

# Histone acetylation and an epigenetic code

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## Summary

The enzyme-catalyzed acetylation of the N-terminal tail domains of core histones provides a rich potential source of epigenetic information. This may be used both to mediate transient changes in transcription, through modification of promoter-proximal nucleosomes, and for the longer-term maintenance and modulation of patterns of gene expression. The latter may be achieved by setting specific patterns of histone acetylation, perhaps involving acetylation of particular lysine residues, across relatively large chromatin domains. The histone acetylating and deacetylating enzymes (HATs and HDACs, respectively) can be targeted to specific regions of the genome and show varying degrees of substrate specificity, properties that are consistent with a role in maintaining a dynamic, acetylation-based epigenetic code. The code may be read (ie. exert a functional effect) either through non-histone proteins that bind in an acetylation-dependent manner, or through direct effects on chromatin structure. Recent evidence raises the interesting possibility that an acetylation-based code may operate through both mitosis and meiosis, providing a possible mechanism for germ-line transmission of epigenetic changes. *BioEssays* 22:836–845, 2000. © 2000 John Wiley & Sons, Inc.

## Introduction

A quarter of a century has passed since the nucleosome was first recognised as the fundamental unit of chromatin structure in eukaryotic cells. In this time, its perceived role has expanded from a DNA-packaging element to a crucial determinant of virtually all aspects of genomic function.<sup>(1)</sup> The isolated nucleosome core particle comprises a histone

octamer, two copies each of H2A, H2B, H3 and H4, around which is wrapped 146 base pairs of DNA. This structure is the same in virtually all eukaryotes. However, in vivo this extremely conserved structure is subject to numerous enzyme-catalyzed manipulations and modifications that give it an almost infinite capacity for variability. A major contributor to this heterogeneity is the enzyme-catalyzed, post-translational modification of the N-terminal tails of the eight core histones. The tails are exposed on the nucleosome surface and can be modified by acetylation, phosphorylation, methylation, ubiquitination and ADP-ribosylation of specific amino acids.<sup>(2)</sup> Of these modifications, acetylation of the  $\epsilon$ -amino group of defined lysine residues is the most frequently occurring and extensively studied.

Any discussion of the possible functions of histone modification must take account of the fact that the nucleosome is involved, one way or another, in virtually every activity of nuclear DNA, including transcription, replication and repair. It is difficult, possibly unwise, to consider these activities in isolation. With this in mind, this review will focus on an aspect of the subject that has received, till recently, relatively little attention. It will explore the possibility that histone modifications provide a mechanism for encoding and transmitting information about genomic function from one cell generation to the next; in other words, an epigenetic code. In what follows, histone acetylation will provide most of the experimental examples, but the possibilities discussed may equally well be applied to other modifications whose analysis is still at a relatively early stage. In fact, it is becoming increasingly clear that important functional effects can depend on precise *combinations* of modifications.

## Finding coded messages

The idea that chromatin in general and patterns of histone acetylation in particular may constitute a code that transmits epigenetic information has been suggested before<sup>(3–8)</sup>, but recent experiments have provided valuable insights into the nature of the putative code and how it might operate. There are several examples, some long standing, of specific modifications that are associated with defined functional effects. These are listed in Table 1. The potential importance of *combinations* of modified residues has been emphasized more recently by studies of phosphorylation of H3 serine10. This residue is phosphorylated at high frequency as cells

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Abbreviations: ChIP, Chromatin Immunoprecipitation; Fab-7, *Drosophila* DNA sequence that can activate and suppress transcription in *cis*; H4Ac5 (8,12,16), Histone H4 acetylated at lysine residue 5 (8,12,16); HAT, Histone Acetyltransferase; HDAC, Histone Deacetylase; MBD2, Methyl Binding Domain protein 2; MeCP2, Methyl CpG-binding Protein 2; MOF, *Drosophila* histone acetyltransferase named after its mutant phenotype and chromosome location (Males-absent On the First); Rpd3p, An important yeast transcriptional regulator and histone deacetylase; SAGA, Yeast acetyltransferase complex named after some of its constituent proteins; *white*, *Drosophila* gene whose activity is necessary for the red eye colour of wild-type flies; its mutation gives a white-eyed phenotype.

