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A new busy signal-based MAC protocol supporting QoS for ad-hoc networks with hidden nodes

Katarzyna Kosek-Szott · Marek Natkaniec · Andrzej R. Pach

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Abstract This article presents three versions of a novel MAC protocol for IEEE 802.11 ad-hoc networks called Busy Signal-based Mechanism turned On (BusySiMOn) (This is an extended version of our conference paper: [15]). The key idea of the proposed solution is based on an intelligent two-step reservation procedure combined with the advantages of EDCA service differentiation. The former alleviates the hidden node problem while the latter ensures compatibility with the IEEE 802.11 standard. Simulation results obtained for saturated and non-saturated network conditions emphasize the advantages of the new protocol over the currently used four-way handshake mechanism in terms of fairness, throughput, and average frame delay.

Keywords EDCA · Hidden nodes · MAC protocol · QoS · Wireless communications

1 Introduction

The IEEE 802.11 standard is currently one of the most popular wireless access technologies. It allows quick and simple configuration of local broadband networks and

M. Natkaniec e-mail: natkaniec@kt.agh.edu.pl

A. R. Pach e-mail: pach@kt.agh.edu.pl greatly facilitates Internet access. With the growth of the popularity of IEEE 802.11, the number of available services also increased and the need for Quality of Service (QoS) provisioning became apparent. As a remedy to this problem, the Enhanced Distributed Channel Access (EDCA) function of the IEEE 802.11 standard was proposed [8].

The IEEE 802.11 standard has a serious disadvantage resulting from the half-duplex nature of wireless devices. In each network with hidden nodes not only the overall throughput value may greatly decrease as shown in [34] but also EDCA service differentiation and throughput fairness among nodes may be strongly deteriorated [13].

A number of Medium Access Control (MAC) protocols attempting to address the problem of hidden nodes have been proposed in the literature. A detailed overview of such protocols is presented in Table 1. As can be noticed, the majority of protocols rely only on RTS/CTS or similar frame exchanges during the channel reservation process. All presented solutions can be divided into five major protocol types: contention-based, multi-channel, busy tonebased, energy-efficient and directional antenna-based. The most important advantages and disadvantages of each protocol type are presented in Table 2.

Among the available solutions, only the legacy four-way handshake mechanism has become broadly used and implemented in wireless devices. Currently it is the only mechanism recommended by the IEEE 802.11 standard to minimize the negative effects caused by hidden nodes. However, as it was shown in [13], the effectiveness of the four-way handshake is insufficient to provide appropriate service differentiation in EDCA-based ad-hoc networks.

In this article we describe three versions of Busy Signalbased Mechanism turned On (BusySiMOn), which combine a preliminary reservation of the wireless channel with

<sup>K. Kosek-Szott (⊠) · M. Natkaniec · A. R. Pach
Department of Telecommunications, Faculty of Computer
Science, Electronics and Telecommunications, AGH University
of Science and Technology, al. A. Mickiewicza 30,
30-059 Krakow, Poland
e-mail: kosek@kt.agh.edu.pl</sup>

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Protocol name	Required IEEE 802.11 modification	Modification	Channel reservation method	Hardware	Uverhead	Channel reservation time	202	Year
MACAW [1]	Small	Additional signaling overhead	RTS/CTS	Standard	Large	Slow	No	1994
FAMA-NCS [4]	Small	Long CTS frames	Modified RTS/CTS	Standard	Large	Slow	No	1995
Black Burst [21]	Medium	Variable number of black bursts, dependent on the waiting time for the channel to become idle	Jamming	Standard	Medium	Variable	Yes	1996
Four-way Handshake [8]	None	None, this is the standard solution	RTS/CTS	Standard	Large	Slow	Yes if used with EDCA	1999
PCMA [17]	Large	Noise and interference level measurement, busy tone signals determining the maximum possible transmit power level	RTS/CTS	Complex (two transceivers)	Large	Slow	No	2001
DBTMA [5]	Large	Out-of-band signaling, busy tones, omitted ACK frame	RTS/CTS	Complex (two transceivers)	Large	Slow	No	2002
DMAC [3]	Large	Frames are transmitted using directional antennas, medium is sensed using omni-directional antennas, each node maintains a Directional NAV Table	RTS/CTS	Complex (directional and omni-directional transmission)	Large	Slow	No	2002
PCM [10]	Medium	RTS/CTS frames sent using maximum transmit power, ACK sent with the minimum transmit power	RTS/CTS	Standard	Large	Slow	No	2002
PUMA [19]	Medium	Additional JAM signal for isochronous traffic, modified control frames, modified backoff mechanism	Jamming, RTS and CTS	Standard	Large	Slow	Yes	2002
AA [25]	Small	Extended RTS/CTS frames	Modified RTS/CTS	Standard	Large	Slow	Yes	2003
M-VRMA [24]	Medium	Extended RTS/CTS frames, additional signaling frame	Modified RTS/CTS	Standard	Large	Slow	Yes	2003
MACP [26]	Large	Additional level of channel access competition based on distributed multi-hop binary countdown (DMBC)	DMBC	Standard	Large	Variable	No	2004
RICK [27]	Large	Changed backoff control and countdown competition, added power control	Binary countdown	Standard	Medium	Variable	Yes	2004
DRCE [28]	Medium/ Large	Additional signaling frames, transmission power control, two separate channels	RTS/CTS	Complex (additional transceivers)	Large	Slow	Yes	2005
EDCA/RR [6]	Medium	Extended RTS/CTS frames	Modified RTS/CTS	Standard	Large	Slow	Yes	2006
CDR-MAC [11]	Large	Circular directional transmission of RTS, directional antennas with predefined number of beams, multiple RTS transmissions, each node maintains location table	Modified RTS/CTS	Complex (directional transmission)	Very large	Slow	No	2007
DMAC-PCDR [22]	Large	Each node equipped with GPS, smart usage of omni- directional and directional antennas, rotation of receiving antenna beams	RTS/CTS	Complex (directional and omni-directional transmission)	Large	Slow	No	2008
SAM-MAC [7]	Large	Balances traffic over multiple channels, two half-duplex transceivers for each node, additional signaling	RTS/CTS	Complex (two transceivers)	Large	Slow	No	2008
CCM-MAC [18]	Medium	Additional control frames: decide-channel-to-send (DCTS), information-to-inform (ITI), confirm (CFM)	RTS/CTS/ DCTS/IFI/CFM	Standard	Very large	Slow	No	2009
MARS [16]	Large	Additional signaling (Ready-to-Receive-and-Transmit frame), changed RTS frame format, smart antennas	RTRT/RTS/ CTS	Complex (smart antennas)	Very large	Slow	No	2009

Table 1 Comparison of different MAC protocols for ad-hoc networks with hidden nodes

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the advantages of EDCA service differentiation. The first, most basic version of BusySiMOn, was introduced in [15], where all nodes in the network used the preliminary channel reservation. In the second version of BusySiMOn, only nodes which transmit high priority traffic employ the preliminary reservation of the channel. Finally, the third version of BusySiMOn, utilizes network topology information: only nodes which are aware of being hidden use preliminary reservation to increase the probability of successful channel reservation. In this paper we show that all proposed versions of BusySiMOn remarkably improve QoS provisioning in IEEE 802.11a/b/g ad-hoc networks with hidden nodes in terms of throughput, average frame delay and throughput fairness among nodes. Additionally, they remain compatible with the IEEE 802.11 standard.

The outline of this article is the following. Firstly, we describe EDCA (Sect. 2) and the proposed three versions of BusySiMOn (Sect. 3) Then, we compare the effectiveness of channel reservation for BusySiMOn and the fourway handshake mechanism (Sect. 4). In Sect. 5 we describe compatibility issues and in Sect. 6 we show how to estimate throughput of BusySiMOn. Simulation results, which evaluate the performance of the proposed protocol and show its advantages over the four-way handshake mechanism, are presented in Sect. 7. In Sect. 8 we calculate the required energy consumption for EDCA with the four-way handshake mechanism turned on and compare it with energy consumption required for BusySiMOn. Section 9 includes comparison of BusySiMOn with other busy tone-based MAC protocols. It also includes discussion on other MAC protocols supporting QoS. Section 10 is devoted to conclusions. Finally, "Appendix" explains how to calculate the probability of successful channel reservation by hidden nodes.

