likely to report increased depressive symptoms,

347 and suicidal ideation and attempts with increasing difference in either direction (more typically

348 'masculine' or 'feminine') from peers' median gender normativity score. Results were similar controlling

349 for household socioeconomic status (Appendix Table A4.7).

350 In summary, US students at the extremes of a gender-normative measure relative to other students in their

351 school may suffer multiple health-related effects. Negative sanctions from gender-norm dominant peers

may be one of the paths through which these associations operate. These results highlight the need to

address stigma and negative behavioural and mental health consequences associated with gender non-

354 conformity in schools.

#### 355 Case study 5. Premarital sex and HIV status in Zambia

- 356 Sub-Saharan Africa has the highest prevalence of human immunodeficiency virus (HIV) infection
- 357 globally, with new cases concentrated among adolescents<sup>44</sup> and disproportionately among girls.<sup>31,61</sup>

358 Gender norms and power imbalances play a key role in HIV acquisition,<sup>62–64</sup> as they impact, for instance,

- 359 condom access and use.<sup>62,63</sup> In the USA, embarrassment may prevent adolescents from receiving HIV
- 360 information, seeking contraception, using condoms, or accessing care.<sup>65,66</sup>
- 361 We examine a gendered pathway to HIV infection among youth in Zambia through community
- 362 expectations of appropriate sexual behaviour.<sup>67,68</sup> Where social norms against premarital sex exist, we
- 363 hypothesised that youth engaging in premarital sex would refrain from talking about it (with peers,
- 364 parents, or health professionals), reducing their ability to learn about and access HIV protection and
- increasing their acquisition risk. We also hypothesised a greater impact on girls than boys, partly because
- 366 of double standards<sup>10,69</sup> regarding appropriate sexual behaviour.
- We analysed data for young women (n=1669) and men (n=1285) (ages 15-24 years) from the 2007 DHS in Zambia, one of six countries with HIV status information and balanced questions about expectations around premarital sex (Appendix 5). The gender norms proxy was adult (ages 25-49) women and men's attitudes about premarital sex, obtained by aggregating sex-specific data to 18 regional and urban-rural strata. We tested the effect of adult non-compliance with norms for premarital sex, expressed as the discordance between adult attitudes and their behaviours (believing premarital sex to be wrong, but engaging in it), on HIV acquisition risk among youth (n=2954).
- Attitudes towards premarital sex did not vary substantially by sex or region in Zambia and were
  conservative: more than 80% of adults disapproved of premarital sex in most regions (Figure 4, panel a).
  In contrast, attitudes and behaviours were mostly discordant for men (most disapproved of premarital sex,
  but were assessed as having engaged in it, panel b), whereas women were more likely to be concordant

(most disapproved of premarital sex and refrained from it). Women's perceptions of what most other
women did (descriptive norms of high perceived prevalence of premarital sex) were discordant with their
own behaviours (lower prevalence of premarital sex, panel c). Panel d illustrates substantial heterogeneity
in HIV prevalence among youth (15-24 years) across Zambia (range 3-27%), disproportionately affecting
young women in urban regions.

383 At the regional level, an increasing proportion of adult women (25-49 years) who refrained from engaging in premarital sex was associated with reduced HIV prevalence among adolescent women (Pearson 384 385 correlation, rho=-0.43; p-value=0.077), while conservative attitudes were not. Importantly, discordance 386 among adult women was strongly correlated with adolescent women's HIV prevalence (rho=0.63; pvalue=0.005), explaining an additional 20% of the variation in adolescent women's HIV status over 387 388 behaviour alone. Furthermore, in sex-stratified Poisson regressions, we found that a 10% increase in 389 discordance among adult women or adult men was associated with a 2.427% (RR=1.02427, 95% CI 390 1.01011,; 1.03845; p-value=0.001) or 2.528% (RR=1.02528; 1.00505, 1.04656; p-value=0.015) 391 increase, respectively, in individual-level relative risk of HIV for adolescent women, controlling for 392 demographic and regional-level factors (Appendix 5). Risks were similar for adolescent men, but not 393 statistically significant. 394 These results illustrate that gender norm non-compliance can harm health, here the risk of HIV infection, with potentially fatal consequences. Given sexual double standards,<sup>10,69</sup> young women may especially 395 396 avoid seeking information, negotiating condom use, or seeking care to minimise risks of premarital sex,

as they may anticipate heightened disapproval, relative to men. Efforts to protect women from harmassociated with sexual activity should consider the normative environment in which adolescents' sexual

399 relationships take place.

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## 400 Case study 6. Women working outside the home and intimate partner violence in Nigeria

401	Gender norms intersect with power as adolescents move into early adulthood, <sup>5,7,8,43,70</sup> with unequal power
402	relations shaping and being shaped by gender inequalities and restrictive gender norms. <sup>10,13</sup> Those in
403	power benefit from, and seek to uphold, the existing social order by (consciously or unconsciously)
404	sanctioning non-compliers. <sup>71,72</sup> We examine a pathway through which gendered power disparities can
405	generate punishment (privately, at home) for women who violate the gender order by working outside the
406	home.

407	Evidence is mixed on whether female labour force participation (FLFP) increases <sup>73–76</sup> or reduces <sup>77,78</sup>
408	women's risk of intimate partner violence (IPV) in low gender-equality contexts, as IPV largely takes
409	place in private. FLFP can be protective for working women in countries where most women work, but
410	may be a risk factor for IPV in countries where most women do not. <sup>78,79</sup> We tested whether women who
411	work outside the home are at increased IPV risk relative to women who do not in two types of
412	communities in Nigeria: communities where few women work outside the home and communities where
413	FLFP is more normative.
414	
	We used data from the 2014 cluster sample design Violence against Children Survey (VACS) on
415	We used data from the 2014 cluster sample design Violence against Children Survey (VACS) on experience of IPV for female youth (n=1,633, ages 13-24) (Appendix 6). FLFP was based on self-
415	experience of IPV for female youth (n=1,633, ages 13-24) (Appendix 6). FLFP was based on self-
415 416	experience of IPV for female youth (n=1,633, ages 13-24) (Appendix 6). FLFP was based on self- reported work outside the home in the last week. We used intraclass correlation coefficients (ICC) to
415 416 417	experience of IPV for female youth (n=1,633, ages 13-24) (Appendix 6). FLFP was based on self- reported work outside the home in the last week. We used intraclass correlation coefficients (ICC) to detect that FLFP was clustered at the community level for girls (but not boys), with sufficient

- 420 gender norms proxy reflecting restrictive norms around women's mobility and opportunities to earn
- 421 income. Communities were then classified as either: 1) FLFP-high (assumed absence of restrictive norms
- 422 around FLFP), or 2) FLFP-low (assumed presence of norms sanctioning FLFP), based on a data-driven

423 cut-point of 28% of female respondents engaging in outside labour. Results were robust to different cut-424 points (data not shown).

425 There were no statistically significant differences in overall past-year exposure to sexual or physical IPV

426 for all women between the two community types (adjusted Wald tests [FLFP-high 7.3% (1.16); FLFP-

427 low 7.9% (1.50); p-value=0.733]). Using logistic regression controlled for age, marital status, and having

428 ever attended school, we found that women who worked in FLFP-low communities had significantly

429 higher odds of experiencing past-year IPV compared to non-working women [OR=2.381, 95% CI 1.292,

430 4·389; p-value=0·006]. However, in FLFP-high communities, women's IPV risk did not differ by

431 working status (Appendix Table A6.4).