**Table 1.** Residue-specific, histone modifications associated with defined functional effects

Modification (histone:residues)	Modification of Adjacent Lysines	Organism	Functional Association
H4:K5Ac <sup>(1)</sup>	Probably acetylated <sup>(2)</sup>	<i>S. cerevisiae</i>	Causes derepression of UME6-regulated genes in <i>rpm3</i> deletion mutants
H4:K12Ac	Underacetylated	<i>Drosophila</i> , <i>S. cerevisiae</i>	Heterochromatin formation. Silencing of <i>HM</i> genes in yeast, needs <i>unmodified</i> K16
H4:K16Ac	Acetylated <sup>(2)</sup>	<i>Drosophila</i>	2-fold increase in transcription on male X
H4 (non-acetylated)	All non-acetylated (also H2A, H2B, H3)	Mammals	Constitutive (centric) and facultative heterochromatin
H4:K5Ac,K12Ac	Non-acetylated	All tested	New H4 for chromatin assembly; role in chromatin accessibility? <i>non-essential</i> in yeast
H3:S10P <sup>(1)</sup>	Acetylated	Mammals	Up-regulation of Immediate Early genes by growth factors
H3:S10P	Underacetylated(?)	All tested	Chromatin condensation through mitosis and meiosis; <i>essential</i>
H3:K9Ac,K14Ac	Non-acetylated	<i>Tetrahymena</i>	Newly synthesized H3 for chromatin assembly
H3:K14Ac,K23Ac	Non-acetylated	<i>Drosophila</i>	Newly synthesized H3 for chromatin assembly

(1) Ac, acetylated; P, phosphorylated. (2) Adjacent lysines are acetylated at essentially the same frequency as in bulk chromatin. (3) References to the original papers on which this table is based, or to relevant reviews, are in the text.

enter and pass through mitosis and its substitution by site directed mutagenesis results in abnormal chromatin condensation.<sup>(9,10)</sup> H3S10 is also phosphorylated, in a small subfraction of H3, during the upregulation of immediate-early genes in response to growth factors (reviewed in Ref. 11). The puzzle as to how the same modification can be associated with two such different, at first sight diametrically opposed, functional effects may be resolved by the observation that H3 phosphorylated during growth factor stimulation is also likely to be hyperacetylated.<sup>(12)</sup> Acetylation of lysines adjacent to S10 may help generate a distinct transcriptional signal. Two recent papers<sup>(13,14)</sup> have shown that this is indeed the case. Several histone acetyltransferases (HATs) were shown to have increased activity in vitro against H3 peptides phosphorylated at S10. In the yeast HAT GCN5, substitution of a specific residue (R164), likely to lie adjacent to H3S10 in the enzyme-substrate complex, abolished this effect. Significantly, this same mutation resulted in reduced activity in vivo at a subset of Gcn5-dependent promoters.<sup>(14)</sup> Transcription of the same subset of genes was diminished in mutants carrying a substitution of H3S10. The results presented in these publications make the important general point that one modification (in this case phosphorylation) can influence the probability that a second modification (in this case acetylation) will occur, and may also moderate its functional effects.

A different version of the same general effect may be provided by work on yeast mutants in which silencing of genes under the control of the transcriptional regulator UME6 is disrupted. UME6 operates by recruiting, to selected genes, a high molecular weight complex containing, amongst other things, the histone deacetylase RPD3 and the silencing protein SIN3. Chromatin immunoprecipitation (ChIP) and

multiplex PCR have been used to show that, in *rpm3* mutants, nucleosomes within about 1 kb of the promoters of UME6-regulated genes show a selective, several-fold increase in acetylation of H4K5.<sup>(15)</sup> In *sin3* mutants, increases in acetylation at H4K5 and H4K12 were noted in the same region. The results show that silencing complexes can selectively deacetylate specific histone lysines, and that the lysines selected may depend on the composition of the complex. What is the molecular context in which the H4 acetylation/deacetylation switch operates in this system? Are H4 lysines generally acetylated along UME6-regulated genes, in which case the switch will be primarily between the tri-acetylated to tetra-acetylated isoforms, or are they underacetylated, in which case the functional change must be an effect of lysine-specific acetylation alone?

The most long-standing and highly conserved example of lysine-specific histone acetylation is the di-acetylation of newly synthesized H4 at lysines 5 and 12, in which form it is deposited on newly replicated DNA during chromatin assembly.<sup>(16,17)</sup> In view of the extreme evolutionary conservation of this very specific modification, it is surprising that cell growth and chromatin assembly continue in yeast mutants in which these two residues have been substituted. Such mutants do show a lengthening of S-phase<sup>(18)</sup> but only by substitution of H4 lysines 5, 8 and 12, together with deletion of the H3 tail, could a severe chromatin assembly defect be induced.<sup>(19)</sup> It seems that H4 di-acetylation at lysines 5 and 12 facilitates chromatin assembly in a way that is evolutionarily advantageous, but is not an essential component of the chromatin assembly mechanism. It may be that the functional importance of this modification lies outside the chromatin assembly mechanism itself. One

interesting possibility is that H4 di-acetylation prevents newly assembled chromatin from adopting a “closed” conformation until such time as transcription factors and chromatin-modifying enzymes have been able to gain access and make any adjustments necessary to maintain or modify its conformation. Both biochemical<sup>(20)</sup> and microscopical<sup>(21)</sup> analyses indicate that H4 on newly assembled chromatin remains di-acetylated for several minutes before its removal by deacetylases, allowing time for such adjustments to take place.

### Encryption mechanisms

Overall levels of histone acetylation are determined by the combined activities of two enzyme families, the Histone Acetyltransferases (HATs) and deacetylases (HDACs). If, as seems likely, these enzymes are responsible for setting patterns of acetylation across the genome, then they must be both targetable to defined genomic regions and have a range of specificities sufficient, at least, to account for the patterns of acetylation listed in Table 1. Both requirements are close to being met.

