

Theoretical utmost performance of (100) mid-wave HgCdTe photodetectors

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Abstract HgCdTe detectors designed to detect mid-wavelength (3–5 μm) infrared radiation must be cooled to reach the required performance. The cooling requirement makes the sensor system both expensive and bulky and the fundamental goal is to reach higher operating temperature condition preserving near background limited performance with high detectivity and high speed response at the same time. In order to reach higher operating temperature condition the thermal generation rate must to be suppressed under the photon generation rate. Except Auger 7 generation-recombination process, *p*-type HgCdTe is mostly limited by technology dependent Shockley-Read-Hall generation-recombination mechanism. One of the ways to reduce the trap density is a growth of the (100) HgCdTe on GaAs substrates. That orientation allows reaching lower carrier concentration $\sim 5 \times 10^{14} \text{ cm}^{-3}$ in comparison to the commonly used (111) orientation $\sim 5 \times 10^{15} \text{ cm}^{-3}$ in mid-wavelength infrared range. In addition, it was presented that Shockley-Read-Hall traps density could be reduced to the level of $\sim 4.4 \times 10^8 \text{ cm}^{-3}$. The theoretical simulations related to the utmost performance of the (100) HgCdTe Auger suppressed structures are presented. Dark current is reported to be reduced by more than one order of magnitude within the range $\sim 6 \times 10^{-2} - 3 \times 10^{-3} \text{ A/cm}^2$. Detectivity increases within range $\sim 3 - 12 \times 10^{11} \text{ cm Hz}^{1/2}/\text{W}$ (wavelength $\sim 5 \mu\text{m}$) at temperature 200 K and voltage 200 mV.

Keywords (100) HgCdTe orientation · MWIR · HOT

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1 Introduction

Without optical immersion (GaAs substrate-converted into immersion lens) mid-wave-length infrared radiation (MWIR) HgCdTe photovoltaic detectors are reported to exhibit nearly background limited performance (BLIP) with performance close to the generation-recombination (GR) limit, but well designed optically immersed devices approach BLIP condition when thermoelectrically cooled with 2-stage Peltier coolers (Piotrowski and Rogalski 2007; Rogalski 2011). As substrates, epitaxially grown GaAs wafers of different orientation and interdiffused multilayer process (IMP) are used in HgCdTe growth by MOCVD in our laboratory (Irvine 1992). Epitaxially grown (100) GaAs substrates exhibit $\sim 14.6\%$ lattice mismatch with CdTe buffer layer used in our structures. That mismatch allows to grow both (100) and (111) HgCdTe orientations. That mostly depends on substrate disorientation, nucleation conditions and growth temperature. In addition, the monolithic GaAs optical immersion results in significant improvement in detectivity by $\sim n^2$, where n stands for GaAs refractive index giving flexibility in detector's optimization in terms of time constant (τ_s) and detectivity (D^*) (Piotrowski and Rogalski 2004).

Figure 1a, b shows the difference in surface morphology between two analyzed HgCdTe orientations. As it is presented in Fig. 1, the (100) HgCdTe orientation tends to be almost mirror-smooth. In addition (100) HgCdTe epilayers have higher p -type Arsenic (As) doping efficiency and is an attractive plane for fabrication of the abrupt heterojunctions.

Even though the (100) surface morphology is superior to the (111), the (100) orientation is characterized by pyramid-shaped macrodefects known as *hillocks* shown in both surface and cleavage presented in Fig. 2a, b. Epilayers with *hillocks* are practically useless for device fabrication. Origin of *hillocks* is not fully understood at present. They were found to be expanded defects created during CdTe buffer growth and further HgCdTe deposition only enlarges them. Several approaches to prevent *hillocks* creation have been tried: zinc nucleation layer, different Cd/Te ratios, different substrates orientations have been used, but we were not able to grow *hillocks*-free layer in controllable way on GaAs substrates. According to literature, only Selex Galileo reported on suppression of *hillocks* density below 5 cm^{-2} in the (100) HgCdTe grown on GaAs (Maxey et al. 2000, 2006). Therefore, most of our reported MWIR HgCdTe $N^+pP^+n^+$ devices are based on (111) HgCdTe layers (Madejczyk et al. 2009a, b, 2013).

