

# Simultaneous Two-photon Optogenetics and Imaging of Cortical Circuits in Three Dimensions

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## Abstract

The simultaneously imaging and manipulating of neural activity in three-dimensions could enable the functional dissection of neural circuits. Here we have combined two-photon optogenetics with simultaneous volumetric two-photon calcium imaging to manipulate neural activity in mouse neocortex *in vivo* in 3D, while maintaining cellular resolution. Using a hybrid holographic approach, we simultaneously photostimulate more than 80 neurons over 150  $\mu\text{m}$  in depth in cortical layer 2/3 from mouse visual cortex. We validate the usefulness of the microscope by photoactivating in 3D selected groups of interneurons, suppressing the response of nearby pyramidal neurons to visual stimuli. Our all-optical method could be used as a general platform to read and write activity of neural circuits.

## Introduction

The precise monitoring and control of neuronal activity may be an invaluable tool to decipher the function of neuronal circuits. For reading out neuronal activity *in vivo*, the combination of calcium imaging of neuronal populations<sup>1</sup> with two-photon microscopy<sup>2</sup>, has proved its utility because of its high selectivity, good signal-to-noise ratio, and depth penetration in scattering tissues<sup>3-7</sup>. Moreover, two-photon imaging can be combined with two-photon optochemistry<sup>8,9</sup> or two-photon optogenetics<sup>10-14</sup> to allow simultaneous readout and manipulation of neural activity with cellular resolution. But so far, the combinations of these optical methods into an all-optical approach have been largely restricted to two-dimensional (2D) planes<sup>8,9,11,12,14</sup>. At the same time, neural circuits are three dimensional, and neuronal sub-populations are distributed throughout their volume. Therefore, extending these methods to three dimensions (3D) appears essential to enable systematic studies of microcircuit computation and processing.

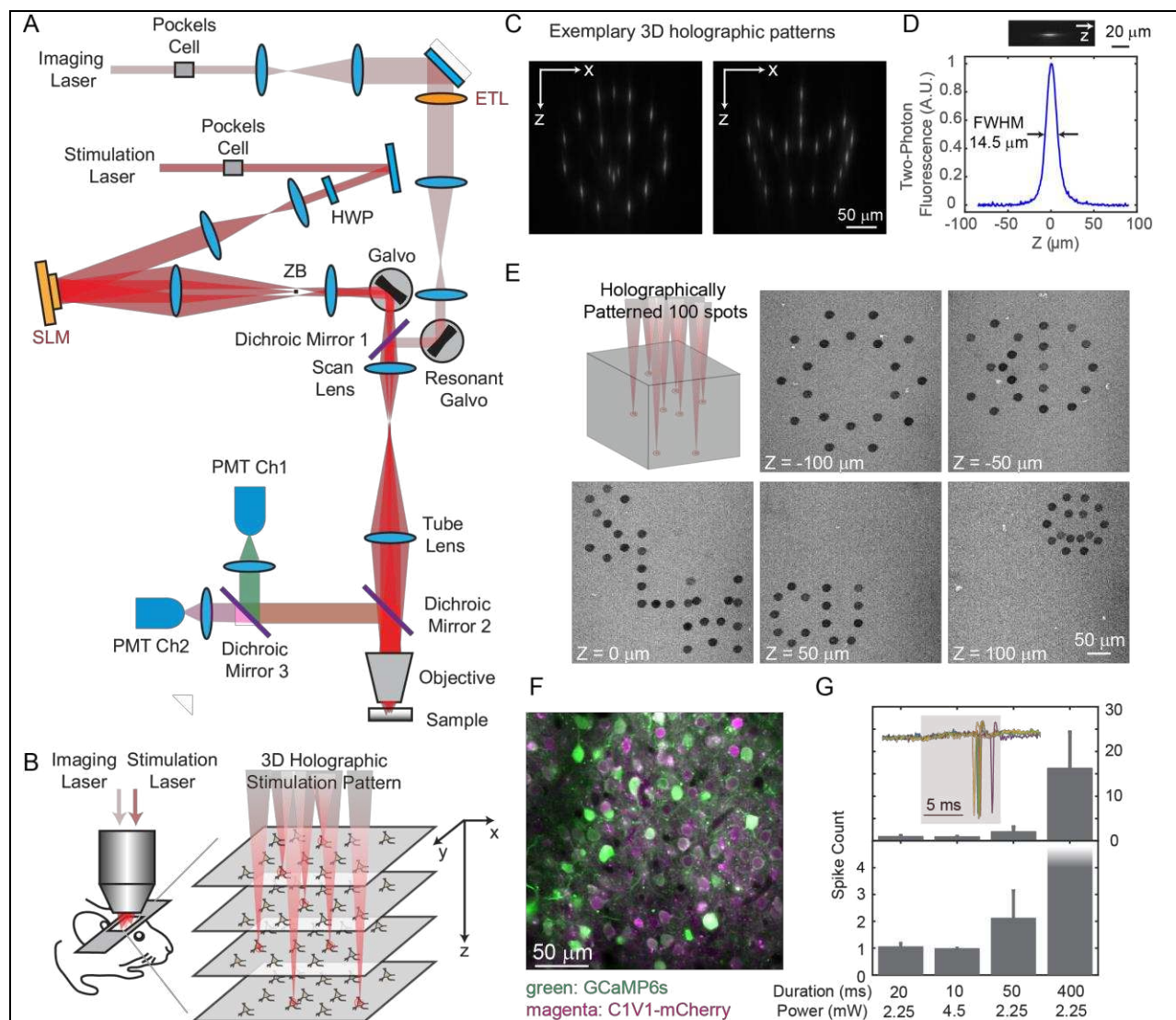
Here we employed wavefront shaping strategies with a customized dual-beam two-photon microscope to simultaneously perform volumetric calcium imaging and 3D patterned photostimulations in mouse cortex *in vivo*. For patterned photostimulation, we adopted a hybrid strategy that combines 3D holograms and galvanometer driven spiral scans. Furthermore, we used a pulse-amplified low-repetition-rate (200 kHz ~ 1 MHz) laser, which significantly reduces the average laser power required for photoactivation, minimizes thermal effects, and reduces imaging artifacts. With this system, we photostimulated large groups of cells simultaneously in layer 2/3 of primary visual cortex (V1) in awake mice (>80 cells distributed within a  $480 \times 480 \times 150 \mu\text{m}^3$  imaged volume). Compared with other 3D all-optical approaches<sup>15,16</sup>, which used scanless holographic photostimulation, our hybrid approach requires less laser power to stimulate per cell, and can thus simultaneously photostimulate more cells under a fixed power budget.

48 This all-optical method is useful to analyze the function of neural circuits in 3D, such as studying  
49 cell connectivity, ensemble organization, information processing, or excitatory and inhibitory  
50 balance. As a demonstration, we photostimulated groups of pyramidal cells in 3D with high  
51 specificity, and also targeted a selective population of interneurons in V1 in awake mice, finding  
52 that stimulating the interneurons reduced the response of pyramidal cells to visual stimuli.  
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## 54 **Results**

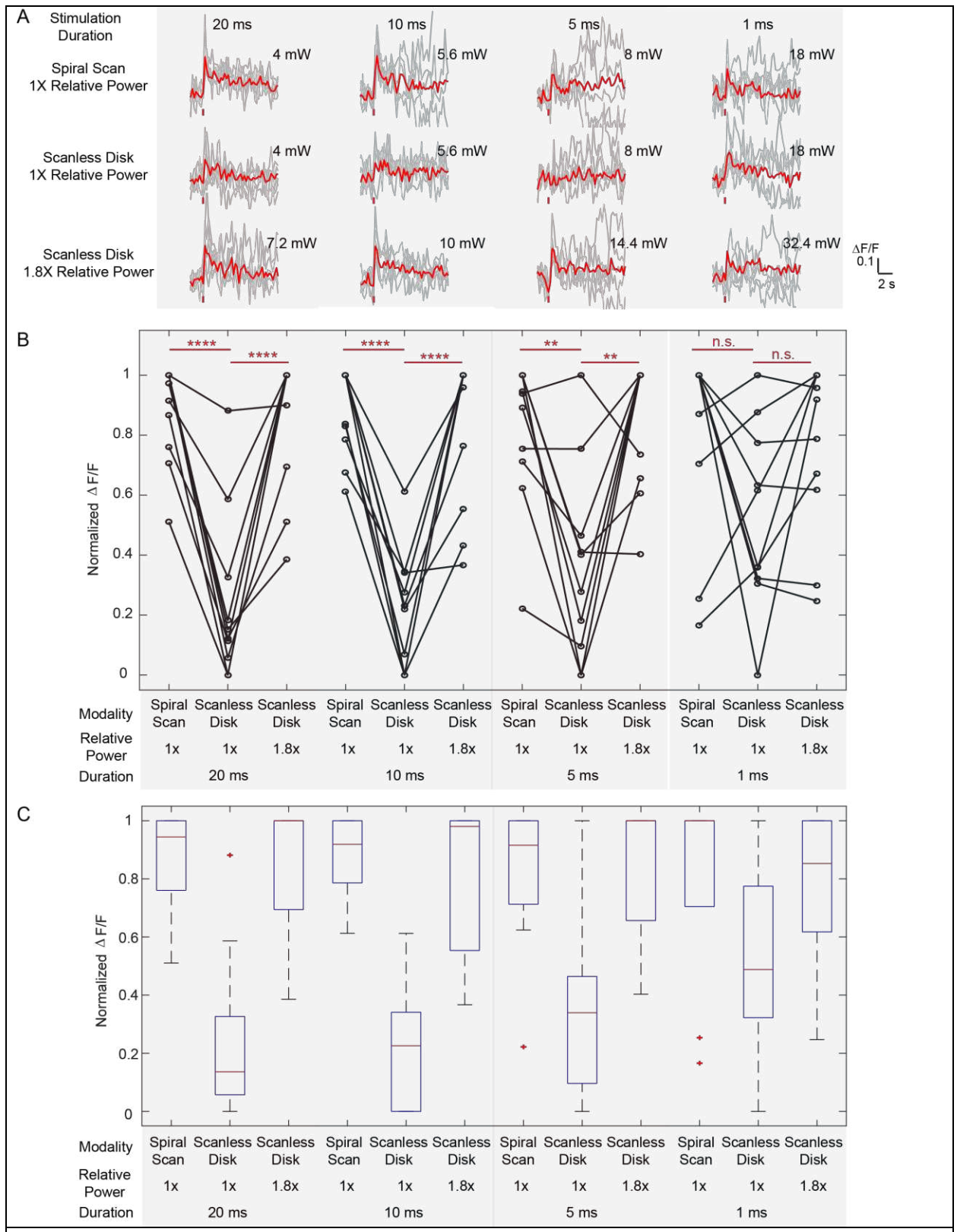
55 We built a holographic microscope with independent two-photon imaging and photostimulation  
56 lasers (Figure 1A). Each laser beam's axial focal depth could be controlled without mechanical  
57 motion of the objective, yielding maximum flexibility while reducing perturbations to the animal.  
58 On the imaging path, we coupled a wavelength-tunable Ti:Sapphire laser through an electrically  
59 tunable lens (ETL, EL-10-30-C-NIR-LD-MV, Optotune AG)<sup>17</sup> followed by a resonant scanner  
60 for high speed volumetric imaging. The ETL, as configured, provided an adjustable axial focus  
61 shift up to 90  $\mu\text{m}$  below and 200  $\mu\text{m}$  above the objective's nominal focal plane. On the  
62 photostimulation path, we used a low-repetition-rate ultrafast laser coupled to a spatial light  
63 modulator (SLM, HSP512-1064, Meadowlark Optics) to shape the wavefront, allowing flexible  
64 3D beam splitting that simultaneously targets the user defined positions in the sample (Figure  
65 1B-1E). The axial and lateral targeting error was  $0.59\pm 0.54 \mu\text{m}$  and  $0.82\pm 0.65 \mu\text{m}$ , respectively,  
66 across a 3D field of view (FOV) of  $240\times 240\times 300 \mu\text{m}^3$  (Figure 1—Figure Supplement 1;  
67 Materials and Methods). The SLM path was coupled through a pair of standard galvanometers  
68 that can allow for fast extension of the targeting FOV beyond that nominal addressable SLM-  
69 only range<sup>18</sup>. For optogenetics experiments, we actuated this pair of galvanometric mirrors to  
70 scan the beamlets in a spiral over the cell bodies of the targeted neuron (see Figure 1E for an  
71 exemplary 3D pattern with 100 targets on an autofluorescent plastic slide). We term this a  
72 “hybrid” approach, as it combined holography with mechanical scanning, as opposed to purely  
73 holographic approach. For *in vivo* experiments, we imaged green fluorescence from the  
74 genetically encoded calcium indicator GCaMP6s or GCaMP6f<sup>19</sup> and photostimulated a red-  
75 shifted opsin, C1V1-mCherry<sup>20</sup>. With switchable kinematic mirrors and dichroic mirrors, the  
76 lasers could be easily redirected to whichever path, and thus the system could also be utilized for  
77 red fluorophores and blue opsins.  
78

79 We co-expressed GCaMP6s or GCaMP6f<sup>19</sup> and C1V1-p2A<sup>20</sup> in mouse V1 (Figure 1F), and  
80 excited them with 940 nm and 1040 nm light, respectively. The separation of their excitation  
81 spectrum allowed for minimal cross-talk between the imaging and photostimulation paths  
82 (Discussion). C1V1-expressed cells were identified through a co-expressed mCherry fluorophore.  
83 Single spikes can be evoked with very low average laser power ( $\sim 2.25$  mW with 20 ms spiral, or  
84  $\sim 4.5$  mW with 10 ms spiral, 1 MHz pulse train, layer 2/3 *in vivo*, Figure 1G), latency and jitter  
85 ( $17.0\pm 4.2/8.5\pm 1.6$  ms latency, and  $4.9\pm 3.8/1.3\pm 0.9$  ms jitter for the two conditions, Figure 1—  
86 Figure Supplement 2). With a higher power (10~20 mW), neural activity could also be evoked  
87 with photostimulation duration as short as 1 ms (Figure 2).



