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# Diversity of Late Neogene–Pleistocene small mammals of the Baikalian region and implications for paleoenvironment and biostratigraphy: An overview

Nadezhda V. Alexeeva, Margarita A. Erbajeva\*

Geological Institute, Siberian Branch of Russian Academy of Sciences, Sahianova Street 6a, 670047 Ulan-Ude, Russia

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#### Abstract

Gradual cooling in the Northern latitudes and intensive orogenic processes led to a prominent environmental change in Eurasia during the Pliocene. The climate changed towards arid and cool conditions. This resulted in a significant reorganization of the biogeocenosis of the Baikalian region. Several open landscape dwellers appeared in mammal faunas. The diverse small mammal species are characteristic of the faunas of Transbaikalia and Prebaikalia. However, the Prebaikalian fauna slightly differs from Transbaikalian one as it includes some peculiar species. Further trends of gradual climatic changes led to significant differences in the biota of these two regions that continue to exist at present.

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#### 1. Introduction

The Baikalian region includes the territories of Prebaikalia and Western Transbaikalia, respectively, located westwards and eastwards of Lake Baikal. These territories stretch from 47°N to 59°N and 102°E to 114°E (Fig. 1). Prebaikalia is situated on the south-eastern borderland of the Siberian platform, one of the stable blocks of the Asiatic continent, while Western Transbaikalia is a part of the Central-Asian fold belt formed during the long geological evolution of the Paleoasian ocean. The Transbaikalian region is characterized by the alternation of low and medium height ranges (300 and 800–1460 m) separated by deep intermontane depression and river valleys (Bazarov, 1986).

There are two geomorphological provinces in the region which are characterized by different types of tectonically determined relief forms (Fig. 2). The result is a great variety of landscapes from lowland through mountain meadow, mountain taiga and mountain tundra, characterized by different environmental conditions. Such variability of

erbajeva@gin.bsc.buryatia.ru (M.A. Erbajeva).

environments and climatic conditions determine a high diversity of faunal associations and plant communities.

At present, the vast territory of Prebaikalia is occupied mainly by dense conifer forest, although steppe, meadow and swampy areas are present as well. The most abundant and diverse taxa in Prebaikalian fauna are forest inhabitants some of which are widely distributed in the adjacent areas; steppe, and dry steppe, meadow species inhabit restricted areas (Lyamkin, 1994).

In contrast to Prebaikalia, most of southern and southeastern Transbaikal area are mountains and its northern and north-eastern part are mountainous areas covered by taiga forest. The Selenginian middle mountains are characterized by a sharply continental climate and are covered by forest-steppe, dry steppe, meadow and in part subdesert landscapes.

Prebaikalia is a part of European–Siberian paleozoogeographical province and Transbaikalia is part of the Central-Asian one. They contain rather different modern small mammal faunas (Appendix).

Since Late Pliocene to Early Pleistocene time, an outstanding biodiversity of mammal faunas were recorded in the Baikalian region. On this basis paleoenvironmental evolution and the correlation of coeval faunas were observed.

<sup>\*</sup>Corresponding author. Tel.: +73012433013; fax: +73012433024. *E-mail addresses:* ochotona@online.ru (N.V. Alexeeva),

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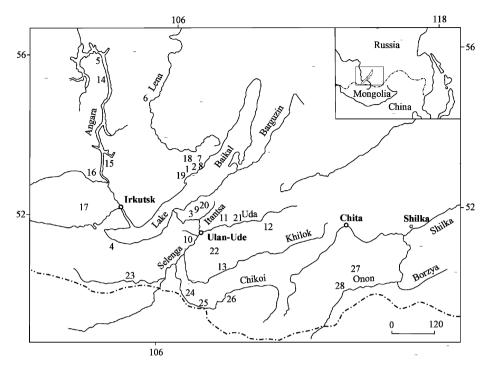


