1	Allogeneic haemopoietic transplantation for acute
2	myeloid leukaemia in second complete remission: A
3	registry report by the Acute Leukaemia Working
4	Party of the EBMT
5	
6 7 8	Running title: Allograft in second remission acute myeloid leukaemia
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77 Competing interests statement

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99 Abstract

100	Allogeneic	haemopoietic ce	ell transplant	(allo-HCT)	may	/
				· · · · · · · · · · · · · · · · · · ·		

- 101 be curative in acute myeloid leukaemia (AML) in second
- 102 complete remission (CR2) but the impact of reduced
- 103 intensity (RIC) versus myeloablative conditioning (MAC)
- 104 is uncertain. The Acute Leukaemia Working Party of the
- 105 European Society for Blood and Bone Marrow
- 106 Transplantation Registry studied an AML CR2 cohort
- 107 characterised by age \geq 18y, first allo-HCT 2007-2016,
- 108 available cytogenetic profile at diagnosis, donors who
- 109 were matched family, volunteer unrelated with HLA
- 110 antigen match 10/10 or 9/10 or haplo-identical. The 1879
- 111 eligible patients included 1010 (54%) MAC allo-HCT
- 112 recipients.
- 113
- 114 In patients <50 years (y), two year outcomes for MAC vs 115 RIC allo-HCT were equivalent with leukaemia free 116 survival (LFS) 54% for each, overall survival (OS), 61 vs 117 62%, non-relapse mortality (NRM) 18 vs 15% and graft 118 versus host disease relapse free survival (GRFS) 38 vs 119 42%. In patients ≥50y, 2y outcomes for MAC vs RIC allo-120 HCT were equivalent for LFS 52 vs 49%, OS 58 vs 55% 121 and GRFS 42.4 vs 36%. However, NRM was significantly 122 inferior after MAC allo-HCT, 27 vs 19% (P=0.01) despite 123 worse cGVHD after RIC-allo (32 vs 39%). These data

- 124 support the need for ongoing prospective study of
- 125 conditioning intensity and GVHD mitigation in AML.
- 126
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- 130 transplant centres.
- 131

132 Introduction

- 133 Acute myeloid leukaemia (AML) is grouped into good,
- 134 intermediate and adverse genetic risk categories that
- 135 may be combined with response rates to induction
- therapy to predict survival outcomes (1–3). Integration of
- 137 relapse rates, procedural mortality of allogeneic
- 138 haemopoietic cell transplant (allo-HCT) and projected
- 139 success rates of salvage regimens permits an estimate of
- 140 the potential benefit and optimal timing of an allo-HCT as
- 141 consolidation therapy (4–6,1). Consequently, for patients
- 142 achieving first complete remission (CR1) it is
- 143 conventional to offer allo-HCT in adverse and
- 144 intermediate risk disease where the relapse risk is more
- 145 than 45% and there are clear benefits to transplantation
- 146 (7,8). Allo-HCT is deferred to CR2 for patients with good
- 147 risk disease despite a relapse risk of up to 35%, since

- 148 many will be cured without the hazards of allo-HCT (5).
- 149 However, management of an individual patient requires a
- 150 personalised amalgamation of risk of relapse, transplant
- 151 related mortality and access to a suitable donor
- 152 (1,6,9,10). Thus, patients with high HCT comorbidity
- 153 index scores and a mismatched volunteer donor may
- 154 wish to defer allo-HCT (11,12).
- 155
- 156 While it is often assumed that those patients who relapse
- 157 subsequent to a first CR may be duly salvaged and
- 158 offered allo-HCT in CR2, this does not square with reality
- 159 (13–16). Breems *et al* studied a cohort of 1540 patients
- 160 with newly diagnosed AML enrolled on clinical trials
- 161 between 1987 and 2001 and established that the duration
- 162 of CR1, age at relapse, cytogenetic risk factor at
- 163 diagnosis and a prior allo-HCT could be used to predict
- the likelihood of CR2 (4). Those patients who did achieve
- 165 CR2 were shown to have a survival benefit from allo-HCT
- 166 compared to alternative therapies. Subsequent studies
- 167 have largely confirmed these findings (Table 1) (17–21).
- 168
- 169 Since no other large series address the impact of
- 170 conditioning regimen intensity on the outcomes of HCT in
- 171 patients with AML CR2, we have analysed an eligible
- 172 cohort of patients for whom data had been deposited in

- 173 the registry of the European Society for Blood and
- 174 Marrow Transplantation (EBMT).
- 175
- 176 Methods
- 177 Study design and data collection
- 178 This was a multicentre, retrospective registry study by the
- 179 Acute Leukaemia Working Party (ALWP) of the EBMT.
- 180 The EBMT is a voluntary group that represents more than
- 181 600 transplant centers, predominantly European, which
- are required to report all consecutive HCT and follow-up
- 183 once a year. EBMT Med A/B standardized data collection
- 184 forms are completed and submitted to the registry by
- 185 transplant center personnel following written informed
- 186 consent from patients in accordance with center ethical
- 187 research guidelines (22). Accuracy of data is assured by
- 188 the individual transplant centers and by quality control
- 189 measures such as regular internal and external audits.
- 190 Since January 1, 2003, all transplant centers have been
- 191 required to obtain written informed consent prior to data
- 192 registration with the EBMT, following the Helsinki
- 193 Declaration of 1975. The study was approved by the
- 194 ALWP.