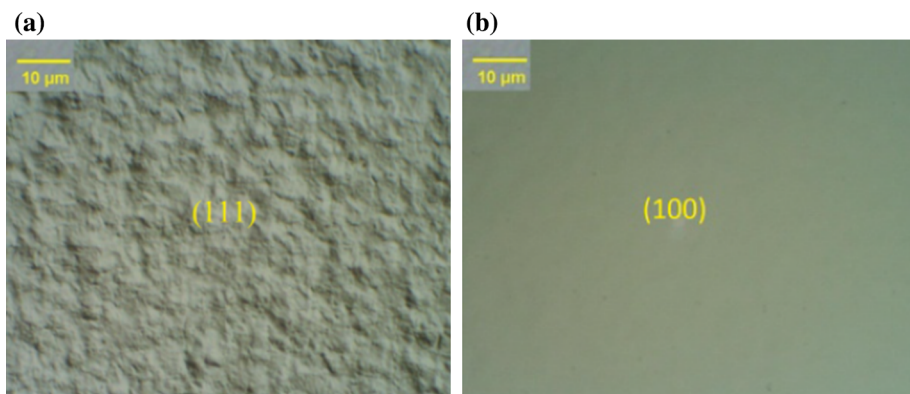


Fig. 1 Surface morphology of (111) (a) and (100) (b) HgCdTe layers

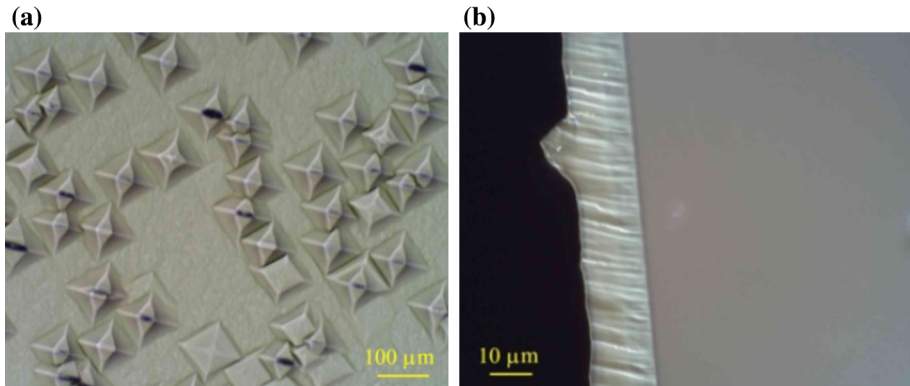
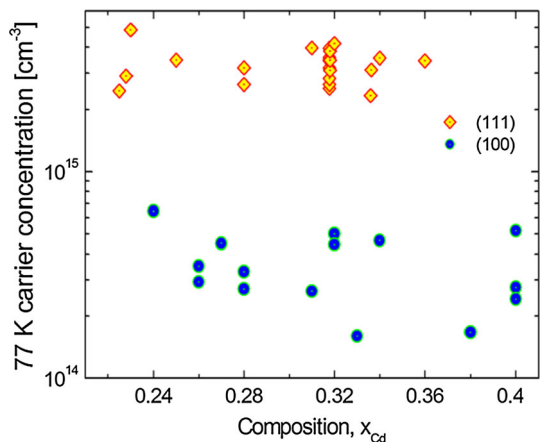


Fig. 2 Hillocks on (100) HgCdTe layers: surface (a); cleavage (b)

High-quality MWIR detectors require HgCdTe layers with low dislocation density. The (100) HgCdTe orientation allows to reduce p -type doping to the level of $\sim 5 \times 10^{14} \text{ cm}^{-3}$ in analyzed MWIR range. In addition Shockley-Read-Hall (SRH) traps density could be reduced to the level of $\sim 4.4 \times 10^8 \text{ cm}^{-3}$. According to Maxey et al. in the structures with (100) orientation the SRH trap concentration follows following equation: $N_{Trap} = 3 \times 10^{-11} N_A^{1.44}$ which for active layer doping $N_A = 5 \times 10^{14} \text{ cm}^{-3}$ results in $N_{Trap} = 4.4 \times 10^8 \text{ cm}^{-3}$ assumed in simulations (Maxey et al. 2006). Previously Maxey et al. reported on slightly different relation: $N_{Trap} = 3 \times 10^{-11} N_A^{1.353}$ and measured carrier concentrations $N_A \leq 8 \times 10^{15} \text{ cm}^{-3}$ and trap density $N_{Trap} \leq 9 \times 10^{10} \text{ cm}^{-3}$ being independent of Cadmium (Cd) composition, x_{Cd} (Maxey et al. 2000). The 77 K carrier concentration for the Cd composition for two analyzed orientations (111) and (100) is presented in Fig. 3. The carrier concentrations assumed in calculations are fully confirmed within the range composition corresponding to the MWIR range reached in our MOCVD machine. In this paper we present the theoretical simulations related to the utmost performance: detectivity and time constant of the (100) HgCdTe MWIR, $N^+pP^+n^+$ multi-layer structures grown on GaAs substrates.

