Progression to AIDS: The Effects of Stress, Depressive Symptoms, and Social Support

JANE LESERMAN, PHD, ERIC D. JACKSON, BS, JOHN M. PETITTO, MD, ROBERT N. GOLDEN, MD, SUSAN G. SILVA, PHD, DIANA O. PERKINS, MD, JIANWEN CAI, PHD, JAMES D. FOLDS, PHD,

/iew metadata, citation and similar papers at core.ac.uk

brought to you by CORE

infection. **Methods:** Eighty-two HIV-infected gay men without symptoms or AIDS at baseline were followed up every 6 months for up to 5.5 years. Men were recruited from rural and urban areas in North Carolina as part of the Coping in Health and Illness Project. Disease progression was defined using criteria for AIDS (CD4⁺ lymphocyte count of $<200/\mu$ l and/or an AIDS-indicator condition). **Results:** We used Cox regression models with time-dependent covariates, adjusting for age, education, race, baseline CD4⁺ count, tobacco use, and number of antiretroviral medications. Faster progression to AIDS was associated with more cumulative stressful life events (p = .002), more cumulative depressive symptoms (p = .008), and less cumulative social support (p = .0002). When all three variables were analyzed together, stress and social support remained significant in the model. At 5.5 years, the probability of getting AIDS was about two to three times as high among those above the median on stress or below the median on social support compared with those below the median on stress or above the median on support, respectively. **Conclusions:** These data are among the first to demonstrate that more stress and less social support may accelerate the course of HIV disease progression. Additional study will be necessary to elucidate the mechanisms that underlie these relationships and to determine whether interventions that address stress and social support can alter the course of HIV infection. **Key words:** HIV, AIDS, stress, social support, depression.

HIV = human immunodeficiency virus; HDRS = Hamilton Depression Rating Scale; CDC = Centers for Disease Control and Prevention.

INTRODUCTION

There is growing evidence that psychosocial factors, such as stress and depression, may have a harmful impact on the outcome of a variety of diseases (1-6). For example, among cancer patients, severe life stress has been associated with a greater probability of relapse (5), and psychosocial interventions to improve coping with stress have resulted in longer survival (3, 4). Although there is evidence that stress and depression may impair cellular immunity (7-12), the clinical relevance of these alterations has not been established in HIV.

Address reprint requests to: Jane Leserman, PhD, Research Associate Professor, Department of Psychiatry, CB 7160, University of North Carolina School of Medicine, Chapel Hill, NC 27599-7160. Email: JLeserman@css.unc.edu

Received for publication September 23, 1998; revision received January 28, 1999.

Given the multifactorial nature of HIV disease, and the wide variability in the progression of HIV infection, recent studies have examined the impact of psychological variables on the course of this disease (13– 21). We found stress-associated reductions in killer lymphocytes in HIV-infected men at baseline (16) and recently reported that severe stress and depressive symptoms were related to declines in several lymphocyte subsets over a 2-year period (20). Furthermore, we found that severe stress was associated with a greater risk of early HIV disease change in men studied for up to 3.5 years (21). For the investigation described in this report, we followed the same cohort for up to 5.5 years to examine the effects of stress, depressive symptoms, and social support on progression to AIDS.

Findings on the importance of stress and depression in predicting decline in immune status and disease course have been inconsistent. In one meta-analysis, depressive symptoms, but not stressors, were shown to be longitudinally related to self-reported symptoms of HIV infection (17). Furthermore, neither stress nor depressive symptoms were related to changes in CD4⁺ lymphocyte numbers in peripheral blood or other commonly accepted markers of HIV disease progression. Stress, however, was associated with declines in natural killer cell cytotoxicity and numbers of natural killer cells, a finding consistent with those of our recent studies (16, 20). The mixed findings in the metaanalysis may be explained by the cited studies having short follow-up periods and measuring depressive symptoms only at baseline rather than making repeated assessments more proximal to disease change.

More recent studies, conducted over longer time intervals, have found significant relationships be-

From the Departments of Psychiatry (J.L., E.D.J., R.N.G., S.G.S., D.O.P.), Medicine (J.L.), and Pathology and Laboratory Medicine (J.D.F.), University of North Carolina School of Medicine, Chapel Hill, North Carolina; Department of Biostatistics, University of North Carolina (J.C.), Chapel Hill, North Carolina; Departments of Psychiatry, Neuroscience, and Pharmacology, University of Florida College of Medicine (J.M.P.), Gainesville, Florida; and Departments of Psychiatry, Medicine, and Neuroscience, University of Pennsylvania School of Medicine (D.L.E.), Philadelphia, Pennsylvania.

tween depressive symptoms and HIV disease progression. In the San Francisco Men's Health Study, a 9-vear longitudinal study of seropositive men, researchers found that higher levels of depression at entry were associated with faster progression to AIDS (19). Median time to first AIDS diagnosis was 6.2 years for those who were depressed at baseline, compared with 7.6 years for those who were not depressed. These findings at 9 years are at odds with earlier data at 5 years from the same cohort. The earlier data showed no relationship between baseline depression and AIDS diagnosis, although an association between depression and decline in CD4⁺ lymphocytes was found at this time point (14). In another recent analysis of the same cohort, researchers found that those who had elevated depression symptoms at every visit had a 1.7 times greater risk of mortality compared with those who never had an elevated depression score (18).