195 Eligibility criteria

- 196 Eligibility criteria were: age ≥18y, first allo-HCT 2007-
- 197 2016, diagnosis of AML CR2, availability of cytogenetic
- 198 profile at diagnosis.
- 199 Cytogenetic status was classified using MRC UK criteria
- 200 while any identified molecular markers at diagnosis were
- also noted (23). Donors were restricted to a human
- 202 leukocyte antigen (HLA) matched family donor (MFD),
- 203 volunteer unrelated donor with HLA match 10/10 (VUD)
- 204 or 9/10 match (MMVUD) or haplo-identical (Haplo ID)
- 205 donor. Graft source included peripheral blood stem cells
- 206 (PBSC) or bone marrow (BM) grafts. Engraftment was
- 207 assessed by conventional EBMT standards (22).
- 208
- 209 Intensity of conditioning was classified in accordance with
- 210 published criteria while the more recently adopted
- 211 regimens Treosulfan/Fludarabine (TF) and
- 212 Thiotepa/Busulfan/Fludarabine (TBF) were considered as
- 213 myeloablative when the busulfan dose was at least 9.6
- 214 mg/kg (24–26).

215 Statistical analysis

- 216 Patient, disease, and transplant-related characteristics for
- 217 the two cohorts (MAC or RIC) were compared by using χ^2
- 218 statistics for categorical variables and the Mann-Whitney
- 219 test for continuous variables. The primary endpoint was

- 220 leukemia-free survival (LFS). Secondary endpoints were
- 221 relapse incidence (RI), non-relapse mortality (NRM),
- 222 overall survival (OS), acute graft-versus-host disease
- 223 (aGVHD), chronic graft-versus-host-disease (cGVHD)
- 224 and GVHD-free/relapse-free survival (GRFS). LFS was
- 225 defined as survival with no evidence of relapse or
- progression. Relapse was defined as the presence of 5%
- 227 BM blasts and/or reappearance of the underlying
- 228 disease. NRM was defined as death without evidence of
- relapse or progression. OS was defined as the time from
- 230 allo-HCT to death, regardless of the cause. GRFS was
- 231 defined as events excluding grade 3-4 acute GVHD,
- 232 extensive chronic GVHD, relapse, or death in the first
- 233 post-HCT year (27–29).
- 234
- 235 Cumulative incidence was used to estimate the endpoints
- 236 of NRM, RI, acute and chronic GVHD to accommodate
- 237 for competing risks. To study acute and chronic GVHD,
- 238 we considered relapse and death to be competing
- 239 events. Probabilities of OS, LFS, and GRFS were
- 240 calculated using the Kaplan–Meier method. Univariate
- analyses were done using the Gray's test for cumulative
- 242 incidence functions and the log rank test for OS, GRFS,
- and LFS. Cox proportional hazards model was used for
- 244 multivariate regression. All variables differing significantly

245	between the 2 groups or factors associated with one
246	outcome in univariate analysis were included in the Cox
247	model. In order to test for a centre effect, we introduced a
248	random effect or frailty for each center into the model
249	(30,31). We studied 2 different Cox models in patients
250	aged under 50 or 50 years and above at the time of allo-
251	HCT. Results were expressed as the hazard ratio (HR)
252	with a 95% confidence interval (95% CI). Proportional
253	hazards assumptions were checked systematically for all
254	proposed models using the Grambsch-Therneau
255	residual-based test. All tests were 2-sided. The type I
256	error rate was fixed at 0.05 for the determination of
257	factors associated with time-to-event outcomes. Analyses
258	were stratified by age at allo-HCT (less or \geq 50 years) and
259	declared measurable residual disease (MRD) status at
260	HCT. Statistical analyses were performed with SPSS
261	24.0 (SPSS Inc., Chicago, IL, USA) and R 3.4.0 (R Core
262	Team (2017). R: A language and environment for
263	statistical computing. R Foundation for Statistical
264	Computing; Vienna; Austria. <u>https://www.R-project.org/</u> .)
265 266	Results
267	Patient, disease and transplant characteristics

- A total of 1879 patients, 1013 male, from 230 transplant
- 269 centers were eligible. Patient, disease, donor and

- 270 transplant characteristics are detailed in Supplementary
- Table 1 (Table S1).
- 272 Median follow-up of surviving patients was 26.16 months
- 273 (m) (0.49 124.63). Approximately 95% of patients in
- each group had *de novo* AML at initial diagnosis.
- 275 MAC regimens were used in 1010 while 869 received
- 276 RIC allo-HCT. Time from diagnosis to transplant was
- 277 marginally longer in RIC allo-HCT recipients at a median
- 278 of 18.5 m (range 0.8-222.9) vs 17.7m (1.2-239.1)
- 279 (P=0.017) in MAC recipients.
- 280 Recipients of RIC allo-HCT compared to MAC allo-HCT
- 281 recipients were older (median age 57.3y (18.2-75.3) vs
- 282 42.8y, (18-72y) P<0.001), had a worse Karnofsky
- 283 performance status (P<0.001) and had a higher
- 284 proportion of adverse or intermediate cytogenetics at
- 285 diagnosis ($P < 10^{-3}$) (Table S1).
- 286 Family donors, whether MFD or Haplo-ID, were more
- 287 commonly available for MAC allo-HCT than RIC allo-HCT
- 288 recipients and accounted for half of the donors in the
- 289 MAC allo-HCT group. Conversely, unrelated donors,
- 290 particularly HLA 10/10 VUDs were more likely than family
- donors to be used in the RIC allo-HCT setting (P<0.0 01).
- 292 While PBSC was the preferred source of stem cells in
- 293 both conditioning groups, this was more pronounced in

