

RESEARCH NOTES

THE SPACES \mathcal{O}_M AND \mathcal{O}'_C ARE ULTRABORNLOGICAL A NEW PROOF

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ABSTRACT. In [1] Laurent Schwartz introduced the spaces \mathcal{O}_M and \mathcal{O}'_C of multiplication and convolution operators on temperate distributions. Then in [2] Alexandre Grothendieck used tensor products to prove that both \mathcal{O}_M and \mathcal{O}'_C are bornological. Our proof of this property is more constructive and based on duality.

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We use $C, N, R,$ and $Z,$ resp., for the set of all complex, nonnegative integer, real, and integer numbers. For each $q \in N,$ the space

$$L_q = \{f: R^n \rightarrow C; \|f\|_q^2 = \sum_{|\alpha+\beta| \leq q} \int_{R^n} x^{2\alpha} |D^\beta f(x)|^2 dx < +\infty\} \text{ is Hilbert.}$$

Here $D^\beta f$ stands for the Sobolev generalized derivative. We denote by L_{-q} the strong dual of L_q and by $\|\cdot\|_{-q}$ the standard norm on L_{-q} . Then the space \mathcal{S} of rapidly decreasing functions, resp. its strong dual \mathcal{S}' , is the $\text{projlim}_{q \rightarrow \infty} L_q$, resp. $\text{indlim}_{q \rightarrow \infty} L_{-q}$.

It is convenient to introduce the weight-function $W(x) = (1 + |x|^2)^{\frac{1}{2}}, x \in R^n$. The mapping $T_k: f \mapsto W^k f: \mathcal{S}' \rightarrow \mathcal{S}'$, $k \in Z$, is injective. We denote by $W^k L_m$, $k, m \in Z$, the image of L_m under T_k and provide it with the topology which makes $T_k: L_m \rightarrow W^k L_m$ a topological isomorphism. Further, \mathcal{O}_q , $q \in N$, stands for the $\text{indlim}_{p \rightarrow \infty} W^p L_q$, and \mathcal{O}'_{-q} for its strong dual. It is proved in [7] that for each $q \in N$, the space \mathcal{O}_q is reflexive and $\mathcal{O}'_{-q} = \text{projlim}_{p \rightarrow \infty} W^{-p} L_{-q}$. Finally, the space \mathcal{O}'_M of multiplication operators on \mathcal{S}' equals $\text{projlim}_{q \rightarrow \infty} \mathcal{O}'_q$, see [6].

PROPOSITION 1. The strong dual \mathcal{O}'_M of \mathcal{O}_M equals $\text{indlim}_{q \rightarrow \infty} \mathcal{O}'_{-q}$.

PROOF. The space \mathcal{S} is dense in each L_q , $q \in N$. Hence $\mathcal{S} = W^p \mathcal{S}$ is dense in $W^p L_q$ for each $p \in N$. Then \mathcal{S} , and à fortiori its superset \mathcal{O}'_M , are dense in each

$\mathcal{O}_q = \text{indlim}_{p \rightarrow \infty} W^p L_q$, $q \in \mathbb{N}$. By [3, ch. IV, 4.4], the dual of \mathcal{O}_M , equipped with the Mackey topology, equals $\text{indlim}_{q \rightarrow \infty} \mathcal{O}_{-q}$. The Mackey and strong topologies on \mathcal{O}'_M coincide since \mathcal{O}_M , as a projective limit of reflexive spaces \mathcal{O}_q , is semireflexive, see [3, ch. IV, 5.5].

PROPOSITION 2. \mathcal{O}'_M is the strong dual of $\text{indlim}_{q \rightarrow \infty} \mathcal{O}_{-q}$.

PROOF. By [3, ch. IV, 4.5], the topology τ of $\mathcal{O}'_M = \text{projlim}_{q \rightarrow \infty} \mathcal{O}_q$ is consistent with the duality $\langle \mathcal{O}'_M, \mathcal{O}_M \rangle$. Hence τ is coarser than the strong topology $\beta(\mathcal{O}'_M, \mathcal{O}'_M)$. On the other hand, it is proved in [5, Prop. 4] that τ is finer than $\beta(\mathcal{O}'_M, \mathcal{O}'_M)$.

THEOREM 1. The space \mathcal{O}'_M is reflexive and \mathcal{O}'_M is the strong dual.

LEMMA 1. Let $r = 1 + [\frac{1}{2}n]$, $q \in \mathbb{N}$. Then $W^{-r}L_q \subset L_q$ and every set bounded in $W^{-r}L_q$ is relatively compact in L_q .