**Figure 1.** 3D two-photon imaging and photostimulation microscope. (A) Dual two-photon excitation microscope setup. HWP, half-wave plate; ZB, zeroth-order beam block; SLM, spatial light modulator; ETL, electrically tunable lens; PMT, photomultiplier tube. (B) Schematics for simultaneous volumetric calcium imaging and 3D holographic patterned photostimulation in mouse cortex. (C) Exemplary 3D holographic patterns projected into Alexa 568 fluorescence liquid with its xz cross section captured by a camera. (D) Measured point spread function (PSF) in the axial (z) direction for two-photon excitation (photostimulation path). The full-width-at-half-maximum (FWHM) is 14.5  $\mu\text{m}$ , corresponding to an NA  $\sim$  0.35. (E) 100 spots holographic pattern spirally scanned by a post-SLM galvanometric mirror bleaching an autofluorescence plastic slide across 5 different planes. (F) A typical field of view showing neurons co-expressing GCaMP6s (green) and C1V1-mCherry (magenta). (G) Spike counts of target pyramidal cells in layer 2/3 of mouse V1 evoked by photostimulation with different spiral duration and average laser power (3 cells in each condition; mice anesthetized). The inset shows the cell-attached recording of a 10 ms spiral stimulation over 5 trials in a neuron. The red shaded area indicates photostimulation period.

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**Figure 2.** Comparison between the spiral scan approach and scanless (pure) holographic approach for single cell photostimulation. In the scanning approach, the laser spot is spirally scanned over the cell body; in the scanless approach, a disk pattern (~12  $\mu\text{m}$  in diameter) is generated by the SLM, covering

the entire cell body at once. (A) Photostimulation triggered calcium response of a targeted neuron *in vivo* at mouse layer 2/3 of V1, for different stimulation modalities. For each modality, the multiplication of stimulation duration and the power squared was kept constant over 4 different stimulation durations. The average response traces are plotted over those from the individual trials. (B)  $\Delta F/F$  response of neurons on different photostimulation conditions (10 cells over 2 mice *in vivo*, layer 2/3 of V1, over a depth of 100 ~ 270  $\mu\text{m}$  from pial surface; one-way ANOVA test show significant different response between spiral scan and scanless approach at the same power for stimulation duration of 20 ms, 10 ms and 5 ms. At 1 ms, the p value is 0.17). For each neuron and each stimulation duration, the power used in the scanless disk modality is 1 and 1.8 times relative to that in the spiral scan. For each neuron and each modality, the multiplication of the stimulation duration and the power squared was kept constant over 4 different stimulation durations. The power used in the spiral scan with 20 ms duration varies from 2.2 mW to 5 mW for different cells. (C) Boxplot summarizing the statistics in (B). The central mark indicates the median, and the bottom and top edges of the box indicate the 25th and 75th percentiles, respectively. The whiskers extend to the most extreme data points (99.3% coverage if the data are normal distributed) not considered outliers, and the outliers are plotted individually using the '+' symbol. In this experiment, the mice are transfected with GCaMP6f and C1V1-mCherry. Repetition rate of the photostimulation laser is 1 MHz.

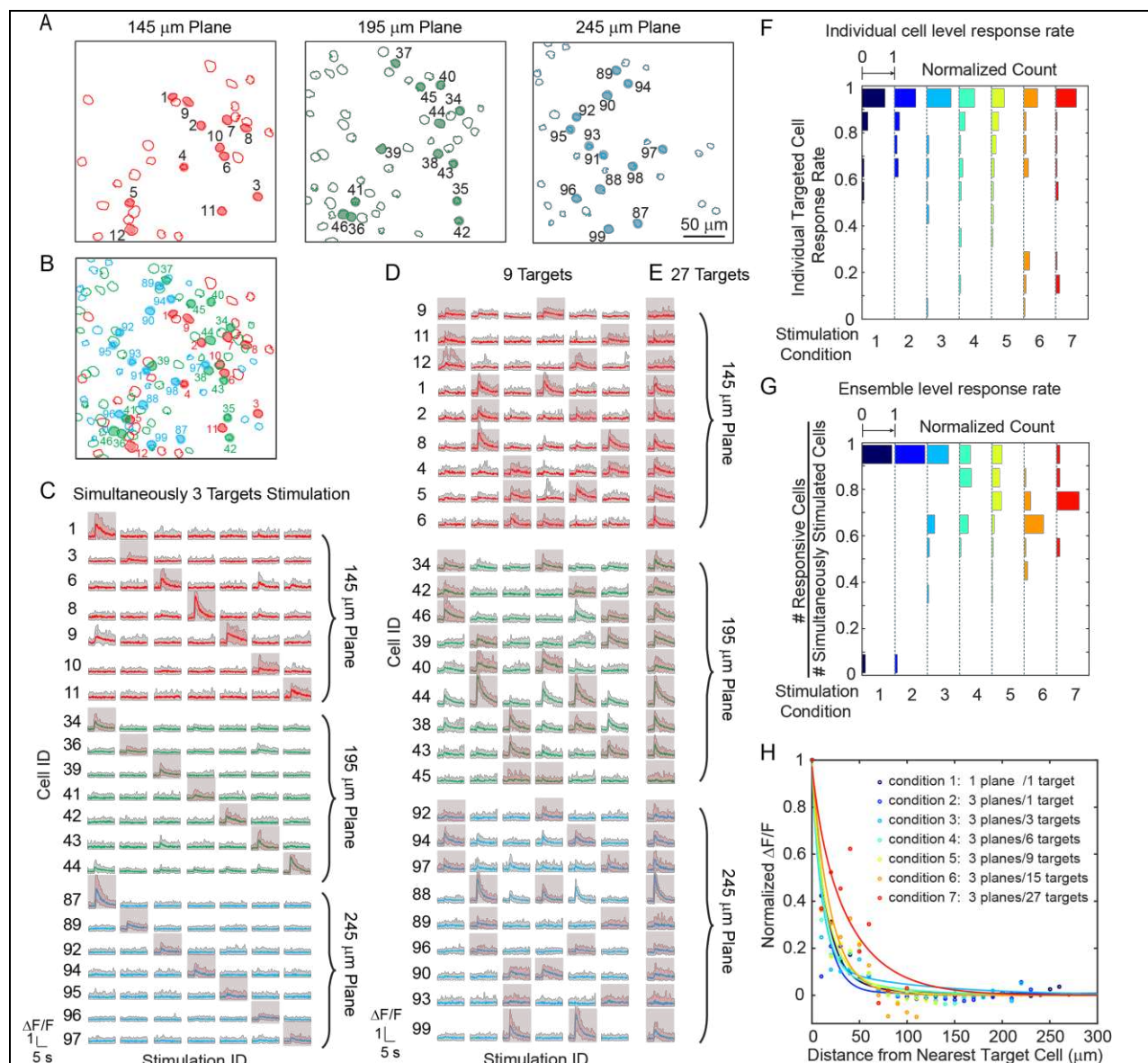
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94 Compared with alternative scanless strategy like temporal focusing<sup>11,16,21,22</sup> or pure holographic  
95 approaches<sup>15</sup>, where the laser power is distributed across the whole cell body of each targeted  
96 neuron, our hybrid approach is simple, accommodates large numbers of simultaneous targets,  
97 and appears to have a better power budget for large population photostimulation in general. To  
98 test this, we compared the required power budget for hybrid approach and the scanless (pure  
99 holographic) approach at different photostimulation durations (20 ms, 10 ms, 5 ms and 1 ms). On  
100 our system, when photostimulation duration was above 5 ms, the hybrid approach required about  
101 half of the laser power than the scanless approach to evoke similar response in the neuron; at 1  
102 ms photostimulation duration, the hybrid approach shows a trend with smaller power budget (but  
103 not significant,  $p=0.17$  using one-way ANOVA test) than the scanless approach (Figure 2, Figure  
104 2—Figure Supplement 1). One reason for this difference is that the scanless approach employs a  
105 spatial multiplexed strategy, where the two-photon light is spatially distributed across the entire  
106 cell body; to maintain the two-photon excitation efficiency (squared-intensity) within its  
107 coverage area, a larger total power is typically required. The hybrid approach, on the other hand,  
108 is a combination of spatial (across different cells) and temporal (within individual cell)  
109 multiplexed strategy. While optimal strategy will depend on opsin photophysics, the opsin  
110 typically has a long opsin decay constant<sup>23</sup> (10s of millisecond) and this favors the hybrid  
111 approach because the opsin channels can stay open during the entire (multiple) spiral scans. But  
112 at very short duration, the limited number of laser pulses per unit area may contribute to an  
113 efficiency drop of the hybrid approach versus scanless approach.

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115 We tested our 3D all-optical system by targeting and photoactivating selected groups of  
116 pyramidal cells throughout three axial depths of layer 2/3 of V1 in anesthetized mice, while  
117 simultaneously monitoring neuronal activity in those three planes (240x240  $\mu\text{m}^2$  FOV for each  
118 plane) at 6.67 vol/s. Neurons were photoactivated one at a time (Figure 3—Figure Supplement 1),  
119 or as groups/ensembles ( $M$  neurons simultaneously,  $M=3\sim 27$ , Figure 3) and the majority of the  
120 targeted cells (86% $\pm$ 6%, Materials and Methods) showed clear calcium transients in response to  
121 the photostimulation (Figure 3C-E).

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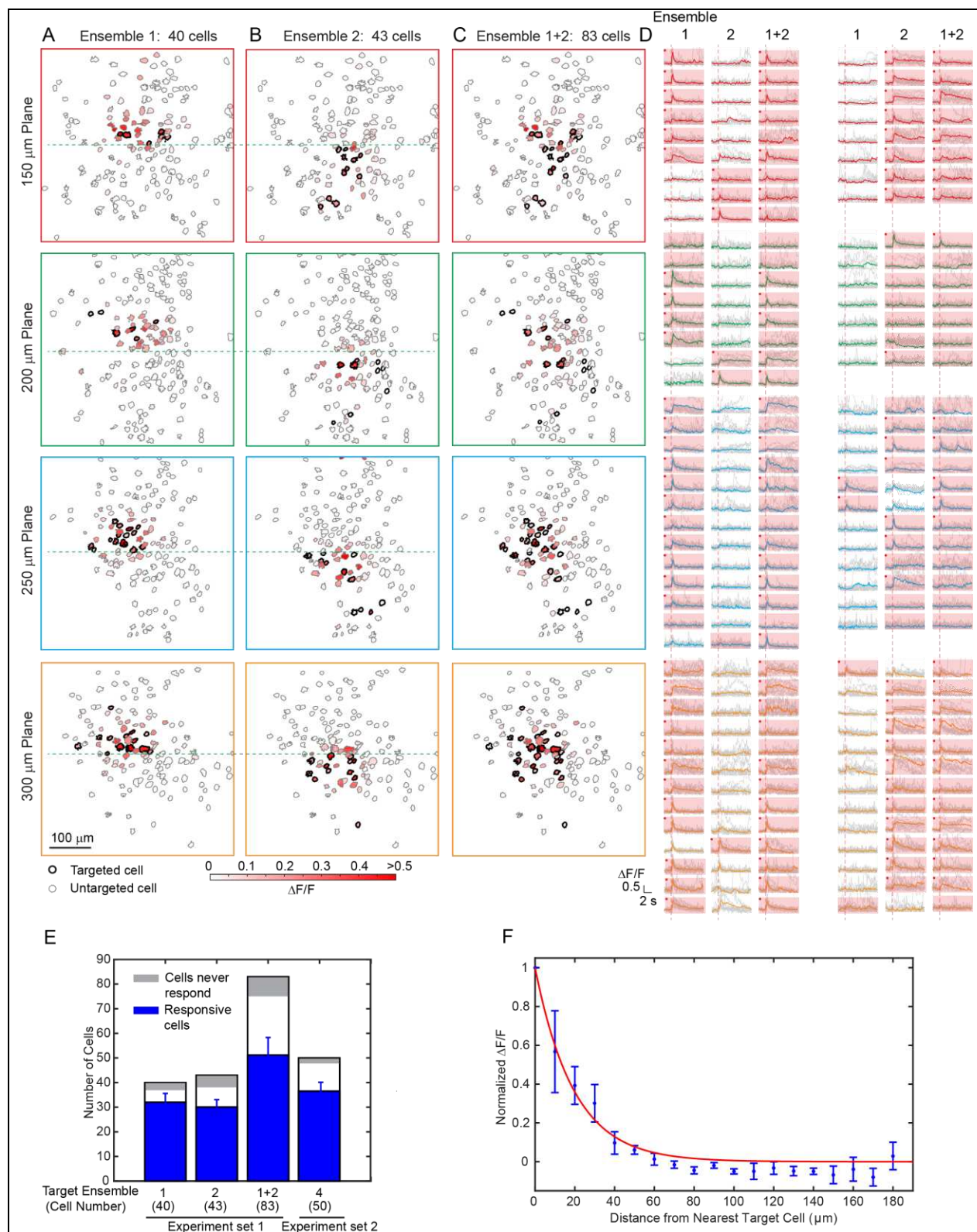


**Figure 3.** Simultaneous photostimulation of pyramidal cells in layer 2/3 of mouse V1 *in vivo*. (A) Contour maps showing the spatial location of the cells in three individual planes (top; 145  $\mu\text{m}$ , 195  $\mu\text{m}$ , and 245  $\mu\text{m}$  from pial surface). Cells with shaded color are the targeted cells. (B) 2D overlap projection of the three planes in (A). (C)-(E) Representative photostimulation triggered calcium response of the targeted cells (indicated with red shaded background) and non-targeted cells, for different stimulation patterns. A total number of (C) 3, (D) 9, and (E) 27 cells across three planes were simultaneously photostimulated. The average response traces are plotted over those from the individual trials. (F) Histogram of individual targeted cell response rate (averaged across trials) in different stimulation conditions. The stimulation conditions are listed in (H). (G) Histogram of the percentage of responsive cells in a targeted ensemble across all trials in different stimulation conditions. (H) Response of the non-targeted cells to the photostimulation versus distance to their nearest targeted cell.  $\Delta F/F$  is normalized to the averaged response of the targeted cells. The total number of photostimulation patterns for condition 1~7 in (F)~(H) is 34, 26, 12, 8, 6, 2, 1 respectively; and the total trial for each condition is 8~11. The mice were transfected with GCaMP6s and C1V1-mCherry. The photostimulation power is 4~5 mW/cell, and duration was 870 ms, 962 ms, and 480 ms for conditions 1, 2, and 3~7 respectively.