- the RIC allo-HCT group with only 7.59% cases using BM
- 295 vs 24.78% in the MAC HCT group (P<0.001). A
- 296 preference for male donors was seen in both groups and
- 297 particularly so in RIC allo-HCT (P=0.005) (Table S1).
- 298 Transplant characteristics are shown in Table S1. T cell
- 299 depletion, whether with anti-thymocyte globulin or
- 300 alemtuzumab was applied in 47.01% MAC HCT and
- 301 70.59% RIC/NMA HCT (P < 0.001).
- 302 Regimens used for GVHD prophylaxis favoured
- 303 cyclosporine based approaches rather than tacrolimus or
- 304 post-transplant cyclophosphamide. Cyclosporine was
- 305 most often used in combination with methotrexate in MAC
- 306 HCT and alone or with mycophenolate mofetil in
- 307 RIC/NMA HCT (P<0.001).
- 308
- **309** Outcomes of transplantation
- 310 At 2y, the overall outcomes were LFS 52% (CI: 49.5 -
- 311 54.5), OS 58.7% (CI: 56.2 61.2), RI 28.9% (CI: 26.7 -
- 312 31.2), NRM 19% (CI: 17.2 21), GRFS 38.7% (CI: 36.2 -
- 313 41.1), cGVHD 37.2% (CI: 34.7 39.7) and extensive
- 314 cGVHD 15.9% (CI: 14.1 17.8) (Tables S2 and S3) Non-
- 315 relapse deaths were predominantly due to GVHD or
- 316 sepsis (Table S2).
- 317

- 318 Multivariate analysis was performed to examine the
- 319 impact of conditioning intensity as well as other
- 320 parameters believed to determine transplant outcomes.
- 321 Table S4 summarises the MVA for all patients. In this
- 322 cohort, no transplant outcome differed significantly by
- 323 conditioning intensity with the notable exception of NRM
- 324 which favoured RIC Allo-HCT, (HR 0.65 95% CI 0.50-
- 325 0.84 P=0.001). However, when patients were divided by
- 326 age range <50y vs ≥50y this advantage to RIC ALLO-
- 327 HCT retained significance only in patients ≥50y (HR 0.54
- 328 CI 0.38-0.76 P < 0.001) (Tables 2 and 3). Thus, even in
- 329 patients selected as being fit for MAC Allo-HCT by their
- transplant team, we found excess NRM in older patients.
- This is supported by the increase in NRM that is seen
- 332 with increasing age in the <50y (HR 1.25 CI 1.01-1.53
- 333 P=0.034) as well as the ≥50y (HR 1.6 CI: 1.21-2.03
- 334 P=0.001) (Tables 2 and 3).
- 335
- 336 The other striking association with conditioning intensity
- 337 was also seen in patients ≥50y, but not in younger
- 338 patients, and this was an excess of cGVHD in those
- 339 undergoing RIC allo-HCT (HR 1.38 CI 1.03-1.85; P=0.03)
- 340 although this difference did not extend to extensive
- 341 GVHD (Table 3).
- 342

- 343 A decision to use reduced intensity rather than 344 myeloablative conditioning may be influenced by the age, 345 comorbidity and performance status of the patient (32-346 34). As well as the impact of increasing age as a 347 continuous variable on NRM, we also found an adverse 348 effect on GRFS which reached significance in patients 349 <50y (HR 1.13 CI 1.01-1.26 P=0.03) while remaining a 350 trend in older patients. Overall, older patients also had 351 worse LFS and OS (31-(32-34)33) (Table S4 and Table 352 3). 353 354 Karnofsky Performance Scores (KPS) >80% were 355 predictive of lower NRM in both age-groups. Additionally, 356 in patients ≥50y, KPS >80 predicted lower rates of 357 aGVHD and superior OS, LFS and GRFS (Table 3). 358 In accordance with other large studies of patients 359 transplanted in AML CR2 that have found better 360 transplant outcomes in those patients with longer duration 361 CR1, probably reflecting the innate aggressiveness of 362 disease, (17–20), we found that patients with longer 363 intervals from diagnosis to allo-HCT had superior RI, 364 LFS, OS and GRFS. (Table S4). 365 366 The distribution of cytogenetic risk groups at diagnosis
- 367 resembled the MRC and Japanese cohorts (17,18).

- 368 Patients with good risk cytogenetics at diagnosis fare
- 369 better after transplant than those with intermediate and
- 370 adverse risk cytogenetics (17–20) and our results are
- 371 confirmatory in a different data set and across all age
- 372 groups. (Supplementary Tables 4 and 5).
- 373 At 2y, OS following allo-HCT was 67.4, 56.8 and 37.9% in
- 374 good, intermediate and adverse risk cytogenetic groups
- 375 respectively. Overall, this compares favourably with the
- 376 5y OS of 35, 47 and 34% reported by Burnett in which
- 377 survival curves flattened between 2 and 3 years from
- 378 transplant, but emphasises the persistently poor outcome
- 379 due to relapse for patients with adverse karyotypes
- 380 (17,32,35,36).
- 381
- 382 Donor selection has historically had a major impact on
- 383 the outcomes of transplant, although the increasing use
- 384 of high resolution HLA typing and novel GVHD
- 385 prophylaxis strategies may be eroding the differences in
- 386 outcomes associated with unrelated versus matched or
- 387 haploidentical family donors (37–40). In this study we
- 388 found that donor characteristics retained a significant
- impact upon transplant outcomes (Tables 2 and 3).
- 390 MMVUD and Haplo-ID donors were associated with
- 391 increased rates of NRM and aGVHD II-IV and the use of