2 IEEE 802.11 EDCA

In networks with heterogeneous traffic, the QoS requirements of each service should be carefully taken into account. In particular, in the case of simultaneous transmissions of multimedia and data traffic the delay constraints of the multimedia service should be primarily met. To achieve this goal multimedia traffic should have priority over data traffic. Within wireless ad-hoc networks it is the EDCA function of the IEEE 802.11 standard which was designed to satisfy this requirement.

The EDCA function defines several QoS enhancements to the legacy IEEE 802.11 Distributed Coordination Function (DCF) which are based on the idea of Access Categories (ACs). Four ACs (priorities) are defined: Voice (VO), Video (VI), Best Effort (BE), and Background (BK). To provide traffic differentiation each AC has different values of the

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Tabl

Hardware	Complex (directional and omni-directional transmission)	Standard	Standard	Standard
Channel reservation method	RTS/CTS	RTR frame	Modified RTS/CTS	Busy tones and RTS/CTS
Modification	Smart usage of directional and omni-directional antennas, additional signaling	RTS and CTS replaced by the ready-to-receive frame, utilizes slotted channel	Power control of DATA, RTS and CTS frames, changed format of RTS and CTS frames	Two additional busy tones
Required IEEE 802.11 modification	Large	Large	Medium	Small
Protocol name	RDMAC [2]	Slotted MACA-BI [20]	SSPC [23]	BusySiMOn [15]

Protocol type	Advantages	Disadvantages
Pure contention-based (e.g., MACA, four-way handshake, EDCA/RR)	Standard hardware. Interoperability with IEEE 802.11 if the standard RTS and CTS frames are used	Large signaling overhead. Slow channel reservation. Often not suitable for delay sensitive traffic
Single channel busy tone- based (e.g., PUMA, Black Burst, BusySiMOn)	Standard hardware. Easy recognition of busy tones. Partial or full interoperability with IEEE 802.11. Quick channel reservation is possible	Increased signaling overhead. Legacy nodes may be assigned a lower priority
Multiple channel-based (e.g., DBTMA, SAM- MAC, CCM-MAC)	Separation of data and control traffic to reduce collisions. Possibility of load balancing and use of busy tones. Simultaneous transmissions in the same region without interference. Higher network efficiency than legacy IEEE 802.11	Assignment of separate channels must be done in real- time. Nodes must sometimes be synchronized. Hardware complexity because of additional channels and transceivers. Channel gain of data and control channels may be different. Nodes with a large number of transceivers (e.g., one per channel) are expensive while nodes equipped with a single transceiver are inefficient. Difficult interoperability with existing IEEE 802.11. Large signaling overhead. Slow channel reservation
Power-aware (e.g., DRCE, PCM, SSPC)	Decreased energy consumption. Can be combined with busy tones or can take advantage of multiple channels	Signal fading may degrade performance. Reducing the power of ACK transmission may lead to increased number of collisions due to decreased carrier sensing range. Additional hardware complexity. Large signaling overhead. Slow channel reservation
Directional antenna-based (e.g., RDMAC, MARS, MCDA, DMAC)	Simultaneous data transmission and reception increases spatial reuse. Minimized probability of collisions. Higher network efficiency than IEEE 802.11	New kinds of hidden nodes, higher directional interference and deafness. Performance decreases with node mobility. Additional hardware complexity. In most cases large signaling overhead and slow channel reservation. Performance strongly dependent on network topology. Performance can be deteriorated by the side-lobe problem

Table 2 Advantages and disadvantages of different MAC protocol types for ad-hoc networks with hidden nodes

following medium access parameters: the contention window minimum (*CW*min) and maximum (*CW*max) size, the arbitration inter-frame space number (*AIFSN*), and the transmission opportunity limit (*TXOPLimit*).

The functions of the EDCA access parameters are as follows: $CWmin_i$ and $CWmax_i$ determine the number of *Backoffi* slots for the *i*-th AC:

$$Backoff_i =$$

 $= \operatorname{random} \left[0, \min \left(2^k (CW\min_i + 1) - 1, CW\max_i \right) \right],$

where k is the number of collisions occurred to the currently transmitted frame. $AIFSN_i$ determines the minimum time interval before a frame transmission may begin ($AIFS_i$):

$$AIFS_i = AIFSN_i \times T_e + SIFS,$$

where T_e is the duration of a single slot time and SIFS is the Short Inter-Frame Space. *TXOPLimit* allows for the consecutive transmission of several frames after gaining channel access, known as contention free bursting. This parameter is optional.

In the literature there are a number of articles which describe the advantages of EDCA traffic differentiation. Most of the studies, however, consider systems without hidden nodes. In [12] it has been proved that EDCA tends

to cease to function in environments with hidden nodes. In particular, it has been shown that:

- unhidden nodes are generally favored over hidden nodes in the channel access, regardless of their access category,
- the four-way handshake mechanism does not completely eliminate unfairness in granting channel access,
- the higher the priority of traffic transmitted by hidden nodes the more collisions occur, even if the four-way handshake is used.

These observations were also confirmed in [13]. Therefore, it became obvious that a new MAC protocol is required to meet the strict demands of high priority traffic (VO and VI) and to improve fairness among nodes. It was also clear that the ideal solution should be compatible with both currently used mechanisms: EDCA and the four-way handshake. The proposed protocol, which satisfies all these requirements, is described in the next section.

3 BusySiMOn

The key idea of BusySiMOn is to minimize the probability of collisions of the signaling data within wireless networks with hidden nodes in comparison to the currently used four-way

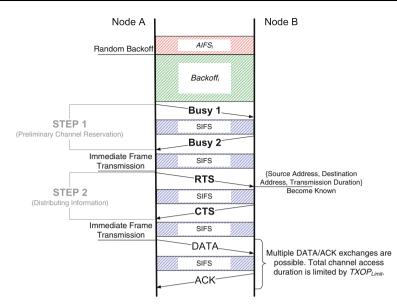


Fig. 1 Operation of BusySiMOn

handshake mechanism. Additionally, it was assumed that only slight modifications of the IEEE 802.11 standard are allowed in order to assure backward compatibility. To achieve these goals we propose a new channel reservation procedure consisting of the following two steps (cf., Fig. 1):

- 1. Preliminary reservation of the wireless channel using two busy tone signals (Busy 1 and Busy 2), i.e., pulses of energy of a predefined duration. Busy 1 is used to request channel reservation by a source node and Busy 2 is used to confirm this reservation by its neighboring nodes. Both signals are very short—Busy 1 has a length of one Slot Time Period (STP) and Busy 2 has a length of three STPs. Therefore, the preliminary channel reservation can be performed very quickly and the probability of collisions of signaling data can be meaningfully reduced in comparison to the fourway handshake mechanism.
- 2. Distributing information about the transmission duration as well as the source and destination node addresses with the use of the legacy RTS and CTS frames.

As illustrated in Fig. 1, the problem of traffic prioritization is resolved in BusySiMOn by the combination of the proposed reservation mechanism with the standard EDCA access parameters: *AIFSN*, *CW*, and *TXOPLimit*.

In this paper we propose the following three versions of BusySiMOn:

- BusySiMOn v1: all nodes within the network use Busy 1 and Busy 2 signals to preliminarily reserve the wireless channel [15].
- BusySiMOn v2: only nodes with high priority data (i.e., VO or VI) use the Busy 1/Busy 2 exchange to preliminarily

reserve the wireless channel. Other nodes use the basic channel access (without RTS/CTS).

BusySiMOn v3: only hidden nodes use the Busy 1/Busy 2 exchange to preliminarily reserve the wireless channel. Other nodes use the basic channel access. This version of BusySiMOn needs an additional hidden node detection scheme which is out of the scope of this work¹. Therefore, in this paper ideal conditions are assumed, i.e., each hidden node is aware of being hidden.

4 Effectiveness of channel reservation

In the case of the legacy RTS/CTS-based channel reservation three types of collisions may happen—collisions of RTS with either another RTS, CTS or DATA. They are common even for the simplest line topology depicted in Fig. 2. In the first scenario two RTS frames sent by the hidden nodes collide with each other. After the collision is detected they have to be retransmitted after a random *Backoff* time. The number of possible retransmissions is limited to the *Short Retry Limit* defined by the IEEE 802.11 standard. It is worth noting that, due to the low sending rate of RTS frames², hidden nodes do not have to simultaneously start their RTS transmissions to cause a collision. In the second scenario, node N1 succeeds in reserving the wireless channel with the use of the RTS/CTS exchange. At the same time, however, the RTS frame sent by N3

¹ To assure rapid detection of hidden nodes the Network layer of the OSI model can be used because network topology information can be obtained from routing tables. Exemplary hidden node detection schemes are described in [29–32].

