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# A rank formula for the self-commutators of rational Toeplitz tuples

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available at the end of the article**Abstract**

In this paper we derive a rank formula for the self-commutators of tuples of Toeplitz operators with matrix-valued rational symbols.

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## 1 Introduction

Let  $\mathcal{H}$  and  $\mathcal{K}$  be complex Hilbert spaces, let  $\mathcal{B}(\mathcal{H}, \mathcal{K})$  be the set of bounded linear operators from  $\mathcal{H}$  to  $\mathcal{K}$ , and write  $\mathcal{B}(\mathcal{H}) := \mathcal{B}(\mathcal{H}, \mathcal{H})$ . For  $A, B \in \mathcal{B}(\mathcal{H})$ , we let  $[A, B] := AB - BA$ . An operator  $T \in \mathcal{B}(\mathcal{H})$  is said to be normal if  $[T^*, T] = 0$ , hyponormal if  $[T^*, T] \geq 0$ . For an operator  $T \in \mathcal{B}(\mathcal{H})$ , we write  $\ker T$  and  $\text{ran } T$  for the kernel and the range of  $T$ , respectively. For a subset  $\mathcal{M}$  of a Hilbert space  $\mathcal{H}$ ,  $\text{cl } \mathcal{M}$  and  $\mathcal{M}^\perp$  denote the closure and the orthogonal complement of  $\mathcal{M}$ , respectively. Also, let  $\mathbb{T} \equiv \partial\mathbb{D}$  be the unit circle (where  $\mathbb{D}$  denotes the open unit disk in the complex plane  $\mathbb{C}$ ). Recall that  $L^\infty \equiv L^\infty(\mathbb{T})$  is the set of bounded measurable functions on  $\mathbb{T}$ , that the Hilbert space  $L^2 \equiv L^2(\mathbb{T})$  has a canonical orthonormal basis given by the trigonometric functions  $e_n(z) = z^n$ , for all  $n \in \mathbb{Z}$ , and that the Hardy space  $H^2 \equiv H^2(\mathbb{T})$  is the closed linear span of  $\{e_n : n \geq 0\}$ . An element  $f \in L^2$  is said to be analytic if  $f \in H^2$ . Let  $H^\infty := L^\infty \cap H^2$ , i.e.,  $H^\infty$  is the set of bounded analytic functions on  $\mathbb{D}$ .

We review the notion of functions of bounded type and a few essential facts about Hankel and Toeplitz operators and for that we will use [1–4].

For  $\varphi \in L^\infty$ , we write

$$\varphi_+ \equiv P\varphi \in H^2 \quad \text{and} \quad \varphi_- \equiv \overline{P^\perp \varphi} \in zH^2,$$

where  $P$  and  $P^\perp$  denote the orthogonal projection from  $L^2$  onto  $H^2$  and  $(H^2)^\perp$ , respectively. Thus we may write  $\varphi = \overline{\varphi_-} + \varphi_+$ . We recall that a function  $\varphi \in L^\infty$  is said to be of *bounded type* (or in the Nevanlinna class  $\mathcal{N}$ ) if there are functions  $\psi_1, \psi_2 \in H^\infty$  such that

$$\varphi(z) = \frac{\psi_1(z)}{\psi_2(z)} \quad \text{for almost all } z \in \mathbb{T}.$$

We recall [5], Lemma 3, that if  $\varphi \in L^\infty$  then

$$\varphi \text{ is of bounded type} \iff \ker H_\varphi \neq \{0\}. \tag{1.1}$$

Assume now that both  $\varphi$  and  $\bar{\varphi}$  are of bounded type. Then from the Beurling’s theorem,  $\ker H_{\bar{\varphi}_-} = \theta_0 H^2$  and  $\ker H_{\bar{\varphi}_+} = \theta_+ H^2$  for some inner functions  $\theta_0, \theta_+$ . We thus have  $b := \bar{\varphi}_- \theta_0 \in H^2$ , and hence we can write

$$\varphi_- = \theta_0 \bar{b} \text{ and similarly } \varphi_+ = \theta_+ \bar{a} \text{ for some } a \in H^2. \tag{1.2}$$

By Kronecker’s lemma [3], p.183, if  $f \in H^\infty$  then  $\bar{f}$  is a rational function if and only if  $\text{rank } H_{\bar{f}} < \infty$ , which implies that

$$\bar{f} \text{ is rational} \iff f = \theta \bar{b} \text{ with a finite Blaschke product } \theta. \tag{1.3}$$

Let  $M_{n \times r}$  denote the set of all  $n \times r$  complex matrices and write  $M_n := M_{n \times n}$ . For  $\mathcal{X}$  a Hilbert space, let  $L^2_{\mathcal{X}} \equiv L^2_{\mathcal{X}}(\mathbb{T})$  be the Hilbert space of  $\mathcal{X}$ -valued norm square-integrable measurable functions on  $\mathbb{T}$  and let  $L^\infty_{\mathcal{X}} \equiv L^\infty_{\mathcal{X}}(\mathbb{T})$  be the set of  $\mathcal{X}$ -valued bounded measurable functions on  $\mathbb{T}$ . We also let  $H^2_{\mathcal{X}} \equiv H^2_{\mathcal{X}}(\mathbb{T})$  be the corresponding Hardy space and  $H^\infty_{\mathcal{X}} \equiv H^\infty_{\mathcal{X}}(\mathbb{T}) = L^\infty_{\mathcal{X}} \cap H^2_{\mathcal{X}}$ . We observe that  $L^2_{\mathbb{C}^n} = L^2 \otimes \mathbb{C}^n$  and  $H^2_{\mathbb{C}^n} = H^2 \otimes \mathbb{C}^n$ .

For a matrix-valued function  $\Phi \equiv (\varphi_{ij}) \in L^\infty_{M_n}$ , we say that  $\Phi$  is of *bounded type* if each entry  $\varphi_{ij}$  is of bounded type, and we say that  $\Phi$  is *rational* if each entry  $\varphi_{ij}$  is a rational function.

Let  $\Phi \equiv (\varphi_{ij}) \in L^\infty_{M_n}$  be such that  $\Phi^*$  is of bounded type. Then each  $\bar{\varphi}_{ij}$  is of bounded type. Thus in view of (1.2), we may write  $\varphi_{ij} = \theta_{ij} \bar{b}_{ij}$ , where  $\theta_{ij}$  is inner and  $\theta_{ij}$  and  $b_{ij}$  are coprime, in other words, there does not exist a nonconstant inner divisor of  $\theta_{ij}$  and  $b_{ij}$ . Thus if  $\theta$  is the least common multiple of  $\{\theta_{ij} : i, j = 1, 2, \dots, n\}$ , then we may write

$$\Phi = (\varphi_{ij}) = (\theta_{ij} \bar{b}_{ij}) = (\theta \bar{a}_{ij}) \equiv \theta A^* \quad (\text{where } A \equiv (a_{ji}) \in H^2_{M_n}). \tag{1.4}$$

In particular,  $A(\alpha)$  is nonzero whenever  $\theta(\alpha) = 0$  and  $|\alpha| < 1$ .

