

# Predictability and prediction skill of the boreal summer intraseasonal oscillation in the Intraseasonal Variability Hindcast Experiment

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Abstract Boreal summer intraseasonal oscillation (BSISO) is one of the dominant modes of intraseasonal variability of the tropical climate system, which has fundamental impacts on regional summer monsoons, tropical storms, and extra-tropical climate variations. Due to its distinctive characteristics, a specific metric for characterizing observed BSISO evolution and assessing numerical models' simulations has previously been proposed (Lee et al. in Clim Dyn 40:493–509, 2013). However, the current dynamical model's prediction skill and predictability have not been investigated in a multi-model framework. Using six coupled models in the Intraseasonal Variability Hindcast Experiment project, the predictability estimates and prediction skill of BSISO are examined. The BSISO predictability is estimated by the forecast lead day when mean forecast error becomes as large as the mean signal under the perfect model assumption. Applying the signal-to-error

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ratio method and using ensemble-mean approach, we found that the multi-model mean BSISO predictability estimate and prediction skill with strong initial amplitude (about 10 % higher than the mean initial amplitude) are about 45 and 22 days, respectively, which are comparable with the corresponding counterparts for Madden-Julian Oscillation during boreal winter (Neena et al. in J Clim 27:4531-4543, 2014a). The significantly lower BSISO prediction skill compared with its predictability indicates considerable room for improvement of the dynamical BSISO prediction. The estimated predictability limit is independent on its initial amplitude, but the models' prediction skills for strong initial amplitude is 6 days higher than the corresponding skill with the weak initial condition (about 15 % less than mean initial amplitude), suggesting the importance of using accurate initial conditions. The BSISO predictability and prediction skill are phase and season-dependent, but the degree of dependency varies with the models. It is important to note that the estimation of prediction skill depends on the methods that generate initial ensembles. Our analysis indicates that a better dispersion of ensemble members can considerably improve the ensemble mean prediction skills.

**Keywords** Boreal summer intraseasnal oscillation · Predictability · Prediction skill · Intraseasonal Variability Hindcast Experiment (ISVHE)

## 1 Introduction

One of the fundamental features of tropical intraseasonal oscillations (ISOs) is the pronounced seasonal variations in their intensity (Madden 1986), movement (Wang and Rui 1990), and periodicity (Hartmann et al. 1992). The boreal

summer ISO (BSISO) exhibits several fundamental characteristics that distinguish it from the eastward propagating Madden-Julian Oscillation (MJO) prevailing during boreal winter (Waliser 2006: Goswami 2011): It exhibits prominent northward propagation in the monsoon regions (Yasunari 1979; Krishnamurti and Subrahmanyam 1982; Chen and Murakami 1988) and a significant standing oscillation component between the equatorial Indian Ocean and the tropical western North Pacific (Zhu and Wang 1993). It also features a northwest-southeastward tilted rainband (Ferranti et al. 1997; Wang and Xie 1997) and off-equatorial centers of activity (Kemball-Cook and Wang 2001). The BSISO has complex structures in time and space owing to its interaction with the mean monsoon circulation, the mean state moist static energy distribution (Wang and Xie 1997) and its interaction with warm pool mixed layer (Flatau et al. 1997; Wang and Xie 1998; Wang and Zhang 2002; Fu and Wang 2004; Seo et al. 2007; Krishnamurthy and Shukla 2008; Yun et al. 2008; Lin et al. 2011; Liu and Wang 2013).

While it is known that the BSISO exerts tremendous impacts on the Northern Hemisphere summer monsoons by modulating its onset and active/break cycle (Krishnamurti and Subrahmanyam 1982; Kang et al. 1999; Ding and Wang 2009) and the midlatitude weather and climate through teleconnections (Ding and Wang 2007; Moon et al. 2011, 2013), simulating the BSISO is still a challenge for the current general circulation models (GCMs) (Waliser et al. 2003a; Kim and Kang 2008; Sabeerali et al. 2013). In addition, studies of the BSISO predictability and prediction skill have received much less attention than MJO (Waliser 2011). Recently, Fu et al. (2013) investigated the BSISO forecast skill using four state-of-the-art operational and research models. Based on the spatial anomaly correlation coefficient, they showed that the intraseasonal forecast skill of the monsoon rainfall and zonal wind is about 1-2 and 3 weeks, respectively, but the target year is confined to 2008 summer only. For the BSISO predictability, it is found that the mean predictability of the monsoon ISO-related rainfall over the Asian-western Pacific region (10°S-30°N, 60°-160°E) reaches about 24 days in the coupled model and this is 1 week higher than in the atmosphere-only model (Fu et al. 2007).

