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Fast building damage mapping using a single post-earthquake PolSAR image: a case study of the 2010 Yushu earthquake

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Abstract

Earthquakes are one of the most destructive natural disasters. Efficiently and quickly acquiring building earthquake damage information can help to reduce the casualties after an earthquake. In this paper, for convenience, speed, and precision, building damage information is extracted using a single post-earthquake PolSAR image. In PolSAR images, the undamaged parallel buildings characterized by double-bounce scattering are different from the collapsed buildings characterized by volume scattering, but the undamaged oriented buildings are very similar to collapsed buildings because of their scattering mechanism ambiguity in the early traditional model-based decomposition. Therefore, the collapsed buildings are difficult to extract accurately. In this paper, the scheme of polarization orientation angle (POA) compensation is employed to enhance the double-bounce scattering power of the oriented buildings, and the difference in the relative contribution change rate of scattering components before and after POA compensation is proposed to further enhance the difference between collapsed buildings and oriented buildings, in order that the collapsed buildings can be extracted more accurately. The "4.14" Yushu earthquake, which occurred in Yushu County, Qinghai province of China, is used as the case study to test the proposed method, and an airborne high-resolution PolSAR image of the urban region of Yushu County is used in the experiment. The experimental results show that the accuracy of building damage information extraction can be improved by the use of the proposed method, compared with the traditional polarimetric classification.

Keywords: Earthquake, PolSAR, Collapsed buildings, Damage mapping, Polarization orientation angle

Introduction

Earthquakes can give rise to great damage to life and property. In an earthquake, most of the casualties are caused by collapsed buildings, so building damage information extraction is the main task of earthquake damage information investigation (Trianni and Gamba 2009). At present, remote sensing technology is widely used in the investigation of natural disasters (Zhang et al. 2001). Remote sensing images can have a wide coverage and can even cover areas that people cannot reach, and the use of remote sensing images to obtain disaster information is much faster than a ground survey (Dell'Acqua et al. 2013). After an earthquake, the collapsed building information should be acquired in a timely manner and can be used to guide the effective implementation of the emergency rescue, which is crucial for the reduction in casualties (Susaki 2015). Although optical remote sensing images are intuitive and easy to understand, they are directly restricted by sunlight, and optical remote sensors cannot image the earth under cloudy, rainy, foggy, and other inclement weather (Gamba et al. 2007; de Michele et al. 2010). Radar is not affected by weather and climate and can obtain images in bad weather and even at night, because of its strong penetrative power (Yamaguchi 2012; Balz and Liao 2010), so it has become a reliable remote sensing data source for acquiring the damage information of earthquake-stricken areas (Dell'Acqua and Polli 2011). Compared to single-polarization synthetic

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aperture radar (SAR), fully polarimetric SAR (PolSAR) is capable of recording the backward scattering information in four kinds of polarization mode, which contain much more information and are more helpful for understanding the characteristics of ground objects (Lee and Pottier 2009; Zhai et al. 2016a).

After the 2011 Tohoku earthquake, many scholars analyzed and studied the earthquake damage characteristics and the disaster investigation using airborne and satellite PolSAR data. Most of these studies were carried out mainly based on the changes of polarimetric features between pre- and post-earthquake using multi-temporal PolSAR data (Chen and Sato 2013; Park et al. 2013; Sato et al. 2012; Watanabe et al. 2012). However, archived pre-event PolSAR images matched with the post-event images are often difficult to obtain, and the registration of the pre- and post-event PolSAR images also costs time and manpower. Therefore, earthquake damage assessment using only a single post-earthquake PolSAR image has attracted the attention of more and more researchers. Guo et al. (2012) and Li et al. (2012) introduced the circular polarization correlation coefficient ρ and proposed the $H–\alpha–\rho$ method to extract collapsed building information using RADARSAT-2 polarimetric data after the "4.14" Yushu earthquake. Zhao et al. (2013) introduced the texture parameter of *homogeneity* to improve the $H–\alpha–\rho$ method using high-resolution airborne PolSAR data. Shen et al. (2015) used the method of image retrieval based on feature template matching to extract the collapsed building information. Zhai et al. (2016b) used the two parameters of the normalized difference of the dihedral component (*NDDC*) and the HH–HV correlation coefficient (ρ_{HHHV}) to correct the result of Wishart supervised classification and extracted the collapsed buildings, and the high accuracy for building earthquake damage information extraction was acquired eventually.

This study is devoted to extracting building damage information using a single post-earthquake PolSAR image. In a PolSAR image, the uncollapsed parallel buildings whose array direction is parallel to the flight direction are dihedral dominated, so their scattering power is strong. The collapsed buildings are mainly characterized by volume scattering due to the destruction of the building structure. The uncollapsed oriented buildings that are not parallel to the flight pass of the radar can cause polarization orientation angle (POA) shift and higher cross-polarization component. The scattering mechanism ambiguity between the oriented buildings and the collapsed buildings is present in the traditional model-based decomposition. That way, the oriented buildings are very similar to collapsed buildings in PolSAR images. If the traditional polarimetric classification methods

are used to extract the collapsed buildings, the oriented buildings can be easily misclassified as collapsed buildings, and the building collapse rate is likely to be overestimated. Although there are some advanced model-based decompositions reported to avoid the scattering mechanism ambiguities, especially among oriented buildings (Chen et al. 2014a, b), the scattering mechanism ambiguities cannot be avoided for the oriented building patches with big POA. In view of this, the scheme of POA compensation is carried out to enhance the double-bounce scattering power of the oriented buildings in our study (Yamaguchi et al. 2011), and the difference in the change rate of the relative contributions of double-bounce scattering and volume scattering, $CR_{\mathrm{Dbl–Vol}}$, is introduced to help to distinguish the two types of buildings based on the scheme of POA compensation. The experiments show that the building damage assessment accuracy can be improved using the method proposed in this paper.

Methodology

POA compensation

The uncollapsed buildings in earthquake-stricken areas consist of both parallel buildings and oriented buildings. The scattering power of parallel buildings is particularly strong because they form a dihedral structure with the ground, but the scattering power of oriented buildings is as weak as the collapsed buildings. If the oriented buildings are not differentiated from the collapsed buildings, the building damage will be greatly overestimated, especially in built-up areas containing many oriented buildings. Compensating the POA shift induced by the polarization basis being rotated can enhance the double-bounce scattering power of the oriented buildings.