124 We further investigated the reliability of the photoactivation and also its influence on the  
125 activation of non-targeted cells – that is, cells within the FOV not explicitly targeted with a  
126 beamlet. We performed 8~11 trials for each stimulation pattern. Cells not responding to  
127 photostimulation under any condition were excluded in this analysis (see Materials and Methods).  
128 We characterize the response rate at the individual cell (Figure 3F) and the ensemble level  
129 (Figure 3G). The former characterizes the response rate of individual targeted cells in any  
130 stimulation pattern, and the latter characterizes the percentage of responsive cells within a  
131 targeted ensemble (defined here as ensemble response rate). As  $M$  increased, the response rate  
132 for both individual cells and ensembles remained high (both is  $82\pm 9\%$ , over all 7 stimulation  
133 conditions). Although we had high targeting accuracy and reliability for exciting targeted cells,  
134 we also observed occasional activity in non-targeted cells (nonspecific activation) during  
135 photostimulation (Figure 3H). This was distance-dependent, and as the distance  $d$  between the  
136 non-targeted cells and their nearest targeted cells decreased, their probability of activation  
137 increased (Figure 3H). And, for the same  $d$ , this probability increased with  $M$ . The activation of  
138 the non-targeted cells may occur through different mechanisms, such as by direct stimulation  
139 (depolarization) of the cells through their neurites that course through the photostimulation  
140 region, or through synaptic activation by targeted cells, or by a combination of the two. In these  
141 experiments, we specifically used extremely long stimulation durations (480~962 ms) to  
142 maximally emulate an undesirable photostimulation scenario. The nonspecific activation was  
143 confined (half response rate) within  $d < 25 \mu\text{m}$  in all conditions ( $M=3\sim 27$  across 3 planes  
144 spanning a volume of  $240\times 240\times 100 \mu\text{m}^3$ ). Nonspecific activation could be reduced by increasing  
145 excitation  $NA$  (which is currently limited by the relatively small size of the activation  
146 galvanometer mirrors), using somatic-restricted expression<sup>22,24,25</sup>, as well as sparse expression.

147  
148 We then aimed to modulate relatively large groups of neurons in 3D. With the low-repetition-  
149 rate laser and hybrid scanning strategy (Discussion), the laser beam can be heavily spatially  
150 multiplexed to address a large amount of cells while maintaining a low average power. We  
151 performed photostimulation of 83 cells across an imaged volume of  $480\times 480\times 150 \mu\text{m}^3$  in layer  
152 2/3 of V1 in awake mice (Figure 4). With a total power of 300 mW and an activation time of ~95  
153 ms, we were able to activate more than 50 cells. In one experiment, we further sorted target cells  
154 into two groups (40 and 43 cells respectively) and photostimulated them separately. More than  
155 30 cells in each group were successfully activated simultaneously with clear evoked calcium  
156 transient. In another example, more than 35 cells out of a target group of 50 cells responded  
157 (Figure 4—Figure Supplement 1). These large scale photostimulations ( $\geq 40$  target cells; Figure  
158 4), show that  $78\pm 7\%$  of cells in the target ensemble can be successfully activated (excluding  
159 cells that never respond in any of the tested photostimulation pattern,  $8\pm 3\%$ , see Materials and  
160 Methods). Nonspecific photoactivation was more frequent for cells surrounded by target cells,  
161 but overall it was confined within  $20 \mu\text{m}$  from the nearest target cell (Figure. 4F). We also noted  
162 that cells that could be photoactivated individually or in a small ensemble may not get  
163 photoactivated when the number of target neurons increases. We hypothesize that this could be  
164 due to feed forward inhibition, as targeted pyramidal neurons may activate local interneurons,  
165 which then could suppress the firing of neighboring cells. These network interactions will be the  
166 subject of future study.

167



**Figure 4.** Large scale photostimulation of pyramidal cells in layer 2/3 of V1 in awake mice. (A)~(C) Simultaneous photostimulation of 40 cells, 43 cells and 83 cells across four planes (150  $\mu\text{m}$ , 200  $\mu\text{m}$ , 250  $\mu\text{m}$  and 300  $\mu\text{m}$  from pial surface, with an imaged FOV of 480x480  $\mu\text{m}^2$  in each plane.). The contour maps show the spatial location of the cells in individual planes. Cells with black contour are the



simultaneously targeted cells. The red shaded color shows the evoked  $\Delta F/F$  in average. (D) Photostimulation triggered calcium response of the targeted cells (indicated with red shaded background) and non-targeted cells, corresponding to conditions shown in (A)~(C). The average response traces are plotted over those from a total of 11 individual trials. Those with a red dot indicate cells showing clear evoked calcium transient through manual inspection. (E) Number of target cells, number of total responsive cells across all trials, and cells that did not show any response in any photostimulation pattern, for 4 different photostimulation conditions. Condition 1~3 correspond to those in (A)~(C). (F) Response of the non-targeted cells to the photostimulation versus distance to their nearest targeted cell (for conditions shown in E).  $\Delta F/F$  is normalized to the averaged response of the targeted cells. The mice were transfected with GCaMP6f and C1V1-mCherry. The photostimulation power was 3.6~4.8 mW/cell, and the duration was 94 ms.

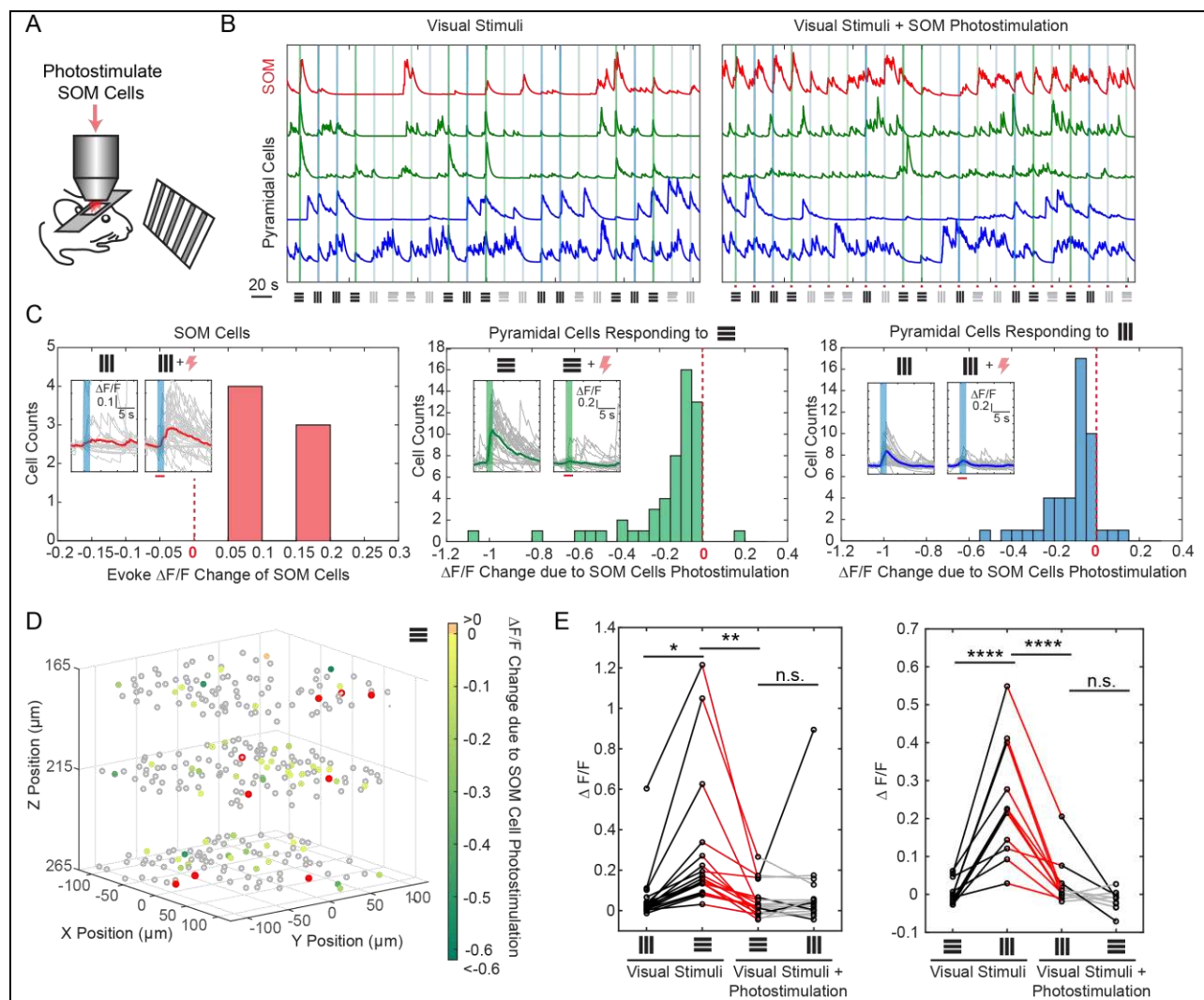
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169 Nonspecific excitation can be minimized with sparse stimulation, by simply reducing the  
170 likelihood of stimulating directly adjacent cells. One naturally sparse pool of cells are cortical  
171 interneurons. Different interneuron classes participate in cortical microcircuits that could serve as  
172 gateways for information processing<sup>26,27</sup>. These interneurons are located sparsely in the cortex,  
173 yet are highly connected to excitatory populations<sup>28</sup>, and are known to strongly modulate cortical  
174 activity<sup>29</sup>. However, the effect of simultaneous stimulation of selective subset of interneurons  
175 with single cell resolution has not been studied in detail, as previous reports have largely relied  
176 on one-photon optogenetics where widespread activation is the norm<sup>30,31</sup> [but see Ref. <sup>32</sup> for  
177 single cell interneuron stimulations]. To explore this, we used our all-optical approach to  
178 examine the effect of photoactivating specific sets of interneurons in 3D on the activity of  
179 pyramidal cells that responded to visual stimuli in awake head-fixed mice (Figure 5).

180

181 Using viral vectors, we expressed Cre-dependent C1V1 in somatostatin (SOM) inhibitory  
182 interneurons (SOM-Cre mice), while simultaneously also expressing GCaMP6s in both  
183 pyramidal cells and interneurons, in layer 2/3 of mouse V1. We first imaged the responses of  
184 pyramidal cells across 3 planes (separated by ~45  $\mu\text{m}$  each) to orthogonal visual stimuli  
185 consisting of drifting grating without photostimulation. We then simultaneously photostimulated  
186 a group of SOM cells ( $M=9$ , with 7 showing responses) across these 3 planes concurrently with  
187 the visual stimuli (Figure 5A-C; Materials and Methods). We observed a significant suppression  
188 ( $p<0.05$ , two-sample t-test) in response among 46% and 35% of the pyramidal cells that  
189 originally responded strongly to the horizontal and vertical drifting-grating respectively (Figure  
190 5A-E). Moreover, the orientation selectivity of highly selective cells was largely abolished by  
191 SOM cell photoactivation (Figure 5E). This is consistent with reports that SOM cells inhibit  
192 nearby pyramidal cells with one-photon optogenetics *in vivo*<sup>30,31</sup> or with two-photon glutamate  
193 uncaging *in vitro*<sup>28</sup>. Our two-photon approach provides high precision 3D manipulation over  
194 groups of cells (Figure 5D), and simultaneous readout of neuronal activity across the network *in*  
195 *vivo*. Thus, our approach could be useful for dissecting the excitatory and inhibitory interactions  
196 in cortical circuits *in vivo*.

197



**Figure 5.** Selective photostimulation of SOM interneurons suppresses visual response of pyramidal cells in awake mice. (A) Experiment paradigm where the SOM cells were photostimulated when the mouse received drifting grating visual stimulation. (B) Normalized calcium traces ( $\Delta F/F$ ) of representative targeted SOM cells and pyramidal cells that are responding to visual stimuli, without (left panel) and with (right panel) SOM cell photostimulation. The normalization factor of the  $\Delta F/F$  trace for each cell stays the same across the two conditions. The shaded regions indicate the visual stimuli period. The symbols at the bottom of the graph indicate the orientations and contrast of the drifting grating (black, 100% contrast; gray, 10% contrast). (C) Histogram of the visual stimuli evoked  $\Delta F/F$  change for different cell populations that show significant activity change ( $p < 0.05$ , two-sample t-test over  $\sim 30$  trials) due to SOM cell photostimulation ( $M=9$ ). Left panel, targeted SOM cells (7 out of 9 show significant responses to photostimulation). Middle and right panels, pyramidal cells responding to horizontal or vertical drifting-gratings respectively. The inset compares the activity of a representative cell without and with targeted SOM cell photostimulation; the shaded regions indicate the visual stimuli period; the red bar indicates the photostimulation period. (D) Spatial map of all recorded cells. Pyramidal cells responding to horizontal drifting-gratings and showing significant visual stimuli evoked  $\Delta F/F$  change ( $p < 0.05$ , two-sample t-test over  $\sim 30$  trials) due to SOM cell photostimulation [cell population in the middle panel of (C)] are color coded according to their  $\Delta F/F$  change. The targeted SOM cells are outlined in red, and those responding are shaded in red. (E) Comparison of the orientation selectivity in normal situation and with SOM cells photostimulation, for a cell population that normally have strong orientation selectivity but responsive to

SOM cells photostimulation. During SOM cell photostimulation, their selectivity is largely abolished (one-way ANOVA test). For individual cells, black and red lines indicate a significant difference in the visual stimuli evoked  $\Delta F/F$  between the two conditions that the lines connect with (~30 trials,  $p < 0.05$ , two-sample t-test), whereas gray lines indicate no significant difference. The SOM-cre mice were transfected with GCaMP6s and Cre-dependent C1V1-mCherry. The duration of visual stimuli was 2 sec. The photostimulation power was ~6 mW/cell, and the duration was 2.8 sec.

198

## 199 **Discussion**

200 We describe here a 3D all-optical method that could be used to map the functional connectivity  
201 of neural circuits and probe the causal relationships between the activity of neuronal ensembles  
202 and behavior. We extend previous *in vivo* methods from planar to volumetric targeting, and  
203 increase the total number of cells that could be simultaneously photoactivated. This represents an  
204 important advance of precision optogenetics towards large spatial scales and volumes. The dual  
205 beam path microscope facilitates an independent control of imaging and photostimulation lasers,  
206 and is thus well suited for controlling and detecting neural activity, without any disturbing or  
207 slow movements of the objective.