 $^{^2}$ E.g., the IEEE 802.11b standard recommends 1 Mb/s as the transmission rate of the PLCP overhead.

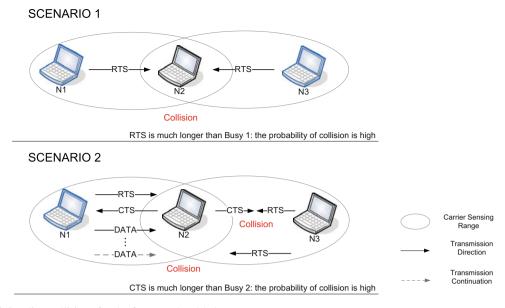


Fig. 2 Types of signaling collisions for the four-way handshake

collides with the CTS frame sent by N2. Obviously, after a random *Backoff* time, N3 will attempt to resend its RTS frame. If the *Backoff* value will be small enough, the resent RTS frame will collide with the DATA frame currently being transmitted by N1. As a result, N1 will have to resend its DATA frame.

For a given *Backoff* stage, with the use of simple probability analysis (which is explained in "Appendix"), we can compute the lower bound of the probability of a successful channel reservation by either of the two hidden nodes (p_s^r) in the first scenario:

$$p_s^{H,RTS} = \begin{cases} 0, & \text{if } \alpha < 0, \\ \frac{(\alpha+1)(\alpha+2)}{(CW+1)^2}, & \text{if } \alpha \ge 0, \end{cases}$$

where $\alpha = CW - T_{RTS}$, *CW* is the current contention window size (in STPs), and T_{RTS} is the number of STPs required to transmit the RTS frame (together with its PLCP header and preamble) (T^{RTS}) and wait a SIFS period³.

If Busy 1 was used to reserve the wireless channel the probability p_s^H would be the following:

$$p_{s}^{H,Busy1} = \begin{cases} 0, & \text{if } \beta < 0\\ \frac{(\beta+1)(\beta+2)}{(CW+1)^{2}}, & \text{if } \beta \ge 0 \end{cases}$$

where $\beta = CW - T_{Busy 1}$ and $T_{Busy 1}$ is the number of STPs required to transmit the Busy 1 signal (T^{Busy1}) and wait a SIFS period⁴.

The comparison of $p_s^{H, RTS}$ with $p_s^{H, Busy 1}$ for different PHYs and different *CW* values is given in Table 3. The probability $p_s^{H, Busy 1}$ is always greater than $p_s^{H, RTS}$ because

the proposed solution maximizes the probability of successful reservations of the wireless channel for hidden nodes by minimizing the probability of collisions of signaling data.

To assess the effectiveness of the four-way handshake we compare different *CW* values (Table 3) with the standard values of *CW*min and *CW*max of different ACs [8]. By analyzing $p_s^{H, RTS}$ it can be deduced that especially for hidden nodes with VO priority flows the probability of successful channel reservation is very low for each PHY when the four-way handshake is used. For BusySiMOn the probability $p_s^{H, Busy1}$ for VO priority flows is much higher.

5 Compatibility with EDCA

The BusySiMOn protocols are compatible with EDCA because they do not change the values of the channel access parameters defined by the IEEE 802.11 standard. Furthermore, because the RTS/CTS/DATA/ACK exchange is part of the proposed solutions, each node implementing the preliminary BusySiMOn channel reservation is able to respond to legacy IEEE 802.11 nodes. Additionally, if a node using Busy 1/Busy 2 exchange wants to communicate with a legacy node it must have at least one other BusySiMOn neighbor. For example, assume that in Fig. 3, nodes A and B implement BusySiMOn while node C is a legacy node. After node B broadcasts Busy 1 to all nodes within its range, node A sends Busy 2 in response. This allows node B to communicate with node C with the use of the traditional RTS/CTS/ DATA/ACK exchange.

A problem occurs if a BusySiMOn node does not have any BusySiMOn neighbors. To overcome this obstacle and

³ $T_{RTS} = [T^{RTS} + SIFS]$

⁴ $T_{Busy1} = [T^{Busy1} + SIFS]$

Table 3 Lower bound of the probability of successful transmission by either of the hidden nodes in the first scenario in Fig. 2 for different PHYs

IEEE amendment	РНҮ	PLCP header and preamble (µs)	Slot time (µs)	SIFS (µs)	Tx Rate (Mb/s)	CW (STP)	T _{RTS} (STP)	$p_s^{H, RTS}$	$T_{Busy 1}$ (STP)	$p_s^{H, Busy 1}$	
802.11b	HR/DSSS	192	20	10	1–11	7	19–11	0.00	2	0.66	
						15		0.00-0.12		0.82	
						31		0.18-0.45		0.91	
						63		0.51-0.70		0.95	
						1,023		0.96-0.98		1.00	
802.11g	OFDM	20	9	10	6–54	7	7–5	0.03-0.19	3	0.47	
						15		0.35-0.52		0.71	
						31		0.63-0.74		0.85	
						63		0.81-0.86		0.92	
						1,023		0.99		1.00	
802.11a	OFDM	20	9	16	6–54	7	7–5	0.03-0.19	3	0.47	
802.11a							15		0.35-0.52		0.71
						31		0.63-0.74		0.85	
						63		0.81-0.86		0.92	
						1,023		0.99		1.00	
		40	13	32	6–54	7	8–6	0.00-0.09	4	0.31	
						15		0.28-0.43		0.61	
						31		0.59-0.69		0.79	
						63		0.78 - 0.84		0.89	
						1,023		0.99		0.99	
		80	21	64	6–54	7	9–7	0.00-0.03	5	0.19	
						15		0.22-0.35		0.52	
						31		0.54-0.63		0.74	
						63		0.75-0.81		0.86	
						1,023		0.98-0.99		0.99	

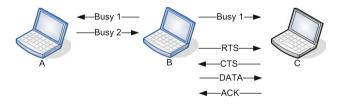


Fig. 3 Compatibility with legacy nodes

assure full compatibility with the IEEE 802.11 standard, the BusySiMOn protocol is extended in the following way. If a node implementing BusySiMOn does not receive a reply to *m* Busy 1 tones it assumes that all other nodes use the legacy IEEE 802.11. It then reverts to the traditional four-way handshake exchange. Additionally, a node implementing BusySiMOn can overhear Busy 1 signals from its neighboring nodes. Therefore, it becomes aware if a BusySiMOn-capable node appears within its range. After such a node is detected, it automatically falls back to normal operation. Furthermore, each node implementing BusySiMOn periodically transmits the Busy 1 signal with a probability proportional to the time it did not hear any BusySiMOn-like transmission. The probability of transmitting the Busy 1 signal is a protocol parameter that can be adjusted, e.g., depending on the network topology.

6 Throughput estimation

In this section we briefly present saturation throughput models of EDCA, the four-way handshake, and BusySi-MOn. This allows to estimate the signaling overhead and compare it with simulation results in Sect. 7.1.2. Good agreement of theoretical and simulation results validates the correct implementation of BusySiMOn in the ns-2 simulator

The analysis presented in this section is based on the EDCA throughput model that we proposed in [14]. For EDCA, the throughput of the *i*-th AC (S_i) is equal to the average duration of a successful transmission of a frame in this particular AC divided by the average duration of a

contention slot (T^{CS}) , in which the frame competes for medium access with all other frames:

$$S_i = \frac{p_i^S T^{DATA}}{T^{CS}},$$

where p_i^S is the probability of a successful transmission for a given AC and T^{DATA} is the average time spent on transmitting a frame.

A single transmission is successful if only one node transmits its data in a given slot time. Therefore, if τ_i is the probability of a transmission attempt in a generic slot time for the *i*-th AC, then

$$p_i^S = n_i \tau_i (1 - \tau_i)^{n_i - 1} \prod_{\substack{j=0 \ j \neq i}}^{N_c - 1} (1 - \tau_j)^{n_j},$$

where n_i is the number of nodes in the *i*-th AC and N_c is the number of ACs.

