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Triple-negative breast cancer: treatment challenges and solutions

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http://dx.doi.org/10.2147/BCTT.S69488

Abstract: Triple-negative breast cancers (TNBCs) are defined by the absence of estrogen and progesterone receptors and the absence of HER2 overexpression. These cancers represent a heterogeneous breast cancer subtype with a poor prognosis. Few systemic treatment options exist besides the use of chemotherapy (CT). The heterogeneity of the disease has limited the successful development of targeted therapy in unselected patient populations. Currently, there are no approved targeted therapies for TNBC. However, intense research is ongoing to identify specific targets and develop additional and better systemic treatment options. Standard adjuvant and neoadjuvant regimens include anthracyclines, cyclophosphamide, and taxanes. Platinumbased CT has been proposed as another CT option of interest in TNBC. We review the role of this therapy in general, and particularly in patients carrying BRCA germ-line mutations. Available data concerning the role of platinum-based CT in TNBC were acquired primarily in the neoadjuvant setting. The routine use of platinum-based CT is not yet recommended by available guidelines. Many studies have reported the molecular characterization of TNBCs. Several actionable targets have been identified. Novel therapeutic strategies are currently being tested in clinical trials based on promising results observed in preclinical studies. These targets include androgen receptor, EGFR, PARP, FGFR, and the angiogenic pathway. We review the recent data on experimental drugs in this field. We also discuss the recent data concerning immunologic checkpoint inhibitors.

Keywords: triple-negative breast cancer, molecular subtype, platinum-based chemotherapy, targeted therapy, androgen receptor, *BRCA1/2* mutation

Introduction

In 2012, 1.7 million women worldwide were diagnosed with breast cancer (BC), and 521,900 women died from it.¹ These statistics include all subtypes of BC, but it is well known that BC is not a homogeneous disease. Four major intrinsic subtypes have been identified by genomic studies: the luminal subtypes A and B, which express hormone receptor-related genes, basal-like (BL) BC, and HER2-positive BC.^{2,3}

Triple-negative BC (TNBC) is a heterogeneous group characterized by the lack of expression of hormonal receptors and the absence of HER2 overexpression. The definition of negative estrogen receptor (ER) status by immunohistochemistry (IHC) is not concordant in the literature, with some definitions considering ER expression to be significant only if at least 10% of tumor cells express the receptors. However, the St Gallen guidelines,⁴ the American Society of Clinical Oncology,⁵ and the American College of Pathology⁵ have defined TNBC as BC with less than 1% of tumor cells expressing the ER and progesterone receptors via IHC.

Breast Cancer: Targets and Therapy 2016:8 93-107

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TNBC represents approximately 15% of all BCs and is characterized by shorter overall survival and an early peak of distant recurrences at 3 years after diagnosis. The majority of deaths occur in the first 5 years following initial diagnosis. Late tumor recurrences are unusual with this BC subtype and recurrences generally are not observed after 8 years.⁶ TNBC has an aggressive clinical behavior, with a higher risk of both local and distant relapses that frequently present as visceral and/or brain metastases.7,8 TNBCs are frequently assimilated into the intrinsic subgroup of BCs that have been described in microarray-based expression profiling research as the BL molecular phenotype.² However, not all TNBCs display a BL molecular phenotype on gene expression arrays. Indeed, 75% to 80% of TNBCs are actually BL cancers. Some markers that have been identified by IHC in tumor cells are also found in normal basal/myoepithelial cells of the breast, including high-molecular-weight basal cytokeratin 5/6 (CK5/6), CK14, B crystallin, CK17, epidermal growth factor receptor (EGFR) HER1, caveolin 1/2 (CAV1/2), vimentin, fascin, c-Kit, and P-cadherin. TNBC is less likely to express epithelial markers such as E-cadherin.⁹⁻¹¹ Similarly, not all BL BCs are TNBC: up to 54% of cancers in the BL subgroup do not have a TN phenotype on IHC.11,12 Indeed, some BL cancers express ER or overexpress HER2. Currently, there is no international definition of TNBC/BL cancer, but the most appropriate approach to use in the absence of access to molecular profiling is a panel of four antibodies (ER, HER2, CK5/6, and EGFR HER1), which can best characterize BL tumors based on IHC criteria.9

In a large population-based study from the California Cancer Registry, TNBCs were significantly more frequent in women under the age of 40 years.13 TNBCs are also more frequent in women who are germ-line BRCA1/2 mutation carriers and in women of black race or Hispanic ethnicity.14 Up to 19.5% of patients suffering from TNBC present BRCA1/2 mutations.^{15,16} For this reason, it is recommended to test all women under the age of 60 years suffering from TNBC for BRCA1/2 mutations (National Comprehensive Cancer Network guidelines).17

Currently, only chemotherapy (CT) is routinely used as systemic treatment in patients with TNBC, although in some countries bevacizumab is still added to CT in advanced BC (ABC), even in the absence of any demonstrated overall survival benefit. However, intense research is ongoing to identify actionable targets. A large number of clinical trials are ongoing that aim to improve current treatment outcomes. Better knowledge of the biology of this BC subgroup will allow us to evaluate new specific treatment approaches dedicated to this hard-to-treat disease.

We first review the available data on molecular heterogeneity and BRCA1-associated TNBC/BL BC. Thereafter, we discuss the current treatment options and some promising new treatment approaches that include targeted treatments.

Understanding TNBC heterogeneity

Before molecular profiling confirmed the important heterogeneity in the biology of TNBC, clinical data had already indicated the existence of heterogeneous treatment responses and long-term outcomes. Some patients respond very well to neoadjuvant CT and present a pathologic complete response (pCR) at the time of surgery. Other patients present no response to neoadjuvant CT and suffer from early relapse after surgery.^{6,18,19} Unfortunately, predictive factors that allow the identification of patients who will present a pCR and those who will not benefit from CT at the time of diagnosis do not exist.