432 The increased risk of IPV exposure for working women in FLFP-low communities suggests that some 433 male partners may use IPV to punish women for transgressing gender norms around work and the 434 perceived threat to their masculine role as breadwinner or power-holder. Although early transgressors of 435 restrictive norms may experience IPV as a consequence, they may also initiate long-term norm changes in 436 ways that improve employment opportunities and health for future generations.<sup>80</sup> We examine elsewhere the implications of gender norms for FLFP and women's health across geo-cultural contexts<sup>81</sup> and time.<sup>82</sup> 437 438 These findings have important implications for programs interventions navigating at the intersection of 439 gender equality and global health and development-for example, efforts to empower women through 440 employment or micro-finance of small businesses. When instituting such empowerment programmes, risks of harm to those encouraged to challenge restrictive gender norms must be anticipated, and harm 441

442 prevention and mitigation strategies implemented for effective reduction in gender inequalities and health

443 inequities.

#### 444 **Opportunities and challenges**

Our case studies provided practical opportunities to conduct gender norm-health research using existing survey data in new ways. For example, geospatial clustering in case 1 revealed regional variation in gender norms where sex intersected with religious identity to produce large inequities in healthcare seeking – a finding that individual-level analyses might miss. Clustering communities together overcame the challenge of small numbers (i.e., precision) when estimating group-level behaviours for communities with few sick children. This innovative approach to identifying gender inequities could be extended to other health-related indicators and countries.

The inclusion of a targeted question in case studies 2 and 3 about 'what adolescents thought that others thought' was useful for estimating the normative influence of peers and parents. Similarly targeted questions could be added with limited additional expense to future surveys. In case 4, the construction of a gender normativity index enabled the use of a dataset rich in measures of gender-related behaviours to study gender non-conformity and health. This novel approach could be generalised to datasets such as the Global school-based student health survey to expand this exploration in diverse contexts.

The measure of discordance between group-level attitudes and behaviours related to premarital sex in case 5 disrupted the common practice of using only attitudes or only behaviours as gender norm proxies. Contrasting other matched attitude-behaviour pairs in this way could generate additional new insights for gendered pathways to health, as shown here for the acquisition of HIV. Finally, case 6 demonstrates how ICC, which is traditionally used to estimate effective sample size in clustered study designs, can be reinterpreted to identify sufficient clustering of behaviours to study within-country variation in gender norms.

- 465 Nevertheless, we encountered multiple data limitations, not the least of which was relying on sex-
- 466 disaggregated data to study gender. In recent decades, global health leaders have increasingly
- 467 recommended incorporation of gender in data systems.<sup>12,83–89</sup> A comprehensive United Nations report on

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468 gender statistics recommended that data should systematically be sex-stratified; measure gender facets, 469 including norms and relations; reflect the diversity of women and men, capturing multi-dimensional aspects of their lives; and be free of gender stereotypes and biases.<sup>88</sup> While these guidelines provide a 470 471 useful framework for collecting gender-sensitive data, none of the 17 publicly available data sources we 472 explored (Appendix Table A8.17) were designed accordingly. The substitution of a binary sex indicator 473 for gender in sex-disaggregated data represents a missed opportunity to study gender and health along a 474 continuum of experiences and may have introduced important misclassification biases in our analyses. 475 Moreover, many datasets lacked the combination of gender-related attitudes or behaviours and health 476 outcomes required for understanding pathways between them. Even when both were available, data were 477 often missing for certain demographic groups or regions of the world. For example, DHS represent low-478 and middle-income countries and data were often missing for men (e.g., questions on child care), women 479 (e.g., questions on some sexual practices), or certain age groups (e.g., children 6-14 years and women 480 over 49), which can bias data interpretation. In some cases, the available proxy was perhaps too distal 481 from the health outcome of interest, or confounded by intermediate factors, to detect an association (e.g., between attitudes around IPV and childhood malnutrition).<sup>90</sup> 482 483 Additional data limitations included the inability to stratify samples by subgroups, both because of lack of 484 indicators (e.g., missing race/ethnicity information) and small samples. Attempts to disaggregate national survey data to sub-national levels or across socio-economic strata decreased statistical power, limiting our 485

486 capacity to study impacts of intersecting disadvantage with precision.

487 Notably, we encountered survey questions that belied gender-biased assumptions in their construction.
488 For example, we used the rich attitudinal data in the World Values Survey (WVS) to explore adult self489 rated health and gender norms around employment. However, the employment status question cannot
490 account for cross-cultural differences in the meaning of self-employment, and includes the gender-biased
491 term "housewife" as one of its English-version response categories. Forty-three of 46 surveys back-

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492 translated to English used a housewife-like phrase or word (21 of 24 languages and 33 of 36 countries) as 493 opposed to a gender-neutral description (Appendix Table A7.37). Such variation made the category 494 unreliable for cross-national comparisons and likely biased. Additionally, phrasing of attitudinal 495 questions, such as "Pre-school children suffer with a working mother," communicates the stereotype that 496 mother's role is at home as caregiver while father's employment-related absence is inconsequential for 497 young children. It is also unclear whether the question refers to a situation where both parents work, or 498 only the mother versus the father works. Furthermore, questions phrased with the terms "wife" or 499 "husband" suggest that the questions only apply to married couples in heterosexual unions.

Finally, women and men may answer survey questions based on gendered expectations of what they think they should say rather than on their lived experiences, particularly around such gender-charged topics as sexual behaviour or eating disorders. Potentially biased responses may have led us to reproduce current, potentially biased understandings of gendered behaviour and health risk, while missing important at-risk groups.

Combined, these data limitations hindered our exploration of how, and by whom, norms are enforced and
the differential impacts of norm violations across the life course and world regions. Heise, Greene et al.
demonstrated argued that gender "biases can be manifested and reinforced by research methodologies".<sup>10</sup>
In this paper, wWhile publicly available survey data provided many opportunities for testing hypotheses
about gender norms and health, care is required to avoid introducing or perpetuating bias when
constructing and using gender norms proxies from these data.

#### 511 Research agenda

512 In future research, we join many others in advocating for collecting survey-based data on all facets of 513 gender, including data for gender minorities.<sup>12,83–89</sup> We also advocate for balanced survey data in which 514 men and women are equally represented across age groups and asked the same unbiased attitudinal and

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515	behavioural questions, enabling gender-comparative research. Given constrained resources, we recognise
516	that choices must be made in designing surveys, but each confers trade-offs that should be analysed from
517	an intersectional lens encompassing gender. If certain domains are assumed unimportant (e.g., childcare
518	provided by men) and hence not measured, then we will not be able to assess or effect change. <sup>91</sup> Data that
519	reflect society not only as it is, but also as we aspire for it to be, are critical for monitoring progress on
520	SDGs. Identifying and better measuring current and evolving gender norms across cultures, life stages,
521	and areas of society will enable more robust study of gender norms and health.
522	In addition to more gender-sensitive data, we require more research on gendered pathways to health,
523	including integrating qualitative research to unpack the origins, preservation, and shifts in gender norms.
524	The collection of harmonised and consistent data across contexts and over time (e.g., standards for
525	measuring gender and gender norms across global surveys), combined with longitudinal methods, would
526	allow for cross-national comparisons, assessments of cohort effects and causal impact, and monitoring of
527	gender norm evolution. Methods that overlay different types of data, such as survey-based and geospatial
528	data, could utilise external factors (e.g., climate change and economic shocks) to identify locations of
529	gender-based discrimination. Machine learning algorithms and natural language processing could offer
530	novel approaches to eliminating gender-related biases coded in large existing datasets.
531	Finally, we advocate for enhanced collaborations across the humanities and social and health sciences to
532	provide conceptual bridges for effective data use around an evidence-based research agenda.
533	Representation from domain experts and gender scholars, survey designers and analysts, and community
534	partners and policy makers will allow for data systems that enable studying health at the intersection of
535	gender and other social determinants (e.g., race, religion, and social class). Identifying mechanisms for
536	safely sharing and analysing survey datasets is critical for safeguarding privacy while enabling new
537	opportunities to study this intersectionality in global health research.

#### 538 Conclusion

A variety of analytic tools applied to existing survey-based data across six case studies examined how restrictive gender norms can harm the health of women and men, boys and girls, across diverse settings and outcomes. We demonstrated how to construct creative gender norm proxies and conduct analyses using a variety of methods to gain novel insights into links between gender norms and health using

543 available survey data. We also presented key limitations to advancing the field.