If T_e is the slot time, T^S the duration of a successful transmission, T^{C} the duration of a collision, p^{B} the probability of a busy medium, and $(1 - p^B)$ the probability of a free channel, we can rewrite T^{CS} as $(1 - p^B)T_e + \sum_{i=0}^{N_c-1}$ $p_i^S T^S + (p^B - \sum_{i=0}^{N_c-1} p_i^S) T^C$. Therefore, we get:

$$S_{i} = \frac{p_{i}^{S}T^{DATA}}{(1-p^{B})T_{e} + \sum_{i=0}^{N_{c}-1} p_{i}^{S}T^{S} + (p^{B} - \sum_{i=0}^{N_{c}-1} p_{i}^{S})T^{C}},$$

where $p^B = 1 - \prod_{j=0}^{N_c-1} (1 - \tau_j)^{n_j}$. Time intervals T^S and T^C depend on the access method used. In the case of the basic access method they are as follows:

$$T^{S} = AIFS_{i} + T^{DATA} + SIFS + T^{ACK} + 2\delta,$$

$$T^{C} = T^{DATA} + \delta + ACK^{Timeout} + AIFS_{i},$$

where δ denotes the propagation delay and $ACK^{Timeout}$ equals EIFS - DIFS.

In the case of the four-way handshake mechanism T^{S} and T^{C} are represented by the following equations:

$$T^{S} = T^{RTS} + T^{CTS} + AIFS_{i} + T^{DATA} + 3 \times SIFS + + T^{ACK} + 4\delta,$$

$$T^{C} = T^{RTS} + CTS^{Timeout} + AIFS_{i},$$

where $CTS^{Timeout} = EIFS - DIFS$. T^{RTS} and T^{CTS} is the time required to send the RTS and CTS frames, respectively.

In the case of BusySiMOn v1 (which exhibits the largest overhead) T^{S} and T^{C} are represented by the following equations:

$$T^{S} = T^{Busy1} + T^{Busy2} + T^{RTS} + T^{CTS} + AIFS_{i} + T^{DATA} + 5 \times SIFS + T^{ACK} + 4\delta,$$

$$T^{C} = T^{Busy1} + T^{Busy2} + 2 \times SIFS + T^{RTS} + CTS^{Timeout} + AIFS_{i},$$

where $T^{Busy 1}$ and $T^{Busy 2}$ is the time required to send the Busy 1 and Busy 2 signals, respectively. The remaining unknown variables (τ_i, p_i^S, p_i^B) can be calculated using the model presented in [14].

7 Simulation study

The BusySiMOn protocols were implemented in the ns-2.28 simulator, which was modified to allow the coexistence of three types of nodes: those which implement the Busy 1/Busy 2 exchange, those which use basic channel access method, and those which employ the four-way handshake mechanism.

In the simulations we assumed that the wireless channel introduced no errors, i.e., frame losses occurred only because of collisions. IEEE 802.11b was chosen as the PHY layer, although the BusySiMOn protocols can be applied to any other 802.11 PHY. The general conclusions presented in this section remain the same regardless of the chosen PHY. The EDCA parameters were set as defined by the IEEE 802.11 standard [8]. TXOPLimit was set to zero to avoid contention free bursting. Finally, in order to simulate the topologies with hidden nodes the Carrier Sensing Range (CSR) was decreased (from 550 to 263 m) so that every hidden node was out of the range of other hidden nodes. There were no exposed nodes. Networks meeting these requirements are presented in Fig. 4. They were used during simulations. Each simulation was repeated until for 95 % confidence intervals the error was smaller than 2 %.

Four different simulation scenarios are described in this section:

- Scenario 1: One AC per node, no hidden nodes, simple network topology. Goal: overhead study under saturation.
- Scenario 2: One AC per node, multiple hidden nodes, four network topologies. Goal :performance study under saturation.
- Scenario 3: One AC per node, multiple hidden nodes, complex network topology. Goal: performance study under non-saturation.
- Scenario 4: Four ACs per node, multiple hidden nodes, complex network topology, saturation. Goal: study of the impact of virtual collisions.

These scenarios allowed to answer the following questions regarding BusySiMOn: how large is the signaling overhead, what is the performance under saturation and nonsaturation, and what is the impact of virtual collisions on the protocol performance?

7.1 One AC per node

7.1.1 Evaluation criteria

The evaluation was done in terms of throughput and fairness obtained for different values of per-flow offered load. The per-flow offered load is the total number of bits generated by a single node for a single flow per time unit (second). Throughput is defined as the ratio of the number of correctly received bits per time unit. In the results presented in this section only the overall network throughput is considered. Fairness is measured with Jain's fairness index [9]:

$$Fairness = \frac{\left(\sum x_i\right)^2}{n \sum x_i^2},$$

where x_i is the average throughput of the *i*-th node for a particular AC and *n* is the number of nodes transmitting data with this AC.

If not defined differently the following four configurations were considered for networks presented in this section:

- Configuration 1: all nodes transmit VO traffic. In this case the competition among all nodes is the most severe due to low values of the EDCA medium access parameters.
- Configuration 2: all nodes transmit BK traffic. In this case the competition among nodes is the weakest due to high values of the EDCA medium access parameters.
- Configuration 3: node N0 transmits BK traffic, all other nodes transmit VO traffic. In this case the competition among hidden nodes is very severe due to low values of the EDCA medium access parameters.
- Configuration 4: node N0 transmits VO traffic, all other nodes transmit BK traffic. In this case the competition

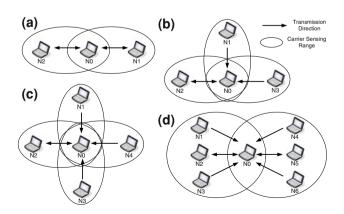


Fig. 4 Networks with hidden nodes: a three-node star topology network b four-node star topology network c five-node star topology network d complex star topology network

among hidden nodes is weak due to high values of the EDCA medium access parameters.

Additionally, the most complex network (Fig. 4d) was evaluated in terms of the maximum frame delay defined as the maximum time difference between frame generation at the source node and its successful reception at the destination node. This was computed separately for each simulated AC.

From the list of available MAC protocols for networks with hidden nodes (Table 1) the four-way handshake was chosen for the presented comparison. This is because, as it was previously mentioned, the four-way handshake mechanism is the only solution recommended by the IEEE 802.11 standard to be used in environments with hidden nodes and it is the only solution implemented in current wireless drivers. Additional discussion on the differences between BusySiMOn and other QoS-aware MAC protocols is provided in Sect. 9.

7.1.2 Scenario 1: overhead study

In order to compare the impact of the overhead introduced by BusySiMOn v1 (i.e., the additional Busy 1 and Busy 2 signals) and the four-way handshake mechanism, a twonode network was investigated. Both simulation results (obtained from the ns-2 simulator) and mathematical results (calculated with the use of the model described in Sect. 6) are gathered in Table 4. Good agreement of simulation and theoretical results validates the correct implementation of BusySiMOn in ns-2.

The impact of the overhead (OH) on the network performance was calculated using the following equations:

$$OH_{4WH} = \frac{|ONT_{EDCA} - ONT_{4WH}|}{ONT_{EDCA}} \times 100\%$$

and

$$OH_{BS} = \frac{|ONT_{EDCA} - ONT_{BS}|}{ONT_{EDCA}} \times 100\%$$

where ONT_{EDCA} , ONT_{4WH} , and ONT_{BS} stand for the overall network throughput for EDCA, the four-way handshake, and BusySiMOn v1, respectively.