Fig. 3 Measured 77 K carrier concentration for analyzed (100) and (111) orientations



2 Simulation procedure and results

The detailed description of the (111) orientation HgCdTe MWIR detector structure grown on GaAs substrate was described in our previous paper (Martyniuk et al. 2014). In our new approach for (111) orientation MWIR HgCdTe *p*-type doping, $N_A = 5 \times 10^{15} \text{ cm}^{-3}$ and trap density, $N_{Trap} = 2.3 \times 10^{13} \text{ cm}^{-3}$ in active layer were assumed while for (100) orientation the doping was reduced to correspond to the level presented in Fig. 3, i.e. $N_A = 5 \times 10^{14} \text{ cm}^{-3}$ ($x_{Cd} \sim 0.26$) and according to the Maxey’s expression: $N_{Trap} = 3 \times 10^{-11} N_A^{1.353}$ trap level density to $N_{Trap} = 4.4 \times 10^8 \text{ cm}^{-3}$. In both cases (111) and (100) orientation HgCdTe detectors we used well known architecture $N^+pP^+n^+$ for non-equilibrium condition shown in Fig. 4 assuming proper grading at the hetero-junctions: N^+p , pP^+ and P^+n^+ (Ashley and Elliott 1985). The x_{Cd} composition and doping gradients were assumed to have linear dependence on the thickness of the particular layers.

The standard (111) MWIR HgCdTe structure where narrow-gap absorber is inserted between wider carrier contacts with an absorber average composition, $x_{Cd} \sim 0.26$, thickness, $d = 6 \mu\text{m}$, and *p*-type doping $N_A \approx 5 \times 10^{15} \text{ cm}^{-3}$ is shown in Fig. 4. The main layers are interfaced with thin graded gap and doping level transition layers formed by IMP technique during the growth procedure, e.g. layer 2 (thickness $0.7 \mu\text{m}$) x_{Cd} composition lowers within the range $x_{Cd} = 0.34\text{--}0.3$, while doping gradient $N_D = 50\text{--}5 \times 10^{16} \text{ cm}^{-3}$. The device presented in this paper was fabricated in the joint laboratory run by VIGO Systems and the Military University of Technology (MUT). The HgCdTe epi-ready layers were grown on semi-insulating (100) GaAs substrates in a horizontal MOCVD AIX 200 reactor. It was assumed that the device was illuminated through the N^+ layer acting as an infrared transmitting window.

Theoretical simulations of both (111) and (100) orientations HgCdTe heterostructures have been performed by numerical solving of Poisson’s and the electron/hole current continuity equations by the Newton-Richardson method. APSYS platform (by Crosslight Inc.) was implemented in our simulation procedure. The proper equations describing the drift-diffusion model are presented in detail in the APSYS manual (APSYS 2011). Ohmic contacts were modeled as Dirichlet boundary conditions where both electron (E_{fn}) and hole (E_{fp}) quasi-Fermi levels are equal and assumed to be at the voltage of electrode following the relation: $E_{fn} = E_{fp} = V$. The used model assumes electrical and optical properties to include the influence of radiative (RAD), Auger (AUG), SRH GR at any mesh point within the device and band-to-band (BTB) as well as trap assisted (TAT) tunnelling mechanisms