Fig. 1. Sketch map of the main Late Pliocene–Pleistocene localities with mammal faunas of the Baikalian region. Late Pliocene: 1—Podtok, Cherem Khaem, Malye Goly 1, Malye Goly 2; 2—Karantsy; 3—Klochnevo 1, Klochnevo 2, Zasukhino 1; 4—Shankhaikha. Early Pleistocene: 5—Zayarsk; 6—Podymakhino; 7—Nikiley, Rykovo, Malye Goly 3; 8—Yelga, Zagli, Nyurgan; 9—Zasukhino 2, Zasukhino 3; 10—Tologoi 1.2; 11—Dodogol 1, Dodogol 2; 12—Kudun; 13—Ust'-Obor. Middle-Late Pleistocene: 14—Ozernaya Balya; 15—Igetei; 16—Mal'ta; 17—Rzadolinskaya 7; 18—Kachug, Mys; 19—Kurtun; 20—Zasukhino 4, Zasukhino 5, Zasukhino 6; 21—Dodogol 3, Dodogol 4, Dodogol 5; 22—Khar'yaska 1, Khar'yaska 2; 23—Botsi; 24—Beregovaya 2; 25—Sharagol; 26—Studenoe; 27—Nozhyi; 28—Nizhnyi Tsasuchei.

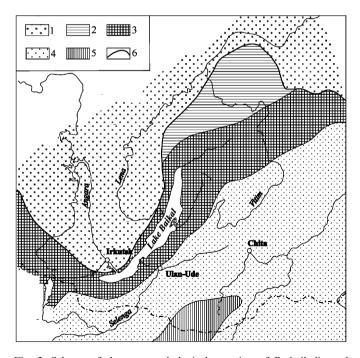


Fig. 2. Scheme of the geomorphological zonation of Prebaikalia and Transbaikalia (after Logatchev, 1974, Fig. 2). Legends: 1—Geomorphological province "plateau and lowland of the Siberian platform". The areas of geomorphological province "mountains of the South Siberia": 2—Baikal-Patom upland; 3—Sayan-Baikalian mountain land; 4—Transbaikalian middle mountains; 5—Khentei-Daurian upland; 6—borderline between geomorphological provinces.

#### 2. Materials and methods

The study is based on the complex analysis of both published and unpublished paleontological and geological data obtained using multidisciplinary approaches. Abundant fossils of insectivores, lagomorphs and rodents were analyzed. These groups of mammals have been chosen as indicators of environmental changes due to their high evolutionary rate and their high sensitivity to environment resulting from their short lifespan. The study used Transbaikalian and Prebaikalian small mammalian fossil collection kept at the Geological Institute, Ulan-Ude as well as published data on Prebaikalian faunas in order to perform a comparison of different faunas from these two regions.

## 3. Discussion

The analysis of the faunal evolution and geological data of the Baikalian region in the Late Neogene clearly indicates the influence of global and regional climatic changes in the paleoenvironmental development. Tectonic evolution of the Northern Hemisphere, especially the progressive uplift of the Himalaya and Tibetan plateau during the Late Cenozoic induced climatic changes in Central Asia (Prokopenko et al., 2001). Moreover, the formation in the Baikal Rift Zone of a series of south Siberian ranges of Sayan and Khangai systems during the Late Neogene influenced regional climatic changes (Kuzmin and Yarmolyuk, 2006).

As a result, there were considerable changes in the paleoenvironment and in the biogeocenosis of the Baikalian region. The Late Miocene–Early Pliocene warm and rather humid climate became cooler and arid to the end of the Early Pliocene with a further shift towards cooling and aridification during Middle Pliocene. Broadleaved-coniferous forests gradually reduced, replaced by coniferous and savanna-like forest-steppe, dumetum, steppe and meadow became widely distributed at the end of Middle Pliocene (Belova, 1985). The mammal faunal assemblages and plant communities, as well as birds and amphibian assemblages suggest a warm and moderately arid climate at that time (Alexeeva, 2005).

At the beginning of the Late Pliocene, global cooling is registered in several regions of Nothern Hemisphere. This feature was recognized also in the Baikalian region, where the cold intervals are recorded at 2.82–2.5 Ma (Prokopenko et al., 2001). The climatic shift towards cooler and more arid conditions, caused the spreading of steppes and grassland. A significant re-organization in the biogeocenosis of the region occurred at that time, as suggested by the composition and the structure of mammal faunas and vegetation (Erbajeva, 1998; Bezrukova et al., 2002). The most thermophilic elements of the flora already disappeared and mammal faunas changed.

The Late Pliocene is characterized by the disappearance of the Neogene genus Hipparion, and by the first appearance of the genus Equus. The reduction of the abundance of rooted voles of the genera Mimomys and Villanvia, and of zokors (Prosiphneus) was recorded. Moreover, other significant changes in the diversity of rodents took place. In the region the genera Cromeromys, Spermophilus, Clethrionomys and Allactaga appeared for the first time. Ochotonids were reduced in diversity and abundance. The cementless rooted vole Villanyia and the genus Prosiphneus are represented by species which are evolutionarily more advanced than in the preceding faunas of the region. Landscapes became mosaic. Plant communities reveal the presence of mixed forests along foothills, river valleys and northern mountain slopes (Bazarov, 1986; Alexeeva, 2005).

