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DOCTOR OF PHILOSOPHY

Cognitive and synaptic dysfunction in a mouse model of Huntington's disease

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Ruth C. Mitchell

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Cognitive and synaptic dysfunction in a mouse model of Huntington's Disease

by

Ruth C. Mitchell

A thesis submitted to the University of Dundee for the

degree of Doctor of Philosophy

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ABBREVIATIONS

AChBP	Acetylcholine binding protein
AP5	Aminophosphonovaleric acid
BAC	Bacterial artificial chromosome
BDNF	Brain derived neurotrophic factor
CA	Cornu Ammonis
Ca ²⁺	Calcium
CAG	Glutamine
CANTAB	Cambridge Neuropsychological Test Automated Battery
CVLT	California verbal learning test
DG	Dentate gyrus
DNMTS	Delayed non-match to sample
EC	Entorhinal cortex
EPSP	Excitatory postsynaptic potential
fEPSP	field excitatory postsynaptic potential
GABA	γ-aminobutyric acid
HAP1	Huntingtin-associated protein
HD	Huntington's disease
$Hdh^{Q111+/+}$	Homozygous Hdh ^{Q111}
$Hdh^{Q111+/-}$	Heterozygous Hdh ^{Q111}
HFS	High frequency stimulation
5HT ₃	5-hydroxytryptamine
IA task	Inhibitory avoidance task
i.p	intraperitoneal injection
IPSC	Inhibitory postsynaptic currents

KIF5	Kinesin family of motor proteins 5
LTD	Long term depression
LTP	Long term potentiation
Mg^{2+}	Magnesium
ms	milliseconds
MSN	Medium spiny neurons
MWM	Morris Water Maze
Na ⁺	Sodium
nACh	nicotinic acetylcholine receptor
NII	Neuronal intranuclear inclusions
NMDA	N-Methyl-D-aspartic acid
PPD	Paired pulse depression
PPF	Paired pulse facilitation
РТР	Post tetanic potentiation
WAIS-R	Wechsler Adult Intelligence Scale-Revised
WMS	Wechsler memory scale
WT	Wild type
YAC	Yeast activated chromosome

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Now for some Gin...

Declarations

I hereby declare that the following thesis is based on the results of investigations conducted by myself and that this thesis is of my own composition. Work other than my own is stated with reference to the researcher or their publications. This body of work has not previously been presented for a higher degree.

Ruth C. Mitchell

I certify that Ruth C. Mitchell has completed nine terms in experimental research in the Division of Neuroscience, Medical Research Institute, University of Dundee. She has fulfilled the conditions of the Ordinance General No. 39 of the University of Dundee and is qualified to submit this thesis in application for the degree of Doctor of Philosophy.

Schweiger

Prof. Susann Schweiger

Dr. Rosamund Langston

Prof. Jeremy Lambert

Dr. Delia Belelli.

Abstract

Huntington's disease (HD) is a neurodegenerative disorder characterised by an unstable polyglutamine repeat expansion within the *Huntingtin* gene. Although clinical diagnosis of HD relies on the manifestation of a motor phenotype, cognitive symptoms often appear prior to diagnosis. This study has characterised the motor, cognitive and electrophysiological phenotypes of the homozygous and heterozygous Hdh^{Q111} mouse models of HD. Although the heterozygous Hdh^{Q111} mouse is more clinically relevant to the human disorder, it has received little attention in previous studies.

Assessments of the motor phenotype of the Hdh^{Q111} mouse were inconclusive. However, Hdh^{Q111} mice exhibited a mild motor phenotype on the rotarod, showing hyperactivity at 2 and 3 months. Subtle changes within the hippocampus are thought to underlie the cognitive abnormalities that characterise the early stages of HD. A series of recognition tasks were used to assess the episodic memory of the Hdh^{Q111} mouse. Although these tasks had been used to assess the ability of rats to discriminate the 'what', 'where' and 'when' of episodic memory, this was the first time that they had been successfully utilised in mice. Hdh^{Q111} mice showed impairments in episodic memory as early as 2 months. In mouse models, cognitive deficits are often accompanied by impairments in hippocampal synaptic plasticity, the molecular correlate of learning and memory. In agreement, Hdh^{Q111} mice showed impairments in long-term potentiation (LTP) at 2 months.

A novel, shortened version of the protocol was developed to accurately assess the changes in cognition in the small development window available. A hippocampusdependent 24-hour novel object recognition task was also used to assess the integrity of the hippocampus. Hippocampal function in 1 month old Hdh^{Q111} mice was not significantly different from that in wild type mice. The early cognitive deficits present in the Hdh^{Q111} mice were progressive, with cognitive deficits spreading to include the individual components of episodic memory by 13 months. Drugs inhibiting the function of α_5 -GABA_A receptors are known to enhance cognition and hippocampal LTP. In agreement, the LTP and cognitive deficits of the Hdh^{Q111} mouse were rescued following treatment with the α_5 -GABA_A receptors selective inverse agonist α_5 IA.

Importantly, the clinically relevant heterozygous Hdh^{Q111} mice exhibited an identical phenotype to homozygous Hdh^{Q111} mice indicating that, reminiscent of the human disorder, only one copy of the mutant gene is necessary to produce abnormalities associated with the disorder, further supporting the validity of the Hdh^{Q111} mouse as a clinically relevant model of HD. Collectively, this thesis provides evidence that α_5 -GABA_A receptors antagonists have the potential to improve cognitive function in HD.

1: Huntington's disease

1.1: Introduction

Huntington's disease (HD) is an autosomal dominant neurodegenerative disorder that affects muscle coordination (chorea), and leads to cognitive decline and psychiatric problems (for more details on the symptoms of HD, see section 1.1.3). The disorder is characterised by an unstable glutamine (CAG) trinucleotide repeat expansion which occurs within the open reading frame of exon 1 of the Huntingtin gene (Cummings et al., 2006) (.NB. The following nomenclature is used throughout this thesis: huntingtin mouse gene; huntingtin - mouse protein; Huntingtin - human gene; Huntingtin - human protein). Generally, unaffected individuals have less than 36 CAG repeats, however greater than 36 repeats results in the generation of HD. The age of onset and severity of the disease are determined by the length of the CAG repeat expansion (Duyao et al., 1993). Although adult-onset HD most often occurs in middle age, juvenile onset HD results either from a CAG repeat length of more than 55 or in individuals who are homozygous for the *Huntingtin* mutation, and in these cases symptoms can appear before the age of 20 (Nance and Myers, 2001). In addition, if the gene is passed down the paternal germline genetic anticipation can occur, thereby increasing the number of CAG repeats and decreasing the age of onset as the gene is passed through the generations (Duyao et al., 1993). In Scotland 1: 10,000 people suffer from HD, although this figure varies between regions. Currently, there are approximately 850 patients of HD in Scotland, with 4000 – 6000 people living with the risk of inheriting the disorder (Scottish Huntington's Association).

The physiological role of the Huntingtin protein is unclear, however various experimental approaches have been used to investigate normal Huntingtin function and its' possible involvement in the pathogenesis of HD. In order to further elucidate the physiological role of Huntingtin during development, mice with a targeted deletion of the *huntingtin* gene were generated (Nasir *et al.*, 1995; Duyao *et al.*, 1995; Zietlin *et al.*, 1995). Homozygous knock-out of the *huntingtin* gene results in embryonic death, however mice heterozygous for the mutation survive until adulthood. Heterozygous knock-out mice showed significant neuronal loss in the subthalamic nuclei. In a set of behavioural tests it was found that heterozygous knock-out mice exhibited increased spontaneous motor activity when compared to control animals, echoing the chorea exhibited in human patients of the disorder (Nasir *et al.*, 1995). The heterozygous mice showed impaired spatial learning in the Morris Water Maze (MWM) test (for more details on MWM, see section 6.1.2).

Following on from these studies, a study by White *et al.* (1997) generated knock-in mice in which the polyglutamine tract of the murine *huntingin* gene was extended by introducing an expanded human CAG repeat to create mice that expressed either reduced levels of huntingtin by the introduction of a neomycin cassette (Hdh^{neoQ50}), or wild type (Hdh^{Q50}) levels of huntingtin with 50 CAG repeats (for more details on knock-in mice, see section 1.2.2 below). Mice homozygous for Hdh^{neoQ50} were either stillborn, or died shortly after birth (White *et al.*, 1997). In addition, the subsequent examination of the homozygous pups revealed abnormal brain development. By contrast, brain development was normal in heterozygous and homozygous Hdh^{Q50} mice

suggesting that huntingtin, in the endogenous or mutated form is critical to the formation of the central nervous system (White *et al.*, 1997).