544 Four key findings emerged that have important implications for programmatic practice and policy. First, 545 as in the case study on care-seeking for childhood illness in Ethiopia shows, gender norms may intersect 546 with other social determinants to impact health, sometimes in unexpected ways, deviating from what 547 practitioners and policy-makers might intuitively anticipate. Second, as evidence in Brazil and South 548 Africa suggests, early gender normative influences may affect health in different ways for boys and girls, 549 and differentially by family context. Third, as the Add Health data in the US and the VACS data in 550 Nigeria highlight, gender non-conformity and norm transgression may be harmful to health, particularly 551 when challenging power relations and triggering negative sanctions. Finally, as shown with proxy 552 measures across case studies, the impact of gender norms can be highly context-specific. Therefore, 553 generalisations around gender norms can be counterproductive, misleading, or even harmful. Ecological 554 studies (e.g., with national indicators of gender inequality), while informative for hypothesis generation, 555 belie the complexity and importance of local factors that influence relationships between gender norms 556 and health. A deep understanding of sociocultural contexts, aided by qualitative research, is required to 557 design effective prevention and mitigation strategies for socially-driven health inequities, and ongoing 558 monitoring must be in place to identify, support and protect those who challenge restrictive gender norms 559 and existing gender-based power differentials. Public health programs and policies that are locally 560 relevant while globally active are central to achieving both gender equality and health. Progress can be 561 accelerated through improved qualitative and quantitative data collection, analysis, and interpretation that 562 accounts for the pervasive role of gender norms in shaping human health and well-being.

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563

#### 564 Author Contributions

- 565 AW, BC and VM worked closely with analysts and data owners to conceive, plan, and interpret results
- 566 from the case studies. They framed, drafted, and revised the manuscript.
- 567 GLD was the Principal Investigator of *The Lancet* Series on Gender and Health project and implemented
- the multiple data contributor/partnership for the case studies. He also worked closely with the analysts
- 569 and data owners to conceive, plan, and interpret results from the case studies, as well as providing critical
- 570 input on framing, review and edits to the manuscript.
- 571 The following authors worked on one or more case studies, including data analyses and writing methods
- 572 and results (e.g., for appendices), contributed to the interpretation of the results for case studies, as well as
- a critical review of the manuscript: for case study 1: PL (primary) with AJDB, IMG, EH, and SA, and the
- 574 support of working group members in Pelotas, Brazil; case study 2: SA (primary) with LR and SAN; case
- 575 study 3: SA (primary) with CGV and RB; case study 4: BD (primary) with JN, HB, and SA; case study 5:
- 576 IMG (primary) with EH and PL; case study 6: IS and LS (primary) with EH and IMG.
- Additional input for case studies received from TN, NH, SC, and KM, as well as review and edits to themanuscript.
- 579 DB performed literature searches for individual case studies and the overall paper and contributed to the
- 580 writing and review of the manuscript.
- RG performed the analyses for the survey question translation example, prepared the data and created theword clouds for the DHS modules, and contributed to the methods documentation.
- 583 MC provided critical input on framing, review and edits to the manuscript.
- 584

### 585 Declaration of Interests

- 586 The authors declare they have no conflicts of interest. The views expressed are those of the authors and
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590

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#### 596 <sup>a</sup>Working Group

- 597 Kathleen M. Harris, PhD, University of North Carolina at Chapel Hill
- 598 Carolina Coll, PhD, Federal University of Pelotas, Brazil
- 599 Janaina Calu Costa, MS, Federal University of Pelotas, Brazil
- 600 Leonardo Ferreira, MS, Federal University of Pelotas, Brazil
- 601 Franciele Hellwig, Federal University of Pelotas, Brazil
- 602 Eran Bendavid, MD, Stanford University
- 603 Marcella Alsan, MD, PhD, Stanford University
- 604 Elias Nosrati, University of Cambridge
- 605 Larry King, PhD, University of Massachusetts, Amherst
- 606 Sarah Hawkes, MD, PhD, University College London
- 607 James Zou, PhD, Stanford University
- 608 Londa Schiebinger, PhD, Stanford University
- 609 Lori Heise, PhD, Johns Hopkins Bloomberg School of Public Health
- 610
- <sup>b</sup>Gender Equality, Norms and Health Steering Committee:
- 612 Gary Darmstadt, MD (Chair); Margaret Greene, PhD; Sarah Hawkes, PhD; Lori Heise, PhD; Sarah
- 613 Henry, MPH; Jody Heymann, PhD; Jeni Klugman, PhD; Ruth Levine, PhD; Anita Raj, PhD; Geeta Rao
- 614 Gupta, PhD

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Tables, Figures & Panels

Table 1: Overview of case study analyses

Case Study	Gendered pathways <sup>a</sup>	Data Source	Population	Gender norm proxy	Research Questions	Diagram <sup>b</sup>	Results: Norm- Health association
# 1 Differential care- seeking of ill children	Gender differences in access to care	DHS, Ethiopia, 2011	Children, 0-5 y, who were ill in prior 2 weeks (n=3,161 children in 544 villages)	Indicator of communities being in a hot spot (compared to national average) for differential care-seeking for boys minus girls (proxy for gender preference)	What community factors best predict hot spots for differential care-seeking for boys vs. girls?		Differential care- seeking increased with increasing percentages of wealthy and Muslim households in communities. Differential care- seeking was greatest in communities that were both wealthy and Muslim- majority.
# 2 Community peer influence and eating disorders	Gendered health behaviours	Birth-to- 20 Cohort, Soweto- Johannes burg, 1994	Male and female youth, 13-22 y (n=3273)	Individual-level perception of peers' approval of their appearance	Do adolescent perceptions of peers' opinion impact eating disorders in early adulthood? Does this vary by sex and family wealth?		As perceived peer approval increased, girls' and boys' body satisfaction increased. For girls, increasing body satisfaction was associated with a decrease in eating disorders. Boys risk of dieting varied by household wealth.

Case Study	Gendered pathways <sup>a</sup>	Data Source	Population	Gender norm proxy	Research Questions	Diagram <sup>b</sup>	Results: Norm- Health association
# 3 Parental influence and mental health	Gendered health behaviours	Pelotas Birth Cohort, Brazil, 1993	Male (n= <u>12451113</u> ) and female (n= <u>14191309</u> ) youth, 11-18 y, with normal BMI at 11 y	Individual-level perception of parent's opinion of their weight	Do early adolescent perceptions of parents' opinion impact mental health in later adolescence? Does this vary by sex?		Among girls, but not boys, body dissatisfaction (feeling fatter than ideal) was associated with worse mental health outcomes when they thought their parents' opinion was also that they were fatter than ideal.
# 4 School grade peer influence and health	Gendered health behaviours	Add Health, USA, 1994-95	Male and female youth, 11-18 y (n=20,745)	Median gender normativity score of same- sex school peers (see Appendix 4 for details)	Does individual non-conformity with school peers' gender normativity impact health? Does this vary by sex and direction of non- conformity (more 'masculine' or 'feminine' than same-sex peers)?		For both girls and boys, increasing gender non- conformity with same-sex peers in either direction (i.e.: more 'masculine' or more 'feminine') was associated with increased risk for multiple health and behaviour outcomes.

Case Study	Gendered pathways <sup>a</sup>	Data Source	Population	Gender norm proxy	Research Questions	Diagram <sup>b</sup>	Results: Norm- Health association
# 5 Premarital sex and HIV status in Zambia	Gendered power disparities	DHS, Zambia, 2007	Female (n=1669) and male (n=1285) youth, 15-24 y, who ever had sex	Cluster-level (urban/rural region) average of male and female adult (25-49 y) attitudes about young people engaging in premarital sex.	Does community- level non- conformity with norms for premarital sex impact adolescent risk for HIV acquisition? Does this vary by sex?		In regions were most adults disapprove of premarital sex (and yet have premarital sex), sexually-active girls, but not boys, are at higher risk of positive HIV status
# 6 Female labour force participatio n (FLFP) and IPV in Nigeria	Gendered power disparities	VACS, Nigeria, 2014	13-24 y, females, (n=1633)	Indicator of community with a low % of women working outside the home (FLFP- low)	Does individual transgression of gender norms related to FLFP in low-FLFP communities impact a young women's risk of experiencing IPV?		Women who work outside the home experience higher rates of IPV than women who don't, but only in communities where working outside the home is not the norm.