The vast majority of TNBCs are high-grade invasive ductal carcinomas, but some rare cases are histologically different, such as adenoid cystic carcinoma, secretory carcinoma, medullary carcinoma, and metaplastic carcinoma. The prognosis depends on the TNBC pathological subtype.²⁰⁻²²

The Cancer Genome Atlas Research Network used six methods to analyze primary BCs: genomic DNA copynumber arrays, DNA methylation, exome sequencing, messenger-RNA arrays, microRNA sequencing, and reversephase protein arrays.²³ Only in three genes did somatic mutations occur at a frequency higher than 10% across all BCs: TP53, PIK3CA, and GATA3. Specific mutations are more frequent in some BC subtypes. In TNBC/BL cancers, the most frequent findings were the loss of TP53, RB1, BRCA1, and PIK3CA.23 Known drivers, such as P53, PIK3CA, and PTEN, have the highest clonal frequencies, but at the time of diagnosis, some patients present low clonality, while others have a more extensive clonal evolution, illustrating further important heterogeneity in TNBC.24

Subtyping TNBC: clinical implications

More recently, gene expression profiling of 587 TNBCs identified six different subtypes: BL1 and BL2, an immunomodulatory (IM) subtype, a mesenchymal subtype, a mesenchymal stem like (MSL) subtype, and a luminal androgen receptor (LAR) subtype.²⁵ The strengths of this study were to identify further the molecular drivers in corresponding cell-line models to provide preclinical platforms for the development of effective therapies (Table 1). For example, the authors showed that BL1 lines were the most sensitive

Table I Genomic TNBC subtypes and potential therapeutic targets

Subtypes	Genetic abnormalities	Potential therapeutic target
BLI	Cell cycle gene expression	PARP inhibitors
	DNA repair gene (ATR-BRCA pathway)	Genotoxic agents
	Proliferation genes	-
BL2	Growth factor signaling pathways (EGFR, MET, NGF,	mTOR inhibitors
	Wnt/β-catenin, IGF-IR)	Growth-factor inhibitors
	Glycolysis, gluconeogenesis	
	Expression of myoepithelial markers	
Immunomodulatory	Immune cell processes (CTLA4, IL12, IL7 pathways, antigen	PD1/PDL1 inhibitors
-	processing/presentation)	
	Gene signature for medullary BC (rare TNBC with a favorable	
	prognosis)	
Mesenchymal-like	Cell motility	mTOR inhibitors
-	Cell differentiation	EMT- and CSC-targeted treatment
	Growth factor signaling	
	EMT	
Mesenchymal stem-like	Similar to M ⁺	PI3K inhibitors
	Low proliferation	Antiangiogenic therapy
	Angiogenesis genes	Src antagonist
Luminal androgen receptor	Androgen receptor gene	Antiandrogen therapy
5	Luminal gene expression pattern	5 I,
	Molecular apocrine subtype	

Note: Data from Lehmann et al.25

Abbreviations: BC, breast cancer; BL, basal-like; CSC, cancer stem cells; DNA, deoxyribonucleic acid; EGFR, epidermal growth factor receptor; EMT, epithelialmesenchymal-transition; IGF-1R, insulin-like growth factor I receptor; IL, interleukin; IM, immunomodulatory; LAR, luminal androgen receptor; M, mesenchymal-like; MET, hepatocyte growth factor; mTOR, mammalian target of rapamycin; MSL, mesenchymal stem-like; NGF, nerve growth factor; PARP, poly ADP ribose polymerase; PD1, programmed cell death 1; PDL1, programmed death-ligand 1; PI3K, phosphatidylinositol 3-kinase; TNBC, triple negative breast cancer.

to cisplatin and that the mesenchymal and MSL lines were most sensitive to the Abl/Src inhibitor dasatinib.²⁰

The same group used the intrinsic subtype tool to examine the composition of each TNBC subtype. The authors showed that all TNBC subtypes except MSL and LAR were composed primarily of the BL intrinsic subtype (BL1 [99%], BL2 [95%], IM [84%] and mesenchymal [97%]). The LAR subtype is classified as HER2 (74%) and luminal B (14%), and the MSL subtype includes BL (50%), normal-like (28%), and luminal B (14%).²⁰

Other gene expression analyses have also defined a claudin-low tumor subtype.²⁶ Molecular characterization showed that these tumors are enriched in epithelial-to-mesenchymal transition, immune system response, and stem-like features, but show low expression of luminal and proliferation-associated genes.²⁷

Another group used genomic profiling of 198 TNBCs to identify four TNBC subtypes: LAR, mesenchymal, BL immunosuppressed, and BL immunoactivated.²⁸ If compared with the results reported by Lehmann et al,²⁵ LAR and mesenchymal tumors fall into the LAR and MSL subtypes.

The comprehensive analysis of TNBC can lead to the improved selection of study populations that have the highest probability of responding to specific treatments. For example, TNBC molecular subtypes may respond differently to CT. The clinical validity of the genomic classification was indeed confirmed by a retrospective analysis of the response to neoadjuvant CT. The overall pCR in this study was 28%. In the BL1 subtype, the pCR was the highest (51%),²⁹ in comparison to 0 in the BL2 subtype and 10% in the LAR subtype, clearly showing the need to develop alternative treatments for some subgroups.

In the future, the evaluation of heterogeneity in TNBC and subtyping may lead to different therapeutic strategies. Potential targets and approaches include DNA damage and repair, immunomodulation, hormone receptor modulation, and signaling pathway inhibition.

Prospective trials will help us better understand the role of subtyping in the prediction of not only pCR but also long-term patient outcomes. New therapeutic strategies are needed for subgroups with the poorest therapeutic responses to standard CT.