Both HATs (22 and references therein) and HDACs (reviewed in Ref. 23) can be targeted to their sites of action on chromatin by association with a variety of DNA-binding, transactivator proteins. In some cases, the targeting involves other chromatin modifications or modifying activities. For example, in *S. cerevisiae* the SAGA HAT complex seems to be targeted to the promoter region of the *HO* gene by a mechanism involving various DNA-binding proteins and requiring the chromatin remodeling activity of the Swi/Snf complex.<sup>(24)</sup> (This is discussed further below.) In mammals, histone deacetylases are targeted to methylated regions of the genome through association with the methyl-DNA-binding proteins MeCP2 and MBD2<sup>(23)</sup> and, possibly, the DNA methyltransferase itself.<sup>(25)</sup> On a larger scale, targeting to nuclear domains rich in heterochromatin can be achieved through association with Ikaros and related proteins.<sup>(26,27)</sup>

Several members of the HAT family have been shown to acetylate preferentially specific histones and/or specific lysines.<sup>(28–31)</sup> Not surprisingly, the preferences can vary depending on whether the enzyme is presented with peptides, free histones or chromatin. In addition, most HATs are found in vivo as multiprotein assemblies and substrate specificities can be altered by the proteins with which the catalytic subunit is associated.<sup>(32)</sup> A nuclear HAT has recently been shown to exhibit a specificity that corresponds exactly to a known, functionally significant pattern of histone acetylation and to be targeted to its site of action. The acetyltransferase MOF is an essential component of the dosage compensation complex that coats the X chromosome in male *Drosophila*.<sup>(33,34)</sup> Male flies lacking MOF activity fail to upregulate transcription of genes on their single X chromosome and die early in development. MOF, as a

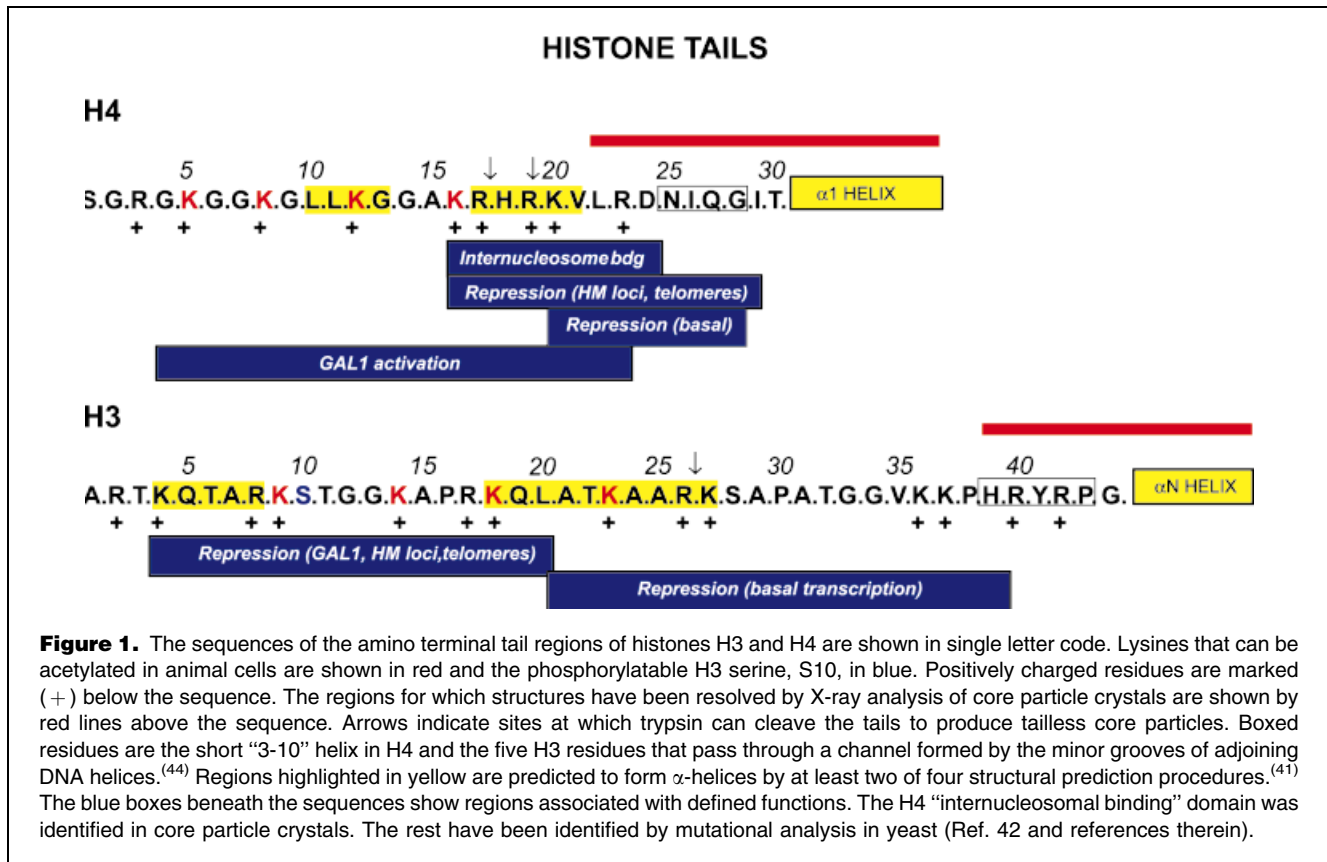
recombinant protein<sup>(34)</sup> or as a component of multisubunit, dosage compensation complexes isolated from *Drosophila* cultured cells,<sup>(33)</sup> has now been shown to acetylate H4 in chromatin specifically at lysine16. This is exactly the modification that is found, almost uniquely, along the *Drosophila* male X chromosome at multiple sites corresponding to those also occupied by the dosage compensation complex.<sup>(35,36)</sup>

