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Uptake and effects of orally ingested polystyrene microplastic particles *in vitro* and *in vivo*

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KEYWORDS:

microplastic, oral uptake, particle size, gastrointestinal barrier, HOTT mice

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4 **ABSTRACT**
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6 Evidence exists that humans are exposed to plastic microparticles via diet. Data on intestinal particle
7 uptake and health-related effects resulting from microplastic exposure are scarce. Aim of the study
8 was to analyze the uptake and effects of microplastic particles in human *in vitro* systems and in
9 rodents *in vivo*.
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14 The gastrointestinal uptake of microplastics was studied *in vitro* using the human intestinal epithelial
15 cell line Caco-2 and thereof-derived co-cultures mimicking intestinal M-cells and goblet cells.
16 Different sizes of spherical fluorescent polystyrene particles (1, 4 and 10 μm) were used to study
17 particle uptake and transport. A 28-days *in vivo* feeding study was conducted to analyze transport at
18 the intestinal epithelium and oxidative stress response as a potential consequence of microplastic
19 exposure. Male reporter gene mice were treated 3 times per week by oral gavage with a mixture of
20 1 μm (4.55×10^7 particles), 4 μm (4.55×10^7 particles) and 10 μm (1.49×10^6 particles) microplastics at a
21 volume of 10 mL/kg/bw. Effects of particles on macrophage polarization were investigated using
22 the human cell line THP-1 to detect a possible impact on intestinal immune cells.
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31 Altogether, the results of the study demonstrate the cellular uptake of a minor fraction of particles. *In*
32 *vivo* data show the absence of histologically detectable lesions and inflammatory responses. The
33 particles did not interfere with the differentiation and activation of the human macrophage model.
34 The present results suggest that oral exposure to polystyrene microplastic particles under the chosen
35 experimental conditions does not pose relevant acute health risks to mammals.
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INTRODUCTION

Global production of plastics has increased significantly over the last decades (PlasticsEurope 2016) and a further increase of use is expected (Jambeck et al. 2015; Sutherland et al. 2010). The most commonly produced types of polymers are polyethylene (PE), polypropylene (PP), and polystyrene (PS) with a relevant fraction of intentionally produced microplastics, commonly defined by a particle diameter of less than 5 mm (EFSA 2016). These so-called primary microplastics are mainly produced for cosmetic applications (Napper et al. 2015). Released from personal products (e.g. toothpaste) and cleaning agents, its remnants are transported to sewage systems and finally to the marine environment (Thompson 2015; van Wezel et al. 2016). Beside intentionally produced microplastics, other plastic items may be disposed and transported to the ocean. Plastics make up about 60 to 80 % of marine litter (Andrady 2003) and are decomposed by environmental factors like UV radiation, salt water and marine biota to so-called secondary microplastics (Cole et al. 2011; Hidalgo-Ruz et al. 2012). This has been described previously (Andrady 2003; Barnes et al. 2009; Lambert and Wagner 2016). Primary and secondary microplastics from the environment can enter the food chain and lead to oral exposure of humans. Based on a review of existing literature, the European Food Safety Agency (EFSA) concluded that a very minor fraction of particles smaller than 150 μm in diameter may cross the intestinal mucosal barrier, whereas only very small particles with a diameter smaller than 1.5 μm may be transported to deeper tissues (EFSA 2016). According to EFSA, data on toxicity, toxicokinetics and the presence of microplastics in food are still insufficient. Therefore, a reliable risk assessment of microplastics cannot be conducted yet (EFSA 2016).

The main adsorptive part of the gastrointestinal tract is the small intestine. Besides from absorbing nutrients, water and other compounds, it also provides a protective barrier for the organism. Several cell types compose the gastrointestinal epithelium: enterocytes, goblet cells, enteroendocrine cells, Paneth cells, microfold cells, cup cells, and tuft cells (Koeppen and Stanton 2017). The epithelial cell layer formed by enterocytes is connected by tight junctions and thus forms a physical barrier. Goblet cells secrete a mucus layer that protects the epithelium from luminal contents. Microfold cells, commonly referred to as M cells, transport antigens from the intestinal lumen to the basolateral side and deliver them to mucosa-associated lymphoid tissue (MALT). In the small intestine, M cells are associated with the Peyer's patches (Sarmiento, 2015). Small polymer particles can be taken up from the gut by M cells, which transport them through the intestinal barrier. From there they may reach the lymphatic system, the liver and gall bladder. Particles are then re-released into the gut together with bile before excretion with feces (Galloway 2015; Jani et al. 1992b; Jepson et al. 1993).

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4 The intestinal epithelium is also an important organ for the immune system. It is the broadest surface
5 of the body that comes in close contact to a variety of substances and one might speculate that the
6 fine-tuned intestinal immune balance might be disturbed by microplastics. Macrophages are immune
7 cells which occur at high frequency in the intestine (Grainger et al. 2017; Santaolalla et al. 2011).
8 They are essential for maintaining mucosal homeostasis and are located mostly in the lamina propria
9 in close proximity to the epithelial monolayer in the gastrointestinal mucosa. Intestinal macrophages
10 play an essential role by clearing apoptotic or senescent cells, inducing tissue remodeling, maintain
11 tissue homeostasis, and thereby regulating the integrity of the epithelial barrier (Bain and Mowat
12 2014). They also establish and mediate tolerance towards the high burden of food and commensal
13 antigens (Grainger et al. 2017). Furthermore, the location of macrophages enables them to capture
14 and destroy any material breaching the epithelial barrier. While doing this, intestinal macrophages
15 trigger pro-inflammatory responses by respiratory burst activity, generation of nitric oxide or
16 inflammatory cytokines (Bain and Mowat 2014). Macrophages are key modulators and effector cells
17 in the immune response and respond to microenvironmental signals by polarization. Polarization
18 enables macrophages to act in a pro- or anti-inflammatory manner by the secretion of cytokines and
19 the production of ROS, and can be described by the polarization stages M1 and M2. Functional
20 polarization of macrophage occurs under physiological as well as pathological and is considered a key
21 determinant of disease development and/or regression (Martinez and Gordon 2014; Sica et al. 2015).
22
23 The aim of this work was to quantify the intestinal uptake of different polystyrene microparticles *in*
24 *vivo* and *in vitro*, by including different intestinal cell lines and an *in vivo* 28-days oral feeding study in
25 heme-oxygenase-1 triple transgenic reporter mice in which oxidative stress can be visualized via a
26 reporter enzyme. In addition, particle effects were investigated with a focus on the induction of
27 oxidative stress, as a possible hallmark of unspecific stress induced by the presence of foreign
28 particulate material in the organism. In addition, effects of microplastics on macrophage polarization
29 were analyzed to account for potential effects of the particles on the immune system.
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52 **METHODS**

53 54 Chemicals and microplastics:

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57 Chemicals were purchased from Sigma-Aldrich (Taufkirchen, Germany), Merck (Darmstadt,
58 Germany), or Carl Roth (Karlsruhe, Germany) if not otherwise indicated. The 1 µm polystyrene
59 particles (FluoSpheres Polystyrene Carboxylated Microspheres, 1.0 µm) were purchased from
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4 Thermo Fisher Scientific (Waltham, Massachusetts, USA) and the 4 μm and 10 μm polystyrene
5 particles (PFL-4070, Sky Blue fluorescent particles, size 3.6 – 4.5 μm ; and PFH-10056 Nile red
6 fluorescent particles, size 10.0 – 14.0 μm) were purchased from Kisker Biotech GmbH (Steinfurt,
7 Germany). All three particle types were provided with negatively charged surface modifications (1
8 μm : carboxy, 4 and 10 μm : sulfate).
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10 11 12 13 14 15 16 Particle characterization:

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18 Particle sizes were verified by Dynamic Light Scattering with a Zetasizer Nano ZS (Malvern
19 Panalytical GmbH, Kassel, Germany), and by confocal fluorescence microscopy (Leica TCS SP5,
20 Leica Microsystems GmbH, Wetzlar, Germany) and ImageJ software (Laboratory for Optical and
21 Computational Instrumentation (LOCI) of the University of Wisconsin-Madison, Madison,
22 Wisconsin, USA). A complete z-stack was measured for at least 100 particles and merged to
23 determine their maximum diameters by ImageJ. Results are given as histograms.
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32 Cell culture:

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34 Caco-2 (ECACC: 86010202) and HT-29 MTX E12 (ECACC: 12040401) cells were obtained from
35 the European Collection of Authenticated Cell Cultures (Salisbury, UK). Raji B-lymphocytes (ATCC:
36 CCL-86) were purchased from American Type Culture Collection (Manassas, Virginia, USA) and
37 THP-1 cells were obtained from the Leibniz Institute DSMZ-German Collection of Microorganisms
38 and Cell Cultures (DSMZ-No. ACC-16; Braunschweig, Germany). Caco-2 and human Raji B
39 lymphocytes were cultured in Dulbecco's Modified Eagle Medium (DMEM; GE Healthcare,
40 Freiburg, Germany) with 10 % FCS (fetal calf serum; Capricorn Scientific GmbH, Ebsdorfergrund,
41 Germany) and 10^5 Units/l penicillin and 100 $\mu\text{g}/\text{mL}$ streptomycin (P/S; PAA Laboratories GmbH,
42 Pasching, Austria). The mucus-secreting cell line HT29-MTX was cultured in DMEM with 10 %
43 FCS, 1 % P/S and 1 % non-essential amino acids (NEAA; PAA Laboratories GmbH, Pasching,
44 Austria). THP-1 cells were cultured in RPMI 1640 medium with 10 % FCS and 1 % P/S. Adherent
45 cell lines were kept semi-confluent in routine culture. Cells were cultivated at 37 °C and 5 % CO_2 and
46 passaged every 2 to 3 days. Passaging of Caco-2 and HT29-MTX cells was performed by aspirating
47 the culture medium, washing with phosphate-buffered saline (PBS) and incubating with trypsin-
48 EDTA (0.05 %) in Dulbecco's Phosphate-Buffered Saline (DPBS) (1x) (Capricorn Scientific GmbH,
49 Ebsdorfergrund, Germany) at 37 °C for 3-5 min (Caco-2) or 10 min (HT29-MTX). The process was
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4 stopped by adding 10 mL of FCS-containing cell culture medium and cells were separated from the
5 medium by centrifugation. Passaging of Raji B lymphocytes and THP-1 cells was performed by
6 diluting a small amount of homogeneous cell suspension into new medium.
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10 11 12 Cell viability: 13