Table 4 Overhead study for two-node network under saturation	Table 4	Overhead	study	for	two-node	network	under	saturation
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Configuration	OH _{4WH} (Simulation)	OH _{4WH} (Model)
1: N0, N1 = VO	31 %	30 %
2: N0, N1 = BK	29 %	29 %
3: N0 = VO, N1 = BK	31 %	32 %
Configuration	OH _{BS} (Simulation)	OH _{BS} (Model)
1: N0, N1 = VO	30 %	32 %
2: N0, N1 = BK	30 %	31 %
3: N0 = VO, N1 = BK	32 %	34 %

Table 5 Simulation results

C	ONT (EDCA)	F (EDCA)	ONT (4WH)	F (4WH)	ONT (w/o HN)	F (w/o HN)	ONT (BS1)	F (BS1)	ONT (BS2)	F (BS2)	ONT (BS3)	F (BS3)
Th	ree-node net	work (Fig. 4	a)									
1	90.29	0.33	298.95	0.50	594.21	1	434.54	0.89	434.54	0.89	499.71	0.93
2	538.35	0.75	417.44	0.81	600.09	1	422.60	0.98	538.35	0.75	479.78	0.98
3	3.90	N/A	109.27	N/A	640.64	N/A	413.13	N/A	415.21	N/A	415.21	N/A
4	706.47	N/A	474.66	N/A	705.74	N/A	466.70	N/A	465.75	N/A	707.19	N/A
Fo	ur-node netw	ork (Fig. 4b)									
1	15.39	0.25	141.04	0.54	562.92	1	418.10	0.82	418.10	0.82	468.10	0.88
2	445.28	0.68	402.49	0.61	597.55	1	421.10	0.94	445.28	0.68	471.08	0.95
3	0.5	N/A	62.29	N/A	594.60	N/A	388.84	N/A	389.17	N/A	398.17	N/A
4	450.67	N/A	408.66	N/A	632.29	N/A	437.91	N/A	393.25	N/A	510.33	N/A
Fiv	ve-node netw	ork (Fig. 4c))									
1	55.27	0.25	31.38	0.61	525.57	1	402.54	0.78	402.54	0.78	438.60	0.83
2	398.22	0.51	391.34	0.50	588.79	1	419.61	0.89	398.22	0.51	464.77	0.90
3	2.44	N/A	77.36	N/A	553.04	N/A	361.38	N/A	376.51	N/A	376.51	N/A
4	401.05	N/A	396.71	N/A	627.07	N/A	437.31	N/A	356.92	N/A	508.90	N/A
Co	mplex star to	pology (Fig	. <mark>4</mark> d)									
1	9.18	0.25	224.17	0.39	536.88	1	349.29	0.88	349.29	0.88	421.32	0.74
2	427.76	0.43	370.43	0.55	609.38	1	406.02	0.93	427.76	0.43	450.96	0.86
3	0.00	N/A	94.43	N/A	575.00	N/A	368.32	N/A	373.00	N/A	373.00	N/A
4	647.52	N/A	473.80	N/A	681.19	N/A	462.97	N/A	462.54	N/A	663.45	N/A

C configuration, ONT overall network throughput [KB/s], F fairness, 4WH EDCA with RTS/CTS, w/o HN EDCA without hidden nodes, BSI BusySiMOn v1, BS2 BusySiMOn v2, BS3 BusySiMOn v3

In general the overhead introduced by the BusySiMOn signaling impacts the analyzed network performance more than the overhead introduced by the four-way handshake mechanism. Obviously, such performance was expected because BusySiMOn introduces additional Busy 1 and Busy 2 signals to the traditional four-way handshake exchange. However, the overhead is only slightly larger than for the four-way handshake and is offset by the improved performance of BusySiMOn, as described in the following subsections.

7.1.3 Scenario 2: performance study under saturation

When analyzing the performance of the BusySiMOn protocols under saturation the following set of outcomes was expected:

- 1. the individual throughput values of the nodes should be the highest,
- 2. the overall network throughput should be similar to that of EDCA without hidden nodes and without RTS/CTS,
- the protocol should perform the best when VO is the dominant traffic in the network (Configurations 1 and 3), slightly worse performance for BK traffic can be accepted (Configurations 2 and 4),
- 4. the Jain's fairness index should be near to one,

5. the above outcomes should be valid regardless of the configuration.

7.1.3.1 Results The performance of the new protocols was evaluated in four exemplary wireless ad-hoc networks with hidden nodes (Fig. 4). In each network node N0 was the only unhidden node. Other nodes, which belong to different collision domains (defined in this article as carrier sensing ranges), were hidden from each other. In our simulations we do not consider networks with exposed nodes because BusySiMOn was designed to minimize only the impact of hidden nodes.

The simulation results, gathered for the four configurations defined in Sect. 7.1.1, are presented in Table 5. These are the results from simulating five different MAC protocols (i.e., EDCA, EDCA with RTS/CTS, BusySiMOn v1, BusySiMOn v2, and BusySiMOn v3) in four different networks (Fig. 4). Furthermore, the tables contain results obtained for networks without hidden nodes.⁵

Based on the gathered results a comparison of the protocol efficiency was performed (Table 6). The comparison

⁵ These networks were formed by extending the CSR of the nodes for each of the analyzed networks so that all nodes were within the range of each other.

Table 6 Comparison of protocol efficiency

Configuration	Overall network throughput	Fairness	Similarity
Three-node network	(Fig. 4a)		
1	EDCA < 4WH < BS1, BS2 < BS3	EDCA < 4WH < BS1, BS2 < BS3	BS3: 84 %
2	4WH < BS1 < BS3 < BS2, EDCA	EDCA, BS2 < 4WH < BS1, BS3	BS3: 80 %
3	EDCA < 4WH < BS1, BS2, BS3	N/A	BS3: 65 %
4	BS1, BS2 < 4WH < EDCA, BS3	N/A	BS3: 100 %
Four-node network ((Fig. 4b)		
1	EDCA < 4WH < BS1, BS2 < BS3	EDCA < 4WH < BS1, BS2 < BS3	BS3: 83 %
2	4WH < BS1 < EDCA, BS2 < BS3	4WH < EDCA, BS2 < BS1 < BS3	BS3: 79 %
3	EDCA < 4WH < BS1, BS2, BS3	N/A	BS3: 65 %
4	BS2 < 4WH < BS1 < EDCA < BS3	N/A	BS3: 81 %
Five-node network (Fig. 4c)		
1	4WH < EDCA < BS1, BS2 < BS3	EDCA < 4WH < BS1, BS2 < BS3	BS3: 83 %
2	4WH < EDCA, BS2 < BS1 < BS3	4WH < EDCA, BS2 < BS1 < BS3	BS3: 79 %
3	EDCA< 4 WH < BS1 < BS2, BS3	N/A	BS3: 68 %
4	BS2 < 4WH < EDCA < BS1 < BS3	N/A	BS3: 81 %
Complex star topolo	gy (Fig. 4d)		
1	EDCA < 4WH < BS1, BS2 < BS3	EDCA < 4WH < BS3 < BS1, BS2	BS3: 78 %
2	4WH < BS1 < EDCA, BS2 < BS3	EDCA, BS2 < 4WH < BS3 < BS1	BS3: 74 %
3	EDCA < 4WH < BS1 < BS2, BS3	N/A	BS3: 65 %
4	BS1, BS2 $<$ 4WH $<$ EDCA $<$ BS3	N/A	BS3: 97 %

Similarity Similarity to EDCA w/o Hidden Nodes w/o RTS/CTS, 4WH EDCA with RTS/CTS, BS1 BusySiMOn v1, BS2 BusySiMOn v2, BS3 BusySiMOn v3

was done using the following metrics: *overall network throughput* (computed as the sum of all per-flow throughput values), *fairness* (measured with Jains fairness index), and *similarity to a network without hidden nodes* for the basic channel access, which was calculated using the following equation:

Similarity =

$$= \frac{ONT_{\text{BusySiMOn, network w/hidden nodes}}}{100\%} \times 100\%$$

ONT_{EDCA, network w/o hidden nodes}

The general conclusions regarding all of the tested networks are the following:

- BusySiMOn v3 performs the best in terms of the overall network throughput and fairness.
- The similarity of BusySiMOn v3 to EDCA without hidden nodes is within the range 65–100 %, which is very good because for other protocols the similarity factor is lower.
- If VO traffic is dominant (Configurations 1 and 3) BusySiMOn v1 and v2 are better than EDCA with and without RTS/CTS. This is because of the increased probability of successful wireless channel reservation during the preliminary reservation phase.
- In Configuration 3 for EDCA, nodes can hardly send any data. Such performance is unacceptable.

Additional detailed conclusions are presented next. For the three-node network (Fig. 4a):

• In Configuration 2 the fairness of BusySiMOn v2 is lower than for RTS/CTS. However, this is not very meaningful because for BusySiMOn v2 each node obtains higher throughput than for RTS/CTS.