DNA-damaging chemotherapy and DNA repair targets

BRCA1 mutation and "BRCAness"

Many external or internal agents, such as ultraviolet light, ionizing radiation, CT, and chemical substances or products of normal cellular metabolism, including oxidation and hydrolysis, affect double-stranded DNA. DNA repair mechanisms are important for maintaining the stability and integrity of the genome, and include nucleotide- and base-excision repair, homologous recombination, end joining, mismatch repair, and telomere metabolism.^{30,31} Inherited defects in one of these important genes can lead to cancer, as observed in the *BRCA1/2* syndrome. DNA repair mechanisms are classified as the repair of single- or double-stranded damage. *BRCA1* and *BRCA2* are important proteins in the homologous recombination process when damage leads to breaks in both DNA strands. The proteins have also been implicated in other fundamental cellular processes, such as cell cycle control and transcription.³²

BC in *BRCA1* germ-line mutation carriers most often displays a TN phenotype, as indicated by IHC and genomic studies.³³ Due to the similarity between sporadic TNBC and familial *BRCA1* cancers, the concept of BRCAness has been developed.^{34–36} In sporadic cancers, *BRCA1* is inactivated by an epigenetic mechanism: the aberrant methylation of cytosine residues in CpG dinucleotides.³⁷ Aberrant methylation of the *BRCA1* promoter is found in 11%–14% of sporadic BCs.^{35,38} In contrast, *BRCA2* tumors lack a clear pathological phenotype.

Knowledge of DNA repair mechanism defects leads to some specific treatment approaches in TNBC. These tumors present potentially higher sensitivity to DNAdamaging agents, such as platinum salts. The concept of "synthetic lethality" is also tested in the clinic, with the development of drugs (poly ADP-ribose polymerase [PARP] inhibitors) that target single-stranded DNA repair when homologous recombination is defective in *BRCA*mutant tumors or in BRCAness tumors.³⁹ Several studies have attempted to find a biomarker of homologous recombination deficiency (HRD) with the aim of better predicting responders to PARP inhibitors and DNA-damaging chemotherapies.^{40–42}

Platinum-based chemotherapy Metastatic TNBC

The use of platinum compounds in metastatic BC was evaluated many years ago. Objective responses have been reported in ABC.⁴³ Some retrospective analyses have also suggested the occurrence of increased survival with platinum-based CT in patients with advanced TNBC.^{44,45}

A prospective Phase II study showed activity of platinum agents, including cisplatin (75 $mg/m^2/3$ weeks) and carboplatin (area under the curve 6/3 weeks), in patients with metastatic TNBC, especially in patients with germline *BRCA1/2* (gBRCA1/2) mutations.⁴⁶ A total of 86 patients were enrolled. The overall response rate was 25.6%, but in patients with germ-line *BRCA1/2* mutations, the response rate increased to 54.5%. Interestingly, using a measure of DNA repair function, those authors also identified patients without mutations who had the potential to benefit from platinum therapy. They used two HRD assays to characterize *BRCA*-like genomic instability: the HRD large-scale state transition assay and the HRD loss of heterozygosity assay. They observed that patients who presented higher values in these assays also responded better to platinum-based treatments, even in the absence of germ-line mutations.⁴⁶

A prospective randomized trial comparing docetaxel with carboplatin in patients suffering from TNBC was presented at the 2014 San Antonio Breast Cancer Symposium (SABCS).⁴⁷ Those authors observed similar results for unselected TNBC, but patients with *BRCA1/2* mutations experienced a significantly higher response rate and improved progression-free survival (PFS) when receiving carboplatin in comparison to docetaxel.

Early TNBC

The rate of pCR after anthracycline- and taxane-based neoadjuvant CT is higher in TNBC (±30%) than in luminal BC. In addition, patients presenting pCR after neoadjuvant CT generally have a better prognosis compared to patients who do not present pCR at the time of surgery.^{48,49} Unfortunately, patients suffering from TNBC who present residual disease after neoadjuvant CT have very poor outcomes. New therapies should be evaluated in patients who present residual disease after neoadjuvant CT. Retrospective research has suggested improved outcomes in terms of pCR when cisplatin is added to the neoadjuvant treatment. Byrski et al⁵⁰ published a retrospective analysis of 6,903 patients, including 102 patients with the germ-line BRCA1 mutation. The highest pCR rate was reported in germ-line BRCA1-mutation carriers who received neoadjuvant cisplatin therapy: 24% of the BRCA1-mutation carriers had pCR, but in the subgroup that received cisplatin, a much higher rate of 83% pCR rate was observed.50

Five randomized studies evaluated the addition of carboplatin to standard neoadjuvant therapy^{51–55} (Table 2). Experimental arm 1 from the I-SPY 2 trial showed an increased rate of pCR in patients with TNBC if standard CT (paclitaxel/anthracycline–cyclophosphamide) was

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Phase II trials	n	Standard chemotherapy	Standard chemotherapy + carboplatin	Toxicity
GeparSixto ⁵² TNBC subgroup Weekly paclitaxel and liposomal doxorubicin ×18 weeks + bevacizumab every 3 weeks ×6 cycles ± weekly carboplatin AUC 2×18 weeks	315	pCR: 36.9% (ypT0N0) 3-year EFS 76.1%	pCR: 53.2% (ypT0N0) 3-year EFS 85.8%	Increased with carboplatin (AUC 2) More grade 3/4 anemia More grade 3/4 neutropenia More grade 3/4 thrombopenia More grade 3/4 diarrhea Reduction of carboplatin AUC to 1.5 \rightarrow reduced hematological events (from 82% to 70%) and nonhematological events (78% to 59%)
CALGB 40603, only TNBC ⁵³ Weekly paclitaxel ×12 weeks ± carboplatin AUC 6 every 3 weeks ×4 cycles/dose-dense anthracycline– cyclophosphamide ×4 cycles	443	pCR 41% (ypT0/TisN0) 3-year EFS 71.6%	pCR 54% (ypT0/TisN0) 3-year EFS 76.5%	Increased with carboplatin More grade 3/4 neutropenia More grade 3/4 thrombopenia
GEICAM/2006-03 ⁵⁴ Epirubicin–cyclophosphamide every 3 weeks ×4 cycles/ docetaxel every 3 weeks ×4 cycles ± carboplatin AUC 6 every 3 weeks ×4 cycles	94	pCR 35% (ypT0N0)	pCR 30% (ypT0N0)	No difference in grade 3/4 toxicity
I-SPY arm 1 ⁵⁵ Weekly paclitaxel ×12 weeks/ dose-dense doxorubicin– cyclophosphamide ×4 cycles + veliparib and carboplatin	62	pCR 26% (ypT0N0)	pCR 52% (ypT0N0)	More toxicity with carboplatin/ veliparib ≥ Hematological grade 3 events: 26.4% versus 4.5%
Paclitaxel plus carboplatin versus paclitaxel plus epirubicin as neoadjuvant treatment in locally advanced TNBC ⁵¹ Paclitaxel–carboplatin AUC 5 every 3 weeks ×4–6 cycles or epirubicin– paclitaxel every 3 weeks ×4–6 cycles	91	pCR: 14% (ypT0/TisN0) 4-year RFS 52.8%	pCR: 38.6% (ypT0/TisN0) 4-year RFS 71.1%	No difference in grade 3/4 toxicity