The POA shift θ is defined as the angle between the major axis of the polarization ellipse and the horizontal axis (Iribe and Sato 2007). It can be expressed as (Lee et al. 2000):

$$\tan \theta = \frac{\tan \omega}{-\tan \gamma \cos \phi + \sin \phi} \tag{1}$$

where θ is the POA shift, $\tan \omega$ is the azimuth slope, $\tan \gamma$ is the slope in the ground range direction, and ϕ is the radar look angle.

The following is the process of deriving the POA shift. The POA is estimated as:

$$\theta = \begin{cases} \theta_0, & \text{if } \theta_0 \leq \frac{\pi}{4} \\ \theta_0 - \frac{\pi}{2}, & \text{if } \theta_0 > \frac{\pi}{4} \end{cases} \tag{2}$$

where

$$\theta_0 = \frac{1}{4}\big[\mathrm{Arg}\big(\langle S_{\mathrm{RR}} S_{\mathrm{LL}}^* \rangle\big) + \pi\big] \tag{3}$$

The two circular components, S_{RR} and S_{LL}, can be obtained from the linear polarization terms (Kimura et al. 2005; Lee and Ainsworth 2011):

$$S_{RR} = \frac{1}{2}(S_{HH} - S_{VV} + i2S_{HV})$$
$$S_{LL} = \frac{1}{2}(S_{VV} - S_{HH} + i2S_{HV}) \tag{4}$$

It is easier to compute the POA of the circular polarization using Eq. (4). The data compensation can be achieved by (Lee et al. 2014):

$$T_\theta = R(\theta)TR(\theta)^T. \tag{5}$$

where T_θ is the compensated matrix for the orientation angle of θ, the matrix T is a single-look or multi-look processed coherency matrix, the superscript T denotes the matrix transpose, and the rotation matrix $R(\theta)$ is given by (Chen et al. 2013, 2014c):

$$R(\theta) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos 2\theta & \sin 2\theta \\ 0 & -\sin 2\theta & \cos 2\theta \end{bmatrix}. \tag{6}$$

Wishart supervised classification

Wishart supervised classification (Lee and Grunes 1992; Lee et al. 1994, 1998, 1999) uses a maximum likelihood classifier based on the statistical properties to perform supervised classification under the assumption of the probability density distribution function of the polarimetric covariance matrix following a complex Wishart probability distribution with n degrees of freedom, $W_C(n, [C])$ (Lee et al. 1994, 1999; Lee and Pottier 2009). The complex Wishart classifier is one of the most widely used and the most suitable classifiers in the application of PolSAR image supervised classification, and it can make full use of the intensity information and phase information of PolSAR data. In the process of Wishart supervised classification, the covariance matrix of the class center of the kth class is estimated by training samples and is defined as $[\sum_k]$, and the Wishart distance between pixel $[C]$ of the test samples and the kth class can be expressed as:

$$d([C]|[\Sigma_k]) = \ln|[\Sigma_k]| + Tr\left([\Sigma_k]^{-1}[C]\right) \tag{7}$$

where $Tr([\sum_k]^{-1}[C])$ stands for the trace of $[\sum_k]^{-1}[C]$, and the superscript -1 denotes the matrix inversion.

In each iteration, the Wishart distance $d([C]|[\sum_i])$ between each pixel in the test samples and each category is calculated, and the pixel is then classified as the class with the minimum Wishart distance.

Because the double-bounce scattering power of oriented buildings is enhanced after POA compensation, the Wishart supervised classification is performed on the PolSAR data after POA compensation, which can obtain

a higher accuracy than before POA compensation. For the study area in this paper, the ground objects can be classified into four classes—bare areas, parallel buildings, oriented buildings, and collapsed buildings—using the Wishart supervised classification.

Analysis of building scattering components in earthquake-stricken areas

The buildings of earthquake-stricken areas are divided into three classes in this paper, based on the characteristics of the PolSAR images: collapsed buildings, parallel buildings, and oriented buildings. In order to comprehend the changes in the scattering mechanism after POA compensation for the three kinds of buildings, the four scattering components generated from Yamaguchi four-component decomposition, before and after POA compensation, are analyzed in this section.

According to the Yamaguchi four-component scattering model (Yamaguchi et al. 2005, 2006, 2011), the scattering components of the objects are decomposed into surface, double bounce, volume, and helix scattering components. In this paper, the change of scattering components before and after POA compensation is employed to define the parameter $CR_{Dbl-Vol}$, so the traditional Yamaguchi four-component decomposition (Yamaguchi et al. 2005, 2006) is used for the four components extraction. The different volume scattering models are chosen according to the value of $R = 10 \log(|S_{VV}|^2/|S_{HH}|^2)$ in the traditional Yamaguchi four-component decomposition. For example,

if $R > 2$ dB,

$$\langle[C]\rangle = f_s\langle[C]\rangle_s + f_d\langle[C]\rangle_d + f_v\langle[C]\rangle_v + f_h\langle[C]\rangle_h$$
$$= f_s \begin{bmatrix} |\beta|^2 & 0 & \beta \\ 0 & 0 & 0 \\ \beta^* & 0 & 1 \end{bmatrix} + f_d \begin{bmatrix} |\alpha|^2 & 0 & \alpha \\ 0 & 0 & 0 \\ \alpha^* & 0 & 1 \end{bmatrix} + \frac{f_v}{15} \begin{bmatrix} 8 & 0 & 2 \\ 0 & 4 & 0 \\ 2 & 0 & 3 \end{bmatrix}$$
$$+ \frac{f_h}{4} \begin{bmatrix} 1 & \pm j\sqrt{2} & -1 \\ \mp j\sqrt{2} & 2 & \pm j\sqrt{2} \\ -1 & \mp j\sqrt{2} & 1 \end{bmatrix} \tag{8}$$

where $[C]$ is the covariance matrix of the PolSAR data; $\langle\ \rangle$ denotes the ensemble average in the data processing; and the expansion coefficients $f_s, f_d, f_v,$ and f_h correspond to the contribution of the surface, double bounce, volume, and helix scattering components, respectively. The scattering powers $P_s, P_d, P_v,$ and P_h, corresponding to the surface, double bounce, volume, and helix scattering components, respectively, are obtained as:

$$\begin{cases} P_s = f_s(1 + |\beta|^2) \\ P_d = f_d(1 + |\alpha|^2) \\ P_v = f_v \\ P_h = f_h \\ P = P_s + P_d + P_v + P_h = \langle|S_{HH}|^2 + 2|S_{HV}|^2 + |S_{VV}|^2\rangle \end{cases} \tag{9}$$
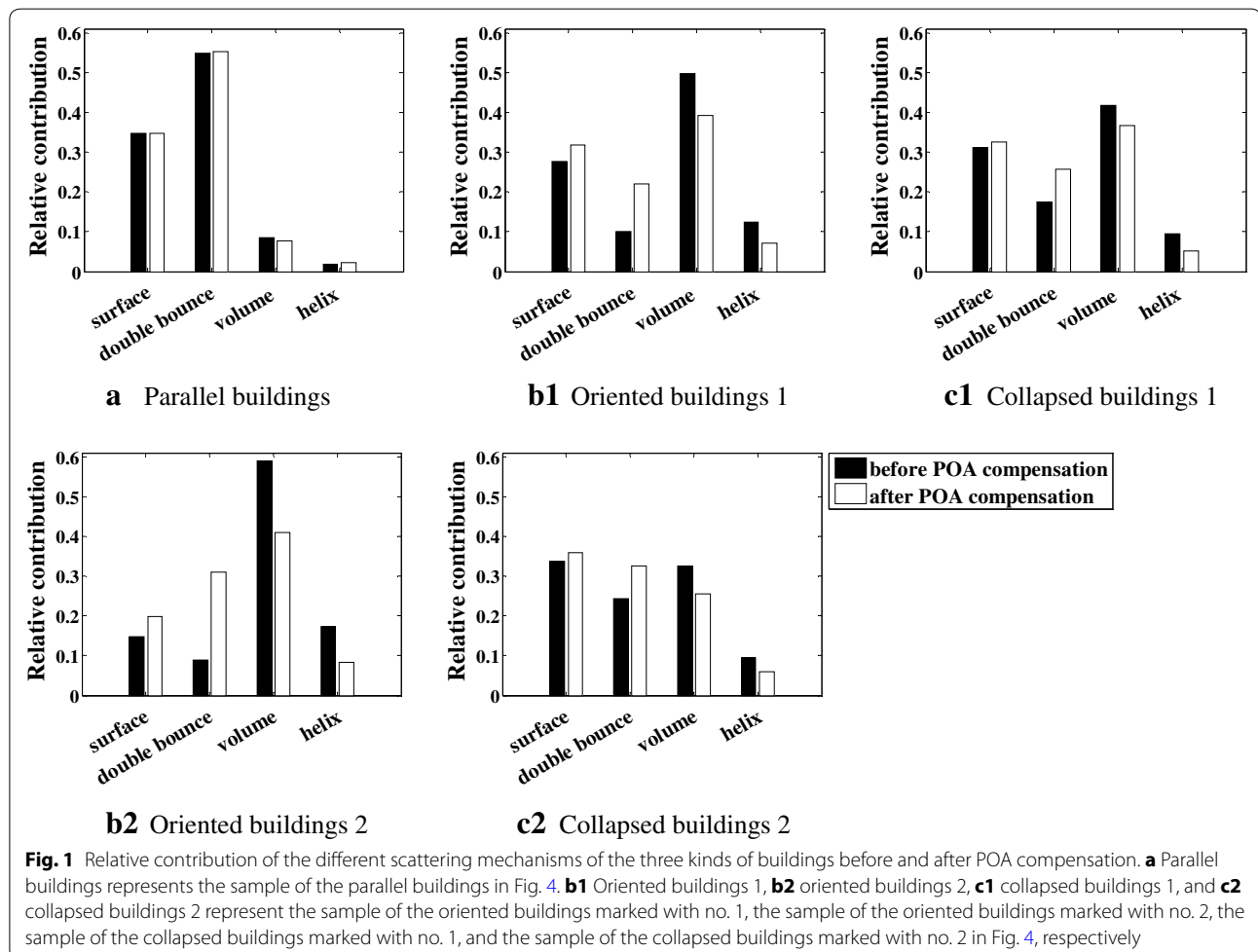
The relative contributions of the four components of the three kinds of building samples selected in Fig. 4, before and after POA compensation, are shown in Fig. 1. For more validations, there are two samples of the collapsed buildings in different regions and two samples of the oriented buildings in different regions with different orientations selected in Fig. 4. As shown in Fig. 1, the dominant scattering component of the parallel buildings is double-bounce scattering, and the oriented buildings and the collapsed buildings are dominated by the volume scattering component. Before and after POA compensation, the relative contributions of each scattering component for the parallel buildings basically remain the same, while the relative contribution of double-bounce scattering for the oriented buildings is more than doubles, and the relative contribution of volume scattering significantly decreases. In addition, for the collapsed buildings, the relative contribution of double-bounce scattering also increases, but the increase is less than for the oriented buildings, and the decrease in the relative contribution of volume scattering is very small. Furthermore, comparing

Fig. 1(b1) with Fig. 1(b2, c1) with Fig. 1(c2), the above analyzation is confirmed by the two samples of collapsed buildings with no. 1 and no. 2 and the two samples of oriented buildings with no. 1 and no. 2. In conclusion, for the oriented buildings, the scheme of POA compensation can greatly improve the relative contribution of the double-bounce scattering component and can significantly reduce the relative contribution of the volume scattering component.

