provided by Carolina Digital Repository

Systematic Review of Nosocomial Waterborne Infections caused by Nontuberculous Mycobacteria

Systematic Review of Nosocomial Waterbook of North Waterborner and Nontuberculous (No. 1993)

Trudy Li

June 2016

A Master's Paper submitted to the faulty of the University of North Carolina at Chapel Hill in partial fulfillment of the requirements for the degree of Masters of Public Health in the Health Care and Prevention Track in the Public Health Leadership Program

Faculty Advisor: Daniel Jongs, MD, MPH

Date Second Reader: Lydia Abebe, PhD

 J_{UV} 10, 2016

Trudy Li, Lydia Abebe, Ryan Cronk, Shanaya Fozdar, Michelle Moffa, Jaime Bartram

Abstract

Background: Healthcare-acquired infections are an increasing priority for health care providers and policy makers. Water is an overlooked source of infectious microorganisms in health care facilities. Waterborne nontuberculous mycobacteria (NTM) are ubiquitous, especially in health care facility water systems, and are known to result in a variety of clinical diseases.

Purpose: To contribute to an understanding of prevalence and disease burden, we conducted a systematic review to assess health care associated NTM infections from health care facility water systems. We document susceptible populations, modes of transmission, and the median attack rate. We aim to identify transmission risk factors and inform evidence-based policies for infection control and prevention.

Methods: We searched Embase, Pubmed, Web of Science and clinicaltrials.gov without date restrictions. English language articles with original data on NTM waterborne infections in health care settings were included.

Study Selection & Data Extraction: Randomized controlled trials, descriptive studies (case reports, case series), case-control studies, cohort studies, cross-sectional surveys, and quasiexperimental studies on nosocomial waterborne infections were included. Three investigators independently screened titles and abstracts for relevant articles, and one screened full-text articles. Data was extracted by one investigator, and a second confirmed accuracy for 10% of results.

Results: We included 22 observational studies. Immunocompromised, post-surgical, and hemodialysis patients were commonly affected populations, and a range of exposure routes such as uncovered central venous catheters (CVCs), wound exposure, and contamination during surgical procedures was reported. The median attack rate was 12.1% (interquartile range, 11-27.2).

Conclusion: Waterborne NTM infection affects susceptible patients through common, preventable exposure routes. Effective prevention strategies will require both medical and environmental health expertise, and inter-professional cooperation will optimize these efforts. Additional high-quality studies using genotypic methods are also needed, especially in low- and middle-income countries.

Background

Health care acquired infections (HAIs) result in a high disease burden, both in the United States (U.S.) (Scott, 2009) and globally (WHO & UNICEF, 2015). In the U.S., as health systems attempt to reduce cost and prevent waste, HAI prevention has become a focus for health care providers and policy makers (McHugh, Van Dyke, Osei-Anto, & Haque, 2011). Value-based policies have reduced reimbursement for post-surgical and catheter-related infections, drawing particular attention to these problems (Federal Register, 2008). As health systems pursue new infection control strategies, safe health care facility water systems are often overlooked as a preventable infection source (Beggs, 2015). Hospital water systems fall under the purview of engineers and environmental specialists, and as a result, providers may not include water in infection prevention considerations (Beggs, 2015). However, inappropriate exposure to nonsterile water can lead to a variety of infections in the vulnerable hospitalized population (Anaissie, Penzak, & Dignani, 2002).

NTM in Health Care Water Systems

Nontuberculous mycobacteria (NTM), is a known cause of water-borne infections, and the hospital water system can be a reservoir for these microorganisms (Cervia, Ortolano, & Canonica, 2008). A study found that 61% of hospital water systems from 21 states were positive for mycobacteria and that hospital water had the highest rates of contamination compared to other buildings such as offices, hotels, and private residences (Covert, Rodgers, Reyes, & Stelma, 1999). Other investigations of hospital water in the absence of disease outbreaks have

also reported high mycobacterial concentrations in health care facility water systems (du Moulin, Stottmeier, Pelletier, Tsang, & Hedley-Whyte, 1988; Peters et al., 1995).

In these settings, several variables promote NTM growth. Water stagnation and the limiting of hot water temperatures for burn prevention may contribute to biofilm production and provide ideal conditions for mycobacterial growth (Mandel, Sprauer, Sniadack, & Ostroff, 1993; Wallace, 1998). Biofilms provide nutrition and protection for microorganisms, partly accounting for the challenge of mycobacterial eradication from water systems (Torvinen, Lehtola, Martikainen, & Miettinen, 2007; Vaerewijck, Huys, Palomino, Swings, & Portaels, 2005). Additionally, mycobacteria have strong intrinsic resistance to common disinfectants such as chlorine and glutaraldehyde due to its hardy cell wall (Falkinham, 1996; Russell, 1999; Wallace, 1998) and are also resistant to high water temperatures (Miyamoto, Yamaguchi, & Sasatsu, 2000). These factors contribute to the singular persistence of NTM in health care water systems.

Clinical Disease and Pseudo-outbreaks

For the general population, mycobacterial exposure through tap water rarely results in clinical disease (Johnson & Odell, 2014). However, in the immunologically vulnerable hospital population, this exposure is more likely to result in symptomatic disease. Clinical symptoms can range widely, but most commonly include respiratory disease, skin and soft tissue infection, and disseminated disease (Phillips & von Reyn, 2001). A number of pseudo-outbreaks have also been reported, in which contaminated positive samples simulate disease outbreak without evidence of patient colonization or infection, sometimes resulting in inappropriate treatment

(Scorzolini et al., 2016; Zlojtro et al., 2015). Therefore, correlation of positive cultures with clinical symptoms is essential, as contamination of samples is common and positive samples are more likely to indicate contamination than disease (Griffith et al., 2007).

Microbiological Classification and Identification

Pathogenicity of NTM vary by species, and the identification of mycobacterial species has changed with technological advances. NTM species are traditionally classified by their rate of growth. Rapidly growing mycobacteria (RGM), including *M. fortuitum, M. abscessus*, and *M. chelonae*, grow in less than seven days, while slow growing varieties include other species that take longer to exhibit growth under ideal conditions (Phillips & von Reyn, 2001).