208

### 209 Rationale for our design

#### 210 *A- Minimization of laser power*

211 To simultaneously photostimulate multiple cells with two-photon excitation, it is becoming  
212 common to use holographic approaches<sup>10,12,15,16,22,33</sup>. Spatial light modulators can generate an  
213 “arbitrary” 3D pattern on the sample, limited only by Maxwell’s equations, and the space-  
214 bandwidth product of the modulation device. With SLMs, one can independently target a very  
215 large number of sites, far in excess of what we demonstrate here, but the number of addressable  
216 neurons is limited by the allowable power budget. Moreover, special care has to be taken to  
217 minimize the total power deposited on the brain, and avoid direct and indirect thermal effects<sup>34</sup>.  
218 We addressed this issue by using a hybrid holographic strategy and a low-repetition-rate laser for  
219 photostimulation, with high peak intensities for efficient two-photon excitation, but moderate  
220 average power. This allowed us to target a large group of cells with low average power (e.g. 83  
221 targeted cells across an imaged volume of  $480 \times 480 \times 150 \mu\text{m}^3$  in awake mice V1 layer 2/3 with  
222 300 mW in total, Figure 3). As these cells generally are not targeted continuously, we do not  
223 expect any heat induced effects on cell health under our stimulation conditions<sup>34</sup>.

224

225 In our hybrid strategy, a group of beamlets is generated by the SLM that target the centroids of  
226 the desired neurons. Each discrete focal point in the hologram maintains sufficient axial  
227 confinement for typical inter-cell spacing. These beamlets are then rapidly spirally scanned over  
228 the neurons’ cell bodies by post-SLM galvanometers. Several alternative scanless approaches  
229 exist: pure 3D holograms and another method combining holographic patterning and temporal  
230 focusing. The former approach directly generates the full 3D hologram covering the cell bodies  
231 of targeted neurons all at once<sup>15</sup>. Though simplest, the full 3D hologram has a decreased axial  
232 resolution as its lateral extent increases<sup>35</sup>, and is subject to light contamination to the non-  
233 targeted cells, particularly in scattering tissues such as the mammalian brain. In contrast,  
234 temporal focusing<sup>36,37</sup> decouples axial from lateral extent of the hologram by coupling the  
235 holographic pattern to a grating<sup>35</sup> such that only one axial position in the sample has sufficient  
236 spectral content to generate a short laser pulse. But to extend it to 3D stimulation, it can require  
237 two SLMs: one to split the laser at the lateral direction and one to adjust their focal depth<sup>21</sup>.  
238 Alternatively, a recent report shows it is possible to use a single SLM but at a tradeoff of creating

239 a secondary focus<sup>22</sup>. Regardless of the exact implementation, these scanless approaches require  
240 higher laser powers per cell in general than our hybrid method. For example, with typical  
241 photostimulation duration ( $\geq 5$  ms), about twice of the power is required using pure hologram  
242 compared with our hybrid strategy to achieve similar response in the same cells (Figure 2, Figure  
243 2—Figure Supplement 1). This would likely require even *more* power for the same excitation  
244 with temporal focusing, as its tighter axial confinement would excite less of the membrane. On  
245 the other hand, the area-activation of scanless activation generally gives lower latencies and less  
246 jitter, compared to scanning strategies. However, as we show in our hybrid scanning approach,  
247 even with low powers and longer scan times, we can obtain latencies under 10 ms, with little  
248 jitter (Figure 1 – Figure Supplement 2). Taken together, the spiral scan strategy we adapted  
249 requires a lower laser power budget per cell, and is very scalable towards activating large  
250 number of simultaneously targeted cells, making it a practical tool to study ensembles in neural  
251 circuits.

252

253 One key strategy we exploited to lower the total average laser power in patterned  
254 photostimulation was to employ a low-repetition-rate laser for photostimulation. The average  
255 laser power  $P_{ave}$  scales with the product of laser peak power  $P_{peak}$  and pulse repetition rate  $f_{rep}$ .  
256 Since the laser beam is split into  $M$  beamlets to target  $M$  individual cell, the two-photon  
257 excitation for each cell scales with  $(P_{peak}/M)^2$ . To maintain the required  $P_{peak}$  for a large  $M$ , we  
258 reduced  $f_{rep}$  instead of increasing  $P_{ave}$ . The two-photon photostimulation laser we used had a low  
259  $f_{rep}$  (200 kHz  $\sim$  1 MHz), leading to a significant increase in  $P_{peak}$  and thus the number of possible  
260 simultaneously targeted cells  $M$ , with the same  $P_{ave}$ . We note that most opsins open ion channels,  
261 the average open time is much longer than the laser's interpulse interval ( $1/f_{rep}$ ), and multiple  
262 ions can be conducted during each photostimulation. This is in contrast to fluorescence, where at  
263 most a single photon is emitted for each absorption, and the lifetime is significantly shorter than  
264 the interpulse interval. Thus opsins are ideal targets for low-repetition rate, high peak power  
265 excitation. In addition, the repetition rate should be balanced with the photostimulation duration.  
266 When the photostimulation duration is very short (e.g. 1 ms), the whole cell body might not be  
267 covered well with enough pulses in the spiral scan approach. In these scenarios, a higher  
268 repetition rate could be more favorable. The optimal conditions will likely be cell- and opsin-  
269 dependent, but would be expected to follow our trends.

270

### 271 *B- Volumetric Imaging*

272 We choose an ETL for volumetric imaging, because of its low cost and good performance for  
273 focusing. Many other options exist including SLM<sup>38</sup>, ultrasound lens<sup>39</sup>, remote focusing<sup>40,41</sup> and  
274 acousto optic deflector<sup>42-44</sup>; see Ref. <sup>7</sup>, for a complete review. One future modification could be  
275 replacing the ETL with a second SLM to perform multiplane imaging<sup>38</sup> and adaptive optics<sup>45</sup>,  
276 which could increase the frame rate and improve the imaging quality.

277

### 278 *C- Minimizing Cross-talk between Imaging and Photostimulation*

279 Another important consideration in our all-optical method was to minimize the cross-talk  
280 between imaging and photostimulation. We chose the calcium indicator GCaMP6 and the red-  
281 shift opsin C1V1-mCherry, which has a minimized excitation spectrum overlap. Nevertheless,  
282 there is still a small cross-talk between the two, as C1V1 has a blue absorption shoulder, and  
283 GCaMP6 has a red shifted absorption tail. The first cross-talk affects neuronal excitability, and is  
284 the result of photostimulation by the imaging laser. Although the C1V1 we used was red-shifted,

285 it can still be excited at 920 ~ 940 nm, the typical wavelengths used to image GCaMP6. This  
286 cross-talk highly depends on the relative expression of the calcium indicators and opsin<sup>11,12</sup>. For  
287 this reason, the imaging laser power was kept as low as possible to values that are just sufficient  
288 for imaging. But if the calcium indicator is weakly expressed, hence naturally dim, the increased  
289 imaging power may bias the neuronal excitability. Indeed, our cell-attached electrophysiology  
290 recording indicates that neuron firing rate has a trend to increase as the imaging laser power  
291 increases. However, we found no significant difference of the firing rate under our normal  
292 volumetric imaging conditions (Figure 1 – Figure Supplement 3), where the laser power was  
293 typically below 50 mW and could be up to 80 mW for layers deeper than ~250  $\mu\text{m}$ . Nevertheless,  
294 as red indicators keep improving, a future switch toward “blue” opsins again will be desirable to  
295 reduce the spectral overlap between opsin and indicator.

296  
297 The second type of cross-talk affects the high fidelity recording of neural activity, and is caused  
298 by fluorescence (or other interference) generated by the photostimulation laser directly, which  
299 may cause background artifact on the calcium signal recording. To avoid this, in our experiments  
300 we use a narrow filter (passband: 500 nm ~ 520 nm) for GCaMP6 signal detection. CIV1 is co-  
301 expressed with mCherry, which has negligible fluorescence at the filter’s passband. But, in  
302 addition, GCaMP6 can still be excited at the photostimulation laser’s wavelength at 1040 nm.  
303 Typically this fluorescence is weak and does not impact the data analysis (e.g. Figure 3).  
304 However, if the baseline of GCaMP6 is relatively high or the number of simultaneously targeted  
305 neurons is large, it could cause a significant background artifact in the calcium imaging,  
306 identified as sharp rise and then sharp decay of fluorescence signals (Figure 1 – Figure  
307 Supplement 4). If the photostimulation duration is short (e.g. Figure 4, only one frame appears to  
308 have the artifact), and stimulation frequency infrequent, the impacted frames could simply be  
309 deleted with negligible data loss. But if the photostimulation duration is long (e.g. Figure 5), the  
310 calcium imaging movie can be pre-processed so that the mesh grid shape background is replaced  
311 by their adjacent pixel value (see Materials and Methods). The “mesh” arises because the  
312 interpulse interval of the laser is greater than the pixel rate, so only selected pixels are  
313 compromised. The grid is non-uniform in the image because of the non-uniform resonant scanner  
314 speed. This pre-processing significantly suppresses the artifacts while maintaining the original  
315 signal. Nevertheless, to avoid any analysis bias, the neuronal response can be further  
316 approximated by measuring the  $\Delta F/F$  signal right after the photostimulation, when there is no  
317 background artifact. Also, an alternative method is to gate the PMT, or the PMT’s output during  
318 the photostimulation pulse, though this requires dedicated additional electronics. In this case,  
319 there will be “lost” signal, and this can be treated similarly by filling in the data with  
320 interpolation. Finally, the constrained nonnegative matrix factorization algorithm<sup>46</sup> used to  
321 extract the fluorescence signal could also help, as it can identify the photostimulation artifact as  
322 part of the background and subtract it from the signal. With these corrections, the  
323 photostimulation artifacts can be eliminated from the extracted fluorescence trace in Figure 3~5.

#### 324 325 *D- Nonspecific Activation*

326 One strategy to reduce nonspecific stimulation is to reduce the size of the PSF by increasing the  
327 NA. In our current set of experiments, we use a relatively low excitation NA (~0.35) beam that is  
328 limited by the small mirror size (3 mm) of the post-SLM galvanometric scanners. Increasing the  
329 mirror size is a straightforward future improvement that would increase this NA, and decrease  
330 the axial point spread function. This would also improve the effective axial resolution of

331 photostimulation (currently ~20  $\mu\text{m}$ , measured by displacing the 12  $\mu\text{m}$  diameter spiral pattern  
332 relative to the targeted neuron, Figure 1 – Figure Supplement 2), and thus reduce the nonspecific  
333 activation of the non-targeted cells. Another approach to reduce the nonspecific activation is to  
334 use a somatic-restricted opsin. Somatic-restricted opsins were reported recently<sup>22,24,25</sup>, and  
335 showed reduced, but not eliminated, activation of non-targeted cells *in vitro*. Finally, it remains  
336 possible that a significant number of nonspecific activated cells occur through physiological  
337 synaptic activation by the photostimulated neurons.

338

### 339 Future Outlook

340 Our method could have wide utility in neuroscience. We demonstrate the successful  
341 manipulation of the targeted neural microcircuits in awake head-fixed behaving mice by  
342 photostimulating a targeted group of interneurons (Figure 5), and we expect this 3D all-optical  
343 method would find its many other applications in dissecting the neural circuits. Though the  
344 demonstration here is to target neurons in cortical layer 2/3, the total targetable range of the SLM  
345 can be more than 500  $\mu\text{m}$ <sup>38</sup>, thus covering layer 2/3 and layer 5 simultaneously. Questions such  
346 as how neural ensembles are being organized across different cortical layers in rodent, and how  
347 different neural assemblies across a 3D volume interact with each other can now be directly  
348 answered. Indeed, by identifying the behavior-related neural ensemble using closed-loop  
349 optogenetics<sup>47,48</sup>, one may be able to precisely control the animal behavior, which could have a  
350 significant impact in attempts to decipher neural codes and also provide an optical method for  
351 potential treatment of neurological and mental diseases in human subjects.

352

## 353 **Materials and Methods**

### 354 *Microscope design*

355 The optical setup is illustrated in Figure 1A, which is composed of two femtosecond pulse lasers  
356 and a custom-modified two-photon laser scanning microscope (Ultima In Vivo, Bruker  
357 Corporation, Billerica, Massachusetts). The laser source for imaging is a pulsed Ti:sapphire laser  
358 (Chameleon Ultra II, Coherent, Inc., Santa Clara, California). Its wavelength is tuned to 940 nm  
359 for GCaMP6s or GCaMP6f imaging or 750 nm for mCherry imaging respectively. The laser  
360 power is controlled with a Pockels cell (350-160-BK Pockels cell, 302RM controller, Conoptics,  
361 Inc., Danbury, Connecticut). The laser beam is expanded by a 1:3.2 telescopes (f=125 mm and  
362 f=400 mm) and coupled to an ETL (EL-10-30-C-NIR-LD-MV, Optotune AG, Dietikon,  
363 Switzerland) with a clear aperture of 10 mm in diameter. The transmitted beam is rescaled by a  
364 3.2:1 telescope (f=400 mm and f=125 mm) and imaged onto a resonant scanner and  
365 galvanometric mirror, both located at the conjugate planes to the microscope's objective pupil.  
366 The beam is further scaled by a 1:1.33 telescope before coupled into a scan lens (f=75 mm), a  
367 tube lens (f=180 mm) and the objective lens (25x N.A. 1.05 XLPlan N, Olympus Corporation,  
368 Tokyo, Japan), yielding an excitation NA ~ 0.45. The laser can also be directed to a non-resonant  
369 scanning path (without ETL) where both X and Y scanning are controlled by galvanometric  
370 mirrors. The fluorescence signal from the sample is collected through the objective lens and split  
371 at a dichroic mirror (HQ575dcsr, 575 nm long pass, Chroma Technology Corp., Bellows Falls,  
372 Vermont) to be detected in two bi-alkali photomultiplier tubes, one for each wavelength range.  
373 Two different bandpass filters (510/20-2P, and 607/45-2P, Chroma Technology Corp., Bellows  
374 Falls, Vermont) are placed in front of the corresponding PMT respectively.