For the four-node network (Fig. 4b):

• In Configuration 2 EDCA performs slightly better than BusySiMOn v1 in terms of the overall network throughput. However, BusySiMOn v1 assures much better fairness.

For the five-node network (Fig. 4c):

- BusySiMOn v1 is always better than EDCA and EDCA with RTS/CTS in terms of both the overall network throughput and fairness.
- In the first configuration RTS/CTS lowers the throughput of nodes in comparison to EDCA. On the other hand, it considerably improves fairness.

For the complex star topology network (Fig. 4d):

• In Configuration 2 EDCA performs better than BusySiMOn v1 in terms of the overall network throughput. However, BusySiMOn v1 assures much better fairness. • In Configuration 4 EDCA performs better than BusySiMOn v1 because BK traffic has large *CW* values and N0 (transmitting VO traffic) is the only unhidden node. Therefore, in this configuration it is better if N0 does not make any channel reservations and avoids unnecessary decrease of throughput.

To summarize the performance of the studied MAC protocols, it can be concluded that EDCA does not work properly in Configurations 1 and 3. This situation is slightly improved by the four-way handshake mechanism and even more improved by the BusySiMOn protocols. Additionally, even though the BusySiMOn protocols do not always improve the performance of EDCA in Configurations 2 and 4, they perform satisfactorily in these configurations and, therefore, their overall performance is very adequate. This is in contrary to EDCA which has extremely low overall throughput in Configurations 1 and 3. Among the three BusySiMOn protocols BusySiMOn v3 performs most convincingly. Therefore, it is the best candidate for implementation in a real driver.

7.1.4 Scenario 3: performance study under non-saturation

When analyzing the performance of BusySiMOn under non-saturation the following set of outcomes is expected:

- 1. the throughput should be the highest,
- 2. the maximum frame delay of VO traffic should be less than 150 ms,
- 3. the performance of the protocol should be the best when VO is the dominant traffic in the network (Configurations 1 and 3), slightly worse performance for BK traffic can be accepted (Configurations 2 and 4).

7.1.4.1 Results So far, it has been shown that the overall performance of BusySiMOn (especially BusySiMOn v3) is better than the performance of EDCA and the four-way handshake mechanism in terms of the overall network throughput and fairness when VO is the dominant traffic in the network. However, for delay-sensitive traffic it is the maximum frame delay which is the most important constraint. We assume that a VO service can tolerate a maximum frame delay of 150 ms. Frames with greater delay are dropped. Therefore, the maximum values of the perflow offered load were found under which the wireless network was not yet saturated. This was done separately for BusySiMOn v3 and the four-way handshake for Configuration 1 (45 KB/s and 53.75 KB/s, respectively) and Configuration 3 (56.88 KB/s and 63.75 KB/s, respectively). Then, the two protocols were compared together and, additionally, with BusySiMOn v1 and v2. The comparison was done with regard to the overall throughput and the maximum frame delay obtained for the acquired values of the network load. This scenario was executed for the most complex network illustrated in Fig. 4d. Table 7⁶ contains the obtained results.

The general conclusions are the following:

- Only BusySiMOn v3 assures fulfillment of delay constraints for VO traffic.
- The maximum throughput of the four-way handshake under the delay constraint is worse than the throughput of each BusySiMOn protocol.
- The maximum delay of BK traffic is always lower for the BusySiMOn protocols than for the four-way handshake mechanism.
- The fairness of the BusySiMOn protocols is always higher than the fairness of the four-way handshake mechanism.

To summarize, in each analyzed configuration the new mechanisms perform better than EDCA with RTS/ CTS not only in terms of the maximum frame delay but also in terms of fairness and overall network throughput. This means that with the use of the BusySiMOn protocols delaysensitive traffic is provided with a better level of QoS than with the use of the four-way handshake mechanism.

7.2 Scenario 4: Four ACs per node—performance study under saturation

In this section the impact of virtual collisions on the performance of BusySiMOn v1⁷ and the four-way handshake mechanism is investigated. The two protocols were evaluated in the network illustrated in Fig. 5, which consists of two collision domains. N0 is the only unhidden node. The number of nodes hidden from each other was increased from 10 to 40 (i.e., there were from 5 to 20 nodes in each domain). Hidden nodes were simultaneously transmitting data belonging to all four ACs to N0. Node N0 did not transmit any data.

The results are presented in Fig. 6. For clarity of presentation the figure illustrates throughput only for two ACs (solid lines). The VO and BK ACs were chosen as the most opposite. Additionally, the figure illustrates the overall throughput per collision domain (dashed lines), which is the sum of throughput of all ACs.

The conclusions are the following:

⁶ Table 7 does not contain the results for BusySiMOn v2, because in Configuration 1 they were the same as for BusySiMOn v1 and in Configuration 3 they were the same as for BusySiMOn v3.

⁷ In this scenario the performance of BusySiMIOn v3 is similar to the performance of BusySiMOn v1 because only hidden nodes transmit data.

Table 7 Results for complex star topology (Fig. 4d) for non-saturation

PFOL	С	ONT (RTS)	F (RTS)	AFD ¹ (RTS)	AFD ² (RTS)	ONT (BS1)	F (BS1)	AFD ¹ (BS1)	AFD ² (BS1)	ONT (BS3)	F (BS3)	AFD ¹ (BS3)	AFD ² (BS3)
45	1	175.64	0.52	9.9	65–150	351.43	0.89	3.2	3.5	351.13	0.89	2.27	2.95
53.75	1	185.10	0.46	13.7	11,000	349.54	0.88	5,400	11,000	420.30	0.89	3.8	8
56.88	3	108.52	N/A	165,000	88-113	435.90	N/A	4,750	4.3	443.94	N/A	10.3	3.5
63.75	3	94.29	N/A	167,500	8,200	428.67	N/A	8,860	6.5	462.10	N/A	5,490	5.1

PFOL Per-Flow Offered Load [KB/s], *C* configuration, *ONT* overall network throughput [KB/s], *F* fairness, *RTS* EDCA with RTS/CTS, *AFD*¹ average frame delay for N0 [ms], *AFD*² average frame delay for other nodes [ms], *BS1* BusySiMOn v1, *BS3* BusySiMOn v3

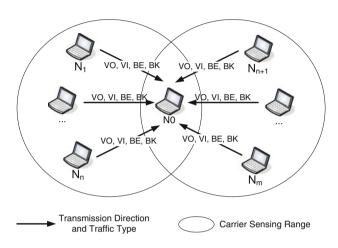


Fig. 5 Simulated network with four ACs per node

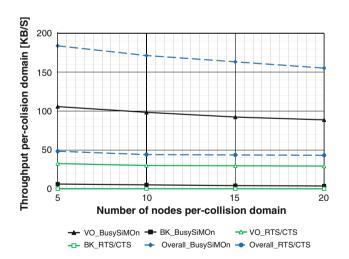


Fig. 6 Results for the network with four ACs per node

- Both the four-way handshake mechanism and BusySi-MOn provide proper traffic prioritization.
- BusySiMOn assures over 3.5 times higher network utilization than the four-way handshake mechanism.
- The increase of the number of nodes in each domain does not meaningfully impact the per-AC throughput.

For EDCA without RTS/CTS the hidden nodes could not successfully transmit any data due to a large number of collisions. Therefore, these unsatisfying results are not presented here.

8 Estimation of energy consumption

In BusySiMOn the transmission of additional busy tone signals (Busy 1 and Busy 2) consumes additional energy to complete the reservation procedure, compared to the fourway handshake mechanism. In this section the power consumption overhead is analyzed.

8.1 Total energy consumption

The total energy consumed by a WLAN (Wireless Local Area Network) card includes (i) energy consumed when transmitting frames (e.g. DATA, RTS, CTS) or busy tones, (ii) energy consumed in the process of receiving frames, and (iii) energy consumed when the device is idle. It is obvious that more power is consumed when performing a data transfer than when receiving frames, however, power consumption of an idle device is also not negligible. In an idle state the WLAN device senses the wireless channel to detect its state and to detect the start of a new frame transmission. This consumes significant amount of energy.

Table 8 shows power consumed by several popular WLAN chipsets in each of the three states: transmission, reception, and idle [33]. As anticipated, the older cards (e.g., WaveLAN, Intersil PRISM I) manufactured with older technology consume more energy than modern IEEE 802.11 combo cards.