For  $\Phi \equiv [\varphi_{ij}] \in L^\infty_{M_n}$ , we write

$$\Phi_+ := [P(\varphi_{ij})] \in H^2_{M_n} \quad \text{and} \quad \Phi_- := [P^\perp(\varphi_{ij})]^* \in H^2_{M_n}.$$

Thus we may write  $\Phi = \Phi_-^* + \Phi_+$ . However, it will often be convenient to allow the constant term in  $\Phi_-$ . Hence, if there is no confusion we may assume that  $\Phi_-$  shares the constant term with  $\Phi_+$ : in this case,  $\Phi(0) = \Phi_+(0) + \Phi_-(0)^*$ . If  $\Phi = \Phi_-^* + \Phi_+ \in L^\infty_{M_n}$  is such that  $\Phi$  and  $\Phi^*$  are of bounded type, then in view of (1.4), we may write

$$\Phi_+ = \theta_1 A^* \quad \text{and} \quad \Phi_- = \theta_2 B^*, \tag{1.5}$$

where  $\theta_1$  and  $\theta_2$  are inner functions and  $A, B \in H^2_{M_n}$ . In particular, if  $\Phi \in L^\infty_{M_n}$  is rational then the  $\theta_i$  can be chosen as finite Blaschke products, as we observed in (1.3). For simplicity, we write  $H^2_0$  for  $zH^2_{M_n}$ .

We now introduce the notion of Hankel operators and Toeplitz operators with matrix-valued symbols. If  $\Phi$  is a matrix-valued function in  $L^\infty_{M_n}$ , then  $T_\Phi : H^2_{\mathbb{C}^n} \rightarrow H^2_{\mathbb{C}^n}$  denotes Toeplitz operator with symbol  $\Phi$  defined by

$$T_\Phi f := P_n(\Phi f) \quad \text{for } f \in H^2_{\mathbb{C}^n},$$

where  $P_n$  is the orthogonal projection of  $L^2_{\mathbb{C}^n}$  onto  $H^2_{\mathbb{C}^n}$ . A Hankel operator with symbol  $\Phi \in L^\infty_{M_n}$  is an operator  $H_\Phi : H^2_{\mathbb{C}^n} \rightarrow H^2_{\mathbb{C}^n}$  defined by

$$H_\Phi f := J_n P_n^\perp(\Phi f) \quad \text{for } f \in H^2_{\mathbb{C}^n},$$

where  $P_n^\perp$  is the orthogonal projection of  $L^2_{\mathbb{C}^n}$  onto  $(H^2_{\mathbb{C}^n})^\perp$  and  $J_n$  denotes the unitary operator from  $L^2_{\mathbb{C}^n}$  onto  $L^2_{\mathbb{C}^n}$  given by  $J_n(f)(z) := \bar{z}f(\bar{z})$  for  $f \in L^2_{\mathbb{C}^n}$ . For  $\Phi \in L^\infty_{M_{n \times m}}$ , write

$$\tilde{\Phi}(z) := \Phi^*(\bar{z}).$$

A matrix-valued function  $\Theta \in H^\infty_{M_{n \times m}}$  is called *inner* if  $\Theta^* \Theta = I_m$  almost everywhere on  $\mathbb{T}$ , where  $I_m$  denotes the  $m \times m$  identity matrix. If there is no confusion we write simply  $I$  for  $I_m$ . The following basic relations can easily be derived:

$$T_\Phi^* = T_{\Phi^*}, \quad H_\Phi^* = H_{\tilde{\Phi}} \quad (\Phi \in L^\infty_{M_n}); \tag{1.6}$$

$$T_{\Phi\Psi} - T_\Phi T_\Psi = H_{\Phi^*}^* H_\Psi \quad (\Phi, \Psi \in L^\infty_{M_n}); \tag{1.7}$$

$$H_\Phi T_\Psi = H_{\Phi\Psi}, \quad H_\Psi H_\Phi = T_{\tilde{\Psi}}^* H_\Phi \quad (\Phi \in L^\infty_{M_n}, \Psi \in H^\infty_{M_n}). \tag{1.8}$$

In 2006, Gu *et al.* [6] have considered the hyponormality of Toeplitz operators with matrix-valued symbols and characterized it in terms of their symbols.

**Lemma 1.1** (Hyponormality of block Toeplitz operators [6]) *For each  $\Phi \in L^\infty_{M_n}$ , let*

$$\mathcal{E}(\Phi) := \{K \in H^\infty_{M_n} : \|K\|_\infty \leq 1 \text{ and } \Phi - K\Phi^* \in H^\infty_{M_n}\}.$$

*Then  $T_\Phi$  is hyponormal if and only if  $\Phi$  is normal and  $\mathcal{E}(\Phi)$  is nonempty.*

For a matrix-valued function  $\Phi \in H^2_{M_{n \times r}}$ , we say that  $\Delta \in H^2_{M_{n \times m}}$  is a *left inner divisor* of  $\Phi$  if  $\Delta$  is an inner matrix function such that  $\Phi = \Delta A$  for some  $A \in H^2_{M_{m \times r}}$ . We also say that two matrix functions  $\Phi \in H^2_{M_{n \times r}}$  and  $\Psi \in H^2_{M_{n \times m}}$  are *left coprime* if the only common left inner divisor of both  $\Phi$  and  $\Psi$  is a unitary constant, and that  $\Phi \in H^2_{M_{n \times r}}$  and  $\Psi \in H^2_{M_{m \times r}}$  are *right coprime* if  $\tilde{\Phi}$  and  $\tilde{\Psi}$  are left coprime. Two matrix functions  $\Phi$  and  $\Psi$  in  $H^2_{M_n}$  are said to be *coprime* if they are both left and right coprime. We note that if  $\Phi \in H^2_{M_n}$  is such that  $\det \Phi \neq 0$ , then any left inner divisor  $\Delta$  of  $\Phi$  is square, *i.e.*,  $\Delta \in H^2_{M_n}$  (*cf.* [7]). If  $\Phi \in H^2_{M_n}$  is such that  $\det \Phi \neq 0$ , then we say that  $\Delta \in H^2_{M_n}$  is a *right inner divisor* of  $\Phi$  if  $\tilde{\Delta}$  is a left inner divisor of  $\tilde{\Phi}$ .