Studies on the catalytic specificities of histone deacetylases have been less extensive, but they too can show specificity for particular histone lysines depending on the substrate<sup>(37)</sup> and the composition of the enzyme.<sup>(15,38)</sup> A novel, NAD-dependent histone deacetylase from yeast, Sir2p, shows a remarkably strong preference for deacetylating H4 lysine16, at least with a peptide substrate.<sup>(39)</sup> Unfortunately, the *Drosophila* homologue, while sharing the NAD dependence of the yeast enzyme, does not show the same interesting specificity for H4 lysine 16 (Andrew Barlow and B. M. T., unpublished).

### Reading the code; structure matters

The histone tails have traditionally been described as random coil, ie. essentially without a defined, stable structure. This lability is well suited to a protein recognition function and for models that explain the functional effects of tail modifications through their influence on binding of non histone proteins.<sup>(3,35)</sup> The strength and specificity of binding will derive from the imposition of a structure on the selected region of the tail, an “induced fit”. However, recent evidence suggests that the histone tails in chromatin may have a greater degree of structure than was previously suspected. Comparison of the circular dichroism spectra of intact core particles with those lacking the trypsin-sensitive tail regions of H3 and H4, indicates that these regions are 50%  $\alpha$ -helical.<sup>(40)</sup> The sequence elements involved could not be defined, but predictive algorithms based on known sequence:structure correlations indicate some likely regions.<sup>(41)</sup> Data for histones H3 and H4, the ones for which most information is available, is summarised in Fig. 1, together with genetically determined, functional attributes of different regions of the two tails.<sup>(42,43)</sup> These results and speculations must still be reconciled with the fact that, in core particle crystals, the most N-terminal tail regions (eg. residues 1–15 of H4) are not detectable by X-ray crystallography, even when the core particles are assembled from recombinant (i.e. unmodified) histones.<sup>(44,45)</sup> This shows an absence of consistent structure. The procedures used to prepare crystals will give different tail conformations to those seen in solution at low ionic strength, and neither set of experimental conditions even approximates to the situation in vivo.

If we accept that, at least in some circumstances, the tails are part of a highly structured and interlinked complex of



protein-nucleic acid interactions, it is much more likely that modification (or substitution) of single residues will lead to defined, and specific, changes in chromatin conformation. A high degree of secondary structure in the histone tail domains also means that a modification or substitution in one region is potentially able to cause a structural change in a distant region. Such knock-on effects could explain results in which various H4 mutations, which causes mating defects in yeast by derepressing the *HML* gene, are all suppressed by the same mutation in the tail-binding, silencing protein Sir3p.<sup>(42)</sup> In molecular terms, the explanation requires that any mutation, or modification, that disrupts one region of the H4 tail (in this example amino acids 16–19) can alter the protein-binding properties of an adjacent, possibly overlapping, region in a specific and consistent way.

### Long-term and short-term changes

Many biological situations require a *transient* upregulation or downregulation of gene activity. Rather than *maintaining* a pattern of activity, the task is to ensure that it is only short-lived. Recent experiments, using chromatin immunoprecipitation (ChIP) techniques, have shown that increased acetylation of histones H3 and H4 on promoter proximal nucleosomes is associated with initiation of transcription on

inducible genes in various organisms.<sup>(46–49)</sup> The specific lysine residues involved have not yet been defined, though in some systems differences have been noted in the degree to which acetylation of H3 and H4 changes, suggesting that acetylation of these two histones is regulated independently. The important point about these observations, in the context of the present discussion, is that the acetylation changes described are (a) local, sometimes confined to only two or three, promoter proximal nucleosomes; (b) closely correlated with transcription initiation; and (c) transient, returning to baseline levels when transcription falls. These properties contrast with the well established, developmentally regulated changes in acetylation across the globin genes,<sup>(50)</sup> where regions of increased histone acetylation extending over tens of kb seem to provide the *potential* for transcription, possibly several cell cycles ahead of the onset of transcription itself. The *HO* gene in *S.cerevisiae* provides an example of a gene whose regulation may involve both long-term and transient changes in acetylation. The gene encodes an endonuclease required for mating type switching and is under the control of a complex network of regulator proteins. It is expressed for only a few minutes late in the G<sub>1</sub> phase of the *second* cell cycle after germination of a haploid spore, and only in “mother” cells, not the smaller, bud-derived “daughters”