Again instead of completely deleting the *huntingtin* gene, a further study assessed the consequences of reducing huntingtin expression (Auerbach *et al.*, 2001). Knock-in mice were generated in which the CAG length was reduced (CAG20) and/ or expanded (CAG111): Hdh^{neoQ20}/Hdh^{neoQ20}, Hdh^{neoQ20}/Hdh^{null} and Hdh^{neoQ20}/Hdh ^{neoQ111}. Through careful breeding Hdh^{neoQ20}/Hdh^{null} and Hdh^{neoQ20}/Hdh ^{neoQ111} mice were able to survive until adulthood, but exhibited developmental defects as demonstrated by reduced body weight and enlarged cerebral ventricular volume (Auerbach *et al.*, 2001). Movement abnormalities were evident in the Hdh^{neoQ20}/Hdh ^{neoQ111} mice from 2 months of age, including hind-limb clasping, progressing to limb stiffness, difficulty "walking", seizures and eventual paralysis as the mice aged. None of these abnormalities were present in control animals, or in any of the animals that expressed normal levels of huntingtin in at least one allele, demonstrating the critical role of huntingtin expression in embryonic development (Auerbach *et al.*, 2001).

Further studies have examined the localization of Huntingtin in the brain (DiFiglia *et al.*, 1995; Gutekunst *et al.*, 1995; Sharp *et al.*, 1995; reviewed in Cattaneo *et al.*, 2005). Results indicated that Huntingtin is expressed widely throughout the brain and peripheral tissue (DiFiglia *et al.*, 1995; Sharp *et al.*, 1995), with higher expression in the cortex and cerebellum than in the striatum (Gutekunst *et al.*, 1995) The widespread distribution perhaps suggests that Huntingtin plays a general 'housekeeping' role in a variety of cells (DiFiglia *et al.*, 1995; Sharp *et al.*, 1995). Wild type Huntingtin is expressed in cell bodies, dendrites, axons and nerve terminals, but not in nuclei (Sharp *et al.*, 1995), with highest expression in the pyramidal cells of the cortex (Gutekunst *et al.*, 1995). In addition, analysis of the subcellular localization of Huntingtin revealed that the protein is strongly associated with microtubules, perhaps indicating a role in the anchorage, or transport of intracellular proteins (Gutekunst *et al.*, 1995). Furthermore, a small amount of staining at dendritic spines indicated that Huntingtin may perhaps play a role in neurotransmission (Gutekunst *et al.*, 1995). DiFiglia *et al.* (1995) also demonstrated using sucrose density gradients that Huntingtin can be detected in fractions which are enriched with vesicle-associated proteins, including the vesicle membrane protein synaptophysin, perhaps indicating a role for Huntingtin in vesicular transport.

Collectively these studies suggest that the normal function of the *Huntingtin* gene is required for embryonic development, neurogenesis and neurological development (Nasir *et al.*, 1995; White *et al.*, 1997; Auerbach *et al.*, 2001). In addition, the widespread distribution throughout the brain, the periphery and its' subcellular localisation indicates that Huntingtin may play a role in a variety of different cell types (DiFiglia *et al.*, 1995; Sharp *et al.*, 1995). Such roles may include the anchorage, or transport of intracellular proteins (Gutekunst *et al.*, 1995), synaptic transmission (Gutekunst *et al.*, 1995), or vesicular transport (DiFiglia *et al.*, 1995).

1.1.2: The pathology of HD

HD is caused by the expansion of a CAG repeat in the *Huntingtin* gene. The embryonic lethal phenotype of the huntingtin knock-out mice (Duyao *et al.*, 1995; Nasir *et al.*, 1995; see section 1.1.1) suggests a loss of function mechanism is unlikely. Therefore, in order to determine the mechanism by which the expanded CAG repeat causes HD, a

study by Davies et al (1997) assessed the neuropathology of the R6 transgenic mouse model of HD (for more details on the R6 mouse models, see section 1.2.1.1 and 1.2.1.2). Immunohistochemical studies in control animals indicated that, in agreement with previous studies (DiFiglia et al., 1995; Sharp et al., 1995), Huntingtin is expressed in multiple regions throughout the brain including the cerebral cortex, striatum and cerebellum and expression is limited to the cytoplasm and membrane (Davies et al., 1997). However, in contrast, immunohistochemical studies in the transgenic animals revealed that mutated Huntingtin formed dense inclusions within neuronal nuclei (Davies et al., 1997). These neuronal intranuclear inclusions (NIIs) were present in large numbers within neurons of the cerebral cortex, striatum, cerebellum, with fewer inclusions expressed in other areas, including the hippocampus, of transgenic mouse models (Davies et al., 1997). Furthermore these studies showed that in both transgenic mice and post mortem human patients with HD, antibodies detecting the C-terminus of Huntingtin showed staining only in the cytoplasm while antibodies detecting N-terminal Huntingtin demonstrated staining within the nucleus (Davies et al., 1997; DiFiglia et al., 1997). These studies suggest that the N-terminal fragment of mutant Huntingtin is cleaved and translocates to the nucleus to form NIIs. This proposal is supported by biochemical studies showing that N-terminal fragments of mutant Huntingtin form insoluble aggregates in the cortex and striatum (Davies et al., 1997; DiFiglia et al., 1997; Scherzinger et al., 1997). Interestingly, the translocation of Huntingtin protein to the nucleus occurs just prior to the onset of the overt symptoms of HD, implicating a role for the NIIs in the generation of neurological dysfunction (for symptoms of HD, see section 1.1.3) (Davies et al., 1997). Furthermore, NIIs are present in symptomatic, but absent in pre-symptomatic patients of HD (DiFiglia et al., 1997). Collectively these studies suggest that the formation of aggregates within nuclei of transgenic

Huntington's models and human Huntington's before the appearance of motor symptoms is an important step in the development of HD (Davies *et al.*, 1997; DiFiglia *et al.*, 1997; Scherzinger *et al.*, 1997).

Although the pathogenesis of HD appears to involve the cytoplasmic cleavage, release and nuclear localisation of Huntingtin, it is not definitive that the protein aggregation is toxic and it is possible that the NIIs may instead act as a defence mechanism against huntingtin-induced cell death rather than being the cause of the disease (Saudou et al., 1998). Saudou et al. (1998) have developed an in vitro model in order to assess the role of huntingtin expression in neuronal survival. Wild type or mutant huntingtin was transfected into striatal neurons and the survival rates of these were quantified. The study indicated that striatal neurons transfected with mutant huntingtin showed clear signs of neurodegeneration, the rate of which increased proportionally with the length of the CAG repeat (Saudou et al., 1998). In contrast, transfection of mutant huntingtin into hippocampal neurons maintained in cell culture did not result in an increased rate of neurodegeneration (Saudou et al., 1998). In addition, it was found that blocking components of the apoptotic pathway prevented neurodegeneration, indicating an apoptotic mechanism is involved in huntingtin-induced neurodegeneration (Saudou et al., 1998). In agreement with previous studies (Davies et al., 1997; DiFiglia et al., 1997; Scherzinger et al., 1997), Saudou et al. (1998) also demonstrated that fragments of mutant huntingtin are transported from the cytoplasm into the nucleus. However, in contrast to the previous studies which suggested that nuclear huntingtin accumulation leads to neurodegeneration through the formation of NIIs (Davies et al., 1997; DiFiglia et al., 1997; Scherzinger et al., 1997), Saudou et al. (1998) suggested that the formation of NIIs is instead a parallel process, unrelated to the mechanism that eventually results

in neurodegeneration. In agreement, results indicated that although NIIs developed in both striatal and hippocampal neurons *in vitro*, mutant huntingtin-induced apoptosis was only evident in striatal neurons, which shows that the presence of inclusions is not always sufficient to induce apoptosis (Saudou *et al.*, 1998). Furthermore, when the formation of NII is suppressed, huntingtin-induced death is accelerated (Saudou *et al.*, 1998).

Collectively, these studies suggest that mutant Huntingtin acts within the nucleus to induce neurodegeneration (Davies *et al.*, 1997; DiFiglia *et al.*, 1997; Scherzinger *et al.*, 1997; Saudou *et al.*, 1998). However it is unknown whether the NIIs formed by mutant Huntingtin lead to neurodegeneration (Davies *et al.*, 1997; DiFiglia *et al.*, 1997; Scherzinger *et al.*, 1997) or instead act as a protective mechanism against the toxic mutant Huntingtin protein (Saudou *et al.*, 1998).