An initial analysis from the Multicenter AIDS Cohort Study found no relationship between depression at study entry and progression of HIV infection, defined as time to AIDS, death, or decline in CD4⁺ lymphocytes (15). In later analyses of these data, researchers found that self-reported depressive symptoms seemed to rise 1.5 years before AIDS diagnosis (22). The researchers interpret these findings as an indication that depression may increase toward the later stages of HIV infection and thus be a manifestation of the disease process. A subsequent survival analysis of these data, however, using level of depressive symptoms during the 6 months before AIDS diagnosis, showed no relationship between depression and time to death (23).

Fewer studies have examined the impact of stress and social support on disease progression. In previous analyses of our cohort, we showed that the risk of early HIV disease progression was doubled with every severe stressor per 6-month study interval (21). Stress was measured by interview using ratings based on the context or specific circumstances surrounding the events or difficulties. In addition, we found that stress and depressive symptoms, especially in combination, were associated with decreases in natural killer and CD8⁺ cytotoxic T lymphocyte numbers, but not declines in number of CD4⁺ T-helper cells, from entry to 2-year follow-up (20). Using a similar interview-based stress rating to that used in the present study, the San Diego Neurobehavioral HIV Center found that those who reported no severe life stress and few depressive symptoms had less decline in the percentage of CD4⁺ lymphocytes after 6 months compared with those with higher scores on these psychological risk factors (24). Similarly, Ironson et al. (25) found that men with greater distress at the time of HIV serostatus notification had a greater chance of developing HIV-related clinical symptoms at 2-year follow-up. Another study showed that the stress of bereavement before study entry was associated with more rapid decline in $CD4^+$ count over 3 to 4 years (26). It is noteworthy that studies using short follow-up periods and/or question-naire methods to assess life stress have not shown an association of stress with reduction in $CD4^+$ lymphocyte counts over time (27–29).

Studies that have examined the effects of social support on HIV disease progression are lacking. In a small study of hemophiliac patients, those with less social support had faster deterioration in $CD4^+$ lymphocyte counts over a 5-year period (30). Another study showed that HIV-infected subjects were more likely to become symptomatic after 6 months if they had less social support, but only among those with low initial $CD4^+$ lymphocyte counts (31).

In this article, we report findings in a cohort of gay men studied every 6 months for up to 5.5 years. We hypothesized that higher scores on measures of depression, more stressful life events, and less social support would put men at greater risk for faster HIV disease progression to AIDS. The study design included several notable features: (1) stressful life events were based on contextual ratings from a semistructured interview, (2) time-dependent covariates in survival analysis were used to assess psychosocial effects on disease progression, (3) subjects were followed prospectively for up to 5.5 years, and (4) none of our subjects were taking protease inhibitors before disease change-(protease inhibitors are the most effective medical treatment for preventing HIV viral replication).

METHODS

Study Group

Data were collected in North Carolina as part of an ongoing longitudinal study, the Coping in Health and Illness Project. The study was approved by the University of North Carolina School of Medicine Committee for the Protection of the Rights of Human Subjects, and all subjects provided written informed consent. The study group included 82 HIV-infected gay men recruited from rural and urban areas of North Carolina from 1990 to 1992. All subjects were clinically asymptomatic with CD4⁺ lymphocyte counts above $200/\mu$ l at study entry. Men were assessed at 6-month intervals for up to 5.5 years (12 visits). All subjects had at least two visits (mean number of visits = 8.52, SD = 2.99).

All volunteers were screened to exclude from initial participation those with (1) less than 10 years of education; (2) age of less than 18 or more than 50 years; (3) previous intravenous drug use; (4) significant medical illness (eg, heart, lung, or kidney disease); (5) preexisting neurological disorder or trauma (eg, head injury, stroke, or seizures); (6) past treatment for alcoholism or current heavy alcohol use (>60 drinks per month); (7) present or past heavy recreational drug use; (8) use of zidovudine or other antiretroviral medications; and (9) HIV-related symptoms, that is, those meeting the 1987 CDC criteria for AIDS or AIDS-related complex (32) (eg, night sweats; herpes zoster; oral candidiasis; hairy leukoplakia; shingles; unexplained temperature, diarrhea, weight loss, or fatigue in the presence of other symptoms).

Procedure

Subjects were evaluated every 6 months at the General Clinical Research Center at the University of North Carolina, Chapel Hill. Subjects underwent systematic medical, neurological, neuropsychological, and psychiatric assessments performed by specialists in these areas. All subjects were asked to abstain from over-the-counter medications known to affect immune response, recreational drugs, and alcohol consumption for at least 2 weeks before each study visit; we did not perform a toxicology screen to confirm compliance.

At each visit, subjects took nothing by mouth starting at midnight before blood was drawn the next morning. Subjects were recumbent, and an intravenous line was started at 8:00 AM in an antecubital vein, which was kept patent with a slow normal saline drip. To control for circadian effects, and the possibility of acute stress reaction from insertion of the intravenous line, blood was drawn after 70 minutes of rest after line placement (33).

Measurement

All psychological, psychosocial, and medical variables were measured every 6 months, except social support, which was assessed yearly. To take advantage of the data gathered at each time point, we used survival analysis with time-dependent covariates, as explained in the statistical section of this article. For more details on the measures, see our previous articles (16, 20).

Depression

To determine whether subjects had a major depression during each 6-month interval, all men underwent a structured psychiatric interview (modified Structured Clinical Interview for DSM-III-R Disorders) (34); diagnoses were assigned at consensus diagnostic conferences (35). Severity of depressive symptoms was measured with the interviewer-based HDRS (36). We eliminated six of the 17 medical symptom items (eg, somatic symptoms, weight loss, and retardation) that overlapped with symptoms of HIV disease to help avoid confounding the measure with disease progression. In a previous article, we reported a high correlation between the original scale (HDRS-17) and the 11-item version (HDRS-11) (20). Higher scores on the HDRS-11 indicated more depressive symptoms during the previous week.

