

**A NOTE ON STRICTLY CYCLIC SHIFTS ON  $\ell_p$** **GERD H. FRICKE**Department of Mathematics  
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(Received June 28, 1977)

**ABSTRACT.** In this paper the author shows that a well known sufficient condition for strict cyclicity of a weighted shift on  $\ell_p$  is not a necessary condition for any  $p$  with  $1 < p < \infty$ .

**1. INTRODUCTION.**

For  $1 \leq p < \infty$  let  $\ell_p$  be the Banach space of absolutely  $p$ -summable sequences of complex numbers. Let  $S_\alpha$  denote the weighted shift on  $\ell_p$  with weight sequence  $\alpha = \{\alpha_n\}_1^\infty$  defined by  $S_\alpha \left[ \sum_{n=0}^\infty x_n e_n \right] = \sum_{n=1}^\infty \alpha_n x_{n-1} e_n$ . Let  $\beta_0 = 1$  and  $\beta_n = \alpha_1 \alpha_2 \dots \alpha_n$  for all  $n \geq 1$ . (For more detail we refer the reader to [2] and [3]).

Mary Embry [1] showed that for  $p = 1$  the weighted shift  $S_\alpha$  is strictly cyclic if and only if

$$\sup_{n,m} \left| \frac{\beta_{n+m}}{\beta_n \beta_m} \right| < \infty. \quad (1.1)$$

Edward Kerlin and Alan Lambert [2] considered the natural extension to (1.1) for the case  $1 < p < \infty$  with  $\frac{1}{p} + \frac{1}{q} = 1$ ,

$$\sup_n \sum_{m=0}^n \left| \frac{\beta_n}{\beta_m \beta_{n-m}} \right|^q < \infty \tag{1.2}$$

and showed (1.2) implies  $S_\alpha$  is strictly cyclic on  $\ell_p$ . They also proved that (1.2) is necessary if the weight sequence  $\alpha$  is eventually decreasing.

This strongly suggested that (1.2) is a necessary and sufficient condition for strict cyclicity. However, we will show in this paper that (1.2) is not a necessary condition for  $S_\alpha$  to be strictly cyclic for any  $p$  with  $1 < p < \infty$ .

2. PROOF.

To preserve the clarity of the proof we will consider the cases  $1 < p \leq 2$  and  $2 < p < \infty$  separately.

(a) Let  $1 < p \leq 2$  and let  $q$  be such that  $\frac{1}{p} + \frac{1}{q} = 1$ . Let  $\{n_k\}_1^\infty$  be a sequence of rapidly increasing positive integers, e.g., choose  $n_1 = 10$  and  $n_k = (10n_{k-1})^{10q n_{k-1}}$  for  $k > 1$ .

We now define the weight sequence  $\alpha = \{\alpha_i\}_1^\infty$  by  $\alpha_1 = \alpha_2 = \dots = \alpha_{n_1} = 1$  and for  $k > 1$

$$\alpha_i = \begin{cases} n_k^{-1} & \text{if } n_{k-1} < i \leq n_k - n_{k-1} \\ n_{k-1}^{-1} & \text{if } n_k - n_{k-1} < i \leq n_k. \end{cases}$$

Clearly,  $\alpha_{n_{k-1}+1} = \alpha_{n_{k-1}+2} = \dots = \alpha_{n_k - n_{k-1}}$  and  $\alpha_{n_k - \ell + 1} \leq \alpha_\ell$  for  $1 \leq \ell \leq \frac{n_k}{2}$ .