375

376 The optical path for the photostimulation is largely independent from the imaging, except that  
 377 they combine at a dichroic mirror (T1030SP, 1030 nm short pass, Chroma Technology Corp.,  
 378 Bellows Falls, Vermont) just before the scan lens, and then share the same optical path. The laser  
 379 source for photostimulation is a low repetition rate (200 kHz~1 MHz) pulse-amplified laser  
 380 (Spirit 1040-8, Spectra-physics, Santa Clara, California), operating at 1040 nm wavelength. Its  
 381 power is controlled by a Pockels cell (1147-4-1064 Pockels cell, 8025RS-H-2KV controller,  
 382 FastPulse Technology, Saddle Brook, New Jersey). A  $\lambda/2$  waveplate (AHWP05M-980, Thorlabs,  
 383 Inc. Newton, New Jersey) is used to rotate the laser polarization so that it is parallel to the active  
 384 axis of the spatial light modulator (HSP512-1064, 7.68x7.68 mm<sup>2</sup> active area, 512x512 pixels;  
 385 Meadowlark Optics, Frederick, Colorado). The beam is expanded by two telescopes (1:1.75,  
 386 f=100 mm and f=175 mm; 1:4, f=50 mm and f=200 mm) to fill the active area of the SLM. The  
 387 reflected beam from the SLM is scaled by a 3:1 telescope (f=300 mm and f=100 mm) and  
 388 imaged onto a set of close-coupled galvanometer mirrors, located at the conjugate plane to the  
 389 microscope's objective pupil. A beam block made of a small metallic mask on a thin pellicle is  
 390 placed at the intermediate plane of this telescope to remove the zeroth-order beam. The  
 391 photostimulation laser beam reflected from the galvanometer mirrors are then combined with the  
 392 imaging laser beam at the 1030 nm short pass dichroic mirror.

393  
 394 The imaging and photostimulation is controlled by a combination of PrairieView (Bruker  
 395 Corporation, Billerica, Massachusetts) and custom software<sup>49</sup> running under MATLAB (The  
 396 Mathworks, Inc. Natick, Massachusetts) on a separate computer. The Matlab program was  
 397 developed to control the ETL through a data acquisition card (PCIe-6341, National Instrument,  
 398 Austin, Texas) for volumetric imaging, and the SLM through PCIe interface (Meadowlark Optics,  
 399 Frederick, Colorado) for holographic photostimulation<sup>49</sup>. The two computers are synchronized  
 400 with shared triggers. At the end of each imaging frame, a signal is received to trigger the change  
 401 of the drive current (which is converted from a voltage signal from the data acquisition card by a  
 402 voltage-current converter [LEDD1B, Thorlabs, Inc. Newton, New Jersey]) of the ETL, so the  
 403 imaging depth is changed for the following frame. The range of the focal length change on  
 404 sample is  $\sim +90 \mu\text{m} \sim -200 \mu\text{m}$  (“+” means longer focal length). The intrinsic imaging frame rate  
 405 is  $\sim 30$  fps with 512 x 512 pixel image. The effective frame rate is lower as we typically wait  
 406 10~17 ms in between frames to let the ETL fully settle down at the new focal length. The control  
 407 voltage of the Pockels cell is switched between different imaging planes to maintain image  
 408 brightness. The typical imaging power is  $< 50$  mW, and could be up to 80 mW for layers deeper  
 409 than  $\sim 250 \mu\text{m}$ .

410  
 411 The Matlab programs to control the ETL for volumetric imaging and SLM for holographic  
 412 photostimulation<sup>49</sup> is available at <https://github.com/wjyangGithub/Holographic-Photostimulation-System>  
 413 with a GNU General Public License, version 3.

414  
 415 *SLM hologram and characterization*

416 The phase hologram on the SLM,  $\phi(u, v)$ , can be expressed as:

$$417 \quad \phi(u, v) = \text{phase} \left\{ \sum_{i=1}^M A_i e^{2\pi j \{ x_i u + y_i v + [Z_2^0(u, v) C_2^0(z_i) + Z_4^0(u, v) C_4^0(z_i) + Z_6^0(u, v) C_6^0(z_i)] \}} \right\} \quad (1)$$

418 where  $[x_i, y_i, z_i]$  ( $i=1, 2, \dots, M$ ) is the coordinate of the cell body centroid ( $M$  targeted cells in total),  
 419 and  $A_i$  is the electrical field weighting coefficient for the  $i^{\text{th}}$  target (which controls the laser power

420 it receives).  $Z_m^0(u, v)$  and  $C_m^0(z_i)$  are the Zernike polynomials and Zernike coefficients,  
 421 respectively, which sets the defocusing and compensates the first-order and second-order  
 422 spherical aberration due to defocusing. Their expressions are shown in Table 1. The hologram  
 423 can also be generated by 3D Gerchberg-Saxton algorithm, with additional steps to incorporate  
 424 spherical aberration compensation. We adapt Eq. (1) as a simpler method. For the experiments in  
 425 Figure 2, and Figure 2—Figure Supplement 1, the Gerchberg-Saxton algorithm is used to  
 426 generate a disk with a diameter similar to the neurons.  
 427

Defocus	
Zernike polynomials	$Z_2^0(u, v) = \sqrt{3} \left[ 2(u^2 + v^2) - 1 \right]$
Zernike coefficients	$C_2^0(z) = \frac{nkz \sin^2 \alpha}{8\pi\sqrt{3}} \left( 1 + \frac{1}{4} \sin^2 \alpha + \frac{9}{80} \sin^4 \alpha + \frac{1}{16} \sin^6 \alpha + \dots \right)$
First-order spherical aberration	
Zernike polynomials	$Z_4^0(u, v) = \sqrt{5} \left[ 6(u^2 + v^2)^2 - 6(u^2 + v^2) + 1 \right]$
Zernike coefficients	$C_4^0(z) = \frac{nkz \sin^4 \alpha}{96\pi\sqrt{5}} \left( 1 + \frac{3}{4} \sin^2 \alpha + \frac{15}{18} \sin^4 \alpha + \dots \right)$
Second-order spherical aberration	
Zernike polynomials	$Z_6^0(u, v) = \sqrt{7} \left[ 20(u^2 + v^2)^3 - 30(u^2 + v^2)^2 + 12(u^2 + v^2) - 1 \right]$
Zernike coefficients	$C_6^0(z) = \frac{nkz \sin^6 \alpha}{640\pi\sqrt{7}} \left( 1 + \frac{5}{4} \sin^2 \alpha + \dots \right)$
$n$ , refractive index of media between the objective and sample; $k$ , the wavenumber; $z$ , the axial shift of the focus plane in the sample; $u, v$ , coordinates on the SLM phase mask; $n\sin\alpha$ , the NA of the objective.	

428 **Table 1.** Expression of Zernike polynomials and Zernike coefficients in Eq. (1).  
 429

430 To match the defocusing length set in SLM with the actual defocusing length, we adjusted the  
 431 “effective N.A.” in the Zernike coefficients following the calibration procedure described in Ref.  
 432 <sup>38</sup>. To register the photostimulation beam’s targeting coordinate in lateral directions, we  
 433 projected 2D holographic patterns to burn spots on the surface of an autofluorescent plastic slide  
 434 and visualized them by the imaging laser. An affine transformation can be extracted to map the  
 435 coordinates. We repeated this registration for every 25  $\mu\text{m}$  defocusing depth on the sample, and  
 436 applied a linear interpolation to the depths in between. An alternative method to register the  
 437 targeting coordinate is to set the photostimulation laser in imaging mode, actuate the SLM for  
 438 different lateral deflection, and extract the transform matrix from the acquired images and that  
 439 acquired from the imaging laser. To characterize the lateral registration error, we actuated the  
 440 SLM and burned spots on the surface of an autofluorescent plastic slide across a field of view of  
 441 240  $\mu\text{m}$  x 240  $\mu\text{m}$  with a 7x7 grid pattern. We then imaged the spots pattern with the imaging  
 442 laser and measured the registration error. This was repeated for different SLM focal depths. To  
 443 characterize the axial registration error, we used the photostimulation laser to image a slide with  
 444 quantum dots sample. The SLM was set at different focal depths, and a z-stack was acquired for



445 each setting to measure the actual defocus and thus the axial registration error. In all these  
446 registration and characterization procedures, we used water as the media between the objective  
447 and the sample, and we kept the focus of the photostimulation laser at the sample surface by  
448 translating the microscope stage axially. We note that the refractive index of the brain tissue is  
449 slightly different from that of water (~2%), and this could cause an axial shift of the calibration.  
450 This could be corrected in the Zernike coefficients. In practice, we found this effect is negligible,  
451 as the typical focal shift by the SLM is relatively small (<150  $\mu\text{m}$ ) and the axial PSF is large.

452

453 Due to the chromatic dispersion and finite pixel size of SLM, the SLM's beam steering  
454 efficiency drops with larger angle, leading to a lower beam power for targets further away from  
455 the center field of view (in xy), and nominal focus (in z). The characterization result is shown in  
456 Figure 1 – Figure Supplement 1. A linear compensation can be applied in the weighting  
457 coefficient  $A_i$  in Eq. (1) to counteract this non-uniformity. In practice, these weighting  
458 coefficients can be adjusted such that the targeted neurons show clear response towards  
459 photostimulation.

460

461 Before each set of experiments on animals, we verify the system (laser power, targeting accuracy,  
462 power uniformity among different beamlets from the hologram) by generating groups of random  
463 spots through holograms, burning the spots on an autofluorescent plastic slide, and comparing  
464 the resultant image with the desired target.

465

#### 466 *Animals and surgery*

467 All experimental procedures were carried out in accordance with animal protocols approved by  
468 Columbia University Institutional Animal Care and Use Committee. Multiple strains of mice  
469 were used in the experiment, including C57BL/6 wild-type and SOM-cre (*Sst-cre*) mice (stock  
470 no. 013044, The Jackson Laboratory, Bar Harbor, Maine) at the age of postnatal day (P) 45-150.  
471 Virus injection was performed to layer 2/3 of the left V1 of the mouse cortex, 3~12 weeks prior  
472 to the craniotomy surgery. For the C57BL/6 wild-type mice, virus AAV1-syn-GCaMP6s (or  
473 AAV1-syn-GCaMP6f) and AAVDJ-CaMKII-C1V1-(E162T)-TS-p2A-mCherry-WPRE was  
474 mixed and injected for calcium imaging and photostimulation; virus AAV8-CaMKII-C1V1-p2A-  
475 EYFP was injected for electrophysiology. For the SOM-cre (*Sst-cre*) mice, virus AAV1-syn-  
476 GCaMP6s and AAVDJ-EF1a-DIO-C1V1-(E162T)-p2A-mCherry-WPRE was mixed and  
477 injected. The virus was front-loaded into the beveled glass pipette (or metal pipette) and injected  
478 at a rate of 80~100 nl/min. The injection sites were at 2.5 mm lateral and 0.3 mm anterior from  
479 the lambda, putative monocular region at the left hemisphere.

480

481 After 3~12 weeks of expression, mice were anesthetized with isoflurane (2% by volume, in air  
482 for induction and 1-1.5% during surgery). Before surgery, dexamethasone sodium phosphate (2  
483 mg per kg of body weight; to prevent cerebral edema) were administered subcutaneously, and  
484 enrofloxacin (4.47 mg per kg) and carprofen (5 mg per kg) were administered intraperitoneally.  
485 A circular craniotomy (2 mm in diameter) was made above the injection cite using a dental drill.  
486 A 3-mm circular glass coverslip (Warner instruments, LLC, Hamden, Connecticut) was placed  
487 and sealed using a cyanoacrylate adhesive. A titanium head plate with a 4 mm by 3.5 mm  
488 imaging well was attached to the skull using dental cement. After surgery, animals received  
489 carprofen injections for 2 days as post-operative pain medication. The imaging and  
490 photostimulation experiments were performed 1~21 days after the chronic window implantation.

491 During imaging, the mouse is either anesthetized with isoflurane (1-1.5% by volume in air) with  
492 a 37°C warming plate underneath or awake and can move freely on a circular treadmill with its  
493 head fixed.

494

#### 495 *Visual stimulation*

496 Visual stimuli were generated using MATLAB and the Psychophysics Toolbox<sup>50</sup> and displayed  
497 on a monitor (P1914Sf, 19-inch, 60-Hz refresh rate, Dell Inc., Round Rock, Texas) positioned  
498 15 cm from the right eye, at 45° to the long axis of the animal. Each visual stimulus session  
499 consisted of four different trials, each trial with a 2 s drifting square grating (0.04 cycles per  
500 degree, 2 cycles per second), followed by 18 s of mean luminescence gray screen. Four  
501 conditions (combination of 10% or 100% grating contrast, 0° or 90° drifting grating direction)  
502 were presented in random order in the four trials in each session.

503

#### 504 *Photostimulation parameters*

505 The pulse repetition rate of the photostimulation laser used in the experiment is 500 kHz or 1  
506 MHz. The photostimulation laser beam is split into multiple foci, and spirally scanned (~12 μm  
507 final spiral diameter, 8~50 rotations) by a pair of post-SLM galvanometric mirror over the cell  
508 body of each target cell. For neurons in layer 2/3 of mice V1, the typical average power used for  
509 each spot is 2 mW~ 5 mW. When studying the photostimulation effect on the non-targeted cells  
510 (Figure 3), we specifically used long photostimulation durations (480 ms~962 ms) to emulate an  
511 undesirable photostimulation scenario. In the normal condition, the photostimulation duration is  
512 <100 ms, which was composed of multiple continuous spiral scans, each lasting <20 ms (Figure  
513 4). In the experiments where short photostimulation duration (≤20 ms, Figure 2) is used, the  
514 stimulation was composed of ~50 continuous fast spiral scans. In the experiment that the SOM  
515 cells were photostimulated when the mouse were receiving visual stimuli (Figure 5), the  
516 photostimulation started 0.5 s before the visual stimuli, and ended 0.3 s after the visual stimuli  
517 finished. Since the visual stimuli lasted for 2 sec, the photostimulation lasted for 2.8 sec. This  
518 long photostimulation was composed of 175 continuous spiral scans, each lasting ~16 ms. In our  
519 experiments, the lateral separation of the simultaneously targeted cell ranges from ~10 μm to  
520 ~315 μm, and the axial separation ranges from 30 μm to 150 μm.

521

#### 522 *Data analysis*

523 The recording from each plane was first extracted from the raw imaging files, followed by  
524 motion correction using a pyramid approach<sup>51</sup> or fast Fourier transform-based algorithm<sup>52</sup>. A  
525 constrained nonnegative matrix factorization (CNMF) algorithm<sup>46</sup> was used to extract the  
526 fluorescence traces ( $\Delta F/F$ ) of the region of interested (i.e. neuron cell bodies in the field of view).  
527 The CNMF algorithm also outputs a temporally deconvolved signal, which is related to the firing  
528 event probability. The  $\Delta F/F$  induced by the photostimulation was quantified with the mean  
529 fluorescence change during the photostimulation period over the mean fluorescence baseline  
530 within a 0.5~2 sec window before the photostimulation.