Let  $\{\Theta_i \in H^\infty_{M_n} : i \in J\}$  be a family of inner matrix functions. The greatest common left inner divisor  $\Theta_d$  and the least common left inner multiple  $\Theta_m$  of the family  $\{\Theta_i \in H^\infty_{M_n} :$

$i \in J$  are the inner functions defined by

$$\Theta_d H_{\mathbb{C}^p}^2 = \bigvee_{i \in J} \Theta_i H_{\mathbb{C}^n}^2 \quad \text{and} \quad \Theta_m H_{\mathbb{C}^q}^2 = \bigcap_{i \in J} \Theta_i H_{\mathbb{C}^n}^2.$$

Similarly, the greatest common right inner divisor  $\Theta'_d$  and the least common right inner multiple  $\Theta'_m$  of the family  $\{\Theta_i \in H_{M_n}^\infty : i \in J\}$  are the inner functions defined by

$$\tilde{\Theta}'_d H_{\mathbb{C}^p}^2 = \bigvee_{i \in J} \tilde{\Theta}_i H_{\mathbb{C}^n}^2 \quad \text{and} \quad \tilde{\Theta}'_m H_{\mathbb{C}^q}^2 = \bigcap_{i \in J} \tilde{\Theta}_i H_{\mathbb{C}^n}^2.$$

The Beurling-Lax-Halmos theorem guarantees that  $\Theta_d$  and  $\Theta_m$  exist and are unique up to a unitary constant right factor, and  $\Theta'_d$  and  $\Theta'_m$  are unique up to a unitary constant left factor. We write

$$\begin{aligned} \Theta_d &= \text{left-g.c.d.}\{\Theta_i : i \in J\}, & \Theta_m &= \text{left-l.c.m.}\{\Theta_i : i \in J\}, \\ \Theta'_d &= \text{right-g.c.d.}\{\Theta_i : i \in J\}, & \Theta'_m &= \text{right-l.c.m.}\{\Theta_i : i \in J\}. \end{aligned}$$

If  $n = 1$ , then  $\text{left-g.c.d.}\{\cdot\} = \text{right-g.c.d.}\{\cdot\}$  (simply denoted  $\text{g.c.d.}\{\cdot\}$ ) and  $\text{left-l.c.m.}\{\cdot\} = \text{right-l.c.m.}\{\cdot\}$  (simply denoted  $\text{l.c.m.}\{\cdot\}$ ). In general, it is not true that  $\text{left-g.c.d.}\{\cdot\} = \text{right-g.c.d.}\{\cdot\}$  and  $\text{left-l.c.m.}\{\cdot\} = \text{right-l.c.m.}\{\cdot\}$ .

If  $\theta$  is an inner function we write  $I_\theta$  for  $\theta I_n$  and  $\mathcal{Z}(\theta)$  for the set of all zeros of  $\theta$ .

**Lemma 1.2** *Let  $\Theta_i := I_{\theta_i}$  for an inner function  $\theta_i$  ( $i \in J$ ).*

- (a) *left-g.c.d.* $\{\Theta_i : i \in J\} = \text{right-g.c.d.}\{\Theta_i : i \in J\} = I_{\theta_d}$ , where  $\theta_d = \text{g.c.d.}\{\theta_i : i \in J\}$ .
- (b) *left-l.c.m.* $\{\Theta_i : i \in J\} = \text{right-l.c.m.}\{\Theta_i : i \in J\} = I_{\theta_m}$ , where  $\theta_m = \text{l.c.m.}\{\theta_i : i \in J\}$ .

*Proof* See [7], Lemma 2.1. □

In view of Lemma 1.2, if  $\Theta_i = I_{\theta_i}$  for an inner function  $\theta_i$  ( $i \in J$ ), we can define the greatest common inner divisor  $\Theta_d$  and the least common inner multiple  $\Theta_m$  of the  $\Theta_i$  by

$$\Theta_d \equiv \text{g.c.d.}\{\Theta_i : i \in J\} := I_{\theta_d}, \quad \text{where } \theta_d = \text{g.c.d.}\{\theta_i : i \in J\}$$

and

$$\Theta_m \equiv \text{l.c.m.}\{\Theta_i : i \in J\} := I_{\theta_m}, \quad \text{where } \theta_m = \text{l.c.m.}\{\theta_i : i \in J\}.$$

Both  $\Theta_d$  and  $\Theta_m$  are *diagonal-constant* inner functions, *i.e.*, diagonal inner functions, and constant along the diagonal.

By contrast with scalar-valued functions, in (1.4),  $I_\theta$  and  $A$  need not be (right) coprime. If  $\Omega = \text{left-g.c.d.}\{I_\theta, A\}$  in the representation (1.4), that is,

$$\Phi = \theta A^*,$$

then  $I_\theta = \Omega \Omega_\ell$  and  $A = \Omega A_\ell$  for some inner matrix  $\Omega_\ell$  (where  $\Omega_\ell \in H_{M_n}^2$  because  $\det(I_\theta) \neq 0$ ) and some  $A_\ell \in H_{M_n}^2$ . Therefore if  $\Phi^* \in L_{M_n}^\infty$  is of bounded type then we can write

$$\Phi = A_\ell^* \Omega_\ell, \quad \text{where } A_\ell \text{ and } \Omega_\ell \text{ are left coprime.} \tag{1.9}$$

In this case,  $A_\ell^* \Omega_\ell$  is called the *left coprime factorization* of  $\Phi$  and write, briefly,

$$\Phi = A_\ell^* \Omega_\ell \quad (\text{left coprime}). \tag{1.10}$$

Similarly, we can write

$$\Phi = \Omega_r A_r^*, \quad \text{where } A_r \text{ and } \Omega_r \text{ are right coprime.} \tag{1.11}$$

In this case,  $\Omega_r A_r^*$  is called the *right coprime factorization* of  $\Phi$  and we write, succinctly,

$$\Phi = \Omega_r A_r^* \quad (\text{right coprime}). \tag{1.12}$$

In this case, we define the *degree* of  $\Phi$  by

$$\text{deg}(\Phi) := \dim \mathcal{H}(\Omega_r),$$

where  $\mathcal{H}(\Theta) := H_{\mathbb{C}^n}^2 \ominus \Theta H_{\mathbb{C}^n}^2$  for an inner function  $\Theta$ . It was known (cf. [8], Lemma 3.3) that if  $\theta$  is a finite Blaschke product then  $I_\theta$  and  $A \in H_{M_n}^2$  are left coprime if and only if they are right coprime. In this viewpoint, in (1.10) and (1.12),  $\Omega_\ell$  or  $\Omega_r$  is  $I_\theta$  ( $\theta$  a finite Blaschke product) then we shall write

$$\Phi = \theta A^* \quad (\text{coprime}).$$

On the other hand, we recall that an operator  $T \in \mathcal{B}(\mathcal{H})$  is said to be subnormal if  $T$  has a normal extension, i.e.,  $T = N|_{\mathcal{H}}$ , where  $N$  is a normal operator on some Hilbert space  $\mathcal{K} \supseteq \mathcal{H}$  such that  $\mathcal{H}$  is invariant for  $N$ . The Bram-Halmos criterion for subnormality [9, 10] states that an operator  $T \in \mathcal{B}(\mathcal{H})$  is subnormal if and only if  $\sum_{i,j} (T^i x_j, T^j x_i) \geq 0$  for all finite collections  $x_0, x_1, \dots, x_k \in \mathcal{H}$ . It is easy to see that this is equivalent to the following positivity test:

$$\begin{pmatrix} [T^*, T] & [T^{*2}, T] & \dots & [T^{*k}, T] \\ [T^*, T^2] & [T^{*2}, T^2] & \dots & [T^{*k}, T^2] \\ \vdots & \vdots & \ddots & \vdots \\ [T^*, T^k] & [T^{*2}, T^k] & \dots & [T^{*k}, T^k] \end{pmatrix} \geq 0 \quad (\text{all } k \geq 1). \tag{1.13}$$