The identification of NTM and characterization of species has progressed from phenotypic to genotypic techniques. Traditional phenotypic methods include a battery of biochemical tests for species identification, growth rate, and antimicrobial susceptibility profiles (Griffith et al., 2007; Phillips & von Reyn, 2001). However, since the early 1990s, genotypic techniques have allowed for greater strain discrimination and increased accuracy in determining molecular relatedness. Pulsed field gel electrophoresis (PFGE) is most commonly used for strain identification, but other techniques such as random amplified polymorphic DNA (RAPD) and multilocus enzyme electrophoresis (MEE) are available.

Pulsed field gel electrophoresis results are interpreted by the Tenover Criteria, which provides the standard threshold to identify indistinguishable clones (Tenover et al., 1995). These criteria define genetic relatedness by similarity of electrophoretic banding that allow for characterization of random genetic events. Tenover et al. defines a single genetic event (two to

three band difference) as "closely related," while two genetic events (four to six band difference) as "possibly related." These criteria are best for samples from discrete outbreaks of one to three months (Tenover et al., 1995). This landmark paper continues to be the standard for PFGE interpretation to determine molecular relatedness.

Genotypic techniques for determining molecular relatedness have provided more definitive and rigorous ways to investigate disease outbreaks, thereby strengthening researchers' understanding of the sources of NTM disease. Given the ubiquity of mycobacteria, genotypic methods are especially important to accurately confirm an outbreak's environmental source.

Nosocomial Waterborne Infections of NTM

Prior reviews have described studies of nosocomial mycobacterial outbreaks and pseudo-outbreaks, but no systematic reviews have been conducted. Wallace et al. summarized studies of nosocomial mycobacteria outbreaks and pseudo-outbreaks as well as then-current molecular techniques (Wallace, 1998). However, the method for identifying studies was not described and a systematic search was not reported. In addition, as previously mentioned, the use of genotypic molecular techniques has proliferated since this review, changing investigators' understanding of mycobacterial outbreaks (Sabat et al., 2013). Phillips et al. similarly summarized studies relating to nosocomial infection of mycobacteria (Phillips & von Reyn, 2001). In addition, this review article discusses prevention and control strategies in the literature. This review also does not describe how included studies were identified.

More recently, Halstrom et al. reviewed mycobacterial infections linked to environmental sources, including samples ranging from hospital and residential water sources to community produce (Halstrom, 2015). This review included studies of patient colonization and pseudo-outbreaks and did not clearly delineate which studies involved colonization versus infection. Halstrom et al. briefly described a search of databases, but like prior reviews, did not include a systematic process of screening results.

Since NTM are prevalent in health care facility water systems, and no prior systematic reviews of nosocomial waterborne mycobacterial infections have been published, we aim to systematically review the literature to better understand the effect of waterborne NTM infection in health care settings. The primary objectives of this systematic review were to answer the following questions:

- How commonly does mycobacterial water exposure result in clinical disease and what are their outcomes, i.e. the attack rate?
- What routes of exposure most commonly cause waterborne NTM disease in health care settings?
- What patient populations are most affected by nosocomial waterborne NTM disease?

By understanding the problem's scope, populations affected, and routes of exposure, health care providers can better understand who is at risk of nosocomial NTM disease and develop strategies for control and prevention. This systematic review aims to describe the causes and consequences of waterborne mycobacteria in health care settings and contribute to an understanding of burden of disease.

Methods

We conducted a systematic review of the literature for studies reporting waterborne

infections of NTM in the health care setting.

Eligibility

The research questions and criteria for inclusion and exclusion of studies are

summarized in Table 1.

Table 1. Research Question and Inclusion and Exclusion Criteria

We restricted this review to water-related mycobacterial infections in health care

facilities. To comprehensively measure the burden of disease, no restrictions were placed on

the date of publication, patient age, or immune status. Infections included patients with

symptomatic clinical disease and studies of colonization without clinical disease were excluded. The primary summary measure of this review was the attack rate (people infected per exposed) of nosocomial waterborne NTM infections.

Definitions

The definition of health care facilities included hospitals, outpatient clinics, dental offices, hemodialysis facilities, nursing facilities, and physical rehabilitation facilities. Water supplies and sources included pipes, peripherals (e.g. faucets, sinks, shower heads), ice machines, distilled water reservoirs, hemodialysis equipment, and dental unit waterlines. Process deficiencies were defined as any action during the administration of care that resulted in the undue exposure of the patient to the infectious source, such as inadequate disinfection or inappropriate use of non-sterile water. The attack rate is defined as the number of patients with disease divided by number exposed to the infectious agent. Common phenotypic and genotypic methods of determining relatedness are summarized in Table 2.

Table 2. Common Phenotypic & Genotypic Methods of Determining NTM Strain Relatedness	
Phenotypic	Genotypic
Antimicrobial susceptibility \bullet	Pulse field gel electrophoresis (PFGE)
Colony morphology \bullet	Random amplified polymorphic DNA
Time for growth \bullet	(RAPD)
Biochemical tests \bullet	Restriction fragment length
High-performance liquid \bullet	polymorphism (RFLP)
chromatography (HPLC)	Repetitive sequence PCR (rep-PCR)
Multilocus enzyme electrophoresis(MEE) \bullet	Partial sequencing

Table 2. Common Phenotypic & Genotypic Methods of Determining NTM Strain Relatedness

(Halstrom, 2015; Phillips & von Reyn, 2001; Wallace, 1998)

Search Strategy

Studies were identified from the peer-reviewed literature. Database searches included PubMed, Web of Science, and Embase. We searched *clinicaltrials.gov* for unpublished studies. The bibliographies of included studies were reviewed to identify any relevant studies that our searches may have missed. Searches were last updated on March 17, 2016. The systematic review was conducted with adherence to Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines. The PRISMA checklist is included in the appendix.

The statement used in the database searches was as follows:

(waterborne OR water) AND (health facilities OR "health care facilities, manpower, and services" OR hospitals OR hospital OR "Hospital Design and Construction" OR hospital-acquired OR nosocomial) AND (disease outbreaks OR infection control OR "Cross Infection" OR "Disease Reservoirs"). As reflected by the broad search statement, this review was originally designed to include all nosocomial waterborne infections and was subsequently narrowed to NTM-specific results due to literature volume.