14 Caco-2 cells were seeded in 96-well plates at a density of 5,000 cells per well and allowed to attach
15 for 24 h. Cell culture medium was then replaced by 100 μ L of phenol red-free medium containing
16 different concentrations of the 1 μ m, 4 μ m and 10 μ m particles. These selected sizes represent
17 relevant sizes of microplastic and are small enough to be taken up by single cells. The maximum
18 concentration of particles was determined by the stock concentration of particles and the need to
19 delute in cellculture medium. Triplicate determinations were assayed per condition. After 24 h or 48
20 h, particles were removed by aspiration and 100 μ L of phenol red-free medium was added. Cell
21 viability was first measured by means of the Cell Titer Blue assay (CTB; Promega, Madison,
22 Wisconsin, USA), measured according to the manufacturer's instructions on a multi-well plate reader
23 (Tecan, Männedorf, Switzerland). Afterwards, the 3-(4,5-dimethylthiazole-2-yl)-2,5-
24 diphenyltetrazolium bromide (MTT) assay was conducted in the same plates. Here, 10 μ L of a 5
25 mg/mL solution of MTT in PBS was added for another hour. Subsequently, supernatants were
26 removed and 130 μ L desorption agent (0.7 % (w/v) sodium dodecyl sulfate (SDS) in isopropanol)
27 was added. Plates were shaken for 30 min. Absorption was measured at 570 nm and background
28 absorption (630 nm) was subtracted. Measurements were corrected for background signals (wells
29 incubated with all assay components and particles, but without cells) by subtracting the values from
30 wells incubated without cells and then related to the solvent control (set to 100 %). The background
31 values were also used to determine particle-assay interferences. Means and standard deviations were
32 calculated from at least three independent experiments.
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51 Particle uptake studies using transwell systems: 52

53 Three different Caco-2-based *in vitro* models for the intestinal epithelium were used. All were
54 cultured in 12-well transwell plates with inserts of 1.12 cm² growth area and a 3 μ m pore size
55 polycarbonate membrane (Corning Incorporated, New York City, New York, USA). For Caco-2
56 monoculture, 50,000 cells were seeded on the membrane and let differentiate for 3 weeks. The cell
57 culture medium was changed every 2 to 3 days. For the mucus co-culture model, 40,000 Caco-2 cells
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4 and 10,000 HT29-MTX cells were seeded at the same time on the membrane and let differentiate for
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6 3 weeks. The cell culture medium was changed every 2 to 3 days. For the M-cell model, the protocol
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8 developed by des Rieux et al. was adapted as previously described (des Rieux et al. 2007; Lichtenstein
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10 et al. 2017a; Lichtenstein et al. 2017b): on day 1, 50,000 Caco-2 cells were seeded into the inserts. At
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12 day 7 to 8, the inserts were inverted; silicone tubes were attached to the basolateral side of the
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14 membranes and filled with culture medium. At day 16, 50,000 Raji B cells per well were added into
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16 the silicone tubes. At day 20, the inserts were taken off the box, tubes were removed and inserts were
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18 re-inverted and put back into 12-well plates. At this point, the transwell inserts were again in their
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20 initial position with the apical side upwards. At day 21, cells were exposed to the desired
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22 concentrations of particles.

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24 Membrane integrity was checked by transepithelial electrical resistance (TEER) measurements as well
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26 as by fluorescein isothiocyanate–dextran (FITC-dextran) transport. TEER measurements were
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28 performed with an EVOM2 Electrode (World Precision Instruments, Sarasota, Florida USA) as
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30 described earlier (Lichtenstein et al. 2015). For the FITC-dextran measurements, 10 kDa dextran was
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32 added to the apical compartment (concentration of 1 mg/mL) and its transport was measured after
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34 incubation in the basolateral chamber via a Tecan Infinite 200 PRO plate reader (Tecan, Männedorf,
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36 Switzerland) at $\lambda_{exc} = 485$ nm and $\lambda_{emm} = 535$ nm. The apparent permeability coefficient (Papp) was
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38 calculated as described in detail in a recent publication (Lichtenstein et al. 2015).

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40 After 21 days of differentiation, the cells in the transwell inserts were exposed to high but non-toxic
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42 concentrations of differently sized polystyrene microplastic particles, or to culture medium as a
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44 control, from the apical side in a volume of 500 μ L cell culture medium. 500 μ L fresh cell culture
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46 medium without particles was pipetted into the basolateral compartment and plates were incubated
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48 for 24 h. Afterwards, the basolateral and apical medium fractions, as well as the apical PBS washing
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50 fractions were collected and particle fluorescence was analyzed using the Tecan plate reader. To
51
52 quantify the amount of particles, calibration curves were prepared from the same particles and
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54 matrices (cell culture media and PBS) as used in the incubations, starting with the initial particle
55
56 concentrations. The lower limits of quantification (LLOQs) for each particle type were calculated by
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58 multiplying the standard deviation of five blank values (DMEM without particles) by nine. The
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60 transwell inserts including the cell monolayer were fixed with 3.7 % formaldehyde in PBS for half an
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62 hour and washed 3 times with PBS and then transferred to a new 12-well plate. Potentially absorbed
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64 particles were measured by fluorescence scan of the whole area of the transwell membrane and
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66 calculated by stepwise addition of a known amount of particles. To determine the amount of

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4 particles present in the cells, the fluorescence of the transwell inserts was measured against a
5 calibration curve of the respective particles.
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10 Microscopic examinations:

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12 In order to distinguish between particles on top or inside the cells, a confocal microscope was used.
13 Cell monolayers from the transwell experiments were washed 3 times with PBS, permeabilized with
14 the non-ionic surfactant Triton-X 100 for 20 min, washed again 3 times and stained with
15 ActinGreen 488 stain (Life technologies, New York, USA) at 37 °C for 30 min. Then the membranes
16 were washed again and cut out of the insert. With the cell layer upwards, the membranes were fixed
17 on a coverslip using Kaiser's glycerin gelatin (Carl Roth GmbH + Co. KG, Karlsruhe, Germany) and
18 let dry overnight. Three random sections per membrane were counted manually in order to receive a
19 representative particle count. For each spot, the xzy acquisition mode was selected, which shows the
20 membrane laterally from top of the cells (villi) to bottom (membrane), allowing a complete view of
21 the cells. In this mode, a 301 µm trajectory line was scrolled through the z plane and the number of
22 particles in or on the cells was counted. The Actin Green 488-stained cell membrane enabled a clear
23 differentiation from the fluorescent particles inside or on top of the cells. The ratio of particles
24 counted in/on the cells was calculated, and the previously obtained fluorescence of the membrane by
25 the Tecan plate reader (see above) was used to calculate the fraction of particles in/on the cells in the
26 whole membrane. Means and standard deviations were calculated from at least three independent
27 experiments.
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41 The differentiation of THP-1 monocytes into macrophages was examined microscopically (Zeiss,
42 Axio Observer, Carl Zeiss, Jena, Germany) by determining the shape and adherence of the cells.
43 After treatment with different polystyrene microplastic particles, their uptake by THP-1 monocytes
44 polarized to macrophages was also checked by fluorescence microscopy.
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52 Differentiation and polarization of THP-1 cells to M1 and M2 macrophages:

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54 Differentiation of THP-1 cells into M0 macrophages was induced by 25 nM phorbol-12-myristate-
55 13-acetate (PMA) for 24 h (Schwende et al. 1996; Tsuchiya et al. 1982). Afterwards, the cell culture
56 medium was changed and cells were cultivated for another 24 h without PMA. During this period,
57 cells were incubated with microplastic particles in concentration of 100,000 (1 µm), 250,000 (4 µm)
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4 or 60,000 (10 μm) particles per mL medium. Afterwards, medium was changed again to induce
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6 polarization of macrophages to M1 and M2 stages. Induction of M1 macrophages was triggered by
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8 20 ng/mL interferon gamma (IFN γ) and 0.1 $\mu\text{g}/\text{mL}$ lipopolysaccharide (LPS), while induction of M2
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10 macrophages was triggered by 20 ng/mL interleukin 4 and 13 (IL-4 and IL-13). Incubation was
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12 stopped by harvesting the cells for analysis after 30 min, 24 h or 72 h.
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15 16 Western blotting: 17