- 392 female donors was associated with higher rates of
- 393 extensive cGVHD.
- 394 In general in modern transplant practice PBSC is the
- 395 preferred stem cell source although faster engraftment
- 396 may be offset by increased risks of GVHD (41). We found
- 397 that the use of PBSC was associated with significantly
- 398 increased rates of cGVHD in both the <50y and the \geq 50y
- 399 (HR 1.784 CI 1.253-2.539, HR 1.683 CI 1.08-2.624) but
- 400 no improvements in OS or LFS in either group (Tables 2
- 401 and 3). Similar to our earlier study in AML CR1, (42),
- 402 TCD led to beneficial effects on GRFS, aGVHD and
- 403 cGVHD in <50y and to improvements in GRFS and
- 404 cGVHD in the ≥50y without detriment to RI, OS or LFS
- 405 suggesting that TCD reduces GVHD without increasing
- 406 relapse risks (Tables 2 and 3).
- 407
- 408 Finally, we looked for the impact of centre and year of
- 409 transplant but found no significant effect on transplant
- 410 outcomes (Tables 3 and 4).
- 411

412 Discussion

- 413
- 414 This large registry study tracked the effect of allo-HCT on
- 415 the post-transplant survival characteristics of 1879
- 416 patients with AML in CR2 in the modern era (2007-2016)
- 417 and investigated the impact of conditioning intensity on

- 418 outcomes in patients aged <50y or ≥50y. OS, LFS and
- 419 GRFS at 2y were 58.7%, 52% and 38.7%.
- 420 We established that in patients aged <50y, 2y OS was
- 421 61.1% vs 61.8% for MAC vs RIC allo-HCT (P=0.7) while
- 422 LFS was 53.9% vs 54.1% (P=0.61). Similarly, in patients
- 423 aged 50y or more at HCT, MAC allo-HCT and RIC allo-
- 424 HCT were equivalent with 2y OS of 58.3% vs 55.1%
- 425 (P=0.3) and LFS of 51.5% vs 49.3% (P=0.7). Multivariate
- 426 analysis confirmed that in patients <50y and \geq 50y,
- 427 intensity of conditioning made no significant difference to
- 428 OS, LFS or RI. However, in ≥50y, NRM rates were
- 429 significantly reduced following RIC allo-HCT and while
- 430 there was an increased risk of cGVHD this did not
- 431 manifest as extensive cGVHD. These observations
- 432 suggest overall equivalence of MAC and RIC regimens
- 433 and a rationale for further prospective study. This is in
- 434 keeping with our previous observations in AML CR1 but
- 435 contrasts with the outcomes of the Blood and Marrow
- 436 Transplant Clinical Trials Network (BMT CTN) 0901
- 437 prospective study of 272 patients with AML or
- 438 myelodysplasia in which high relapse rates in patients
- 439 receiving RIC Allo-HCT compared to MAC Allo-HCT led
- to premature study closure (42,43). Despite the caveat
- 441 that our current study is retrospective, it is a larger one,
- 442 encompassing a wider range of regimens and with longer

- 443 follow-up. Additionally, the outcomes of patients with AML
- 444 CR2 in the BMT CTN study are not specified.
- 445
- 446 Similar to earlier studies, we found that adverse factors
- 447 included increasing age, cytogenetics other than good
- 448 risk, poor performance status, shorter time intervals from
- 449 initial diagnosis to transplant and mismatched donor allo-
- 450 HCT (4,17,20).
- 451
- 452 Given the high rates of relapse, with overall 2y RI of
- 453 28.9%, there is a grave need for more active leukaemia
- 454 therapy. This might be addressed by sequential
- 455 chemotherapy approaches such as the FLAMSA based
- 456 regimens or by combining alkylating agents in the
- 457 conditioning regimen (26,44–46). Additionally, new agents
- 458 hold out the promise of higher CR rates and prospects for
- 459 maintenance therapies which may potentially be used in
- 460 conjunction with allo-HCT to improve survival in AML(47–
- 461 50). Immunotherapy approaches, while less advanced
- than for lymphoid malignancies also hold potential (51).
- 463
- 464 Our study is limited since it can only address the
- 465 outcomes of those patients who achieved CR2 and were
- 466 transplanted, thus not addressing the larger problem of
- 467 management of relapse after CR1. Likewise, we may only

- 468 speculate as to the reasons why allo-HCT was deferred
- 469 to CR2. We had insufficient data to draw conclusions
- 470 about the impact of comorbidity, MRD or molecular sub-
- 471 groups such as FLT3 ITD with or without NPM1
- 472 mutations (34,52–54). While MRD status was available in
- 473 67% patients, equally distributed across conditioning
- 474 groups, it had no confounding influence on the
- 475 relationship between conditioning intensity and transplant
- 476 outcomes.
- 477
- 478 However, we show improving survival outcomes after
- 479 allo-HCT in a large cohort of patients with AML CR2
- 480 treated in a recent time-frame while confirming that
- 481 existing prognostic indicators retain their value. These
- 482 data also provide fresh impetus for the prospective
- 483 comparison of the impact of conditioning intensity on allo-
- 484 HCT outcomes in AML CR2.
- 485