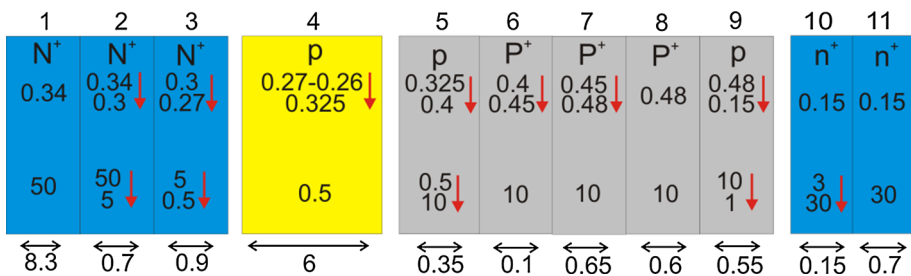


Fig. 4 Simulated (111) $N^+pP^+n^+$ HgCdTe heterostructure. The data in the rows: layer number, type of doping, x_{Cd} composition grading, doping grading $\times 10^{16} \text{ cm}^{-3}$, and thickness of the layers in μm are marked. Red arrow presents composition and doping grading. (Color figure online)

at the $N^+ - p$ (N^+ contact- p -type absorber) heterojunction. AUG recombination mechanisms using Casselman et al. approximation of parabolic bands and non-degenerate statistics was implemented (Casselmann and Petersen 1980). Energy bandgap was calculated after the paper by Hansen et al. (1982). The zero voltage electron mobility was taken from the formula based on Scott's paper, where the hole mobility was assumed as 0.01 of the electron mobility (Scott 1972). Intrinsic concentration's composition and temperature dependence was calculated based on the Hansen et al. model (Hansen and Schmidt 1983). For the TAT simulation the Hurkx et al. model, which is similar to the SRH GR formula, was implemented (Hurkx et al. 1992). The absorption was assumed in active layer region and absorption coefficient was estimated according to Kane model including its composition, doping and temperature dependences (e.g. $\alpha = 5470 \text{ cm}^{-1}$, $\lambda = 5 \text{ }\mu\text{m}$, $T = 200 \text{ K}$). The TAT mechanism was found to be important for fitting to experimental results for (111) HgCdTe structure presented in Fig. 5a by assuming a trap concentration, $N_{Trap} \sim 10^{13} \text{ cm}^{-3}$, and trap energy related to the conduction band according to the relation: $E_{Trap} = 0.33 \times E_g$. Simulation of time constant was performed using Li et al. model (Li and Dutton 1991). Proper doping grading were introduced to prevent form discontinuities in energy band profiles between contact-absorber ($N^+ - p$), absorber-barrier ($p - P^+$) and finally barrier-contact ($P^+ - n^+$) heterojunctions. The detailed parameters taken in modelling of MWIR (111) and (100) orientations HgCdTe heterostructures are presented in Table 1.

Measured and simulated J_{DARK} versus voltage for both (111) and (100) orientations are presented in Fig. 5. Active layer doping reduction to the level presented in Fig. 3 suppresses both BTB and TAT mechanisms. Slight Auger suppression is seen above 290 K for (100) orientation structure (Fig. 5b). The proper correspondence was reached for simulated and measured values at $T = 200 \text{ K}$ for (111) HgCdTe $N^+ p P^+ n^+$ heterostructure. For higher voltages dark current is mostly dependent on TAT mechanism at the $N^+ - \pi$ (contact layer-absorber) heterojunction for (111) orientation. At lower temperatures Auger suppression is barely visible being covered by TAT and SRH due to the fact that average trap density for (100) orientation was estimated to be within the range $\sim 10^8 \text{ cm}^{-3}$. Extraction coefficient was calculated for 300 K assuming $\gamma = 1.1$. Simulations for (100) orientation were performed for active layer doping $N_A = 5 \times 10^{14} \text{ cm}^{-3}$ and SRH trap density, $N_{Trap} = 3 \times 10^{-13} N_A^{1.44} = 4.4 \times 10^8 \text{ cm}^{-3}$. J_{DARK} suppression for 200 mV was found to