To evaluate the predictability and prediction skill of the intraseasonal variability in a multi-model framework, the Intraseasonal Variability Hindcast Experiment (ISVHE) was launched in 2009. Using this ISVHE hindcast data, Neena et al. (2014a) examined the predictability of MJO in eight coupled climate models based on the real-time multivariate MJO (RMM) index, which is the most popular MJO index (Wheeler and Hendon 2004). They found that, in most models, the MJO predictability estimated by the signal-to-noise ratio using the single-member

and ensemble-mean hindcasts was around 20–30 and 35–45 days, respectively. Furthermore, the prediction skill and predictability of the eastern Pacific ISV during boreal summer is also evaluated using the ISVHE data (Neena et al. 2014b). However, the current models' prediction skills and predictability of BSISO in multi-model framework have not been examined. Therefore, the main purpose of the present study is to estimate the predictability and evaluate the current prediction capability of BSISO using the ISVHE hindcast data. This is the first study to assess the BSISO predictability and prediction skill in a multi-model framework. Based on this study, we can establish a foothold to develop the optimal strategies for multi-model ensemble (MME) BSISO prediction system.

The description of the hindcast data is presented in Sect. 2. Section 3 describes the procedure for calculating BSISO index in both observation and hindcast. Methods for estimating predictability and prediction skill are also given. The predictability and prediction skill in the ISVHE hindcast are compared in Sect. 4, focusing on their sensitivity on the initial amplitude, initial phase, and initial month. In Sect. 5, the relationship between dispersion of the ensemble members and prediction skill improvement is analyzed. Summary and discussion follow in Sect. 6.

### 2 ISVHE hindcast data

The ISVHE (https://yotc.ucar.edu/modeling/isvhe-intraseasonal-variability-hindcast-experiment) is a coordinated multi-institutional experiment supported by Asian Pacific Climate Center (APCC), National Oceanic and Atmospheric Administration (NOAA) Climate Test Bed (CTB), Climate Variability and Predictability (CLIVAR)/Asian-Australian Monsoon Panel (AAMP), Year of Tropical Convection (YOTC; Moncrieff et al. 2012; Waliser et al. 2012) and its MJO Task Force, and Asian Monsoon Years (AMY). The aim of ISVHE is to better understand the physical basis for ISV prediction and determine the predictability of ISV in a multi-model framework. The ISVHE includes two sets of experiments, a long-term free run (AMIP-type run) and the hindcast experiment. This project is the first attempt to produce long-term hindcast datasets from multiple GCMs.

There is no requirement for a standard initialization procedure for atmosphere, land, and ocean. Most participating climate models fulfill the minimum specifications including (a) predictions initiated every 10th day on 1st, 11st, and 21st of each calendar month throughout the entire 20-year period; (b) a minimum integration length of 45 days; (c) at least 5 ensemble members for each forecast. In the present study, we have analyzed the hindcast data for summer (from May to October) made by six coupled models and details of hindcast data is presented in Table 1.

Table 1         Details of hindcast data of the         Image: Comparison of the second	e six models				
Model	Hindcast period	Number of ensemble members	Initializations	Ensemble generation method	References
ABOMI			· · · · · · · · · · · · · · · · · · ·		
POAMA 1.5 (ACOM2 + BAM3) ABOM2	1980–2006	10	The 1st day of every month	6-h lagged atmospheric initial conditions	Colman et al. (2005)
POAMA 2.4 (ACOM2 + BAM3)	1989–2008	10	The 1st day and 11th of every month	Perturbations using coupled bred vectors	Cottrill et al. (2013)
ECMWF					
ECMWF (IFS + HOPE)	1989–2008	5	The 1st day of every month	Perturbations using coupled bred vectors	Alves et al. (2004), Vialard et al. (2005)
MAC					
JMA CGCM	1989–2008	5	Every 15th day	Perturbations using singular vectors	Ishikawa et al. (2005), JMA (2007)
CMCC					
CMCC (ECHAM5 + OPA8.2) CFS2	1989–2007	5	Every 10th day	1-day lagged initial conditions	Alessandri et al. (2015)
NCEP/CPC CFS v2	1999–2010	4	The 1st, 11th and 21st day of every month	6-h lagged initial conditions	Saha et al. (2014)