Abbreviations: AUC, area under curve; EFS, event-free survival; is, in situ; pCR, pathologic complete response; RFS, relapse-free survival; TNBC, triple-negative breast cancer.

combined with carboplatin and the PARP inhibitor veliparib. A confirmatory Phase III trial is underway.⁵⁵ The GeparSixto⁵² and CALGB 40603⁵³ trials showed increased rates of pCR with carboplatin, but toxicities were also more frequent and more significant if carboplatin was added. More dose reductions and early study discontinuations occurred due to these toxicities. The incidence of grade 3-4 hematological toxicities almost doubled. Data on late toxicities are missing, because the median follow-up period was only 3 years. Recently, at the 2015 SABCS, improved overall survival for patients receiving carboplatin was reported in the GeparSixto trial.56 A subsequent Phase III trial evaluating two dose-dense regimens is recruiting participants (GeparOcto, NCT02125344).⁵⁷ In contrast, in the CALGB 40603 trial, despite significantly higher pCR rates, neither carboplatin nor bevacizumab showed improved event-free or overall

survival. In addition, not all Phase II trials evaluating the use of carboplatin have shown improvements in pCR.⁵⁴

Currently, platinum compounds are not included in the guidelines for the treatment of early TNBC, but their role should be discussed in some specific cases, such as patients with a higher risk of relapse or requiring rapid disease control. Some recommend the use of carboplatin only for patients with known *BRCA* mutations,⁵⁸ but the available data are conflicting, as illustrated by the GeparSixto trial, which showed better outcomes when using carboplatin in patients with TNBC, independently of germ-line *BRCA* status. Interestingly, the GeparQuinto trial showed a statistically higher pCR rate with a classical sequential anthracycline–taxane regimen and a trend for better disease-free survival (hazard ratio 0.64, *P*=0.06) in the subgroup of patients with *BRCA* mutations (82 of 471 patients).⁵⁹ These results suggest higher chemosensitivity and

Table 3 Trials in progress in the neoadjuvant and adjuvant settings for TNBC

Phase, design	Treatment	Primary outcome
ll Single-arm Open-label	AC/carboplatin + P	pCR rates
II Single-arm Open-label	P + carboplatin/AC + capecitabine	pCR rates
ll Single-arm Open-label	Neoadjuvant olaparib/olaparib + carboplatin (if lack of response to olaparib alone)	Predictive and prognostic value of mutations in cancer-related genes assessed in breast cancer tissue before starting therapy
ll Single-arm Open-label	Cisplatin	pCR rates
ll Single-arm Open-label	Carboplatin or cisplatin + radiotherapy	CRR
ll Single-arm Open-label	Adriamycin + cyclophosphamide/ cisplatin	pCR rates
ll Randomized Open-label	P versus cisplatin	pCR rates
ll Randomized Open-label	P/AC versus P/AC + carboplatin versus P/AC + bevacizumab versus P/AC + bevacizumab and carboplatin	pCR rates
ll Randomized Open-label	AC versus cisplatin	pCR rates
ll Randomized Open-label	Iniparib (different schedules and dosing) + P versus P alone	pCR rates
ll Randomized Open-label	TNBC subtype: carboplatin + P versus epirubicin + P	pCR rates
II Randomized Open-label	P + carboplatin/AC versus P + carboplatin/AC + veliparib	pCR rates
ll Observational	Carboplatin-docetaxel	Predictors of response to docetaxel–carboplatin
II/III Randomized Open-label	Platinum-based chemotherapy, but variable schedule based on HRD status and response	Average neoadjuvant response index
	Phase, design I Single-arm Open-label I Single-arm Open-label I Single-arm Open-label I Single-arm Open-label I Randomized Open-label	Phase, designTreatmentI Single-arm Open-labelAC/carboplatin + PI Single-arm Open-label+ carboplatin/AC + capecitabineII Single-arm Open-labelNeoadjuvant olaparib/olaparib + carboplatin (if lack of response to olaparib alone)II Single-arm Open-labelCisplatinII Single-arm Open-labelCarboplatin or cisplatin + radiotherapyII Single-arm Open-labelAdriamycin + cyclophosphamide/ cisplatinII Single-arm Open-labelP versus cisplatinII Single-arm Open-labelP/AC versus P/AC + carboplatin versus P/AC + bevacizumab versus P/AC + bevacizumab versus P/AC + bevacizumab and carboplatinII Randomized Open-labelAC versus cisplatinII Randomized Open-labelAC versus cisplatinII Randomized Open-labelNiparib (different schedules and dosing) + P versus P aloneII Randomized Open-labelTNBC subtype: carboplatin + P versus epirubicin + P P + carboplatin/AC versus P + carboplatin/AC versusI

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Table 3 (Continued)