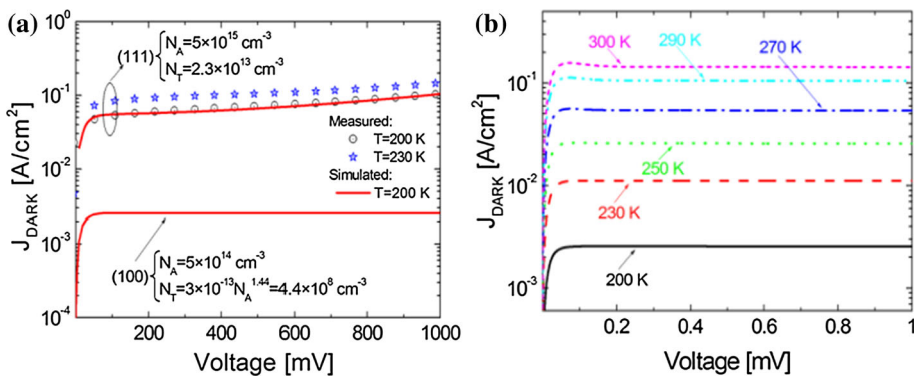


Fig. 5 Theoretically simulated and measured dark current density versus voltage for (111) orientation grown on GaAs-absorber doping, $N_A = 5 \times 10^{15} \text{ cm}^{-3}$; trap density, $N_{Trap} = 2.3 \times 10^{13} \text{ cm}^{-3}$ and (100) orientation absorber doping, $N_A = 5 \times 10^{14} \text{ cm}^{-3}$; trap density, $N_{Trap} = 4.4 \times 10^8 \text{ cm}^{-3}$ (a). J_{DARK} versus voltage for selected temperatures, $T = 200\text{--}300 \text{ K}$ (b)

Table 1 Parameters taken in modelling of MWIR (111)* and (100)** orientations HgCdTe heterostructures

	Contact layer	Active layer	Barrier layer	Contact layer
Doping, $N_A; N_D$ (cm^{-3})	$50 \rightarrow 0.5^*/$ $0.05^{**} \times 10^{16}$	$5 \times 10^{15}^*;$ $5 \times 10^{14}^{**}$	$0.5^*/0.05^{**} \rightarrow 10$ $\rightarrow 1 \times 10^{16}$	$3 \rightarrow 30 \times 10^{16}$
Doping Gauss tail, dx (μm)	0.05			
Composition, x, y	$0.34 \rightarrow 0.27$	$0.27 \rightarrow 0.325$	$0.15 \rightarrow 0.4$	0.15
Geometry, d (μm)	9.9	6	2.25	0.85
Electrical area, A (μm^2)	100×100			
Overlap matrix, F_1F_2	0.2			
Trap energy level, E_{Trap}	$0.33 \times E_g$			
Trap concentration, N_{Trap} (cm^{-3})	$2.3 \times 10^{13}^*;$ $4.4 \times 10^{8}^{**}$			
SRH				
σ_n (cm^{-2})	5×10^{-15}			
σ_p (cm^{-2})	5×10^{-15}			
Incident power density, Φ (W/m^2)	500			

be more one order of magnitude in the region where TAT mechanism is playing a decisive role for (111) orientation.

Detectivity was also calculated. In order to assess D^* , the noise current was simulated using the following expression to include both the thermal Johnson-Nyquist noise and electrical shot noise contributions:

$$i_n(V) = \sqrt{(4k_B T/RA + 2qJ_{DARK})A}, \tag{1}$$

where A is the area of the detector ($100 \times 100 \mu\text{m}^2$), RA is the dynamic resistance area product, J_{DARK} is the dark current density, and k_B is the Boltzmann constant. Detectivity is defined by the following expressions to include the effect of the GaAs immersion lens (n -GaAs refractive index):

$$D^* = \frac{R_i}{i_n(V)} n^2 \sqrt{A}. \tag{2}$$

The structure with (100) orientation and integrated GaAs immersion lens reaches $\sim 10^{12} \text{ cm Hz}^{1/2}/\text{W}$ ($T = 200 \text{ K}$) being one order magnitude higher than BLIP detectivity $\sim 10^{11} \text{ cm Hz}^{1/2}/\text{W}$ at $\lambda \sim 5 \mu\text{m}$ and reported previously for structures with (111) orientation presented in Fig. 6.