Inspired by the conclusion deduced from Fig. 1, the change rates of the relative contribution before and after POA compensation for the double-bounce scattering component and the volume scattering component are proposed and defined as:

$$CR_{Dbl} = \frac{Dbl_{afterPAC} - Dbl_{beforePAC}}{Dbl_{beforePAC}} \tag{10}$$

where CR_{Dbl} is the change rate of the relative contribution of the double-bounce scattering component, $Dbl_{beforePAC}$ denotes the relative contribution of the double-bounce scattering component before POA compensation, and

Fig. 1 Relative contribution of the different scattering mechanisms of the three kinds of buildings before and after POA compensation. **a** Parallel buildings represents the sample of the parallel buildings in Fig. 4. **b1** Oriented buildings 1, **b2** oriented buildings 2, **c1** collapsed buildings 1, and **c2** collapsed buildings 2 represent the sample of the oriented buildings marked with no. 1, the sample of the oriented buildings marked with no. 2, the sample of the collapsed buildings marked with no. 1, and the sample of the collapsed buildings marked with no. 2 in Fig. 4, respectively

$\text{Dbl}_{\text{afterPAC}}$ is the relative contribution of the double-bounce scattering component after POA compensation.

$$\text{CR}_{\text{Vol}} = \frac{\text{Vol}_{\text{afterPAC}} - \text{Vol}_{\text{beforePAC}}}{\text{Vol}_{\text{beforePAC}}} \qquad (11)$$

where CR_{Vol} is the change rate of the relative contribution of the volume scattering component, $\text{Vol}_{\text{beforePAC}}$ denotes the relative contribution of the volume scattering component before POA compensation, and $\text{Vol}_{\text{afterPAC}}$ is the relative contribution of the volume scattering component after POA compensation.

For comparison, the CR_{Dbl} and CR_{Vol} values of oriented buildings and collapsed buildings are shown in Fig. 2. As shown in both Fig. 2a, b, for the two pairs of the samples of the collapsed buildings and oriented buildings, the CR_{Dbl} of oriented buildings is more than twice as large as that of the collapsed buildings, and the amplitude of the CR_{Vol} of oriented buildings is also larger than that of collapsed buildings. Therefore, the difference between CR_{Dbl} and CR_{Vol} is defined as:

$$\text{CR}_{\text{Dbl-Vol}} = \text{CR}_{\text{Dbl}} - \text{CR}_{\text{Vol}} \qquad (12)$$

$\text{CR}_{\text{Dbl-Vol}}$ is actually the difference in the change rate of the relative contribution between the double-bounce and volume scattering components, which is also shown

Fig. 2 Change rate of the relative contribution of the different scattering mechanisms for the oriented and collapsed buildings before and after POA compensation. **a** Oriented buildings 1 versus collapsed buildings 1. **b** Oriented buildings 2 versus collapsed buildings 2

in Fig. 2. The difference in $\text{CR}_{\text{Dbl-Vol}}$ between collapsed buildings and oriented buildings is more distinct than the difference in CR_{Dbl} and the difference in CR_{Vol}. In Fig. 2, both of the two pairs of the samples of the collapsed buildings and oriented buildings have shown that the $\text{CR}_{\text{Dbl-Vol}}$ of oriented buildings is much greater than the $\text{CR}_{\text{Dbl-Vol}}$ of collapsed buildings. Therefore, $\text{CR}_{\text{Dbl-Vol}}$ can be used distinguish the oriented buildings from the collapsed buildings.

After an earthquake, the walls and the regular geometric structure of collapsed buildings are damaged, and the most of the collapsed buildings is the ruins, so the dominant scattering mechanism collapsed buildings can be approximately regarded as the volume scattering from randomly oriented dipoles. Because most of the collapsed buildings are ruins, there is little POA shift for the collapsed buildings, and the implementation of POA compensation cannot greatly change the power of scattering components of the collapsed buildings. However, because of the POA shift of oriented buildings, the employment of POA compensation can enhance the double-bounce scattering power and weaken the volume scattering power. That is, the implementation of POA compensation can greatly change the power of scattering components of the oriented buildings. Therefore, all of the amplitude values of CR_{Dbl}, CR_{Vol}, and $\text{CR}_{\text{Dbl-Vol}}$ of the oriented buildings are greater than those of the collapsed buildings. The difference of CR_{Dbl}, CR_{Vol}, and $\text{CR}_{\text{Dbl-Vol}}$ between the collapsed buildings and oriented buildings can be used to distinguish them. During the three parameters of CR_{Dbl}, CR_{Vol}, and $\text{CR}_{\text{Dbl-Vol}}$, the difference of $\text{CR}_{\text{Dbl-Vol}}$ between the collapsed buildings and oriented buildings is greatest, so $\text{CR}_{\text{Dbl-Vol}}$ is used to discriminate the collapsed buildings in this paper.

As shown in Fig. 1, the relative contribution of the double-bounce scattering component of the oriented buildings after POA compensation cannot be increased as much as for the parallel buildings. Therefore, the classification result of the oriented and collapsed buildings generated from the Wishart supervised classification performed on the PolSAR data after POA compensation is still not very accurate. However, the difference in $\text{CR}_{\text{Dbl-Vol}}$ between the oriented buildings and the collapsed buildings can be used to distinguish the two kinds of buildings and to correct the results of the two kinds of buildings obtained from Wishart supervised classification, so as to improve the accuracy of the collapsed building extraction. Using $\text{CR}_{\text{Dbl-Vol}}$ to separate the two kinds of buildings can be expressed as:

$$\begin{cases} \text{if} & \text{CR}_{\text{Dbl-Vol}} > \varepsilon, \; x \in \text{oriented buildings} \\ \text{if} & \text{CR}_{\text{Dbl-Vol}} \leq \varepsilon, \; x \in \text{collapsed buildings} \end{cases} \qquad (13)$$
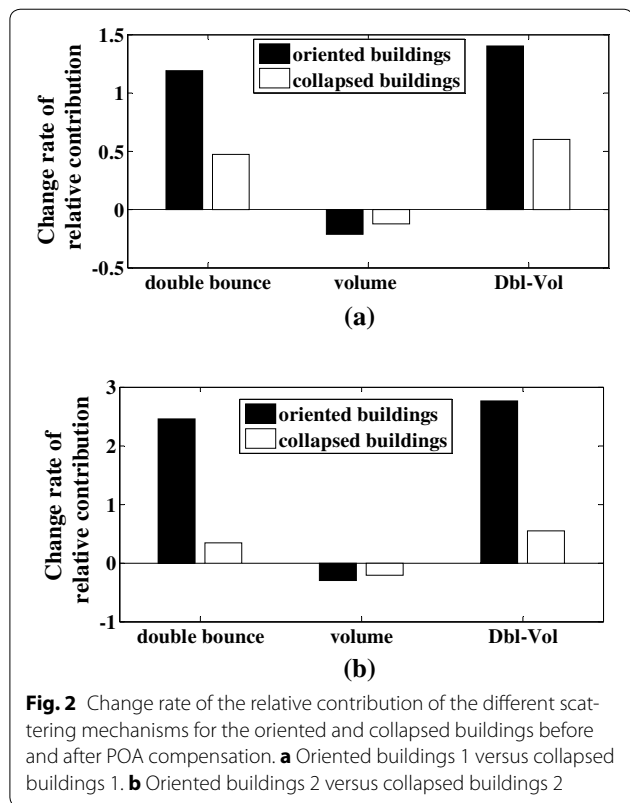
where ε is the threshold value of $CR_{Dbl-Vol}$ set according to experiment, and x is a pixel of the PolSAR image.