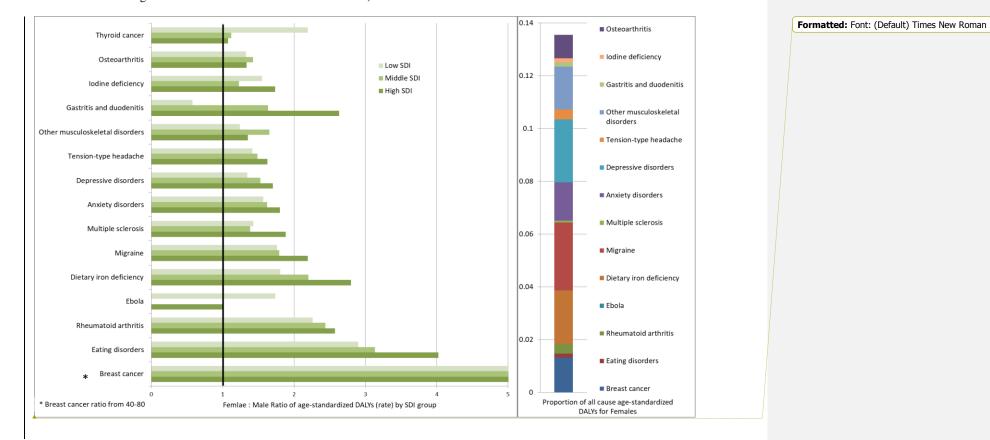
<sup>a</sup> The gendered pathways provide a conceptual link to the gender system and health framework presented in Heise and Greene.<sup>10</sup>

<sup>b</sup> The diagrams reflect the hypotheses we aimed to test and indicate a temporal causal direction. However, most of the data are cross-sectional and

insufficient to determine causality.

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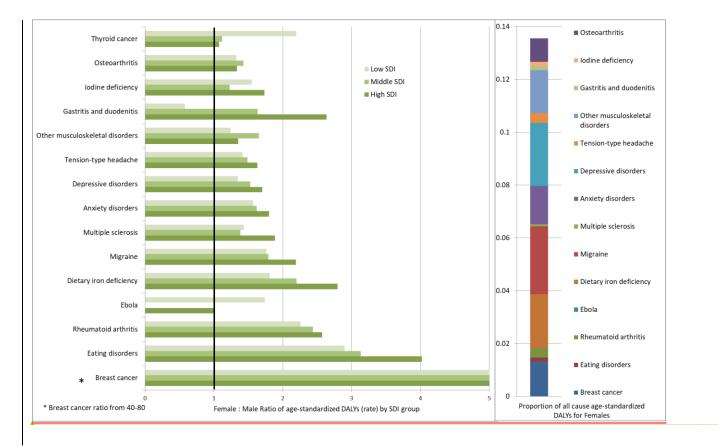
Figure 1a: Female: Male ratio of age-standardized DALYs for low, middle, and high Sociodemographic Index (SDI)<sup>a</sup> groups (excluding low-



middle and middle-high SDI countries for ease of data visualization)

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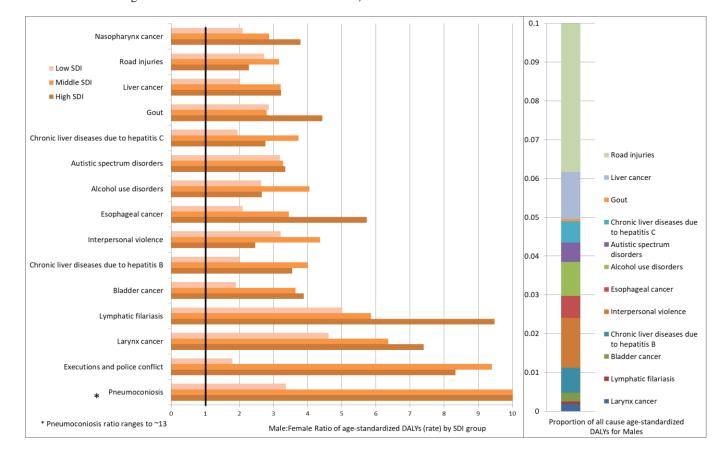


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<sup>a</sup> SDI is comprised of: average income per person, educational attainment, and total fertility rate.

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Figure 1b: Male: Female ratio of age-standardized DALYs for low, middle, and high Sociodemographic Index (SDI)<sup>a</sup> groups (excluding low-



middle and middle-high SDI countries for ease of data visualization)

<sup>a</sup> SDI is comprised of: average income per person, educational attainment, and total fertility rate.

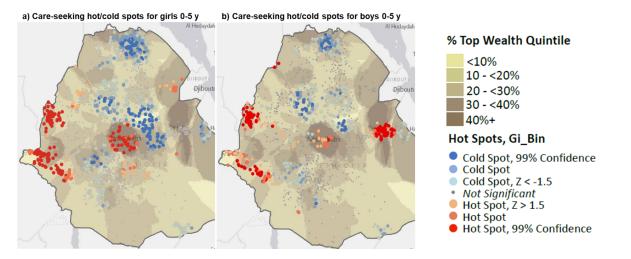


Figure 2a and 2b: Care-seeking hot/cold spots for girls (a) and boys (b) in Ethiopia by %wealthy households

Hot spots (red) and cold spots (blue) are clusters of communities with significantly higher and lower care-seeking than the national average, respectively, for girls (a) and boys (b) separately (see Appendix 1 for details). Maps are overlaid with the spatial distribution of the percentage in the communities of top wealth quintile households (for the country). The spatial distribution is displayed using kriging, a method for interpolating spatial data.<sup>92</sup>

Marginal estimates for the probability of a care-seeking hotspot are plotted at 0, 25, 50, 75, and 100% of top wealth quintile households, separately for girls (dark grey lines) and boys (light grey lines). Logistic regression models with robust standard errors clustered around nearest neighbour clusters (i.e., groups of villages in close proximity with similar z-scores) and urban status were used to predict hot spots by wealth, adjusted for % Muslim, ethnicity, parental education, and % of children vaccinated.

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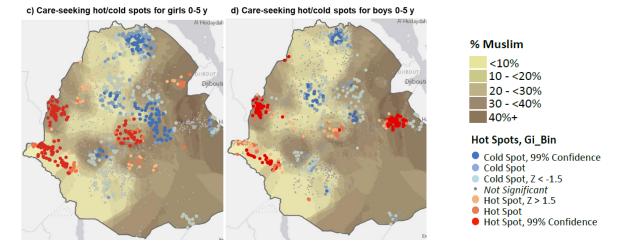


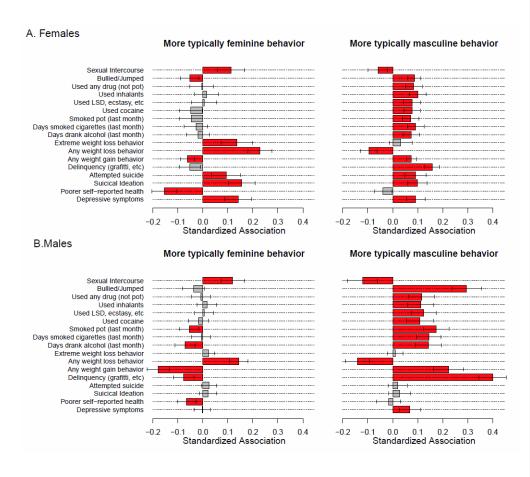
Figure 2c and 2d: Care-seeking hot/cold spots for girls (c) and boys (d) in Ethiopia by %Muslim households

Hot spots (red) and cold spots (blue) are clusters of communities with significantly higher and lower care-seeking than the national average, respectively, for girls (c) and boys (d) separately (see Appendix 1 for details). Maps are overlaid with the spatial distribution of the percentage in the communities of Muslim households. The spatial distribution is displayed using kriging, a method for interpolating spatial data.<sup>92</sup>

Marginal estimates for the probability of a care-seeking hotspot are plotted at 0, 25, 50, 75, and 100% of Muslim households, separately for girls (dark grey lines) and boys (light grey lines). Logistic regression models with robust standard errors clustered around nearest neighbour clusters (i.e., groups of villages in close proximity with similar z-scores) and urban status were used to predict hot spots by religion, adjusted for wealth, ethnicity, parental education, and % of children vaccinated.