18 THP-1 cells were harvested after washing by scraping and washing the cells pellet with PBS. Proteins
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20 were isolated using RIPA (radioimmunoprecipitation assay) buffer with protease and phosphatase
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22 inhibitors and sonification (10 sec. 2 times 10 % cycles; 25 % power; Sonopuls UW 2200, Bandelin
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24 Electronic GmbH & Co. KG, Berlin, Germany) on ice. Afterwards, samples were centrifuged for 30
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26 min at 14,000 rpm (20,817 x g) and 4 $^{\circ}\text{C}$, and the supernatant was used for analysis. The protein
27
28 amount was determined by the Bicinchoninic Acid (BCA) assay using a bovine serum albumin (BSA)
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30 calibration curve. 10 μg of each sample in 10 μL (diluted with PBS) were heated to 70 $^{\circ}\text{C}$ for 10 min
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32 and separated by SDS-PAGE (BioRad Mini PROTEAN Tetra System, Bio-Rad Laboratories, Inc.,
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34 Hercules, California, USA). Separated proteins were blotted to nitrocellulose membranes using the
35
36 semi-dry method (TE 77 PWR Semi-Dry Transfer Unit, 21 \times 26 cm, Amersham Biosciences, GE
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38 Healthcare GmbH, Solingen, Germany). The membranes were incubated over night at 4 $^{\circ}\text{C}$ with
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40 5 % milk powder in Tris-buffered saline with Tween20 (TBST buffer) to block unspecific binding
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42 sites. Membranes were then incubated with primary antibodies against STAT1 (STAT1 Polyclonal
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44 Antibody (PA5-19858), Rabbit Anti-Human), pTyr701 phosphorylated STAT1 (Phospho-STAT1
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46 pTyr (701) Antibody (15H13L67), Rabbit Anti-Human) and pTyr641 phosphorylated STAT6
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48 (Phospho-STAT6 (Tyr641) Antibody (46H1L12), Rabbit Anti-Human). All antibodies were
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50 purchased from Thermofisher Scientific, Waltham, Massachusetts, USA, and incubated with the
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52 membranes at 1:1000 dilutions in TBST with 5 % BSA for 1 h at room temperature. Subsequently, a
53
54 horseradish peroxidase (HRP)-conjugated secondary antibody (IgG-HRP mouse anti-rabbit
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56 (HAF008), R&D Systems, Inc., Minneapolis, Minnesota, USA) was incubated with the membrane for
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58 2 h at 4 $^{\circ}\text{C}$. Results were detected using the Super Signal West Femto Maximum Sensitivity Substrat
59
60 Kit (Thermofisher Scientific, Waltham, Massachusetts, USA) and VersaDocTM Mp 4000 equipped
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62 with the Quantity One Software (Vers. 4.6.1; Bio-Rad Laboratories, Inc., Hercules, California, USA).
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4 Quantitative real-time RT-PCR:
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6 RNA was isolated using the RNeasy mini kit (Qiagen, Hilden, Germany). cDNA synthesis was
7 performed with the High Capacity cDNA Reverse Transcription Kit (Applied Biosystems, Foster
8 City, California, USA). For monitoring of the differentiation of M1 and M2 macrophages, the
9 mRNAs encoding M1- or M2-specific chemokines and surface receptors (CXCL10, CCL22, CD206,
10 CD209) were quantified using the Maxima SYBR Green/ROX qPCR Master Mix (ThermoFisher
11 Scientific, Waltham, Massachusetts, USA). Gene expression was measured on a MX3005P Stratagene
12 thermal cycler via MXPro software (Agilent Technologies, Inc., Santa Clara, California, USA).
13 Results were evaluated using the $\Delta\Delta C_t$ method (Livak and Schmittgen 2001).
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23 *In vivo* study:
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26 Those carrying out animal work in this study did so with Personal and Project Licences granted by
27 the UK Home Office under the Animals (Scientific Procedures) act (1986), as amended by EU
28 Directive 2010/63/EU.
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32 All animal work described in this study was carried with Personal and Project Licenses granted by the
33 UK Home Office under the Animals (Scientific Procedures) act (1986), as amended by EU Directive
34 2010/63/EU after approval by the Welfare and Ethical treatment of Animals Committee of the
35 University of Dundee and the University Veterinary Surgeon. All animals were supplied from the
36 Medical School Resource Unit, University of Dundee, on a C57BL/6NTac background, held in
37 open-top cages with ad libitum access to food (RM1, Special Diet Services, Stepfield, Witham, Essex,
38 UK) and water, and a 12 h light/dark environment. Temperature and relative humidity were
39 maintained between 20 °C and 24 °C, and 45 % and 65 %, respectively. Animals were inspected
40 regularly by staff trained and experienced in small animal husbandry, with 24 h access to veterinary
41 advice. Heme oxygenase-1 (Hmox) reporter mice were generated as previously described (McMahon
42 et al. 2018). Male Hmox1 reporter mice (16-20 weeks, n=5 per group) were treated 3 times per week
43 for 28 days by oral gavage with either vehicle (0.5 % (w/v) carboxymethylcellulose (CMC; Sigma
44 Aldrich, Taufkirchen, Germany) or with a mixture of 1 μm (4.55×10^7 particles), 4 μm (4.55×10^7
45 particles) and 10 μm (1.49×10^6 particles) microplastics in CMC at a volume of 10 mL/kg body
46 weight. As no reliable data on human exposure exist, and because the study was generally aimed at
47 hazard identification, particle concentrations were chosen which are in the range of particle doses
48 administered in previous studies (see Discussion section for details) which, however, are expected to
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4 considerably exceed human exposure. The treatment scheme with 3 applications per week was
5 chosen to account for a realistic scenario of some days with consumption of highly plastic-
6 contaminated food followed by days without remarkable exposure. At the end of studies, all animals
7 were killed by exposure to a rising concentration of CO₂ and death confirmed by exsanguination.
8 The median lobe of the liver was fixed in 10 % neutral buffered formalin (Sigma Aldrich,
9 Taufkirchen, Germany), while the small intestine – cut into duodenum, ileum and jejunum – and
10 testes were fixed in 4 % (w/v) paraformaldehyde (Sigma Aldrich, Taufkirchen, Germany). Large
11 intestine, lung, heart, spleen and kidneys were fixed in Mirsky's fixative (National Diagnostics,
12 Atlanta, Georgia, USA). Tissues were stored at 4 °C, formalin-fixed tissues for 4h, Mirsky-fixed
13 tissues overnight, before being transferred into 30 % (w/v) sucrose (Sigma Aldrich, Taufkirchen,
14 Germany) for 24 h. Embedding was carried out in Shandon M-1 Embedding Matrix (Thermo Fisher
15 Scientific, Waltham, Massachusetts, USA) in a dry ice–isopentane bath. Sectioning was performed on
16 an OFT5000 cryostat (Bright Instrument Ltd, Bedfordshire, UK). All sections were cut at 10 µm
17 thickness with a chamber temperature of –20 °C, except lung sections, which were cut at 12 µm
18 thickness with a chamber temperature of –23 °C.
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34 *In situ* β-galactosidase (β-gal) staining:

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36 Sections were thawed at room temperature and rehydrated in PBS supplemented with 2 mM MgCl₂
37 (Sigma Aldrich, Taufkirchen, Germany) for 5 min before being incubated overnight at 37 °C in X-gal
38 staining solution (PBS (pH 7.4) containing 2 mM MgCl₂, 0.01 % (w/v) sodium deoxycholate, 0.02 %
39 (v/v) Igepal CA630, 5 mM potassium ferricyanide, 5 mM potassium ferrocyanide and 1 mg/mL 5-
40 bromo-4-chloro-3-indolyl β-D-galactopyranoside), all purchased from Sigma Aldrich (Taufkirchen,
41 Germany). On the following day, slides were washed in PBS, counterstained in Nuclear FastRed
42 (Vector Laboratories, Burlingame, California, USA) for 5 min, washed twice in distilled water and
43 dehydrated through 70 % and 95 % ethanol before being incubated in Histoclear (VWR, Radnor,
44 Pennsylvania, USA) for 3 min, air-dried and mounted in DPX mountant (Sigma Aldrich,
45 Taufkirchen, Germany).
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4 Statistical analysis:
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6 For cell viability measurements statistical analysis was done by One Way ANOVA ($p < 0.05$,
7 compared to untreated controls).
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10 For particle uptake in Caco-2 cell-based models statistical analysis was done by One Way ANOVA
11 ($p < 0.05$) for each particle size to test for differences in overall particle absorption (sum of particles
12 inside and on the cells) between the 3 models.
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15 For real-time RT-PCR results statistical analysis was done by One Way ANOVA ($p < 0.05$, untreated
16 M1 or M2 macrophages compared to M0 and microplastic-treated cells compared to untreated
17 controls).
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24 **RESULTS**
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27 Characterization of microplastics:
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29 Both DLS measurements and confocal imaging were conducted to experimentally determine the
30 sizes of the different polystyrene particles (Figure 2): image analysis of the 1 μm particle revealed a
31 mean size of 1.05 μm , while corresponding DLS analysis showed a mean size of 0.871 μm (Figure
32 2B-C). The respective sizes of the 4 μm particle were 3.99 μm (image analysis) and 3.809 μm (DLS),
33 respectively (Figure 2B-C). The size of the 10 μm particles exceeded the detection limit of DLS and
34 was therefore only assessed by image analysis which revealed a size of 9.78 μm .
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43 Effects of microplastics on cell viability and growth of intestinal cells:
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45 Cell viability of Caco-2 cells was measured by the Cell Titer Blue (CTB) and MTT assays after 24 and
46 48 h of incubation with microplastic particles (Figure 3). The highest possible concentrations of
47 microplastic particles in the incubation medium were dictated by the concentrations of the particle
48 stock dispersions, in order to avoid disturbance of cell growth by a lack of nutrients in the diluted
49 medium. MTT assay results obtained after 48 h of incubation are representatively given in Figure 3
50 and plotted against different dose measures (particle number, particle mass, particle surface area and
51 particle volume per incubation volume). Additional cell viability assays yielded comparable results
52 and are presented as Supplemental Figure S1-S3. Supplemental Figure S4 gives an overview of the
53 conversion of particle number, mass, surface area and volume for the individual particles. Cell
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4 viability curves shown in Figure 3 demonstrate that a pronounced loss of cell viability occurred only
5 in the presence of very high concentrations of the 1 μm particles. No pronounced cytotoxicity was
6 observed with the larger particles. The three cell viability curves run in parallel when plotted against
7 particle number, whereas other visualizations revealed that the 1 μm particles appeared to be more
8 toxic to the cells, when particle mass, surface, or volume were taken into account (Figure 3B-E). It
9 should be noted that the cells were entirely covered with non-physiologically high plastic particles at
10 cytotoxic concentrations, as can be taken from the microscopic images shown in Figure 3E which
11 give an impression of the coverage of cells at high particle concentrations.
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21 Uptake of microplastics by *in vitro* models of the human intestinal epithelium:

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23 In addition to potential cytotoxic effects of microplastic particles on intestinal cells, the cellular
24 uptake of particles by the intestinal epithelium is of interest. Therefore, three different Caco-2-based
25 models of the intestinal epithelium were used to investigate particle uptake: the classic Caco-2
26 monolayer, the M cell model containing uptake-specialized cells, and the mucus model presumably
27 providing an additional barrier for the apical uptake of particles. Different particle numbers
28 ($1 \times 10^8/\text{mL}$ for the 1 μm and 4 μm polystyrene particles, and $3 \times 10^6/\text{mL}$ for the 10 μm polystyrene
29 particles) were chosen in order to reduce the big discrepancies between the applied particle surfaces
30 and volumes when using the same numbers of the different particles per milliliter, as the particle
31 surface is considered to be the biological most relevant dose metric. (Schmid and Stoeger 2016) The
32 fraction of applied particles bound to the cell surface or present inside the cells was determined by
33 plate reader measurements and confocal microscopy (see Methods section for details). Intracellular
34 particle uptake into Caco-2 cells was clearly shown for the 1 μm (up to 0.8 % of total particle
35 recovery) and especially for the 4 μm (up to 3.8 %) particles in all three models. Fewer of the 10 μm
36 particles were taken up (up to 0.07 %) (Figure 4A). No differences were observed between the M
37 Cell and the Mucus model for the 4 μm particles ($\sim 4.8\%$), whereas the 1 μm particles were present
38 at significantly higher numbers in the M Cell model (5.8 %), when the sum of particles inside the
39 cells and adsorbed to the cell membrane from outside was considered. Both the 1 μm and 4 μm
40 particles were recovered at significantly higher rates in the co-cultures, as compared to the Caco-2
41 monoculture.
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58 The transport of 1 μm particles was quantified using the medium from the basolateral
59 compartments. Please note that the larger particles were not able to cross the transwell membrane
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4 due to the pore size of 3 μm , while larger pore sizes prevented the formation of an appropriate cell
5 monolayer. For all three culture systems the transport of particles into the basolateral compartment
6 was below the quantification limit of the method, which corresponded to 0.144 % of the applied
7 amount of particles. Thus, less than 0.144 % of the 1 μm polystyrene particles had crossed the Caco-
8 2 monolayer.
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10 11 12 13 14 15 16 *In vivo* effects of repeated-dose microplastic exposure in mice 17