Building collapse rate calculation

The building collapse rate is calculated at the scale of the city block and is defined as the ratio of the collapsed buildings to the total buildings of one block. The total buildings are the sum of the undamaged buildings and the collapsed buildings. The damage level of one block can be indexed by the building collapse rate. Each block is assigned a *building block collapse rate* (*BBCR*) to assess the damage level of the block. The *BBCR* is expressed as:

$$\text{BBCR}_j = \frac{\sum_i C_{ij}}{\sum_i U_{ij} + \sum_i C_{ij}} \tag{14}$$

where BBCR_j is the BBCR of the jth block; C_{ij} indicates whether pixel i in the jth block belongs to a collapsed building or not, with values of 1 or 0; U_{ij} indicates whether pixel i in the jth block belongs to an undamaged building or not, with values of 1 or 0.

Building earthquake damage information extraction

The process of building damage information extraction is shown in Fig. 3. Firstly, POA compensation is performed on the PolSAR data after preprocessing (Ma et al. 2015), and the new [C] matrix is obtained.

Fig. 3 Experimental flow chart of building earthquake damage information extraction
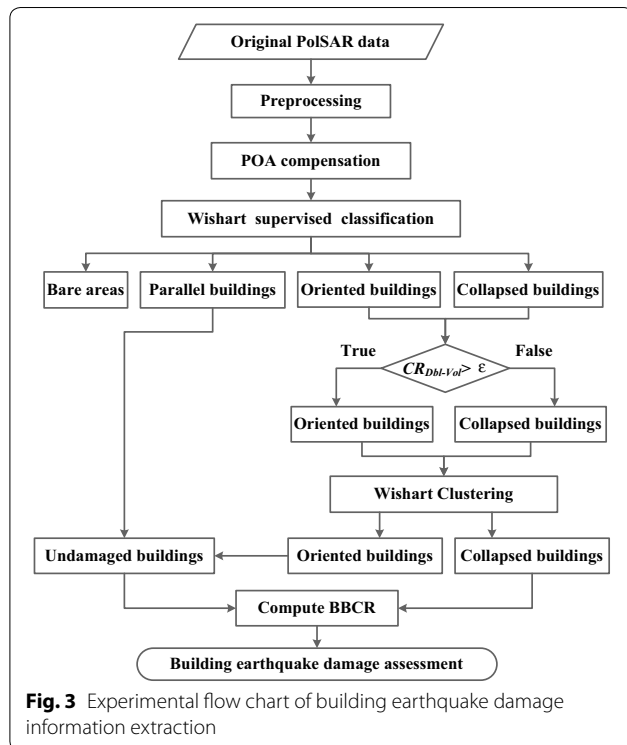
Secondly, according to the case study selected in this paper, the ground objects in the earthquake-stricken area are classified as bare areas, collapsed buildings, parallel buildings, and oriented buildings, using the Wishart supervised classification implemented on the PolSAR data after PolSAR compensation.

Thirdly, the values of $CR_{Dbl-Vol}$ of the collapsed buildings and oriented buildings are calculated, and the threshold value ε is set to separate the two kinds of buildings according to the difference in $CR_{Dbl-Vol}$ between the collapsed buildings and the oriented buildings, as shown in Fig. 2. In this way, the new class centers for the two kinds of buildings are obtained. The clustering and iteration using the complex Wishart classifier then need to be carried out again to update the new class centers. After this, the two classes of collapsed buildings and oriented buildings generated from the Wishart supervised classification are corrected, and the building damage information extraction is more accurate.

Finally, the parallel buildings and the oriented buildings are combined as the undamaged buildings, and the BBCR of each city block can be calculated. The damage levels of the buildings are then divided into slight, moderate, and serious damage levels according to the threshold values of the BBCR.

Experiment and analysis

Experimental data

In this paper, the selected case study is the Yushu earthquake of magnitude 7.1 that occurred in Yushu County, Qinghai province, China, on April 14, 2010. The location of the epicenter was 33.1°N and 96.6°E. There were many collapsed buildings, and more than 2600 people died in the earthquake. The direct economic losses amounted to more than 22 billion CNY. The study area is the urban region of Yushu County. The vegetation is very sparse and low-level in this urban region, so it was ignored in the experiment. The buildings are mainly low-rise rural residential buildings in Yushu County.