We used Cochrane's Covidence online software for the process of screening search results. Three authors (TL, SF, MM) independently screened the search results' titles and abstracts for articles reporting water-related infections in health care settings. If two of three authors independently endorsed an article, then it was included in the full-text stage of screening. Conflicts in inclusion decisions were determined by TL. Full texts were reviewed by TL, and articles were chosen for data extraction. Reasons for full-text exclusion were noted. Data from eligible studies were extracted to a standardized spreadsheet. After extraction, ten percent of texts were subject to independent quality control by a second author (SF).

Data Extraction

For each eligible study, available descriptive data and characteristics were extracted: basic reference information, facility type, service received, water source, microorganism, process deficiencies, phenotypic and genotypic methods for determining relatedness of water and human samples, type of human sample, patient infection site, attack rate, mortality, length of outbreak (in months), outbreak control and prevention strategies, and patient risk factors for infection.

Synthesis of Results

Relevant results were tabulated and described to summarize participant characteristics, common sources of contamination, modes of transmission and rates of clinical disease. For those studies that reported this value, the composite median attack rate was calculated. Sensitivity analysis was performed by recalculating the median attack rate with only the studies with a low risk of bias. The methodological and clinical heterogeneity of the studies were qualitatively analyzed. Due to this heterogeneity, no meta-analysis was performed.

Risk of Bias

We assessed the risk of bias using criteria for observational studies designed by the CLARITY group at McMaster University (CLARITY group). This tool assesses study designs for case-control and cohort studies and was adapted for descriptive studies. Studies were rated for quality based on the criteria in Table 3. Each individual criterion question was rated on a scale of zero to three, with zero assigned for a response of definitely no and three assigned for a

response of definitely yes. One was assigned for probably no and two for probably yes. A

quality score between 0 and 18 was determined by these criteria. Studies with any zeroes or

multiple ones were rated low quality.

Table 3. Risk of Bias Criteria

Results

A total of 10,178 articles were identified, including 10,169 from databases and 9 from other sources. After removing duplicates, 8,063 titles and abstracts were screened, resulting in 356 articles for full-text review. After full-text review, 21 articles were included for data extraction and synthesis. The screening process and results are summarized in Figure 1. The results of data extraction are summarized in Table 4, and full evidence tables are included in Appendix Table 2.

Figure 1. PRISMA Flow Diagram

Study Design and Location

The 21 studies included eight case-control studies (Band et al., 1982; Bolan et al., 1985; Cooksey et al., 2008; Kline et al., 2004; Kuritsky et al., 1983; P W Lowry et al., 1990; Philip W Lowry et al., 1988; Soto et al., 1991), two case reports (Jaubert et al., 2015; Kauppinen, Nousiainen, Jantunen, Mattila, & Katila, 1999), three retrospective cohort studies (Carbonne et al., 2009; Meyers et al., 2002; Wenger et al., 1990), and eight case series (Ashraf et al., 2012; Astagneau et al., 2001; Baird et al., 2011; Flesner & Deresinski, 2011; Livni et al., 2008; Tagashira et al., 2015; Tobin-D'Angelo et al., 2004; von Reyn, Maslow, Barber, Falkinham, & Arbeit, 1994). These studies aimed to report and analyze the causes of outbreaks retrospectively. Though most studies included time periods of less than one year, included studies spanned from three months to four years. Numbers of infected patients ranged from single cases to a single outbreak of 49 patients (Astagneau et al., 2001). Most studies were from high-income countries, with one exception of a study from Mexico (Soto et al., 1991).

Health Care Setting

The outbreaks of mycobacterial infection occurred in a range of settings including outpatient procedure clinics (4), hemodialysis centers (3), inpatient hospital units (9), and operating rooms (4). Of the 13 studies occurring in the hospital, five were in hematologyoncology units (Baird et al., 2011; Cooksey et al., 2008; Kline et al., 2004; Livni et al., 2008; Tagashira et al., 2015) and four involved infections in the operating room (Astagneau et al., 2001; Flesner & Deresinski, 2011; Kuritsky et al., 1983; Soto et al., 1991). Of the eight studies in the outpatient setting, three were in dialysis centers (Band et al., 1982; Bolan et al., 1985; P W

Lowry et al., 1990), and four involved outpatient procedures (Carbonne et al., 2009; Philip W Lowry et al., 1988; Meyers et al., 2002; Wenger et al., 1990).

Infectious Causes and Routes of Exposure

Of the 21 studies included in this review, 11 involved rapidly growing mycobacteria (M. *fortuitum, M. abscessus, and M. chelonae*). Six studies involved *M. mucogenicum* as the infectious agent, and the remaining studies included *M. avium* (2), *M. immunogenum* (1), and *M. xenopi* (1).

All of the included studies had the water system identified as the ultimate source of NTM microorganisms, but different routes of patient exposure were reported. The most common source of these microorganisms was tap water from showers and sinks. Most of these patients were susceptible to infection through central venous catheters (Ashraf et al., 2012; Baird et al., 2011; Cooksey et al., 2008; Kline et al., 2004; Livni et al., 2008; Tagashira et al., 2015) or post-surgical wounds (Jaubert et al., 2015; Kauppinen et al., 1999). Another common route was through non-sterile water exposure during procedures. Two studies reported the use of tap water for rinsing equipment (Astagneau et al., 2001; Carbonne et al., 2009), and two reported contamination of suction and spray devices with tap water (P W Lowry et al., 1990; Philip W Lowry et al., 1988). Other procedure-related exposures included contamination of water reservoirs, such as distilled water for injections (Wenger et al., 1990) and water baths (Kuritsky et al., 1983). One study noted strain-specific NTM contamination of water pipes and multiple possible procedure-related routes of exposure, without establishing which route was the specific outbreak cause (Meyers et al., 2002).

Clinical Disease Manifestations

The manifestations of mycobacterial disease varied based on the route of exposure. Nine studies reported symptomatic bloodstream infection with fevers, largely related to central venous catheters (Ashraf et al., 2012; Baird et al., 2011; Bolan et al., 1985; Cooksey et al., 2008; Kauppinen et al., 1999; Kline et al., 2004; Livni et al., 2008; P W Lowry et al., 1990; Tagashira et al., 2015; von Reyn et al., 1994). Some of these studies also noted other clinical signs of infection such as abscesses (Bolan et al., 1985; Kauppinen et al., 1999), graft infections (Bolan et al., 1985; P W Lowry et al., 1990), and respiratory symptoms (von Reyn et al., 1994). Five studies reported soft tissue infection (Carbonne et al., 2009; Flesner & Deresinski, 2011; Kauppinen et al., 1999; Meyers et al., 2002; Wenger et al., 1990). One study noted respiratory symptoms only (Tobin-D'Angelo et al., 2004). Other specific areas of infection were related to procedures such as endocarditis after sternotomy (Kuritsky et al., 1983), spinal abscess after discovertebral surgery (Astagneau et al., 2001), and otorrhea and mastoiditis after tympanostomy (Philip W Lowry et al., 1988).