In the Baikalian region, coeval Late Cenozoic faunas have been correlated. In the Transbaikal area, the Late Pliocene mammalian faunas are known as Itantsinian Faunal Complex and in Prebaikalia as Kharantsinian and Malogolian faunas (Table 1) (Vangengeim, 1977; Adamenko and Adamenko, 1986; Pokatilov, 1994; Alexeeva, 2005). These faunas indicate a new stage in the faunal succession of the Baikalian region.

Comparison of the Late Pliocene Transbaikalian and Prebaikalian faunas (Table 2) shows their close relationship. They have in common several taxa on both genus and species level; however, they differ slightly by the species composition and ratio of some taxa, a feature that reflects Table 1

Biostratigraphy and correlation of faunas and faunal complexes of Prebaikalia and Western Transbaikalia

Geologic period	Prebaikalia (Adamenko and Adamenko, 1986; Pokatilov, 1994)	Western Transbaikalia (Alexeeva, 2005)	
Pleistocene			
Late	Faunas from numerous sites	Faunas from numerous sites	
Middle	Begulian fauna	Ivolginian fauna	
	Nyurganian fauna	Tologoi faunal complex	
Early	Zaglinian fauna	Zasukhinian fauna	
	-	Kudunian fauna	
	Yelginian fauna	Ust'Oborian fauna	
	Nikileyian fauna	Dodogolian fauna	
Pliocene			
Late	Malogolian fauna	Itantsinian faunal complex	
	Kharantsinian fauna	*	
Middle	Khuzirian faunal complex	Chikoian faunal complex	
		Udunginian faunal complex	

the peculiarity of the regional paleoenvironmental conditions of each area.

In comparison with the preceding Middle Pliocene fauna, the Late Pliocene fauna of Transbaikal area shows the reduction of quantity of ochotonid number, as well as of hamsters, siphneids, the cemented vole *Mimomys*, and the cementless vole *Villanyia*. This latter genus is represented in Itantsinian fauna by *Villanyia klochnevi* morphologically a more progressive form than *Villanyia eleonorae* from preceding faunas. Another significant peculiarity of the Itantsinian fauna is the extreme abundance of the remains of ground squirrels represented by species of both subgenera *Spermophilus* and *Urocitellus*. They are both inhabitants of open landscapes mostly steppes and grasslands.

In contrast to the Transbaikal faunas, in the Prebaikalian ones *Mimomys*, *Villanyia* and *Prosiphneus* are still rather abundant (respectively 25%, 20% and 23% of the total number of individuals) (Pokatilov, 1994). The fauna reflects as well open landscapes with predominance of meadow, different steppes and grasslands. The Late Pliocene climate of the Baikalian region was warm and semiarid.

A further significant reorganization of the Baikal region biota is indicated by the Early Pleistocene faunas (the next stage in Baikal region faunal succession). The most significant peculiarity of the Early Pleistocene faunas is the first appearance of the genus *Borsodia*, which replaced *Villanyia* and *Allophaiomys*. Later, other genera such as *Lagurodon*, *Prolagurus*, *Eolagurus*, *Terricola*, *Lasiopodomys*, *Lemmus* and *Microtus* appeared in the faunas. Comparative analyses of the faunas show that they have in