The experimental data are the airborne high-resolution PolSAR image acquired one day after the earthquake in the P-band by the Chinese airborne SAR mapping system (SARMapper). Both the range resolution and azimuth resolution are approximately 1 m. The flight pass was in an east–west horizontal direction. The Pauli RGB image is shown in Fig. 4, formed as a color composite of $|HH - VV|$ (red), $|HV|$ (green), and $|HH + VV|$ (blue), with the size of 8192 × 4384 pixels. The five regions of interest (ROIs) for three kinds of buildings marked in Fig. 4 with the five colored rectangles are the samples of collapsed buildings in red, oriented buildings in blue, and parallel buildings in orange. In Fig. 4, the buildings in the two regions of the oriented buildings with
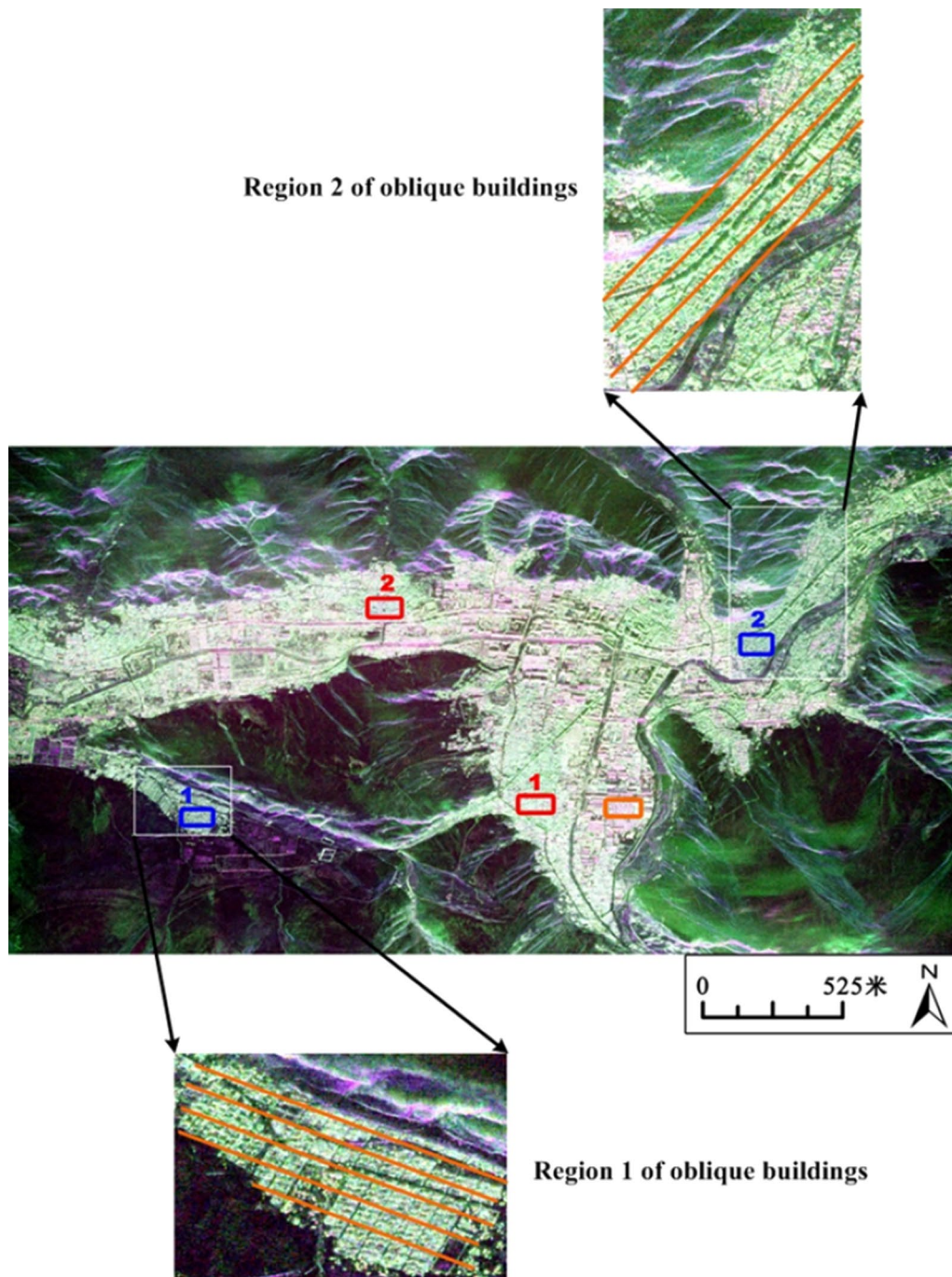
Fig. 4 Pauli RGB color composite image of PolSAR data of Yushu County, with *red* (|HH − VV|), *green* (|HV|), and *blue* (|HH + VV|). The areas in *red*, *blue*, and *orange boxes* are the samples of the collapsed buildings, oriented buildings, and parallel buildings, respectively. There are two samples of the collapsed buildings marked with no. 1 and no. 2 and two samples of the oriented buildings marked with no. 1 and no. 2. The *orange lines* in the two regions of oriented buildings denote the arrangement direction of the oriented buildings

white rectangles have different orientations, and the arrangement direction of each region is shown with the orange lines. The orientations of the oriented buildings in the Yushu earthquake-stricken areas mainly exist in

the two regions of the oriented buildings in Fig. 4. The samples marked with no. 1 and no. 2 are selected in the two regions of the oriented buildings, and the two samples represent different orientations. According to the

observation of Fig. 2a, b and the conclusion of "Analysis of building scattering components in earthquake-stricken areas" section, the difference of $CR_{Dbl-Vol}$ between the collapsed buildings and the oriented buildings is significant, although the orientations are different for the two samples of oriented buildings. Therefore, the difference of the orientations for the oriented buildings has no influence on using $CR_{Dbl-Vol}$ to distinguish the collapsed buildings and the oriented buildings.

In the PolSAR data, the mountains surrounding the urban area were removed by masking, and only the urban region was kept. The whole urban region was divided into 72 city blocks by roads and according to the similarity of the built-up patches. The earthquake damage assessment reference information is shown in Fig. 5 according to Guo et al. (2010) and the China Earthquake Administration (2010). The building damage levels of the 72 city blocks were divided into slight, moderate, and serious damage levels. If more than half of buildings collapsed after the earthquake, the city block was considered as serious damage. The city block with less than one-third buildings collapsing was considered as slight damage. The city block with the damage level between slight damage and serious damage was considered as moderate damage.

Experimental results

According to the process of building earthquake damage information extraction shown in Fig. 3, the Wishart supervised classification method was performed on the Pol-SAR data after POA compensation. In the study area, the