Thus, for  $0 < m < n_k$

$$\frac{\beta_{n_k}}{\beta_m \beta_{n_k - m}} = \frac{\alpha_{n_k - m + 1} \alpha_{n_k - m + 2} \dots \alpha_{n_k}}{\alpha_1 \alpha_2 \dots \alpha_m} \geq \frac{\alpha_{n_k - n_{k-1} + 1} \dots \alpha_{n_k}}{\alpha_1 \alpha_2 \dots \alpha_{n_{k-1}}} \geq (\alpha_{n_k})^{n_{k-1}}.$$

Therefore,

$$\sum_{m=1}^{n_k-1} \left| \frac{\beta_{n_k}}{\beta_m \beta_{n_k-m}} \right|^q \geq n_k (\alpha_{n_k})^{qn_k-1} = n_k n_{k-1}^{-qn_k+1} \rightarrow \infty \text{ as } k \rightarrow \infty.$$

Hence,

$$\sup_n \sum_{m=0}^n \left| \frac{\beta_n}{\beta_m \beta_{n-m}} \right|^q = \infty.$$

We now show that  $S_\alpha$  is strictly cyclic. It is known that  $S_\alpha[2]$  is strictly cyclic if and only if

$$\sum_{n=0}^{\infty} \left| \sum_{m=0}^n \frac{\beta_n}{\beta_m \beta_{n-m}} x_m y_{n-m} \right|^p < \infty \text{ for all } x, y \in \ell_p. \tag{1.3}$$

Obviously, for  $0 < m < n$

$$\frac{\beta_n}{\beta_m \beta_{n-m}} = \frac{\alpha_{n-m+1} \cdots \alpha_n}{\alpha_1 \alpha_2 \cdots \alpha_m} \leq \alpha_n$$

and  $\frac{\beta_n}{\beta_m \beta_{n-m}} = 1$  for  $m = 0$  or  $m = n$ .

Thus,

$$\begin{aligned} \sum_{n=0}^{\infty} \left| \sum_{m=0}^n \frac{\beta_n}{\beta_m \beta_{n-m}} x_m y_{n-m} \right|^p &\leq \sum_{n=0}^{\infty} \left\{ |x_0 y_n| + |y_0 x_n| + \sum_{m=1}^{n-1} \alpha_n |x_m y_{n-m}| \right\}^p \\ &\leq \sum_{n=0}^{\infty} \left\{ |x_0 y_n| + |y_0 x_n| + \alpha_n \|x\|_2 \|y\|_2 \right\}^p < \infty \\ &\text{since } \alpha, x, y \in \ell_p. \end{aligned}$$

Hence (1.3) holds and  $S_\alpha$  is strictly cyclic.

(b) Let  $2 < p < \infty$  and let  $\frac{1}{p} + \frac{1}{q} = 1$ . Let  $\{n_k\}_1^\infty$  be a sequence of rapidly increasing integers, e.g., choose  $n_1 = 10$  and  $n_k$  such that

$$\sum_{n=1}^{n_k} \frac{1}{n} \geq (10n_{k-1})^{10n_{k-1}} \text{ for } k > 1.$$

Define  $\{d_i\}_1^\infty$  such that  $\prod_{i=1}^n d_i = n^{-\frac{1}{q}}$  for  $n = 1, 2, \dots$  and define  $\{s_k\}_1^\infty$  by  $s_1 = 10$  and  $s_k = 2s_{k-1} + 2n_k$  for  $k > 1$ .

We now define the weight sequence  $\alpha = \{\alpha_i\}_1^\infty$  by  $\alpha_1 = \dots = \alpha_{s_1} = 1$  and for  $k > 1$ ,

$$\alpha_i = \begin{cases} n_k^{-2} & \text{if } s_{k-1} < i \leq s_{k-1} + n_k \\ n_k^{-2} d_{s_k - s_{k-1} - i + 1} & \text{if } s_{k-1} + n_k < i \leq s_k - s_{k-1} \\ n_{k-1}^{-2} & \text{if } s_k - s_{k-1} < i \leq s_k. \end{cases}$$

Now, for  $s_{k-1} < m < \frac{s_k}{2}$ ,

$$\begin{aligned} \frac{\beta_{s_k}}{\beta_m \beta_{s_k - m}} &= \frac{\alpha_{s_k - m + 1} \dots \alpha_{s_k}}{\alpha_1 \alpha_2 \dots \alpha_m} \geq \frac{\alpha_{s_k - m + 1} \dots \alpha_{s_k - s_{k-1}}}{\alpha_{s_{k-1} + 1} \dots \alpha_m} n_{k-1}^{-2s_{k-1}} \geq n_{k-1}^{-2s_{k-1}} \prod_{i=1}^{m - s_{k-1}} d_i \\ &= n_{k-1}^{-2s_{k-1}(m - s_{k-1})}^{-\frac{1}{q}}. \end{aligned}$$