Water Infrastructure Deficiencies

Five studies included water system-related problems that contributed to the growth and spread of mycobacteria to patients. Two studies noted low levels of chlorination during outbreaks (Kline et al., 2004; Livni et al., 2008). Three studies reported water stagnation due to causes including generator failure during ongoing construction (Cooksey et al., 2008),

interrupted water supply (Baird et al., 2011), and water tank sediment (Astagneau et al., 2001). No other studies reported hospital water system deficiencies.

Patient Populations

Most of the patients involved in mycobacterial outbreaks belonged to a susceptible population. Six studies reported patients with malignancies. One study included a single patient with breast cancer (Kauppinen et al., 1999), while two included leukemia and lymphoma patients (Baird et al., 2011; Tagashira et al., 2015). Other studies included a variety of cancers (Livni et al., 2008) or did not specify the tumor type (Cooksey et al., 2008; Kline et al., 2004). Other immunocompromised populations included those with HIV/AIDS (human immunodeficiency virus/acquired immunodeficiency syndrome) (Tobin-D'Angelo et al., 2004; von Reyn et al., 1994) and chronic kidney disease (Band et al., 1982; Bolan et al., 1985; P W Lowry et al., 1990)

Two studies included pediatric populations. One reported an outbreak from a pediatric hematology-oncology unit (Livni et al., 2008), while another included a cluster of patients with infections after tympanostomy (Philip W Lowry et al., 1988).

Molecular Relatedness

All but one study (Carbonne et al., 2009) reported the phenotypic method of identifying environmental and clinical samples. Some studies employed methods other than the traditional stain and biochemical techniques to phenotypically identify strains. Five studies used antimicrobial susceptibility profiles to evidence strain relatedness (Bolan et al., 1985; P W

Lowry et al., 1990; Philip W Lowry et al., 1988; Meyers et al., 2002; Wenger et al., 1990), and five used HPLC to identify mycobacteria (Ashraf et al., 2012; Cooksey et al., 2008; Flesner & Deresinski, 2011; Kline et al., 2004; Meyers et al., 2002). More recent studies used gene sequencing (Jaubert et al., 2015; Livni et al., 2008; Meyers et al., 2002; Tagashira et al., 2015) and DNA probe technology to identify strains (Jaubert et al., 2015; Tobin-D'Angelo et al., 2004; von Reyn et al., 1994).

Twelve studies used genotypic methods of determining molecular relatedness. PFGE was the most common method used to determine molecular relatedness of strains (Carbonne et al., 2009; Cooksey et al., 2008; Flesner & Deresinski, 2011; Meyers et al., 2002; Tagashira et al., 2015; Tobin-D'Angelo et al., 2004; von Reyn et al., 1994), and the Tenover criteria was commonly used to interpret results. Less common genotypic methods included RAPD (Cooksey et al., 2008; Kline et al., 2004; Livni et al., 2008; Tagashira et al., 2015) and rep-PCR (Ashraf et al., 2012; Cooksey et al., 2008; Jaubert et al., 2015). The earliest study to use genotypic methods was in 1994 (von Reyn et al., 1994).

Heterogeneity

The included studies demonstrated both clinical and methodological heterogeneity. Methodologically, study designs ranged from single case reports to case-control and cohort studies. The risk of bias and study quality varied greatly based on design differences. Clinically, these studies included a range of patient populations, including adult and pediatric, immunocompromised, and immunocompetent, post-procedural patients. The studies reported different manifestations of disease depending on exposure route, from febrile bacteremia

through central venous catheters to soft tissue infection from direct wound exposures. In addition, the methods of determining the link between environmental and human samples varied. Water sampling and testing techniques were not standardized. Some studies swabbed the interior of peripherals, while others simply tested water from faucets and showers. More recent studies were more likely to use genotypic methods given its increased availability.

Attack Rate and Mortality

The attack rate quantifies how common exposure to mycobacteria results in clinical disease. Twelve studies reported attack rates, or reported the numbers of patients exposed and infected, such that attack rates could be calculated. The range of reported attack rates varied greatly among included studies, from 2% to 60%.

The definition of exposed population differed among studies, partially accounting for this discrepancy. Most studies defined exposure as those patients who received a given treatment in the time frame of the outbreak (Band et al., 1982; Bolan et al., 1985; Kline et al., 2004; Kuritsky et al., 1983; Philip W Lowry et al., 1988; Soto et al., 1991; Wenger et al., 1990). One study estimated this value based on general clinic trends but did not directly measure the number exposed (Astagneau et al., 2001). Two other studies defined exposure as those who received the treatment from a single practitioner in the outbreak period (Flesner & Deresinski, 2011; Meyers et al., 2002). One study did not describe its definition of exposure (Carbonne et al., 2009). To account for this heterogeneity, those studies that defined exposure by a single practitioner, and the one that did not define exposure were excluded from the summary measure calculation. The overall median attack rate was 12.1% (interquartile range, 11-27.2).

Mortality resulting from mycobacterial outbreaks was low. Eight of twenty-two studies did not report mortality rates. Of the studies that did report mortality, most reported no deaths as a result of NTM infection. Four studies reported deaths from underlying disease (Baird et al., 2011; Band et al., 1982; Livni et al., 2008; P W Lowry et al., 1990), and one study, which reported 14 deaths, did not specify the causes of death (Bolan et al., 1985). The only study that reported deaths directly related to mycobacterial infection reported two deaths out of six patients infected after cardiac surgery (Kuritsky et al., 1983). One patient suffered an embolic stroke after declining surgery for NTM infective endocarditis, while another died of unspecified complications of sternectomy and antibiotic therapy that the author attributed to the infection.