Table 2 Pliocene and Pleistocene small mammal succession of the Baikalian region

Geologic	Age	MN/MQ	Small mammal associations				
period	(Ma)	Zones	Prebaikalia <sup>a</sup>	Transbaikalia			
Holocene	0.01		Recent faunas				
Pleistocene							
Late	0.125	MQ 25–26	Sorex sp., Lepus timidus, Ochotona hyperborea, Ochotona pusilla, Tamias sibiricus, Spermphilus undulatus, Spermophilus cf. parryi, Clethrionomys rutilus, Clethrion. rufocanus, Lagurus lagurus, Dicrostonyx cf. henseli, Dicrostonyx sp., Myopus schisticolor, Lemmus amurensis, Arvicola terrestris, Microtus gregalis, Microtus oeconomus, Microtus middendorfii, Castor sp.	Sorex erbajevae, Sorex sp., Lepus timidus, Lepus tolai, Ochotona daurica, O. hyperborea, Tamias sibiricus, Marmota sibirica, Allactaga sibirica, Spermophilus undulatus, Cricetulus barabensis, Meriones unguiculatus, Ellobius tancrei, Lagurus lagurus, Lasiopodomys brandti, Microtus gregalis, Microtus oeconomus, Microtus fortis, Microtus maximoviczi			
Middle	0.78	MQ 21–24	Lepus sp., Ochotona sp., Spermophilus cf. undulatus, Alticola sp., Dicrostonyx cf. simplicior, Dicrostonyx cf. henseli, Eolagurus simplicidens, Lagurus sp., Cricetulus sp., Pitymys ex gr. arvaloides, Microtus gregalis, Microtus oeconomus, Microtus sp.	Ochotona dodogolica, Ochotona gureevi, Marmota sibirica. Spermophilus gromovi, Allactaga sibirica transbaikalica, Ellobius tancrei, Eolagurus simplicidens, Lagurus transiens. Meriones unguiculatus, Lasiopodomys brandti, Microtus gregalis, Microtus oeconomus, Microtus mongolicus, Cricetulus barabensis, Myospalax wongi			
Early	1.8	MQ 19–20 MN18	Sorex sp., Leporinae gen., Ochotona cf. whartoni, Ochotona filippovi, Sicista sp., Spermophilus cf. tologoicus, Alactagulus sp., Plioscirtopoda sp., Cricetus sp., Cricetulus sp., Villanyia cf. hungarica, Mimomys cf. intermedius, Mimomys cf. pusillus, Clethrionomys ex. gr. rutilus, Lagurodon sp., Prolagurus sp., Lemmus aff. kowalskii, Allophaiomys pliocaenicus, Prosiphneus sp.	Crocidura sp., Ochotona tologoica, Ochotona zasuchini, Ochotona bazarovi, Spermophilus tologoicus, Spermophilus itancinicus, Prolagurus pannonicus, Prolagurus ternopolitanus, Lagurodon arankae, Terricola hintoni, Allophaiomys pliocaenicus, Mimomys cf. pusillus, Borsodia laguriformes, Myospalax omegodon, Prosiphneus cf. young			
Pliocene							
Late	2.6	MN 17	Sorex cf. paleosibiriensis, Sorex sp., Hypolagus sp., Ochotona sp., Ochotonoides complicidens Spermophilus sp., Allactaga sp., Clethrionomys sp., Villanyia lenensis, Villanyia angensis, Mimomys parapolonicus, Cromeromys sibiricus, Cromeromys praeintermedius, Clethrionomys sp., Prosiphneus sp.	Ochotona cf. nihewanica, Ochotona cf. intermedia, Spermophilus itancinicus, Spermophilus tologoicus, Marmota sp., Castor sp., Allactaga sp., Cricetulus cf. barabensis, Cricetinus cf. varians, Clethrionomys cf. kretzoii, Villanyia klochnevi, Mimomys cf. reidi, Mimomys cf. pusillus Cromeromys sp., Prosiphneus youngi			
Middle	3.4	MN 16	Sicista cf. pliocaenica, Promimomys cf. gracilis, Promimomys sp., Prosiphneus chuzhirica	Sorex mirabilis, Sorex sp., Petenyia hungarica, Beremendia fissidens, Hypolagus multiplicatus, Hypolagus transbaikalicus, Ochotonoides complicidens, Ochotona gromovi, Ochotona intermedia, Ochotona sibirica, Marmota tologoica, Castor sp., Sicista pliocaenica, Orientalomys sibiricus, Micromys minutus, Cricetinus varians, Cricetulus cf. barabensis, Kowalskia sp., Gromovia daamsi, Villanyia eleonorae, Mimomys minor, Mimomys pseudintermedius, Mimomys cf. reidi, Promimomys gracilis, Promimomys cf. stehlini, Pitymimomys koenigswaldi, Prosiphneus praetingi, Prosiphneus aff. lyratus			

<sup>a</sup>After Pokatilov (1994), Filippov et al. (1995), and Khenzykhenova (2003).

common several species during Early Pleistocene and some taxa demonstrate a rather high evolutionary development (Table 3).