Condition (1.13) provides a measure of the gap between hyponormality and subnormality. In fact the positivity condition (1.13) for  $k = 1$  is equivalent to the hyponormality of  $T$ , while subnormality requires the validity of (1.13) for all  $k$ . For  $k \geq 1$ , an operator  $T$  is said to be *k-hyponormal* if  $T$  satisfies the positivity condition (1.13) for a fixed  $k$ . Thus the Bram-Halmos criterion can be stated thus:  $T$  is subnormal if and only if  $T$  is  $k$ -hyponormal for all  $k \geq 1$ . The notion of  $k$ -hyponormality has been considered by many authors aiming at understanding the bridge between hyponormality and subnormality. In view of (1.13), between hyponormality and subnormality there exists a whole slew of increasingly stricter conditions, each expressible in terms of the joint hyponormality of the tuples  $(I, T, T^2, \dots, T^k)$ . Given an  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n)$  of operators on  $\mathcal{H}$ , we let

$[\mathbf{T}^*, \mathbf{T}] \in \mathcal{B}(\mathcal{H} \oplus \dots \oplus \mathcal{H})$  denote the *self-commutator* of  $\mathbf{T}$ , defined by

$$[\mathbf{T}^*, \mathbf{T}] := \begin{pmatrix} [T_1^*, T_1] & [T_2^*, T_1] & \dots & [T_n^*, T_1] \\ [T_1^*, T_2] & [T_2^*, T_2] & \dots & [T_n^*, T_2] \\ \vdots & \vdots & \ddots & \vdots \\ [T_1^*, T_n] & [T_2^*, T_n] & \dots & [T_n^*, T_n] \end{pmatrix}.$$

By analogy with the case  $n = 1$ , we shall say [11, 12] that  $\mathbf{T}$  is *jointly hyponormal* (or simply, *hyponormal*) if  $[\mathbf{T}^*, \mathbf{T}] \geq 0$ , i.e.,  $[\mathbf{T}^*, \mathbf{T}]$  is a positive-semidefinite operator on  $\mathcal{H} \oplus \dots \oplus \mathcal{H}$ .

Tuples  $\mathbf{T} \equiv (T_{\Phi_1}, \dots, T_{\Phi_m})$  of block Toeplitz operators  $T_{\Phi_i}$  ( $i = 1, \dots, m$ ) will be called a (block) Toeplitz tuples. Moreover, if each Toeplitz operator  $T_{\Phi_i}$  has a symbol  $\Phi_i$  which is a matrix-valued rational function, then the tuple  $\mathbf{T} \equiv (T_{\Phi_1}, \dots, T_{\Phi_m})$  is called a rational Toeplitz tuple. In this paper we will derive a rank formula for the self-commutator of a rational Topelitz tuple.

### 2 The results and discussion

For an operator  $S \in \mathcal{B}(\mathcal{H})$ ,  $S^\sharp \in \mathcal{B}(\mathcal{H})$  is called the Moore-Penrose inverse of  $S$  if

$$SS^\sharp S = S, \quad S^\sharp SS^\sharp = S^\sharp, \quad (S^\sharp S)^* = S^\sharp S, \quad \text{and} \quad (SS^\sharp)^* = SS^\sharp.$$

It is well known [13], Theorem 8.7.2, that if an operator  $S$  on a Hilbert space has a closed range then  $S$  has a Moore-Penrose inverse. Moreover, the Moore-Penrose inverse is unique whenever it exists. On the other hand, it is well known that if

$$S := \begin{bmatrix} A & B \\ B^* & C \end{bmatrix} \quad \text{on } \mathcal{H}_1 \oplus \mathcal{H}_2$$

(where the  $\mathcal{H}_i$  are Hilbert spaces,  $A \in \mathcal{B}(\mathcal{H}_1)$ ,  $C \in \mathcal{B}(\mathcal{H}_2)$ , and  $B \in \mathcal{B}(\mathcal{H}_2, \mathcal{H}_1)$ ), then

$$S \geq 0 \iff A \geq 0, C \geq 0, \text{ and } B = A^{\frac{1}{2}}DC^{\frac{1}{2}} \quad \text{for some contraction } D; \tag{2.1}$$

moreover, in [14], Lemma 1.2, and [15], Lemma 2.1, it was shown that if  $A \geq 0$ ,  $C \geq 0$ , and  $\text{ran } A$  is closed then

$$S \geq 0 \iff B^*A^\sharp B \leq C \text{ and } \text{ran } B \subseteq \text{ran } A, \tag{2.2}$$

or equivalently [12], Lemma 1.4,

$$|\langle Bg, f \rangle|^2 \leq \langle Af, f \rangle \langle Cg, g \rangle \quad \text{for all } f \in \mathcal{H}_1, g \in \mathcal{H}_2 \tag{2.3}$$

and furthermore, if both  $A$  and  $C$  are of finite rank then

$$\text{rank } S = \text{rank } A + \text{rank}(C - B^*A^\sharp B). \tag{2.4}$$

In fact, if  $A \geq 0$  and  $\text{ran } A$  is closed then we can write

$$A = \begin{bmatrix} A_0 & 0 \\ 0 & 0 \end{bmatrix} : \begin{bmatrix} \text{ran } A \\ \text{ker } A \end{bmatrix} \rightarrow \begin{bmatrix} \text{ran } A \\ \text{ker } A \end{bmatrix},$$

so that the Moore-Penrose inverse of  $A$  is given by

$$A^\# = \begin{bmatrix} (A_0)^{-1} & 0 \\ 0 & 0 \end{bmatrix}. \tag{2.5}$$

**Proposition 2.1** *If  $A \in \mathcal{B}(\mathcal{H})$  has a closed range then  $A(A^*A)^\#A^*$  is the orthogonal projection onto  $\text{ran } A$ .*

*Proof* Suppose  $A \in \mathcal{B}(\mathcal{H})$  has a closed range. Then (2.5) can be written as

$$(P_{\text{ran } A} A P_{\text{ran } A})^{-1} = P_{\text{ran } A} A^\# P_{\text{ran } A}. \tag{2.6}$$

Since by assumption,  $A^*A$  has also a closed range, there exists the Moore-Penrose inverse  $(A^*A)^\#$ . Observe

$$(A(A^*A)^\#A^*)(A(A^*A)^\#A^*) = A(A^*A)^\#A^*$$

and

$$(A(A^*A)^\#A^*)^* = A(A^*A)^\#A^*,$$

which implies that  $A(A^*A)^\#A^*$  is an orthogonal projection. Put

$$K := \text{ran } A^*A = \text{ran } A^* = (\ker A)^\perp.$$

We then have

$$\begin{aligned} A(A^*A)^\#A^* &= A P_K (A^*A)^\# P_K A^* \\ &= A (P_K (A^*A) P_K)^{-1} A^* \quad (\text{by (2.5)}), \end{aligned}$$

which implies that  $\text{ran}(A(A^*A)^\#A^*) = \text{ran } A$ . □

In the sequel we often encounter the following matrix:

$$S := \begin{bmatrix} A^*A & A^*B \\ B^*A & [B^*, B] \end{bmatrix},$$

where  $A$  has a closed range. If  $S \geq 0$  and if  $A$  and  $[B^*, B]$  are of finite rank then by (2.4), we have

$$\text{rank } S = \text{rank}(A^*A) + \text{rank}([B^*, B] - B^*A(A^*A)^\#A^*B). \tag{2.7}$$