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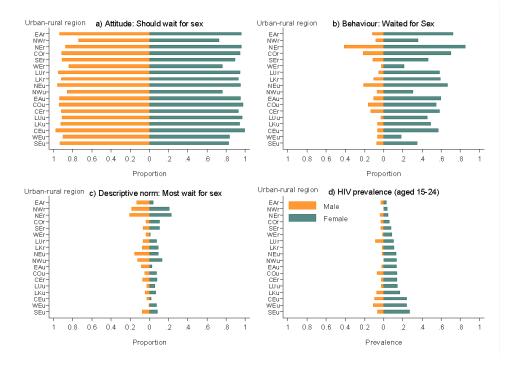
**Figure 3**: Estimated effects of positive and negative differences between an individual's estimated gender normativity and the median normativity of same-sex peers on health outcomes and health-related behaviours among US students, by sex.



The exposure of interest was gender norms non-conformity, or the difference between an individual's estimated gender normativity and the median of their same-sex school peers. Regressions are sexstratified piecewise linear regressions (knot at zero) with separate effect estimates for more typically feminine and more typically masculine behaviours compared to the median of their school, controlling for an individual's own gender normativity, birth year, race, and school fixed effects. Effect estimates are

standardised so that the magnitudes can be compared across outcomes. For example, a 1 SD increase in the difference (or non-conformity) measure is associated with a 0.399 SD increase in delinquent behaviour among boys. Error bars represent 95% confidence intervals. Bars are coloured red if they are significant at the 0.01 (0.05/5) level for an appropriate Bonferroni correction based on a parallel analysis of the outcomes in the full sample, suggesting that there are 5 components.

**Figure 4:** Sex differentials in the proportion of adult (men and women, aged 25-49 years) for a) attitudes, b) behaviours, c) descriptive norms towards premarital sex, and d) HIV prevalence among youth (aged 15-24 years) by urban-rural regions<sup>a</sup> in Zambia in 2007<sup>b</sup>



<sup>a</sup>Regional codes: Central "CE<sup>#</sup>", Copperbelt "CO", Eastern "EA", Luapula "LU", Lusaka "LK", Northern "NE", Northwestern "NW", Southern "SE", Western "WE". The subscripts "u" and "r" stand for urban or rural region, respectively.

<sup>b</sup>Authors' estimates with information from 2007 ZDHS.

Aggregated responses were sex-stratified: men's responses about men's attitudes/behaviours and women's responses about women's attitudes/behaviours.

Web Appendix - clean Click here to download Web Appendix: Lancet\_Paper\_2\_appendices\_17Mar19\_ALL-CLEAN.docx Web Appendix - track changes Click here to download Web Appendix: Lancet\_Paper\_2\_appendices\_17Mar19\_ALL-TRACKED.docx

## Responses to Re-Reviews - Paper 2 of The Lancet Series on Gender Equality, Norms and Health

We wish to thank reviewers 3 and 8 for their rapid turn-around and follow-up on our previous revision. Below are our responses to their subsequent comments.

## Reviewer # 3

Thank you for the chance to have another look at this interesting paper. The authors have addressed most of my comments and the paper is much improved. However, I still have a few suggestions for improvements:

Given recent discussions on p-values, I would recommend that the authors refrain from reporting their results as "marginally significant" (see examples and references below). Instead, they could reflect more carefully on the actual effect sizes and confidence intervals with a view to the quality of the analyzed data.

- Line 227: "Differential care-seeking hot spots favouring boys had a marginally significant association with mostly wealthy (>50% of households) communities (OR=2.56, 95% CI 0.92, 228 7.12; p-value 0.071)".
- Line 233: "Our findings, however, are consistent with reports of son preference in other contexts, although the association with higher wealth was only marginally significant".
- Line 273: "For boys in lower-wealth households, increased perception of peers' approval over time was associated with a marginally significant reduction in dieting scores, with a marked reversal of this trend in higher-wealth households."
- Line 296: "In sex-disaggregated regression, there was a marginally significant interaction between perceived parent's opinion about weight at age 11 and body dissatisfaction at age 15 (p-value =0.052)"

*Pritschet, L., Powell, D., Horne, Z. (2016). Marginally significant effects as evidence for hypotheses: Changing attitudes over four decades. Psychological Science.* 

Benjamin, D. J., Berger, J. O., Johannesson, M., Nosek, B. A., Wagenmakers, E. J., Berk, R., ... & Cesarini, D. (2018). Redefine statistical significance. Nature Human Behaviour, 2(1), 6.

Wasserstein, R. L., & Lazar, N. A. (2016). The ASA's statement on p-values: context, process, and purpose. The American Statistician, 70(2), 129-133.

**Response**: We have modified each of the referenced sentences (at lines 227, 233, 273, and 296) to remove "marginally significant." We also removed the term from the results section in Appendix 3.

### Minor issues:

The textboxes (Table 1) in the "Diagram" column are now empty - I'm not sure whether this is due to a glitch in my Adobe package?

**Response**: The text was removed when the Word version was compiled and converted to pdf in the submission process. However, this does not happen when simply saving the document as a pdf. We have added a pdf version of the table as a separate attachment.

*I still think the title is overselling the message - "How gender norms link to health" would better represent this contribution.* 

**Response**: We have modified the title to "Gender norms and health: insights from global survey data."

There is a typing error in the title for the X-axis in Figure 1a.

**Response**: We thank the reviewer for catching this error. It has been fixed.

The estimates presented on page 13 do not correspond with the results reported in Table A2.2 and Figure A.2.1 - I imagine that the authors have forgotten to update the estimates in the main text.

**Response**: We thank the reviewer for catching these inconsistencies. These have been fixed.

In line 232 in Appendix 2, "(table A2.2)" should be changed to "(table A2.3)".

**Response**: Thank you. We have fixed the error.

### Reviewer #8

This revised version of the manuscript on gender norms has improved following the many comments from multiple reviewers. The authors have done well to address them in a short amount of time. The authors have attempted to present a balanced approach by mentioning where results are "significant", "marginally significant" and "not significant", but this does not address the point previously raised. There is a growing movement away from over-reliance on p-values and use of the term "significant" altogether. For example see https://rdcu.be/bpZwD Effect sizes, confidence intervals and consistency of the direction of effect are more informative aspects to highlight.

**Response**: We thank the reviewer for acknowledging our effort to address the comments with a short turn around. We appreciate this additional opportunity to revisit some of the reviewer recommendations and the changes we made. Regarding the reliance on p-values, Reviewer 3 expressed a similar concern and requested that we remove the term "marginally significant" entirely, which we have done. We also reviewed our use of "significant" and "not significant" throughout. In a few sentences, we removed the reference to significance (if it was not important) or balanced it with a comment on the magnitude of the association.

The presentation of case studies 1 & 2 might be improved further with elaboration below. For the other case studies I offer only minor or no further suggestions.

### \*\*\*Case study 1

The analysis has changed from the original version of the manuscript, with covariates included in a different form to the previous version. There is some consistency with the previous version which also used similar cut-points to define the covariates. However there are still some issues with this case study explained below.

