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1 Does the motor system need intermittent control? 2 3 Ian D. Loram¹, Cornelis van de Kamp¹, Martin Lakie², Henrik Gollee³ & Peter J Gawthrop^{3,4}. 4 1 Cognitive Motor Function research group, School of Healthcare Science, Manchester Metropolitan 5 University, UK 6 ²School of Sport and Exercise Science, University of Birmingham, UK 7 ³School of Engineering, University of Glasgow, UK 8 ³Melbourne School of Engineering, University of Melbourne, Melbourne, VIC, Australia 9 10 Address for Correspondence 11 Prof. Ian Loram 12 Cognitive Motor Function research group, 13 School of Healthcare Science, 14 Manchester Metropolitan University, John Dalton Building, Oxford Road, Manchester, M1 5GD, UK 15 i.loram@mmu.ac.uk 16 17 Running Title: Serial ballistic control of movement 18 19 Funding: We acknowledge EPSRC financial support for this work via the linked grants EP/F068514/1, 20 EP/F069022/1 and EP/F06974X/1. 21 22 **Abstract** (40-60 words) 23 Explanation of motor control is dominated by continuous neurophysiological pathways (e.g. trans-24 cortical, spinal) and the continuous control paradigm. Using new theoretical development, methodology 25 and evidence, we propose intermittent control, which incorporates a serial ballistic process within the 26 main feedback loop, provides a more general and more accurate paradigm necessary to explain attributes 27 highly advantageous for competitive survival and performance. 28 29 **Summary for Table of Contents page** (15-20 words) 30 We present new rationale and evidence supporting intermittent control as a paradigm for advancing 31 explanation of control of movement. 32

Key words intermittent control; sensori-motor control; selection; adaptation; psychological refractory

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period.

INTRODUCTION

Attributes of human movement

For humans, movement is fundamental to quality of life and the attributes of natural biological movement distinguish it from artificial attempts at replication. The term "robotic", when applied to humans, still indicates unnatural rigid behavior. The majority of human motor control including balance, locomotion, postural and manual control is learned during childhood and later life. Response-stimulus associations, experienced even only once or accidentally, are potentially stored within the nervous system as possibilities for motor response (29). Environmental stimuli generally activate multiple possibilities for action from which motor responses are selected (3). Depending on the outcome, selections are progressively reinforced or down-weighted (4,7,35). Neurophysiological mechanisms facilitate two kinds of control, each with their own merits (Fig 1A). Fast pathways implement selections which, when sufficiently facilitated, can be triggered without online analysis of the consequences of the response. These are habitual responses, described as reflexive because causality is environmental (using preselected choices) (35). Slow pathways implement intentional control in which the causality is more internal (using online analysis and selection) (22,35).

Throughout biological history, harsh conditions of prey and predation have given a competitive edge to individuals who are original, able to produce unpredictable movement and explore new possibilities during current environmental conditions (2). Consistent with biological evolution (2), human movement has inherited a capacity for robustness, variety, flexibility and adaptability made possible by exploiting the many kinematic, muscle activation and control strategy degrees of freedom available through our neuromuscular mechanisms. In sport, exercise and performance humans define themselves by developing and testing attributes of strength, endurance, speed, flexibility and dexterity. Our view, shared by others (1), is that the most advantageous attribute is the ability to construct and implement new motor solutions

in the moment of activity (1,2). An open question is the control paradigm and neurophysiological mechanisms which explain these flexible attributes of human motor control.

The explanatory power of the continuous control paradigm (Figure 1B)

The control of movement depends upon sensory feedback to regulate performance. The automatic feedback servo-mechanism has inspired the neurophysiology of reflexes dating from Sherrington. The dominant paradigm today is still continuous control (27,31). During the 1960's Kleinman et al, investigating the behavior of humans in the control loop of high performance machines, demonstrated that the mean human response to stimuli was well explained by a continuous controller with a Kalman filter (optimal state estimator) and optimal predictor (16). Moreover, by adding suitably filtered random noise to the motor and sensory states, he could fit perfectly by calculation the variability in human control (18). Subsequently, ideas of optimal state estimation (e.g. Bayesian integration), prediction and optimal coordination have been applied in explanation of human control (27,31). However, we suggest that paradigmatic advance in explanation since Kleinman has been limited.

The continuous control paradigm finds its natural counterpart in the high bandwidth spinal, brain stem and trans-cortical feedback pathways (27) represented as the fast feedback loop in Figure 1A and Figure 1B and lowermost pathway in Figure 1D. Much accumulated evidence summarized beautifully in (27) demonstrates the power and sophistication of trans-cortical responses which are a class of fast acting responses, of latency (~60-120 ms), triggered by environmental stimuli. Within the posterior parietal cortex this pathway integrates proprioceptive, visual, audio, cutaneous and vestibular sensations with expectations generated from motor output passing from the cerebellum (14,27). Including input from the frontal cortex, these responses are modulated, by preceding factors including explicit external instructions, the implicit behavioral context including the current posture and task goals, and by the external environment including the direction of the gravitational-acceleration vector and location of objects (27). Trans-cortical responses include habitual responses which are learned responses which are

sufficiently facilitated that integrated sensory input triggers motor responses trans-cortically, bypassing the slow loop through the basal ganglia (Fig 1 A, D) (4,7,35). Given a certain environmental and task context, prior intent can only alter the scale (magnitude) of the fast response (22). Within its duration there is no evaluation of its predicted outcome and no possibility of selecting different or opposite responses (4,7,35).