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Study, <u>ClinicalTrials.gov</u> identifier	Phase, design	Treatment	Primary outcome
Neoadjuvant carboplatin plus docetaxel or carboplatin plus P followed by AC in stage I–III TNBC NCT02413320	III Randomized Open-label	Carboplatin + docetaxel/AC versus carboplatin + P/AC	pCR rates
Two dose-dense, dose-intensified approaches (ETC and PM[Cb]) for neoadjuvant treatment of patients with high-risk early breast cancer (GeparOcto) NCT02125344	III Randomized Open-label	Carboplatin + Myocet + P versus epirubicin + P + cyclophosphamide	pCR rates
Safety and efficacy of the addition of veliparib plus carboplatin versus the addition of carboplatin to standard neoadjuvant chemotherapy versus standard neoadjuvant chemotherapy in subjects with early stage TNBC NCT02032277	III Randomized Double-blind	Veliparib + carboplatin + P followed by AC versus placebo + carboplatin + P followed by AC versus 2 placebos + P followed by AC	pCR rates
Neoadjuvant or adjuvant study			
Anthracyclines followed by taxane versus anthracyclines followed by taxane plus carboplatin as (neo)adjuvant therapy in patients with TNBC (PEARLY trial) NCT02441933	III Randomized Open-label	AC/taxol versus AC/taxol + carboplatin	5-year EFS
Adjuvant studies, if residual disease after neoadju	vant chemothe	erapy	
PARP inhibition after preoperative chemotherapy in patients with TNBC or ER/PR ⁺ , HER2 ⁻ with known BRCA1/2 mutations NCT01074970	ll Randomized Open-label	Cisplatin versus cisplatin + rucaparib	2-year DFS
Everolimus plus cisplatin in TNBC patients with residual disease after standard chemotherapy (NECTAR trial) NCT01931163	ll Single-arm Open-label	Cisplatin + everolimus	Tumor response
Postoperative trial of platinum-based chemotherapy versus observation in patients with residual TN basal- like breast cancer following neoadjuvant chemotherapy NCT02445391	III Randomized Open-label	Platinum-based chemotherapy (cisplatin or carboplatin) versus observation	IDFS
Carboplatin as adjuvant chemotherapy versus observation in TNBC with pathologic residual cancer after neoadjuvant chemotherapy: POST-neoadjuvant study NCT01752686	III Randomized Open-label	Carboplatin versus observation	DFS
Adjuvant studies			
NRG BR-003: adjuvant therapy comparing AC followed by weekly P with or without carboplatin for node- positive or high-risk node-negative triple-negative invasive breast cancer NCT02488967	ll Randomized Open-label	Sequential treatment AC/P versus AC/P + carboplatin	IDFS
EC followed by docetaxel given every 3 weeks, weekly P or weekly P plus carboplatin in TNBC (TPPC) NCT02455141	ll Randomized Open-label	3 arms: EC/docetaxel versus EC/P versus EC/carboplatin + P	3-year DFS
Efficacy and safety of olaparib versus placebo as adjuvant treatment in patients with germ-line <i>BRCA1/2</i> mutations and high-risk HER2-negative primary breast cancer who have completed definitive local treatment and neoadjuvant or adjuvant chemotherapy (Olympia) NCT02032823	III Randomized Double-blind	Olaparib versus placebo	IDFS

Abbreviations: AC, doxorubicin + cyclophosphamide; CR, complete response; CRR, clinical response rate; DFS, disease-free survival; EC, epirubicin–cyclophosphamide; EFS, event-free survival; ETC, epirubicin–paclitaxel–cyclophosphamide; HRD, homologous recombination deficiency; IDFS, invasive DFS; PARP, poly ADP ribose polymerase; P, paclitaxel; pCR, pathologic complete response; PM(Cb), paclitaxel–Myocet (carboplatin); TNBC, triple-negative breast cancer.

better prognosis in patients with germ-line *BRCA* mutations, even without platinum compounds. Participation in clinical trials is recommended in the neoadjuvant and postneoadjuvant setting in the absence of pCR to better define the optimal standard systemic therapy for TNBC (Table 3).

The potential role of PARP inhibitors in TNBC

Based on the synthetic lethality concept, PARP inhibitors were developed for the treatment of cancers with specific DNA-repair deficits, such as TNBC with *BRCA1/2*

Table 4 Results of trials with PARP inhibitors in triple-negative breast cancer

Study, <u>ClinicalTrials.gov</u> identifier	Phase, design	Drug	Primary objective	Results
Pharmacokinetic and biological evaluation of a small molecule inhibitor of PARP1 (KU-0059436) in patients with advanced tumors ⁶⁰ NCT00516373	l Open-label Single-arm	Olaparib	Safety, tolerability, dose-limiting toxicity, and maximum tolerated dose of olaparib	60 patients 22 germ-line BRCA1/2 Mild toxicity Durable objective response in only germ-line BRCA1/2 breast, ovarian, prostate cancers (63%)
AZD2281 in patients with known BRCA mutation status or recurrent high-grade ovarian cancer or patients with known BRCA mutation status/TNBC ⁶³ NCT00679783	II Open-label Single-arm	Olaparib 400 mg	Objective response rate (ORR) Complete response (CR)	26 patients ORR 0 CR 0
Efficacy and safety of KU-0059436 (olaparib) given orally twice daily in patients with advanced BRCA1- or BRCA2-associated breast cancer ⁶¹ NCT00494234	II Open-label Single-arm	Olaparib 100 mg twice daily, 400 mg twice daily	Confirmed objective tumor response CR Overall response (OR) = CR + PR	27 patients (100 mg) ORR 22% CR 0 27 patients (400 mg) ORR 41% CR 0
Efficacy and safety of olaparib given orally twice daily in patients with advanced cancers who have a confirmed genetic BRCA1 and/or BRCA2 mutation ⁶² NCT01078662	II Open-label Single-arm	Olaparib 400 mg twice daily	Tumor-response rate	62 patients ORR 13% CR 0

Abbreviation: TNBC, triple-negative breast cancer.

mutations and BRCAness TNBC.35 The first drug of this class, olaparib, was approved by the US Food and Drug Administration in December 2014 as a single-agent treatment for patients with deleterious or suspected deleterious germ-line BRCA-mutated advanced ovarian cancer who were treated with three or more prior lines of CT. In BC, several Phase I and II trials have shown antitumor activity in BRCA-mutated patients (Table 4).60-63 In the proof-of-concept Phase II trial,⁶¹ Tutt et al⁶¹ reported on two cohorts of patients with BRCA1/2-mutated ABC. In the first cohort (27 patients), which was assigned 400 mg twice daily, the objective response rate was 41% compared to 22% in the cohort (27 patients) treated with a lower dose (100 mg twice daily). Toxicities were mild, and included nausea and fatigue. Clinical trials are ongoing in patients with high-risk BRCA-mutated primary BC in the neoadjuvant and adjuvant settings.64