It is not clear to me if it was decided not to test any interactions, or whether the interaction was tested and not found to show something. What was the original statistical plan regarding testing for effect modification?

**Response**: The statistical plan for all case studies was to test, when feasible, how gender norms interact with other social factors to influence health. In this way, we aimed to test the theory of "intersectionality" in which one's social position is influenced by inter-related inequalities based on

social class, ethnicity, and gender, etc. (as described in Paper 1 in the series). In the Ethiopia case study, we tested how wealth and religion were related to the gender norms proxy of differential care-seeking. We saw a unique opportunity with this case study to test the interaction effect of these two factors on gender norms.

In the original version of the paper, we presented the interaction term for high/low % wealthy and high/low % Muslim communities. However, in response to the two statistical reviews we received about this finding, we revisited our original analyses and asked whether the finding was robust to different formulations (e.g., with the inclusion of the main effect terms, or the use of continuous vs. binary variables for the predictors). In continuous formulation of both factors, including main effects terms, the interaction term was significantly associated with differential CS hot spots. Because most communities are mostly rich or not, and mostly Muslim or not (i.e., bimodal), it is not clear that the interpretation of the parameter estimates based on the continuous variables is meaningful. However, an estimate of the interaction effect on differential CS hot spots could not be obtained in a model that included the main effects and interaction terms using all binary indicators. Low % wealthy and low % Muslim perfectly predicted not being a hot spot (no communities were hot spots for differential CS and low % wealthy and low % Muslim). We concluded that the previously reported interaction effect (without main terms) on differential care-seeking hot spots was likely driven by the large effect of religion (93 of the 107 hot spots are in Muslim majority communities). Therefore, we removed the finding from the main paper.

In this revision, we ran tests of interaction on CS hot spots for boys and for girls separately with main and interaction terms and followed the process in the recommended Knol (2012) paper to evaluate interaction on both an additive and multiplicative scale (see new Tables A1.5 and A1.6). We thought that if there were interactions in the sex-specific models, this would provide some evidence of an interaction for the differential CS. The tests of interaction were positive for boys and negative for girls on the additive scale, though neither test was significant, and both demonstrated negative interaction on the multiplicative scale and were also not significant. We have added a comment in the text that we tested for an interaction of percentage wealthy and Muslim households on careseeking, but that evidence for an interaction was not found.

I am generally suspicious of presented results where OR are so high as to whether the model is a good fit, were goodness of fit statistics looked at? Although I do note that the crude OR is also high (15.5 by my calculations).

**Response**: The reviewer is correct that the crude OR is 15.5 (we have added a new table A1.3 that allows the reader to calculate this easily). We were also surprised by the magnitude of the OR for Muslim majority communities. The association was insensitive to cut-point of the z-statistic (1 or 2, instead of 1.5) and the model explains about 31% of the variance in differential CS hot spots. However, ORs can over-estimate the RR, so we estimated the RR using the conditional means of being a hot spot given covariates from the adjusted logistic regression. The RR was on the order of 8 to 9, still a a very large effect estimate. We have added the limitation of ORs over-estimating RRs in the results section of the appendix. Also, we reverted back to the continuous forms originally used for Somali and Christian, as we do not discuss the coefficients on these factors and the continuous form explains more of the variation in the outcome. This change resulted in a reduction in the adjusted OR to 18.

## \*\*\*\*\*\*\*Case study 1 appendix

"The second step was to use linear regression models with the dichotomized spatially-weighted zscores as the" should this sentence say "logistic regression"? Response: The reviewer is correct. We have changed this sentence to say "logistic regression."

I still cannot get my head around what it means to have differential care seeking based on what is written here in the appendix. It might help to include a numeric example for one cluster or to use language more similar to your response to reviewers where it was much clearer.

**Response**: We used some of the language in the previous response to reviewers and expanded the description of the outcome in the appendix. We hope that the reviewer finds this description clear.

I find the rows in Table A1.2 for care-seeking % very confusing, how should these proportions be interpreted, and why are the sample sizes different to other variables? If a hot spot is defined as a *z*-statistic>1.5 I don't understand why the mean *z*-statistic for girls in hot spots is 0.08, and indeed why its mean is less than the non-hot spots?

**Response**: We thank the reviewer for raising these questions with respect to Table A1.2. Regarding the second question, the rows for boys and girls CS z-statistics were reversed and this has now been fixed. Regarding the first question, the care-seeking percentages are the average percentage of girls, boys, or combined boys and girls (total) under 5 in a community who were ill in the previous two weeks and who received medical care from a certified medical practitioner. The % care-seeking for boys minus girls is the average difference in percentages of boys and girls who were ill and received care in a community. The sample size, N, for these factors is lower than that for the hot spot sub-groups, because some communities did not have any ill girls or boys or both in the 2 weeks prior to the survey. For example, in 81 differential CS hot spot communities (out of 107), an average of 31% of girls under 5 who were ill received medical care. It is important to know that all communities received a z-statistic for being a hot spot or not, even if they had no ill children. This is because of the smoothing routine performed by the geospatial analysis that interpolates across geospatially proximal communities. We have added the above clarification to the appendix and the legends of the tables.

Table A1.1 Some numbers are in bold with no explanation, assume due to low p-value, but these p-values will not adjust for clustering, so are they valid?

**Response**: The bolded numbers were for correlations above 0.7, suggesting that those variables may be subject to variance inflation due to collinearity. We have removed the bold.

Table A1.2 statistical tests are mentioned in the text but not signposted in the text to the table, this might be added within the modelling section, although these tests are not adjusted for clustering but the later regression models are; are these p-values valid?

**Response**: The statistics in Table A1.1 are community level comparisons, but these communities are clustered into hot and cold spots with the geospatial analysis. We have repeated the statistical comparisons, adjusting for the geospatial clustering and updated the table and legend to reflect these results. We have also retained the continuous forms of all percentage variables in this table, only dichotomizing % wealthy and % Muslim in the regressions, given their largely bimodal distributions, for a more meaningful interpretation of the coefficients (as discussed above).

*In the footnote to table A1.3 "Omitted automatically by model due to collinearity" please add variable collinear with.* 

Response: This was another situation of perfect prediction (no hot spots for girls in high % Somali

villages). We resolved the problem when we reverted to the continuous form of the variable (as discussed above).

In the adjusted model, it would be better to centre the remaining continuous variables in the model. A better presentation than table A1.3 and A1.2 would include the N with/N without outcome in the same table as the OR, CI and p-values and include the reference level in the table. This would be a similar presentation to the previously mentioned article table 1 for A=0 https://www.ncbi.nlm.nih.gov/pubmed/22253321

**Response**: We have centred the continuous variables in the adjusted models, as the reviewer suggested. We also have created new tables based on the recommended article for presenting tests of interaction (see previous notes).

### \*\*\*\*\*\*Case study 2

I do agree that change in one variable being modelled against the change in the other is a correct thing to do. However, it would be useful to additionally adjust for the baseline covariate as the values change may take do still depend on where an individual started, so an ANCOVA analysis is still more powerful for a change outcome, than an unadjusted analysis.