PROOF. Let B be an absolutely convex, bounded, and closed, set in $W^{-r}L_q$. Then B is weakly compact as a polar of a neighborhood in W^rL_{-q} . By [3, Ch. IV, 11.1, Cor 2], B is weakly sequentially compact and every sequence in B contains a subsequence $\{f_k\}$ which converges weakly to some $g \in B$. We may assume $g = 0$.

Since the set $\{W^{r+q}f; f \in B\}$ is bounded in $L^2(\mathbb{R}^n)$, the set $\{W^q f; f \in B\}$ is bounded in $L^1(\mathbb{R}^n)$ and for any $\alpha \in \mathbb{N}^n$, $|\alpha| \leq q$, the set $\{D^\alpha Ff; f \in B\}$, where Ff is the Fourier transform of f , is uniformly bounded and locally equicontinuous on \mathbb{R}^n . Hence $\{f_k\}$ contains a subsequence, let it be again $\{f_k\}$, such that $\{D^\alpha Ff_k(x)\}$ converges uniformly on \mathbb{R}^n for all $\alpha \in \mathbb{N}^n$, $|\alpha| \leq q$.

Take a non-negative function $h \in \mathcal{S}$, $\int_{\mathbb{R}^n} h(x)dx = 1$, and put $h_i(x) = i^n h(ix)$, $i \in \mathbb{N}$. Then $f * h_i \rightarrow f$ as $i \rightarrow \infty$ in the topology of L_q uniformly on B . Given $\varepsilon > 0$, there is $i \in \mathbb{N}$ such that $\|f - f * h_i\|_q < \varepsilon$ for any $f \in B$. We fix this i . For every $\alpha, \beta \in \mathbb{N}^n$, $|\alpha + \beta| \leq q$, the sequence $\{W^{\alpha+\beta}(Ff_k * Fh_i)\}$ converges uniformly to 0 on \mathbb{R}^n as $k \rightarrow \infty$ and has an integrable majorant from \mathcal{S} . Hence $F(f_k * h_i) \rightarrow 0$, and a fortiori $f_k * h_i \rightarrow 0$, both in the topology of L_q . If we choose $k_0 \in \mathbb{N}$ so that $\|f_k * h_i\|_q < \varepsilon$ for $k > k_0$, then $\|f_k\|_q < 2\varepsilon$ for $k > k_0$.

LEMMA 2. Let $r = 1 + [\frac{1}{2}n]$, $q \in \mathbb{N}$. Then $W^{-r}L_{-q} \subset L_{-q}$ and every set bounded in $W^{-r}L_{-q}$ is relatively compact in L_{-q} .

PROOF. Let B be an absolutely convex, bounded, and closed, set in $W^{-r}L_{-q}$. By the same argument as in Lemma 1, every sequence in B has a subsequence $\{f_k\}$ which converges weakly to some $g \in B$. We again assume $g = 0$.

Denote by $\|\cdot\|_{-r,-q}$, resp. $\|\cdot\|_{r,q}$, the norm in $W^{-r}L_{-q}$, resp. W^rL_q . Let A be the closed unit ball in L_q , B_0 the open unit ball in W^rL_q , and $a = \sup\{\|f\|_{-r,-q}; f \in B\}$. Choose $\varepsilon > 0$. By Lemma 1, A is compact in the topology of W^rL_q . Since L_q is dense in W^rL_q , there exists a finite set $\{\varphi_i; i \in F\} \subset L_q$ such that $A \subset \cup\{\varphi_i + \varepsilon B_0; i \in F\}$. For any $\varphi \in A$, there exists φ_i such that $\|\varphi - \varphi_i\|_{r,q} < \varepsilon$ and for any $k \in \mathbb{N}$ we have $|\langle \varphi, f_k \rangle| \leq |\langle \varphi - \varphi_i, f_k \rangle| + |\langle \varphi_i, f_k \rangle| \leq \|\varphi - \varphi_i\|_{r,q} \cdot \|f_k\|_{-r,-q} + |\langle \varphi_i, f_k \rangle| \leq \varepsilon a + |\langle \varphi_i, f_k \rangle|$. If we choose $k_0 \in \mathbb{N}$ so that $|\langle \varphi_i, f_k \rangle| < \varepsilon$ for all $i \in F$ and $k > k_0$ and the sequence $\{f_k\}$ converges in L_{-q} .