Strategies for Control and Prevention

Twelve studies reported their strategies for ending outbreaks and preventing future infections (Ashraf et al., 2012; Astagneau et al., 2001; Baird et al., 2011; Band et al., 1982; Bolan et al., 1985; Flesner & Deresinski, 2011; Jaubert et al., 2015; Kline et al., 2004; Kuritsky et al., 1983; Livni et al., 2008; Soto et al., 1991; Tagashira et al., 2015). Broadly, these strategies were related to ensuring CVC and wound sterility, implementing removal or adequate sterilization of infected equipment, caregiver education, and water supply disinfection. Four studies reported ending outbreaks after ensuring CVC coverage (Baird et al., 2011; Kline et al., 2004; Livni et al., 2008; Tagashira et al., 2015), and three after preventing wound contact with non-sterile water (Flesner & Deresinski, 2011; Jaubert et al., 2015; Kuritsky et al., 1983). Eight studies reported equipment disinfection or removal of disinfected equipment to end outbreaks (Ashraf et al., 2012; Astagneau et al., 2001; Baird et al., 2011; Band et al., 1982; Bolan et al., 1985; Kline et al.,

2004; Livni et al., 2008; Soto et al., 1991). Two studies included caregiver education about infection exposures (Ashraf et al., 2012; Kline et al., 2004), and three included water supply disinfection (Astagneau et al., 2001; Livni et al., 2008; Soto et al., 1991). Six of these studies included multiple control methods (Ashraf et al., 2012; Astagneau et al., 2001; Baird et al., 2011; Kline et al., 2004; Livni et al., 2008; Soto et al., 1991).

Risk of Bias

The tabulated results of the risk of bias assessment for individual studies are included in Appendix Table 1. This review included observational and descriptive studies, and risk of bias was, therefore, high in many included studies. Thirteen studies were considered high risk of bias and eight were a low risk of bias. All descriptive studies received at least one 0 due to the lack of a control group and were, therefore, all subject to high risk of bias. In addition, three case-control studies had a high risk of bias, all due to inadequately matching for the appropriate prognostic variables (risk of bias criteria 4) (Band et al., 1982; P W Lowry et al., 1990; Philip W Lowry et al., 1988).

In general, descriptive studies such as case reports and case series had the highest risk of bias because they report only on positive results, do not include a control group, and are inherently subject to selection and publication bias. Ten descriptive studies were included (Ashraf et al., 2012; Astagneau et al., 2001; Baird et al., 2011; Flesner & Deresinski, 2011; Jaubert et al., 2015; Kauppinen et al., 1999; Livni et al., 2008; Tagashira et al., 2015; Tobin-D'Angelo et al., 2004; von Reyn et al., 1994). All of these studies were assessed with low scores indicating a high risk of bias (Appendix Table 1). Conclusions about causation and

generalizability cannot be drawn from these descriptive studies. However, since this review focuses on qualitative and descriptive questions without questions of causation or intervention effectiveness, these descriptive studies were included.

The case series by Astagneau et al. exemplifies the weaknesses of these descriptive studies (Astagneau et al., 2001). This case series occurred after multiple NTM spinal infections were identified in surgical patients at a private French hospital. Given the lapse of years between onset and recognition of the outbreak, identification of exposed patients and case patients was nonspecific, and both definite and probable cases were included in the study. Additionally, though investigations pointed to the inappropriate use of tap water for rinsing equipment as the likely exposure, no genotypic studies were done to definitively link environmental and human samples.

Case-control studies include a non-infected control group matched on clinically relevant characteristics, and thus have a lower risk of bias. The control group allows for comparison of factors that may have contributed to the infection. However, those studies that use historical data from chart review and interviews are limited by the quality of information in charts and recall bias. Eight case-control studies were included in this review (Band et al., 1982; Bolan et al., 1985; Cooksey et al., 2008; Kline et al., 2004; Kuritsky et al., 1983; P W Lowry et al., 1990; Philip W Lowry et al., 1988; Soto et al., 1991).

Sensitivity Analysis and Quality Control

The attack rate was recalculated after excluding studies with a high risk of bias, resulting in an attack rate of 12.1% (interquartile range, 11.4-19). The inclusion of those studies that

defined exposure based on a single practitioner or did not clearly define exposure resulted in an overall attack rate of 17.1% (interquartile range, 11.3-29.3). The sensitivity analysis including only the low risk of bias studies demonstrated an unchanged attack rate with a narrower interquartile range.

Quality control on data extraction of two randomly selected studies was performed with 100% agreement.

Table 4. Abbreviated Results of Data Extraction

"-" indicates information was not reported

*samples

Discussion

This systematic review summarizes the common exposure routes, affected populations, and attack rate of waterborne nosocomial NTM infections. Most included studies involved immunocompromised and post-surgical patients. However, these studies' high risk of bias prevents conclusions about generalizable risk factors or susceptible populations. All included studies matched samples between the water system and patients, but reported a variety of exposure routes such as central venous catheters, hemodialysis, and wound exposures. The overall median attack rate was 12.1% (interquartile range, 11-27.2). This review described a variety of NTM disease presentations and revealed very low mortality rates. Clinical and methodological heterogeneity was high among the included studies, due to diverse patient populations and study designs. The risk of bias of most of the included studies, which were largely descriptive or observational, was also high.

Prior non-systematic reviews of nosocomial NTM infections have described similar findings on affected patients and exposures. Wallace et al. reported outbreaks in dialysis, HIV/AIDS, and post-surgical patients (Wallace, 1998). This review did not focus on waterborne infections, but did identify municipal and hospital water supplies as major NTM reservoirs among its included studies. Halstrom et al. included non-nosocomial and non-waterborne sources of NTM infection in their review, but also noted similar routes of water exposure in patients in health care settings (Halstrom, 2015). In another review article, Phillips et al. reported similar affected patients, but additionally noted that chronic lung disease patients were more susceptible to NTM infection (Phillips & von Reyn, 2001). Our review did not

demonstrate this finding in the health care setting. No prior review has reported an overall attack rate.

There were several limitations to this study. As previously mentioned, our research questions were descriptive, and most included studies were observational with high risks of bias. Sensitivity analysis without these lower quality studies resulted in a higher attack rate. Another limitation of this review is the heterogeneous results. The included patients had a variety of risk factors, representing differing susceptibilities. This review also combined different NTM species, with differing pathogenicities (Griffith et al., 2007). These varying studies were combined into a single summary measure and these factors should be considered when interpreting the summary measure.