Thus, if we write  $P_K$  for the orthogonal projection onto  $K := \text{ran } A$ , then by Proposition 2.1 we have

$$\begin{aligned} \text{rank } S &= \text{rank}(A^*) + \text{rank}([B^*, B] - B^*P_K B) \\ &= \text{rank}(A^*) + \text{rank}(B^*P_{K^\perp} B - BB^*). \end{aligned} \tag{2.8}$$

If  $\Phi, \Psi \in L^\infty_{M_n}$ , then by (1.7),

$$[T_\Phi, T_\Psi] = H_{\Psi^*}^* H_\Phi - H_{\Phi^*}^* H_\Psi + T_{\Phi\Psi - \Psi\Phi}.$$

Since the normality of  $\Phi$  is a necessary condition for the hyponormality of  $T_\Phi$  (cf. [15]), the positivity of  $H_{\Phi^*}^* H_{\Phi^*} - H_{\Phi^*}^* H_\Phi$  is an essential condition for the hyponormality of  $T_\Phi$ . If  $\Phi \in L^\infty_{M_n}$ , the *pseudo-self-commutator* of  $T_\Phi$  is defined by

$$[T_\Phi^*, T_\Phi]_p := H_{\Phi^*}^* H_{\Phi^*} - H_{\Phi^*}^* H_\Phi.$$

Then  $T_\Phi$  is said to be *pseudo-hyponormal* if  $[T_\Phi^*, T_\Phi]_p \geq 0$ . We also see that if  $\Phi \in L^\infty_{M_n}$  then  $[T_\Phi^*, T_\Phi] = [T_\Phi^*, T_\Phi]_p + T_{\Phi^*\Phi - \Phi\Phi^*}$ .

**Proposition 2.2** *Let  $\Phi \equiv \Phi_-^* + \Phi_+ \in L^\infty_{M_n}$  be such that  $\Phi$  and  $\Phi^*$  are of bounded type. Thus in view of (1.4), we may write*

$$\Phi_+ = \theta_1 A^* \quad \text{and} \quad \Phi_- = \theta_2 B^*,$$

where  $\theta_1$  and  $\theta_2$  are inner functions and  $A, B \in H^2_{M_n}$ . If  $T_\Phi$  is hyponormal then  $\theta_2$  is an inner divisor of  $\theta_1$ , i.e.,  $\theta_1 = \theta_0 \theta_2$  for some inner function  $\theta_0$ .

*Proof* See [7], Proposition 3.2. □

In view of Proposition 2.2, when we study the hyponormality of block Toeplitz operators with *bounded type symbols*  $\Phi$  (i.e.,  $\Phi$  and  $\Phi^*$  are of bounded type) we may assume that the symbol  $\Phi \equiv \Phi_-^* + \Phi_+ \in L^\infty_{M_n}$  is of the form

$$\Phi_+ = \theta_0 \theta_1 A^* \quad \text{and} \quad \Phi_- = \theta_0 B^*,$$

where  $\theta_0$  and  $\theta_1$  are inner functions and  $A, B \in H^2_{M_n}$ .

We first observe that if  $\mathbf{T} = (T_\varphi, T_\psi)$  then the self-commutator of  $\mathbf{T}$  can be expressed as

$$[\mathbf{T}^*, \mathbf{T}] = \begin{bmatrix} [T_\varphi^*, T_\varphi] & [T_\psi^*, T_\varphi] \\ [T_\varphi^*, T_\psi] & [T_\psi^*, T_\psi] \end{bmatrix} = \begin{bmatrix} H_{\varphi_+}^* H_{\varphi_+} - H_{\varphi_-}^* H_{\varphi_-} & H_{\varphi_+}^* H_{\psi_+} - H_{\psi_-}^* H_{\varphi_-} \\ H_{\psi_+}^* H_{\varphi_+} - H_{\varphi_-}^* H_{\psi_-} & H_{\psi_+}^* H_{\psi_+} - H_{\psi_-}^* H_{\psi_-} \end{bmatrix}. \tag{2.9}$$

For a block Toeplitz pair  $\mathbf{T} \equiv (T_\Phi, T_\Psi)$ , the *pseudo-commutator* of  $\mathbf{T}$  is defined by

$$[\mathbf{T}^*, \mathbf{T}]_p := \begin{bmatrix} [T_\Phi^*, T_\Phi]_p & [T_\Psi^*, T_\Phi]_p \\ [T_\Phi^*, T_\Psi]_p & [T_\Psi^*, T_\Psi]_p \end{bmatrix} = \begin{bmatrix} H_{\Phi_+}^* H_{\Phi_+} - H_{\Phi_-}^* H_{\Phi_-} & H_{\Phi_+}^* H_{\Psi_+} - H_{\Psi_-}^* H_{\Phi_-} \\ H_{\Psi_+}^* H_{\Phi_+} - H_{\Phi_-}^* H_{\Psi_-} & H_{\Psi_+}^* H_{\Psi_+} - H_{\Psi_-}^* H_{\Psi_-} \end{bmatrix}.$$

Let  $\Phi_i \in L^\infty_{M_n}$  ( $i = 1, 2, \dots, m$ ) be normal and mutually commuting and let  $\sigma$  be a permutation on  $\{1, 2, \dots, m\}$ . Then evidently,

$$\begin{aligned} \mathbf{T} := (T_{\Phi_1}, \dots, T_{\Phi_m}) \text{ is hyponormal} \\ \iff \mathbf{T}_\sigma := (T_{\Phi_{\sigma(1)}}, \dots, T_{\Phi_{\sigma(m)}}) \text{ is hyponormal.} \end{aligned} \tag{2.10}$$



Moreover, we have

$$\text{rank}[\mathbf{T}^*, \mathbf{T}] = \text{rank}[\mathbf{T}_\sigma^*, \mathbf{T}_\sigma]. \tag{2.11}$$

For every  $m_0 \leq m$ , let  $\mathbf{T}_{m_0} := (T_{\Phi_1}, \dots, T_{\Phi_{m_0}})$ . Since

$$[\mathbf{T}^*, \mathbf{T}] = \begin{bmatrix} [\mathbf{T}_{\Phi_{m_0}}^*, \mathbf{T}_{\Phi_{m_0}}] & * \\ * & * \end{bmatrix},$$

we can see that if  $\mathbf{T}$  is hyponormal then in view of (2.10), every sub-tuple of  $\mathbf{T}$  is hyponormal.

We then have the following.

**Lemma 2.3** *Let  $\Phi_i \in L_{M_n}^\infty$  be normal and mutually commuting. Let  $\mathbf{T} \equiv (T_{\Phi_1}, \dots, T_{\Phi_m})$  and  $\mathbf{S} \equiv (T_{\Lambda_1 \Phi_1}, \dots, T_{\Lambda_m \Phi_m})$ , where the  $\Lambda_i$  are mutually commuting and are invertible constant normal matrices commuting with  $\Phi_j$  and  $\Lambda_j$  for each  $i, j = 1, 2, \dots, m$ . Then*

$$\mathbf{T} \text{ is hyponormal} \iff \mathbf{S} \text{ is hyponormal.}$$

Furthermore,  $\text{rank}[\mathbf{T}^*, \mathbf{T}] = \text{rank}[\mathbf{S}^*, \mathbf{S}]$ .