Androgen receptor and TNBC

The LAR subtype is characterized by luminal gene expression and is driven by the AR.²⁵ The AR is expressed in normal and malignant breast tissue, and its prevalence is variable according to the subtype of BC. Approximately 10%–15% of TNBCs express the AR.^{65,66} The LAR subtype demonstrates some similarities with the apocrine subtype. Indeed, in this histologic subtype, the gene expression profile is highly correlated with the LAR subtype. These findings indicate that the LAR subtype includes BCs with apocrine histology.^{67,68} The function of the AR is less well understood in BC than in prostate cancer. In a paper by Doane et al,⁶⁹ a cell line that recapitulated the molecular profile of the LAR subtype was identified. This cell line was used in preclinical models, and androgen-dependent growth was demonstrated in an estrogen-independent manner. This growth was inhibited by an AR antagonist (flutamide). This study was the first proof of concept for androgen blockade in the LAR subtype.^{67,69}

At present, results from two Phase II studies have been presented and showed a benefit for androgen blockade in this LAR subtype. The first study was conducted by Gucalp et al.⁷⁰ That was a multicenter Phase II study that investigated the use of bicalutamide at a dose of 150 mg daily in AR-positive, ER- and PgR-negative metastatic BC (26 patients). The majority of patients were HER2-negative. The AR was expressed in 12% of patients with ER/PgR-negative BC. This study showed a clinical benefit rate (CBR; = CR + partial response [PR] + stable disease >6 months) of 19% for bicalutamide. The median PFS was 12 weeks (comparable to single-agent or combination CT in TNBC). The treatment was well tolerated, with the most common side effects including fatigue, hot flashes, limb edema, and transaminase elevations.⁷⁰

The second study evaluated the activity of the nextgeneration antiandrogen enzalutamide in advanced ARpositive TNBC. That study was a multicenter Phase II trial conducted in two stages. In stage 1, 26 patients were evaluated for the primary end point of the CBR at 16 weeks (CBR₁₆; = CR + PR + stable disease at 16 weeks). These patients received enzalutamide at a dose of 160 mg orally daily. The stage 1 result was a CBR₁₆ of 42% (95% confidence interval 24%–62%), including one CR and one PR.⁷¹ For the stage 2 study, 165 patients were screened, and 75 patients had AR IHC ≥10% and more than one postbaseline evaluation. Patients with TNBC had a median of one line of prior therapy. The data were presented at the American Society of Clinical Oncology's 2015 meeting and showed a CBR₁₆ of 35% and a median PFS of 14.7 weeks.⁷² Because of these results, interest in androgen blockade therapy in the LAR subtype is growing. A number of different trials are in the recruitment stage or are waiting for results (Table 5).

Blocking the AR pathway is a promising approach in the treatment of metastatic patients with the LAR subtype of TNBC. As an example, we would like to mention an isolated clinical case in which a heavily pretreated woman with metastatic TNBC and AR expression achieved a complete clinical response after 4 months of treatment with the AR antagonist bicalutamide.⁷³ Although this new targeted therapy is promising, more data are needed before it can

Table 5 First results with antiandrogen therapy in TNBC and studies in progress

Study, ClinicalTrials.gov	Phase.	Drug	Primary outcome	Status	Results
identifier	design	2.48		••••••	
Bicalutamide for the treatment of AR ⁺ , ER ⁻ , PR ⁻ metastatic BC patients ⁷⁰ NCT00468715	ll Open-label Single-arm	Bicalutamide 150 mg once daily	CBR at 6 months (CR, PR, stable disease)	Closed	26 patients CBR 19% Median PFS 12 weeks
Clinical activity and safety of enzalutamide in patients with advanced, AR ⁺ TNBC, stage 1 ⁷¹ NCT01889238	ll Open-label Single-arm	Enzalutamide 160 mg once daily	CBR at 16 weeks (CR, PR, stable disease)	Not recruiting	26 patients CBR ₁₆ 42%
Clinical activity and safety of enzalutamide in patients with advanced, AR ⁺ TNBC, stage 2 ⁷² NCT01889238	ll Open-label Single-arm	Enzalutamide 160 mg once daily	CBR at 16 weeks (CR, PR, stable disease)	Not recruiting	75 patients CBR ₁₆ 35% Median PFS 14.7 weeks
Activity of abiraterone acetate plus prednisone in patients with a molecular apocrine HER2 ⁻ locally advanced or metastatic BC NCT01842321	ll Open-label Single-arm	Abiraterone acetate I 60 mg once daily	CBR at 6 months (CR, PR, stable disease)	Not recruiting	Not available
Orteronel as monotherapy in patients with metastatic BC that expresses the AR NCT01990209	ll Open- label Single-arm	Orteronel 300 mg twice daily	Response rate at 36 months Disease control rate at 36 months	Recruiting	Not available
Bicalutamide as a treatment in AR- positive metastatic triple-negative breast cancer (mTNBC) patients NCT02348281	ll Open-label Single-arm	Bicalutamide 150 mg once daily	CBR	Recruiting	Not available
AR inhibitor bicalutamide in treating patients with TNBC NCT02353988	ll Open-label Single-arm	Bicalutamide 150 mg once daily	CBR	Recruiting	Not available
Efficacy and safety of GTx-024 in patients with AR ⁺ TNBC NCT02368691	ll Open-label Single-arm	GTx-024 18 mg once daily	CBR	Recruiting	Not available
Safety, tolerability, pharmacokinetics, pharmacodynamics, and efficacy of VT- 464 in patients with advanced BC NCT02580448	I/II Open-label Single-arm	VT-464 once daily	Phase I: maximum tolerated dose Phase II: CBR ₁₆ and CBR ₂₄ for patients with AR ⁺ TNBC	Recruiting	Not available