18 Data obtained so far indicated the absence of remarkable cytotoxicity *in vitro*, but an uptake of a
19 minor fraction of particles into intestinal cells. A 28-day oral feeding study was conducted in order to
20 obtain *in vivo* confirmation of the *in vitro* findings. More importantly, we aimed to analyze possible
21 effects of the intestinal presence of plastic microparticles on inflammation- and oxidative stress-
22 related processes, as the induction of such processes may constitute a plausible physiological reaction
23 to the presence of otherwise not specifically toxic foreign particles. To this end, the *in vivo* study was
24 conducted with transgenic mice expressing a lacZ reporter gene under the control of the
25 inflammation- and redox stress-sensitive heme oxygenase 1 promoter (so-called HOTT mouse,
26 (McMahon et al. 2018)). Mice were exposed to a mixture of the 1 μm , 4 μm and 10 μm microplastic
27 particles by gavage three times a week: 10 μl per g body weight of a dispersion containing 2.5% (v/v)
28 of the plastic particle mix in 0.5 % carboxymethyl cellulose were administered (see Methods section
29 for details). All animals appeared healthy throughout the experiment and did not show clinical signs
30 of illness or other potentially treatment-related symptoms. Similarly, no statistically significant effects
31 of microplastic exposure on body and organ weights (liver, spleen, kidney, heart, lung, testes) were
32 recorded at necropsy (data not shown). Histological examination of hematoxylin/eosin-stained
33 intestinal tissue sections revealed normal tissue morphology without noticeable pathological findings
34 (cp. images in Figure 5).
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49 In the absence of clear-cut histopathological changes, tissue samples from different intestinal
50 sections, liver, spleen and kidney were stained for activity of the β -galactosidase reporter. This was
51 done in order to detect evidence for possible sub-clinical effects of microplastic exposure on
52 inflammatory responses and/or conditions of oxidative injury. Images of stained slices of different
53 intestinal sections as well as of other organs demonstrate the absence of substance-induced activation
54 of the heme oxygenase 1-dependent reporter in microplastic-treated mice from our study (Figure 5).
55 Basal reporter activities visible in kidney and spleen were similarly observed in mice treated with the
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4 vehicle only, with variance between individual animals from a single group (Figure 5). In summary,
5 reporter analyses did not reveal evidence for the occurrence of inflammation and/or oxidative stress
6 following exposure of mice to polystyrene microparticles.
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10 Intestinal tissue of mice from our microplastic feeding study was subsequently examined for the
11 presence of particles. As exemplarily demonstrated in Figure 6 only a few particles were detected by
12 fluorescence microscopy in the intestinal walls of the animals. This indicated that only a very minor
13 fraction of particles was taken up into the tissue. Due to the very low number of particles detected,
14 no quantitative analysis of particle uptake was performed. No particles were found in other organs
15 (liver, spleen, kidney).
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23 Effect of microplastic particles on the polarization of macrophages:

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26 Even though no evidence for the induction of inflammatory responses was evident from the *in vivo*
27 study, activation of macrophages as a rather un-specific biological response to the presence of
28 foreign particulate matter was additionally analyzed using a human *in vitro* system. We therefore
29 studied the effects of microplastic exposure on the differentiation of macrophages by the use of the
30 THP-1 monocyte cell line, which can be differentiated into various cell types closely resembling
31 physiologically occurring sub-populations of macrophages. Particle uptake into these cells was also
32 investigated. Differentiation of THP-1 cells into M0, M1 and M2 macrophages was successful as
33 documented by microscopic examination, determination expected changes in cell surface marker
34 proteins and transcription factors and chemokines (see Supplementary data S5-S8).
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43 As a first step, we examined the uptake of microplastic particles into M0 macrophages, prior to the
44 induction of M1 and M2 polarization. To this end, 24 h of macrophage differentiation into M0 cells
45 were followed by additional 24 h of microplastic exposure, followed by the induction of M1- or M2-
46 specific differentiation. Microplastic uptake was quantified at 24 and 72 h after the induction of
47 polarization. All three differently sized polystyrene particles were taken up by THP-1-derived
48 macrophages (Figure 7A). A comparable pattern of particle uptake ($4\ \mu\text{m} > 1\ \mu\text{m} > 10\ \mu\text{m}$) was
49 observed for the cells at 24 and 72 h of polarization, and this qualitatively resembled the findings
50 obtained with Caco-2 cells. Nonetheless, the quantity of particle uptake was much bigger in the
51 macrophages, as compared to the intestinal cells (Figure 7A): the overall fractions of macrophages
52 that had taken up particles were about 40 to 80 % for the $4\ \mu\text{m}$ particles and only 10 to 20 % for the
53 smaller and bigger particles.
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4 Beside the particle uptake into the macrophages, the influence of the microplastic particles on the
5 polarization process of macrophages was also analyzed (Figure 7B-E). For this purpose,
6 microplastic-loaded M0 macrophages (see experiment above) were exposed to the appropriate
7 stimuli to induce polarization into M1 or M2 macrophages, respectively (see Methods section for
8 details). Western blotting analysis showed that after incubation with three different sizes of
9 polystyrene microplastics, STAT-1 proteins were phosphorylated only in M1 macrophages and
10 STAT-6 proteins only in M2 macrophages, to the same extent as in cells not previously exposed to
11 microplastics. Thus, the tested polystyrene microplastic particles had no influence on the
12 phosphorylation of STAT-1 and STAT-6, two prototype markers of macrophage polarization.
13 Comparable results showing the absence of effects of microplastic exposure were yielded from real-
14 time RT-PCR analysis of relative mRNA expression of the M1- and M2-typical chemokines
15 (CXCL10 and CCL22) and surface receptors (CD209 and CD206) (Figure 7C). In summary, the data
16 obtained with THP-1-derived macrophages show substantial uptake of the particles into the cells,
17 but do not provide evidence for effects on macrophage polarization and/or chemokine release.
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32 DISCUSSION

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34 The aim of this project was to provide more detailed *in vivo* and *in vitro* information about the
35 potential uptake and transport of microplastics through the human intestinal wall, and also about
36 possibly resulting immunological and molecular effects. Findings from these investigations should
37 help to close existing knowledge gaps on the uptake and toxicological effects of microplastics, as
38 recently identified by EFSA (EFSA 2016).
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43 Cytotoxicity was assessed by both CTB and MTT cell viability assays showing an increased
44 cytotoxicity for 1 μm particles at the two highest concentrations while 4 μm and 10 μm particles were
45 non-toxic. It is known that small particles have a higher bioreactivity due to their higher surface-to-
46 volume ratio compared to bigger particles. This explains the higher cytotoxicity of the 1 μm particle
47 compared to the 4 μm and 10 μm particles (Sharifi et al. 2012). The uptake and transport of plastics
48 particles of different sizes were tested in three different *in vitro* systems mimicking the human
49 gastrointestinal barrier: the classic Caco-2 monoculture, and coculture models with refined diversity
50 and functionality using the additional cell lines Raji B (representing M cells) and HT29-MTX
51 (representing goblet cells). Raji B lymphocytes integrate the immunologic and phagocytic function of
52 the gut-associated lymphoid tissue (GALT), while mucus-producing HT29-MTX cells provide a
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4 protection barrier also found in the digestive system. Additional uptake experiments were conducted
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6 with THP-1-derived macrophages. Our results suggest increased uptake into the cocultures, as
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8 compared to Caco-2 alone. Macrophages easily ingested polystyrene particles. Roughly consistent
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10 over all cell models, considerable uptake of the 1 μm and especially the 4 μm particles was shown,
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12 whereas cellular uptake of the larger 10 μm particles was much less efficient. This finding is
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14 consistent with earlier reports on size-dependent microplastic uptake where 10 μm has been set as
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16 the upper limit size for cellular uptake (Bruinink et al. 2015). Surprisingly, more 4 μm particles than 1
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18 μm particles were found inside the cells. This may be explained by different import routes for the
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20 differently sized particles: macrophages and intestinal epithelial cells like Caco-2 are able to take up
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22 particles by phagocytosis or by pinocytosis/micropinocytosis. Cells can take up entities between 0.5
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24 and 10 μm by phagocytosis, while particle uptake by pinocytosis and macropinocytosis may happen
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26 for particle sizes above 1 μm (Bruinink et al. 2015; Hirota and Terada 2012). Thus, 1 μm particles are
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28 likely absorbed by phagocytosis only, while 4 μm particles might be absorbed by phagocytosis and
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30 pinocytosis/macropinocytosis. An increased phagocytosis rate for particles between 2 and 4 μm was
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32 also shown by Champion et al. who investigated the dependence of phagocytosis on particle size in
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34 continuous alveolar rat macrophage cells (Champion et al. 2008). This may explain the increased
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36 internalization of 4 μm particles. Furthermore, unlike the 1 μm particles carrying a carboxy surface,
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38 the 4 μm particles carried sulfate groups, which may cause different behavior with respect to cellular
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40 uptake. Transport through the Caco-2 monolayer was only observed for a very minor, not
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42 quantifiable fraction of the 1 μm particles, whereas experimental limitations precluded testing of
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44 transport of larger particles to the basolateral compartment. Altogether, these findings are in line
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46 with previous results on particle uptake, as documented in the recent EFSA report where it is
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48 concluded that only particles smaller than 1.5 μm in diameter may penetrate the gastrointestinal
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50 barrier be distributed to deeper organs (EFSA 2016).

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52 Results from our *in vivo* study show little presence of particles in cells of the jejunum and duodenum.
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54 No particles were found in other organs. Previous articles describe the presence of 3 μm microplastic
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56 particles on the mucosal surface, within enterocytes and microvilli after oral administration in rats
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58 (Jani et al. 1992a). The maximum particle size taken up by the Peyer's patches was 1 μm in an earlier
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60 study (Jani et al. 1992b), so that the 4 μm and 10 μm particles were probably too large for efficient
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62 uptake. Furthermore, it was found that smaller particles (0.05, 0.3 and 1 μm) migrated into the
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64 serosal layers of the Peyer's patches, transversing the mesentery lymph vessels, lymph nodes and liver
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66 vessels (Jani et al. 1989; Jepson et al. 1993; Smyth et al. 2008). Another publication showed negatively

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4 charged 50 nm polystyrene nanoparticles in lung, testis, spleen kidney and heart after oral
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6 administration (Walczak et al. 2015). Differences between the findings obtained in the different
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8 studies might be caused by the chosen dosage of particles: Jani and colleagues used 12.5 mg/kg body
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10 weight of their plastic particles, administered daily for ten consecutive days, and Walczak et al. used a
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12 single dose of 125 mg/kg body weight. In our particle mix we used approximately 1.25 mg/kg body
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14 weight for the 1 μm particles, 25 mg/kg body weight for the 4 μm particles, and 34 mg/kg body
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16 weight for the 10 μm particles three times a week for 28 days. Thus, both the treatment periods and
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18 the particle concentrations differ between previous publications and our experimental design.
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20 Especially the small 1 μm particles were administered in much lower doses in our trial. Moreover,
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22 our experimental approach with an application of particles three times per week diverges from
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24 previous studies. This protocol was chosen to more realistically reflect assumed exposure patterns of
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26 humans, for example by the ingestion of highly contaminated food on some days, followed by days
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28 without such exposure. Even though no precise calculation of human oral exposure to microplastics
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30 is possible based on the lack of data for most types of foodstuff, we are aware that the
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32 concentrations used in our rodent study were still orders of magnitude higher than what might be
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34 realistically expected for humans. In this context it should be noted that results from a study by
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36 Seifert and co-workers suggest that the uptake rate of particles is substantially correlated with the
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38 administered dose of particles: after direct administration of 3.7×10^5 particles (1 μm diameter,
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40 polystyrene) into the duodenum, 18 particles were detected in the lymph (not clearly stating whether
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42 this number refers to the sum of particles detected in the entire group of 5 animals or to the mean
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44 number of particles per animal), while this number rose to 775 particles up after application of $3.7 \times$
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46 10^9 of particles (Seifert et al. 1996). In our study, the individual doses for each particle ranged
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48 between 1.49×10^6 and 4.55×10^7 , so that the low uptake we observed appears plausible based on the
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50 lower doses, as compared to other publications. The dose-dependency of intestinal particle
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52 absorption (Seifert et al. 1996) should be taken into account when extrapolating findings from animal
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54 studies to the situation in humans. Uncertainty remains with respect to the question, if and how
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56 parameters such as particle material, shape, and surface modifications influence the gastrointestinal
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58 uptake of microplastics. Nonetheless, it can be concluded from the available data that only a very
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60 minor fraction of small microplastic particles enters the intestinal wall. Patients with diseases which
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62 increment the permeability of the gut wall, such as for example inflammatory bowel diseases, celiac
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64 disease, food allergies, or irritable bowel syndrome, may display altered bioavailability of
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microplastics. Data on putative long-term particle accumulation and agglomeration of such particles

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4 inside the body are lacking. With respect to the intestinal wall, however, it might be assumed that the
5 rapid physiological turnover of intestinal epithelial cells will eliminate most of the particles which
6 have been taken up intracellularly. While most of the available literature points towards a very low
7 oral bioavailability of microplastic particles, as reviewed by EFSA, data from a previous study by
8 Deng and co-workers suggest that high numbers of 5 μm and 20 μm polystyrene particles cross the
9 intestinal barrier and are distributed to deeper organs (Deng et al. 2017; EFSA 2016). However, the
10 findings from the above study appear questionable due to the fact that the numbers of particles
11 detected in the organs massively exceed the numbers of particles applied during the study. This
12 problem has been addressed in a recent publication in more detail (Braeuning 2018) and prevents the
13 use of the data from the Deng et al. study for a credible estimation of microplastic bioavailability
14 (Deng et al. 2017).