Stressful Life Events

To obtain information about stressful life events and difficulties, we modified the semistructured interview, the Psychiatric Epidemiology Research Interview (37). Subjects indicated which of the 111 stresses they had experienced during the preceding 6 months. Interviewers questioned subjects in detail about the context of endorsed events (discrete incidents, eg, losing a job, a death, or relationship breakup) and difficulties (chronic stresses occurring over a 1-month period or more, eg, financial difficulties, caretaking, or ongoing conflict at work). Stresses were objectively rated using a manual of norms and vignettes, a methodology similar to that developed by Brown and Harris (38) and modified by Patterson et al. (24) and Grant et al. (39). Norms for each stress were based on the degree of threat that most people would experience given the particular circumstances (eg, financial impact, degree of control, life threat, and personal involvement). The objective threat rating was made independent of the subject's rating and his way of coping with the stress, to reduce the possibility that worsening disease led to poorer coping and higher stress scores.

One of two trained raters (previously shown to have high interrater reliability) (16) used the manual to rate, from 0 (no threat) to 4 (severe threat), the long-term impact (1 week after the event) of each stressful event and the threat associated with each difficulty. All ratings for each stressful event and difficulty were summed at each visit, except that we removed those stresses that we knew were likely to be caused by disease progression (eg, drop in CD4⁺ count or retirement due to HIV worsening).

Social Support

To assess the degree of satisfaction with social support, we administered the Sarason Brief Social Support Questionnaire (40) on a yearly basis (Cronbach's α at baseline = 0.89) (41). The scale can range from 1 (very dissatisfied with the support received) to 6 (very satisfied). Support scores from the previous yearly interval were used to estimate scores at each 6-month visit. The correlation between visits 1 year apart ranged from 0.40 to 0.68. The Sarason Brief Social Support Questionnaire also allows for quantification of number of support persons.

Disease Status

All of the men knew their HIV status at baseline, which was based on enzyme-linked immunosorbent assay screening with Western blot analysis for confirmation of the presence of anti-HIV antibodies. We defined disease progression as the first time point when a subject met the CDC AIDS surveillance case definition (42), that is, reduction in CD4⁺ lymphocytes to below 200/ μ l and/or presence of an AIDS-indicator condition (clinical stage C). A comprehensive physical examination was performed every 6 months by a clinician trained to assess HIV disease stages. Peripheral blood CD4⁺ lymphocyte counts were performed by flow cytometry using commercially prepared monoclonal antibodies (Becton Dickinson, Mountain View, CA). Absolute CD4⁺ count was derived from CD4⁺ cell percentages × total lymphocytes × 100.

Control Variables

We assessed the number of antiretroviral medications used (eg, zidovudine, dideoxyinosine, and dideoxycytidine) every 6 months. No subjects were on antiretroviral medication at study entry; however, the number of antiretroviral medications used ranged from zero to three during the study. Age, years of education, race (nonwhite or white), and use of tobacco (presence or absence) were obtained at baseline by questionnaire.

Statistical Methods

We computed descriptive statistics and performed t tests (χ^2 for race and tobacco use) for all baseline control variables, comparing those who progressed to AIDS with those who did not. Kaplan-Meier estimates and log rank tests were obtained to estimate the survival probability for psychological and psychosocial variables, which were grouped by dividing subjects at the median on their average scores for these variables. Averages were computed using all time points before the visit when AIDS was diagnosed or using all available time points for those without AIDS.

Cox regression models with time-dependent covariates (SAS procedure PROC PHREG with the EXACT method for handling ties) (43) were used to calculate the risk of AIDS associated with each psychosocial variable. We used time-dependent covariates at regular intervals for number of antiretroviral medications, stressful life events, depression, depressive symptoms, and social support. Because we hypothesized that these variables might have an additive effect on disease progression, we used cumulative averages of the measures. The time-dependent scores at each visit were based on the average of scores before that visit if progression to AIDS occurred at that visit; for subjects who had not progressed at that visit, we used the average of scores up to and including that visit. For example, a subject's stress score at visit 3 was the average of scores for visits 1 and 2 if AIDS was diagnosed at visit 3; for those who had not progressed at this visit, stress scores were the average of visits 1 through 3. All survival analyses were done adjusting for the following variables: number of antiretroviral medications, treated as a time-dependent covariate, and baseline age, years of education, race, CD4⁺ count, and tobacco use. We first fit the model with only control variables. Next, we examined the effect of each psychosocial variable separately, adjusting for control variables. We first examined psychosocial variables separately because the correlations between psychosocial variables might obscure some of the findings. Finally, we fit the model with psychological and psychosocial variables entered simultaneously with control variables.

For the small number of men who missed visits but continued to participate in the study, these missing data points were estimated from the previous 6-months data. We checked the proportionality assumption of the time-independent variables by testing the statistical significance of the interactions between those variables and follow-up time; none were significant. We checked the linearity of the covariate effects by adding in the squared term of each covariate; the squared term was always nonsignificant. We also checked for outliers by examining the distributions of depressive symptoms and stressful life events as well as performing square root transformations on depressive symptoms; outliers did not account for our results. Because of our strict initial exclusion criteria, we had so few men with DSM-III-R alcohol dependence (N = 6) or drug dependence (N = 1) that we were unable to evaluate the effects of these variables.