Other limitations were related to the quality of evidence of included studies. Most studies relied on multiple water samples, but the detection of an outbreaks' environmental source is dependent on the sensitivity of this non-standardized testing. Given NTM's predilection for biofilms, differences in environmental sampling techniques may significantly affect this test's sensitivity. In many of the included studies, only a small fraction of environmental and human samples showed strain correlation (Appendix Table 2), and another review has noted similar results (Halstrom, 2015). This may demonstrate inconsistency or poor sensitivity in detecting microorganisms through environmental water sampling.

Another limitation of the evidence is the retrospective nature of all disease outbreak studies. These studies are initiated after an outbreak has been identified, and this limitation is largely unavoidable because storage of historical water samples for genetic testing in anticipation of an outbreak is impractical, and none of the studies reported such practices. No

studies reported testing for or identification of NTM in water supplies prior to outbreaks. In these studies, causation cannot be definitively established, and it is possible that microorganisms detected in water supplies did not predate the outbreak. However, the statistical increase in cases used to identify outbreaks and the use of PFGE testing to identify genetically related microorganisms in the incoming water supply provide strong causal evidence. Therefore, the conclusion that environmental samples represent the source of an outbreak requires assumption about their temporality.

Lastly, this review likely underestimates NTM disease due to unreported outbreaks as well as delayed disease presentation. NTM infections are not considered a reportable infection (Adams et al., 2014), and the incidence is likely underestimated. In addition, the indolent nature of NTM disease is a challenge for disease detection in outbreak studies. On average, NTM disease presents less than four weeks after initial exposure, but can take as long as nine months to present (Piersimoni & Scarparo, 2009). Therefore, those patients whose symptoms present after a significant delay or even after discharge may not attribute illness to nosocomial exposure. These patients may not be captured by investigators. One included study that attempted to notify exposed patients through mailed surveys demonstrated the challenge of identifying outbreak cases (Astagneau et al., 2001). This delayed presentation may also contribute to underestimation of NTM disease.

Reviewed studies uniformly demonstrated low mortality due to NTM disease. This high recovery rate is encouraging, but belies the extensive treatment regimens required to adequately treat NTM infections. Current guidelines recommend anywhere from four to twelve months of daily antimicrobial treatment for NTM infections, depending on the strain (Griffith et

al., 2007), and included studies reported similarly lengthy treatment courses and hospitalizations (Ashraf et al., 2012; Band et al., 1982; Jaubert et al., 2015; Kauppinen et al., 1999; Kuritsky et al., 1983). Though NTM infections rarely cause death, morbidity is high, and disease prevention should remain an important goal for health care providers.

Our results reveal great heterogeneity in the quality of nosocomial, waterborne NTM studies. A few studies also exemplify the potential of genotypic technology to more definitively identify an outbreak's origins and exposure risks. As genotypic technology is now widely available, the tools to conduct quality outbreak studies are more accessible. Additional highquality studies demonstrating the molecular link between disease source and exposure as well as prevention control strategies would help to inform evidence base policies on NTM infection prevention.

This review revealed that there have been few studies of nosocomial waterborne NTM in low- and middle-income countries. As the exposure routes in these studies demonstrate, many nosocomial waterborne NTM cases are preventable through simple process changes such as covering CVCs and open wounds and ensuring appropriate equipment disinfection, if common exposure routes are identified and health care providers are aware. Many studies reported no recurrence of infection after caregiver education and procedural changes to prevent exposure. Exposure routes and infrastructural deficiencies may be very different in developing countries, rural areas, and low resource settings. In order to understand the true burden of disease and exposure mechanisms in these circumstances, additional studies in developing countries are needed.

Conclusion

This review demonstrates the common exposures, susceptible populations, and attack rate of waterborne NTM infections in health care settings. This high morbidity, low mortality infection is highly preventable through a combination of medical and environmental efforts. In order to understand the global burden of this infection, additional high-quality studies using genotypic methods are needed, especially in low- and middle-income countries.

Funding: None to report

References

- Adams, D. A., Jajosky, R. A., Ajani, U., Kriseman, J., Sharp, P., Onwen, D. H., ... Aranas, A. E. (2014). Summary of notifiable diseases--United States, 2012. *MMWR. Morbidity and Mortality Weekly Report*, *61*(53), 1–121.
- Anaissie, E. J., Penzak, S. R., & Dignani, M. C. (2002). The hospital water supply as a source of nosocomial infections: a plea for action. Archives of Internal Medicine, 162(13), 1483-1492.
- Ashraf, M. S., Swinker, M., Augustino, K. L., Nobles, D., Knupp, C., Liles, D., ... Ramsey, K. M. (2012). Outbreak of Mycobacterium mucogenicum bloodstream infections among patients with sickle cell disease in an outpatient setting. Infection Control & Hospital Epidemiology, *33*(11), 1132–1136.
- Astagneau, P., Desplaces, N., Vincent, V., Chicheportiche, V., Botherel, A., Maugat, S., ... Brucker, G. (2001). Mycobacterium xenopi spinal infections after discovertebral surgery: investigation and screening of a large outbreak. *Lancet (London, England), 358*(9283), 747– 751.
- Baird, S. F., Taori, S. K., Dave, J., Willocks, L. J., Roddie, H., & Hanson, M. (2011). Cluster of nontuberculous mycobacteraemia associated with water supply in a haemato-oncology unit. *The Journal of Hospital Infection, 79(4), 339–343.* http://doi.org/10.1016/j.jhin.2011.07.006
- Band, J. D., Ward, J. I., Fraser, D. W., Peterson, N. J., Silcox, V. A., Good, R. C., ... Kennedy, J. (1982). Peritonitis due to a mycobacterium chelonei-like organism associated with intermittent chronic peritoneal dialysis. The Journal of Infectious Diseases, 145(1), 9-17.