In spite of the high resemblance of the mammal associations, the Transbaikalian and Prebaikalian faunas show some differences. The faunas of the first region are characterized by the predominance of Central-Asian elements, such as *Borsodia*, *Lasiopodomys*, *Myospalax*, *Prosiphneus* and *Ochotona*, while the Prebaikalian faunas are characterized by a higher diversity of species (Table 2). In faunas of both areas taxa widely distributed at that time in Eurasia, as *Allophaiomys*, *Lagurodon*, *Prolagurus*,

Tabl	e	3
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Chronological				

Pliocene		Pleistocene		Pleistocene			
Middle Late		Early	Middle	Late			
Villanyia eleonorae	V. klochneviBor sodia lagu	riformesProlagurus ternopolitanus - Pr.	pannonicusLa	gurus transiensLagurus lagurus			
	Borsodia lagu	riformesLagurodon arankaeEolaguru	us simplicidens sibiricusE. simp	olicidens simplicidensEolagurus cf. luteus			
Prosiphneus .praetin	gi????	Myospalax omegodon????	Муоѕра	ılax wongi			
Prosiphneus cf. lyrat	usPr. young i Prosiph	nneus cf. youngi ? ? ?		Myospalax aspalax			
Ochotona gromovi	Ochotona tologoid	caO. zasuchini					
Ochotona intermedia	O. cf intermedia O. k	pazarovi	Ochotona gured	evi Ochotona daurica			
	O. nihewanica	??????	Ochotona dodogolica	aOchotona palla			
Spermop	hilus (Urocitellus) itancinicus	S. (Urocitellus) itancinicus bazarovi	S. (Urocitellus gromovi	s) undulatusS. (U.) undulates			
Spermoph	iilus (Spermophilus) tologoicus	S. (Spermophilus) tologoicus	???	S. (Spermophilus) dauricus			

*Eolagurus* and *Terricola* are present. Prebaikalian faunas include taxa which are characteristic of the arid biotopes of the Middle Asia and Kazakhstan (*Alactagulus, Plioscirtopoda*) (Adamenko and Adamenko, 1986). The faunas evidenced that open landscapes continued to exist in the region. Moreover, in the Early Pleistocene, Prebaikalian fauna *Lemmus* aff. *kowalskii* was discovered for the first time as well as of *Ochotona* cf. *whartoni*, known from Kolyma lowland and Alaska (Filippov et al., 1995).

During the Middle Pleistocene the paleoenvironment of the Baikalian region changed significantly towards cooler conditions. In the faunas, the genera Allophaiomys, Borsodia, Lagurodon, Prolagurus and Terricola completely disappeared. Due to the continuation of orogenic processes, the mountains surrounding Lake Baikal uplifted, in particular in western border, becoming a major barrier for the influence of westerly Humid Atlantic Cyclones in the Transbaikal area. Thus, marked aridification became substantial in this region (Bazarov, 1986; Alexeeva, 2005). From the Middle Pleistocene to Late Pleistocene, the environment of Transbaikal and Prebaikal areas differ significantly. In Transbaikalian faunas, the Central-Asian species were dominant. They mostly consisted of dry steppes, subdesert and desert inhabitants. In contrast, the Prebaikalian faunas framework was more complicated, as they included the representatives of non-analogue faunas, or tundra-steppe, or mammoth faunas such as *Dicrostonyx* gulielmi, Dicrostonyx henseli, Lemmus sibiricus, Myopus schisticolor, Microtus middendorfii, Microtus hyperboreus (Khenzykhenova, 2003). Moreover, they include several species of Central-Asian origin, which completely disappear in the Late Pleistocene faunas except for Lagurus lagurus (Table 2). Most of the species are typical Siberian forms, inhabited different biotopes from meadow-steppe and variable mixed forests with meadows to cold periglacial landscapes. This environment is favorable for lemmings and northern voles and arctic ground squirrels.

## 4. Conclusions

An overview of the Baikal region small mammal diversity from the Late Pliocene to Pleistocene shows that during the Late Pliocene and Early Pleistocene several common species were distinctive for both Transbaikalian and Prebaikalian faunas due to their similar paleoenvironmental and climatic conditions. Because of the marked shift towards cooler conditions occurred since the end of Early Pleistocene to the Late Pleistocene, the environments of the Transbaikal and Prebaikal areas started to show much distinction. Consequently, the faunal associations of the two areas significantly differed, belonging to two different paleozoogeographical provinces. The Transbaikalian fauna is the Central-Asian affinity and Prebaikalian one is referred to the European–Siberian province.

## Acknowledgment

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## Appendix

See Table A1.