These fast responses can be modeled within the continuous control paradigm in which control signals are continuously calculated from the current estimated state of the system using a pre-computed control law (5,27,31). The mathematics of optimal control provides a control law that best satisfies the task goal and cost function given the physical plant being controlled. This hierarchical paradigm (Figure 1B), in which task level goals influence fast, continuous feedback loops, is in principle appropriate for modulating the complex mapping of multiple sensory inputs to multiple motor outputs organized in a functional modular manner including synergies, motor primitives and pattern generators (31,32). This paradigm of continuous feedback using an optimal control law is currently dominant in explaining upper limb control, balance and posture (5,31). Textbooks on motor control provide much detail on the mechanisms which facilitate the fast component to human motor control for achieving temporary goals and for maintaining sustained set-points. Detail on the slow pathway (Figure 1A, C) is conspicuous by its absence.

The hypothesis of intermittent control (Figure 1C).

Intermittent Control (IC) is a general control paradigm in which sensory feedback is used intermittently to parameterize control trajectories (8,11,30). IC is shown as the slow feedback loop in Figure 1A and Figure 1C. Control is executed as a sequence of open-loop trajectories, i.e. without modification by sensory feedback apart from the instances of intermittent feedback. IC contains a single channel serial ballistic process, the refractory response planner within the slow feedback loop (Fig 1A, C). Serial ballistic means that control proceeds as a sequential process in which control trajectories are planned

using current sensory information and executed open loop. We use the terms "serial ballistic control" and "intermittent control" inter-changeably. The duration for which the serial process is unresponsive to sensory input is known as the psychological refractory period (26).

If a continuous feedback control law could be formulated in advance to provide the necessary relationship between the current state of the system and control trajectories, the current environmental stimuli and the current response then planning outside the loop would be appropriate (30). However, if the goal, structure or constraints of the control are dependent on system states, this prior computation is not possible and the selection and optimization process must occur within the feedback loop (30).

The feature distinguishing intermittent from continuous control is the open loop interval. Within this interval, the control trajectory is open loop but is continuously time varying in an optimal manner (30). Unlike continuous control, intermittent control provides the time required to plan and select the control trajectory within the feedback loop (Figure 1C) rather than outside the feedback loop (Figure 1B) (30). The length of the intermittent interval gives a trade-off between continuous control (zero intermittent interval) and intermittency. Continuous control maximizes the frequency bandwidth and stability margins at the cost of reduced flexibility whereas intermittent control allows in the loop optimisation and selection (32) at the cost of reduced frequency bandwidth and reduced stability margins.

Increased computational time within the feedback loop is useful when control solutions are required to comply with external constraints, internal constraints and system properties which are temporary, not easily predictable or which require state dependent solutions (30). It takes time to resolve uncertainty (noise) in motor and sensory signals and uncertainty between competing motor responses of differing consequence (4,7,24,32,33). If an unexpected force perturbation occurs, or if a pain occurs, or if an obstacle is presented, the appropriate goal, control structure, control law and limits on joint rotations may depend on the current configuration (state) of the body. The solution requires time for appropriate

selection and time for a multi-variable optimization using knowledge of the current system state. When the flexibility needed is more than tuning parameters in the currently selected fast solution, it is more economical to select and optimize solutions as required, rather than pre-compute and retrievably store solutions for every eventuality. The rationale for intermittent control is that it confers online flexibility and adaptability which has biological advantage for performance and survival.

The need for an adequate methodology to test the hypothesis

A general scheme includes continuous and intermittent feedback (Figure 1A). Our hypothesis, perhaps controversial, is that human motor control is fundamentally intermittent rather than continuous (i.e. the fast loop contributes relatively little power to the summated response). Figure 1C presents the hypothesis where the continuous pathway has zero weight. This hypothesis refers to task related control at the whole system level rather than local control of individual joints. Motor control may or may not be intermittent; however in the absence of an adequate methodology to test the hypothesis this question has been open since it was first proposed (34). The methodological problem lies in demonstrating that ongoing control is sequentially open loop even when the control trajectory is smooth and when frequency analysis shows no evidence of regular sampling.

We have proposed that non visual, pedal control of an inverted pendulum load (21) and quiet postural balance, with or without vision (23), can each be explained as a sequential, serial ballistic process. We have shown that during unperturbed standing balance, muscle adjustments occur at a central rate of 2-3 per second which corresponds to the known psychological refractory period (26,34) and the rate of serial ballistic control actions originally proposed in manual tracking (34). We have tested whether the central rate of balance adjustments changes with the number and combinations of sensory modality (17). We have also tested whether the central rate of adjustments changes when the unstable time constant of the manually controlled load decreases requiring an increased rate of adjustments to sustain control (19). Both experiments confirm the constancy of this rate implying it is a preferred or intrinsically limited rate

of the human controller rather than a property of the closed loop system including human controller and external load. We have also shown that visually guided control of disturbed second order but not first order systems has a feedback time delay of 180-230 ms which is consistent with intentional (> 150 ms) rather than habitual reflexive mechanisms (60-120 ms) (22). Unpredictable second order systems require a longer duration of selection/processing within the loop than first order systems. While supportive of the intermittent control hypothesis, this evidence, as has been known since the 1960's, can all be reproduced by the continuous control paradigm with suitably tuned parameters and suitably filtered additive noise (16,18). Thus this earlier evidence is circumstantial rather than direct. Rigorous resolution of this hypothesis has needed development of new theory, new methodology and new evidence.