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764	Legends
765	Main text tables
766	Table 1 Large Studies of outcome of haemopoietic cell
767	transplant in second complete remission
768	
769	Table 2 Multivariate analysis of outcomes of haemopoietic
770	cell transplant in patients aged under 50 years.
771	aGVHD acute graft versus host disease
773	Allo-HCT allogeneic nematopoletic cell transplant
774	cGVHD chronic graft versus host disease
775	CI confidence interval
776	GRFS graft versus host disease and relapse free survival
777	Haplo haploidentical
778	HR hazard ratio
779	KPS Karnofsky performance status
780	LFS Leukaemia free survival
781	MFD matched family donor
782	MMVUD mismatched volunteer unrelated donor
703 784	NRIVI NON-relapse montality
785	PBSC peripheral blood stem cells
786	RI relapse incidence
787	TCD T cell depletion
788	VUD volunteer unrelated donor
789	
790	Table 3 Multivariate analysis of outcomes of haemopoietic
791	cell transplant in patients aged 50 years or above.
792	aGVHD acute graft versus host disease
793	Allo-HCT allogeneic hematopoietic cell transplant
794 705	AML acute myeloid leukemia
795	CL confidence interval
797	GRES graft versus host disease and relanse free survival
798	Haplo haploidentical
799	HR hazard ratio
800	KPS Karnofsky performance status
801	LFS Leukaemia free survival
802	MFD matched family donor
803	MMVUD mismatched volunteer unrelated donor
804	NRM Non-relapse mortality
805 806	US Overall Survival
000 807	
808	TCD T cell depletion
809	VUD volunteer unrelated donor
810	

812

- 813 Figure 1
- 814 Outcomes of reduced intensity conditioning (RIC) versus
- 815 myeloablative conditioning (MAC) allogeneic
- 816 haemopoietic cell transplant in patients aged 50 or older.
- 817 (a) Non-relapse mortality (NRM)
- 818 (b) Relapse incidence
- 819 (c) Chronic Graft versus Host disease
- 820 (d) Overall survival (OS)
- 821 (e) Leukaemia-free survival (LFS)
- 822 (f) Graft versus host and relapse free survival (GRFS)

Table 1

Author, Group & Study type	Study population	Outcomes	Conclusions and limitations
Burnet et al (reference 17) UK Medical Research Council	n=1160 Age 16-49y AML patients in first relapse (excluding APL and any prior transplant) Risk stratification by MRC criteria (Grimwade et al 2001 reference 23) Era: 1988-2009	642 (55%) achieved CR2. 314 (27%) had allo-HCT Allo-HCT 5Y OS from CR2 by MRC risk category: Good: 35% overall, t(8;21) 29% and inv16 39% Intermediate: 47% Adverse: 34% Unknown: 53%	Post-trial analysis with centre defined relapse and reinduction. The benefits of allo-HCT were seen only in those with intermediate or adverse cytogenetic risk groups at diagnosis. Multivariate analysis found that only CR1 duration was significantly associated with survival. Conditioning intensity did not determine outcome. Era coincides with significant changes in HCT practice such as the introduction of reduced intensity conditioning and improvements in supportive care and high resolution HLA typing.
Kurosawa et al(reference 18) Japan retrospectivenational study,	n=931 Age 16-70y AML patients in first relapse (excluding APL and any with prior transplant) Risk stratification by South-West Oncology Group (SWOG) criteria(reference 21). Era 1994-2006	463 (50%) achieved CR2 242 (26%) had allo-HCT in CR2 Allo-HCT 3Y OS from relapse by SWOG cytogenetic risk criteria Overali: 59% Good: t(8;21) 64% and inv16 70% Intermediate: 58% Adverse:67%	The benefits of allo-HCT were only seen in those with intermediate or adverse risk cytogenetic risk groups at diagnosis. Multivariate analysis found that CR1 duration $\geq 1\gamma$, cytogenetic risk group at diagnosis, white cell count at diagnosis $\leq 20 \times 10^9/L$ and CR1 status achieved with first cycle of inducton therapy all predicted survival after relapse. Era coincides with significant changes in HCT practice such as the introduction of reduced intensity conditioning and improvements in supportive care and high resolution HLA typing.
Hospital et al,(reference 19) French AML Intergroup retrospective study	n=145 Age 16-76y AML patients with core-binding factor mutations in first relapse (excluding any with prior transplant). Era 1994-2011	127 (88%) achieved CR2 77 (53%) had allo-HCT in CR2 Allo-HCT SY OS 59% and DFS 57% from relapse Incorporation of gemtuzumab ozogamycin (GO) into salvage regimen yielded superior SY OS of 82 vs 48% and DFS of 83 vs 44%	Multivariate analysis found that the benefits of allo-HCT were greater in younger patients, those with inv16/t(16;16), longer duration CR1 and use of GO as part of salvage therapy at relapse. Era spans 17 years during which confounders such as experience of RIC-Allo- HCT, supportive care and resolution of HLA matching made significant advances. Residual disease status by molecular and/or immunophenotype was unavailable.
Weisdorf et al(reference 20) Center for International Blood Marrow Transplant Research retrospective study	n=4682 Age \geq 18y AML patients with disease status primary induction failure (PIF) n=1440, median age 52y, relapse failing \geq 1 reinduction cycle (RI) r =1256, median age 49y and CR2 n = 1986, median age 47y. All patients received an allo-HCT Fra 2000-2013	Allo-HCT SY OS: PIF 21%, RI 18% CR2 39%	Multivariate analysis found that the superior outcomes of allo-HCT in AML CR2 were associated with better performance scores (290), longer duration CR1 (>12 months), a history of <i>de novo</i> AML and non-adverse cytogenetics (SWOG criteria). Era spans 13 years during which confounders such as experience of RIC-Allo- HCT, supportive care and resolution of HLA matching made significant advances. Residual disease status by molecular and/or immunophenotype was unavailable.