(Ref. 24 and references therein). In an elegant series of ChIP experiments, Cosma et al.<sup>(24)</sup> have shown that initiation of transcription involves the sequential binding, through late anaphase and telophase, of Swi5p, Swi4p, Swi6p, the Swi/Snf complex and the SAGA (Gcn5p-containing) HAT complex. Binding of Swi5p is transient, but the other components remain in place until *HO* transcription begins late in G<sub>1</sub>. In complementary experiments, Krebs et al.<sup>(49)</sup> have used ChIP with antibodies to H3 acetylated at lysines 9 and 14 and “hyperacetylated” H4, to show a transient increase in acetylation in a 1 kb region upstream of the transcription start site, just prior to the onset of *HO* transcription. They also found that the baseline level of acetylation across the *HO* domain was significantly lower than that of the rest of the yeast genome. As usual in yeast, mutants provided valuable information about possible mechanisms. Mutants lacking Rpd3p deacetylase activity, had elevated acetylation levels across the genome, with no relative underacetylation of the *HO* domain. In such mutants, transient expression of *HO* in late G<sub>1</sub> occurred essentially as normal, but was not accompanied by any local changes in histone acetylation. In contrast, these mutants failed to silence *HO* in “daughter” cells and showed inappropriate control of *HO* expression in response to mating pheromones (discussed in Ref. 49). Thus, whereas functional Rpd3p and histone deacetylation are not necessary for the appropriate regulation of *HO* through the cell cycle, they are necessary for its correct expression in different cell types or in response to external signals. Perhaps the low basal level of histone acetylation across the *HO* domain, apparently maintained by Rpd3p, provides a stable genomic mark that is needed for correct control of *HO* expression in some situations but not others. Conversely, increased histone acetylation, dependent on *GCN5*, may provide a (transient) chromatin environment that is permissive for transcription. This work provides a fascinating example of the interaction of acetylating and deacetylating activities and poses new questions regarding their targeting, specificities and how their catalytic activities are regulated.

### Transmitting the code

A code is essentially a means of transmitting information and, if histone modifications are to earn their keep as a true epigenetic code, then they must, at the very least, be able to transmit information from one cell generation to the next. Evidence that H4 acetylation can function in exactly this way comes from experiments in the yeast *S. pombe*. Increased expression of a gene inserted adjacent to centric heterochromatin could be induced by treatment with the HDAC inhibitor Trichostatin A (TSA) and then maintained, through many cell generations, in the absence of the inhibitor.<sup>(51)</sup> The question of mechanism remains unanswered: in particular, how can patterns of acetylation be duplicated on the two

sister strands following DNA replication and a chromatin assembly mechanism that involves deposition of diacetylated H4 followed by a major round of histone deacetylation? We do not yet know whether this deacetylation involves only lysines 5 and 12 on newly deposited H4, or all acetylated lysines. In the latter case, patterns of acetylation must be put in place from scratch after each round of DNA replication and chromatin assembly.

The simplest maintenance mechanism would be for the HATs and HDACs responsible for patterns of acetylation to remain in the vicinity during replication and reassociate with newly assembled chromatin following passage of the replication fork. This would be an appropriate mechanism for HATs such as MOF that form part of a complex that remains associated with its target DNA throughout the cell cycle.<sup>(36,52,53)</sup> A more general maintenance mechanism could operate through recruitment of HDACs to methylated DNA via their association with methyl-DNA-binding proteins such as MeCP2 and MBD2 and with the DNA methyl transferase itself.<sup>(23,25)</sup> This mechanism could certainly account for the association between DNA methylation and histone underacetylation found in various types of heterochromatin.

A mechanism that offers the possibility of maintaining acetylation patterns even more specifically, is the recruitment of HATs to chromosome regions showing patterns of histone acetylation that correspond to their own catalytic specificity.<sup>(6,7)</sup> This mechanism becomes less hypothetical in light of the demonstration that the bromodomain of the HAT P/CAF binds preferentially to H4 and H3 peptides containing an acetylated lysine.<sup>(54)</sup> The bromodomain is a protein motif shared by many HATs (and other proteins) and is believed to be important for protein-protein interactions. It will be interesting to see if other HAT bromodomains show similar binding properties and, if they do, if the binding can be regulated to give the level of specificity that the proposed targeting mechanism requires.