NEW CONTROL THEORY AND NEW METHODOLOGY

In the control-engineering literature, intermittent control was proposed to provide a solution enabling online in-the-loop optimisation during concurrent control of time-varying systems with time varying constraints (30). The theory has subsequently been advanced to derive the frequency domain properties of intermittent control (10), and an event driven version of intermittent control (11). This hybrid control paradigm combines continuous-time and event-driven control (8,9,11). State estimation is continuous, allowing an Event Trigger to decide when to reconstruct while a Generalised Hold determines how (the underlying control basis) to construct the control trajectories (9,11). The Event Trigger uses two rules: (i) a minimum open loop interval should have elapsed - this defines the maximum rate of triggering and (ii) the error in the predicted state exceeds a threshold. Continuous control is included as the special case when the minimum open loop interval and threshold are both zero. Following a triggered event, the state estimate fed to the Generalized Hold is updated. Using the "system matched hold basis"(8), the Generalized Hold generates optimal continuous control output assuming control is proceeding continuously in the absence of unknown disturbances. When unknown disturbances occur, prediction error accumulates until the threshold is exceeded and the state estimate fed to the Generalized Hold is

updated. The new theory shows why it is easy to explain behavior as continuous, even when the mechanism is intermittent, because within the time and frequency domains IC can masquerade as continuous control (8,10,12).

This new theory has facilitated the development of new methods for investigating the serial ballistic hypothesis (8,12,24). It is now possible to test whether human control is compatible with intermittent control through frequency analysis (12). Crucially, during sustained control, it is also now possible to discriminate intermittent from continuous control using a time domain methodology testing for refractoriness (24).

NEW EVIDENCE

Initially, we have investigated visually guided manual control (Figure 2A) deliberately excluding proprioceptive and vestibular feedback. If such control is shown to be intermittent, it is worth proceeding to tasks where continuous mechanisms contribute more strongly to motor control.

Refractoriness in sustained manual control (Figure 2) (33)

Using a uni-axial, sensitive, contactless joystick, participants were asked to control four external systems (zero, first, second order stable, second order unstable) using visual feedback to track as fast and accurately as possible a target which changes position discretely and unpredictably in time and direction (Fig. 2A, B) (33). For the zero, first and second order systems, joystick position determines system output position, velocity and acceleration respectively. The unstable second order system had a time-constant equivalent to a standing human. Since the zero order system has no dynamics requiring ongoing control, step changes in target produce discrete responses i.e. sharp responses clearly separated from periods of no response. The first and second order systems require sustained ongoing control of the system output position: thus the step stimuli test responsiveness during ongoing control. The method of analysis,

described in detail elsewhere (24) estimates the response time to each step change in target position. The method works by estimating the equivalent (time adjusted) set-point sequence which has a best linear time invariant relationship between target and joystick (or system output) signals (24). Response times are evaluated in relation to the inter-step-interval (ISI) which is the duration between the current and the preceding step. Refractoriness (open loop duration) is indicated by the ISI at which response times diverge between first and second step (Fig 2C). A sampling delay (observation delay) is indicated by the ISI at which the enhanced second step response time is maximal. The thirteen participants showed evidence of substantial refractoriness which increased with system order (0.2 to 0.5 s, Fig 2C). For first and second order systems, participants showed evidence of a sampling delay (0.2-0.25 s). This evidence of refractoriness discriminates against continuous control since refractoriness is neither implemented nor explained within the continuous control paradigm. Refractoriness is well explained within the intermittent control paradigm using a corresponding open loop interval (0.2-0.5 s).

Intermittent control explains the low bandwidth of intentional control (Figure 3) (20)

Using the same set-up (Fig 2A), eleven participants controlled a second order unstable system during which a multi-sine disturbance was added to their joystick signal (Fig 3A). We compared control using continuous contact of the joystick with control by gentle taps. The advantage of using taps is first that control is explicitly serial ballistic, because sensory observation cannot influence control of the system when the hand is not in contact with the joystick, and second, we know the time of individual events (ballistic actions). In both manual conditions three levels of instruction were used (Fig 3, keep the dot close to the centre, keep the dot still but it does not matter where, wait as long as possible before controlling the dot). The successfulness of tapping control demonstrated clearly that continuous contact and thus continuous control is not necessary to control an unstable second order system with dynamics equivalent to a standing human (20). When participants were asked to control position or velocity as closely as possible, they adopted a modal rate of ~ 2 taps s^{-1} (Fig 3C). This result is important for three reasons: first, the rate is consistent with the refractory durations described above, second the rate is

consistent with that observed for muscle adjustments during unperturbed quiet standing (23) and for adjustments during manual control of balance (17,19), third, a sampling rate of 2-4 s⁻¹ explains the lack of coherence between disturbance and joystick beyond ~ 1-2 Hz (Fig 3B, D). There is trade-off between rate of control actions and accuracy which leads to an optimum preferred rate of actions. To produce their best performance, we conclude that participants tap at the maximum rate, limited by their refractory duration (open loop interval) required for the control of this second order system.