*Proof* In view of equation (2.10), it suffices to prove the lemma when  $\Lambda_i = I$  for all  $i = 2, \dots, m$ . Put  $\mathcal{T} := [\mathbf{T}^*, \mathbf{T}]$  and  $\mathcal{S} := [\mathbf{S}^*, \mathbf{S}]$ . Since  $\Lambda_1$  is a constant normal matrix commuting with  $\Phi_j$ , it follows that, for all  $j > 1$ ,

$$\begin{aligned} \mathcal{S}_{1j} &= H_{(\Lambda_1 \Phi_1)_+^*}^* H_{(\Phi_j)_+^*} - H_{(\Phi_j)_-^*}^* H_{(\Lambda_1 \Phi_1)_-^*} \\ &= H_{(\Phi_1)_+^* \Lambda_1^*}^* H_{(\Phi_j)_+^*} - H_{(\Phi_j)_-^*}^* H_{\Lambda_1(\Phi_1)_-^*} \\ &= T_{\Lambda_1} H_{(\Phi_1)_+^*}^* H_{(\Phi_j)_+^*} - H_{(\Phi_j)_-^*}^* T_{\Lambda_1} H_{(\Phi_1)_-^*} \\ &= T_{\Lambda_1} H_{(\Phi_1)_+^*}^* H_{(\Phi_j)_+^*} - H_{(\Phi_j)_-^* \Lambda_1^*}^* H_{(\Phi_1)_-^*} \\ &= T_{\Lambda_1} (H_{(\Phi_1)_+^*}^* H_{(\Phi_j)_+^*} - H_{(\Phi_j)_-^*}^* H_{(\Phi_1)_-^*}) \\ &= T_{\Lambda_1} \mathcal{T}_{1j}. \end{aligned}$$

Observe that

$$\begin{aligned} \mathcal{S}_{11} &= H_{(\Lambda_1 \Phi_1)_+^*}^* H_{(\Lambda_1 \Phi_1)_+^*} - H_{(\Lambda_1 \Phi_1)_-^*}^* H_{(\Lambda_1 \Phi_1)_-^*} \\ &= H_{(\Phi_1)_+^* \Lambda_1^*}^* H_{(\Phi_1)_+^*} \Lambda_1^* - H_{(\Phi_1)_-^* \Lambda_1^*}^* H_{(\Phi_1)_-^*} \Lambda_1^* \\ &= T_{\Lambda_1} H_{(\Phi_1)_+^*}^* H_{(\Phi_1)_+^*} T_{\Lambda_1}^* - T_{\Lambda_1} H_{(\Phi_1)_-^*}^* H_{(\Phi_1)_-^*} T_{\Lambda_1}^* \\ &= T_{\Lambda_1} (H_{(\Phi_1)_+^*}^* H_{(\Phi_1)_+^*} - H_{(\Phi_1)_-^*}^* H_{(\Phi_1)_-^*}) T_{\Lambda_1}^* \\ &= T_{\Lambda_1} \mathcal{T}_{11} T_{\Lambda_1}^*. \end{aligned}$$

Let  $Q$  be the block diagonal operator with the diagonal entries  $(T_{\Lambda_1}, I, \dots, I)$ . Then  $Q$  is invertible and  $\mathcal{S} = Q\mathcal{T}Q^*$ , which gives the result. □

**Lemma 2.4** Let  $\mathbf{T} \equiv (T_{\Phi_1}, T_{\Phi_2}, \dots, T_{\Phi_m})$ , where the  $\Phi_i \in L_{M_n}^\infty$  ( $i = 1, \dots, m$ ) are normal and mutually commuting. If  $\mathbf{S} := (T_{\Phi_1 - \Phi_{j_0}}, T_{\Phi_2}, \dots, T_{\Phi_m})$  for some  $j_0$  ( $2 \leq j_0 \leq m$ ), then

$$\mathbf{T} \text{ is hyponormal} \iff \mathbf{S} \text{ is hyponormal.}$$

Furthermore,  $\text{rank}[\mathbf{T}^*, \mathbf{T}] = \text{rank}[\mathbf{S}^*, \mathbf{S}]$ .

*Proof* Obvious. □

**Corollary 2.5** Let  $\Phi_i \in L_{M_n}^\infty$  ( $i = 1, \dots, m$ ) be normal and mutually commuting. Let  $\mathbf{T} \equiv (T_{\Phi_1}, \dots, T_{\Phi_m})$  and put

$$\mathbf{S} := (T_{\Phi_1 - \Lambda_1 \Phi_m}, T_{\Phi_2 - \Lambda_2 \Phi_m}, \dots, T_{\Phi_{m-1} - \Lambda_{m-1} \Phi_m}, T_{\Phi_m}),$$

where the  $\Lambda_i$  ( $i = 1, \dots, m - 1$ ) are mutually commuting and are invertible constant normal matrices commuting with  $\Phi_j$  for each  $j = 1, \dots, m$ . Then

$$\mathbf{T} \text{ is hyponormal} \iff \mathbf{S} \text{ is hyponormal.}$$

Furthermore,  $\text{rank}[\mathbf{T}^*, \mathbf{T}] = \text{rank}[\mathbf{S}^*, \mathbf{S}]$ .

*Proof* This follows from Lemmas 2.3 and 2.4. □

We now have the following.

**Theorem 2.6** Let  $\Phi_i \in H_{M_n}^\infty$  ( $i = 1, 2, \dots, m - 1$ ) be mutually commuting and normal rational functions of the form

$$\Phi_i = A_i^* \Theta_i \quad (\text{left coprime}),$$

where the  $\Theta_i$  are inner matrix functions and  $\Phi_m \equiv (\Phi_m)_-^* + (\Phi_m)_+ \in L_{M_n}^\infty$ . If  $\mathbf{T} := (T_{\Phi_1}, \dots, T_{\Phi_m})$  is hyponormal then

$$\text{rank}[\mathbf{T}^*, \mathbf{T}] = \text{deg}(\Theta) + \text{rank}[T_{\Phi_m}^{1, \Theta}, T_{\Phi_m}^{1, \Theta}]_p, \tag{2.12}$$

where  $\Theta := \text{right-l.c.m.}\{\Theta_i : i = 1, 2, \dots, m - 1\}$  and  $\Phi_m^{1, \Theta} := (\Phi_m)_-^* + P_{H_0^2}((\Phi_m)_+ \Theta^*)$ .