24 With respect to toxicologically relevant effects of polystyrene microparticles, data from our *in vivo*
25 study do not provide any evidence of histologically detectable adverse effects. Previous studies on
26 the toxicity on microplastic particles have mainly focused on aquatic organisms and some effects
27 have been reported in that context (Canesi et al. 2015; Della Torre et al. 2014; Lu et al. 2016;
28 Rochman et al. 2014). Relevance of these findings for mammalian organisms is unclear. Moreover,
29 our *in vivo* reporter analyses in transgenic mice suggest the absence of particle-induced oxidative
30 stress and inflammation, which is in line with our *in vitro* findings of the lack of *in vitro* cytotoxicity of
31 polystyrene microparticles and especially the lack of influence on macrophage differentiation and
32 polarization. Inflammation and phagocyte activation were specifically chosen for investigation
33 because they represent plausible endpoints of an early phase of unspecific damage by particulate
34 matter (Khalili Fard et al. 2015). The apparent lack of toxicity of plastic particles is in line with the
35 assumption that chemically rather inert polymers are not expected to exert pronounced cellular
36 toxicity per se. Previous studies conducted in mammalian organisms aimed to analyze the
37 gastrointestinal uptake of microplastics do not contain descriptions of unexpected toxicity in the
38 experimental animals (Sinnecker et al. 2014; Walczak et al. 2015). This corroborates our present
39 results and supports the assumption that exposure to environmental concentrations of microplastic
40 particles does not bear a remarkable potential to exert toxic effects. It should be noted that
41 polystyrene microparticle cytotoxicity has been reported at concentrations of 0.5 mg/mL of 3.5 μm
42 particles in murine cells and at the same concentration of 1 μm particles in human THP-1 cells
43 (Olivier et al. 2003; Prietl et al. 2014). These data are not at odds with our results, as the particle
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4 concentrations used for incubation with macrophages corresponded to a maximum of 33 µg/mL for
5 the biggest particles with a diameter of 10 µm.
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8 Nonetheless, data gaps remain, not only with respect to possible differential effects of particles
9 varying in size and material (especially nano-sized materials are of special interest here). Again, the
10 abovementioned publication by Deng et al. should be discussed here: the authors conclude that data
11 from mice exposed to microplastic particles suggest widespread health risks (Deng et al. 2017).
12 However, adversity of the findings at the biochemical level, i.e. alterations in a number of
13 metabolites, remains unclear, and issues with the validity of histopathological analyses from that
14 group have been addressed previously (Baumann et al. 2016; Braeuning 2018). In conjunction with
15 the aforementioned issues with the credibility of the uptake data, data from the study by Deng and
16 colleagues appear not suited for the assessment of possible health effects of microplastics in
17 mammalian organisms. Another very recent publication deals with effects of 5 µm and 50 µm
18 polystyrene particles on intestinal mucus, hepatic lipid metabolism and gut microbiota (Lu et al.
19 2018). In the latter study, microplastics have been administered via the drinking water.
20 Unfortunately, the study does not contain information about water and food consumption of the
21 animals which would be needed to exclude that the effects observed were caused by differences in
22 food or water uptake (as palatability of the water may have been changed by the microplastics).
23 Analyses of mineral water for human consumption have revealed concentrations of up to 250 plastic
24 microparticles per liter (Schymanski et al. 2018). A comparison of the latter value with the particle
25 concentration of about $1.5 \cdot 10^{10}$ of the 5 µM particles per liter in the study by Lu and co-workers (Lu
26 et al. 2018) demonstrates that their findings were obtained at unphysiologically high particle exposure
27 which does not allow for inference to possible risks for humans.
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44 Besides possible risks directly conveyed by the particles, additional health concerns may arise from
45 the scenario that hydrophobic contaminants adsorb to the particles, thus leading to altered uptake of
46 the respective contaminants and/or to modulation of their bioavailability (Frias et al. 2010; Gauquie
47 et al. 2015; Teuten et al. 2007). Similarly, the surface of microplastic particles may be colonized by
48 microorganisms. Future research is needed to close the still existing knowledge gaps related to
49 microplastic exposure and effects. The present data indicate that polystyrene particle uptake occurs
50 only at a very low level and is not linked to remarkable toxicity. Nonetheless, clarification needs to be
51 provided on the influence of parameters such as particle size, shape, material, adsorbed contaminants
52 and microorganisms. Other types of microplastics such as PET or PE might exert behavior different
53 from polystyrene. Similarly, future studies are needed to elucidate human microplastic exposure in
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4 more detail in order to facilitate a conclusive risk assessment. At the current stage of research,
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6 however, available data indicate that orally ingested microplastic particles do not pose major health
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8 risks to the consumer.
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10 11 12 **ACKNOWLEDGMENT**

13
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15
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18 1323-102).
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22 23 **DECLARATION OF INTEREST STATEMENT**

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25 We declare no conflict of interest.
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20 **FIGURE LEGENDS**