Table A1 List of the recent species of the Baikalian region

	Prebaikalia <sup>a</sup>	Transbaikalia <sup>b</sup>		
		Western	Eastern	
1		Hemiechinus dauricus	Hemiechinus dauricus	
2	Talpa altaica	Talpa altaica		
3	Sorex daphaenodon	Sorex daphaenodon	Sorex daphaenodon	
4	Sorex araneus	Sorex araneus	Sorex araneus	
5	Sorex isodon	Sorex isodon Sorex tundrensis	Sorex isodon Sorex tundrensis	
6 7	Sorex caecutiens	Sorex tunarensis Sorex caecutiens	Sorex tunarensis Sorex caecutiens	
8	Sorex caeculiens Sorex roboratus	Sorex roboratus	Sorex caeculiens Sorex roboratus	
9	Sorex minutissimus	Sorex minutissimus	Sorex minutissimus	
-	Sorex minutus	Sorex minutus	Sorex minutus	
11	Soren manual	Crocidura suaveolens	Crocidura suaveolens	
12	Neomys fodiens	Neomys fodiens	Neomys fodiens	
	Lepus timidus	Lepus timidus	Lepus timidus	
14	•	Lepus capensis tolai	Lepus capensis tolai	
15			Lepus europaeus <sup>c</sup>	
16	Ochotona alpina	Ochotona alpina	Ochotona alpina	
17	Ochotona hyperborea	Ochotona hyperborea	Ochotona hyperborean	
18		Ochotona daurica	Ochotona daurica	
	Pteromys volans	Pteromys volans	Pteromys volans	
	Sciurus vulgaris	Sciurus vulgaris	Sciurus vulgaris	
	Tamias sibiricus	Tamias sibiricus	Tamias sibiricus	
	Spermophilus undulatus	Spermophilus undulatus	Spermophilus undulates	
23		16	Spermophilus dauricus	
24		Marmota sibirica	Marmota sibirica	
	Marmota camtschatica	Marmota camtschatica Castor fiber	Marmota camtschatica	
	Castor fiber Sicista betulina	Sicista betulina		
	Sicista subtilis	Sicista Detutina		
29		Allactaga sibirica	Allactaga sibirica	
	Rattus norvegicus	Rattus norvegicus	Rattus norvegicus	
	Rattus rattus	0	<u>o</u>	
32	Mus musculus	Mus musculus	Mus musculus	
33	Apodemus peninsulae	Apodemus peninsulae	Apodemus peninsulae	
34	Apodemus agrarius			
	Micromys minutus	Micromys minutus	Micromys minutes	
	Cricetulus barabensis	Cricetulus barabensis	Cricetulus barabensis	
37		Cricetulus pseudogriseus	Cricetulus pseudogriseus	
38		Cricetulus longicaudatus		
39		Phodopus sungorus	Phodopus sungorus	
40		Meriones unguiculatus	Meriones unguiculatus	
41 42			Myospalax aspalax Myospalax psilurus	
	Clethrionomys rutilus	Clethrionomys rutilus	Clethrionomys rutilus	
	Clethrionomys		Clethrionomys	
•••	rufocanus	Cietin ionomys rujocunus	rufocanus	
45	Clethrionomys glareolus			
	Alticola macrotis	Alticola macrotis	Alticola macrotis	
47	Alticola olchonensis			
48		Lemmus amurensis	Lemmus amurensis	
49	Myopus schisticolor	Myopus schisticolor	Myopus schisticolor	
50		Lasiopodomys		
		mandarinus		
51			Lasiopodomys brandti	
	Arvicola terrestris	Arvicola terrestris		
	Microtus gregalis	Microtus gregalis	Microtus gregalis	
	Microtus oeconomus	Microtus oeconomus	Microtus oeconomus	
	Microtus fortis Microtus maximoviczi	Microtus fortis Microtus maximoviczi	Microtus fortis Microtus maximoviczi	
50 57		Microtus maximoviczi Microtus mujanansis	witerotus maximoviezi	
	Microtus mongolicus	Microtus mujanensis Microtus mongolicus	Microtus mongolicus	
	Microtus agrestis	microrus monyoucus	merorus monyoucus	
	Microtus arvalis			
55				

Table A1 (continued)

Prebaikalia <sup>a</sup>	Transbaikalia <sup>b</sup>			
	Western	Eastern		
61 M. rossiameridionalis				
62 Ondatra zibethicus	Ondatra zibethicus	Ondatra zibethicus		

<sup>a</sup>List of species after Litvinov (2000).

<sup>b</sup>List of species after Gromov and Erbajeva (1995).

<sup>c</sup>Oral communication of Kiriluyk (Chita region).

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