Returning to the continuous contact condition, figure 3B shows that tapping and continuous contact conditions show the same limited bandwidth of coherence. Frequency analysis shows the continuous contact condition is compatible with intermittent as well as predictive continuous control which illustrates the ability of intermittent control to masquerade as continuous control (12). Including the evidence of refractoriness, which continuous control does not explain (Figure 2), intermittent control provides a more complete explanation of manual control in these tasks.

Refractoriness in whole body control (Figure 4) (32)

Control of the hand muscles may be more refined, specialized and more intentional than control of the muscles serving the legs and trunk. Using online visual feedback (< 100 ms delay) of a marker on the head, participants were asked to track as fast and accurately as possible a target which changes position discretely and unpredictably in time and direction (Fig. 4A). This required head movements of 2cm along the anterior-posterior axis and while participants were instructed not to move their feet, no other constraints or strategies were requested. The eight participants showed evidence of substantial refractoriness (~0.5 s) and a sampling delay (~0.3 s) (Fig 4B). Refractoriness is not explained within the continuous control paradigm and is naturally explained within the intermittent control paradigm. This result extends the serial ballistic process from control of the hand to integrated intentional control of the whole body.

DISCUSSION

Summary of new evidence

Our hypothesis is that human motor control is fundamentally intermittent (Figure 1C) rather than continuous (Figure 1B), i.e. continuous pathways contribute relatively little power to the overall response (Fig 1A). This review has summarized our own evidence from a variety of tasks ranging from unperturbed standing balance, through pedal and manual control of external mechanical and virtual systems to include whole body control in a tracking task. Our older evidence supports the serial ballistic hypothesis but does not discriminate against continuous control. The new IC theory and methodology we have developed provides an opportunity to test rigorously this unresolved hypothesis (34). Our new published evidence is limited to tasks with a visual, external focus of attention on the results of manual or whole body control. However, results from these tasks are very clear in demonstrating that continuous control is not necessary (20) and they provide direct evidence of refractoriness in sustained control (32,33). Refractoriness (open loop intervals) within the feedback loop, as observed in these experiments, is a process missing from the continuous control paradigm (32).

Generalization to control, without a visual, external focus of attention

Refractoriness is associated with the serial process of response planning and selection and is known to be amodal (6,26). This process has nothing to do with vision per se. Our experiments, consistent with the intermittent control paradigm, show that refractoriness and thus response planning and selection acts within the feedback loop. Since refractory response selection samples continuously acquired sensory information intermittently (2-4 events s⁻¹) for the purpose of constructing control trajectories, this refractory response selection process explains the limited bandwidth (1-2 Hz) of intentional also known as voluntary control. Thus, intermittent control is appropriate for all motor output below 1-2 Hz. High bandwidth process such as spinal, brain stem and trans-cortical pathways generate continuous motor responses up to 10 Hz, even higher. For many readers, unperturbed standing balance represents the sustained control task they would most associate with involuntary, habitual reflexive control. Many would

say they stand without thinking about it. For unperturbed standing on a force plate, the position of point of application of the force vector (PoA) economically summarizes the control signal generated by the sole contact force with the external world. From ten subjects standing unperturbed for 30 s, three times, only $0.36\pm0.5\%$ of that signal power (PoA_x anterior posterior component) lies above 2 Hz: thus we predict standing balance is mainly regulated by the low bandwidth intermittent control loop (23).

A general theory of motor control

As proposed in Figure 1A, a general theory of human control systems must include continuous as well as intermittent processes of which the latter incorporate discrete selection, sampling and thus switching. For the fast feedback loop (Fig 1A) continuous systems integrating somatosensory, visual, cutaneous and vestibular sensory input are well represented by the spinal, brain stem and trans-cortical pathways. These pathways provide high bandwidth feedback at short latency using feedback parameters which are preselected and open to modulation from outside the pathway by multiple brain regions (27). The neurophysiological basis of systems allowing motor differentiation and choice are less well known. Reflexes are traditionally cited as being "the" primitive system. However, both continuous and switched systems have a primitive basis which extends through vertebrates (28), invertebrates (2) and even to the level of individual cells. Systems for selecting between multiple possibilities for movement exist within the basal ganglia, prefrontal cortex and pre-motor cortex (4,6,7).

For the slow feedback loop, the basal ganglia loops gating the trans-cortical and sub-cortical pathways (Fig 1D) appear to have the correct input, the correct function and the correct output connections. There is convergence of analysed sensori-motor input, contextual perceptual and motivational input into and through the basal ganglia (28). Inputs from all major sources, the cerebral cortex, limbic structures and the thalamus are topographically ordered (28). Basal ganglia outputs contact regions of the thalamus that project back to those regions of cortex providing original inputs (28). Similarly, basal ganglia outputs to the brainstem tend to target those regions that provide indirect input to the basal ganglia. Projections from

the basal ganglia output nuclei to the thalamus and brainstem are also topographically ordered. Neurones in the basal ganglia output nuclei have high tonic firing rates (40-80 Hz). This activity ensures that target regions of the thalamus and brainstem are maintained under a tight and relatively constant inhibitory control. Reduction of inhibitory output releases associated target regions in the thalamus and brainstem from normal inhibitory control (4,7,28). Topologically, in a spiral architecture using successive connections between the limbic, associative and sensorimotor territories, the basal ganglia are organised to allow selection of overall goal, actions to achieve a selected goal, and sub-movements to achieve a selected action (13,28).