#### 3 Methodology

## 3.1 Calculation of BSISO index in observation and hindcast

To better capture the observed northward propagating ISO over the Asian summer monsoon (ASM) region, Lee et al. (2013) proposed two BSISO indices based on multivariate empirical orthogonal function (MV-EOF) analysis (Wang 1992) of daily anomalies of outgoing longwave radiation (OLR) and 850-hPa zonal wind (U850) over [10°S–40°N, 40°–160°E]. The BSISO1, consisting of EOF1 and EOF2 modes, represents the canonical northward propagating ISO during the entire warm season (from May to October) with quasi-oscillating periods of 30–60 days. The BSISO2, consisting of EOF3 and EOF4 modes, captures the northward/northwestward propagating variability with periods of 10–30 days during primarily the pre-monsoon and monsoon-onset season.

In the present study, we have followed the same procedure as Lee et al. (2013) to obtain the observed BSISO indices and we focused on only BSISO1 (PC1 and PC2) (hereafter 'BSISO index'). For the observed BSISO index, the OLR is obtained from NOAA polar-orbiting series of satellites with 2.5° horizontal resolution (Liebmann and Smith 1996) and U850 is from the National Centers for Environmental Prediction (NCEP)/Department of Energy (DOE) Reanalysis II (Kanamitsu et al. Kanamitsu et al. 2002). The OLR and U850 anomalies were obtained by removing the slow annual cycle (the annual mean and the first three harmonics of climatological annual variation) and the interannual variability (running mean of the preceding 120 days) (Lee et al. 2013). The climatology and normalization factor were computed for the period from May 1st to October 31st during 30 years (1981–2010).

For the hindcast BSISO index in individual models, the model climatology which is a function of initial condition (starting date of hindcast) and lead day (Zhu et al. 2014) was first removed from the OLR and U850 hindcast fields. The effect of interannual variability was further removed by subtracting the running mean of the last 120 days. In this process, we used observed anomalies before the start day of hindcast to make enough data for the running mean (Lin et al. 2008; Neena et al. 2014a). Two hindcast anomaly fields were normalized by area-averaged temporal standard deviation over the ASM region obtained from the observed OLR and U850. Finally, the hindcast BSISO index was obtained by projecting the two combined anomaly fields onto the observed BSISO EOF modes. The observed and hindcast BSISO indices were normalized by standard deviation of observed BSISO index.

#### 3.2 Estimation of predictability and prediction skill

The hindcast can be evaluated from the probabilistic and/or deterministic perspective. A probabilistic skill estimates the probability of occurrence of a chosen event and one of the widely used methods is Brier skill score. The deterministic skill measures the actual value of the quantity of interest and includes the anomaly correlation coefficients in time/ space and mean square error. In the present study, to estimate the predictability and prediction skill, we applied the signal-to-noise (signal-to-error) ratio method that has been used in several other studies (Waliser et al. 2003a, b; Liess et al. 2005; Fu et al. 2007, 2008; Pegion and Kirtman 2008; Neena et al. 2014a, b). Specifically the 'single-member method' and 'ensemble-mean method' (Neena et al. 2014a) were applied, and thus we can compare the predictability and prediction skill of BSISO with those of MJO in the same metric.

Under the perfect model assumption, it is considered that the forecast is composed of a 'control' and a 'perturbed' initial conditions. For the single-member method, the *forecast error* at the forecast lead day 'j' in a particular initial condition time 'i'  $(E_{ij}^2)$  is defined as the difference of BSISO index (PC1 and PC2) between the control and perturbation (Eq. 1).

$$E_{ij}^{2} = (BSISOPC1_{ij,ctl} - BSISOPC1_{ij,ptb})^{2} + (BSISOPC2_{ij,ctl} - BSISOPC2_{ij,ptb})^{2}$$
(1)

In the above equation, *ctl* means one of the ensemble members designated as a control and *ptb* indicates any other ensemble members other than *ctl*. This procedure is repeated for all ensemble members that are designated as a control. The *mean forecast error* for a given forecast lead day is computed over all different pairs (P) of [*ctl* and *ptb*] and over N different initial conditions (Eq. 2).

$$\bar{E}_{j}^{2} = \frac{1}{NP} \sum_{i=1}^{N} \sum_{[ctl,ptb]}^{P} E_{ij}^{2}$$
(2)