1.1.3: Symptoms of HD

In humans, symptoms of HD are progressive and usually appear in the third to fifth decades of life. HD produces 3 types of symptoms: (i) motor disturbances such as chorea, dystonia and clumsiness (ii) psychiatric features including mood swings and depression and (iii) cognitive impairment, characterised by attention difficulties and short- and long-term memory impairments which later on develop into dementia (Montoya *et al.*, 2006). There is currently no treatment to halt the progression of HD, however medication is available that is able to manage the symptoms of the disorder (Killoran and Biglan, 2012).

The first motoric sign of HD is dystonia, which is characterised by slow movements with an increased muscle tone, leading to abnormal posture. These movement disturbances initially start as twitches of the fingers, toes and facial muscles but gradually spread to other muscles as the disease progresses. Involuntary choreatic movements develop in the latter stages of the disorder, and involve rapid and irregular movements of the limbs, trunk and face (Sturrock and Levitt, 2010). Patients also develop hypokinesia (decreased body movement) and akinesia (inability to initiate movement), which gradually leads to a slower pace of life (Roos, 2010). Impairments in voluntary movement also occur in HD with both hyperkinesia and hypokinesia, leading to difficulties in walking and standing, resulting in frequent falls (Sturrock and Levitt, 2010). In addition, impaired voluntary control and worsening involuntary movement of the mouth, tongue and lips lead to problems with speech and swallowing, causing weight loss that may eventually lead to malnutrition (Sturrock and Levitt, 2010). For individuals with juvenile onset HD, the symptoms are similar but the age of onset is reduced. It is thought that the deficits in motor function are mediated predominantly by the prominent degeneration of neurons within in the striatum (Melone *et al.*, 2005).

Psychiatric features are also present in the early stages of the disease, often before any motor symptoms become evident, and are the most variable aspect of the clinical phenotype of HD. The most frequent warning sign of the disorder is depression. This is often complicated by additional environmental stressors, including fear of the future and alterations of family or relationship dynamics (Sturrock and Levitt, 2010). In the later stages of the disease psychosis may appear, often combined with cognitive decline (Roos, 2010). Other psychiatric symptoms include aggression and compulsive behaviour, the latter of which can exacerbate pre-existing addictive behaviours such as

gambling or alcoholism (Roos, 2010). For many sufferers and their families, these psychiatric symptoms are among the most distressing aspects of the disease, often affecting daily functioning and constituting reason for institutionalisation (Roos, 2010).

Cognitive decline is another of the main indicators of HD and subtle changes in attention, semantic verbal fluency, working memory and episodic memory can be evident prior to the emergence of the more overt motor phenotype in patients (Hahn-Barma *et al.*, 1998; Lawrence *et al.*, 1998; Kirkwood *et al.*, 2000; Verny *et al.*, 2007; see section 6.1.1) and mouse models of HD (Lione *et al.*, 1999; Van Raamsdonk *et al.*, 2005; Brooks *et al.*, 2006; Pang *et al.*, 2006; Nithianantharajah *et al.*, 2008; Simmons *et al.*, 2009; see section 6.1.2).

In the early stages of the disease patients demonstrate problems with concentration, working memory, episodic memory, attention and emotional processing (Montoya *et al.*, 2006). Cognitive deficits become more severe as the disorder evolves. One of the earliest deficiencies in HD is the speed of thought processing and motor skills, with tasks that were previously menial becoming more tiring, with more effort required to achieve the same outcome (Paulsen, 2011). The cognitive deficits gradually deteriorate throughout the course of the disease, eventually resulting in dementia (Montoya *et al.*, 2006). Although the clinical manifestation of HD relies on the appearance of motor abnormalities, it has been suggested that the cognitive changes place the greatest burden on families that are affected by HD (Hamilton *et al.*, 2003; Williams *et al.*, 2010). In addition, the cognitive and behavioural symptoms of Huntington's patients generally appear at least 15 years prior to diagnosis based on motor deficits (Paulsen *et al.*, 2008).

abnormalities that characterise the early stages of HD (Rosas *et al.*, 2003). Furthermore, cognitive deficits in mouse models of HD are often accompanied by impairments in hippocampal synaptic plasticity, the molecular correlate of learning and memory (see section 2.3) (Hodgson *et al.*, 1999; Usdin *et al.*, 1999; Murphy *et al.*, 2000; Milnerwood *et al.*, 2006; Lynch *et al.*, 2007). In order to identify possible drug targets, it would be beneficial to fully characterise the early cognitive changes that are associated with prodromal HD. As a result, it is the cognitive deficits (section 6.1) and abnormalities in synaptic plasticity (section 7.1) in HD that I will concentrate on and will be discussed in more detail in the relevant sections of this thesis.

1.2: Rodent models of HD

Since the mutation in the *Huntingtin* gene was first discovered in 1993 (MacDonald *et al.*, 1993), HD research has been aided considerably by the generation of a variety of rodent genetic models, allowing the exploration of early pathological, molecular and cellular abnormalities associated with the disorder. Such approaches may lead to the identification of potential therapeutic targets and the preclinical evaluation of prospective therapies in the treatment of HD and other polyglutamine repeat diseases. The following section will summarise the most widely used mouse models of HD. The available rodent models are transgenic models (ectopic expression of the *Huntingtin* mutation; Table 1.1) and knock-in models (mutated *Huntingtin* is knocked into the endogenous murine *huntingtin* gene; Table 1.2). Transgenic models include the R6 lines, which were the first genetic models of HD to be generated and still remain one of the most widely used (Mangiarini *et al.*, 1996; Davies *et al.*, 1997; Carter *et al.*, 1999; Lione *et al.*, 2003; Hickey *et al.*, 2005; Morton *et al.*, 2000; Morton *et al.*, 2005; Stack *et al.*,

2005; Milnerwood *et al.*, 2006; Pang *et al.*, 2006; Nithianantharajah *et al.*, 2008). In addition, there are 2 full length transgenic models of HD; one of the most studied is the yeast activated chromosome (YAC) expressing mutant (YAC72 and YAC128) human Huntingtin (Hodgson *et al.*, 1999; Slow *et al.*, 2003; Van Raamsdonk *et al.*, 2005a/b) while the other uses a bacterial activated chromosome (BAC) and expresses mutant Huntingtin with 97 CAG repeats (Grey *et al.*, 2008). There are classical knock-in models which differ mainly according to CAG repeat length (from 48 - 200) within the endogenous *huntingtin* gene of the mouse and include the Hdh^{Q80} (Shelbourne *et al.*, 1999; Usdin *et al.*, 1999), Hdh^{Q92} (Wheeler *et al.*, 2000), Hdh^{Q111} (Wheeler *et al.*, 2000; Wheeler *et al.*, 2002; Lynch *et al.*, 2007), Hdh^{Q140} (Menalled *et al.*, 2003; Simmons *et al.*, 2009) and the Hdh^{Q150} (Lin *et al.*, 2001; Yu *et al.*, 2003; Brooks *et al.*, 2006; Heng *et al.*, 2007) mouse models of HD.

1.2.1: Transgenic models of HD

1.2.1.1: The R6/2 model

The R6 mouse was the first successful transgenic model of HD and was created by Bates and colleagues by incorporating an exon 1 fragment of *Huntingtin* with a range of 141 - 157 CAG repeats, expressed from different locations in the mouse genome. (Mangiarini *et al.*, 1996; Table 1.1). The lines R6/1 and R6/0 contain 1 exon fragment integrated into the murine genome. The R6/2 line contains 3 inserted fragments, but due to deletions in the flanking regions, the inserted transgene functions as a single insertion. Finally the R6/5 line contains 4 fragment inserts (Mangiarini *et al.*, 1996). The following section will discuss the R6/2 and R6/1 lines.