### A higher-order code

In most cells, histone acetylation is a common modification, even in mature chromatin, and only a minute proportion of the cell's acetylated chromatin can be involved in transcription initiation at the promoter. The rest is likely to be concerned with setting patterns of chromatin structure over much wider stretches of the genome. Recurring patterns of histone acetylation at the highest levels of chromatin structure, as predicted by such models, are seen in the form of bands of acetylated and non-acetylated chromatin in the interphase polytene chromosomes of chironomid insects.<sup>(35)</sup> The very existence of such sharply delineated regions of H4 acetylation along the one thousand or so parallel chromatin fibres that constitute a polytene chromosome, argues for mechanisms that maintain patterns of acetylation from one round of DNA replication to the next.

Perhaps the most extreme examples of higher-order coding are found in the dosage compensation mechanisms in *Drosophila* and mammals. In both cases, an entire X chromosome is marked across almost its whole length by a specific pattern of H4 acetylation. In both cases, the distinctive pattern of acetylation is present in both interphase and metaphase chromosomes.<sup>(35,52,55,56)</sup> In neither case is it clear whether the acetylation is part of a transcriptional regulation mechanism (giving a two-fold increase in transcription in *Drosophila* and silencing in mammals) or a signal by which a preset level of transcription is maintained from one cell cycle to the next. In differentiating mouse embryonic stem cells (ES cells), gene silencing and other properties associated with the inactive X (Xi) are detectable at least 2 days before global underacetylation, suggesting that the latter possibility may be the correct one.<sup>(57)</sup> However, in attempting to come up with plausible mechanisms, it is important to remember that local changes in acetylation may be occurring at the same time as chromosome-wide changes detectable at the light microscope level. For example, deacetylation of a region upstream of the *Xist* gene precedes global deacetylation of Xi in ES cells.<sup>(56)</sup> Experiments with human × hamster hybrid cells whose only human chromosome is Xa or Xi, have provided evidence that deacetylation on Xi, at least in interphase, is confined to the promoter regions of silenced genes.<sup>(58)</sup> This is not the case in ES cells.<sup>(56)</sup> Perhaps the difference reflects a relaxation of the requirement for maintenance of the silent state in hybrid cell lines.

### Germ-line transmission of epigenetic changes

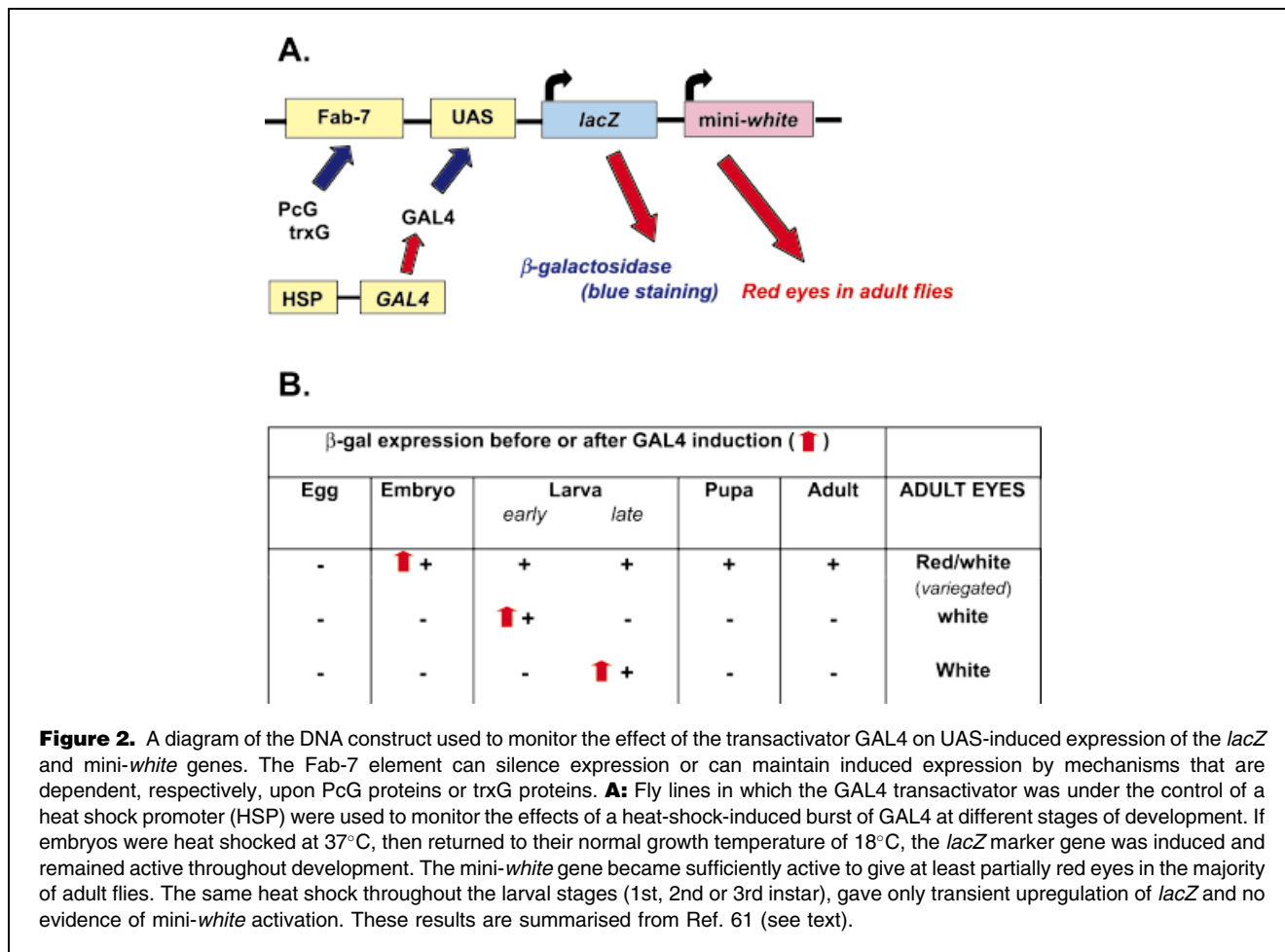
The hyperacetylated, transcriptionally active state of centromeric chromatin induced by treatment with TSA in the yeast *S. pombe*, is stably inherited through both mitosis and meiosis.<sup>(51)</sup> Thus, progression through meiosis does not, in itself, necessarily reverse chromatin-based, epigenetic changes. An exploration of the ability of epigenetic changes to survive meiosis in higher eukaryotes has been made in *Drosophila* using the important regulatory element Fab-7. This DNA sequence derives from the Bithorax complex where it acts as a developmentally regulated enhancer and silencer in ways that depend on Polycomb Group (PcG) and trithorax Group (trxG) proteins.<sup>(59)</sup> It carries these properties with it when moved out of its normal chromosome environment. A construct in which Fab-7 was attached to a GAL4-dependent promoter (UAS), a *lacZ* reporter gene and a mini-*white* gene (Fig. 2A), was stably inserted into the *Drosophila* genome to derive a series of stable lines.<sup>(60)</sup> The mini-*white* gene was used as a transformation marker in a host with white eyes. The Fab-7 element acted as a silencer, reducing the expression of the white gene and resulting in flies with yellow eyes, rather than the expected dark red eyes. The *lacZ* gene is only expressed in the presence of the GAL4