vegetation is very sparse and low level and can be ignored, so the non-buildings were mainly composed of bare areas. Therefore, the ground objects were classified into the four classes of bare areas, parallel undamaged buildings, oriented undamaged buildings, and collapsed buildings. We calculated the $CR_{Dbl-Vol}$ for all of the samples of oriented buildings and the collapsed buildings generated from the Wishart supervised classification and set the threshold value ε of $CR_{Dbl-Vol}$ in Eq. (13) as 0.7 according to the difference of the Dbl–Vol values of the oriented buildings and the collapsed buildings shown in Fig. 2. The two kinds of buildings were reclassified based on Eq. (13), as described in "Analysis of building scattering components in earthquake-stricken areas" section, and clustering based on the Wishart distance was performed on the initial classification results, and then, the final classification results of the oriented buildings and the collapsed buildings were obtained. The oriented buildings together with the parallel buildings were considered to be the undamaged buildings.
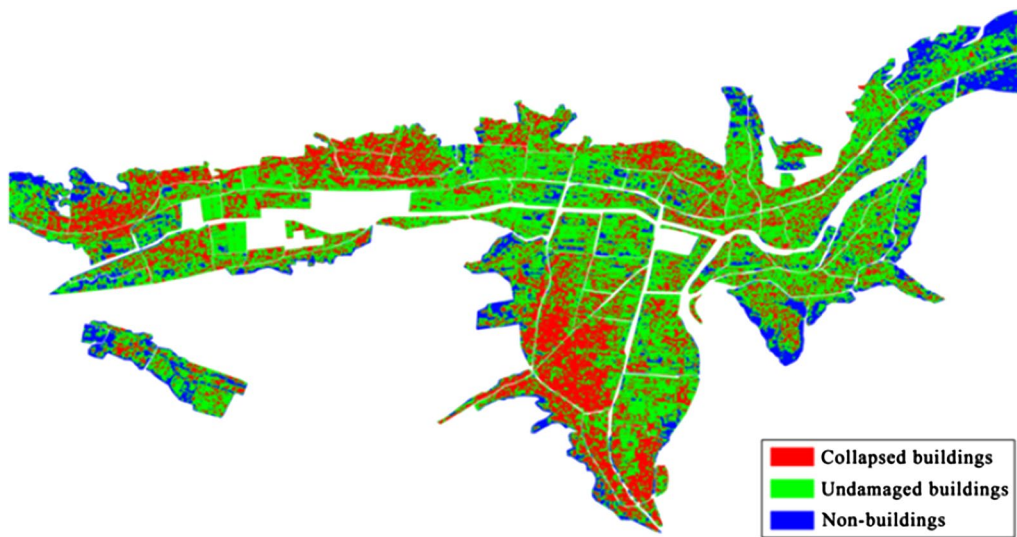
The results of extracting the collapsed buildings, the undamaged buildings, and the non-buildings are shown in Fig. 6, in which the red areas are the collapsed buildings, the green areas denote the undamaged buildings, and the blue areas correspond to the non-buildings. The BBCR of each block was calculated, and the building damage levels were set as:

$$\begin{cases} \text{if} & \text{BBCR} \leq 0.2, & \text{the block} \in \text{slight damage} \\ \text{if} & 0.2 < BBCR \leq 0.5, & \text{the block} \in \text{moderate damage} \\ \text{if} & \text{BBCR} > 0.5, & \text{the block} \in \text{serious damage} \end{cases}$$

(15)
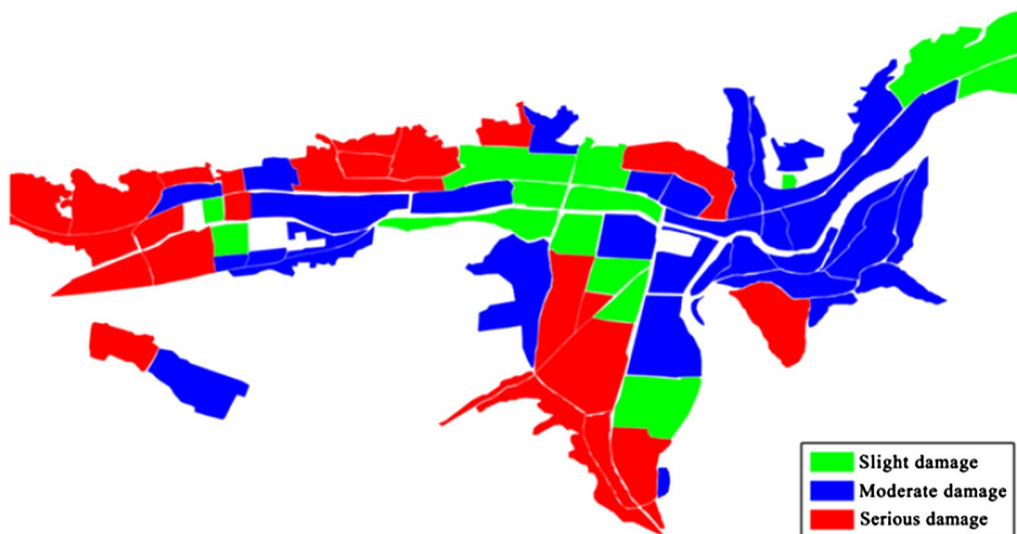
Fig. 5 Reference map of the building earthquake damage information at the city block scale in the Yushu urban region. If more than half of buildings collapsed after the earthquake, the city block was considered as serious damage. The city block with less than one-third buildings collapsing was considered as slight damage. The city block with the damage level between slight damage and serious damage was considered as moderate damage

Fig. 6 Experimental results distribution map of the collapsed buildings, the undamaged buildings, and the non-buildings in the earthquake-stricken urban area of Yushu County

The map of building damage levels for the whole urban region at the block scale is shown in Fig. 7, where the numbered blocks are the misclassified blocks, and the color of the numbers denotes the correct damage level. For example, the no. 1 block should actually be seriously damaged (red), but is misclassified as moderate damage (blue). The accuracy evaluation of the experimental results is given in Table 1 by reference to Fig. 5. For comparison, the results of both the proposed method and the method using Wishart supervised classification directly without POA compensation and $CR_{Dbl-Vol}$, which is termed direct Wishart supervised classification (DWSC), are listed in Table 1.

Discussion and analysis

As shown in Fig. 7, there are 15 blocks misclassified. Among them, the blocks with nos. 1–8, which are should be serious damage, are misclassified as moderate damage, and the blocks with nos. 9–12 are misclassified as slight damage, which are should be moderate damage, and the blocks with nos. 13–15, which are should be slight damage, are misclassified as moderate damage. In general, the damage levels of blocks with nos. 1–12 are underestimated, while the damage levels of blocks with nos. 13–15 are overestimated. For most of the misclassified blocks, their damage levels are underestimated, which indicates the oriented buildings are overestimated using the proposed method and the oriented buildings overestimated are mainly the remaining oriented walls of the collapsed buildings.

As given in Table 1, the overall accuracy of the building earthquake damage information extraction was increased by 7 % using the proposed method compared to the DWSC method. There were actually 25 blocks, and 17 blocks were extracted using the proposed method, but 31 blocks were extracted by the DWSC method. Therefore, the proposed method can effectively reduce the overestimation of building earthquake damage. Most of the misclassification situations were those of the slight damage level or the serious damage level being misclassified as the moderate damage level, and the moderate damage level being misclassified as the slight damage level. In other words, the misclassification of the damage level only spanned one level, and there was only one block of serious damage misclassified as the slight damage level. In short, for the 15 misclassified blocks, the main situation of misclassification involved reduced damage levels.