**Response**: We agree that adjustment for the value of outcome at baseline is useful in the case when it is a suspected confounder, i.e., that it is independently associated with both the follow-up measurement of the outcome and the predictor. While it is possible that the baseline value of the outcome is linked with the change in the outcome variable between baseline and follow-up, we do not have reason to suspect that the baseline measure of the outcome variable is independently associated with the change in predictor variable between baseline and follow-up for reasons other than that it is correlated with the baseline value of the predictor, which is the association we are seeking to investigate in a longitudinal way. In this case, adjusting for the baseline measure of the outcome could lead to over-adjustment and attenuation of the associations. Also, inadvertently adjusting for the baseline value does not necessarily translate into unbiased results when there is measurement error and unmeasured confounders, as is likely in our case (see for example the article by Lepage B et al, Epidemiology. 26(1):122–129, JAN 2015:

(https://insights.ovid.com/pubmed?pmid=25401453). When we adjust for baseline values we cannot know if any different results are because we removed a potential confounding effect, introduced a source of bias, or over-adjusted. Nevertheless, we included a sensitivity analysis where we adjust for the baseline values, and the conclusions about indirect effects remain essentially the same (i.e. conclusions related to all outcomes in boys and all outcomes in girls remain the same except for oral control scores where the indirect effect is attenuated to the extent that it loses statistical significance). The effects for the dieting and bulimia scores outcomes among girls are slightly attenuated but are still statistically significant and in the same direction. We have included this finding in the results for Appendix 2 and added a corresponding figure A2.3.

The presented tables are somewhat different to the first version of the manuscript. Table A2.1 is confused by the additional of "n=331" and "n=277". The table is signposted as being the missing data for key variables, but those sample sizes are for the complete case data, should that text be omitted from this table?

**Response**: Yes, we have removed that text.

There is a big size difference between the full sample and the complete case analysis sample, what were the factors associated with missingness (worthwhile mentioning in the results section of the appendix for the reader to make judgements regarding potential selection bias), and are these

adjusted for? It is reassuring at least that the same message comes out from the previous version of the manuscript.

**Response**: The size difference between the complete case analysis and the full sample is due to missingness of several variables, including the outcome variables at age 22 follow-up. We have checked the factors associated with missingness of age 22 outcome variable and included a statement to the effect in the Appendix (results section). Those with complete data for the outcome variables were more likely to come from wealthier households. Household wealth was included in the models. Importantly, we tested the results with multiple imputation, reassuringly finding very similar results.

### \*\*\*case study 3

The revised presentation is clearer. Might consider adding a sentence to the results as for case study 2 with summary of factors associated with missingness.

**Response**: Thank you. Among the cohort subset used in the analysis (those who had normal BMI at age 11), there was no missingness in the outcome. Even covariates had very small percentage of missing values, with a maximum of 5% among girls and 6% among boys in BMI change between 11 and 15 years, as evident in table A3.1. We now note that in the results section of Appendix 3.

### \*\*case study 4

The revised presentation is clear.

Regarding table A4.5 where covariates are shown as (Y/N) I query if it useful to show the standard deviation of the proportion. Typically the number and % (to 1 decimal place) are presented for binary variables in a descriptive table (e.g. as in table A5.1).

**Response**: We have updated table A4.5 to match the presentation of Table A5.1.

Given the other case studies have used complete case analysis and presented tables with missing values, might it be appropriate to take a consistent approach across the manuscript and do the same for case study 4?

**Response**: For case study 4, our concern with performing a complete case analysis is the possibility of introducing bias by restricting the regressions to cases with complete outcome data that are not all related. However, with the exception of the two questions on sex, the sample sizes do not vary substantially. Therefore, as a sensitivity check, we performed a complete case analysis for all outcomes excluding the two questions related to sex. The magnitudes of all the effects remained comparable. Only a decreased risk of "smoking pot" for more feminine males changed significance (became non-significant). Therefore, we prefer to retain the maximum sample for each outcome.