The *signal* at the forecast lead day 'j' in a particular initial condition time 'i'  $(S_{ij}^2)$  is defined by the mean amplitude of the BSISO index designated as a control within a sliding window (2L + 1), which covers one complete BSISO cycle (Eq. 3). L is set to 25 days in the present study (i.e. 51 day average).

$$S_{ij}^{2} = \frac{1}{2L+1} \sum_{t=-L}^{L} (BSISOPC1_{ij+t}^{2} + BSISOPC2_{ij+t}^{2})$$
(3)

In Neena et al. (2014a), the observed values prior to hindcast initiation day were used for computing the signal

Fig. 1 Mean error and mean signal estimates from the six models for strong BSISO initial condition (*left panels*) and weak BSISO initial condition (*right panels*). The *blue* and *black curves* are the mean error growth in single-member method and ensemble-mean method, respectively. The *red curve* indicates the mean signal. The *green circle* in *each panel* represents the observed initial signal. The predictability estimates by single-member and ensemble-mean methods are presented in *blue* and *black number*, respectively, and '\*\*' ('\*') indicates the *highest* (*lowest*) predictability. The number of cases (N) is presented in the *bottom-right* in *each panels* 

to apply same sliding window size. In this study, however, the signal from the forecast lead day 0 to the day 24 was computed using available sliding window in a hindcast (i.e. day 0: t = 0-t = 25, 26 day average; day 24: t = 0-t = 49, 50 day average) because the magnitude of signal in several models is relatively large or small compared to the observation (Neena et al. 2014a and green circle in Fig. 1 of the present study). The signal is calculated for all control ensemble members. The *mean signal* for a forecast lead day can be obtained by average over all ensemble members (M) and over N initial conditions.

$$\bar{S}_{j}^{2} = \frac{1}{NM} \sum_{i=1}^{N} \sum_{m=1}^{M} S_{ijm}^{2}$$
(4)

For the ensemble-mean approach, the forecast error can be computed from Eq. (1), which is the difference between control and perturbation. In this method, the definition of *ctl* is same as in the single-member method, i.e. one of the ensemble members, but the definition of *ptb* is different from the single-member method: the *ptb* in the ensemblemean approach is the ensemble-mean averaged over all the other ensemble members other than the control. For the calculation of mean error, the value of P in Eq. (2) would be same as the ensemble size M. In ensemble mean approach, the definition and procedure to obtain the signal is same as that in single-member method (Eqs. 3 and 4).

To compare the predictability with prediction skill, the prediction skill is also measured by applying singlemember and ensemble-mean methods. Using Eq. (1), the forecast error in the single-member method is defined by the difference of BSISO index between observation (*ctl*) and single ensemble member (*ptb*). Similarly, the forecast error in ensemble-mean method can be obtained by the difference between observation and the ensemble mean (averaged over all ensemble members). Using the observed BSISO index, the signal is computed by Eqs. (3) and (4). Finally, the predictability and prediction skill of BSISO in the two methods are defined as a forecast lead day when mean forecast error becomes as large as the mean signal (i.e.  $\bar{S}_j^2/\bar{E}_j^2 = 1$ ) (e.g. Waliser et al. 2003b; Fu et al. 2007).





#### 4 Predictability and prediction skill of BSISO

#### 4.1 Dependency on initial amplitude

First, we examined the dependence of BSISO predictability and prediction skill on the initial amplitude by comparison of two groups that have strong and weak BSISO initial amplitudes. The mean amplitude of observed BSISO index [i.e.  $(PC1^2 + PC2^2)^{1/2}$ ] at forecast lead day 0 is about 1.18. Therefore, we defined the strong BSISO initial condition as the amplitude of observed BSISO index at forecast lead day 0 is greater than 1.3 [i.e.  $(PC1^2 + PC2^2)^{1/2} > 1.3$ ]. This indicates that when we calculate the mean signal and mean error in individual models, hindcasts were included only if the observed BSISO amplitude at forecast lead day 0 was greater than 1.3. Similarly, weak BSISO initial condition is defined as the amplitude of observed BSISO index at forecast lead day 0 is less than 1.0 [i.e.  $(PC1^2 + PC2^2)^{1/2} < 1.0$ ]. Under these criteria, in all six models, the number of strong initial condition case is comparable to that of weak initial condition. Unlike Neena et al. (2014a), we don't consider the initial phase for this comparison.