RESULTS

At entry, the 82 men were an average of 30.3 years old (SD = 5.9 years) and had an average of 14.3 years of education (SD = 2.4 years). Seventy-nine percent were white; all but one of the nonwhites were African American. At the beginning of the study, all men were clinically asymptomatic (CDC stage A1 or A2) with a mean CD4⁺ lymphocyte count of 397.4 (SD = 133.6).

During the study, 33% progressed to AIDS; the average time to AIDS progression was 2.6 years (SD = 1.4 years). The average length of time in the study was 3.6 years (SD = 1.7 years) for those without AIDS. The number of men studied at 1, 2, 3, 4, 5, and 5.5 years was 77, 69, 62, 55, 21, and 13, respectively. The last year had far fewer men because many had not been scheduled for their 5-year visit. Only eight of the 82 (9.8%) men died of HIV-related causes during this 5.5-year period. The mean stress scores at all visits ranged from 3.0 to 9.9 (range of individual scores =

0-23). The mean depressive symptoms scores at all visits ranged from 1.5 to 2.5 (range of individual scores = 0-21). The mean social support scores at all visits ranged from 4.9 to 5.2. No subjects were taking protease inhibitors before diagnosis of AIDS or before study participation ended for those without disease change.

Table 1 provides descriptive information about the baseline control variables, comparing men who progressed to AIDS to those without AIDS. There were no statistically significant differences between those with and without AIDS in race, age, education, and tobacco use. As expected, men who developed AIDS tended to have lower CD4⁺ lymphocyte counts at baseline than men who did not progress.

Survival analysis techniques were used to show the simultaneous effects of control variables on HIV progression to AIDS (Table 2). Race and baseline CD4⁺ lymphocyte count were significant predictors of AIDS diagnosis. White men had five times the risk of developing AIDS compared with nonwhite men. For every 1-cell/ μ l decrease in CD4⁺ T-helper cell count at baseline, the risk of developing AIDS increased by about 1%. Likewise, for every 100-cell/ μ l decrease in CD4⁺ T-helper cell count, a man had slightly more than a two-fold increased risk of AIDS. Note that age, years of education, tobacco use, and number of antiretroviral medications were not significant in predicting disease progression.

Figures 1, 2, and 3 show the Kaplan-Meier estimates of the distributions of time until AIDS diagnosis, dividing subjects by the medians of stressful life events (median = 5.4), depressive symptoms (median = 1.65), and social support (median = 5.15). There were significant differences between the survival distributions based on grouped data for stress (p = .01), depressive symptoms (p = .007), and social support (p =

 TABLE 1. Descriptive Data on Control Variables at Entry by

 Disease Progression Status

Control Variables	AIDS Progression			
at Entry	With AIDS $(N = 27)$	Without AIDS $(N = 55)$	p^{a}	
Race (% white)	88.9	74.5	.13	
Age (yr) ^b	30.1 ± 5.2	30.3 ± 6.3	.87	
Education (yr) ^b	14.3 ± 2.3	14.1 ± 2.5	.70	
CD4 ⁺ lymphocyte count (cells/µl) ^b	337.9 ± 97.4	426.7 ± 139.9	.001	
Tobacco use (% yes)	44.4	41.8	.82	

^a p values for age, education, and CD4⁺ lymphocyte count were based on t tests comparing those with AIDS with those without AIDS; p for race and tobacco use were based on χ^2 tests. ^b Mean \pm SD.

 TABLE 2.
 Cox Regression Model: Hazard of AIDS Diagnosis

 With Control Variables^a

	β	р	Hazard Ratio	95% Confidence Interval
Age	-0.02	.51	0.98	0.91-1.05
Education	-0.05	.61	0.95	0.78-1.16
Race ^b	1.61	.01	5.01	1.37-18.33
Baseline CD4 ⁺ count (cells/ μ l)	-0.01	.0003	0.99	0.99-1.00
Tobacco use ^c	0.23	.61	1.26	0.52-3.04
Number of antiretrovirals	-0.11	.83	0.90	0.33-2.43

^a Results are from a Cox regression model with number of antiretroviral medications used (0 to 3) as a time-dependent covariate using cumulative proportions. Other variables are baseline measures. All variables were simultaneously entered into the models. β refers to the unstandardized regression coefficient estimate.

^b 0 = nonwhite; 1 = white.

 $^{c} 0 = no; 1 = yes.$

.008) but not for major depression (p = .13). At 66 months, those below the median on stressful life events had a 28% higher probability of being free of AIDS compared with those above the median. Likewise, those below the median on depressive symptoms at 66 months had a 39% higher probability of being free of AIDS compared with those above the median at that time. Finally, at 66 months, those above the median on social support had a 40% higher probability of being free of AIDS compared with those below the median.

Table 3 shows the separate effects of each psychological and psychosocial variable (as time-dependent covariates) on the risk of progressing to AIDS, adjusting for control variables. For each 1-point increase in cumulative average stressful life events (range of cumulative averages from 0 to 23), the risk of AIDS progression increased by 21%. For every 4-point increase in average stressful life events, the equivalent of one severe stressor or two moderate stressors, the risk of AIDS was doubled.

For each 1-point increase in cumulative average depressive symptoms (HDRS-11) (range of cumulative average = 0–11.7), there was a 30% increased risk of AIDS. Likewise, for every 3-point increase in average depressive symptoms, the equivalent of one severe symptom, the risk of AIDS progression doubled. Identical results were found using the original HDRS-17. Although not significant, there was a trend for major depression to be associated with faster AIDS progression. Having at least one major depression (before disease progression or end of study participation) was more common among those with AIDS (33.3%) compared with those without AIDS (16.4%) ($\chi^2 = 3.04$, p = .08).