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24 Figure 1: Schematic overview of the experimental approach. Particle uptake was studied *in vitro* using
25 different Caco-2-based models of the human gastrointestinal epithelium. Particle uptake and effects
26 were analyzed *in vivo* using a transgenic mouse model expressing a LacZ reporter under the control of
27 the oxidative stress-responsive heme oxygenase 1 (Hmox1) promoter. *In vitro* effects of microplastics
28 on macrophage polarization were investigated in human THP-1 cells.
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38 Figure 2: Characterization of fluorescent 1 μm , 4 μm and 10 μm polystyrene (PS) particles by
39 confocal microscopy with subsequent size determination by image analysis and by Dynamic Light
40 Scattering (DLS). (A) Confocal images of fluorescent negatively charged 1 μm (left), 4 μm (middle),
41 and 10 μm (right) polystyrene particles. (B) Size distributions of particle diameters, as determined by
42 image analysis. (C) DLS curves for the 1 μm polystyrene (left) and 4 μm polystyrene (middle)
43 particles. The size of the 10 μm particles exceeded the detection limit of the method and was
44 therefore not determined.
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55 Figure 3: MTT cell viability measurements in Caco-2 cells after 48 h of incubation with 1 μm , 4 μm
56 and 10 μm polystyrene (PS) particles. (A to D) MTT assay cell viability measurements of proliferating
57 Caco-2 cells after 48 h of incubation with three different sizes of microplastic particles. Results are
58 given as percentage of the viability of the medium control. Mean values \pm SD of n=3 independent
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4 experiments are given. Statistical analysis was done by **One Way ANOVA**. (*p<0.05, compared to
5 untreated controls) Cell viability curves are plotted against different dose measures: **(A)** particle
6 number per incubation volume, **(B)** particle mass per incubation volume, **(C)** particle surface area per
7 incubation volume and **(D)** particle volume per incubation volume. **(E)** Fluorescence-microscopic
8 images of semi-confluent Caco-2 cells incubated with increasing particle concentrations demonstrate
9 coverage of cells with particles at high concentrations. Top row: 1 μm polystyrene particles; middle
10 row: 4 μm polystyrene particles; bottom row: 10 μm polystyrene particles.
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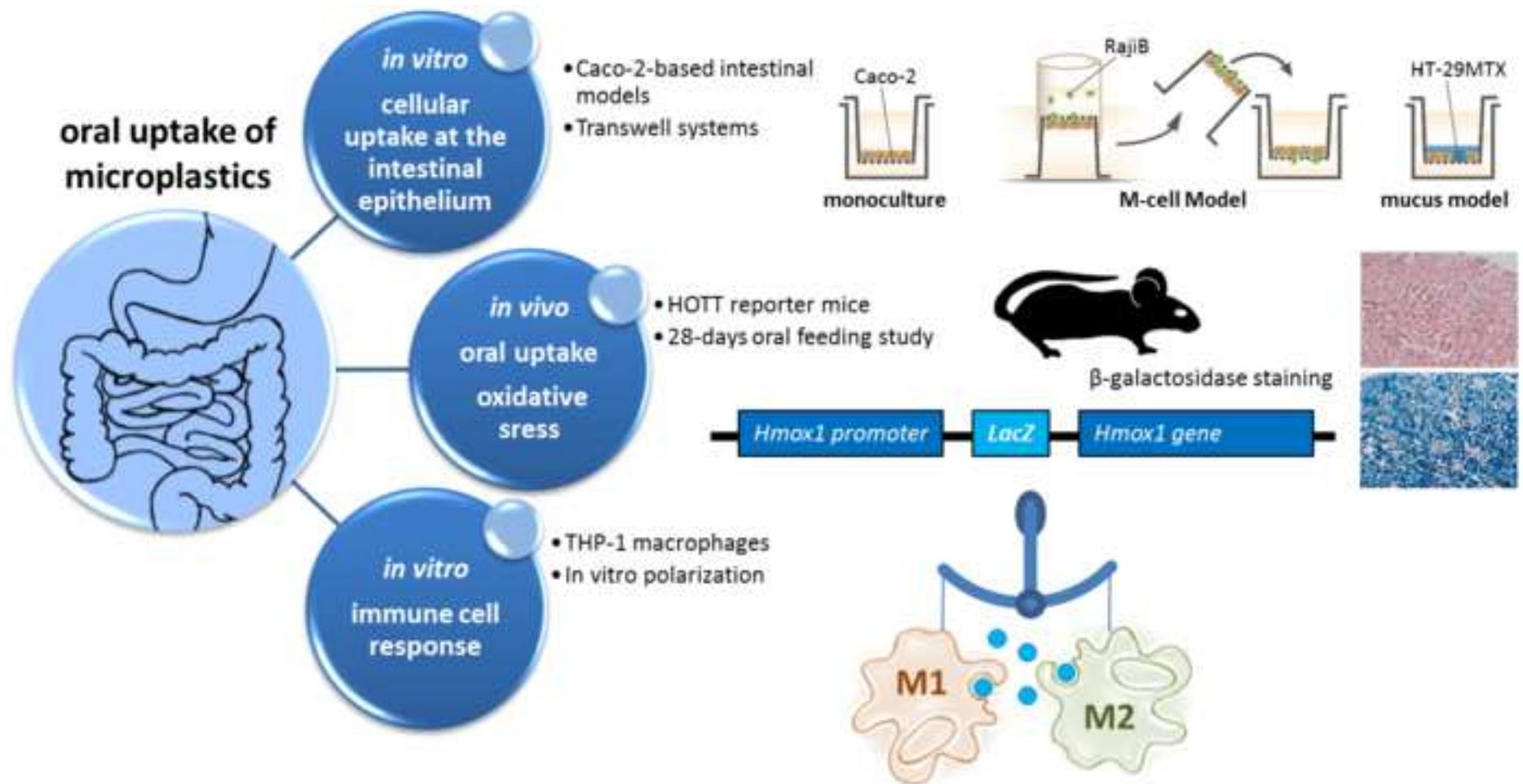
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21 Figure 4: Uptake of fluorescent 1 μm , 4 μm and 10 μm polystyrene (PS) microplastic particles into
22 intestinal epithelial Caco-2 cells and their co-cultures after 24 h of incubation in a transwell system.
23 **(A)** Percentage of microplastic particles found to interact with the cells of the Caco-2 monocultures,
24 the M cell model, or the mucus model, as determined by confocal microscopy and plate reader-based
25 fluorescence measurements. After counting of the particles inside and on top of the cells, the ratio of
26 particles counted in/on the cells was calculated. Total fluorescence of the membrane was determined
27 by the use of a plate reader and from that, the fractions of particles in/on the cells were calculated
28 for the whole membrane. Particles which were found in the cytoplasm or on the basolateral side of
29 the cell monolayer were counted as the “inside cells” fraction, while particles which were not
30 absorbed by the cells but interacted with the microvilli were counted as the “on the cells” fraction.
31 Mean values \pm SD of n=3 independent experiments are given. **(B)** Representative confocal
32 microscopic images of epithelial cells from the Caco-2 monoculture model incubated with the three