Abbreviations: BC, breast cancer; CBR, clinical benefit rate; CBR₁₆, clinical benefit rate at 16; CBR₂₄, clinical benefit rate at 24; CR, complete response; mTNBC, metastatic triple-negative breast cancer; PFS, progression-free survival; PR, partial response; TNBC, triple-negative breast cancer; AR, androgen receptor; ER, estrogen receptor; HER2, human epidermal growth factor receptor 2; PR-, progesterone receptor negative.

be considered as a new validated treatment option. Future challenges related to the LAR subtype include understanding the role of the AR in tumorigenesis, understanding the escape mechanisms in AR-directed therapy, and discovering predictive biomarkers.⁷⁴

Immune subtype and role of immunotherapy

BC was not previously considered to be an "immunogenic" malignancy. Nevertheless, accumulating evidence indicates the prognostic and predictive values of tumor-infiltrating lymphocytes (TILs) in BC.^{75,76} The degree of immune infiltration differs among BC subtypes. TIL levels are significantly higher in TNBC and HER2-positive BC.⁷⁷⁻⁷⁹ Hormone receptor-positive disease is the subtype associated with the least robust number of TILs. Recently, several studies confirmed the prognostic value of TILs in TNBC. The lymphocyte-predominant BC subtype, which contains high levels of TILs (>50%), is associated with improved disease-free and overall survival and pCR in the neoadjuvant setting.⁸⁰⁻⁸²

These findings suggest that immunomodulation could represent a new approach in the treatment of these aggressive BC subtypes.⁷⁹ Our current understanding suggests that the immunogenic potential of TNBC is derived at least in part from its genetic instability and high mutation rate. Tumors from patients with TNBC are more likely than tumors from patients with other subtypes to exhibit chromosomal instability and potential mutations.⁸³

TNBC is the subtype that is most frequently associated with TILs, but only a minority of TNBCs demonstrate a high number of TILs, suggesting that IM therapy could be necessary to promote immunorecognition and increase the adaptive immune infiltrate to levels adequate for a survival benefit in the majority of patients with this BC subtype. Patients with high levels of TILs at the time of diagnosis might benefit from the use of drugs that can enhance antitumoral immune responses.

Monoclonal antibodies have been developed to block specific immune-checkpoint proteins. Some of these antibodies have already been approved by the Food and Drug Administration for the treatment of metastatic melanoma. Three categories of these antibodies exist: antibodies that block CTLA4, PD1, or PDL1.

CTLA4 was the first immune checkpoint receptor to be targeted clinically. Two antibodies are known: ipilimumab and tremelimumab. Normally, after T-cell activation, CTLA4 is upregulated on the plasma membrane, where its function is to downregulate T-cell function through a variety of mechanisms, including preventing costimulation via CD28 and its ligand – B7. CTLA4 plays an essential role in maintaining normal immunologic homeostasis. Ipilimumab blocks CTLA4, and does not allow the T cell to interact with the receptor via CD28 on its cell surface.⁸⁴

PD1 is also a negative regulator of T-cell activity that limits the activity of T cells at a variety of stages of the immune response when it interacts with its two ligands: PDL1 and PDL2. Unlike CTLA4, which is primarily believed to regulate immune responses early in T-cell activation, PD1 is primarily believed to inhibit effector T-cell activity in the effector phase within tissues and tumors.

Targeting PDL1 is a similarly promising approach to targeting PD1. However, targeting PDL1 may result in different biologic effects than targeting PD1. In addition to binding PD1, PDL1 is also believed to exert negative signals on T cells by interacting with B7. PDL1-blocking antibodies prevent this interaction, but PD1-blocking antibodies do not. Another slight difference is that PDL1 antibodies do not prevent PD1 from interacting with PDL2, although the effect of this interaction remains unknown. Nivolumab and pembrolizumab are antibodies that block PD1 on the surface of T cells and prevent those T cells from interacting with PDL1. Monoclonal antibodies that block PDL1 are being evaluated in clinical trials.^{84,85}

Investigations evaluating the presence of PD1 on TILs and PDL1 on tumor cells in BC found that immune checkpoint proteins are upregulated in many BCs, particularly the TN subtype. The reported incidence of expression is highly variable. TILs expressing PD1 appear to be found more frequently in the TN subtype than in the other subtypes. In addition, the same pattern appears to occur for PDL1. These data support the study of immune checkpoint inhibitors in TNBC.^{86,87}

Clinical trials of immunotherapy in TNBC

One of the first completed clinical trials of a PD1 monoclonal antibody (pembrolizumab) in TNBC was reported at the 2014 SABCS by Nanda et al.⁸⁸ That was a Phase IB study that enrolled 32 patients with TNBC who had recurrent or metastatic disease (47% of whom had had more than three lines of previous CT). The participants were all PDL1-positive. Pembrolizumab was administered intravenously at a dose of 10 mg/kg every 2 weeks, and treatment could continue indefinitely as long as the patients were stable and their disease was not clearly progressing, as assessed by Response Evaluation

Table 6 Immunotherapy trials in breast cancer

Triple-negative	BC

Study, Lunical Links, 201 objective Prace design Drug Primary outcome MEDIF736 (ant-PDL1 antbody) Phase III Anti-PDL1 (MEDIF736) conconitant: the weekly Nab- conconitant with weekly Nab- pacitized and dose-dense doxorub(in- ocycloptosphamide doworub(cin- collocit asge I-III TNBC CR NCT02489448 Triple-negative first-line study; Phase II Anti-PDL1 (MEDIF320A) in consistent with Nab-pacitized pCR NCT02489448 Triple-negative first-line study; Phase II Anti-PDL1 (MK-3475, combination with Nab-pacitized pCR Stefry and dinical activity of penthrolitumab (MK-3475) in modu/ount resume for TNBC Phase II Anti-PD1 (MK-3475, combination with cherocherapy te combination with cherocherapy te physiciant's tokice for metastic TNBC Phase II Anti-PD1 (MK-3475, penthrolizumab) (MK-3475) PFS Stefry and diffication treatment for TNBC Stefry and diffication treatment for TNBC patients: TONIC trial Nationable matcherapy domoxing the patients, cyclophosphamide) or noninduction treatment PFS NCT02449703 Open-label Anti-PD1 (InVOL330A, compared with placebo with N		Diana da da d		Diterry of the sec
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with locally advanced or metastatic Single-arm	MPDL3280A treatment in patients	Phase II	Anti-PDLI (MPDL380A)	ORR and efficacy
non-small call lung bladden and TNPC Open label	with locally advanced or metastatic	Single-arm		
Constrain centulog, bladder, and TNBC Open-label	non-small cell lung, bladder, and TNBC	Open-label		
atter investigational imaging	after investigational imaging			