The R6/2 mouse expresses an aggressive phenotype and has been characterised extensively; behavioural abnormalities are evident by 5 weeks, neuronal cell loss in the striatum by 12 weeks, followed by death by 12 - 15 weeks (Mangiarini et al., 1999; Hickey et al., 2005; Morton et al., 2005; Stack et al., 2005). Studies have shown that NIIs are present from postnatal day 1, forming initially in the cortex and in the Cornu Ammonis 1 (CA1) region of the hippocampus by 3 weeks, spreading to other hippocampal regions and the striatum by 8 weeks of age (Davies et al., 1997; Morton et al., 2000; Murphy et al., 2000; Sun et al., 2002; Stack et al., 2005). By the end stage of the disease, the R6/2 mouse displays widespread NIIs and aggregates (Morton et al., 2000; Stack et al., 2005). The appearance of the NIIs in the striatum correlates with the generation of motor abnormalities (Carter et al., 1999; Stack et al., 2005). In R6/2 mice motor impairments develop between 8 - 15 weeks including deficits in swimming, foreand hind-limb coordination, balance, grip strength and sensorimotor gating (Carter et al., 1999; Lüesse et al., 2001; Stack et al., 2005). The R6/2 mice show a progressive decline in performance of the rotarod task as early as 6 weeks and are unable to maintain their balance on the beam for longer than 10 seconds by the age of 12 weeks (Lüesse et al., 2001; Hickey et al., 2005; Stack et al., 2005). In addition, R6/2 mice (8 weeks) are smaller in weight when compared to wild type mice (Hickey *et al.*, 2005; Stack et al., 2005) and exhibit stereotypical behaviours such as hind limb clasping (6 weeks) (Mangiarini et al., 1996; Stack et al., 2005). However, prior to the emergence of the more overt motor impairments, cognitive testing has demonstrated that the R6/2 mouse shows progressive cognitive decline in the MWM and the T-Maze as early as 3.5 weeks (Lione et al., 1999; Lüesse et al., 2001; Morton et al., 2005; see section 6.1.2). Furthermore, R6/2 mice show impairments in hippocampal synaptic plasticity, the

molecular correlate of learning and memory, from 5 weeks (Murphy *et al.*, 2000; see section 7.1).

1.2.1.2: The R6/1 model

Another model in the R6 line is the R6/1 mouse model of HD. As the R6/1 mouse contains only 116 CAG repeats (Mangiarini *et al.*, 1996), the phenotype of this mouse is relatively mild when compared to the R6/2 mouse (Table 1.1). In common with the R6/2 mouse, NIIs form within the CA1 region of the hippocampus in 1 month old R6/1 mice, spreading to the CA3 by 3 months and the dentate gyrus by 7 months (Milnerwood *et al.*, 2006). The R6/1 mouse exhibits weight loss and hind-limb clasping by the age of 19 - 23 weeks (Milnerwood *et al.*, 2006) and decreased anxiety in the plus maze (24 weeks) (Naver *et al.*, 2003). The R6/1 mouse also demonstrates deficits in spatial learning (12 weeks) and novel object recognition (12 - 14 weeks) (Pang *et al.*, 2006; Nithianantharajah *et al.*, 2008; see section 6.1).

1.2.1.3 YAC models

A further method of creating mouse models of HD is to express the entire human *Huntingtin* gene including the CAG repeats in the 5'end of the gene under the control of the human promoter in a yeast artificial chromosome (YAC) vector system. YAC mouse strains expressing mutant (YAC72 and YAC128) *Huntingtin* were generated (Hodgson *et al.*, 1999; Slow *et al.*, 2003; Van Raamsdonk *et al.*, 2005a; Table 1.1). Modest striatal neuronal loss is found at 12 months in the YAC128 model (Slow *et al.*, 2003). Although NIIs are not evident until 18 months (Hodgson *et al.*, 1999; Slow *et al.*, 2003), both the YAC72 and YAC128 demonstrate increased nuclear Huntingtin staining within striatal neurons from 3 months (Van Raamsdonk *et al.*, 2005a). Both models

show stereotypical behavioural abnormalities from 3 months, including circling, deficits in gait and hind-limb clasping (Hodgson *et al.*, 1999; Slow *et al.*, 2003). The YAC128 model of HD also shows deficits in performance on the accelerating rotarod and reduced locomotion in the activity box (6 months) (Slow *et al.*, 2003; Van Raamsdonk *et al.*, 2005b). In addition, cognitive tests have demonstrated that the YAC128 model demonstrates deficits in spatial learning (8.5 months; Van Raamsdonk *et al.*, 2005b; see section 6.1).

1.2.1.4: BAC models

An alternative method of creating mouse models of HD is to express the full length human *Huntingtin* under the control of the endogenous *Huntingtin* regulatory machinery on the bacterial artificial chromosome (BAC) vector system (BACHD; Gray *et al.*, 2008; Table 1.1). The BACHD mice generated had 97 CAG repeats and their brains demonstrated late onset atrophy (12 months) of the cortex and striatum (Gray *et al.*, 2008). NIIs were found in the deep and upper cortical layers, with a few aggregates forming in the striatum at 12 months (Gray *et al.*, 2008). BACHD mice demonstrated subtle impairments in the rotarod from 2 months (Gray *et al.*, 2008). BACHD mice therefore provide a subtle model that demonstrates a slower progressive presentation of HD, without the normal aggregation of mutant Huntingtin.



Table 1.1.: Transgenic models of Hundington's disease. [Abbreviations : *Hit* – hunan *Hautingtin* gene; INN – intranuclear indusions; CA1 - *Corru. Ammonis* 1; CA3 – *Corru. Ammonis* 3; DG – dentate gyrus; YAC – yeast artificial chromosome; BAC – bacterial artificial chromosome]

Elevated Plus Maze

1.2.2: 'Knock-in'models

Due to the presence of both the mouse and human copies of the Huntingtin gene in the previously described models, it could be argued that transgenic models are not an accurate representation of the human disease. As a result, knock-in models of HD have been generated. Knock-in models are produced by replacing a portion of the mouse huntingtin gene with a mutant copy of the human gene, which contains a section with an expanded CAG repeat. Unlike the transgenic models, the knock-in mice only have 2 copies of the *huntingtin* gene -1 wild type and 1 mutant - both of which are under the control of the endogenous mouse *huntingtin* promoter. Knock-in mice that contain 48 – 200 CAG repeats have been generated, a small selection of which will be discussed in the following section (Shelbourne et al., 1999; Usdin et al., 1999; Lin et al., 2001; Wheeler et al., 2000; Wheeler et al., 2002; Menalled et al., 2003; Yu et al., 2003; Brooks et al., 2006; Heng et al., 2007; Lynch et al., 2007; Simmons et al., 2009; Usdin et al., 2009) (Table 1.2). Generally, the phenotype of the knock-in mice is more subtle than the phenotype seen in transgenic models, however sensitive and careful testing can be utilised in order to demonstrate the early deficits associated with HD (Menalled et al., 2002; 2003).

1.2.2.1: 80 CAG mouse model

Mice expressing full-length mutant huntingtin protein were generated by replacing the endogenous mouse *huntingtin* gene with an expanded stretch of up to 80 CAG repeats, a length corresponding to that seen in juvenile-onset HD in humans (Shelbourne *et al.*, 1999). These mice do not develop detectable nuclear inclusions or motor disturbances (Shelbourne *et al.*, 1999), but show a striking hyper-aggressive behaviour reminiscent of the behavioural abnormalities of early stage human patients of HD. In addition, these

mice demonstrate impairments in hippocampal synaptic plasticity (8 – 14 months) (Usdin *et al.*, 1999; see section 7.1).

1.2.2.2 : Hdh^{Q92} and Hdh^{Q111} mouse model

Mice were generated in which exon 1 of the mouse *huntingtin* gene was replaced by a chimeric mouse/ human exon 1 including either 92 (Hdh^{Q92}) or 111 (Hdh^{Q111}) CAG repeats (Wheeler et al., 2000; Wheeler et al., 2002; Table 1.2). The striatum of the Hdh^{Q92} and Hdh^{Q111} mice was subsequently examined in order to detect the presence of neurodegeneration. Although neither of these models displayed overt striatal neurodegeneration, abnormal striatal pathology is evident. In young mutant Hdh^{Q92} and Hdh^{Q111} mice (1.5 months) huntingtin protein is confined to the cytoplasm of striatal neurons. However, by 4.5 months, the huntingtin protein has translocated to the nucleus in both the Hdh^{Q92} and Hdh^{Q111} mouse lines (Wheeler et al., 2000). In Hdh^{Q111} heterozygous mice, prominent nuclear huntingtin immunoreactivity is observed at 5 months of age. At 17 months both homozygous and heterozygous Hdh^{Q111} mice display prominent NIIs, and aggregates are found in the globus pallidus and substantia nigra pars reticulata (Wheeler et al., 2002). Behavioural tests carried out to assess weight gain, clasping, exploratory behaviour, gait and rotarod deficits did not produce any significant differences between wild type and mutant mice up to the age of 17 months (Wheeler et al., 2000). However footprint analysis demonstrated that subtle motor deficits are apparent by 24 months in the Hdh^{Q111} mouse (Wheeler et al., 2002). In addition, further studies have shown that Hdh^{Q111} mice also display impairments in the long term potentiation of CA1 hippocampal neurons, from 2 months onwards (Lynch et al., 2007; see section 7.1).
1.2.2.3: Hdh^{Q140} mouse model

A further knock-in model carrying 140 CAG repeats (Menalled *et al.*, 2003; Table 1.2) displays weak nuclear staining and few aggregates within the striatum at 2 months. By 4 months nuclear staining is clearly more intense and aggregates are more widely distributed when compared to younger mice, with aggregates spreading to the striatum and hippocampus, increasing in density by 6 months (Menalled *et al.*, 2003). The Hdh^{Q140} mouse does not show any abnormal weight loss when compared to control. The Hdh^{Q140} mouse shows increased locomotor activity and rearing at the age of 1 month, which is significantly reduced at the age of 4 months. Furthermore, these mice present with overt gait abnormalities by 12 months of age as demonstrated by a decrease in stride length (Menalled *et al.*, 2003). In addition the Hdh^{Q140} mouse model of HD also demonstrated cognitive deficits in the novel object recognition task (16 weeks; Simmons *et al.*, 2009; see section 6.1).