Table 3 also shows that for each point increase in cumulative average social support satisfaction (range of cumulative average = 2.3-6.0), the risk of AIDS progression decreased by 63%. Stated another way, with each 1-point decrease in cumulative average social support satisfaction, the risk of AIDS increased 2.7 times. Number of social support persons was unrelated to AIDS progression.

In the last step, we tested stress, depressive symptoms, and social support in the model together with control variables (see Table 4). Note that race, baseline $CD4^+$ lymphocyte count, stressful life events, and social support remained significant in the model. Depressive symptoms was reduced to nonsignificance by the variables of stressful life events and social support. Depressive symptoms was somewhat correlated with both stressful life events (median r = 0.21) and social support (median r = -0.21). This shared variance may have diminished the association of depressive symptoms with disease progression. None of the interactions between psychosocial variables were significant in predicting progression to AIDS.

DISCUSSION

It is widely believed that stress can affect one's health. Studies have found that stressful events are related to decreases in immune status in a variety of populations (7, 9). Our study is among the first longterm prospective studies to provide preliminary evidence that the cumulative experience of stressful events and difficulties and social support may have a measurable impact on disease progression in HIV-infected men.

Specifically, we showed that for every 4-point increase in cumulative average stressful life events, equivalent to one severe stressor or two moderate stressors, the risk of AIDS was doubled. For each 1-point decrease in cumulative average social support, the risk of AIDS increased almost three-fold. At 5.5 years, the probability of getting AIDS was about two to three times higher among those above the median on stress or below the median on social support as compared with those below the median on stress or above the median on support, respectively.

Although depressive symptoms was also related to increased risk of AIDS, only stress and social support remained significant in the model when the three psychological variables were considered together. Depressive symptoms was moderately correlated with the stress and social support measures, perhaps explaining a similar part of the variance in AIDS progression. The effects of stress and social support were shown after controlling for possible confounding factors (eg,

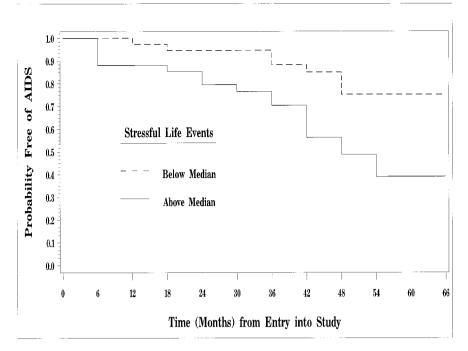


Fig. 1. Kaplan-Meier estimate of the distribution of time (in months) until AIDS diagnosis by stressful life events.

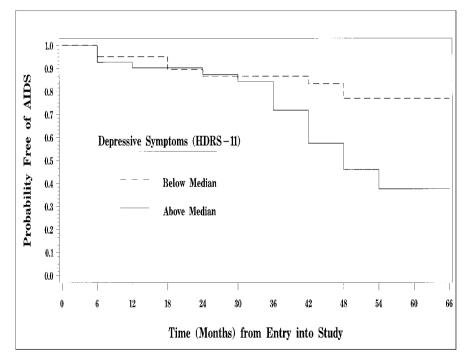


Fig. 2. Kaplan-Meier estimate of the distribution of time (in months) until AIDS diagnosis by depressive symptoms.

age, education, race, baseline helper cells, tobacco use, and number of antiretroviral medications); none of our men were taking protease inhibitors before the onset of AIDS.

Major depression episodes were not significantly related to AIDS progression; there was a nonsignificant

trend for those with AIDS to be twice as likely to have had one or more major depressions before AIDS onset compared with those who did not progress. Few men were depressed at any time point, which may explain why the diagnosis of depression was unrelated to AIDS progression, whereas the depressive symptoms

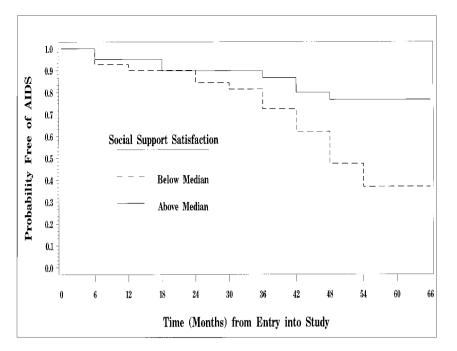


Fig. 3. Kaplan-Meier estimate of the distribution of time (in months) until AIDS diagnosis by social support satisfaction.

TABLE 3. Four Cox Regression Models: Hazard of AIDS
Diagnosis With Time-Dependent Psychological and Psychosocial
Variables ^a

	β	р	Hazard Ratio	95% Confidence Interval
Stressful life events	0.19		1.21	1.07–1.36
Major depression diagnosis	1.38		3.96	0.80–19.57
Depressive symptoms (HDRS-11)	0.26		1.30	1.07–1.58
Social support satisfaction	-0.99		.37	0.22–0.63

^a Results are from four Cox regression models with each time-dependent predictor variable (using cumulative proportions) run separately holding constant the number of antiretroviral medications used (time-dependent covariate) and baseline age, education, race, CD4⁺ count, and tobacco use. β refers to the unstandardized regression coefficient estimate.

measure, which allowed for more variance, was related to AIDS progression in the absence of other psychosocial variables. The lack of variance on major depression may not allow us to evaluate its effects.