Table 2

	Relapse			NRM					
	HR	CI	р	HR	CI	р	HR	CI	р
Age <50y									
RIC vs MAC	1.00	0.724 - 1.38	1.00	0.779	0.513 - 1.182	0.24	0.903	0.7 - 1.166	0.43
Age (per 10 y)	1.05	0.898 - 1.219	0.56	1.245	1.014 - 1.529	0.04	1.114	0.986 - 1.259	0.08
Time Diagnosis to allo-HCT (m)	0.96	0.948 - 0.975	<10-5	0.999	0.991 - 1.007	0.78	0.982	0.974 - 0.99	10-5
Cytogenetics									
Good risk group (reference data	1.00			1.00			1.00		
Intermediate	1.52	1.115 - 2.071	0.01	0.922	0.638 - 1.331	0.66	1.266	1.001 - 1.603	0.05
Adverse	3.347	2.26 - 4.958	<10-5	0.917	0.463 - 1.816	0.80	2.326	1.675 - 3.23	<10-5
Donor									
MFD (reference data)	1.00			1.00			1.00		
VUD 10/10	0.809	0.578 - 1.131	0.21	1.271	0.802 - 2.014	0.31	0.97	0.74 - 1.27	0.82
MMUD 9/10	0.842	0.546 - 1.3	0.44	1.986	1.168 - 3.377	0.01	1.189	0.854 - 1.657	0.31
Haplo	0.576	0.312 - 1.065	0.08	2.096	1.097 - 4.002	0.02	0.944	0.61 - 1.462	0.80
KPS>80%	1.084	0.497 - 2.362	0.84	0.447	0.219 - 0.914	0.03	0.716	0.424 - 1.207	0.21
PBSC vs BM	0.714	0.51 - 0.999	0.05	1.599	0.97 - 2.636	0.07	0.957	0.725 - 1.264	0.76
Year of allo-HCT	1.005	0.953 - 1.06	0.86	0.987	0.922 - 1.057	0.72	1.002	0.961 - 1.045	0.93
Patient female	0.865	0.659 - 1.135	0.29	0.981	0.693 - 1.389	0.92	0.894	0.723 - 1.107	0.31
Donor female	0.76	0.574 - 1.006	0.06	1.089	0.77 - 1.539	0.63	0.87	0.701 - 1.081	0.21
in vivo TCD	1.064	0.767 - 1.475	0.71	0.853	0.564 - 1.289	0.45	0.972	0.752 - 1.256	0.83
centre			0.25			0.25			0.18

		OS			GRFS		acute GVHD II-IV				
	HR	CI	р	HR	CI	р	HR	CI	р		
Age <50y											
RIC vs MAC	0.914	0.7 - 1.192	0.507	0.863	0.683 - 1.091	0.217	0.863	0.62 - 1.201	0.381		
Age (per 10 y)	1	0.965 - 1.25	0.155	1.129	1.012 - 1.259	0.030	1.017	0.869 - 1.189	0.838		
Time Diagnosis to allo-HCT (m)	0.982	0.974 - 0.991	<10-4	0.991	0.985 - 0.997	0.003	0.999	0.992 - 1.006	0.763		
Cytogenetics											
Good risk group (reference data	1			1			1				
Intermediate	1.318	1.026 - 1.692	0.031	1.14	0.926 - 1.403	0.217	0.91	0.678 - 1.222	0.531		
Adverse	2.417	1.708 - 3.421	<10-5	1.822	1.344 - 2.471	<10-3	0.804	0.484 - 1.337	0.401		
Donor											
MFD (reference data)	1			1			1				
VUD 10/10	1.044	0.784 - 1.389	0.770	1.049	0.825 - 1.334	0.698	2.057	1.439 - 2.939	<10-4		
MMUD 9/10	1.406	0.997 - 1.983	0.052	1.195	0.881 - 1.622	0.252	2.679	1.721 - 4.17	<10-4		
Haplo	1.217	0.776 - 1.908	0.393	0.692	0.46 - 1.039	0.076	1.595	0.949 - 2.683	0.078		
KPS>80%	0.62	0.371 - 1.035	0.067	0.955	0.574 - 1.588	0.859	0.701	0.356 - 1.378	0.303		
PBSC vs BM	1.087	0.809 - 1.46	0.581	1.252	0.97 - 1.615	0.084	1.203	0.849 - 1.705	0.298		
Year of allo-HCT	0.989	0.946 - 1.035	0.643	1.021	0.983 - 1.06	0.288	0.974	0.927 - 1.023	0.291		
Patient female	0.838	0.667 - 1.053	0.129	0.917	0.758 - 1.109	0.371	0.88	0.668 - 1.161	0.367		
Donor female	0.941	0.749 - 1.183	0.603	0.953	0.787 - 1.154	0.623	0.811	0.613 - 1.074	0.144		
in vivo TCD	0.901	0.692 - 1.173	0.439	0.622	0.492 - 0.786	<10-4	0.479	0.346 - 0.663	<10-4		
centre			0.291			0.090			0.912		