The basal ganglia act as a system that dynamically and adaptively gates information flow in trans-cortical and sub-cortical pathways (4,15,28). Through hyper-direct, indirect and direct pathways, this system provides centralised mechanisms for generalised inhibition, specific inhibition and specific facilitation of action possibilities represented in the frontal cortex (4,7,28). The basal ganglia system does not directly select which action to 'consider', but instead modulates the activity of already active representations in cortex (4). This functionality enables cortex to weakly represent multiple potential actions in parallel; the one that first receives a "go" signal from basal ganglia output is then provided with sufficient additional excitation to be executed. Lateral inhibition within the thalamus and cortex act to suppress competing responses once the winning response has been selected by the basal ganglia circuitry (4,7). The basal ganglia are fundamental to the brain structure of all vertebrates (28). In combination with the prefrontal cortex and pre-motor cortex, these systems allow low bandwidth feedback at longer latency using parameters selected online (3,4,6,7,28).

We propose that continuous systems, incorporating muscle spindle and Golgi tendon organ feedback provide tonic equilibrium joint moments through tonic stretch reflexes, provide partial dynamic stabilisation of the unstable mechanical system (21,25) and provide a priming role facilitating intentional feedback. The fast systems alone provides regulation that is highly variable, only partially adequate in

rejecting disturbance, and not fully sustained (25). Accurate regulation requires the complete system of high and low bandwidth processes acting together. We suggest the slow IC loop provides central, executive, ongoing regulation of motor output (32) (Fig 1A). The latency of the first response to a disturbance is determined by the fast system. The frequency bandwidth of sustained control is largely limited by the slower IC loop.

The rationale for convergence to a serial process along a single channel within the feedback loop

How reasonable is our hypothesis that motor control tasks are centrally regulated by a serial process along a single channel? While sensory input contains multiple parallel channels and while motor output is executed through multiple muscles acting across multiple joints, the motor system implements concurrently only a small number of task goals. Convergence of goals to few or even one channel is appropriate to optimize coordination (32). Some tasks are incompatible. We cannot flex our knees while at the same time extending them. Some tasks are partially compatible, for example walking and pointing. The selection of compatible routines and the suppression of routines which are partially incompatible or merely inappropriate must underlie skilled and economical task performance. Optimization of coordination of tasks by eliminating mutual interference -in effect- becomes the same thing as controlling a single task in the task-space. Hence we offer the rationale that optimization of coordination leads to unification of tasks into a single channel for its control.

A single output at task level can be implemented by lower level continuous feedback systems. Optimal control provides solutions for distributing a single task across multiple redundant motor systems (27,31). However, the processes of planning, optimisation and selecting the single channel output should occur within the main feedback loop when these processes require the current system state as input (30). If these processes require computational time then time has to elapse between sampling the system state and producing the selected motor output. If successful optimisation and implementation of this single selection requires temporary inhibition of competing possibilities, then refractoriness is required. This

rationale justifies open loop intermittent feedback control (30). Computing solutions as required, avoids the necessity task of storing motor solutions for every eventuality. At a cost of reduced control bandwidth (e.g. 1-2 Hz), intermittent control maximizes online flexibility and adaptability (32,33).

Clarification of terms related to intermittent

In presenting the hypothesis for intermittent control we are aware that the ambiguity of some terms (e.g. intentional, voluntary, planning, selecting) may lead the reader to the wrong idea with respect to consciousness, verbalization and decision making. The tasks for which we have direct evidence of refractoriness required attention to the task goal. One is not necessarily conscious of the process underlying control of the hand or body although some participants may have more awareness than others of what they did. Some participants are very practiced in these tasks such that to the participant control appears to proceed automatically provided one is attending to the task. There is no verbal component and participants are not necessarily able to describe what they have done. Whatever decision making, selection or processing is involved, this control is best described as implicit. We call this control intentional on the basis of the feedback delay (180-230 ms), the level of flexibility/response choice facilitated (22), and the fact that the processing and selection is online (i.e. within the feedback loop), rather than offline (pre-selected). Intentional refers to the flexibility of control in the moment rather than ones awareness of that flexibility.

Conclusion

We challenge the prevailing idea that human motor control is most powerfully and accurately explained by the continuous paradigm (27) and by continuous neurophysiological mechanisms (27). Using new theoretical development, methodology and new evidence of refractoriness during sustained control, we propose that intermittent control, which incorporates a serial ballistic process within a slow feedback loop, provides the main regulation of motor effort, supplemented by fast, lower level, continuous feedback. Refractoriness distinguishes the slow intentional from the fast reflexive loop. IC in which

optimization and selection occurs within the feedback loop provides powerful advantages for performance and survival. A potential neurophysiological basis for IC lies in centralized selection and optimization pathways including respectively the basal ganglia and cerebellum (15).

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LEGENDS

Figure 1 Continuous and intermittent control.

A. General overall scheme integrating continuous and intermittent control. The perceptual process of sensory analysis (SA) integrates all sensory modalities with prior experience. Selection of goals, control-priorities, actions and sub-movements occurs in the Refractory Response Planner. Selection converges to a serial process with maximum rate of 2-4 selections per second: the proposed selection pathway includes the basal ganglia loops (4,7,13,15,28). The motor system (MS) translates selected goals, actions and sub-movements into coordinated motor output using the machinery of action representations, motor primitives, motor modules, pattern generators, muscle modes and synergies (4,7,32).