Beggs, C. C. (2015). Environmental contamination and hospital-acquired infection: factors that are easily overlooked. *Indoor Air, 25*(5), 462–474. http://doi.org/10.1111/ina.12170

- Bolan, G., Reingold, A. L., Carson, L. A., Silcox, V. A., Woodley, C. L., Hayes, P. S., ... Petersen, N. J. (1985). Infections with Mycobacterium chelonei in patients receiving dialysis and using processed hemodialyzers. *The Journal of Infectious Diseases*, *152*(5), 1013–1019.
- Carbonne, A., Brossier, F., Arnaud, I., Bougmiza, I., Caumes, E., Meningaud, J.-P., ... Astagneau, P. (2009). Outbreak of nontuberculous mycobacterial subcutaneous infections related to multiple mesotherapy injections. *Journal of Clinical Microbiology*, 47(6), 1961–1964. http://doi.org/10.1128/JCM.00196-09
- Cervia, J. S., Ortolano, G. A., & Canonica, F. P. (2008). Hospital tap water: a reservoir of risk for health care-associated infection. *Infectious Diseases in Clinical Practice*, 16(6), 349–353.
- Cooksey, R. C., Jhung, M. A., Yakrus, M. A., Butler, W. R., Adékambi, T., Morlock, G. P., ... Srinivasan, A. (2008). Multiphasic Approach Reveals Genetic Diversity of Environmental and Patient Isolates of Mycobacterium mucogenicum and Mycobacterium phocaicum Associated with an Outbreak of Bacteremias at a Texas Hospital . Applied and *Environmental Microbiology*, *74*(8), 2480–2487. http://doi.org/10.1128/AEM.02476-07
- Covert, T. C., Rodgers, M. R., Reyes, A. L., & Stelma, G. N. J. (1999). Occurrence of nontuberculous mycobacteria in environmental samples. Applied and Environmental *Microbiology, 65(6), 2492-2496.* Retrieved from

http://www.ncbi.nlm.nih.gov/pubmed/10347032

- du Moulin, G., Stottmeier, K., Pelletier, P., Tsang, A., & Hedley-Whyte, J. (1988). COncentration of mycobacterium avium by hospital hot water systems. *JAMA*, 260(11), 1599–1601. Retrieved from http://dx.doi.org/10.1001/jama.1988.03410110107037
- Falkinham, J. O. 3rd. (1996). Epidemiology of infection by nontuberculous mycobacteria. *Clinical Microbiology Reviews*, *9*(2), 177–215.
- Flesner, C., & Deresinski, S. (2011). Investigation of an Outbreak of SSI Due to Mycobacterium immunogenum after Blepharoplasty. American Journal of Infection Control, 39(5), E204– E205. http://doi.org/10.1016/j.ajic.2011.04.034
- Griffith, D. E., Aksamit, T., Brown-Elliott, B. A., Catanzaro, A., Daley, C., Gordin, F., ... Iademarco, M. F. (2007). An official ATS/IDSA statement: diagnosis, treatment, and prevention of nontuberculous mycobacterial diseases. American Journal of Respiratory and Critical Care *Medicine*, *175*(4), 367–416.
- Halstrom, S. S. (2015). Review: Environmental mycobacteria as a cause of human infection. *International Journal of Mycobacteriology*, *4*(2), 81–91. http://doi.org/10.1016/j.ijmyco.2015.03.002
- Jaubert, J., Mougari, F., Picot, S., Boukerrou, M., Barau, G., Ali Ahmed, S.-A., ... Cambau, E. (2015). A case of postoperative breast infection by Mycobacterium fortuitum associated with the hospital water supply. American Journal of Infection Control, 43(4), 406–408. http://doi.org/10.1016/j.ajic.2014.12.023
- Johnson, M. M., & Odell, J. A. (2014). Nontuberculous mycobacterial pulmonary infections. *Journal of Thoracic Disease*, *6*(3), 210–220. http://doi.org/10.3978/j.issn.2072- 1439.2013.12.24
- Kauppinen, J., Nousiainen, T., Jantunen, E., Mattila, R., & Katila, M. L. (1999). Hospital water supply as a source of disseminated Mycobacterium fortuitum infection in a leukemia patient. *Infection Control and Hospital Epidemiology*, 20(5), 343–345. http://doi.org/10.1086/501629
- Kline, S., Cameron, S., Streifel, A., Yakrus, M. A., Kairis, F., Peacock, K., ... Cooksey, R. C. (2004). An outbreak of bacteremias associated with Mycobacterium mucogenicum in a hospital water supply. Infection Control and Hospital Epidemiology, 25(12), 1042-1049. http://doi.org/10.1086/502341
- Kuritsky, J. N., Bullen, M. G., Broome, C. V, Silcox, V. A., Good, R. C., & Wallace, R. J. J. (1983). Sternal wound infections and endocarditis due to organisms of the Mycobacterium fortuitum complex. Annals of Internal Medicine, 98(6), 938-939.
- Livni, G., Yaniv, I., Samra, Z., Kaufman, L., Solter, E., Ashkenazi, S., & Levy, I. (2008). Outbreak of Mycobacterium mucogenicum bacteraemia due to contaminated water supply in a paediatric haematology-oncology department. The Journal of Hospital Infection, 70(3), 253–258. http://doi.org/10.1016/j.jhin.2008.07.016
- Lowry, P. W., Beck-Sague, C. M., Bland, L. A., Aguero, S. M., Arduino, M. J., Minuth, A. N., ... Jarvis, W. R. (1990). Mycobacterium chelonae infection among patients receiving high-flux dialysis in a hemodialysis clinic in California. The Journal of Infectious Diseases, 161(1), 85-90.
- Lowry, P. W., Jarvis, W. R., Oberle, A. D., Bland, L. A., Silberman, R., Bocchini, J. A., ... Wallace, R. J. (1988). Mycobacterium chelonae Causing Otitis Media in an Ear-Nose-and-Throat

Practice. New England Journal of Medicine, 319(15), 978-982. http://doi.org/10.1056/NEJM198810133191504