1.2.2.4: Hdh^{Q150} mouse model

The Hdh^{Q150} knock-in mouse lacks foreign DNA sequences and has 150 CAG repeats inserted into exon 1 of the murine *huntingtin* homologue (Lin *et al.*, 2001; Yu *et al.*, 2003; Brooks *et al.*, 2006; Heng *et al.*, 2007; Table 1.2). In agreement with the human disorder in which the age of onset and severity of the symptoms are characterised by the number of CAG repeats, the Hdh^{Q150} mouse model of HD displays a phenotype that is more severe than the aforementioned knock-in models. Nuclear huntingtin immunoreactivity is found at 27–29 weeks. At approximately 40 weeks of age, some striatal neurons express NIIs which gradually disperse so much so that, by 70 weeks of age, NIIs are expressed by most striatal neurons. The Hdh^{Q150} mice display increased striatal axonal degeneration at 14 months when compared to wild type (Yu *et al.*, 2003).

At 100 weeks, homozygous Hdh^{Q150} mice exhibit weight loss, decreased motor activity, impaired performance on the accelerating rotarod, abnormal gait, clasping and abnormal performance on the balance beam (Heng *et al.*, 2007). Tests to assess the cognitive performance demonstrated that Hdh^{Q150} mice show impairments in performance in a test of attention at 26 weeks (Brooks *et al.*, 2006).

1.2.3: Summary

As stated previously, as the mutant *huntingtin* is under the control of the mouse promoter and there are only two *huntingtin* copies in these animals, knock-in models most accurately represent the human disorder. The behavioural deficits are not as pronounced in the knock-in models when compared to other transgenic models and are difficult to test due to the lack of refined behavioural testing. However, with new and more sophisticated test settings the phenotype of the knock-in models can be studied more carefully to reveal early deficits. The slow progression of the disorder in these animals certainly provides an ideal model for the study of therapeutic interventions. For these reasons I have chosen the Hdh^{Q111} mouse model of HD for this study and aim to characterise both motor and cognitive features in sophisticated test settings as well as to analyze potential electrophysiological deficits. In addition to the homozygous Hdh^{Q111} mice, I will also assess the phenotype of the more clinically relevant heterozygous Hdh^{Q111} mouse model of HD, which has received little attention in previous studies.

Hdh ^{a140} Hdh ^{a150}	Insertion of CAG repeats Construct Insertion of CAG repeats in in the mouse <i>htt</i> gene in the mouse <i>htt</i> gene	Repeats 140 CAG Repeats 150	Ils affected Progressive build up of NII Cells affected nuclear aggregates (14 muclear taining and aggregates (2 months); more widely charbuted in older mice (6 months old) when compared to monormation in monormation with a monormation of the monormal set of the mon	y outgen moe protectassed locomotor Motor impairments Deficitis in rotarod activity (4 weeks) & efformance, clasping, gait activity (12 weeks) Gait abnormalities (4 months) weeks) Gait abnormalities (12 months)	agnition Impairments in novel object Cognition /synaptic Deficits in 2 choice to plasticity deficits discrimination task (26 weeks) efficits discrimination task (26 weeks)	led <i>et al.</i> , 2003, Simmons <i>et al.</i> , 2009. Lin <i>et a</i> l., 2001, Yu <i>et al.</i> , 2003, Heng <i>et al.</i> , 2007,
Hdh ^{a111}	cont of the mouse <i>hht</i> contact with the mouse <i>hht</i> contact was replaced with nutant human excon 1	11 CA(Vo overt striatal NII C neurodgeneration, but tit translocates to the unclears and appears uncluate by 4.5 months LIIS & segregates (17	toturo, to over symptoms up to 17 Motor nonths Abnormalities in pair (24 months)	To reported cognitive C symptoms up to 17 months (Synat conormalities in synaptic lasticity (2 months)	ler et al., 2002; Lynch et Menal
	Construct 89	CAG Repeats 11	NII Cells affected NII Cells affected Provident	m dtor impairments N	Cognition /synaptic M plasticity deficits 5 A P	Wheeler at al., 2000, Wheel
Hdh ⁹⁹²	exon 1 of the mouse <i>likit</i> gene was replaced with mutant human exon 1	92	No overt striatal neurodegeneration, but htt translocates to the nucleus by 4.5 months	No overt symptoms	No overt symptoms	Wheeler et al., 2002
	Construct	CAG Repeats	NII Cells affected	Motor impairments	Cognition /synaptic plasticity deficits	Wheeler <i>et al.</i> , 2000; ; V
Hdh ^{a 80}	endogenous mouse <i>htt g</i> ene replaced with extended CAG repeats	80	None	None	No cognitive features tested. Impairments in symptic plasticity (8-14 months).	3, Usdin <i>et al</i> , 1999.
	Construct	CAG Repeats	NII Cells affected	Aotor impairments	Cognition synaptic plasticity deficits	Shelbourne <i>et al.</i> , 1995

Table 1.2 : Knock-in models of Huntington's disease [Abbreviations : htt - mouse hustingtin gene, CAG - glutamine]

2: The hippocampus and synaptic plasticity

2.1: Introduction

Damage to the hippocampus is considered to contribute to the early cognitive deficits associated with HD (Rosas *et al.*, 2003). In addition, forms of synaptic plasticity such as long-term potentiation (LTP), considered to be a cellular correlate of memory (see section 2.3), have been widely studied in the hippocampus, and decreases in the magnitude of LTP in this brain region are thought to be associated with the cognitive deficits seen in mouse models of HD (Usdin *et al.*, 1999; Murphy *et al.*, 2000; Lynch *et al.*, 2007; Simmons *et al.*, 2009; see section 6.1). The following sections will describe the anatomy of the hippocampus and molecular mechanisms of synaptic plasticity.

2.1.1: Structure and anatomy of the hippocampus

The hippocampus is a brain region that is important in memory processes, including the retention of spatial information and memory for recent events. The role of the hippocampus in memory was brought to light in a landmark study by Scoville and Milner (1957). In this study, patient Henry Gustav Molaison (1926 – 2008), known worldwide only as H.M, underwent a bilateral removal of the medial temporal lobe, including the hippocampus, as a treatment for epilepsy. However, following the operation, H.M. developed severe anterograde and a partial retrograde amnesia (for more details see section 3.1.2). Interestingly, two additional case studies also underwent a bilateral medial temporal lobectomy. However, in these cases the hippocampus was spared. In contrast to H.M, short- and long-term semantic and episodic memory was spared when the hippocampus remained intact (Scoville and Milner, 1957). This

landmark study therefore demonstrated the importance of the hippocampal region in certain aspects of memory, in particular episodic memory (see section 3.1.2).

The primary input to the hippocampus is *via* the entorhinal cortex (EC) which projects to the dentate gyrus (DG) through the perforant pathway. The dentate gyrus projects to the CA3 *via* the mossy fibres, which in turn innervates the CA1 *via* the Schaffer collateral pathway known as the "trisynaptic" circuit (Anderson *et al.*, 1971; Amaral and Witter, 1989; Amaral & Lavenex, 2007). This tri-synaptic pathway is primarily unidirectional (Figure 2.1). The CA1 neurons project to both the subiculum and to the deeper layers of the EC projecting widely to other brain regions including the temporal and frontal cortex, the amygdala and thalamus (Amaral *et al.*, 1991; Amaral & Lavenex, 2007). Although the primary granule cells and pyramidal neurons are glutamatergic, the hippocampus also contains a network of GABA-ergic interneurons which modulate neuronal transmission (Amaral & Lavenex, 2007; Kasugai *et al.*, 2010).