Table 6 (Continued)

Study, ClinicalTrials.gov identifier	Phase, design	Drug	Primary outcome
Safety and pharmacokinetics	Phase I	Anti-PDLI (MPDL3280A)	Incidence and nature of DLT
of MPDL3280A administered	Single-arm		
intravenously as a single agent to	Open-label		
patients with locally advanced or			
metastatic solid tumors or hematologic			
malignancies			
NCT01375842			
Neoadjuvant study with the PDLI-	Phase III	Carboplatin, Nab-paclitaxel,	Event-free survival
directed antibody in locally advanced	Randomized	atezolizumab (MPDL3280A,	
TNBC undergoing treatment with	Open-label	anti-PDLI), anthracycline versus	
Nab-paclitaxel and carboplatin		carboplatin, Nab-paclitaxel, and	
NCT02620280		anthracycline	

Abbreviations: DLT, dose-limiting toxicity; Nab, nanoparticle albumin-bound; ORR, objective response rate; OS, overall survival; pCR, pathologic complete response; PFS, progression-free survival; SUV, standardized uptake value; PK, pharmacokinetic; TNBC, triple-negative breast cancer; CTLA4, cytotoxic T-lymphocyte-associated protein 4; PDI, programmed cell death 1; PDLI, programmed death-ligand 1.

Criteria in Solid Tumors version 1.1 every 8 weeks. Treatment with PD1 blockade was tolerable, with 56% of patients reporting an adverse event, but only 16% reporting grade 3–5 toxicity. Toxicity was essentially low-grade joint and muscle pain, fatigue, and nausea. One treatment-related death was caused by disseminated intravascular coagulation. Overall, 18.5% of 27 evaluable patients responded to pembrolizumab, with one (4%) CR, four (15%) PRs, and seven patients (26%) with stable disease. The median time to response was 18 weeks. The median PFS was just under 2 months. Three patients remained on pembrolizumab for at least 11 months.⁸⁸

At the same meeting, initial data from a Phase I study of an anti-PDL1 monoclonal antibody (MPDL3280A, atezolizumab) in metastatic TNBC were also reported. Emens et al⁸⁹ showed results from 12 patients with PDL1-positive disease. Grade 3-4 toxicities occurred in 8% of patients. Although immune-related adverse events have been reported with the use of immune checkpoint inhibitor agents, only one patient in this study demonstrated grade 2 pyrexia that was potentially attributable to immune activation. In general, immune-related adverse events occurred in a minority of patients. There were no toxicity-related deaths. Although over 90% of patients had been previously treated with more than two prior regimens and one-third of those enrolled had visceral metastases, the overall response rate was 33% in the nine patients who were evaluable for efficacy (one CR and two PRs). All responses were seen within the first 6 weeks of treatment.89

Recently, data presented at SABCS 2015 encouraged the evaluation of another anti-PDL1 agent (avelumab). The trial showed promising results in a subgroup of TNBC. For those patients with TNBC and PDL1 expression on immune cells, the clinical response with avelumab was 44.4% versus 2.6% in the absence of expression.⁹⁰

All of these preliminary results are promising for the use of immuno-oncology agents in TNBC. The future challenge will be the identification of tumoral immune microenvironments that improve prognosis in BC. In this way, we hope to promote efficacious antitumoral immunity for all BCs. For example, in TNBC, controlling tumor growth with conventional chemotherapies in combination with immune checkpoint inhibitors could increase response rates.^{82,91} For patients with limited T-cell infiltration, vaccine priming before or concurrent with immune checkpoint inhibitors may also result in additional clinical benefits. Many studies of further immunotherapy in BC are ongoing or planned. We hope that the best is still to come with respect to this therapy in the field of TNBC (Table 6).⁹²

Growth factor overexpression in TNBC

Different growth factors are overexpressed in TNBC, such as vascular endothelial growth factor (VEGF) and EGFR. Targeting these pathways showed only limited activity in unselected TNBC. For the VEGF pathway, different trials in patients with ABC and in the adjuvant setting did not show any benefit in overall survival, even in trials dedicated to TNBC, such as the BEATRICE trial.93 Similarly, for the EGFR pathway, the results have been disappointing. EGFR is overexpressed in more than 50% of TNBCs, but the rate of mutation is low (10%) and is found only in Asian populations.94 Trials with anti-EGFR therapies have suggested that EGFR overexpression is not correlated with the activity of anti-EGFR agents in TNBC.95,96 FGFRs may be a better target. FGFRs are expressed on many different cells, and regulate cell growth, survival, migration, and differentiation. In many cancer types, FGF signaling is implicated in oncogenic behavior. Targeting this pathway is a current area of drug development,

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Conclusion and perspectives

Progress in the treatment of TNBC remains an important challenge. In clinical practice, we still use standard CT (anthracyclines and taxanes). Some data in favor of the use of platinum-based CT in TNBC are now available, particularly in *BRCA*-mutation carriers. Clinical research is focused on two main axes in the neoadjuvant setting: how to increase pCR and how to improve outcomes in patients with residual disease. New targeted treatments and immunotherapeutic drugs are under development. The challenge is to drive studies on more selected patient populations due to the importance of heterogeneity in TNBC. The most promising new approaches include immunotherapy with checkpoint inhibitors, PARP inhibitors, and AR inhibitors. Furthermore, active research to discover additional specific targets in TNBC is ongoing.¹⁰¹

Disclosure

GJ has received honoraria from Amgen, Pfizer, Novartis, Roche, and Celgene, and research grants from Novartis, Roche, and MSD. The other authors report no conflicts of interest in this work.

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