PROPOSITION 3. For each $q \in \mathbb{N}$, \mathcal{O}_{-q} is a Schwartz space.

PROOF. By Lemma 2, for every $p \in \mathbb{N}$ the closed unit ball is $W^{-r-p}L_{-q}$, where $r = 1 + [\frac{1}{2}n]$, is compact in $W^{-p}L_{-q}$. By [4, Ch. 3.15, Prop. 9], the space $\mathcal{O}_{-q} = \text{proj} \lim_{p \rightarrow \infty} W^{-p}L_{-q}$ is Schwartz.

PROPOSITION 4. Let $E_1 \subset E_2 \subset \dots$ be locally convex spaces with identity maps: $E_k \rightarrow E_{k+1}$, $k \in \mathbb{N}$, continuous and $E = \text{ind} \lim_{k \rightarrow \infty} E_k$ Hausdorff. Assume:

- (1) every set bounded in E is bounded in some E_k ,
- (2) every E_k is a Schwartz space.

Then E is a Schwartz space.

Proposition 4 is slightly more general than Prop. 8 in [4, Ch. 3.15] and its proof requires only minor changes of the proof presented in [4].

THEOREM 2. \mathcal{O}'_M is a Schwartz space.

PROOF. We have $\mathcal{O}'_M = \text{ind} \lim_{q \rightarrow \infty} \mathcal{O}_{-q}$. Each space \mathcal{O}_{-q} is Schwartz and Fréchet. Further, \mathcal{O}'_M is reflexive, hence quasi-complete, which in turn implies fast completeness. By [8, Th. 1], the assumption (1) of Prop. 4 is satisfied and \mathcal{O}'_M is a Schwartz space.

THEOREM 3. \mathcal{O}'_M is complete.

PROOF. The space \mathcal{B} of C^∞ -functions, whose derivatives vanish at ∞ was introduced in [1]. We denote the space $W^m \mathcal{B}$ by $\dot{\mathcal{B}}_m$ and provide it with the topology for which $f \mapsto W^m f : \dot{\mathcal{B}} \rightarrow \dot{\mathcal{B}}_m$ is a topological isomorphism. Then the strong dual \mathcal{O}'_C of \mathcal{O}'_C equals $\text{ind} \lim_{m \rightarrow \infty} \dot{\mathcal{B}}_m$, see [2, Ch. 2, 4.4]. Also, \mathcal{O}'_C is isomorphic to \mathcal{O}'_M via Fourier transformation. Hence it suffices to prove that $\text{ind} \lim_{m \rightarrow \infty} \dot{\mathcal{B}}_m$ is complete.

Let F be a Cauchy filter on \mathcal{O}'_C , G a filter of all 0-neighborhoods in \mathcal{O}'_C , and H the filter with base $\{A+B; A \in F, B \in G\}$. By [4, Ch. 2.12, Lemma 3], there exists $m \in \mathbb{N}$ such that H induces a filter H_m on $\dot{\mathcal{B}}_m$ which is Cauchy in the topology inherited from \mathcal{O}'_C . On each ball $\{x \in \mathbb{R}^n, |x| \leq n\}$, $r > 0$, the filter H_m converges uniformly pointwise to a function $f \in \dot{\mathcal{B}}_m$. Then f adheres to H_m on the subset $\dot{\mathcal{B}}_m$ of \mathcal{O}'_C and by [4, Ch. 2.9, Prop. 1] the filter F converges to f .

THEOREM 4. The spaces \mathcal{O}'_M and \mathcal{O}'_M are ultrabornological.

PROOF. By Exercise 9 in [4, Ch. 3.15], the strong dual of a complete Schwartz space is ultrabornological. Hence \mathcal{O}'_M is ultrabornological by Theorems 1, 2, and 3.

The space \mathcal{O}'_M is ultrabornological as an inductive limit of Fréchet spaces \mathcal{O}_{-q} , $q \in \mathbb{N}$.

THEOREM 5. The spaces \mathcal{O}'_C and its strong dual \mathcal{O}'_C are both complete, reflexive, and ultrabornological spaces.

PROOF. The space \mathcal{O}'_M is complete as a strong dual of a bornological space. Since the Fourier transformations $\mathcal{F}: \mathcal{O}'_M \rightarrow \mathcal{O}'_C$ and $\mathcal{F}: \mathcal{O}'_M \rightarrow \mathcal{O}'_C$ are topological isomorphisms, Theorem 5 follows from Theorems 1, 3, and 4.

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