transactivator, provided, for the purposes of these experiments, by a second construct crossed into these fly lines (Fig. 2A). The silencing of both reporter genes by Fab-7 was dependent on a competition between GAL4 and the PcG proteins. Increased levels of GAL4 could overcome PcG silencing.

The use of fly strains in which GAL4 was under the control of an inducible heat-shock promoter, gave revealing results.<sup>(61)</sup> If embryos were subjected to a brief heat shock (30 minutes at 37°C) and then allowed to develop at their normal growth temperature (18°C), adult flies showed an increased frequency of red eye colour (Fig. 2B). The relief of inhibition induced by the transient increase in GAL4 had been maintained through many cell generations, long after GAL4 levels had fallen back to baseline. This effect was seen only if the heat shock was applied during embryonic development. Brief heat shock during the larval stages could still transiently induce the *lacZ* gene, but did not lead to mitotically stable derepression of the mini-*white* gene (allowing it to be turned on at the appropriate stage later in development) and did not give red eye colouration in the adult (Fig. 2B).

Even though this system is an artificial one, the experiments make the important general point that an environmental change during early embryogenesis can alter the transcriptional potential of a gene in a way that is stably inherited through many cell generations, even in the absence of the original inductive signal. But the really surprising result was the observation that a significant proportion of the offspring of female flies in which the mini-*white* gene had been derepressed during early embryogenesis, showed red eye colouration and *lacZ* expression.<sup>(61)</sup> This was seen even when crosses were constructed so that offspring did not have the GAL4 construct (ie. it could not be explained by the continued, low-level production of GAL4). Transmission through the *male* germ line was not observed.

What is the molecular mechanism responsible for the maintenance of patterns of expression through mitosis and meiosis? Recent experiments<sup>(62)</sup> have used immunofluorescence microscopy to determine the acetylation status of the chromatin domain containing the Fab-7 element. A brief heat shock during the embryonic stage resulted in increased staining (with an antibody to tetra-acetylated H4) across the Fab-7 insertion site in third instar polytene chromosomes. Changes were not detected with an antibody to acetylated H3. In contrast, a similar heat-shock treatment of the larvae themselves caused only a weak and transient increase in H4 acetylation, becoming undetectable within 40 minutes. Due to staining of endogenous bands adjacent to the transgene insertion site in the fly line used for meiotic transmission experiments, increased acetylation of the transgene-containing domain was not demonstrable in the offspring, so meiotic transmission of the hyperacetylated state remains to be