2.2: Synaptic plasticity in the hippocampus

Synaptic plasticity is the alteration of the efficiency of synaptic transmission, which may involve increases, or decreases in synaptic strength. Short-term forms of plasticity include paired-pulse facilitation (PPF), which occurs on the scale of hundreds of milliseconds, and post-tetanic potentiation (PTP) which may last several minutes (Bliss *et al.*, 2007). Long-term forms of plasticity include long-term potentiation (LTP) and depression (LTD) that manifest as sustained increases and decreases in synaptic strength respectively and may last for several hours or longer (Bliss *et al.*, 2007).



Figure 2.1: A sagittal section of the rodent hippocampus. Input emanates from the entorhinal cortex via the perforant path where it subsequently synapses with DG granule cells. The granule cells project to the CA3 region via the mossy fibres and then synapse with the pyramidal cells in this region. CA3 pyramidal cells then project to the CA1 pyramidal cells via the Schaffer collateral-commissural pathway.

2.2.1: LTP: A historical perspective

At the end of the 19th Century, neurobiologists recognised that the number of neurons in the adult brain did not significantly increase with age, leading to speculation that memories did not result from the production of new neurons. In 1894, Santiago Ramon y Cajal suggested that learning results from strengthening the connections between existing neurons to improve the effectiveness of their communication (see Kandel, 2009). The Hebbian Theory introduced by Donald Hebb in 1949 (Cooke and Bliss, 2006; reviewed in Bi and Poo, 2001) echoed these ideas, speculating that cells may grow new connections, or undergo metabolic changes to enhance their ability for communication:

'...when an axon of cell A is near enough to excite a cell B and repeatedly or persistently takes part in firing it, some growth process or metabolic change takes place in one or both cells such that A's efficiency, as one of the cells firing B, is increased' (for review, see Bi and Poo, 2001).

LTP was first discovered in the synapses between perforant path fibres and granule cells of the rabbit hippocampus (Bliss and Lømo, 1973). A single pulse of electrical stimulation to fibres of the perforant pathway caused the generation of excitatory postsynaptic potentials (EPSPs) in the cells of the DG. It was discovered that the postsynaptic cells' response to the single-pulse stimuli could be enhanced for a long period of time if a high frequency train of stimuli was delivered to the presynaptic fibres (Bliss and Lømo, 1973). The application of high-frequency stimulation (HFS) produced a long-lived enhancement of the response of the postsynaptic cell to subsequent singlepulse stimuli.

Since the discovery of LTP in the rabbit hippocampus, this form of synaptic strengthening has been observed in many pathways in the brain (reviewed in Cooke and Bliss, 2006). In the two most commonly studied pathways, the Schaffer collateralcommissural pathway and the perforant pathway, induction of LTP is dependent upon the activation of the NMDA receptors (Collingridge et al., 1983; Figure 2.2). Under normal basal conditions the NMDA receptor is subject to a voltage-dependent Mg^{2+} block and so a low frequency stimulus to the Schaffer collateral pathway results in the generation of an AMPA receptor-mediated EPSP (Collingridge et al., 1983; Davies and Collingridge, 1989; reviewed in Cooke and Bliss, 2006). However, in the presence of the enhanced glutamate release that occurs at higher frequencies of stimulation, the influx of Na⁺ through postsynaptic AMPA receptors results in a sustained depolarisation of the postsynaptic spine, consequently relieving the NMDA receptor Mg²⁺ block, allowing Ca^{2+} influx and the activation of Ca^{2+} -dependent enzymes (Cooke and Bliss, 2006). The importance of Ca^{2+} in the induction of LTP was confirmed by Lynch and colleagues who demonstrated that injection of a Ca²⁺ chelator into CA1 pyramidal cells blocks the induction of LTP (Lynch et al., 1983).

Inhibitory GABAergic interneurons which act on the postsynaptic spine usually act to limit the depolarisation by curtailing the EPSP, however the sustained depolarisation caused by the LTP induction protocol, activates inhibitory GABA_B autoreceptors which subsequently leads to a reduction in GABA-mediated hyperpolarisation of the postsynaptic cells (Davies & Collingridge, 1996). In addition, α_5 -GABA_A receptors (see section 4.2.1) are located extrasynaptically at the base of the spines, which receive excitatory glutamatergic input *via* NMDA receptors. Thus, GABA_A receptors containing the α_5 -subunit are strategically located to modulate the processing of the excitatory input (reviewed by Möhler, 2007).



Figure 2.2: Mechanisms of LTP. A) Low frequency stimulation of the presynaptic cell releases glutamate (L-Glu) that then acts on AMPA receptors to evoke an EPSP. Low frequency stimulation also activates GABAergic interneurons, which release GABA that subsequently activates initially GABA_A and then GABA_B receptors, leading to the generation of an IPSP, which curtails the EPSP. a_5 -GABA_A receptors are located extrasynaptically at the base of the spines, which receive excitatory glutamatergic input via NMDA receptors. At resting membrane potentials NMDA receptors are subject to Mg²⁺ block and therefore provide little contribution to the synaptic response. B) High frequency stimulation results in the sustained depolarisation of the presynaptic cell, thus relieving the Mg²⁺ block of the NMDA receptors, while the persistent release of glutamate during the tetanus enhances their probability of opening. GABA-mediated synaptic inhibition is reduced, thereby shifting the excitatory/ inhibitory balance. The NMDA receptor Mg²⁺ block is further reduced, causing the NMDA receptor-mediated EPSPs to summate, generating LTP (Figure based on Bliss and Collingridge, 1993 and modified).

2.2.2: Paired-pulse facilitation

Paired-pulse facilitation (PPF) occurs at CA1 hippocampal synapses when two presynaptic stimuli are given within 50 – 500 ms of each other and enhances the probability that neurotransmitter will be released (Debanne *et al.*, 1996). The amplitude of the second synaptic response recorded is typically greater than that of the first stimulus. PPF is largely due to presynaptic mechanisms, in particular the transient increase in Ca²⁺ generated by an incoming action potential (Schulz *et al.*, 1994). The residual Ca²⁺ remaining in the terminal after the first stimulus is combined with the Ca²⁺ influx occurring from the second stimulus, thereby resulting in an enhancement in the magnitude of the second synaptic response. The magnitude of PPF is linearly related to the concentration of residual Ca²⁺ within the terminal (Wu and Saggau, 1994).

2.2.3: The induction of LTP

In contrast to the *in vivo* preparations where the DG offers the most stable recording conditions, *in vitro* LTP has been most extensively studied in the Schaffer-commissural pathway. The hippocampal brain slice preparation (Skrede and Westgaard, 1971) has been a key experimental tool in advancing the understanding of both the pre- and post-synaptic mechanisms underlying LTP. The orderly nature of the neuronal cell bodies and zones of conductivity of the hippocampus allow electrophysiological recordings to be obtained from well-defined anatomical layers. Furthermore, stable intra- and extracellular recordings can be maintained for long periods of time thereby allowing rapid pharmacological manipulation of the extracellular environment. As a result, the CA1 of the hippocampus has become the prototypical site of mammalian LTP study (Cooke and Bliss, 2006).

LTP has traditionally been induced by delivering a tetanic stimulus (a train of 50-100 stimuli at 100 Hz or more) to the pathway of interest (Bliss and Collingridge, 1993). Additionally, LTP can also be induced by patterns of stimulations based on theta rhythms known to occur physiologically in the hippocampus of animals during learning (Bliss & Collingridge; 1993; Otto *et al.*, 1991). LTP is expressed as a persistent increase in the size of the synaptic component of the neurally evoked response, recorded from individual cells, or a population of neurons. The increase in synaptic strength can be evaluated in terms of changes in the amplitude, or the slope of the extracellularly recorded field EPSP (fEPSP).

2.3: LTP and memory

Although LTP has primarily been studied in the hippocampus, it is also apparent in other regions of the brain such as cerebral cortex, cerebellum and amygdala in a variety of species (Rioult-Pedotti *et al.*, 2000, Coesmans *et al.*, 2004; reviewed in Cooke and Bliss, 2006). In the hippocampus, LTP has been proposed as a cellular and molecular correlate of learning and memory. A number of studies in rodents have correlated deficits in spatial memory with reductions in LTP both *in vitro* and *in vivo* (Morris *et al.*, 1986; Tsien *et al.*, 1996; Tang *et al.*, 1999; Whitlock *et al.*, 2006).

In 1986 Richard Morris and colleagues provided some of the first evidence that there was an association between spatial memory and hippocampal LTP. The spatial memory of rodents can be assessed in the MWM test (Morris *et al*, 1986). In this test rodents are trained to find a hidden platform in an opaque pool of water, then the platform is removed during a spatial transfer test. Normal rats exhibit a memory for the original position of the platform and therefore spend more time swimming in that location.