Our present data on men studied for up to 5.5 years are consistent with those of some recent studies that followed HIV-infected men over long time periods (18, 19, 26). Furthermore, the current data extend earlier findings from this cohort that severe stress was associated with first change in HIV disease stage (21) and that severe stress and depressive symptoms were related to declines in several lymphocyte subsets (20).

Previously, we examined the effects of severe stresses on early disease progression and immune

TABLE 4. Cox Regression Model: Hazard of AIDS Diagnosis				
With Control Variables and Time-Dependent Psychological and				
Psychosocial Variables ^a				

	β	р	Hazard Ratio	95% Confidence Interval
Age	0.03	.45	1.03	0.95-1.11
Education	-0.09	.41	0.92	0.75-1.12
Race ^b	1.51	.03	4.52	1.12-18.30
Baseline CD4 ⁺ count (cells/µl)	-0.01	.0005	0.99	0.98–1.00
Tobacco use ^c	0.89	.07	2.45	0.92-6.53
Number of antiretrovirals	0.25	.65	1.28	0.44-3.68
Stressful life events	0.15	.03	1.16	1.01-1.33
Depressive symptoms (HDRS-11)	0.06	.61	1.07	0.84–1.36
Social support satisfaction	-0.82	.006	0.44	0.24–.79

^a Results are from one Cox regression model with all variables entered into the equation simultaneously. Number of antiretroviral medications used, stressful life events, depressive symptoms, and support satisfaction are time-dependent covariates using cumulative proportions; the other variables are baseline values. β refers to the unstandardized regression coefficient estimate.

^b 0 = nonwhite; 1 = white.

 $^{c} 0 = no; 1 = yes.$

change; however, this analysis focused on total stresses (mild, moderate, and severe). Although the time-dependent measure of severe stress at each visit was also predictive of AIDS progression ($\beta = 0.25$, p = .01, hazard ratio = 1.29, 95% confidence interval = 1.06-1.56), we used the total stress score because these findings tended to be more robust.

We must be cautious in interpreting the data from our study. First, we do not know whether our findings can be generalized beyond our sample, a group of gay men from both rural and urban areas of North Carolina. We may not be able to generalize our results to such groups as women, intravenous drug users, and those in other geographic areas. Second, we could not control for length of time with HIV infection because date of infection was unknown; however, our findings remained after controlling for baseline helper count, an approximation of disease stage. Consistent with other investigators (14, 15, 19, 44), we found that men with low helper counts at baseline had faster disease progression. It would also be useful to replicate our findings in studies using viral load as the indicator of disease progression.

A third caution for data interpretation is the problem of establishing the causal direction of relationships between psychological variables and disease progression. Do depressive symptoms contribute to disease progression, or are these psychological changes an early manifestation of the disease process? This latter hypothesis was suggested by Lyketsos et al. (22) to explain their finding that depressive symptoms seem to increase before clinical AIDS is diagnosed. Other researchers consider psychological factors like depression to be risk factors for the development of HIV-related symptoms, especially when the psychological variables are shown to occur before clinical progression (18, 19). We attempted to address this causal issue in several ways. First, we used timedependent psychological variables during the time points before AIDS diagnosis. Second, we excluded somatic symptoms associated with HIV disease progression (eg, weight loss and gastrointestinal symptoms) from the measure of depressive symptoms. More importantly, we used measures of stressful life events and social support, which may be less likely to reflect early manifestations of HIV disease progression. The measure of stressful life events excluded stressors that might be caused by disease progression, such as losing one's job because of a change in HIV status. Stressful events were also scored independently from the subject's perception of the stress and way of coping. This objective stress rating helped reduce the possibility that worsening disease may have led to poorer coping and higher stress scores. Thus, this measure of stressful life events may be the best evidence of an effect of psychosocial variables because it is the least likely to be confounded with disease progression.

The fact that a variety of psychosocial measures are related to disease progression seems to support our findings and may indicate that some underlying factor related to these variables may be predictive of disease progression. Although we controlled for smoking and number of antiretroviral medications used, perhaps other health behaviors (eg, amount of sleep, diet, exercise, and compliance with medication use) and neuroendocrine and immune variables might mediate the relationship of stress and social support with disease progression. Our earlier studies showing reductions in $CD8^+$ and natural killer lymphocyte subsets among men with scores above the median on both stress and depressive symptoms (16, 20) might support a mediating role of these lymphocyte subsets.

Although we found that African American subjects had slower HIV disease progression than white subjects, other studies have not shown such an effect of race(19, 44-46). The race effect in the current study may be limited to our sample and needs further study.

In conclusion, the finding regarding the cumulative effects of stressful life events, a psychosocial measure less likely to be confounded with disease progression, is perhaps among the most compelling evidence to date linking psychosocial variables with HIV disease progression. Men averaging two moderate stressors had a two-fold increased risk of AIDS progression compared with men with no stressors. It will be important to determine whether other long-term longitudinal studies can replicate our findings in HIVpositive women and to determine whether stress, depressive symptoms, and social support are risk factors for mortality in HIV infection. Additional study will be necessary to elucidate the mechanisms that underlie these relationships. In addition, we will need to determine whether cognitive behavioral interventions that have been shown to reduce distress and improve social support (47–49) can alter the course of HIV infection. Shedding light on the nature of the relationships between HIV disease progression and psychological variables may help with the treatment of those infected with HIV.

This study was supported in part by National Institute of Mental Health Grants MH-44618 and MH-33127 and by National Institutes of Health Grant RR-00046.