Within a slow, intermittent feedback loop restricted to the voluntary bandwidth (1-2 Hz) the motor system generates coordinated motor responses sequentially from each new selection. Within the fast continuous loop restricted to a higher bandwidth (>10 Hz) acting through trans-cortical, brain stem and spinal pathways, the motor system uses selected parameters to modulate habitual-reflexive feedback (20,33). Refractoriness distinguishes the slow from the fast loop. In this serial process, refractoriness is the increased delay in selecting and forming one response before the previous process has completed (33).

B. Continuous control hypothesis (100% fast pathway): task selection occurs at the higher Planner level ordering the selected goal and control law to be employed continuously via the low level feedback mechanism. This feedback loop consists of the "Controller" enclosing the continuous stages of sensory analysis (SA) and motor system (MS).

C. Intermittent control hypothesis (100% slow pathway): the Refractory Response Planner forms an intermediate stage between sensory analysis (SA) and motor system (MS). The refractory response

planner decides when to implement a new response and provides time within the feedback loop for selecting and optimizing the control law that will be used to construct the open loop control trajectory.

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- **D.** Cortical and subcortical sensorimotor loops through the basal ganglia (28).
- 444 Cortical-loops: For cortico-basal ganglia loops the position of the thalamic relay is on the return arm of 445 the loop. Sub-cortical loops: In the case of all sub-cortical loops the position of the thalamic relay is on 446 the input side of the loop. Predominantly excitatory regions and connections are shown in gray while 447 inhibitory regions and connections are black. Abbreviations: Thal, thalamus; SN/GP, substantia 448 nigra/globus pallidus. The fast pathway (panels A, B) corresponds to the lower, direct route between 449 Sensory input and Motor output. The slow pathway (A, C) corresponds to the upper loop through the

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basal ganglia.

Figure 1 was created originally by the authors and has not been previously published.

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Figure 2 Refractoriness in manual control (33)

- 456 A. Task setup. An oscilloscope showed real-time system output position as a small focused dot with
- 457 negligible delay. Participants provided input to the system using a sensitive, uniaxial, contactless joystick.
- The system ran in Simulink Real-Time Windows Target within the MATLAB environment (Math-
- 459 Works).
- **B.** Control system and experimental set up. Participants were provided with a tracking target in addition
- 461 to system output. The tracking signal was constructed from four possible patterns of step sequence (uni-
- and reversed directional step to the left or to the right). First and second stimuli are separated by an
- 463 unpredictable inter step interval (ISI), patterns are separated by an unpredictable approximate recovery
- period (ARP). The participant was only aware of an unpredictable sequence of steps.

C. Group results(33): The four panels: Zero Order, First Order, Second Order, Second Order Unstable show the inter participant mean first (RT1, black) and second (RT2, gray) response times against Inter step intervals (ISIs), p-values of the ANOVA's post hoc test are displayed above each ISI level (dark if significant, light if not).

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Figure 2 is adapted from (33, Figs 1,5).

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- Figure 3 The bandwidth of visuo-manual control (20)
- This task is the same as Fig 2A except a multi-sine disturbance is added to the joystick signal and there is
- 474 no tracking target. There are two manual conditions: continuous contact and gentle tapping (dots added to
- lines). There are three instructions for each condition (position (solid): minimise deviation from centre,
- velocity (broken solid): minimise movement, non-intervention (dotted): wait as long as possible before
- 477 controlling system).
- 478 A, joystick power (curves) and external disturbance power (horizontal line up to 10 Hz).
- 479 B, coherence (γ^2_{du}) between joystick and unpredictable external disturbance; the horizontal line is the
- value of coherence required for significance at 95% confidence.
- 481 C, incidence of contact during 190 s, binned according to instant frequency (1/contact interval).
- D, coherence limit vs. modal contact frequency (dots) and vs. median contact frequency (crosses), shown
- 483 for intermittent contact trials from all three goals position, velocity non-intervention. Four trials were
- circled on the grounds that the high frequency repetitive tapping mode (4–5 s–1) dominated the low
- frequency mode at around 2 s⁻¹. The solid line shows the predicted relationship (Nyquist sampling
- 486 frequency) between the coherence limit and modal contact frequency if contact occurred only at the
- 487 modal frequency. For panels A, B, all curves show variation of the quantities with frequency, for each
- 488 experimental condition, averaged over eleven trials (one from each subject). For panel B vertical lines
- show the modal contact frequencies, respectively, for tapping trials.

491 Figure 3 is adapted from (20, Fig 4) 492 493 Figure 4 Refractoriness in whole body control (32) 494 A. The participant receives visual feed-back of the Anterior-Posterior head position through a dot 495 presented on an LCD screen mounted on a trolley. Without moving their feet, participants were asked to 496 track the position of a second dot displayed on the screen. The four possible step sequence combinations 497 (uni- and reversed-directional step up or down) of the pursuit target are illustrated by the solid line. First 498 and second stimuli are separated by an inter-step interval (ISI). The participant experiences an 499 unpredictable sequence of steps. 500 B. Group results. Figure shows the inter-participant mean RT1 (black) and RT2 (gray) against ISI 501 combined across the eight participants. The P-values of the ANOVA's post-hoc test are display above

each ISI level (black if <0.05, gray if not). The dotted line shows the mean RT1, the dashed line shows

the regression linear fit between (interfered) RT2 and ISIs.