531

532 To detect the activity events from each recorded neuron, we typically thresholded the temporally  
533 deconvolved  $\Delta F/F$  signal with at least 2 standard derivations from the mean signal.  
534 Independently, a temporal first derivative is applied to the  $\Delta F/F$  trace. The derivative is then  
535 threshold at least 2 standard derivations from the mean. At each time point, if both are larger  
536 than the threshold, an activity event is recorded in binary format. In case the auto-detected

537 activity event has large deviations from manual inspection (based on typical shapes of calcium  
538 transient), the thresholding value is adjusted so that the overall auto-detection agrees with  
539 manual inspection.

540

541 A cell is determined as not responding to photostimulation if there is no single activity event  
542 detected or no typical action-potential-corresponding calcium transient during photostimulation  
543 period for multiple trials. These non-responding cells could be due to a poor expression of C1V1.

544

545 Any GCaMP can generate fluorescence background during photostimulation (Discussion). This  
546 background would reduce the sensitivity of the calcium imaging. Since the pixel rate (~8.2 MHz)  
547 of the calcium imaging recording is much faster than the photostimulation laser's pulse repetition  
548 rate (200 kHz ~ 1 MHz), the fluorescence background appears to be a mesh grid shape in the  
549 calcium imaging movie (Figure 1 – Figure Supplement 4). Typically it is small and does not  
550 impact the above data analysis (e.g. Figure 3). In the case that it is strong, if the photostimulation  
551 duration is short (e.g. Figure 4, only one frame appears to have the artifact), the impacted frames  
552 can be deleted with negligible data loss. If the photostimulation duration is long (e.g. Figure 5),  
553 the recorded frames during photostimulation are pre-processed to suppress this background  
554 artifact (Figure 1 – Figure Supplement 4). To detect the pixels having this artifact, we consider  
555 both their fluorescence value and their geometry. First we detect candidate pixels by identifying  
556 pixels whose value is significantly higher from the average value calculated from a few frames  
557 just before and just after the stimulation. Second, these candidate pixels are tested for  
558 connectedness within every horizontal and vertical line of each frame, and the width of the  
559 connections compared to that expected based on the stimulation condition. If both these  
560 conditions hold, these pixels are marked as “contaminated” and the fluorescence value at these  
561 pixels during the stimulation are replaced by those in their adjacent “clean” pixels. This pre-  
562 processing significantly suppresses the artifacts while maintaining the original signal.  
563 Nevertheless, to avoid any analysis bias, we further approximated the neuronal response by using  
564 the  $\Delta F/F$  signal just after the photostimulation, when there was no background artifact. The same  
565 analysis procedure was implemented to the control experiment when there was no  
566 photostimulation.

567

568 The orientation selectivity index and preference of the visual stimuli is calculated as the  
569 amplitude and sign of  $(\Delta F/F|_{90} - \Delta F/F|_0) / (\Delta F/F|_{90} + \Delta F/F|_0)$  respectively, where  $\Delta F/F|_{90}$  and  
570  $\Delta F/F|_0$  is the mean  $\Delta F/F$  during the visual stimuli with  $90^\circ$  and  $0^\circ$  drifting grating respectively.

571

### 572 *In vivo electrophysiological recordings*

573 Mice were head-fixed and anaesthetized with isoflurane (1.5~2%) throughout the experiment.  
574 Dura was carefully removed in the access point of the recording pipette. 2% agarose gel in  
575 HEPES-based artificial cerebrospinal fluid (ACSF) (150 mM NaCl, 2.5 mM KCl, 10 mM  
576 HEPES, 2 mM CaCl<sub>2</sub>, 1 mM MgCl<sub>2</sub>, pH was 7.3) was added on top of the brain to avoid  
577 movement artifacts. Patch pipettes of 5~7 M $\Omega$  pulled with DMZ-Universal puller (Zeitz-  
578 Instrumente Vertriebs GmbH, Planegg, Germany) were filled with ACSF containing 25  $\mu$ M  
579 Alexa 594 to visualize the tip of the pipettes. C1V1-expressing cells were targeted using two-  
580 photon microscopy *in vivo*. During recordings, the space between the objective and the brain was  
581 filled with ACSF. Cell-attached recordings were performed using Multiclamp 700B amplifier

582 (Molecular Devices, Sunnyvale, California), in voltage-clamp mode. The sampling rate was 10  
583 kHz, and the data was low-pass filtered at 4 kHz using Bessel filter.

584

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595

### 596 **Competing Interests**

597 R.Y. and D.S.P. are listed as inventors of the following patent: “Devices, apparatus and method  
598 for providing photostimulation and imaging of structures” (United States Patent 9846313).

599

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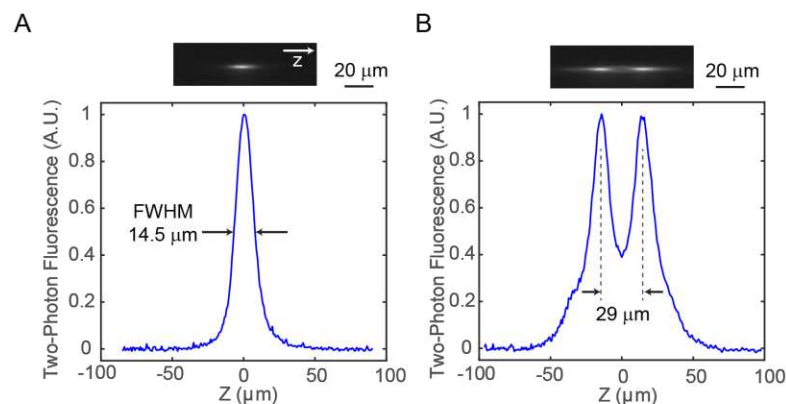
718 **Figure Supplement**

719

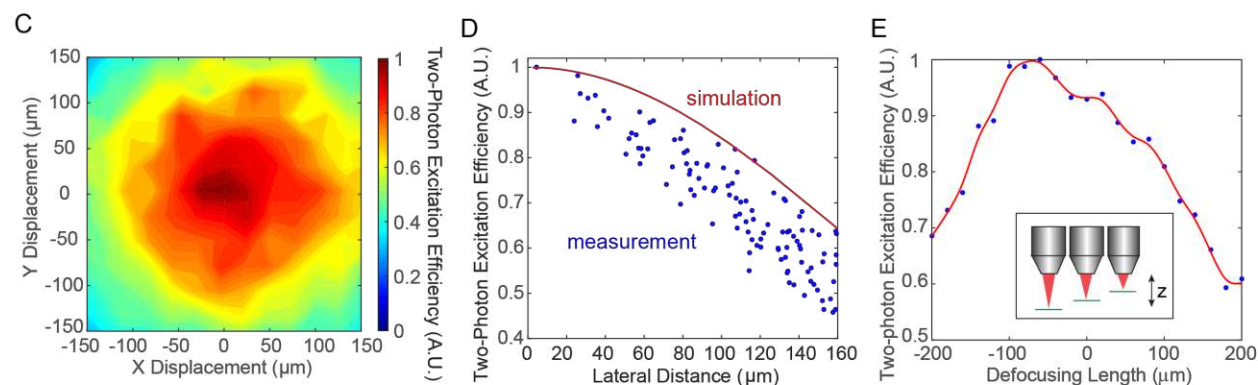
720 **Figure 1 – Figure Supplement 1**

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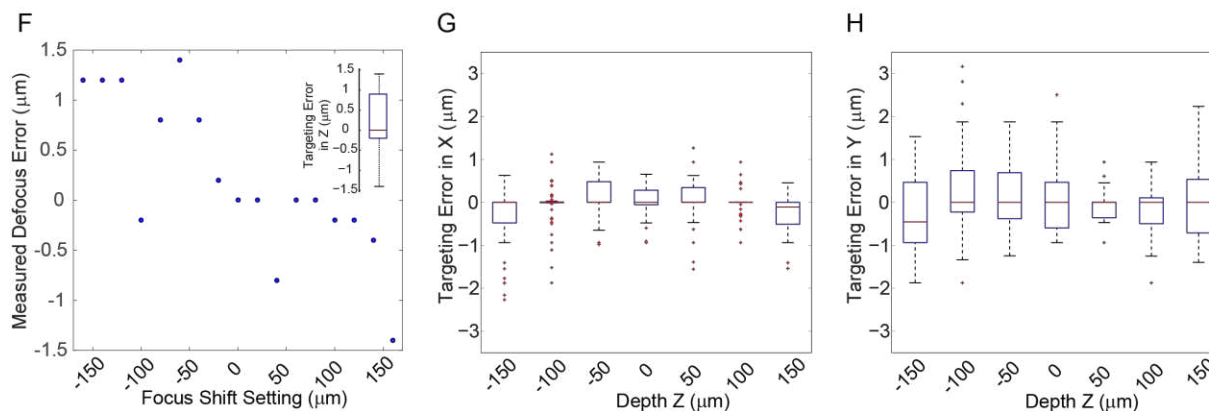
Axial PSF



Two-Photon Excitation Efficiency



Targeting Error



722

723

724 **Figure 1 – Figure Supplement 1.** System characterization of the spatial light modulator (SLM) in the 3D

725 microscope. (A) Measured point spread function (PSF) in the axial ( $z$ ) direction for two-photon excitation.

726 The FWHM is  $14.5 \mu\text{m}$ , corresponding to an NA  $\sim 0.35$ . (B) Measured axial profile of a two-photon

727 holographic imaging where two spots was separated in  $29 \mu\text{m}$  in  $z$ . (C) Measured SLM two-photon

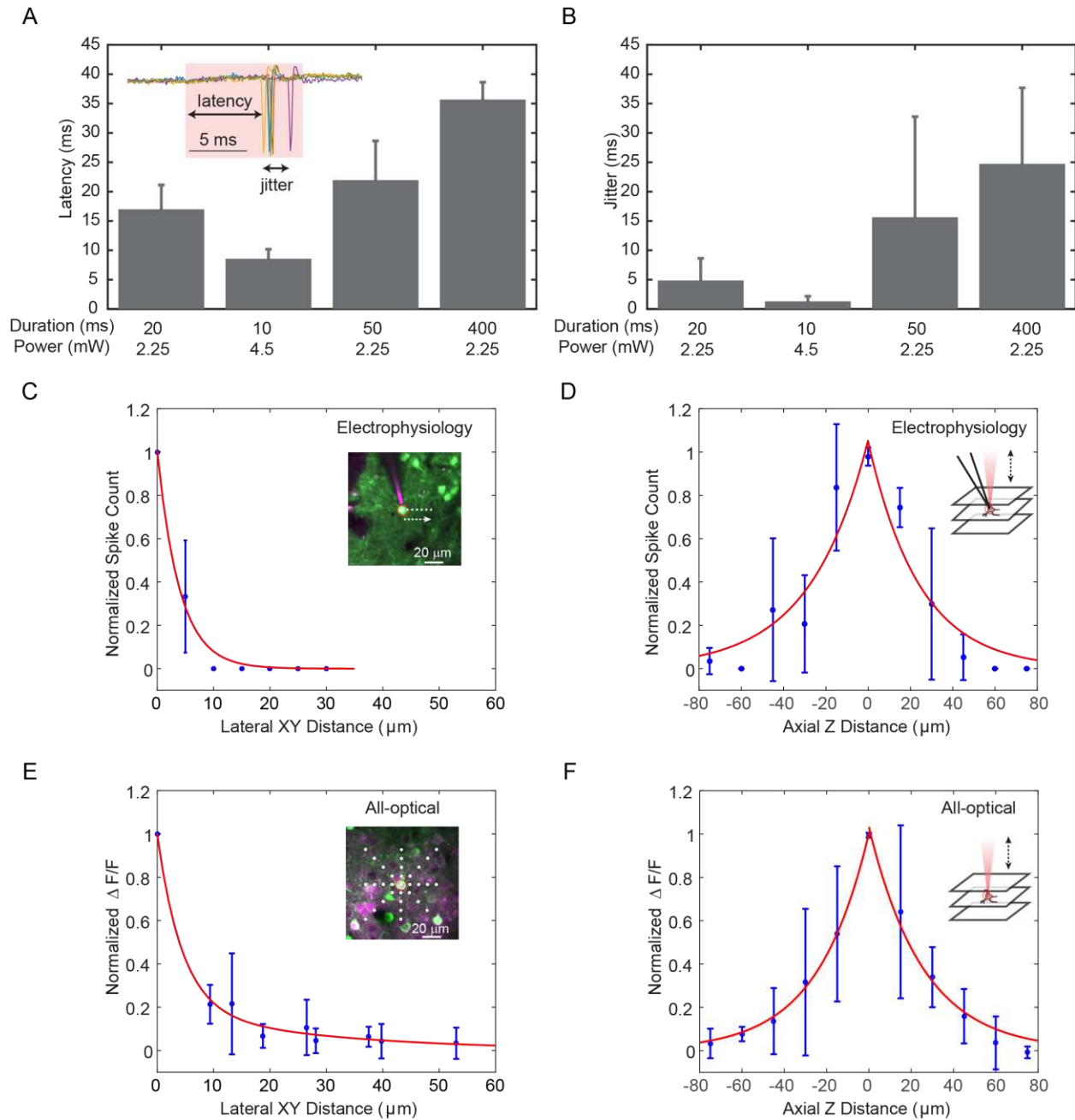
728 excitation efficiency versus lateral deflection ( $x, y$ ) in the imaging plane. (D) Simulated SLM two-photon

729 excitation efficiency versus lateral deflection in the imaging plane (red curve), with measured data (blue