REFERENCES

- 1. Frasure-Smith N, Lesperance F, Talajic M. Depression following myocardial infarction: impact on 6-month survival. JAMA 1993; 270:1819–25.
- Frasure-Smith N, Lesperance F, Talajic M. Depression and 18month prognosis after myocardial infarction. Circulation 1995; 91:999–1005.
- Fawzy FI, Fawzy NW, Hyun CS, Elashoff R, Guthrie D, Fahey JL, Morton DL. Malignant melanoma: effects of an early structured psychiatric intervention, coping, and affective state on recurrence and survival 6 years later. Arch Gen Psychiatry 1993;50: 681–9.

- 4. Spiegel D, Kraemer HC, Bloom JR, Gottheil E. Effect of psychosocial treatment on survival of patients with metastatic breast cancer. Lancet 1989;2:888–91.
- Ramirez AJ, Craig TJK, Watson JP, Fentiman IS, North WRS, Rubens RD. Stress and relapse of breast cancer. BMJ 1989;298: 291–3.
- Rovner BW, German PS, Brant LJ, Clark R, Burton L, Folstein MF. Depression and mortality in nursing homes. JAMA 1991; 265:993-6.
- Evans DL, Leserman J, Golden RN, Lewis MH, Folds JA, Ozer H. Immune correlates of stress and depression. Psychopharmacol Bull 1989;25:319–24.
- Evans DL, Folds JD, Petitto JM, Golden RN, Pedersen CA, Corrigan M, Gilmore JH, Silva SG, Quade D, Ozer H. Circulating natural killer cell phenotypes in males and females with major depression: relation to cytotoxic activity and severity of depression. Arch Gen Psychiatry 1992;49:388–95.
- 9. Herbert TB, Cohen S. Stress and immunity in humans: a metaanalytic review. Psychosom Med 1993;55:364–79.
- Herbert TB, Cohen S. Depression and immunity: a meta-analytic review. Psychol Bull 1993;113:3:472–86.
- 11. Reichlin S. Mechanisms of disease: neuroendocrine-immune interactions. N Engl J Med 1993;329:1246–53.
- Stein M, Miller AH, Trestman RL. Depression, the immune system and health and illness. Arch Gen Psychiatry 1991;48: 171–7.
- Fauci AS. Multifactorial nature of human immunodeficiency virus disease: implications for therapy. Science 1993;262: 1011-8.
- Burack JH, Barrett DC, Stall RD, Chesney MA, Ekstrand ML, Coates TJ. Depressive symptoms and CD4 lymphocyte decline among HIV-infected men. JAMA 1993;270:2568–73.
- Lyketsos CG, Hoover DR, Guccione M, Senterfitt W, Dew MA, Wesch J, VanRaden MJ, Treisman GJ, Morgenstern H. Depressive symptoms as predictors of medical outcomes in HIV infection. JAMA 1993;270:2563–7.
- 16. Evans DL, Leserman J, Perkins DO, Stern RA, Murphy C, Tamul K, Liao D, van der Horst CM, Hall CD, Folds JD, Golden RN, Petitto JM. Stress-associated reductions of cytotoxic T lymphocytes and natural killer cells in asymptomatic HIV infection. Am J Psychiatry 1995;152:543–50.
- Zorrilla EP, McKay JR, Luborsky L, Schmidt K. Relation of stressors and depressive symptoms to clinical progression of viral illness. Am J Psychiatry 1996;153:626-35.
- Mayne TJ, Vittinghoff E, Chesney MA, Barrett DC, Coates TJ. Depressive affect and survival among gay and bisexual men infected with HIV. Arch Intern Med 1996;156:2233–8.
- Page-Shafer K, Delorenze GN, Satariano W, Winkelstein W Jr. Comorbidity and survival in HIV-infected men in the San Francisco Men's Health Survey. Ann Epidemiol 1996;6:420–30.
- Leserman J, Petitto JM, Perkins DO, Folds JD, Golden RN, Evans DL. Severe stress, depressive symptoms, and changes in lymphocyte subsets in human immunodeficiency virus-infected men. Arch Gen Psychiatry 1997;54:279-85.
- 21. Evans DL, Leserman J, Perkins DO, Stern RA, Murphy C, Zheng B, Gettes D, Longmate JA, Silva SG, van der Horst CM, Hall CD, Folds JD, Golden RN, Petitto JM. Severe life stress as a predictor of early disease progression in HIV infection. Am J Psychiatry 1997;154:630–4.
- Lyketsos CG, Hoover DR, Guccione M, Dew MA, Wesch JE, Bing EG, Treisman GJ. Changes in depressive symptoms as AIDS develops. Am J Psychiatry 1996;153:1430–7.
- 23. Lyketsos CG, Hoover DR, Guccione M. Depression and survival among HIV-infected persons. JAMA 1996;275:35–6.