8.2 Energy consumption overhead

We have compared power consumption of BusySiMOn v1 (which is always using the additional Busy 1 and Busy 2 signals) and EDCA with the four-way handshake mechanism enabled in a network without hidden nodes. In the comparison we assumed IEEE 802.11b as the default PHY layer. The wireless channel introduced no errors. The

Device	WaveLAN	Atheros AR5001X	Intel Pro Wireless 2100	Intersil PRISM I	Cisco Aironet 350	Intel Pro Wireless 3945ABG	Cisco aironet AIR- CB21AG
Supported PHY	802.11/ 802.11b	802.11/ 802.11b	802.11/ 802.11b	802.11/ 802.11b	802.11/ 802.11b	802.11abg	802.11abg
Tx [W]	1.65	1.35	1.91	2.50	1.75	1.80	1.80
Rx [W]	1.40	1.02	1.39	0.90	1.25	1.40	1.05
Idle [W]	1.15	0.89	0.29	0.11	0.19	0.15	0.67

Table 8 Power consumption rates of different vendor 802.11 WLAN cards for transmission (Tx), reception (Rx), and idle states

DATA rate was set to 11 Mb/s, and the basic rate was set to 1 Mb/s. The size of DATA frames was set to 1000 B. The network consisted of two nodes: one was receiving and the second was transmitting. Two different configurations were considered:

- Configuration 1: transmission of Vo traffic, saturation conditions.
- Configuration 2: transmission of BK traffic, saturation conditions.

Let the time period for which the WLAN card is in transition, reception, and idle mode be T_t , T_r , and T_i , respectively. Additionally, let the power consumed in these states be P_t , P_r , and P_i , respectively. Then, the energy consumption can be defined as $P_{cons} = P_t T_t + P_r T_r + P_i$ T_i . Now we can derive the equations for a single transmission period of BusySiMOn and EDCA with the four way-handshake mechanism enabled. The transmitting and receiving nodes are marked with subscripts Tx and Rx, respectively.

$$\begin{split} P_{cons}[BusySiMOn_{Tx}] &= \\ &= P_t \times (T^{Busy1} + T^{RTS} + T^{DATA}) + \\ &+ P_r \times (T^{Busy2} + T^{CTS} + T^{ACK}) + \\ &+ P_i \times (AIFS + Backoff + 5 \times SIFS) \\ P_{cons}[BusySiMOn_{Rx}] &= \\ &= P_t \times (T^{Busy2} + T^{CTS} + T^{ACK}) + \\ &+ P_r \times (T^{Busy1} + T^{RTS} + T^{DATA}) + \\ &+ P_i \times (AIFS + Backoff + 5 \times SIFS) \\ P_{cons}[EDCA_{Tx}] &= \\ &= P_t \times (T^{RTS} + T^{DATA}) + \\ &+ P_r \times (T^{CTS} + T^{ACK}) + \\ &+ P_i \times (AIFS + Backoff + 3 \times SIFS) \\ P_{cons}[EDCA_{Rx}] &= \\ &= P_t \times (T^{RTS} + T^{DATA}) + \\ &+ P_r \times (AIFS + Backoff + 3 \times SIFS) \end{split}$$

Based on the presented equations it is possible to calculate the amount of energy required for a single DATA

transmission by different WLAN cards using either BusySiMOn or EDCA with the four-way handshake mechanism enabled. Figures 7 and 8 present the consumed power defined in watts required for a single DATA transmission for Configurations 1 and 2, respectively.

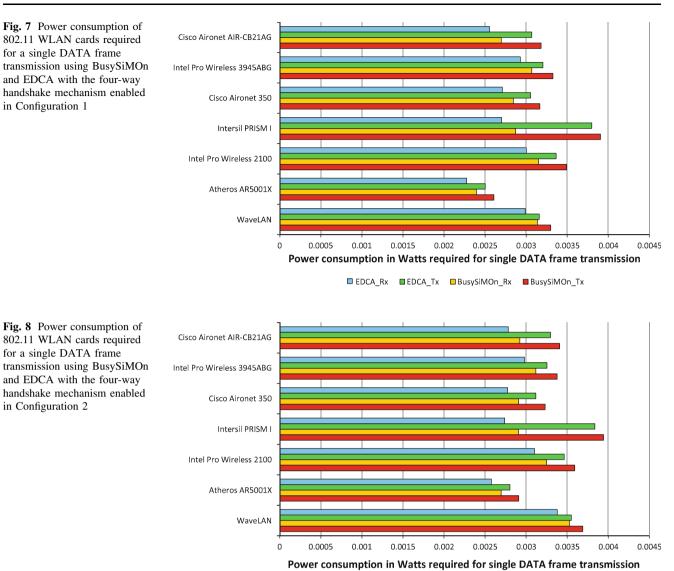
The obtained results show that the difference in energy consumption during a single DATA frame transmission between BusySiMOn and EDCA can reach up to 6 %. This is caused by the additional signaling overhead introduced by the BusySiMOn protocol. The difference is smaller for a transmission state than for a reception state. Moreover, it is almost independent from the type of the IEEE 802.11 WLAN card. Finally, all WLAN cards consume more energy in Configuration 2 (transmitting BK traffic) than in Configuration 1 (transmitting Vo traffic). This is because of the lager Backoff periods of the node transmitting BK traffic compared to the Backoff periods of the node transmitting Vo traffic.

9 Comparison with other protocols

In this section we explain the differences in operation of BusySiMOn and other busy tone-based protocols (PUMA and Black Burst). Additionally, we clarify why we compared BusySiMOn only with the four-way handshake mechanism (operating together with EDCA) and not with other QoS-aware MAC protocols (cf. Table 1).

9.1 Busy tone-based protocols

PUMA and Black Burst both operate similarly. In Black Burst, nodes sending real-time traffic use pulses of energy, which are called Black Bursts (BB), to contend for medium access. The length of these pulses is proportional to the time the nodes had to wait for the channel to become idle. This delay is measured from the first attempt to access the channel by a node until its transmission starts. After transmitting its BB, the node waits for a specified time interval to see if any other node is transmitting a longer BB. If the channel is perceived idle after this interval, then the node can immediately transmit its real-time frame.



■ EDCA Rx ■ EDCA Tx ■ BusySiMOn Rx ■ BusySiMOn Tx

Otherwise, it waits for the next channel access cycle and repeats the algorithm. In PUMA, all nodes sending realtime frames start its transmission simultaneously (after PIFS) and send a JAM signal. The JAM signal consists of pulses of energy and has the length of one slot. This signal informs all other nodes (especially nodes sending non realtime frames) that in their neighborhood a real-time transmission will begin.

In BusySiMOn the situation is slightly different because not only the sender but also the destination node transmits the jamming signals (Busy 1 and Busy 2, respectively). Additionally, BusySiMOn uses these signals to, most of all, alleviate the hidden node problem and not for traffic prioritization (cf. BusySiMOn v3). Traffic prioritization in BusySiMOn is realized through EDCA compatibility (in particular, the support of AC-dependent *AIFSN*, *CW* and *TXOPLimit* values).

9.2 QoS-aware MAC protocols

The state of the art (Table 1) there are three types of protocols which support QoS:

- Busy tone-based (Black Burst, PUMA): these protocols do not support the EDCA traffic categories defined by the IEEE 802.11 standard. Therefore, a comparison of BusySiMOn with this group of protocols would not be complete.
- RTS/CTS-based (AA, M-VRMA, DRCE, EDCA/RR): not all of these protocols are backward compatible with EDCA. But most of all, their operation is based on the RTS/CTS frames (sometimes modified) and, therefore, they perform similarly to the four-way handshake mechanism in medium access. The Busy 1 and Busy 2 signals proposed by BusySiMOn are shorter than the

signaling frames introduced by this group of protocols and, therefore, the probability of successful reservation of the wireless channel is higher for BusySiMOn. This was shown for the four-way handshake mechanism in our paper.

• Binary countdown-based (RICK): the medium access method used by this group of protocols is not backward compatible with the IEEE 802.11 standard. Additionally, they do not support the access categories defined by EDCA.

Based on the above explanation, it appears reasonable that the performed comparison of BusySiMOn with the four-way handshake protocol (operating together with EDCA) is the most accurate and adequate.