Figure 1 shows the mean error growth estimated by single-member and ensemble-mean methods as well as the corresponding mean signal in strong and weak BSISO initial conditions, respectively. In addition, following Neena et al. (2014a), the observed signal at day 0 is presented by green circle in each panel of Fig. 1 to compare it with the hindcast initial signal. The initial signals of ABOM1 and

ABOM2 are nearly the same as observation in both the strong and weak BSISO initial conditions, while JMAC and CMCC present very weak signal compared to the observed value.

As mentioned before, the predictability can be estimated from the forecast lead days when the error is as large as the signal. In all models, the predictability estimated by the ensemble-mean approach is much higher than that by the single-member approach because of the slower error growth in the ensemble-mean method. For strong BSISO initial condition, the resultant multi-model mean predictability estimated by the single-member method is about 18 days (ranging from 12 to 23 days) while that estimated by the ensemble-mean method is about 45 days (ranging from 38 to 55 days). In the single-member method, CFS2 shows the highest predictability (23 days) while ABOM2 shows the lowest predictability (12 days). This value is lower compared to MJO predictability, showing the range from 18 to 28 in strong MJO initial condition (with consideration of phase) (Neena et al. 2014a). In the ensemblemean method, the predictability yields a large range from 38 days in ECMWF to 55 days in ABOM1 and this is comparable with MJO.

For the weak BSISO initial condition, the multi-model mean predictability by single-member and ensemble-mean methods is about 17 days (ranging from 10 to 22 days) and 44 days (ranging from 27 to 55 days), respectively. (In CFS2, the predictability was not estimated by ensemble-mean method for its integration days.) These values are



Fig. 2 The predictability (±5 days range) and prediction skill of BSISO in a strong BSISO initial condition and b weak BSISO initial condition

slightly lower than those in strong BSISO initial amplitude, but the difference is not statistically significant. This result indicates that the BSISO predictability is not dependent on the initial amplitude, which is in contrast to the boreal winter MJO predictability that tends to be dependent on the MJO amplitude in the initial condition (Waliser et al. 2003b; Neena et al. 2014a).

The current prediction skill for BSISO in strong and weak initial conditions is compared with predictability (Fig. 2). In both initial conditions, the prediction skill obtained by the ensemble-mean method is evidently better than that of the single-member method. Furthermore, different from the predictability, the prediction skill with strong initial amplitude is better than that with weak initial amplitude for both methods in most models. In the ensemble-mean approach, the multi-model mean prediction skill under the strong BSISO initial condition is about 22 days, while corresponding skill with the weak BSISO initial condition is about 16 days. This result suggests the dependency of prediction skill on the initial amplitude. For the MJO prediction skill, coupled models show ensemble-mean prediction skill ranging from 7 (CFS1) to 28 days (ECMWF) in strong MJO initial condition (Neena et al. 2014a). Lin et al. (2008), who studied MJO predictability using two atmospheric models, showed that forecast skill initialized with large MJO amplitude is better than that with a weak MJO initial signal.

For both the strong and weak initial amplitudes, the comparison between the prediction skill and predictability suggests that the current single-member and ensemblemean prediction skills can be further improved by about 5–7 and 23–28 days, respectively. In addition, the prediction skill gap between single-member method and ensemble-mean method is larger in the strong BSISO initial conditions.

#### 4.2 Dependency on initial phase

The dependency of ISO predictability and prediction skill on various phases is one of the controversial issues because those are dependent on the data and method (e.g. Waliser et al. 2003b; Seo et al. 2005; Lin et al. 2008; Kang and Kim 2010; Ding et al. 2011; Fu et al. 2011). Neena et al. (2014a) found that the MJO predictability initiated from MJO phase 2, 3 (Indian Ocean) or 6, 7 (Western Pacific) is slightly higher than other phases in several models, but systematic phase dependency was not observed in all models. In this subsection we explore the initial phase dependency of the BSISO prediction/predictability in ISVHE hindcast data. Lee et al. (2013) divided the BSISO life cycle into eight phases based on the sign and magnitude of PC1 and PC2. The same method for phase definition was applied in the present study.