		acute GVHD III-IV	/		chronic GVHD		ext. chronic GVHD				
	HR	CI	р	HR	CI	р	HR	CI	р		
Age <50y											
RIC vs MAC	0.773	0.414 - 1.443	0.418	0.959	0.698 - 1.317	0.795	1.024	0.638 - 1.644	0.922		
Age (per 10 y)	1.129	0.841 - 1.515	0.418	1.049	0.907 - 1.213	0.519	0.999	0.803 - 1.242	0.993		
Time Diagnosis to allo-HCT (m)	1.004	0.993 - 1.014	0.499	1.005	1 - 1.011	0.067	0.997	0.988 - 1.007	0.564		
Cytogenetics											
Good risk group (reference data	1			1			1				
Intermediate	1.011	0.589 - 1.735	0.969	1.011	0.77 - 1.328	0.936	0.904	0.604 - 1.351	0.621		
Adverse	1.03	0.423 - 2.506	0.949	1.354	0.846 - 2.167	0.206	1.187	0.564 - 2.495	0.652		
Donor											
MFD (reference data)	1			1			1				
VUD 10/10	1.844	1.002 - 3.395	0.049	1.205	0.879 - 1.652	0.247	1.27	0.816 - 1.977	0.290		
MMUD 9/10	1.529	0.657 - 3.559	0.324	1.072	0.689 - 1.669	0.758	0.963	0.481 - 1.926	0.914		
Haplo	0.881	0.29 - 2.672	0.823	0.771	0.446 - 1.332	0.351	0.501	0.199 - 1.264	0.143		
KPS>80%	0.477	0.169 - 1.345	0.162	1.608	0.633 - 4.083	0.318	4.276	0.563 - 32.455	0.160		
PBSC vs BM	1.504	0.765 - 2.958	0.237	1.784	1.253 - 2.539	0.001	3.131	1.738 - 5.64	<10-3		
Year of allo-HCT	0.971	0.886 - 1.064	0.528	0.974	0.924 - 1.026	0.315	1.052	0.971 - 1.14	0.213		
Patient female	0.821	0.492 - 1.369	0.450	1.04	0.802 - 1.348	0.768	0.849	0.578 - 1.247	0.404		
Donor female	0.825	0.493 - 1.381	0.465	1.719	1.331 - 2.22	<10-4	1.515	1.047 - 2.194	0.028		
in vivo TCD	0.429	0.24 - 0.769	0.004	0.562	0.411 - 0.77	<10-3	0.27	0.167 - 0.435	<10-5		
centre			0.779			0.076			0.016		

Table 3

		Relapse			NRM		LFS				
	HR	CI	р	HR	CI	р	HR	CI	р		
Age ≥50y											
RIC vs MAC	1.261	0.912 - 1.743	0.161	0.535	0.378 - 0.758	<10-3	0.883	0.695 - 1.122	0.310		
Age (per 10 y)	0.981	0.781 - 1.232	0.868	1.567	1.211 - 2.027	0.001	1.205	1.013 - 1.432	0.035		
Secondary AML	0.857	0.521 - 1.41	0.543	0.99	0.564 - 1.737	0.971	0.916	0.63 - 1.333	0.647		
Time Diagnosis to allo-HCT (m)	0.974	0.963 - 0.986	10-5	1.001	0.992 - 1.01	0.786	0.987	0.98 - 0.995	0.001		
Cytogenetics											
Good risk group (reference data)	1			1			1				
Intermediate	1.436	1.006 - 2.049	0.046	0.869	0.585 - 1.292	0.488	1.163	0.892 - 1.518	0.265		
Adverse	1.79	1.035 - 3.096	0.037	1.108	0.579 - 2.121	0.756	1.465	0.961 - 2.234	0.076		
Donor											
MFD (reference data)	1			1			1				
VUD 10/10	1.097	0.805 - 1.495	0.558	1.237	0.822 - 1.859	0.308	1.146	0.894 - 1.469	0.281		
MMUD 9/10	0.93	0.614 - 1.409	0.733	2.241	1.419 - 3.539	1.419 - 3.539 0.001		0.987 - 1.814	0.061		
Haplo	0.577	0.298 - 1.117	0.103	1.948	1.069 - 3.552	0.029	1.017	0.657 - 1.574	0.941		
KPS >80%	0.867	0.472 - 1.592	0.646	0.265	0.162 - 0.435	<10-5	0.486	0.331 - 0.715	<10-3		
PBSC vs BM	0.998	0.635 - 1.568	0.992	1.325	0.825 - 2.128	0.244	1.117	0.804 - 1.553	0.509		
Year of allo-HCT	0.985	0.935 - 1.037	0.556	0.943	0.889 - 1.001	0.054	0.968	0.93 - 1.007	0.104		
Patient female	0.747	0.575 - 0.971	0.029	0.825	0.608 - 1.12	0.218	0.774	0.633 - 0.945	0.012		
Donor female	0.909	0.687 - 1.203	0.505	1.027	0.745 - 1.415	0.869	0.95	0.768 - 1.174	0.634		
in vivo TCD	1.028	0.761 - 1.389	0.859	1.047	0.746 - 1.468	0.792	1.042	0.826 - 1.315	0.727		
centre			0.228			0.256			0.188		