33 different fluorescent microplastic particles (left: 1 μm polystyrene, middle: 4 μm polystyrene, right: 10
34 μm polystyrene). Cell membranes were stained with actin-green. * Differences in overall particle
35 absorption (sum of particles inside and on the cells) between the 3 models were calculated by One-
36 way ANOVA; p<0.05 in separate calculations for each particle size.
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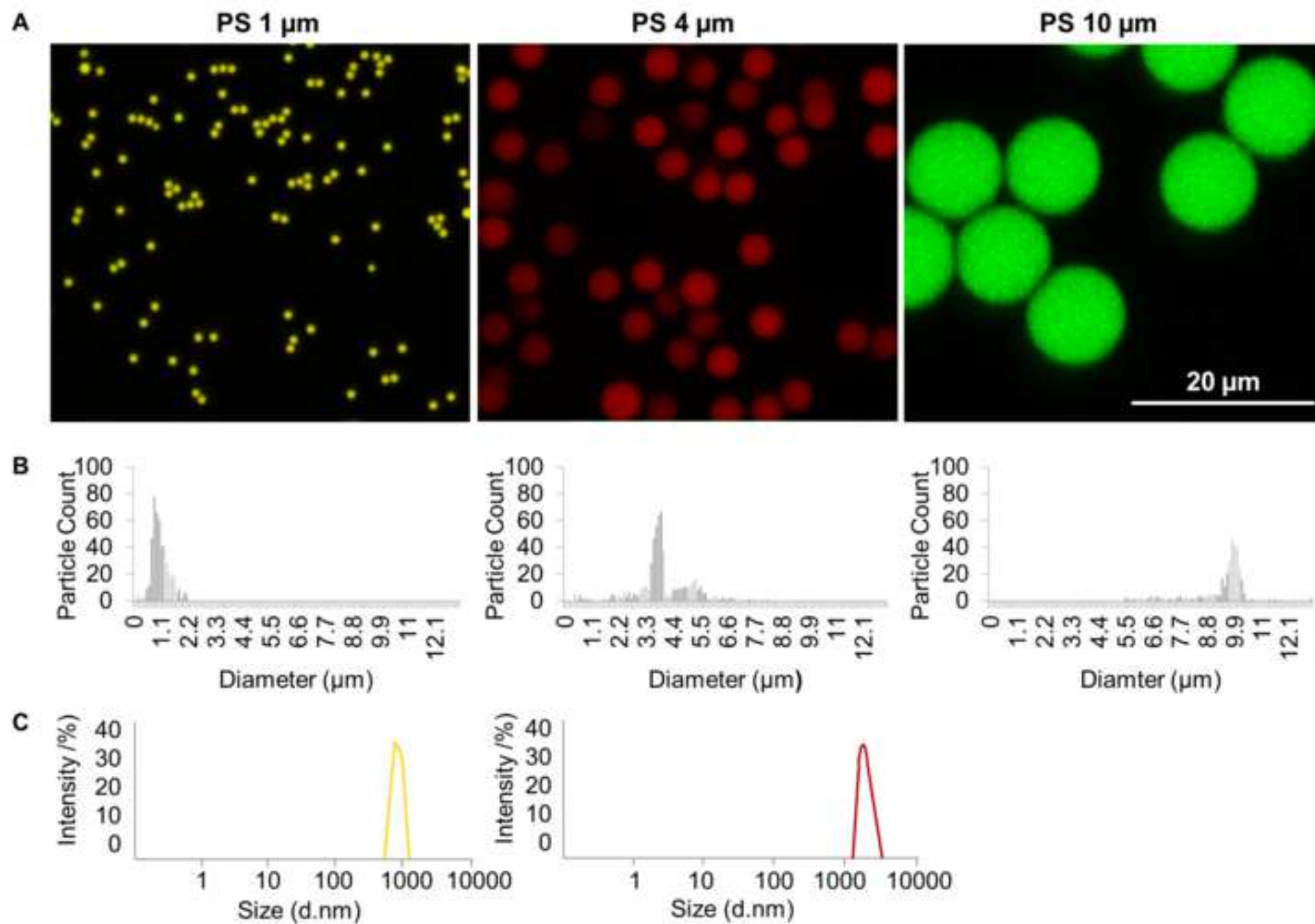
53 Figure 5: Histochemical staining for the activity of the oxidative stress and inflammation reporter
54 enzyme β -galactosidase in transgenic mice treated with repeated doses of a mixture of microplastic
55 particles for 28 days. The reporter enzyme is expressed under the control of the heme oxygenase 1
56 promoter (HOTT mouse). **(A)** Representative images from staining of the large intestine, duodenum,
57 jejunum, ileum, kidney, spleen and liver are shown in comparison to mice having received only the
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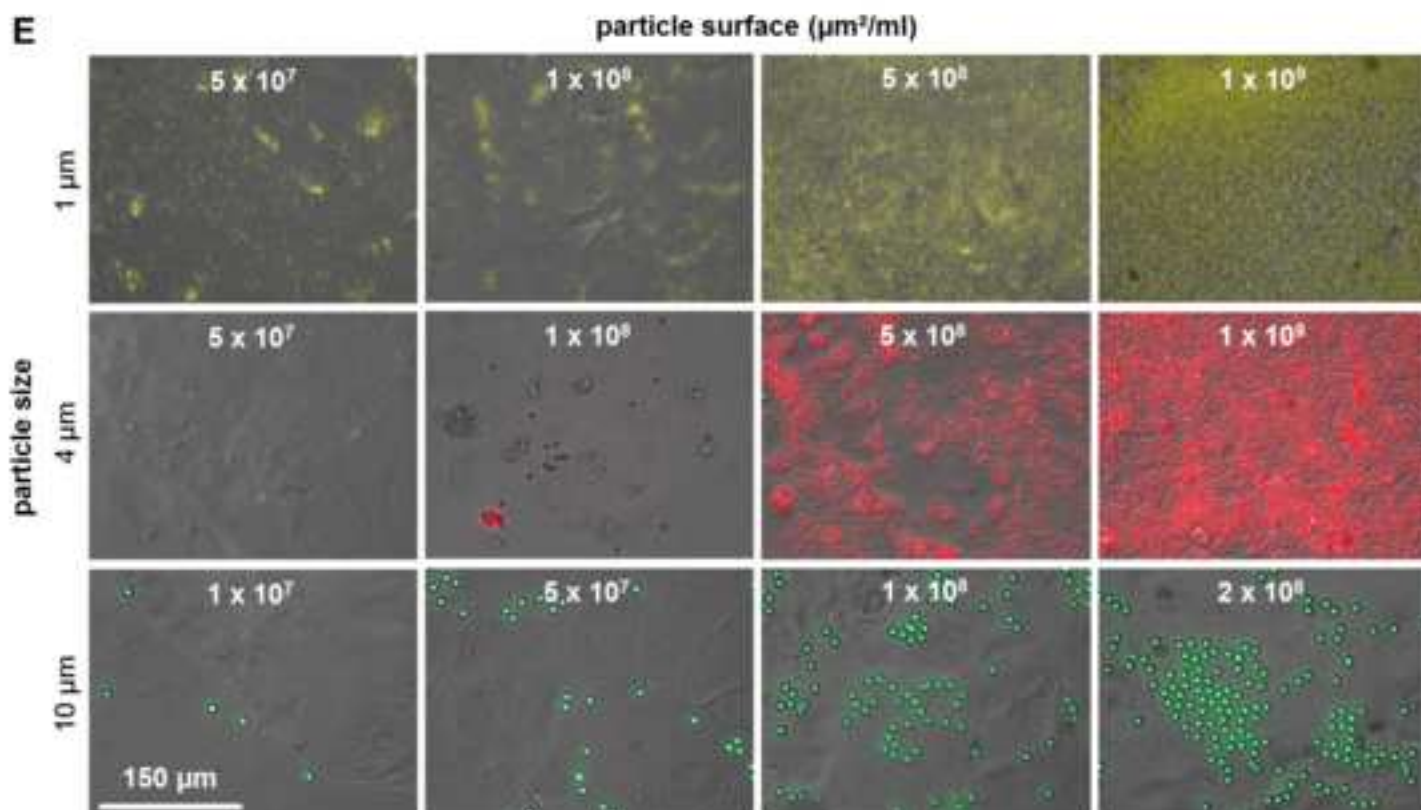
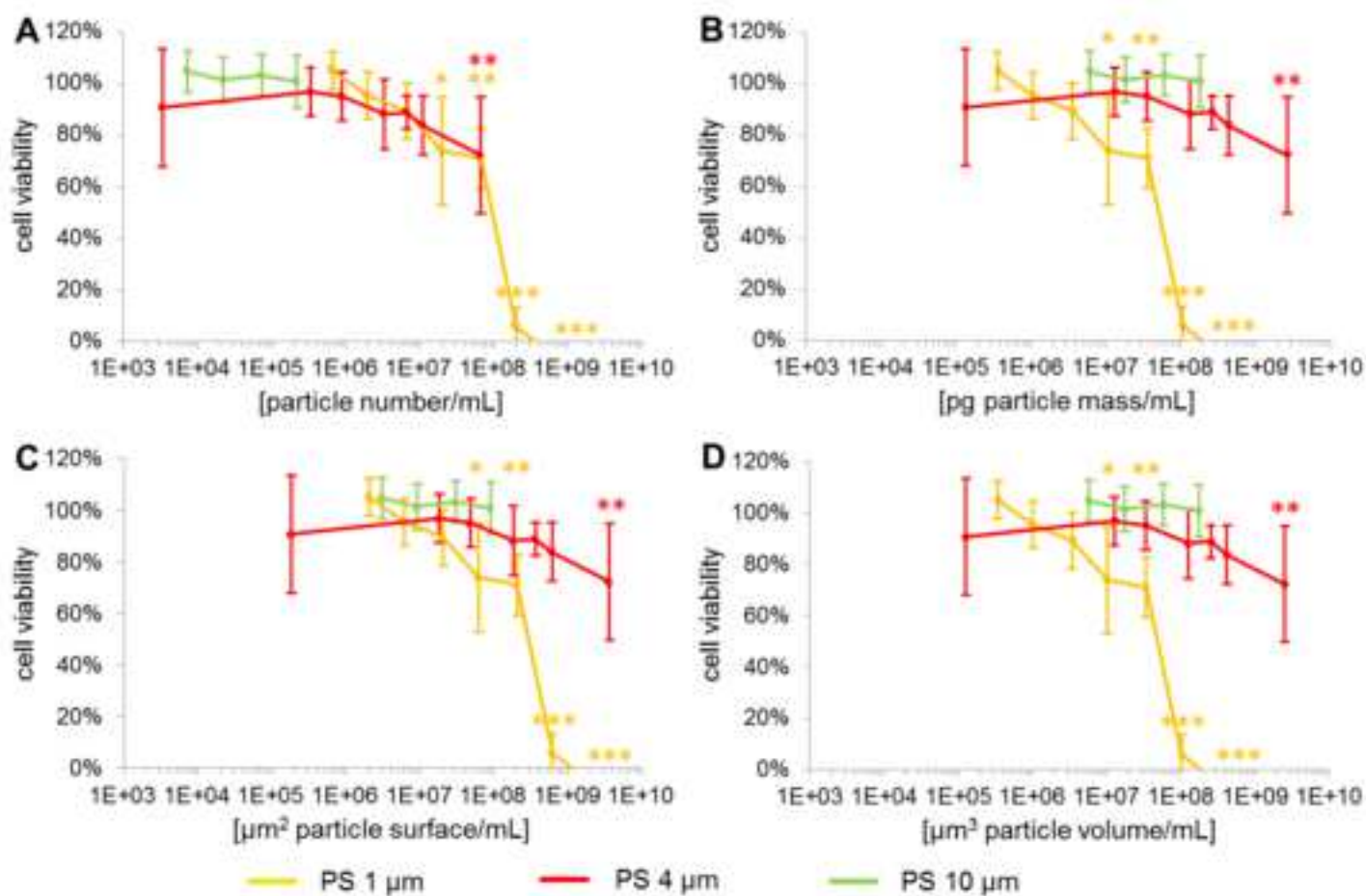
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4 vehicle control. Tissues were counterstained by hematoxylin/eosin (HE). Staining of a CdCl₂-treated
5 liver from a previous experiment is presented a positive control for β-galactosidase activity. Please
6 note that differences in blue background staining result from differences in HE counterstaining, not
7 from differences in β-galactosidase activities. (B) Enhanced display details of stainings from
8 microplastic-treated mice showing histologically intact tissue from different organs and the absence
9 of β-galactosidase staining.
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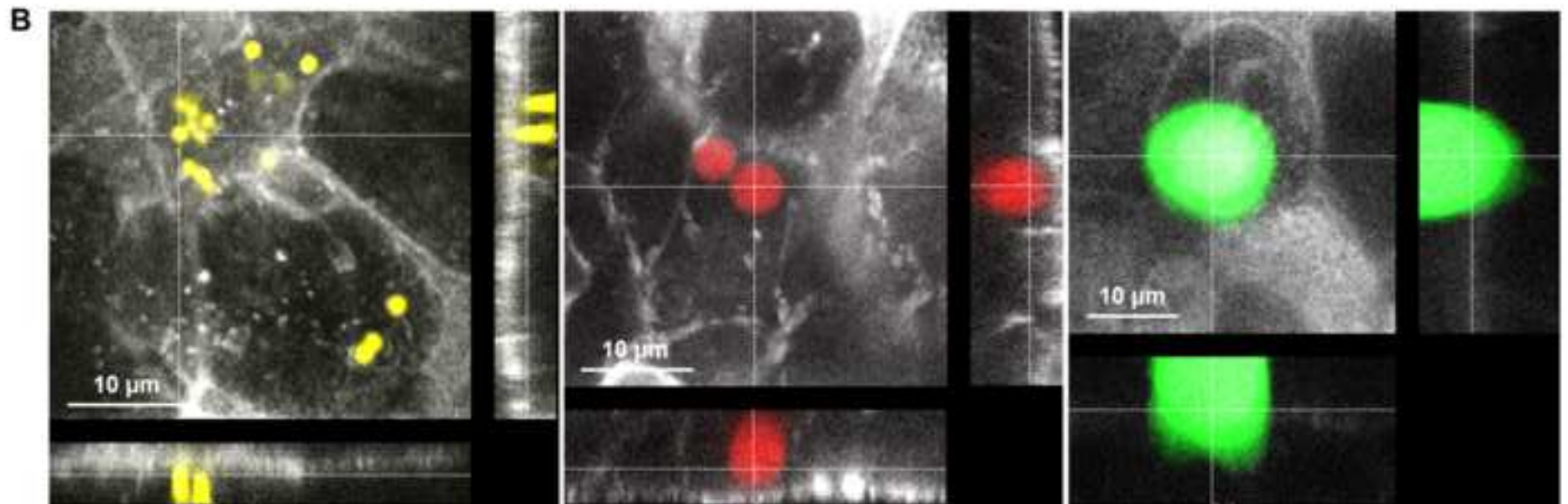
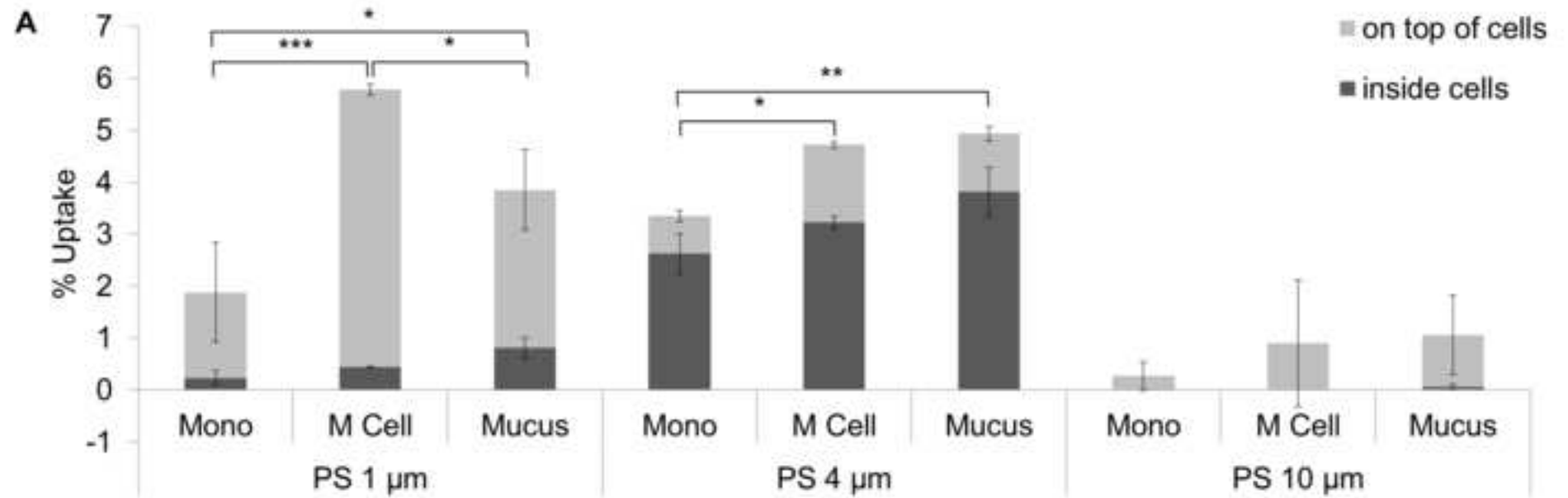
19 Figure 6: Representative confocal images of 1 μm particles taken up into the (A) jejunal and (B)
20 duodenal wall of a HOTT mouse fed with a mixture of three differently-sized fluorescent
21 polystyrene particles (1 μm, 4 μm, 10 μm) three times a week for 28 days. Only a small number of
22 individual particles were detectable in the tissue.
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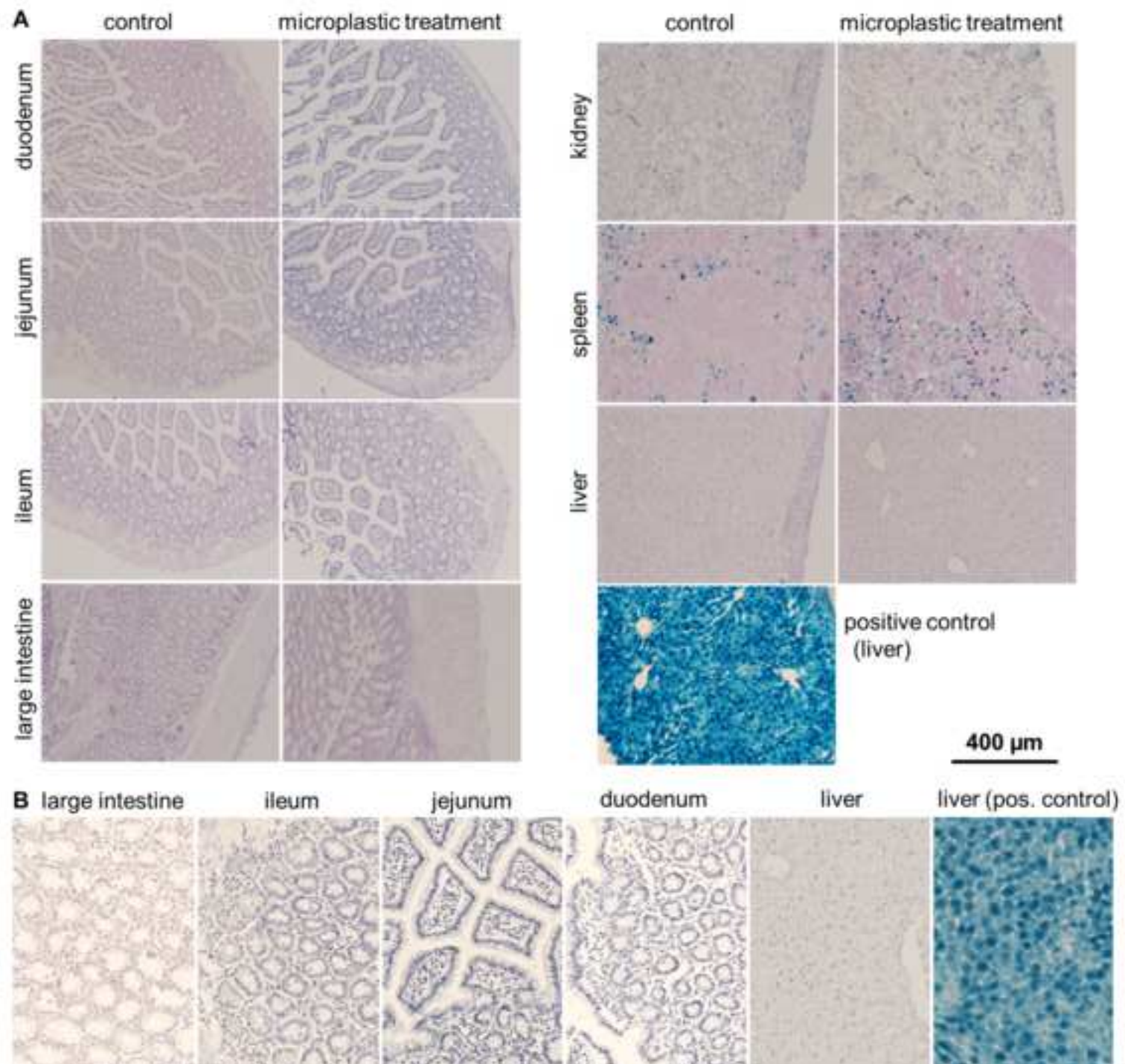
30 Figure 7: Microplastic particle uptake into THP-1-derived macrophages and influence of
31 microplastics on macrophage differentiation. THP-1 monocytes were differentiated into M0
32 macrophages for 24 h with 25 nM PMA and then incubated with polystyrene microplastic particles
33 during a 24 h resting phase. This was followed by the induction of M1- or M2-specific polarization.
34 After 24 or 72 of the polarization phase, particle uptake, protein phosphorylation and gene
35 expression were analyzed. (A) Particle uptake was determined by analysis of phase contrast and
36 fluorescence-microscopic images of cells exposed to polystyrene microplastic particles. (B and C)
37 Influence of the uptake of 1 μm, 4 μm and 10 μm polystyrene microplastic particles on the
38 phosphorylation of STAT-1 and STAT-6 proteins in M0, M1 and M2 macrophages. Phosphorylated
39 STAT proteins and loading control (Ponceau red staining) are shown. (D and E) Relative expression
40 of M1 and M2 macrophage-specific chemokines and surface receptors in polystyrene-treated cells
41 and controls after 24 h and 72 h of polarization determined by real-time RT-PCR. Mean values ± SD
42 of n=3 independent experiments are given. #One Way ANOVA p<0.05, untreated M1 or M2
43 macrophages compared to M0. *One Way ANOVA p<0.05, microplastic-treated cells compared to
44 untreated controls.
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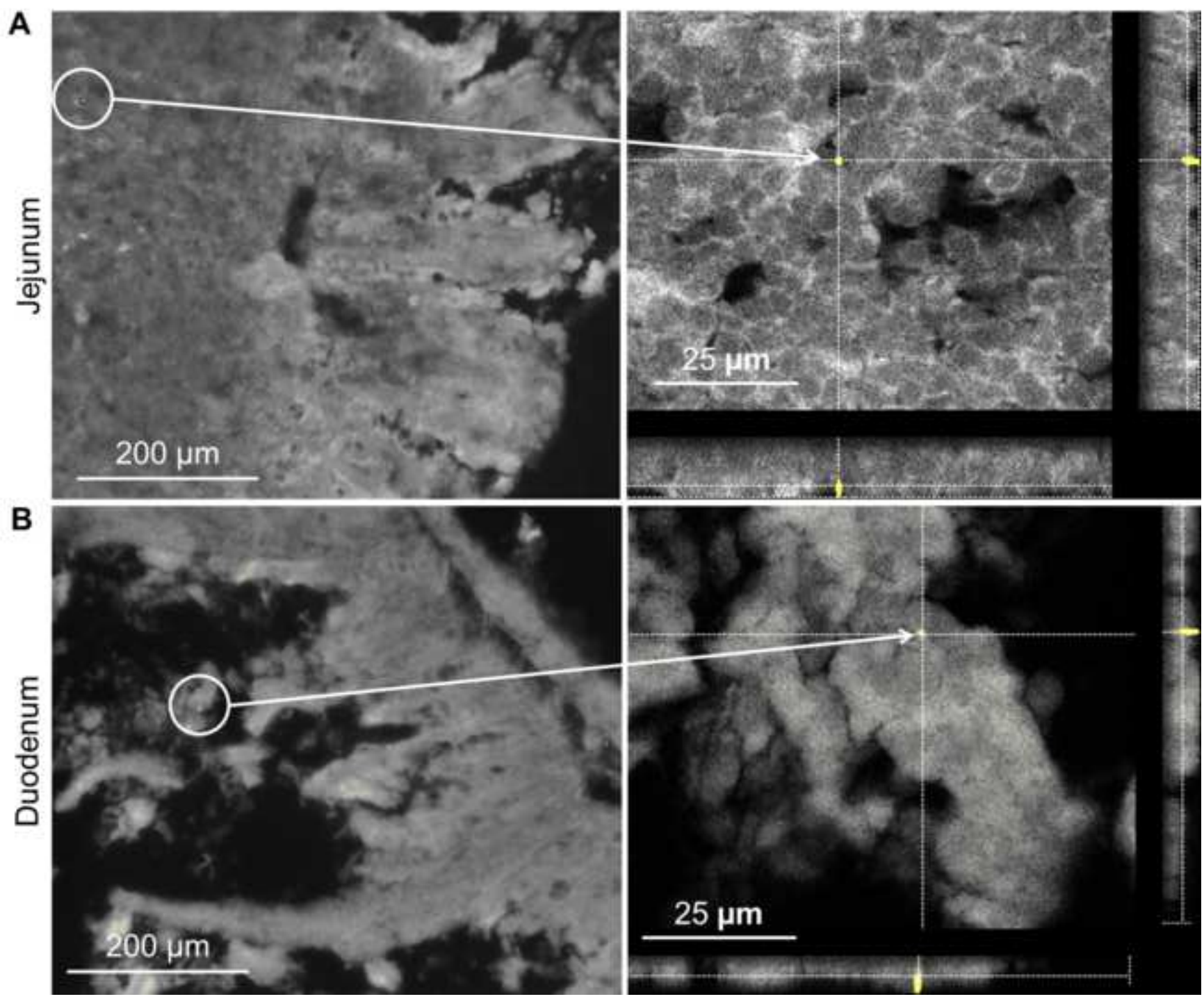