Thus,

$$\sum_{m=0}^{s_k} \left| \frac{\beta_{s_k}}{\beta_m \beta_{s_k - m}} \right|^q \geq n_{k-1}^{-2qs_{k-1}} \sum_{i=1}^{n_k} i^{-1} \geq n_{k-1}^{-10n_{k-1}} \sum_{i=1}^{n_k} i^{-1} \rightarrow \infty \text{ as } k \rightarrow \infty.$$

Hence,  $\sup_n \sum_{m=0}^n \left| \frac{\beta_n}{\beta_m \beta_{n-m}} \right|^q = \infty$ .

We now show that

$$\sum_{n=0}^\infty \left| \sum_{m=0}^n \frac{\beta_n}{\beta_m \beta_{n-m}} x_m y_{n-m} \right|^p < \infty \text{ for all } x, y \in \ell_p.$$

If  $s_{k-1} < n < s_k - s_{k-1}$  and  $0 < m < n$  then,  $\frac{\beta_n}{\beta_m \beta_{n-m}} \leq n_k^{-2}$ .

Let  $h = \min\{m, n-m\}$  then, for  $s_k - s_{k-1} \leq n \leq s_k$  and  $0 < m < n$ ,

$$\frac{\beta_n}{\beta_m \beta_{n-m}} \leq n_{k-1}^{-2} h^{-\frac{1}{q}}.$$

Thus,

$$\sum_{k=2}^{\infty} \sum_{n=s_{k-1}+1}^{s_k - s_{k-1} - 1} \left| \sum_{m=1}^{n-1} \frac{\beta_n}{\beta_m \beta_{n-m}} x_m y_{n-m} \right|^p \leq \sum_{k=2}^{\infty} \sum_{n=s_{k-1}+1}^{s_k - s_{k-1} - 1} \left( n_k^{-2} \sum_{m=1}^{n-1} |x_m y_{n-m}| \right)^p < \infty. \tag{1.4}$$

Let  $\delta = \frac{p}{p-2}$  then  $\delta > q$  and  $\frac{1}{\delta} + \frac{2}{p} = 1$ . Let  $M = \left( \sum_{m=1}^{\infty} 2m^{-\frac{\delta}{q}} \right)^{\frac{1}{\delta}} \left( \|x\|_p^p + \|y\|_p^p \right)^{\frac{2}{p}} < \infty$ .

Then,

$$\begin{aligned} & \sum_{k=2}^{\infty} \sum_{n=s_k - s_{k-1}}^{s_k} \left| \sum_{m=1}^{n-1} \frac{\beta_n}{\beta_m \beta_{n-m}} x_m y_{n-m} \right|^p \\ & \leq \sum_{k=2}^{\infty} \sum_{n=s_k - s_{k-1}}^{s_k} \left( \sum_{m=1}^{n-1} n_{k-1}^{-2} h^{-\frac{1}{q}} |x_m y_{n-m}| \right)^p, \text{ where } h = \min\{m, n-m\} \\ & \leq \sum_{k=2}^{\infty} \sum_{n=s_k - s_{k-1}}^{s_k} n_{k-1}^{-2p} M^p < \infty. \end{aligned}$$

Combining (1.4) and (1.5) we obtain that (1.3) is satisfied. QED

References

1. Embry, Mary. Strictly Cyclic Operator Algebras on a Banach Space, to appear in Pac. J. Math.
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KEY WORDS AND PHRASES. *Strictly cyclic shifts, absolutely  $p$ -summable sequence,  $\ell_p$ -space, cyclic operator algebras.*

AMS(MOS) SUBJECT CLASSIFICATION (1970) CODES. 40H05.



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