- Patterson TL, Semple SJ, Temoshok LR, Atkinson JH, Mc-Cutchan JA, Straits-Troster K, Chandler JL, Grant I. Stress and depressive symptoms prospectively predict immune change among HIV-seropositive men. Psychiatry 1995;58:299–312.
- 25. Ironson G, Friedman A, Klimas N, Antoni M, Fletcher MA, LaPerriere A, Simoneau J, Schneiderman N. Distress, denial, and low adherence to behavioral interventions predict faster disease progression in gay men infected with human immunodeficiency virus. Int J Behav Med 1994;1:90–105.
- Kemeny ME, Dean L. Effects of AIDS-related bereavement on HIV progression among New York City gay men. AIDS Educ Prev 1995;7:36-47.
- Perry S, Fishman B, Jacobsberg L, Frances A. Relationships over one-year between lymphocyte subsets and psychosocial variables among adults with infection by human immunodeficiency virus. Arch Gen Psychiatry 1992;49:396–401.
- Rabkin JG, Williams JBW, Remien RH, Goetz RR, Dertzner R, Gorman JM. Depression, distress, lymphocyte subsets, and human immunodeficiency virus symptoms on two occasions in HIV-positive homosexual men. Arch Gen Psychiatry 1991;48: 111–9.
- Kessler RC, Foster C, Joseph J, Ostrow D, Wortman C, Phair J, Chmiel J. Stressful life events and symptom onset in HIV infection. Am J Psychiatry 1991;148:733–8.
- Theorell T, Blomkvist V, Jonsson H, Schulman S, Berntorp E, Stigendal L. Social support and the development of immune function in human immunodeficiency virus infection. Psychosom Med 1995;57:32–5.
- Solano L, Costa M, Salvati S, Coda R, Aiuti F, Mezzaroma I, Bertini M. Psychological factors and clinical evolution in HIV-1 infection: a longitudinal study. J Psychosom Res 1993;37:39–51.
- 32. Centers for Disease Control and Prevention. Revision of the CDC surveillance case definition for acquired immunodeficiency syndrome. Morb Mortal Wkly Rep (MMWR) 1987;36(suppl 1): 1S–5S.
- Petitto JM, Folds JD, Ozer H, Quade D, Evans DL. Altered diurnal variation in natural killer cell phenotypes and cytotoxic activity in major depression. Am J Psychiatry 1992;149:5:694–6.
- 34. Perkins DO, Dickison JA, Evans DL. SCID-RDC: DSM-III-R and RDC integrated interview [abstract]. Proceedings of the American Psychiatric Association. American Psychiatric Association, New York. May 1990; p. 75.
- 35. Perkins DO, Stern RA, Golden RN, Murphy C, Naftolowitz D, Evans DL. Mood disorders in HIV infection: prevalance and risk factors in a nonepicenter of the AIDS epidemic. Am J Psychiatry 1994;151:233–6.
- Hamilton M. A rating scale for depression. J Neurol Neurosurg Psychiatry 1960;23:56–62.
- Dohrenwend BS, Krasnoff L, Askenasy AR, Dohrenwend BP. Exemplification of a method for scaling life events: The PERI Life Events Scale. J Health Soc Behav 1978;19:205–29.
- Brown GW, Harris T. Social origins of depression: a study of psychiatric disorder in women. New York: Free Press; 1978.
- 39. Grant I, Brown GW, Harris T, McDonald WI, Patterson TL. Severely threatening events and marked life difficulties preceding onset or exacerbation of multiple sclerosis. J Neurol Neurosurg Psychiatry 1989;52:8–13.
- 40. Sarason IG, Sarason BR, Shearin EN, Pierce GR. A brief measure of social support: practical and theoretical implications. J Soc Pers Relat 1987;4:497–510.
- 41. Leserman J, DiSantostefano R, Perkins DO, Murphy C, Golden RN, Evans DL. Longitudinal study of social support and social conflict as predictors of depression and dysphoria among HIVpositive and HIV-negative men. Depression 1994;2:189–99.

- 42. Centers for Disease Control and Prevention. Revised classification system for HIV infection and expanded surveillance case definition for AIDS among adolescents and adults. Morb Mortal Wkly Rep (MMWR) 1992;1993:41:1–15.
- Allison PD. Survival analysis using the SAS system: a practical guide. Cary, NC: SAS Institute Inc., 1995. p. 61–184.
- 44. Poole WK, Fulkerson W, Lou Y, Kvale P, Hopewell PC, Hirschtick R, Glassroth J, Rosen M, Mangura B, Wallace J, Markowitz N. Overall and cause-specific mortality in a cohort of homo-/bisexual men, injecting drug users, and female partners of HIV-infected men: pulmonary complications of Human Immunodeficiency Virus Infection Study group. AIDS 1996;10:1257–64.
- 45. Garland FC, Garland CF, Gorham ED, Brodine SK. Western blot banding patterns of HIV rapid progressors in the US Navy seropositive cohort: implications for vaccine development. Navy Retroviral Working Group. Ann Epidemiol 1996;6:341–7.
- Corn BW, Donahue BR, Rosenstock JG, Hyslop T, Brandon A, Hegde HH, Cooper JS, Sherr DL, Fisher SA, Berson A, Han H,

Abdel-Wahab M, Koprowski CD, Ruffer JE, Curran WJ Jr. Performance status and age as independent predictors of survival among AIDS patients with primary CNS lymphoma: a multivariate analysis of a multi-institutional experience. Cancer J Sci Am 1997;3:52–6.

- 47. Lutgendorf SK, Antoni M, Ironson G, Starr K, Costello N, Zuckerman M, Klimas N, Fletcher MA, Schneiderman N. Changes in cognitive coping skills and social support during cognitive behavioral stress management intervention and distress outcomes in symptomatic human immunodeficiency virus (HIV)seropositive gay men. Psychosom Med 1998;60:204–14.
- Chesney MA, Folkman S, Chambers D. Coping effectiveness training for men living with HIV: preliminary findings. Int J STD AIDS 1996;7:75–82.
- 49. Mulder CL, Emmelkamp PM, Antoni M, Mulder JW, Sandfort TG, de Vries MJ. Cognitive-behavioral and experiential group psychotherapy for HIV-infected homosexual men: a comparative study. Psychosom Med 1994;56:423–31.