Figure 4 is adapted from (32, Figs 2, 5)

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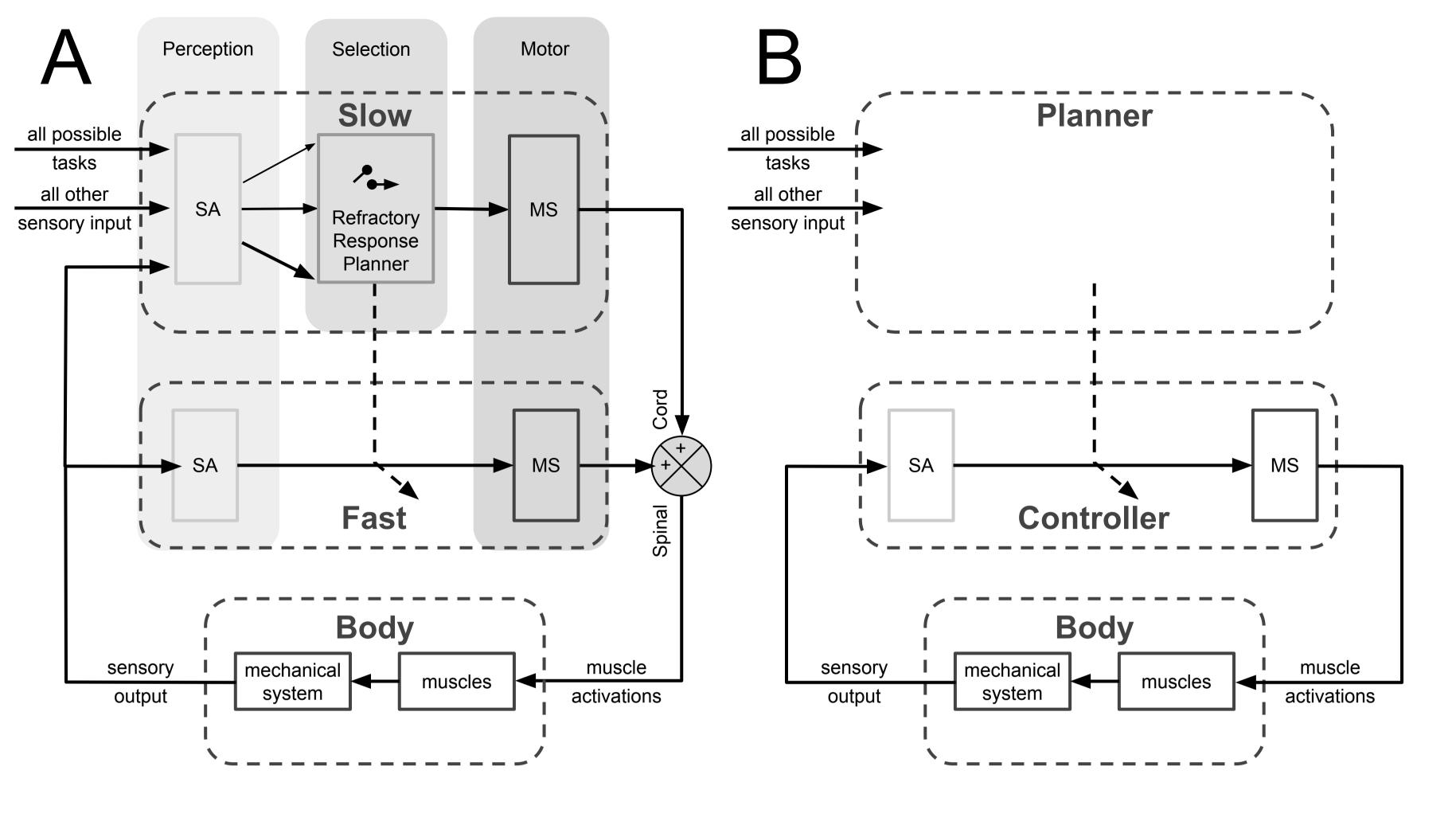
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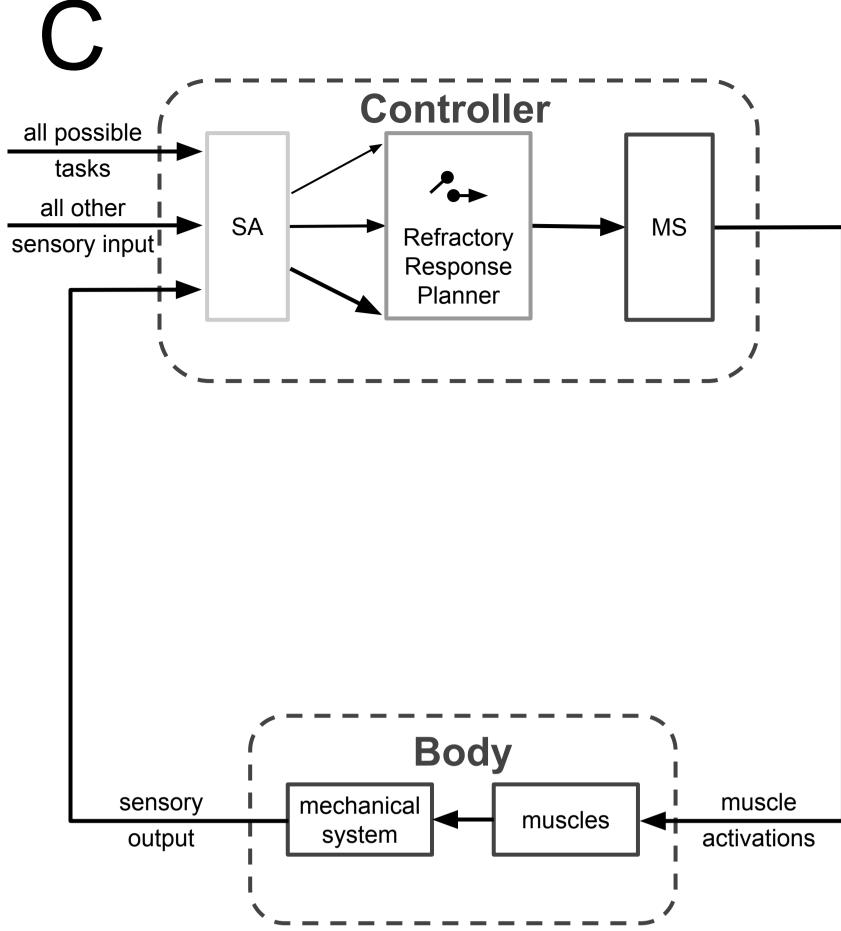
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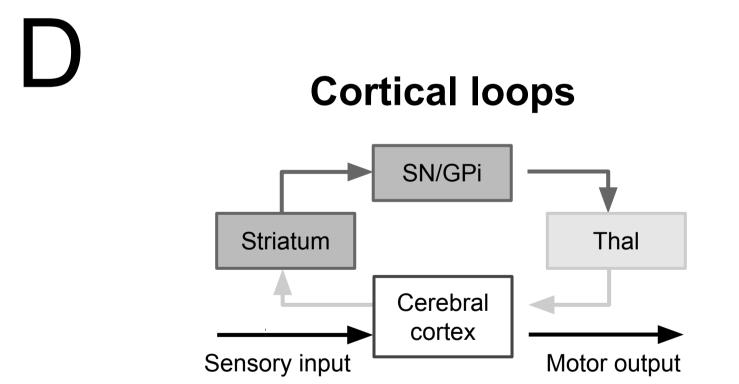
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Subcortical loops