10 Conclusions

This article has presented a new method of preliminary reservation of the wireless channel for IEEE 802.11 ad-hoc networks with hidden nodes. The simulation results have demonstrated that the currently used four-way handshake mechanism is inefficient, especially for high priority flows transmitted by hidden nodes. It has been shown that all three versions of the BusySiMOn protocol can improve network performance. The new solutions have been shown to outperform the four-way handshake mechanism not only in the case of physical collisions but also in the case of virtual ones. Furthermore, since the operation of BusySi-MOn v3 is the most promising it is the best candidate for implementation in a real driver.

The key advantage of all three versions of BusySiMOn is the minimized risk of collisions of signaling data during the preliminary wireless channel reservation. This is of great importance especially for high priority traffic because it results in increased channel efficiency, reduced maximum frame delay and improved fairness among the nodes. Additionally, the combination of the preliminary reservation procedure with the RTS/CTS exchange and the unchanged values of the EDCA access parameters assures compatibility with mechanisms implemented in current wireless devices and makes it an ideal candidate for future, enhanced implementation.

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Appendix: A probability of successful channel reservation by hidden nodes

In this appendix we explain how to calculate the lower bound of the probabilities of successful channel reservation by hidden nodes when the four-way handshake mechanism $(p_s^{H,RTS})$ and BusySiMOn $(p_s^{H,Busy1})$ are used, respectively. Similarly as in Sect. 4, we consider the first scenario illustrated in Fig. 2. Additionally, for clarity of presentation, we consider the OFDM PHY with 20 µs of PLCP overhead, an STP of 9 µs, and a SIFS of 16 µs.

Four-way handshake

First, we consider a situation in which one of the hidden nodes transmits an RTS frame to the middle node. The assumed OFDM PHY determines the number of STPs required to transmit the RTS frame (together with the PLCP overhead), which is equal to 5.19. After the RTS frame is correctly received, the middle node listens to the wireless channel for a SIFS period and then, if the channel is idle, it transmits a CTS frame. Therefore, we calculate the natural number of STPs required to correctly complete the described procedure (T_{RTS}):

$$T_{RTS} = [RTS + SIFS]$$

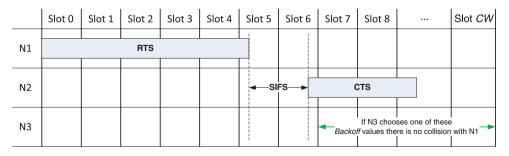


Fig. 9 Successful channel reservation by a hidden node for the OFDM PHY with 20 µs of PLCP overhead. RTS includes the PLCP overhead

where *RTS* and *SIFS* are the numbers of STPs required to transmit the RTS frame and wait for the SIFS period, respectively. In Fig. 9 we present an exemplary successful channel reservation by one of the hidden nodes.

For the assumed PHY, T_{RTS} is equal to seven, therefore, if we additionally assume a *Backoff* in which CW + 1 is equal to eight, we can calculate the probability of successful channel reservation by either of the hidden nodes p_s^H . It is equal to the probability that one of the hidden nodes transmits without any *Backoff* (i.e., in *slot 0*) and the other one chooses seven *Backoff* slots (i.e., it can transmit in *slot 7* if the medium is idle). In all other cases p_s^H equals zero. We calculate this as

$$p_{s}^{H,CW=7} = {\binom{2}{1}} \frac{1}{CW+1} \times \frac{CW+1-T_{RTS}}{CW+1}.$$
 (1)

Similarly, for *CW* equal to 15, the successful channel reservation by either of the hidden nodes is possible if one of the hidden nodes chooses a *Backoff* value of 0 and the other hidden node chooses 7, 8, ..., or 15, or if one of the hidden nodes chooses a *Backoff* value of 1 and the other hidden node chooses 8, 9..., or 15, etc. We calculate this as

$$p_{s}^{H,CW=15} = {\binom{2}{1}} \left(\frac{1}{CW+1} \frac{CW+1 - T_{RTS}}{CW+1} + \frac{1}{CW+1} \frac{CW-T_{RTS}}{CW+1} + \dots + \frac{1}{CW+1} \frac{1}{CW+1} \right) = \frac{(CW-T_{RTS}+1)(CW-T_{RTS}+2)}{(CW+1)^{2}}.$$
(2)

For larger *CWs* general equations for p_s^H are the same as Eq. 2.

Taking into account different PHYs (cf. Table 3) it may occur that the number of STPs required for T_{RTS} is larger than the assumed *CW* (i.e., $\alpha = CW - T_{RTS} < 0$). If this case p_s^H is equal to zero. Therefore, from this observation as well as (1) and (2) we get the general equation for the probability of the successful channel reservation by either of the hidden nodes for the four-way handshake mechanism $(p_s^{H,RTS})$:

$$p_s^{H,RTS} = \begin{cases} 0, & \text{if } \alpha < 0\\ \frac{(\alpha+1)(\alpha+2)}{(CW+1)^2}, & \text{if } \alpha \ge 0 \end{cases}$$

BusySiMOn

For BusySiMOn, the calculation of the lower bound of the probability of successful channel reservation by the hidden nodes $(p_s^{H, Busy 1})$ is the same as for the four-way hand-shake. The only difference is that instead of the RTS frame we take into account the Busy 1 signal:

$$p_s^{H,Busy1} = \begin{cases} 0, & \text{if } \beta < 0\\ \frac{(\beta+1)(\beta+2)}{(CW+1)^2}, & \text{if } \beta \ge 0 \end{cases}$$

where $\beta = CW - T_{Busy1}$.

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Author Biographies



Kosek-Szott Katarzyna received her M.Sc. and Ph.D. degrees in telecommunications (both with honours) from the AGH University of Science and Technology, Krakow, Poland in 2006 and 2011, respectively. Currently she is working as an assistant professor at the Department of Telecommunications, AGH University of Science and Technology.Her general research interests are focused on wireless networking. The major topics include wireless LANs

(especially ad-hoc networks) and quality of service provisioning within these networks. She is a reviewer for international journals and conferences. She has been involved in several European projects: DA-IDALOS II, CONTENT, CARMEN, FLAVIA, PROACTIVE as well as grants supported by the Polish Ministry of Science and Higher Education. She has also co-authored a number of research papers.



Marek Natkaniec received the M.Sc. and Ph.D. degrees in telecommunications from the Faculty of Electrical Engineering, AGH University of Science and Technology, Cracow, Poland in 1997 and 2002, respectively. In 1997 he joined AGH University of Science and Technology. Now, he works as an assistant professor at the Department of Telecommunications. His general research interests are in wireless networks. Particular topics include wireless LANs,

designing of protocols, modeling and performance evaluation of communication networks, quality of service, and cooperation of networks. He is a reviewer to international journals and conferences. He has/had actively participated in European projects: MOCOMTEL, PRO-ACCESS, DAIDALOS I, DAIDALOS II, CONTENT, CARMEN, MEDUSA, HECTOR, FLAVIA, PROACTIVE as well as grants supported by the Ministry of Science and Higher Education. He is involved in standardization activities for ETSI. He serves as an expert and a consultant to telecom operators in the area of wireless networks. Marek Natkaniec co-authored five books and over 100 research papers. He is a senior member of IEEE.



Andrzej R. Pach received the M.S. degree in electrical engineering and the Ph.D. degree in telecommunications from the University of Science and Technology, Krakow, Poland, in 1976 and 1979, respectively, and the Ph.D.Hab. in telecommunications and computer networks from the Warsaw University of Technology in 1989. In 1979, he joined the Department of Telecommunications at the University of Science and Technology, where he

is currently a Professor and Chair. He spent his sabbatical leaves at CNET, France and University of Catania, Italy. He is the Vice-President of the Foundation for Progress in Telecommunications and serves as a chairman of the IEEE Communications Society Chapter. He has been a consultant to governmental institutions and telecom operators in modern telecommunication networks. His research interests include design and performance evaluation of broadband networks, especially quality of service and network performance of access networks and wireless LANs. He has/had actively participated in COST, Eureka Celtic, and 4th, 5th, 6th, 7th FP European programs (ACTS, ESPRIT, IST, ICT, and Security). He co-authored more than 250 publications including 6 books. He served as a technical editor to IEEE Communications Magazine and is an editor-in-chief to Digital Communications—Technologies and Services. He has also been appointed as an expert in Information and Communications Technologies by the European Commission.