*Proof* Let  $\mathbf{H}_{\Phi^*} := (H_{\Phi_1^*}, \dots, H_{\Phi_{m-1}^*})$ . Since  $\Phi_i \equiv (\Phi_i)_+ \in H_{M_n}^\infty$  ( $i = 1, 2, \dots, m - 1$ ),  $\mathbf{T}$  is hyponormal if and only if

$$[\mathbf{T}^*, \mathbf{T}] = \begin{bmatrix} \mathbf{H}_{\Phi^*}^* \mathbf{H}_{\Phi^*} & \mathbf{H}_{\Phi^*}^* H_{\Phi_m^*} \\ H_{\Phi_m^*}^* \mathbf{H}_{\Phi^*} & [T_{\Phi_m}^*, T_{\Phi_m}] \end{bmatrix} \geq 0,$$

or equivalently, for each  $X \in \bigoplus_{j=1}^{m-1} H_{C^n}^2$  and  $Y \in H_{C^n}^2$ ,

$$|\langle \mathbf{H}_{\Phi^*} H_{\Phi_m^*}^* Y, X \rangle|^2 \leq \langle \mathbf{H}_{\Phi^*}^* \mathbf{H}_{\Phi^*} X, X \rangle \langle [T_{\Phi_m}^*, T_{\Phi_m}] Y, Y \rangle. \tag{2.13}$$

Since  $\text{cl ran } H_{\Phi_i^*} = \mathcal{H}(\tilde{\Theta}_i)$  ( $i = 1, 2, \dots, n - 1$ ), it follows that

$$\begin{aligned} \text{cl ran } \mathbf{H}_{\Phi^*} &= \bigvee_{i=1}^{m-1} \text{cl ran } H_{\Phi_i^*} = \bigvee_{i=1}^{m-1} \mathcal{H}(\tilde{\Theta}_i) = \left( \bigcap_{i=1}^{m-1} \tilde{\Theta}_i H_{\mathbb{C}^n}^2 \right)^\perp \\ &= (\tilde{\Theta} H_{\mathbb{C}^n}^2)^\perp = \mathcal{H}(\tilde{\Theta}) = \text{cl ran } H_{\Theta^*}, \end{aligned} \tag{2.14}$$

where  $\mathcal{H}(\Delta) := H_{\mathbb{C}^n}^2 \ominus \Delta H_{\mathbb{C}^n}^2$ . If the  $\Phi_i$  are rational functions then, by (1.3) and (1.4), we can write

$$\Phi_i = \theta_i A_i^* \quad (\theta_i, \text{ finite Blaschke product}).$$

Since  $\Theta_i$  is a right inner divisor of  $I_{\theta_i}$ , we have  $\deg(\Theta_i) \leq \deg(I_{\theta_i}) = n \deg(\theta_i) < \infty$ . Thus since by (2.14),  $\text{cl ran } \mathbf{H}_{\Phi^*} = \mathcal{H}(\tilde{\Theta})$  and

$$\deg(\Theta) = \text{rank } H_{\Theta^*} = \text{rank } H_{\Theta^*} = \deg(\tilde{\Theta}) < \infty.$$

Therefore  $\mathbf{H}_{\Phi^*}$  is of finite rank and hence, so is  $\mathbf{H}_{\Phi^*}^* \mathbf{H}_{\Phi^*}$  and, moreover,

$$\text{rank}(\mathbf{H}_{\Phi^*}^* \mathbf{H}_{\Phi^*}) = \text{rank}(\mathbf{H}_{\Phi^*}^*) = \text{rank}(\mathbf{H}_{\Phi^*}) = \deg(\Theta).$$

Thus by (2.7), we have

$$\begin{aligned} \text{rank}[\mathbf{T}^*, \mathbf{T}] &= \text{rank} \begin{bmatrix} \mathbf{H}_{\Phi^*}^* \mathbf{H}_{\Phi^*} & \mathbf{H}_{\Phi_m^*}^* H_{\Phi_m^*} \\ H_{\Phi_m^*}^* \mathbf{H}_{\Phi^*} & [T_{\Phi_m}^*, T_{\Phi_m}] \end{bmatrix} \\ &= \text{rank}(\mathbf{H}_{\Phi^*}^* \mathbf{H}_{\Phi^*}) + \text{rank}([T_{\Phi_m}^*, T_{\Phi_m}] - H_{\Phi_m^*}^* \mathbf{H}_{\Phi^*} (\mathbf{H}_{\Phi^*}^* \mathbf{H}_{\Phi^*})^\sharp \mathbf{H}_{\Phi_m^*}^* H_{\Phi_m^*}) \\ &= \deg(\Theta) + \text{rank}([T_{\Phi_m}^*, T_{\Phi_m}] - H_{\Phi_m^*}^* \mathbf{H}_{\Phi^*} (\mathbf{H}_{\Phi^*}^* \mathbf{H}_{\Phi^*})^\sharp \mathbf{H}_{\Phi_m^*}^* H_{\Phi_m^*}). \end{aligned}$$

On the other hand, by Proposition 2.1,  $\mathbf{H}_{\Phi^*} (\mathbf{H}_{\Phi^*}^* \mathbf{H}_{\Phi^*})^\sharp \mathbf{H}_{\Phi^*}^*$  is the projection  $P_{\mathcal{H}(\tilde{\Theta})}$ . Therefore it follows from (1.7) and (1.8) that

$$\begin{aligned} & [T_{\Phi_m}^*, T_{\Phi_m}] - H_{\Phi_m^*}^* \mathbf{H}_{\Phi^*} (\mathbf{H}_{\Phi^*}^* \mathbf{H}_{\Phi^*})^\sharp \mathbf{H}_{\Phi_m^*}^* H_{\Phi_m^*} \\ &= [T_{\Phi_m}^*, T_{\Phi_m}] - H_{\Phi_m^*}^* H_{\Theta^*} H_{\Theta^*}^* H_{\Phi_m^*} \\ &= H_{\Phi_{m+}^*}^* (I - H_{\Theta^*} H_{\Theta^*}^*) H_{\Phi_{m+}^*} - H_{\Phi_{m-}^*}^* H_{\Phi_{m-}^*} \\ &= (H_{\Phi_{m+}^*}^* T_{\tilde{\Theta}})(T_{\tilde{\Theta}}^* H_{\Phi_{m+}^*}) - H_{\Phi_{m-}^*}^* H_{\Phi_{m-}^*} \\ &= H_{\Theta^* \Phi_{m+}^*}^* H_{\Theta^* \Phi_{m+}^*} - H_{\Phi_{m-}^*}^* H_{\Phi_{m-}^*} \\ &= [T_{\Phi_m^*}^{1, \Theta}, T_{\Phi_m^*}^{1, \Theta}]_p, \end{aligned}$$

which gives the result. □

Very recently, the hyponormality of rational Toeplitz pairs was characterized in [16].

**Lemma 2.7** (Hyponormality of rational Toeplitz pairs) [16] *Let  $\mathbf{T} \equiv (T_\Phi, T_\Psi)$  be a Toeplitz pair with rational symbols  $\Phi, \Psi \in L^\infty_{M_n}$  of the form*

$$\Phi_+ = \theta_0 \theta_1 A^*, \quad \Phi_- = \theta_0 B^*, \quad \Psi_+ = \theta_2 \theta_3 C^*, \quad \Psi_- = \theta_2 D^* \quad (\text{coprime}). \quad (2.15)$$

*Assume that  $\theta_0$  and  $\theta_2$  are not coprime. Assume also that  $B(\gamma_0)$  and  $D(\gamma_0)$  are diagonal-constant for some  $\gamma_0 \in \mathcal{Z}(\theta_0)$ . Then the pair  $\mathbf{T}$  is hyponormal if and only if*

- (i)  $\Phi$  and  $\Psi$  are normal and  $\Phi\Psi = \Psi\Phi$ ;
  - (ii)  $\Phi_- = \Lambda^* \Psi_-$  (with  $\Lambda := B(\gamma_0)D(\gamma_0)^{-1}$ );
  - (iii)  $T_{\Psi_{1,\Omega}}$  is pseudo-hyponormal with  $\Omega := \theta_0 \theta_1 \theta_3 \bar{\theta} \Delta^*$ ,
- where  $\theta := \text{g.c.d.}(\theta_1, \theta_3)$  and  $\Delta := \text{left-g.c.d.}(I_{\theta_0 \theta}, \bar{\theta}(\theta_3 A - \theta_1 C \Lambda^*))$ .