		OS			GRFS		acute GVHD II-IV			
	HR	CI	р	HR	CI	р	HR	CI	р	
Age ≥50y										
RIC vs MAC	0.915	0.713 - 1.175	0.489	1.04	0.832 - 1.299	0.733	0.921	0.648 - 1.307	0.644	
Age (per 10 y)	1.265	1.056 - 1.516	0.011	1.017	0.865 - 1.196	0.840	0.927	0.717 - 1.197	0.559	
Secondary AML	0.955	0.646 - 1.412	0.819	1.061	0.755 - 1.491	0.734	1.506	0.92 - 2.467	0.104	
Time Diagnosis to allo-HCT (m)	0.988	0.98 - 0.996	0.002	0.993	0.986 - 1	0.037	1.004	0.996 - 1.012	0.357	
Cytogenetics										
Good risk group (reference data)	1			1			1			
Intermediate	1.202	0.903 - 1.6	0.206	1.192	0.934 - 1.521	0.159	1.031	0.685 - 1.552	0.883	
Adverse	1.607	1.042 - 2.479	0.032	1.31	0.879 - 1.954 0.185		0.833 0.403 - 1.724		0.623	
Donor										
MFD (reference data)	1			1			1			
VUD 10/10	1.183	0.909 - 1.54	0.210	1.137	0.906 - 1.426	0.267	1.68	1.118 - 2.525	0.013	
MMUD 9/10	1.511	1.098 - 2.081	0.011	1.516	1.146 - 2.004	0.004	2.685	1.692 - 4.26	<10-4	
Haplo	1.321	0.842 - 2.074	0.226	1.016	0.681 - 1.517	0.937	2.434	1.341 - 4.417	0.003	
KPS >80%	0.437	0.297 - 0.645	<10-4	0.363	0.254 - 0.518	0.000	0.562	0.322 - 0.982	0.043	
PBSC vs BM	1.167	0.827 - 1.649	0.379	1.172	0.863 - 1.593	0.309	1.331	0.809 - 2.191	0.260	
Year of allo-HCT	0.968	0.927 - 1.01	0.133	0.97	0.936 - 1.007	0.108	0.986	0.932 - 1.043	0.622	
Patient female	0.828	0.672 - 1.022	0.078	0.884	0.735 - 1.062	0.188	1.044	0.779 - 1.4	0.771	
Donor female	1.008	0.808 - 1.259	0.941	1.065	0.88 - 1.29	0.516	0.919	0.669 - 1.261	0.599	
in vivo TCD	1.082	0.853 - 1.371	0.516	0.764	0.617 - 0.945	0.013	0.93	0.659 - 1.312	0.679	
centre			0.312			0.120			0.263	

	acute GVHD III-IV				chronic GVHD		ext. chronic GVHD				
	HR	CI	р	HR	CI	р	HR	CI	р		
Age ≥50y											
RIC vs MAC	0.729	0.428 - 1.242	0.245	1.377	1.027 - 1.845	0.032	1.352	0.869 - 2.102	0.181		
Age (per 10 y)	0.71	0.469 - 1.075	0.106	0.863	0.698 - 1.067	0.173	0.79	0.571 - 1.093	0.155		
Secondary AML	1.669	0.78 - 3.573	0.187	0.933	0.57 - 1.527	0.782	1.45	0.741 - 2.838	0.279		
Time Diagnosis to allo-HCT (m)	1.008	0.997 - 1.02	0.143	0.996	0.988 - 1.003	0.249	1.005	0.995 - 1.015	0.316		
Cytogenetics											
Good risk group (reference data)	1			1			1				
Intermediate	1.082	0.574 - 2.043	0.807	1.161	0.843 - 1.599 0.359		1.064	0.667 - 1.7	0.794		
Adverse	0.357	0.079 - 1.614	0.181	1.583 0.917 - 2.732		0.099 1.071		0.435 - 2.639	0.881		
Donor											
MFD (reference data)	1			1			1				
VUD 10/10	1.293	0.669 - 2.5	0.445	0.983	0.738 - 1.311 0.909		1.388	0.892 - 2.159	0.146		
MMUD 9/10	4.167	2.125 - 8.173	<10-4	1.006	0.678 - 1.493 0.975		1.741	0.992 - 3.056	0.054		
Haplo	1.811	0.717 - 4.572	0.209	0.86 0.508 - 1.455 0.575		0.585	0.234 - 1.464	0.252			
KPS >80%	0.338	0.152 - 0.748	0.007	0.758	0.432 - 1.33	0.333	0.66	0.261 - 1.671	0.381		
PBSC vs BM	1.441	0.679 - 3.06	0.341	1.683	1.08 - 2.624	0.021	1.599	0.814 - 3.144	0.173		
Year of allo-HCT	0.994	0.909 - 1.086	0.886	0.985	0.939 - 1.034	0.543	1.004	0.933 - 1.081	0.905		
Patient female	1.114	0.704 - 1.762	0.644	1.137	0.886 - 1.458	0.313	1.08	0.743 - 1.571	0.686		
Donor female	1.107	0.686 - 1.787	0.676	1.034	0.795 - 1.344	0.804	1.558	1.065 - 2.28	0.022		
in vivo TCD	0.747	0.44 - 1.269	0.281	0.484	0.373 - 0.627	0.000	0.266	0.18 - 0.393	<10-5		
centre			0.283			0.271			0.921		







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RIC

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RIC

GRFS



		Time from transplant (years)						Time from transplant (years)							Time from transplant (years)				
number of at-risk patients							number of at-risk patients						number of at-risk patients						
- MAC	314	147	90	61	50	31	MAC 314	147	90	61	50	36	MAC 308	119	71	46	35	25	
- RIC	625	296	209	147	106	8'	RIC 625	296	209	147	106	81	RIC 600	223	154	108	78	57	