During a reversal test the platform is moved to another location in the pool and the ability of the rodent to learn the new position is assessed. Morris *et al.* (1986) demonstrated that rats that were chronically infused with the NMDA receptor antagonist aminophosphonovaleric acid (AP5) *via* a cannula inserted into the right ventricle prior to training, took longer to locate the platform, and took more indirect routes when compared to the control group. Furthermore, in contrast to control animals, rats in the AP5 group were able unable to learn the new position of the platform during the reversal test. Importantly, if rats were trained in the MWM prior to intra-ventricular infusion with AP5, they performed as well as controls, indicating an involvement of NMDA receptors in processing and storage of information (Morris *et al.*, 1989). Moreover, AP5 inhibited the induction of *in vivo* hippocampal LTP, indicated a role of NMDA receptors in LTP induction (Morris *et al.*, 1986).

As AP5 was delivered to the entire brain, it is possible that NMDA receptors in the neighbouring neocortex and other brain regions were also inhibited to a varying degree. However in support of a causative association between CA1 hippocampal LTP and spatial memory, Tsien *et al.* (1996) developed and utilised a mouse in which the gene that encodes the essential subunit for the NMDA receptor, the NMDAR1 subunit (Moriyoshi *et al.*, 1991), was exclusively deleted from the CA1 pyramidal cells in the mutant mouse (CA1-KO). Consistent with the knock out of the NMDA gene, whole-cell patch clamp techniques were utilised to demonstrate that the CA1-KO cell lacked the slow component of the excitatory postsynaptic current that is normally attributed to the NMDA receptor, whereas the early faster component, mediated through the AMPA receptor was intact (Tsien *et al.*, 1996). Although basal synaptic transmission appeared normal in the CA1-KO mice, the application of tetanic stimulation failed to produce

LTP. In addition, it was evident that the CA1-KO mice were unable to demonstrate spatial learning in the MWM task (Tsien *et al.*, 1996). Furthermore, when a transfer test was performed, in comparison to the control animals, CA1-KO mice did not demonstrate preference for the original position of the platform, further indicating deficits in spatial memory (Tsien *et al.*, 1996).

In addition, non-spatial learning and memory has also been linked to LTP (Tang et al., 1999). In this study, transgenic mice were generated in which the NMDA receptor 2B (NR2B) was overexpressed in the forebrain. Previously it has been shown that inhibition of the NMDA receptors prevents the induction of LTP (Bliss and Collingridge, 1993; Morris et al., 1986). Although NR2B-containing receptors have been shown to exist at both synaptic and extrasynaptic locations (Thomas et al., 2006), specifically, it has been demonstrated that only synaptic NMDA receptors are required for LTP, whilst LTD relies on both synaptic and extrasynaptic NMDA receptors (Papouin et al., 2012). In agreement with increased NR2B expression, Tang et al. (1999) demonstrated that the isolated NMDA-receptor-mediated field responses were significantly greater in the transgenic mice when compared to control, while the AMPA-mediated field EPSP responses remained unchanged. Furthermore, following tetanic stimulation, the magnitude of LTP was significantly greater in recordings made from brain slices derived from the transgenic mouse and this enhanced LTP could be blocked by the application of AP5 (Tang et al., 1999). Tang et al. (1999) subsequently subjected the NR2B transgenic mice to a series of non-spatial tests. The mice were initially tested in the novel-object-recognition task (for more detail, see section 3.4.1). Results showed that, at the retention interval of 1 hour, both control and transgenic animals showed similar preference for the novel object. However, when the retention

interval was increased to 1 or 3 days, the transgenic animals exhibited a higher preference for the novel object when compared to control, indicating that the transgenic mice have enhanced long-term memory (Tang *et al.*, 1999). In addition, Tang and colleagues (1999) assessed the effect of increased NR2B expression on contextual (hippocampus-dependent) and cued fear (hippocampus-independent) conditioning. Results showed that transgenic animals demonstrated heightened contextual fear conditioning (Tang *et al.*, 1999). In summary, this study demonstrates that over-expression of the NR2B subunit significantly enhances LTP and improves learning in the novel object recognition and fear conditioning tasks, indicating a role of LTP in non-spatial learning (Tang *et al.*, 1999).

Although the aforementioned studies have provided a link between LTP and memory, it was not until 2006 that direct evidence demonstrated that hippocampal LTP is actually induced by learning. In a study by Whitlock *et al.* (2006), rats were tested in the hippocampal-dependent one-trial inhibitory avoidance (IA) task. Previous studies have shown that the IA task creates a memory trace and increased gene expression within the CA1 region of the hippocampus after only 1 trial (Impey *et al.*, 1998; Taubenfield *et al.*, 2001). During the IA trial, the rats were placed within a light/ dark box, in which a foot shock was administered following entry into the dark side. Memory was quantified by measuring the subsequent avoidance of the dark chamber. Whitlock and colleagues (2006) demonstrated that, in common with LTP, acquisition of the avoidance response required the activation of NMDA receptors and the insertion of AMPA receptors into the synapse (Heynen *et al.*, 2000). In agreement, Whitlock *et al.* (2006) also demonstrated that IA-trained animals showed increased protein levels of the GluR1 and GluR2 subunits of the AMPA receptor. Whitlock *et al.* (2006) used the

phosphorylation of serine residues in the GluR1 subunit of the AMPA receptor as a biomarker for LTP and demonstrated increased phosphorylation following IA training. Furthermore, this increased phosphorylation could be blocked by injection (i.p.) with an NMDA receptor antagonist (Whitlock et al., 2006). Whitlock et al. (2006) also examined fEPSPs in the CA1 region of the hippocampus and demonstrated that IA training produces an enhancement of fEPSP slope in vivo. In addition, in a small group of animals HFS was applied following IA training in an attempt to introduce saturating levels of LTP and it was determined that fEPSPs that showed enhancement following IA training showed less subsequent LTP in response to HFS. In summary, this study has demonstrated that IA training mimics the effects of HFS and causes an NMDA receptor dependent increase in AMPA receptor phosphorylation, delivery of AMPA receptors to the membrane and the subsequent increase in fEPSP slope. Furthermore the IA-induced increases in fEPSP slope occlude subsequent LTP by HFS in vivo. Collectively, these data suggests that IA-training induced LTP in the CA1 region of the hippocampus, providing further evidence for the link between LTP and memory (Whitlock et al., 2006).

<u>3: Episodic memory</u>

3.1: Introduction

Cognitive decline is one of the major symptoms of HD. Specifically, deficits in short/ long term recognition and episodic memory have been demonstrated in human patients (see section 6.1.1) and mouse models of HD (see section 6.1.2). Furthermore, these deficits have been linked to changes within the hippocampus (Rosas *et al.*, 2003). This section will therefore discuss the role of the hippocampus in memory, focusing in particular on recognition and episodic memory.

3.1.1: What is memory?

Memory is the storage and retrieval of information and experiences. Waugh and Norman (1965) segregated memory into primary (short term) and secondary (long term) memory. Primary memory was subsequently labelled as working memory (Baddeley and Hitch, 1974). During working memory, information is held transiently by the brain (short-term memory), long enough to make a decision, and is either consolidated by the brain for future retrieval (long-term memory), or lost. An example of working memory is memorising a phone number from a phone book immediately prior to dialing. Once dialing has been completed the numbers are forgotten. Long term memory includes both procedural (skill learning) and declarative (fact learning) aspects of memory. Procedural memory involves the unconscious storage of knowledge about the ability to perform a motor, or perceptual task, as in driving a car, or playing the piano. Once the skill has been learned over repeated practice sessions, these tasks can be completed implicitly, but are not necessarily easy to explain explicitly. On the other hand, declarative memories are learned experiences that are accessible through a conscious awareness and encompass the ability to explicitly communicate facts and events to others.

Declarative memory is made up of semantic and episodic memory (Tulving, 1972). Semantic memory is based on facts: knowledge about the world is registered and stored until retrieval is required. An example of semantic memory could be 'Toyota manufactures cars'. This type of memory is explicit and can easily be communicated to others but does not necessarily contain any contextual information about the occasion where this fact was learned. Episodic memory requires an individual to remember a personal experience, *i.e.* 'I have a Toyota Yaris, I drove to St Andrew's last weekend and we had a picnic on the beach'. Episodic memory in humans requires an individual to be consciously aware of their self and their experiences. While a semantic memory is often formed by the repeated learning of facts, an episodic memory can be formed by a single exposure to a stimulus and therefore has not had the repeated exposures required in order to be established as a learned fact.