Figure 3 presents the predictability and prediction skill according to different BSISO initial phases by the ensemble-mean approach. For this analysis, the initial amplitude was not considered in order to secure enough samples. It is found that there is no systematic predictability dependency on the initial phase, although in some models the predictability initiated from the specific phase is significant at the 95 % confidence level based on a Student's t test. The predictability difference between different initial phases is very large in ABOM1 (range from 27 to 54 days) and ABOM2 (range from 25 to 41 days) while that is small in JMAC (range from 33 to 37 days) and CFS2 (range from 39 to 43 days). Similar to the predictability, the prediction skill is not systematically dependent on the initial phase. The ECMWF, JMAC, CMCC and CFS show the highest prediction skill with the initial phase 1 and 8 (Equatorial Indian Ocean and western North Pacific). But this highest skill is not significant compared with other phases in all

Fig. 3 The predictability and prediction skill initiated from different initial phases. The *error bars* of predictability represent the 95 % confidence interval. The table at the *bottom-right* gives the number of cases (N)





Fig. 4 The predictability initiated from different initial phases with a strong BSISO initial condition and b weak BSISO initial condition. The *error bars* of predictability represent the 95 % confidence interval. The tables at the *bottom* give the number of cases (N)

four models (not shown). The prediction skill with the initial phase 6 and 7 (Bay of Bengal and South China Sea) is over 20 days in the ECMWF and JMAC whereas corresponding prediction skills in other four models exhibit the lowest.

Furthermore, the sensitivity of the predictability to both initial phase and initial amplitude were compared (Fig. 4), similar to what have been done in the previous subsection. Note that, in this comparison, the sample size in several cases is relatively small since we considered both initial amplitude and initial phase. For strong BSISO initial condition, four models (ABOM2, ECMWF, JMAC, CFS2) out of the six models show the lowest predictability when it is initiated from BSISO phase 2 and 3 (Indian Ocean and East Asia) but that is not significant (Fig. 4a). With weak BSISO initial amplitude, it is also found that there is no systematic dependence of predictability on the initial phase (Fig. 4b) and the predictability varies considerably with models.

#### 4.3 Dependency on initial month

Seasonal prediction skills show season-dependence, i.e. the prediction starting from a specific month may achieve a higher forecasting skill than starting from other months (Lee et al. 2011). Since the BSISO involves strong modulation of convectively coupled waves by mean flow and the mean circulation has large seasonal change (Kemball-Cook and Wang 2001), it is meaningful to examine whether the prediction skill and predictability vary with seasonal march. Therefore, in this subsection, the dependency of BSISO predictability and prediction skill on the initial month is investigated. For fair comparison, we selected just three models that are all initialized from the 1st day of every month. The CFS2 was not included because its hindcast period is relatively short (1999–2010, 12 years) compared to other three models (19–20 years).

Figure 5 exhibits predictability and prediction skill as a function of initial month estimated by the ensemble-mean method. In each model, the estimated predictability and prediction skill vary with initiation month but systematic season-dependency is not found across all three models. Thus, the dependency of the predictability and prediction skill on initial month is highly model dependent. For instance, in ABOM the highest predictability initiated from May 1st is significant at the 95 % confidence level based on a Student's *t* test compared to July and August initial conditions. In CMCC, however, the highest predictability with May 1st initial condition is significant compared with only



Fig. 5 The **a** predictability and **b** prediction skill initiated from different initial months. The *error bars* in **a**, **b** the 95 % confidence interval. The table at the *bottom* gives the number of cases (N)

October initial condition. ECMWF shows the significant lowest predictability with May 1st initial condition and predictability varies greatly with the initial condition.

For the prediction skill, ECMWF has better prediction skill with a range from 16 days in July 1st initial condition to 29 days in August and September 1st initial conditions compared to other two models. In addition, the highest prediction skill is significant compared with the lowest prediction skill. The ABOM2 and CMCC, however, exhibit the best prediction skill with October and June 1st initial condition, respectively.

## 5 Relation between dispersion of initial ensembles and prediction skill improvement

Different ensemble generation methods were applied in all six models used in the present study (Table 1) and the methods for generating initial ensembles are related to the dispersion of ensemble members. To assess the merit of the different existing ensemble techniques, Buizza et al. (2005) compared the operational ensemble forecasts generated at three operational numerical weather prediction centers (ECMWF, NCEP, Meteorological Service of Canada). They found that the performance of ensemble prediction systems strongly depends on the quality of the data assimilation system used to create the initial condition. In addition, the spread of ensemble forecasts in three centers is insufficient to simulate all sources of forecast uncertainty.