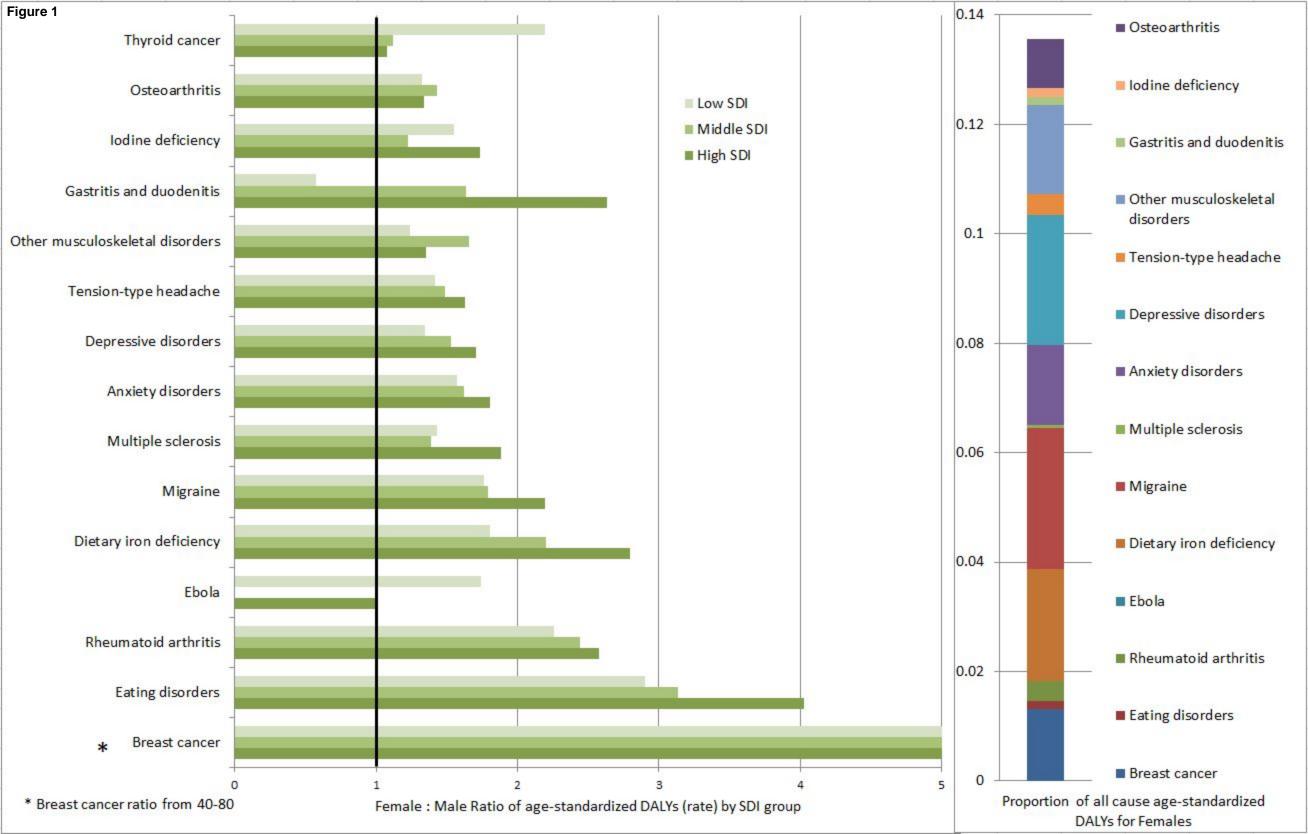
### \*\*\*case study 5

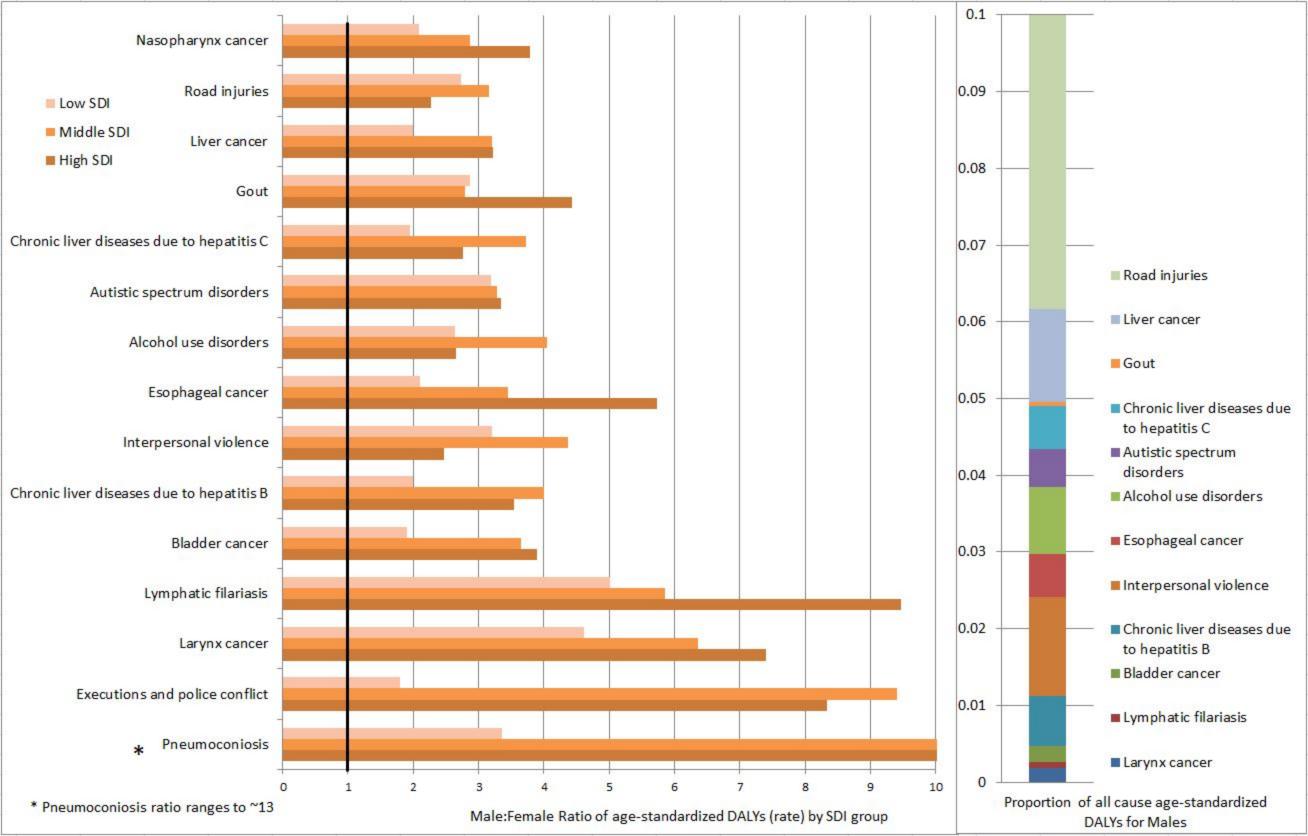
Should the continuous variables have RR which represent a 1 unit change, or would a higher unit change of 5, 10 or 20 be more appropriate given the closeness of the RR to 1? The units are not always clear in the table (A5.2 &A5.3), for example education is it in years or is it some other unit?

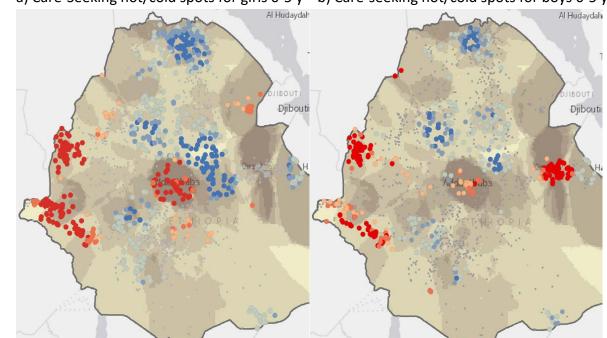
**Response**: We thank the reviewer for this suggestion. We have updated tables A5.2 and A5.3 to represent the RR for a 10% unit change. The text in the main paper and appendix have been updated to correspond to these values.

<u>\*\*case study 6</u> The revisions are clear.

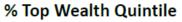
Response: Thank you!

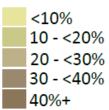






# a) Care-Seeking hot/cold spots for girls 0-5 y b) Care-seeking hot/cold spots for boys 0-5 y

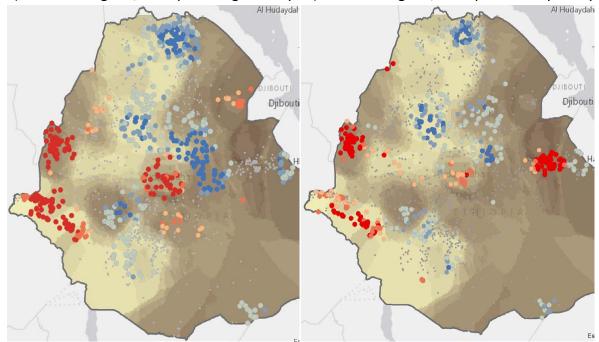




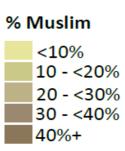
# Hot Spots, Gi\_Bin

- Cold Spot, 99% Confidence
   Cold Spot
- Cold Spot, Z < -1.5</li>
  Not Significant
  Hot Spot, Z > 1.5

- Hot Spot
  Hot Spot, 99% Confidence



a) Care-Seeking hot/cold spots for girls 0-5 y b) Care-seeking hot/cold spots for boys 0-5 y



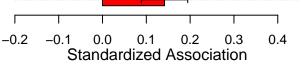
# Hot Spots, Gi\_Bin

- Cold Spot, 99% Confidence
   Cold Spot
- Cold Spot, Z < -1.5</p>
- Not Significant
   Hot Spot, Z > 1.5
- Hot Spot
- Hot Spot, 99% Confidence

# Figure 3 A. Females

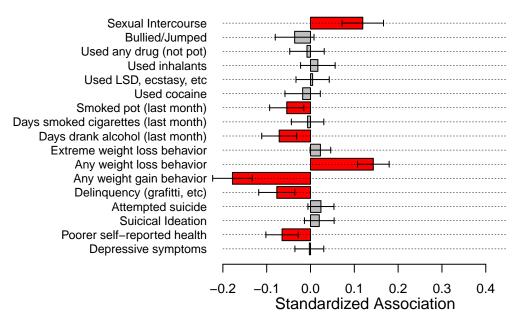
# More typically feminine behavior

### Sexual Intercourse Bullied/Jumped Used any drug (not pot) Used inhalants Used LSD, ecstasy, etc Used cocaine Smoked pot (last month) Days smoked cigarettes (last month) Days drank alcohol (last month) Extreme weight loss behavior Any weight loss behavior Any weight gain behavior Delinquency (grafitti, etc) Attempted suicide Suicical Ideation Poorer self-reported health Depressive symptoms

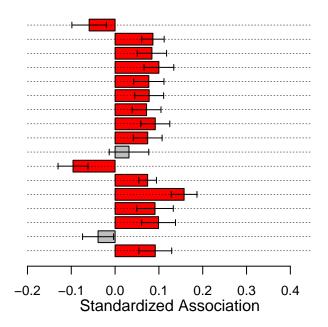


# **B.Males**

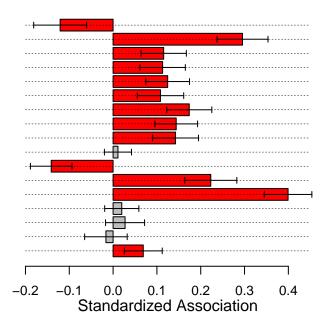
# More typically feminine behavior

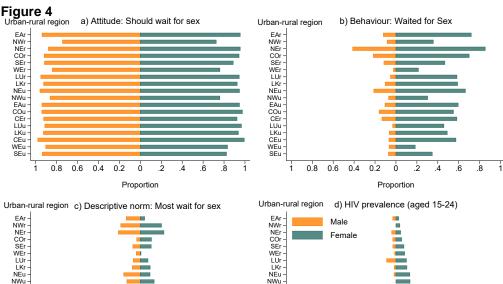


# More typically masculine behavior



# More typically masculine behavior





EAu ·

COu

LUu ·

LKu ·

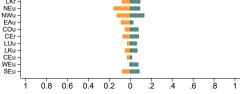
CEu -

WEu -

SEu ·

0.8 0.6 0.4 0.2

CEr -



Proportion



2

.6 .8 1

4