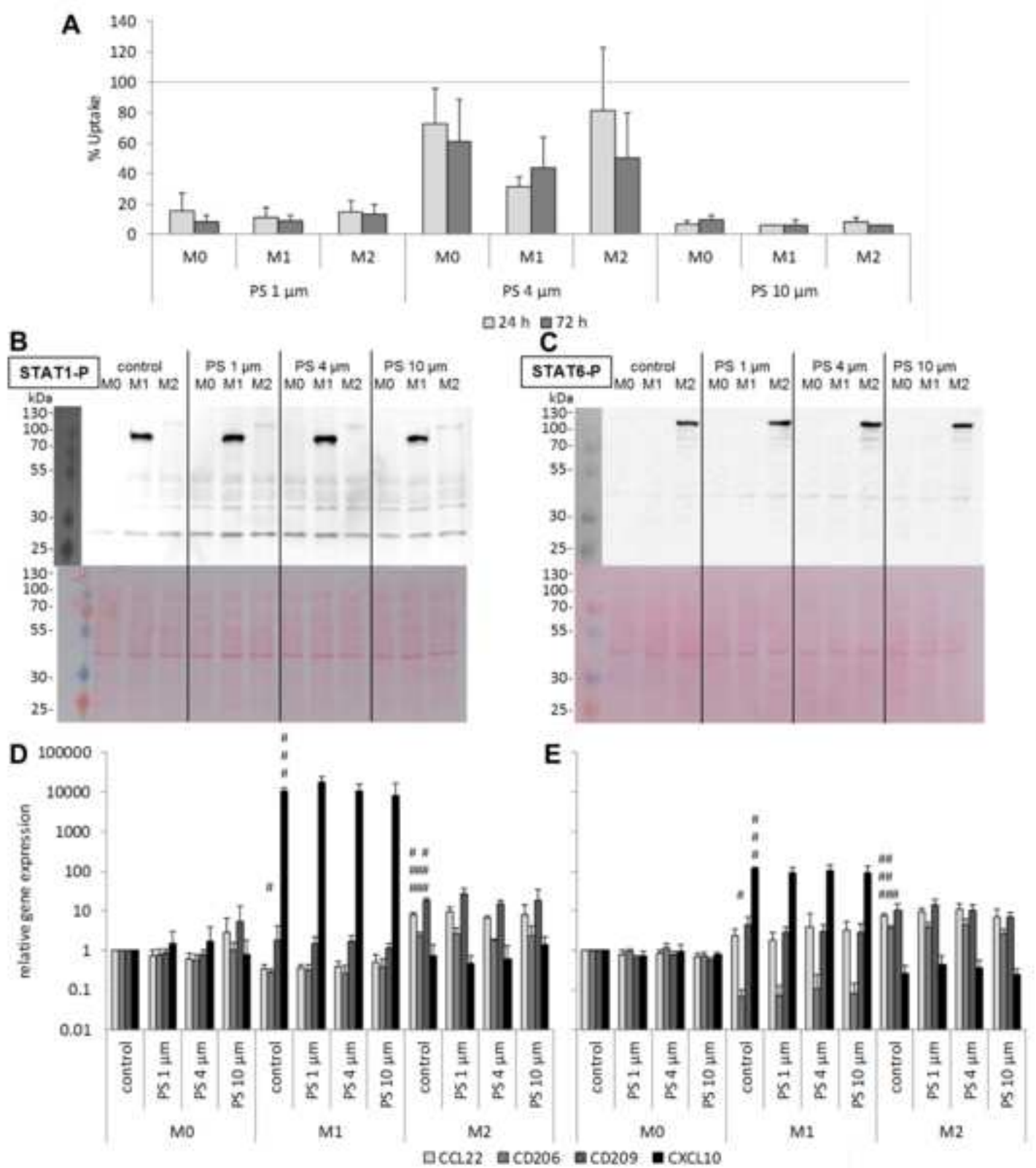














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