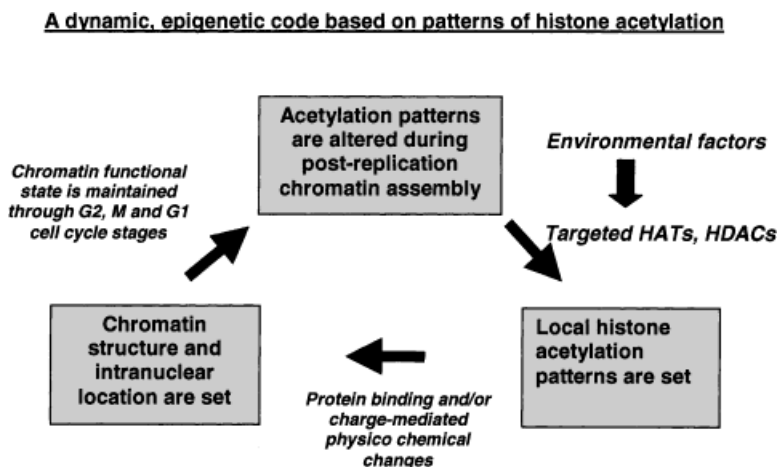


formally demonstrated. However, the results strongly suggest that changes in H4 acetylation form part of the mechanism by which this epigenetic change is transmitted mitotically and also eventually through the female germ line. A role for histones is certainly consistent with the absence of transmission through the male germ line, in which histones are almost completely displaced by protamines in the later stages of spermatogenesis. However, H4 acetylation is likely to be just one component in the germ-line transmission of chromatin states. Germ-line transmission of the active state of the Fab-7 construct was not seen in all fly lines tested,<sup>(62)</sup> so may depend upon the site of insertion of the transgene. Also, activation of the *mini-white* gene after embryonic induction was stronger in males than females. Both the physiological and chromosomal context in which the activated transgene is placed seem able to influence its transmission. The exact nature of the acetylation changes induced by GAL4 induction also remains to be determined.

### Summary and future prospects

A model summarizing, in general terms, the way in which histone acetylation might operate as an epigenetic code is presented in Fig. 3. It proposes that patterns of histone acetylation, for H4 at least, are set during post-replication chromatin assembly through targeting of specific histone acetyltransferases and deacetylases. The acetylation status determines chromatin structure and, thereby, its functional properties, either by directly initiating structural change or by regulating protein-histone interactions. Patterns of acetylation are maintained through the rest of the cell cycle, either through continuing enzyme activity, or by sheltering chromatin domains so as to render them refractory to further enzyme action. Environmental factors (eg. deacetylase inhibitors) when present at the crucial cell cycle or developmental stage, may induce changes in histone acetylation that are then perpetuated by the mechanisms discussed earlier. Any environmental agent that affects the activity, specificity

**Figure 3.** A simple model summarising how patterns of histone acetylation may be involved in the regulation of chromatin structure and function through the cell cycle. Patterns of acetylation are altered during post-replication chromatin assembly due to the deposition of newly synthesized, diacetylated H4 (in some species H3 is also diacetylated), followed by deacetylation. Patterns of acetylation are altered or maintained by targeting of HATs and HDACs of the appropriate specificity. The chromatin structure adopted by any given genomic region will depend, at least in part, on its pattern of histone acetylation. These patterns are maintained through the rest of the cell cycle either by the continuing activity of targeted enzymes or by sheltering chromatin domains against further enzyme activity. Sheltered domains will be impervious to the action of enzyme inhibitors, such as TSA, at least until the next round of DNA replication and chromatin assembly. At this stage, the genome will be particularly vulnerable to epigenetic changes induced by environmental factors.



or targeting of the acetylating/deacetylating enzymes has the potential ability to cause a long-term, epigenetic change. Inhibitors of these enzymes need not be exotic, laboratory reagents. For example, the histone deacetylase inhibitor sodium butyrate is present at functionally significant levels in the human colon and is a possible inhibitor of the development of colon cancers (Ref. 63 and references therein). Conversely, environmentally induced, epigenetic changes are increasingly being associated with the pathogenesis of human cancers.<sup>(64)</sup> The recent identification of a histone deacetylase, Sir2p, whose activity is NAD dependent,<sup>(39)</sup> provides an exciting link between chromatin structure, gene regulation and metabolism. There is now a route by which dietary factors that influence NAD levels can not only impact directly on gene expression, but potentially initiate long-term, epigenetic changes through changes in histone acetylation.

Perhaps the major problem that must be solved before the model can be critically assessed and developed, is identification of the mechanistic links between histone acetylation and chromatin function. Neither for transient, promoter proximal changes nor for longer-term effects, has it been established exactly how acetylation of the histone tails exerts a functional effect. Nor do we yet fully appreciate the functional significance of combinations of histone modifications and how they may interact to give each chromatin domain a characteristic, and possibly unique, epigenetic signature. We also need to know how the HATs and HDACs (and other modifying enzymes) are targeted to their sites of action and what is the role of intranuclear location and replication timing. Finally, we need to identify the environmental and metabolic factors that influence the activity of chromatin-modifying enzymes. These factors may be more subtle and complex than conventional mutagens. What is not

in doubt is that unravelling how chromatin-modifying enzymes induce long term, epigenetic changes and by what mechanisms they are regulated, will pay rich dividends in understanding the etiology of human diseases and devising novel therapies.

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