In this subsection, we evaluated the reliability of the six ensemble prediction systems in terms of the relationship between the dispersion of ensemble members and the prediction skill improvement. Here the prediction skill improvement indicates the difference of prediction skills measured by the single-member approach and ensemblemean approach. A well dispersed ensemble is often referred to as a "well calibrated" ensemble. In statistically consistent ensembles, the standard deviation of ensembles (i.e. ensemble spread) should match the root-mean square error (RMSE) of the ensemble-mean (e.g. Talagrand et al. 1999; Buizza et al. 2005; Eckel and Mass 2005; Weisheimer et al. 2009, 2011). Therefore, the dispersion of ensembles can be measured by the difference between ensemble spread and the RMSE of the ensemble-mean. Following Neena et al. (2014a), the ensemble spread for a given forecast lead day and initial condition is defined as the combined standard deviations of PC1 and PC2 (BSISO index) in the ensemble member hindcast. The respective ensemble-mean value at the corresponding forecast lead day and initial condition was used. The RMSE of ensemble-mean was obtained by the RMSE between the observed and ensemble-mean hindcast BSISO index (Lin et al. 2008). The ensemble spread



Fig. 6 a Ensemble spread (*solid lines*) and ensemble-mean RMSE (*dashed lines*). **b** The 25 day forecast lead average of the difference between ensemble spread and ensemble-mean RMSE for each model. **c** Prediction skill by single-member method (*sky blue*) and ensemble-mean method (*orange*) with all summer initial conditions.

and ensemble-mean RMSE were averaged over all summer initial conditions (from May to October of the entire hindcast periods).

Figure 6a shows the ensemble spread (solid lines) and ensemble-mean RMSE (dashed lines) as a function of forecast lead days. In all six models, the ensemble spread is always smaller than the ensemble-mean RMSE, indicating that initial ensembles generated in six coupled models are less dispersive, and thus do not capture all possible sources of error from internal dynamics (Vialard et al. 2005). This result is consistent with Neena et al. (2014a) who showed that the all models for MJO are under-dispersive ensemble prediction system. If the ensemble spread is too small to represent the full amount of uncertainty in the prediction, it indicates that the ensembles are overconfident. Using the ensemble spread and ensemble-mean RMSE, the degree of dispersion is measured by the mean difference between ensemble spread and ensemble-mean RMSE (i.e. solid line

**d** The 25 day forecast lead average of the difference between ensemble spread and ensemble-mean RMSE for each model [values in (**b**)] (*x*-axis) and skill improvement [ensemble-mean prediction skill minus single-member prediction skill in (**c**)] (*y*-axis)

minus dashed line) averaged for the first 25 days in an individual model (Fig. 6b). This difference is called here the "quality of dispersion". Since the RMSE of the ensemblemean would equal the ensemble spread in the statistical consistency, a smaller value of dispersion quality indicates a better dispersed ensemble prediction system. In the ABOM2 and ECMWF, the two corresponding (solid and dashed) lines are close to each other (Fig. 6a) and therefore the difference between the two corresponding lines is small (Fig. 6b). This implies that these two models provide relatively well-dispersed ensembles. In JMAC, however, the ensemble spread is the lowest (Fig. 6a) and the quality of dispersion shows the largest negative value (Fig. 6b), indicating each individual ensemble in this system is substantially under-dispersive.

Furthermore, the relationship between the quality of dispersion and the skill improvement was examined (Fig. 6d). As aforementioned, the skill improvement is defined as the difference between the ensemble-mean prediction skill and single-member prediction skill obtained from all summer initial conditions (Fig. 6c). The ECMWF and ABOM2, which are better dispersed ensemble prediction systems, show the significant improvement of prediction skill (about two times higher ensemble-mean prediction skill than the single-member prediction). Consequently, results obtained from Fig. 6 suggest that ensemble-mean prediction skill could be significantly improved by the well-dispersed initial ensemble forecasts.

#### 6 Summary and discussion

### 6.1 Summary

We examined the BSISO prediction skill and estimated its predictability in order to better understand the way to narrow down the gap between the present day prediction capabilities and the predictability limit. For the analysis of predictability and prediction skill, we utilized the BSISO index proposed by Lee et al. (2013), which is based on the MV-EOF analysis of daily anomalies of OLR and U850 in the region (10°S-40°N, 40°-160°E). Using the daily hindcast data from six coupled climate models that participated in ISVHE project, the hindcast BSISO index was obtained by projecting the combined OLR and U850 anomalous fields onto observed BSISO EOF modes. Methods used to measure the predictability and prediction skill of BSISO include the single-member and ensemble-mean approaches based on the perfect model assumption, and we mainly focused on the estimations by the ensemble-mean approach.