730 dot) from (C). (E) Measured SLM two-photon excitation efficiency versus defocusing length. The  
731 measured value (blue dot) is spline-fitted (red curve). (F) Measured SLM axial targeting error versus axial  
732 focus shift. Inset, boxplot of axial targeting error. Overall, the axial targeting error (absolute value) is  
733  $0.59 \pm 0.54 \mu\text{m}$  across the axial range of  $300 \mu\text{m}$ . (G)-(H) Measured SLM lateral ( $x$ ,  $y$ ) targeting error  
734 versus axial focus shift. Overall, the lateral targeting error (absolute value) is  $0.82 \pm 0.65 \mu\text{m}$  across the 3D  
735 field of view (FOV) of  $240 \times 240 \times 300 \mu\text{m}^3$ . In the boxplot, the central mark indicates the median, and the  
736 bottom and top edges of the box indicate the 25th and 75th percentiles, respectively. The whiskers extend  
737 to the most extreme data points (99.3% coverage if the data are normal distributed) not considered  
738 outliers, and the outliers are plotted individually using the '+' symbol.  
739



740 **Figure 1 – Figure Supplement 2**



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742

743 **Figure 1 – Figure Supplement 2.** Single cell photostimulation. (A)-(B) Latency (A) and jitter (B) of  
 744 target pyramidal cells in layer 2/3 of mouse V1 evoked by photostimulation with different spiral duration  
 745 and average laser power (3 cells in each condition; mice anesthetized). The inset shows the cell-attached  
 746 recording of a 10 ms spiral stimulation over 5 trials in a neuron. The red shaded area indicates the  
 747 photostimulation period. (C)-(D) Normalized spike count versus the (C) lateral and (D) axial  
 748 displacement between the centroids of the photostimulation spiral pattern and the cell body, measured by  
 749 *in vivo* cell-attached electrophysiology (4 cells over 2 mice *in vivo*, layer 2/3 of V1; 2.25 mW~6 mW  
 750 stimulation power, 20 ms stimulation duration; the mice were transfected with C1V1-EYFP). Inset in (C),  
 751 photostimulation was performed at different locations with respect to the targeted neuron in the center

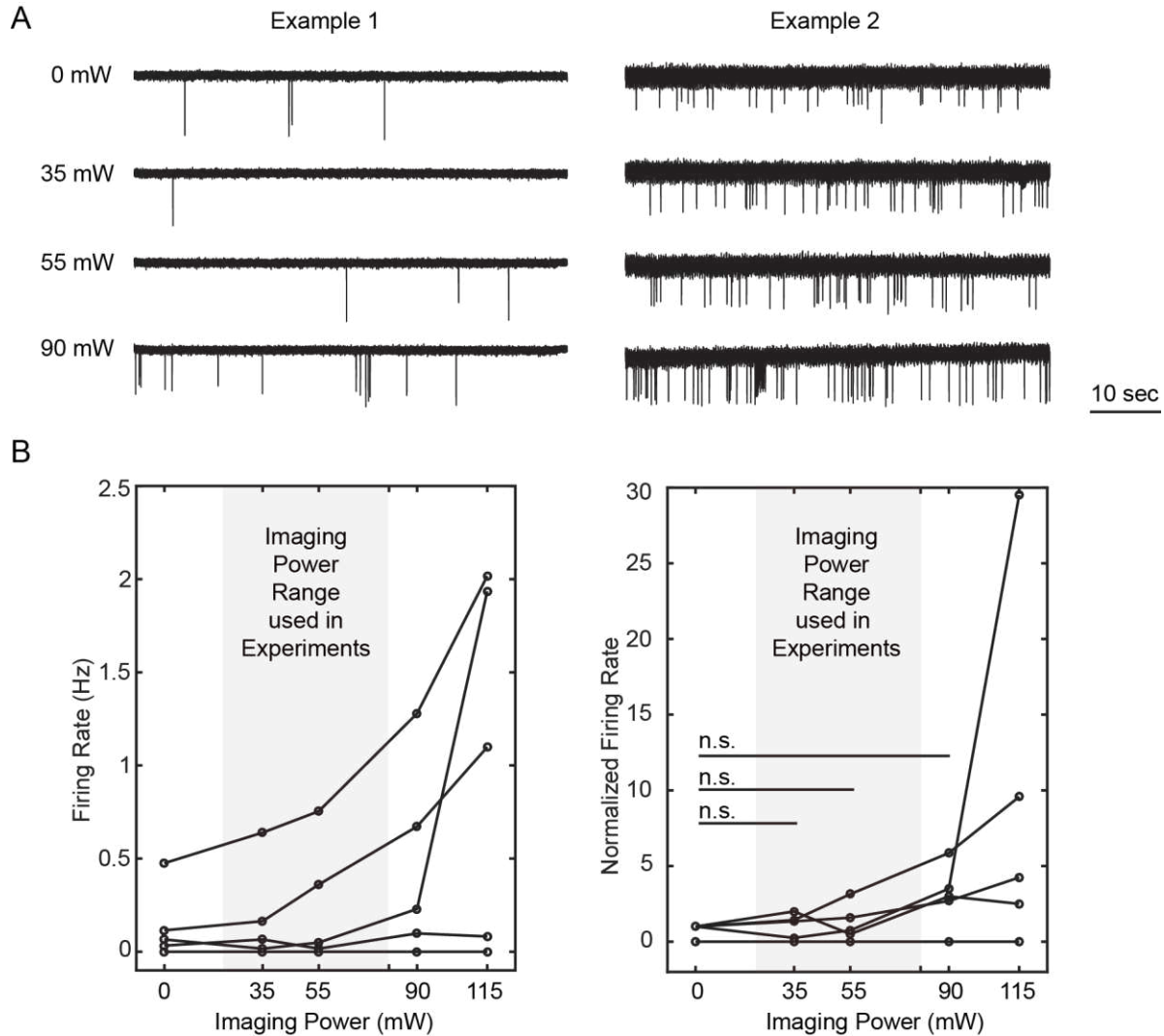
752 field of view. The white dots indicate the spiral centroids. Green and magenta indicates cells with C1V1-  
753 EYFP and pipette filled with Alexa 594. Inset in (D), photostimulation was performed at different depths  
754 with respect to the targeted neuron. (E)-(F) Normalized  $\Delta F/F$  versus the (E) lateral and (F) axial  
755 displacement between the centroids of the photostimulation spiral pattern and the cell body, measured by  
756 *in vivo* calcium imaging [5 cells over 2 mice for (E) and 4 cells over 2 mice for (F), *in vivo*, layer 2/3 of  
757 V1; 3 mW~4.5 mW stimulation power, 154 ms stimulation duration; the mice were transfected with  
758 GCaMP6s and C1V1-mCherry]. Inset in (E), photostimulation was performed at different locations with  
759 respect to the target neuron in the center field of view. The white dots indicate the spiral centroids. Green  
760 and magenta indicates GCaMP6s and C1V1-mCherry. Inset in (F), photostimulation was performed at  
761 different depths with respect to the target neuron.

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765 **Figure 1 – Figure Supplement 3**  
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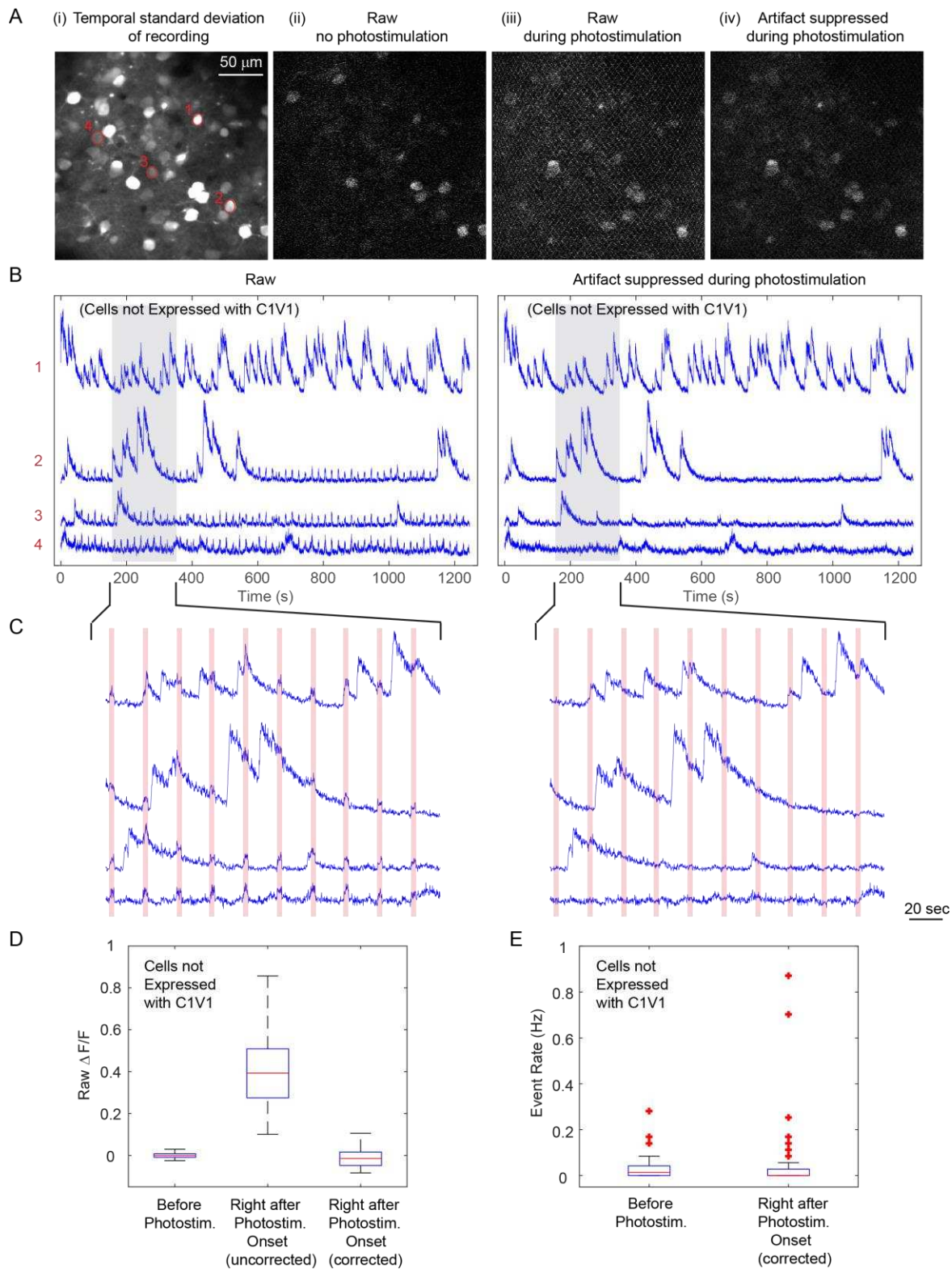


767

768 **Figure 1 – Figure Supplement 3.** Cross talk from imaging laser into photostimulation. Activities of  
 769 neurons in layer 2/3 of mice V1 were recorded by cell-attached electrophysiology while the whole field  
 770 was being scanned by the imaging laser (940 nm) at an FOV of 240x240  $\mu\text{m}^2$  at 23.3 fps for different  
 771 powers. The recorded cells were confirmed to be photoactivable by spiral scan of the photostimulation  
 772 laser (2.25~4.5 mW) both before and after the whole field scanning of the imaging laser. (A) Examples of  
 773 the cell-attached recorded signal of two different neurons at different imaging power conditions. (B)  
 774 Firing rate (left) and normalized firing rate to the 0 mW condition (right) of the recorded neurons at  
 775 different imaging power. [6 cells over 4 mice *in vivo*; the mice were transfected with C1V1-EYFP; One-  
 776 way ANOVA test show no significant difference between condition of 0 mW and 35~90 mW. Paired-  
 777 sample t-test between conditions of (0 mW, 35 mW), (0 mW, 55 mW), (0 mW, 90 mW) shows a p value  
 778 of 0.50, 0.44, and 0.055 respectively]. Note in the all-optical experiments, the typical imaging power was  
 779 below 50 mW, though it could be up to 80 mW for layers deeper than ~250  $\mu\text{m}$ . Furthermore, the  
 780 scanning of the imaging laser cycles through different imaging planes (typically separated by ~50  $\mu\text{m}$   
 781 each), leading to a 3~4 fold reduction of power depositing to the same plane. This measurement shows  
 782 that the effect of the imaging laser into cell activation in our all-optical experiment is almost negligible.

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### Figure 1 – Figure Supplement 4