Time response was simulated versus voltage (Fig. 7a) and temperature for $V = 250 \text{ mV}$ (Fig. 7b). For the (100) orientation time response, τ_s reaches $\sim 4100\text{--}1100 \text{ ps}$ for voltage range $50\text{--}400 \text{ mV}$ being nearly two times lower in comparison to the (111) orientation for $V = 400 \text{ mV}$. Series resistance was assumed to be within range $190\text{--}510 \Omega$. Experimental data presented in Fig. 7a was plotted for (111) orientation.

Fig. 6 Theoretically simulated and measured detectivity versus wavelength for (111) orientation grown on GaAs-absorber doping, $N_A = 5 \times 10^{15} \text{ cm}^{-3}$; trap density, $N_{Trap} = 2.3 \times 10^{13} \text{ cm}^{-3}$ and (100) orientation absorber doping, $N_A = 5 \times 10^{14} \text{ cm}^{-3}$; trap density, $N_{Trap} = 4.4 \times 10^8 \text{ cm}^{-3}$

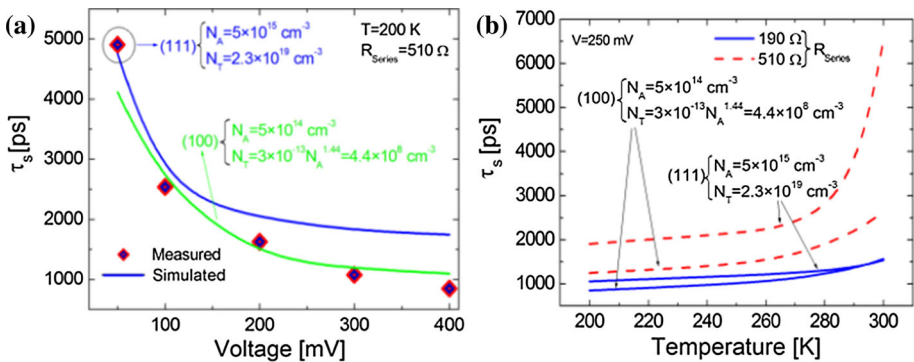
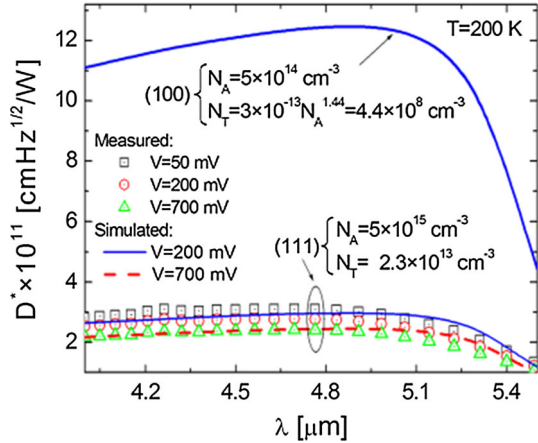


Fig. 7 Measured and theoretically simulated time response versus voltage (a) and temperature (b) for (111) orientation grown on GaAs-absorber doping, $N_A = 5 \times 10^{15} \text{ cm}^{-3}$; trap density, $N_{Trap} = 2.3 \times 10^{13} \text{ cm}^{-3}$ and (100) orientation absorber doping, $N_A = 5 \times 10^{14} \text{ cm}^{-3}$; trap density, $N_{Trap} = 4.4 \times 10^8 \text{ cm}^{-3}$. $R_{Series} = 190$ and 510Ω

3 Conclusions

Theoretical utmost performance of the (100) HgCdTe grown on GaAs substrate MWIR photodetector was presented. It is predicted that trap density could be reduced to $\sim 4.4 \times 10^8 \text{ cm}^{-3}$ assuming active layer doping $\sim 5 \times 10^{14} \text{ cm}^{-3}$. Those active layers parameters results in suppression of the dark current $\sim 6 \times 10^{-2} - 3 \times 10^{-3} \text{ A/cm}^2$. Detectivity increases within range $\sim 3 - 12 \times 10^{11} \text{ cm Hz}^{1/2}/\text{W}$ at temperature 200 K and voltage 200 mV. Suppression of the trap density to the level of $\sim 4.4 \times 10^8 \text{ cm}^{-3}$ allows reaching better performance in frequency response $\sim 859 \text{ ps}$ corresponding to 200 K and $V = 200 \text{ mV}$, $R_{Series} = 190 \text{ K}$.

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