Although POA compensation can enhance the double-bounce scattering power of oriented buildings, the dominant scattering mechanism of oriented buildings is still volume scattering, and the scattering power is still weaker than for parallel buildings. Therefore, there are still many oriented buildings and collapsed buildings mixed up with each other in the classification results of the Wishart supervised classification performed on the PolSAR data after POA compensation. Accordingly, the difference in $CR_{Dbl-Vol}$ between oriented buildings and collapsed buildings is used to correct the results of the Wishart supervised classification.

However, the correction can result in the oriented buildings being detected too much and can result in overestimation of the undamaged buildings. This is influenced by the selection of the $CR_{Dbl-Vol}$ threshold value ε for

Fig. 7 Experimental results map of the building damage levels at the block scale in the Yushu urban region. The *numbered blocks* are misclassified, and the *color of the numbers* denotes the color of the correct damage level
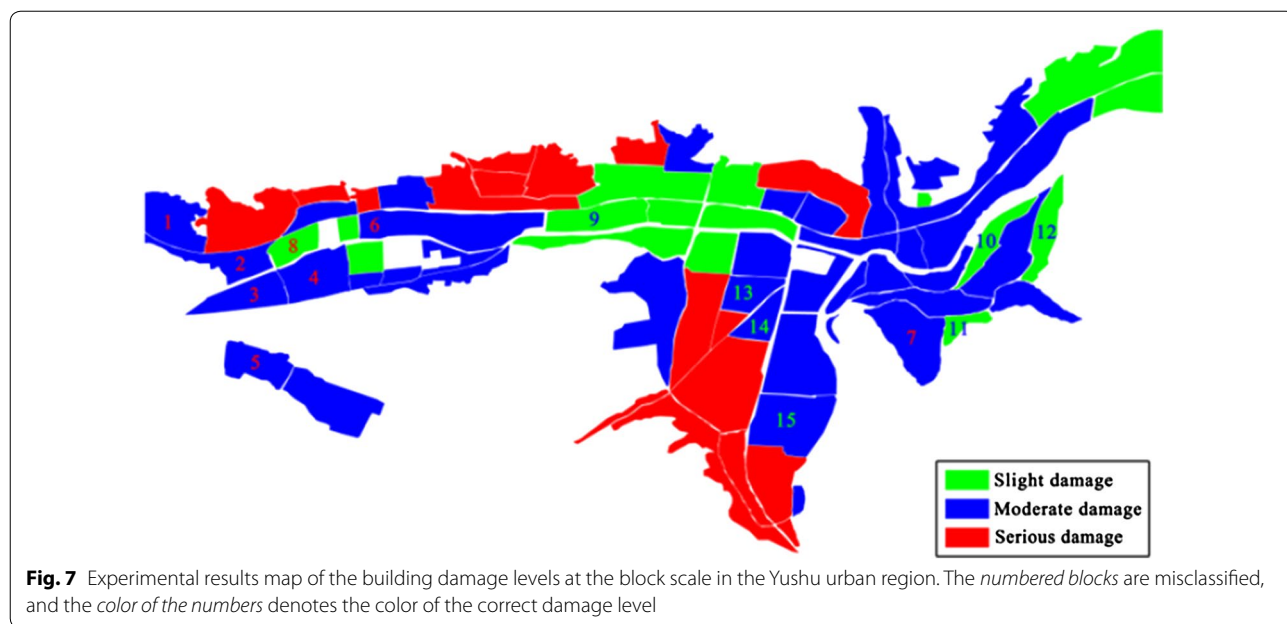
Table 1 Accuracy evaluation of the earthquake damage information extraction of the two methods

	The proposed method			DWSC		
	(Experiment)					
	SLD (no. of blocks)	MOD (no. of blocks)	SED (no. of blocks)	SLD (no. of blocks)	MOD (no. of blocks)	SED (no. of blocks)
(Reference)						
SLD	11	3	0	9	3	2
MOD	4	29	0	3	22	8
SED	1	7	17	0	4	21
	OA: 79.2 %			OA: 72.2 %		

separating oriented buildings and collapsed buildings and is the main reason why the building damage levels of the blocks are reduced. In addition, due to the fact that some residual walls of the collapsed buildings form a dihedral structure with the ground, some collapsed building areas also have high double-bounce scattering power, and these areas are easily misclassified as undamaged parallel buildings. In the collapsed building areas, the double-bounce scattering power of some oriented residual walls is increased after POA compensation, and these walls may also be misclassified as parallel buildings. These are the causes of the overestimation of the undamaged buildings.

The overestimation of the undamaged buildings gives rise to a reduction in the building damage level, and the identification accuracy of the blocks with the serious damage level is reduced. However, the identification accuracy of the blocks with the slight damage level and moderate damage level is generally improved, and the overall accuracy is improved.

Conclusion

In this paper, building earthquake damage information has been extracted using a single post-event PolSAR image. Because the characteristics of oriented buildings are very similar to the collapsed buildings in PolSAR images, and the double-bounce scattering power of oriented buildings is weak, the scheme of POA compensation is performed on the PolSAR image to enhance the double-bounce scattering power of the oriented buildings. Although both the scattering power and the relative contribution of the double-bounce scattering component of oriented buildings in the PolSAR image after POA compensation are increased, the dominant scattering mechanism of oriented buildings is still volume scattering. Therefore, the results of the Wishart supervised classification are not very accurate and need to be improved. Through analyzing the changes in the scattering mechanism before and after POA compensation for the three kinds of buildings, we found that the increase

in the amount of double-bounce scattering component and the reduction in the amplitude of the volume scattering component of the oriented buildings are greater than for the collapsed buildings. Accordingly, the parameter of $CR_{Dbl-Vol}$ is proposed to further distinguish the oriented buildings from the collapsed buildings. Therefore, the significant difference in $CR_{Dbl-Vol}$ between collapsed buildings and oriented buildings is used to correct the results of the Wishart supervised classification for the two kinds of buildings, and the collapsed building extraction accuracy is improved. In comparison with the DWSC method, it was found that the proposed method can improve the accuracy of building earthquake damage assessment.

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