We now get a rank formula for the self-commutators of Toeplitz  $m$ -tuples.

**Corollary 2.8** *For each  $i = 1, 2, \dots, m$ , suppose that  $\Phi_i = (\Phi_i)_-^* + (\Phi_i)_+ \in L^\infty_{M_n}$  is a matrix-valued normal rational function of the form*

$$(\Phi_i)_+ = \theta_i \delta_i A_i^* \quad \text{and} \quad (\Phi_i)_- = \theta_i B_i^* \quad (\text{coprime}),$$

where the  $\theta_i$  and the  $\delta_i$  are finite Blaschke products and there exists  $j_0$  ( $1 \leq j_0 \leq m$ ) such that  $\theta_{j_0}$  and  $\theta_i$  are not coprime for each  $i = 1, 2, \dots, m$ . Suppose  $\Phi_i \Phi_j = \Phi_j \Phi_i$  for all  $i, j = 1, \dots, m$ . Assume that each  $B_i(\gamma_0)$  is diagonal-constant for some  $\gamma_0 \in \mathcal{Z}(\theta_i)$ . If  $\mathbf{T} \equiv (T_{\Phi_1}, T_{\Phi_2}, \dots, T_{\Phi_m})$  is hyponormal then

$$\text{rank}[\mathbf{T}^*, \mathbf{T}] = \text{deg}(\Omega) + \text{rank}[T_{\Phi_{j_0}^{1,\Omega}}^*, T_{\Phi_{j_0}^{1,\Omega}}]_p,$$

where  $\Omega := \text{right-l.c.m.}\{\theta_i \delta_i \delta_{j_0} \bar{\delta}(i) \Theta(i)^* : i = 1, 2, \dots, m\}$ . Here  $\delta(i) := \text{g.c.d.}\{\delta_i, \delta_{j_0}\}$  and  $\Theta(i) := \text{left-g.c.d.}\{\theta_i \delta(i), \bar{\delta}(i)(\delta_{j_0} A_i - \delta_i A_{j_0} \Lambda(i)^*)\}$  with  $\Lambda(i) := B_i(\gamma_0) B_{j_0}(\gamma_0)^{-1}$ .

*Proof* Suppose  $\mathbf{T}$  is hyponormal. Since every sub-tuple of  $\mathbf{T}$  is hyponormal, we can see that  $(T_{\Phi_i}, T_{\Phi_j})$  is hyponormal for all  $i, j = 1, 2, \dots, m$ . In view of (2.10), we may assume that  $j_0 = m$ . Put

$$\mathbf{S} := (T_{\Phi_1 - \Lambda(1)\Phi_m}, T_{\Phi_2 - \Lambda(2)\Phi_m}, \dots, T_{\Phi_{m-1} - \Lambda(m-1)\Phi_m}, T_{\Phi_m}).$$

It follows from Corollary 2.5 that

$$\mathbf{T} \text{ is hyponormal} \iff \mathbf{S} \text{ is hyponormal.}$$

Since  $\delta(i) = \text{g.c.d.}\{\delta_i, \delta_m\}$ , we can write

$$\delta_i = \delta(i) \omega_i \quad \text{and} \quad \delta_m = \delta(i) \omega_m,$$

where  $\omega_i$  is a finite Blaschke product for  $i = 1, 2, \dots, m$ . Since  $\Theta(i) = \text{left-g.c.d.}\{\theta_i \delta(i), \bar{\delta}(i)(\delta_m A_i - \delta_i A_m \Lambda(i)^*)\}$ , we get the following left coprime factorization:

$$\Phi_i - \Lambda(i)\Phi_m = [(\overline{\omega_m} A_i^* - \overline{\omega_i} \Lambda(i) A_m^*) \Theta(i)] \theta_i \delta_i \delta_m \bar{\delta}(i) \Theta(i)^*.$$

Thus the result follows at once from Theorem 2.6. □

We conclude with the following.

**Corollary 2.9** *For each  $i = 1, 2, \dots, m$ , suppose that  $\phi_i = \overline{(\phi_i)_-} + (\phi_i)_+ \in L^\infty$  is a rational function of the form*

$$(\phi_i)_+ = \theta_i \overline{a_i} \quad \text{and} \quad (\phi_i)_- = \theta_i \overline{b_i} \quad (\text{coprime}).$$

*If there exists  $j_0$  ( $1 \leq j_0 \leq m$ ) such that  $\theta_{j_0}$  and  $\theta_i$  are not coprime for each  $i = 1, 2, \dots, m$  and  $\mathbf{T} \equiv (T_{\phi_1}, T_{\phi_2}, \dots, T_{\phi_m})$  is hyponormal then*

$$\text{rank}[\mathbf{T}^*, \mathbf{T}] = \text{rank}[T_{\phi_{j_0}}^*, T_{\phi_{j_0}}].$$

*Proof* For each  $i = 1, 2, \dots, m$ , let  $\lambda(i) := b_i(\gamma_0)b_{j_0}(\gamma_0)^{-1}$  for some  $\gamma_0 \in \mathcal{Z}(\theta_i)$ . Write  $\theta(i) \equiv \text{g.c.d.}\{\theta_i, (a_i - a_{j_0}\overline{\lambda(i)})\}$ . Since  $\mathbf{T} \equiv (T_{\phi_1}, T_{\phi_2}, \dots, T_{\phi_m})$  is hyponormal,  $(T_{\phi_i}, T_{\phi_{j_0}})$  is hyponormal for all  $i = 1, 2, \dots, m$ . Thus it follows from Lemma 2.7 that  $T_{\phi_{j_0}^{1,\omega(i)}}$  is hyponormal with  $\omega(i) := \theta_i \overline{\theta(i)}$ . Observe that

$$(\phi_{j_0}^{1,\omega(i)})_+ = \theta(i) \overline{c_i} \quad \text{and} \quad (\phi_{j_0}^{1,\omega(i)})_- = \theta_i \overline{b_i} \quad (\text{coprime}),$$

where  $c_i := P_{\mathcal{H}(\theta(i))}(a_i)$ . Since  $T_{\phi_{j_0}^{1,\omega(i)}}$  is hyponormal, it follows from Proposition 2.2 that  $\theta_i$  is an inner divisor of  $\theta(i)$  and hence  $\theta(i) = \theta_i$ . Thus the result follows from Corollary 2.8. □

### 3 Conclusions

The self-commutators of bounded linear operators play an important role in the study of hyponormal and subnormal operators. The main result of this paper is to derive a rank formula for the self-commutators of tuples of Toeplitz operators with matrix-valued rational symbols. This result will contribute to the study of Toeplitz operators and the bridge theory of operators.

#### Competing interests

The authors declare that they have no competing interests.

#### Authors' contributions

The authors contributed equally and significantly in writing this paper.

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