The dependences of the predictability and prediction skill estimates on the initial amplitude, initial phase, and initial month were assessed. We summarize the results of BSISO predictability and prediction skill by comparing it with MJO results documented by Neena et al. (2014a). The BSISO predictability is not dependent on the initial amplitude (Figs. 1, 2), and this feature is different from MJO predictability which shows the initial amplitude dependency. Multi-model mean predictability with strong BSISO initial amplitude is estimated about 45 days by ensemble-mean method, and this is only 1 day higher than that in weak BSISO initial amplitude. For MJO, 35-45 days ensemble-mean predictability is obtained from strong MJO initial amplitude in the eight models. The estimated predictability initiated from weak MJO is 5–10 days lower compared to that initiated from strong MJO. In terms of the initial phase dependency, for MJO predictability, several models among eight climate models tend to show slightly higher predictability initiated from MJO phases 2, 3 (Indian Ocean) or 6, 7 (Western Pacific) (Neena et al. 2014a). For the BSISO predictability, there is no systematic dependency on the initial phase and the predictability from different initial phases varies considerably with models (Figs. 3, 4). The BSISO predictability tends to be season-dependent, but the concrete season-dependence varies with models (Fig. 5).

Different from BSISO predictability, the BSISO prediction skill is better for the events with large initial amplitude, indicating the importance of using accurate initial conditions (Fig. 2). In strong initial amplitude, prediction skill of BSISO measured by ensemble-mean approach is about 22 days and this value is comparable with that of MJO. The corresponding prediction skill with the weak BSISO initial condition is about 16 days. The gap of actual prediction skill and predictability estimated by ensemble-mean approach in six climate models is about 3–4 weeks and this suggests room for considerable improvement of the BSISO prediction. It is noted that initial phase dependence and initial month dependence of prediction skill are highly model dependent.

Finally, it is found that an improved dispersion of initial ensembles in the ensemble prediction system can lead to significant improvement of ensemble-mean prediction skills (Fig. 6). The ensemble-mean prediction skill is considerably improved in ECMWF and ABOM2, which exhibit relatively better quality of ensemble dispersion. This confirms that the conclusions derived from the MJO study (Neena et al. 2014a) also apply to the BSISO. Since the ensemble generation method is certainly related to the improvement of forecast performance for intraseasonal variability (Hudson et al. 2013), the development of ensemble generation strategy is essential for better prediction skill of ISV.

## 6.2 Discussion

In the present study, we showed the different dependency of predictability and prediction skill on the initial amplitude, but the reasons are not clear at the moment. Our analysis may imply the possibility that other predictability sources rather than the initial amplitude play a more important role in determining BSISO predictability in the coupled models. In addition, the dependency of predictability on specific factors such as initial amplitude or initial phase can vary with the approaches and data. Therefore, further study based on extensive analysis of large datasets is needed in future.

Of interest is that the BSISO predictability and prediction skill tend to be season-dependent, although there is no consensus among the three models by the ensemble-mean approach. However, when we apply the single-member hindcast approach, the lowest predictability with August 1st initial condition was found for most of models (not shown). The reasons causing the model's differences in the season-dependency are not totally clear in this study. In general, two sets of factors can possibly cause the difference among the models. One is that the models' quality in simulating summer mean circulation can be very different. Another is that models' performance in simulating the evolution of the intrinsic BSISO mode can also be very different, especially the seasonal northeastward shift of the variance centers (Kemball-Cook and Wang 2001). For instance, observations show that the equatorial eastern Indian Ocean is a key region for BSISO life cycle (Wang et al. 2006). The monthly mean variance of intraseasonal component for OLR and U850 averaged over equatorial eastern Indian Ocean  $(10^{\circ}S-20^{\circ}N, 60^{\circ}-100^{\circ}E)$  shows the minimum in August (not shown). When the model simulated BSISO variability is suppressed there in August, the formation of the northwestsoutheast titled rain band and northward propagation would be jeopardized and thus the predictability might be lost. In this regard, further study by using more models and more ensemble experiments is needed.

In the present study, the predictability and prediction skill in individual model were evaluated. Overall, ECMWF tends to produce good prediction capability compared with other models. Since the MME approach is beneficial in generating better prediction quality than any single model (e.g. Wang et al. 2009), further study is required to establish of MME prediction system. The estimation of predictability and evaluation of prediction performance in ISVHE hindcast data in this study may yield insight into model deficiency and improvement of model capacity, and consequently contribute to the development of optimal MME prediction system for intraseasonal and seasonal variability.

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