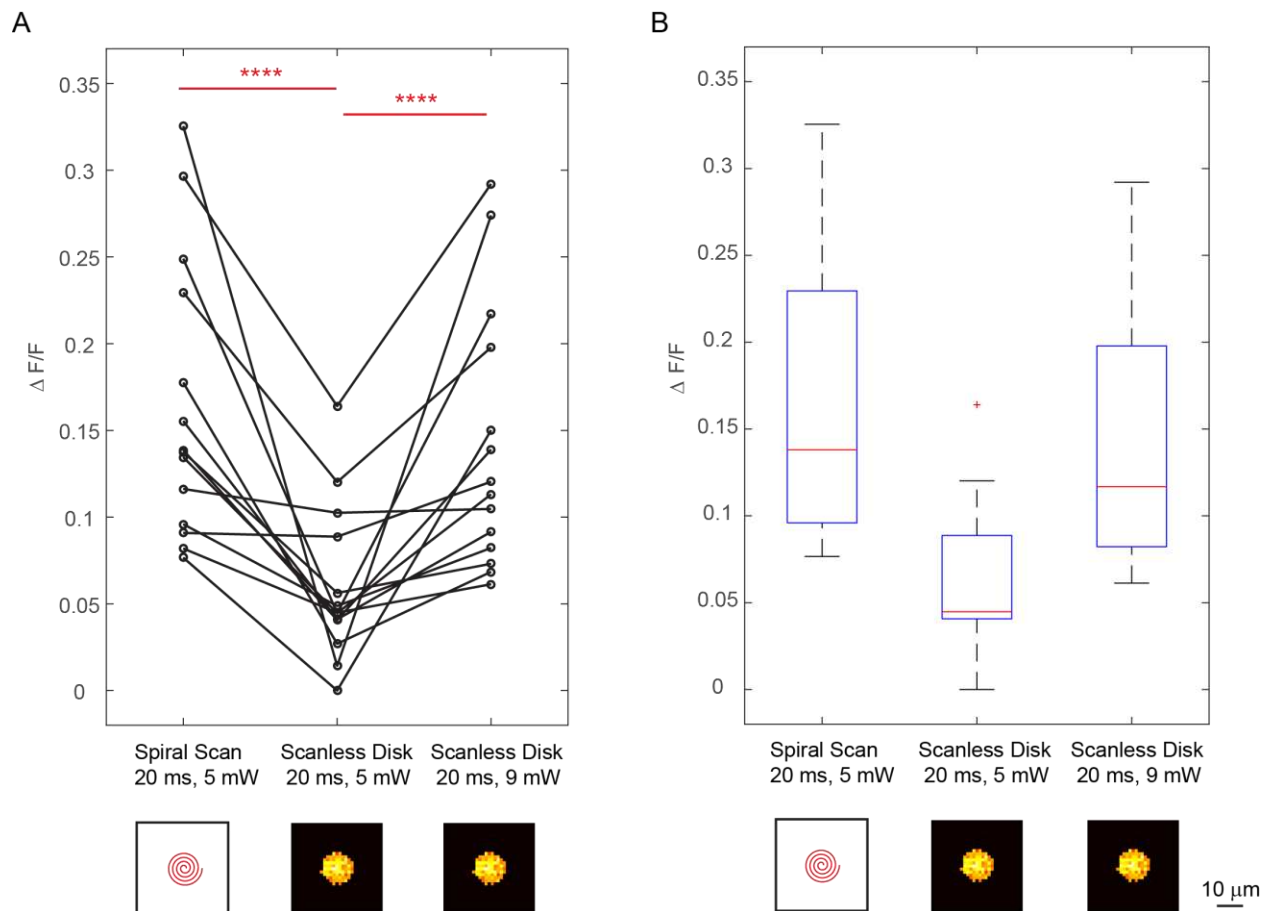


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787 **Figure 1 – Figure Supplement 4.** Cross talk from photostimulation laser into imaging. This example  
788 represents one of the worst cross talk situation: the bright GCaMP6s signal, the relatively strong  
789 photostimulation power (60 mW) and its long duration (2.8 sec) render a strong photostimulation artifact  
790 on the sample. (A) Simultaneous calcium imaging and photostimulation in an awake mouse V1, layer 2/3.  
791 Panel i, temporal standard deviation of the recorded movie. Panel ii, a raw image frame with no  
792 photostimulation. Panel iii, a raw imaging frame during photostimulation. The mesh pattern comes from  
793 the stimulation artifact. Panel iv, the same image frame from panel iii but with artifact suppression by  
794 data pre-processing. (B) Representative fluorescence traces of four cells [marked in (A), with different  
795 signal-to-noise ratio; cells were not expressed with C1V1] from the raw recording and that after artifact  
796 suppression. (C) Zoomed-in view of the shaded area in (B), with the red shaded areas indicating the  
797 photostimulation periods. (D) Boxplot summarizing the statistic of raw  $\Delta F/F$  signal of cells at 3  
798 conditions: (1) right before photostimulation laser turned on, right after photostimulation laser turned on  
799 (2) without and (3) with photostimulation artifact suppression procedure. Only GCaMP6s but not C1V1  
800 were expressed in these analyzed cells (a total number of 115). (E) Boxplot summarizing the statistics of  
801 the extracted activity event rate for condition (1) and (3). The central mark indicates the median, and the  
802 bottom and top edges of the box indicate the 25th and 75th percentiles, respectively. The whiskers extend  
803 to the most extreme data points (99.3% coverage if the data are normal distributed) not considered  
804 outliers, and the outliers are plotted individually using the '+' symbol.  
805  
806

807 **Figure 2 – Figure Supplement 1**

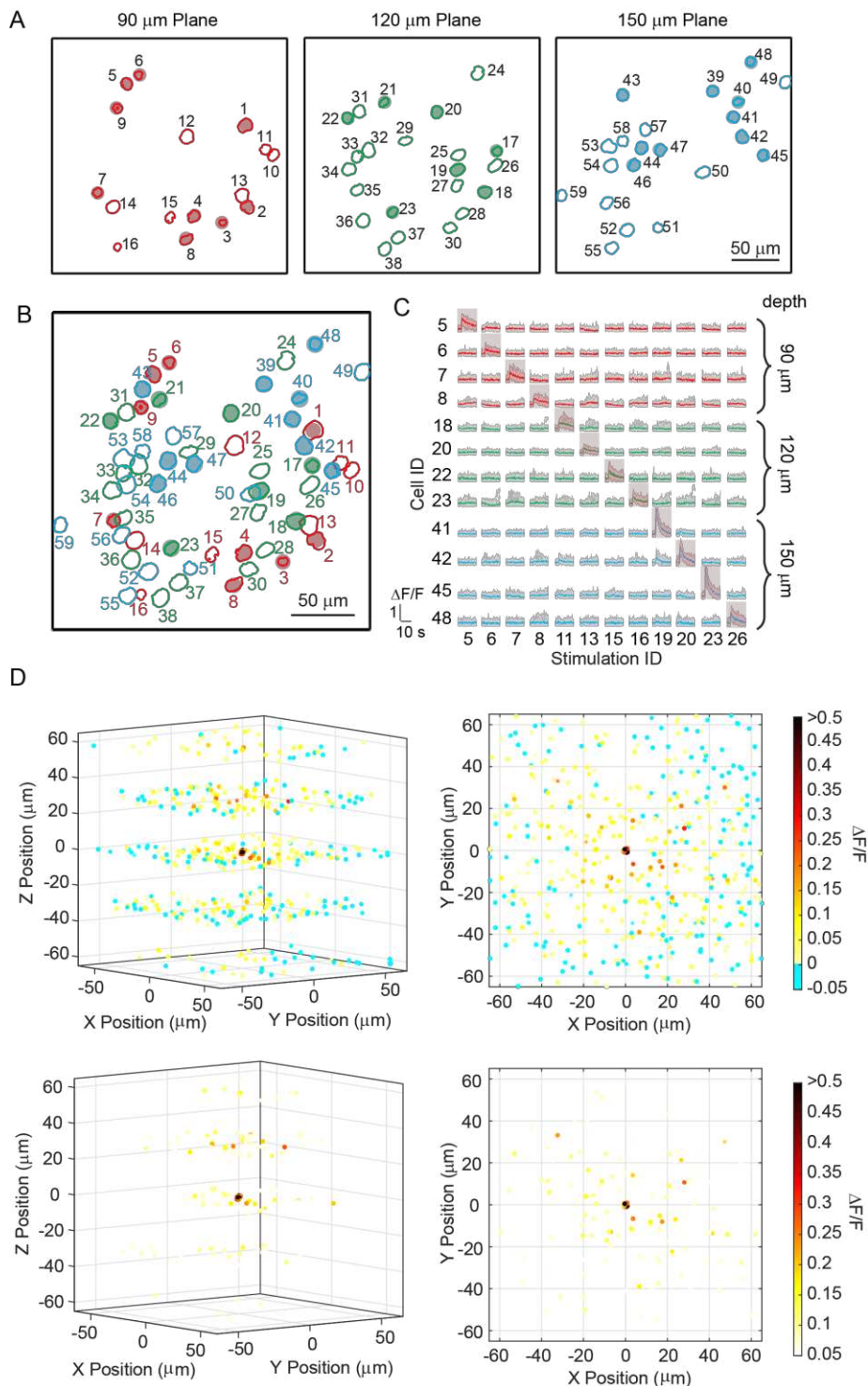
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810 **Figure 2 – Figure Supplement 1.** Comparison between the spiral scan approach and scanless (pure)  
 811 holographic approach for single cell photostimulation. In the scanning approach, the laser spot is spirally  
 812 scanned over the cell body; in the scanless approach, a disk pattern ( $\sim 12 \mu\text{m}$  in diameter) is generated by  
 813 the SLM, covering the entire cell body at once. (A)  $\Delta F/F$  response of neurons towards three different  
 814 photostimulation conditions: (1) spiral scan at 5 mW, (2) scanless disk at 5 mW and (3) scanless disk at 9  
 815 mW, all with 20 ms stimulation duration [14 cells over 5 mice *in vivo*, layer 2/3 of V1, over a depth of  
 816  $120 \sim 270 \mu\text{m}$  from pial surface; one-way ANOVA test show significant difference between condition (1),  
 817 (2) and condition (2), (3)]. The disk pattern in the bottom panel shows the squared calculated holographic  
 818 pattern projected to the cell body. (B) Boxplot summarizing the statistics in (A). The central mark  
 819 indicates the median, and the bottom and top edges of the box indicate the 25th and 75th percentiles,  
 820 respectively. The whiskers extend to the most extreme data points (99.3% coverage if the data are normal  
 821 distributed) not considered outliers, and the outliers are plotted individually using the '+' symbol. In this  
 822 experiment, the mice are transfected with GCaMP6f and C1V1-mCherry.  
 823

824 **Figure 3 – Figure Supplement 1**  
 825



826 **Figure 3 – Figure Supplement 1.** Sequential photostimulation of individual pyramidal cells in layer 2/3  
 827 from mouse V1 *in vivo*. (A) Contour maps showing the spatial location of the cells in three individual  
 828 planes (90  $\mu\text{m}$ , 120  $\mu\text{m}$ , and 150  $\mu\text{m}$  from pial surface). Cells with shaded color are the targeted cells. (B)  
 829 2D overlap projection of the three planes in (A). (C) Representative photostimulation triggered calcium  
 830 responses in targeted cells. (D) 3D and 2D spatial maps of  $\Delta F/F$  showing the spatial spread of calcium  
 831 signals.

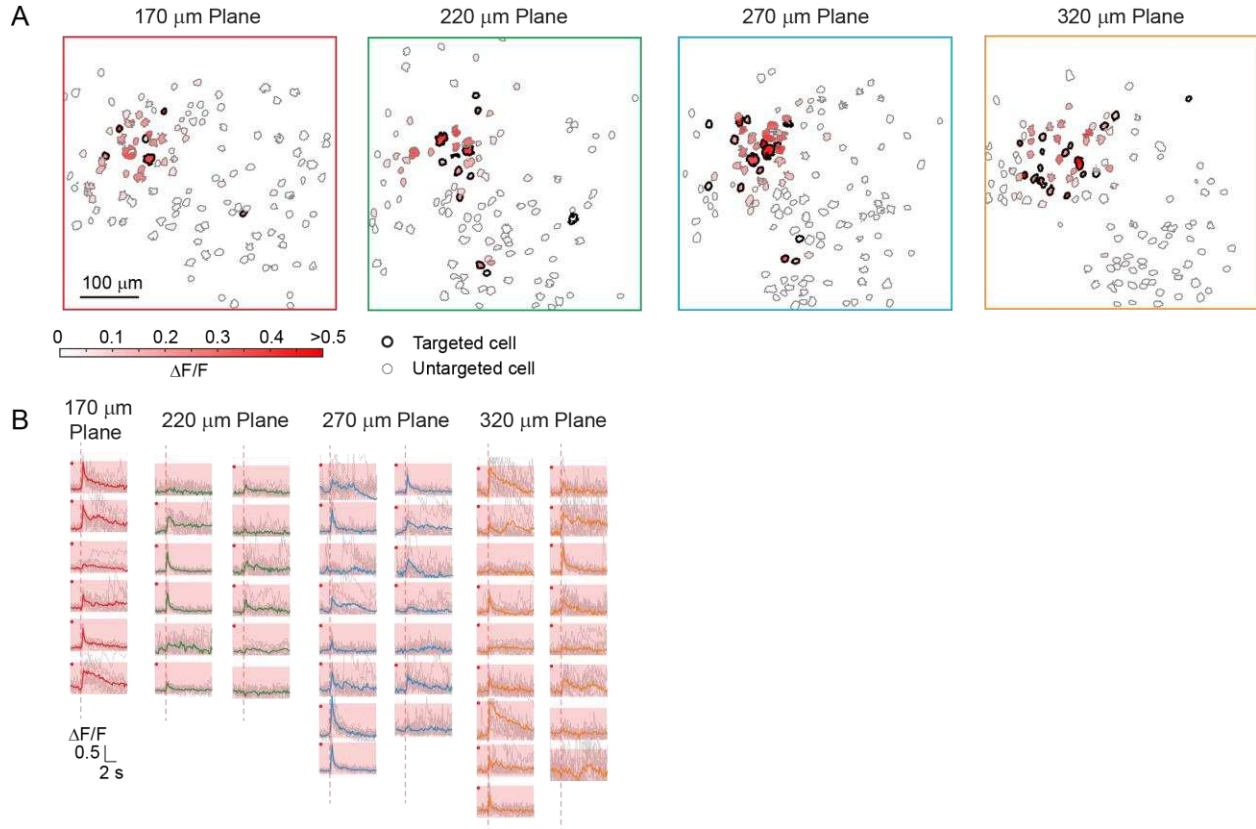
832 response of the targeted cells (indicated with red shaded background) and non-targeted cells, for  
833 photostimulation on different single cells. The average response traces are plotted over those from the  
834 individual trials. (D) Neuronal calcium response during photostimulation on different single cells (26 in  
835 total; plotted average response over 8 trials for each). The spatial locations of the cells are relative to the  
836 targeted cells, which are set at the (0, 0, 0). The spatial locations of different set of conditions are  
837 randomly dithered by  $<1 \mu\text{m}$  in x, y, z such that the target cells do not appear to completely overlapped at  
838 (0, 0, 0). The  $\Delta F/F$  response is color coded. The top and bottom panel uses two different color scales. The  
839 top panel illustrates all the cells, and the bottom panel highlights the cells showing relatively large  
840 response. The left panel shows 3D perspective; the right panel shows the projection in xy plane. The  
841 mouse was transfected with GCaMP6s and C1V1-mCherry. The photostimulation power is  $\sim 4 \text{ mW}$  for  
842 each cell, and duration was 962 ms.

843

844



845 **Figure 4 – Figure Supplement 1**  
 846



847  
 848 **Figure 4 – Figure Supplement 1.** Simultaneous photostimulation of 50 pyramidal cells in layer 2/3 of  
 849 V1 in awake mice. (A) Contour maps showing the spatial location of the cells in individual planes (170  
 850  $\mu\text{m}$ , 220  $\mu\text{m}$ , 270  $\mu\text{m}$  and 320  $\mu\text{m}$  from pial surface). Cells with black contour are the simultaneously  
 851 targeted cells. The red shaded color shows the evoked  $\Delta F/F$  in average. (B) Photostimulation triggered  
 852 calcium response of the targeted cells. The average response traces are plotted over those from a total of  
 853 11 individual trials. Those with a red dot indicate cells showing clear evoked calcium transient through  
 854 manual inspection. The mouse was transfected with GCaMP6f and C1V1-mCherry. The photostimulation  
 855 duration was 94 ms.  
 856