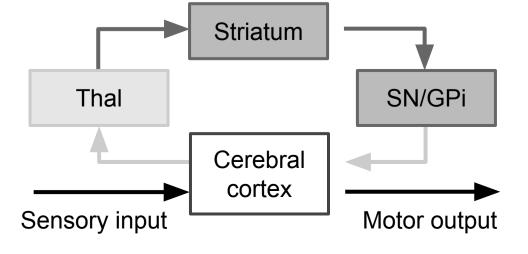


Figure 2.Refractoriness in manual control

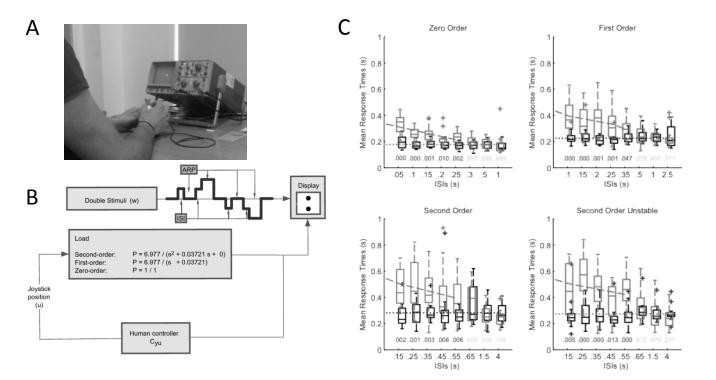


Figure 3 The bandwidth of visuo-manual control

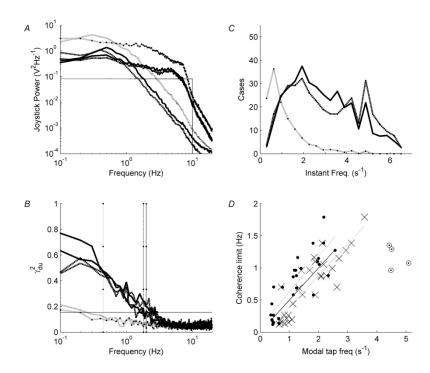
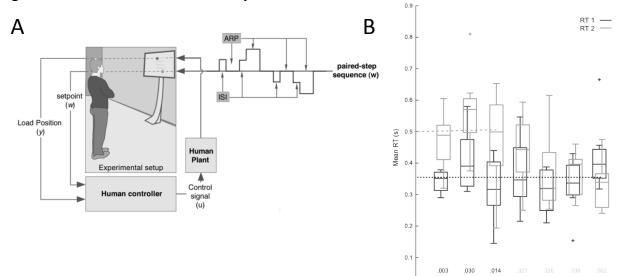


Figure 4 Refractoriness in whole body control



ISIs (s)