- Mandel, A. S., Sprauer, M. A., Sniadack, D. H., & Ostroff, S. M. (1993). State regulation of hospital water temperature. *Infection Control and Hospital Epidemiology*, 14(11), 642–645.
- McHugh, M., Van Dyke, K., Osei-Anto, A., & Haque, A. (2011). Medicare's Payment Policy for Hospital-Acquired Conditions: Perspectives of Administrators From Safety Net Hospitals. *Medical Care Research and Review* , *68* (6), 667–682. http://doi.org/10.1177/1077558711408326
- Meyers, H., Brown-Elliott, B. A., Douglas, M., Curry, J., Cecile, T., Yansheng, Z., & Wallace, R. J. (2002). An outbreak of Mycobacterium chelonae infection following liposuction. *Clinical Infectious Diseases*, *34*(11), 1500–1507.
- Miyamoto, M., Yamaguchi, Y., & Sasatsu, M. (2000). Disinfectant effects of hot water, ultraviolet light, silver ions and chlorine on strains of Legionella and nontuberculous mycobacteria. *Microbios*, *101*(398), 7–13.
- Peters, M., Muller, C., Rusch-Gerdes, S., Seidel, C., Gobel, U., Pohle, H. D., & Ruf, B. (1995). Isolation of atypical mycobacteria from tap water in hospitals and homes: is this a possible source of disseminated MAC infection in AIDS patients? *The Journal of Infection*, 31(1), 39-44.
- Phillips, M. S., & von Reyn, C. F. (2001). Nosocomial infections due to nontuberculous mycobacteria. *Clinical Infectious Diseases : An Official Publication of the Infectious Diseases Society of America*, *33*(8), 1363–1374. http://doi.org/10.1086/323126
- Piersimoni, C., & Scarparo, C. (2009). Extrapulmonary Infections Associated with Nontuberculous Mycobacteria in Immunocompetent Persons. *Emerging Infectious Diseases*, *15*(9), 1351–1358. http://doi.org/10.3201/eid1509.081259
- Russell, A. D. A. D. (1999). Bacterial resistance to disinfectants: present knowledge and future problems. *The Journal of Hospital Infection*, 43 Suppl, S57–68.
- Sabat, A. J., Budimir, A., Nashev, D., Sá-Leão, R., Van Dijl, J. M., Laurent, F., ... (ESGEM), E. S. G. of E. M. (2013). Overview of molecular typing methods for outbreak detection and epidemiological surveillance. *Euro Surveill*, 18(4), 20380.
- Scorzolini, L., Mengoni, F., Mastroianni, C. M., Baldan, R., Cirillo, D. M., De Giusti, M., ... Vullo, V. (2016). Pseudo-outbreak of Mycobacterium gordonae in a teaching hospital: importance of strictly following decontamination procedures and emerging issues concerning sterilization. The New Microbiologica, 39(1), 25-34.
- Scott, R. D. (2009). *The direct medical costs of healthcare-associated infections in US hospitals* and the benefits of prevention. Atlanta, GA: Division of Healthcare Quality Promotion National Center for Preparedness, Detection, and Control of Infectious Diseases, Centers for Disease Control and Prevention. Retrieved from http://www.cdc.gov/hai/pdfs/hai/scott_costpaper.pdf
- Soto, L. E., Bobadilla, M., Villalobos, Y., Sifuentes, J., Avelar, J., Arrieta, M., & Ponce de Leon, S. (1991). Post-surgical nasal cellulitis outbreak due to Mycobacterium chelonae. The Journal *of Hospital Infection*, *19*(2), 99–106.
- Tagashira, Y., Kozai, Y., Yamasa, H., Sakurada, M., Kashiyama, T., & Honda, H. (2015). A cluster of central line-associated bloodstream infections due to rapidly growing nontuberculous mycobacteria in patients with hematologic disorders at a Japanese tertiary care center: an

outbreak investigation and review of the literature. Infection Control and Hospital *Epidemiology*, *36*(1), 76–80. http://doi.org/10.1017/ice.2014.14

- Tenover, F. C., Arbeit, R. D., Goering, R. V, Mickelsen, P. A., Murray, B. E., Persing, D. H., & Swaminathan, B. (1995). Interpreting chromosomal DNA restriction patterns produced by pulsed-field gel electrophoresis: criteria for bacterial strain typing. *Journal of Clinical Microbiology, 33(9), 2233-2239.* Retrieved from http://www.ncbi.nlm.nih.gov/pmc/articles/PMC228385/
- Tobin-D'Angelo, M. J., Blass, M. A., del Rio, C., Halvosa, J. S., Blumberg, H. M., & Horsburgh, C. R. J. (2004). Hospital water as a source of Mycobacterium avium complex isolates in respiratory specimens. The Journal of Infectious Diseases, 189(1), 98-104. http://doi.org/10.1086/380492
- Torvinen, E., Lehtola, M. J., Martikainen, P. J., & Miettinen, I. T. (2007). Survival of Mycobacterium avium in Drinking Water Biofilms as Affected by Water Flow Velocity, Availability of Phosphorus, and Temperature . Applied and Environmental Microbiology, *73* (19), 6201–6207. http://doi.org/10.1128/AEM.00828-07
- Vaerewijck, M. J. M., Huys, G., Palomino, J. C., Swings, J., & Portaels, F. (2005). Mycobacteria in drinking water distribution systems: ecology and significance for human health. *FEMS Microbiology Reviews, 29*(5), 911–934. Retrieved from http://femsre.oxfordjournals.org/content/29/5/911.abstract
- von Reyn, C. F., Maslow, J. N., Barber, T. W., Falkinham, J. O. 3rd, & Arbeit, R. D. (1994). Persistent colonisation of potable water as a source of Mycobacterium avium infection in AIDS. *Lancet (London, England)*, *343*(8906), 1137–1141.
- Wallace, R. J. R. J. (1998). Nosocomial outbreaks/pseudo-outbreaks caused by nontuberculous mycobacteria. *Annual Review of Microbiology*, *52*(1), 453–490. http://doi.org/10.1146/annurev.micro.52.1.453
- Wenger, J. D., Spika, J. S., Smithwick, R. W., Pryor, V., Dodson, D. W., Carden, G. A., & Klontz, K. C. (1990). Outbreak of Mycobacterium chelonae infection associated with use of jet injectors. *JAMA*, *264*(3), 373–376.
- WHO, & UNICEF. (2015). Water, sanitafion and hygiene in health care facilifies: Status in low*and middle-income countries and way forward*. *World Health Organization*. Geneva.
- Zlojtro, M., Jankovic, M., Samarzija, M., Zmak, L., Jankovic, V. K., Obrovac, M., ... Jakopovic, M. (2015). Nosocomial pseudo-outbreak of Mycobacterium gordonae associated with a hospital's water supply contamination: a case series of 135 patients. *Journal of Water and Health*, *13*(1), 125–130. http://doi.org/10.2166/wh.2014.061

Appendix **PRISMA** checklist

Risk of Bias tables (appendix table 1)

 $0 =$ definitely no, $1 =$ probably no, $2 =$ probably yes, $3 =$ definitely yes Low risk of bias studies highlighted in yellow

Full evidence tables (Appendix Table 2)

*unclear etiology

**isolates

***author correspondence