As mentioned above, human patients (see section 6.1.1) and mouse models of HD (see section 6.1.2) demonstrate deficits in short-long term recognition and episodic memory. In order to fully characterise these early cognitive changes, this thesis will experimentally explore declarative memory, in particular episodic memory, in the Hdh^{Q111} mouse model of HD. Abnormalities within the hippocampus are thought to contribute to the early cognitive deficits in HD (Rosas *et al.*, 2003). The following section will therefore discuss the role of the hippocampus in episodic memory.

3.1.2: The hippocampus and episodic memory

As discussed previously, the role of the hippocampus in memory was determined when patient H.M developed amnesia following the removal of the medial temporal lobe, including the hippocampus (Scoville and Milner, 1957; see section 2.1). Further testing of H.M. revealed that he was unable to form new episodic memories for events (anterograde amnesia). He also was unable to demonstrate episodic memory for the 19 months prior to the surgery (retrograde amnesia) and had impairments in some episodic memories for 3 years prior to the operation. He was able to remember parts of his childhood, however the memories could be considered as semantic as although he was able to give generalised information about his parents he was unable to provide any specific memories of a given episode. This retrograde amnesia fits in with a popular theory that the medial temporal lobe, including the hippocampus, only plays a temporary role in memory. Memory for events that occurred a short time before the damage is impaired, but memory for events that occurred a long time before the damage, *i.e.* H.M.'s childhood, is spared (Alvarez and Squire, 1994). However as time has progressed the definitions of semantic and episodic memory have been refined and it is now doubted that H.M. ever had post-operative episodic memory (Corkin, 2002). It is possible that the memories he had from his childhood were in fact based on personal experiences that were repeated to him by family and friends and could therefore considered to be semantic memories of a personal event. Memories that have been relayed back by 'story-telling' are likely to be retrieved semantically so even the most convincing episodic memories of H.M. are not likely to require the hippocampus for processing. This suggests that although H.M. is unable to demonstrate episodic memory, his semantic memory for facts and events prior to his operation is intact.

Results from patients like H.M. who show retrograde amnesia following damage to the hippocampal complex provide support for the idea of memory consolidation, which proposes that what has been encoded is not instantly made permanent. This led to the multiple trace theory of memory (Nadel and Moscovitch, 1997) which suggests that certain types of memory, in this case episodic memory, are stored in the cortex but the hippocampus and related structures are required for the encoding and recovery of the memory. It was proposed that information is encoded in a distributed group of hippocampal neurons which then act as an index in order to direct retrieval to the correct memory traces in the cortex. In the case of H.M. where some of his 'episodic' memories are in fact semantic memories due to repeated exposure to the same event, reactivation of these memory traces would have resulted in the generation of newly encoded hippocampal memory traces, which are again sparsely distributed throughout the hippocampus. After the hippocampal damage that followed H.M.'s medial temporal lobe resection there is therefore more chance of any remaining hippocampal tissue to contain the index for that specific memory (Moscovitch et al., 2005). This is in contrast to an early theory of the 'standard' model of memory consolidation (Marr, 1971) which states that information is registered in the neocortex and is bound into a memory trace in the hippocampus and related structures in the medial temporal lobes and diencephalon (Squire and Morgan, 1991; Squire, 1992). At first the hippocampus and related structures are required for the storage and recovery of memories but their contribution diminishes as time passes, until the neocortex alone is capable of sustaining the permanent memory trace and mediating its retrieval.

A further study by Vargha-Khadem *et al.* (1997) provided evidence for the role of the hippocampus in episodic but not semantic learning. The view of declarative memory as

a single unitary process suggests that any damage to the hippocampus would result in impairments in both semantic and episodic memory. However the study of patients who had sustained hippocampal damage early in life, before the knowledge base that characterises semantic memory had been established, demonstrated that this was not the case (Vargha-Khadem et al., 1997). All 3 patients involved in the study had normal intelligence and although they were able to demonstrate intact semantic memory as indicated by their ability to attain good general knowledge, all 3 patients were unable to retain information about events of their daily lives (i.e. impaired episodic memory). Deficits in spatial learning meant that they were unable to find their way around well experienced surroundings. Although 'well-experienced' surroundings imply the involvement of semantic memory, it is possible that the processing of spatial memory always requires the hippocampus, regardless of whether semantic or episodic memory is involved. One of the possible explanations of the apparent sparing of semantic memory but the absence of episodic memory in anterograde amnesia is that the basic memory functions of the underlying perirhinal and entorhinal cortices may be sufficient enough to process semantic information but the processing of context-rich episodic memories requires additional processing provided by the hippocampal circuit (Vargha-Khadem et al., 1997).

3.2: Studies of memory dysfunction in animals

Studies in amnesic patients led to animal models of memory dysfunction. In an attempt to replicate the lesions in H.M.'s operation (Scoville and Milner, 1957), Mishkin (1978) performed a complete medial temporal lobe lesion in monkeys. These monkeys were subsequently tested using the hippocampus-dependent delayed non-match to sample (DNMTS) task. In this task the monkey is presented with a sample stimulus. After a short delay, 2 test stimuli are presented, one of which is identical to that seen previously whereas the other is novel and the monkey is rewarded if it is able to correctly identify the novel object. Results showed that monkeys with combined lesions of the hippocampus and amygdala are significantly impaired in their ability to identify the novel object in this task when compared to control animals (Mishkin, 1978). In agreement with a lack of memory impairments in a patient with bilateral lesions of the amygdala in the study of Scoville and Milner (1957), monkeys with lesions of amygdala were unimpaired in the DNMTS task (Mishkin, 1978). In addition, although no such equivalent information existed in amnesic patients, monkeys with hippocampal lesions were also unimpaired in the DNMTS task (Mishkin, 1978). With the knowledge of episodic memory that is available today, the lack of impairment following hippocampal lesions could indicate that the DNMTS task is not an accurate model of episodic memory but as there was no available data at the time to contradict the findings of Mishkin (1978) it was concluded that damage to both the amygdaloid and hippocampal systems was necessary to produce memory deficits and, in the case of the memory impairments of H.M, both systems were connected (Mishkin, 1978).

However, later studies indicated that the technique used to produce the lesions in the original study by Mishkin (1978) had not only ablated the amygdaloid and hippocampal systems, but also the majority of the rhinal cortices too (Murray and Mishkin, 1986). Subsequently experiments were performed in which only the rhinal cortices were removed. Results indicated that it was in fact the perirhinal cortex that was critical for the successful completion of the DNMTS task. If lesions were restricted to the hippocampus and did not extend to the rhinal cortices, both monkeys (Meunier *et al.*,

1993) and rats (Otto and Eichenbaum, 1992) were capable of identifying the novel object, emphasising the role of the perirhinal cortex in the DNMTS task.

During the subsequent years, research focussed on the development of tests that would more accurately model episodic memory. Gaffan (1991) believed that the organisation of spatial stimuli was a critical determinant of human episodic memory. In humans, individual episodic memories can be discriminated between by reconstructing the scene in which that specific memory had occurred *i.e.* if an individual has lost their car keys they would picture the scene where they had last had the keys in order to locate them. As a result Gaffan's scene memory followed the DNMTS task as the next model of episodic memory (Gaffan, 1994). In this study monkeys were presented with complex visual scenes after which they received lesions of the fornix. Results indicated that, after surgery, the monkeys were unable to recognise the visual scenes they had experienced before their fornix lesion. In addition, the fornix-lesioned monkeys were impaired in their ability to learn new scenes after surgery when compared to control animals. Further studies in more controlled conditions suggested that it was in fact the associations of objects and their positions in their environment that was sensitive to lesions of the fornix (Gaffan and Harrison, 1989). This deficit is also present in rats with lesions of the hippocampus and will be discussed in more detail later (Ennaceur et al., 1997; Mumby et al., 2002; see section 3.4.3).

3.3: Do animals have episodic memory?

It has long been a question whether non-human species have the ability to demonstrate episodic memory. Everyone remembers where they were when the Twin Towers came down; your semantic memory would tell you that it happened on September 11th 2001

in New York City, whereas your episodic memory would bring back memories of coming out of your English class to find all the teachers crowded round the television in the Geography department to watch the news unfold. However it has long been debated as to whether or not animals possess the same kind of ability to mentally travel back and forward in time that humans take for granted. Developmental psychologists have argued that young infants do not have a conscious sense of self until the age of 4 and it has been suggested that although these children, like animals, are able to learn the sequence of ordered events, they are "unable to represent events as happening in unique temporal locations in their past" (McCormack & Hoerl, 1999). Mackintosh (1983) suggested that, although it was little understood, animals had the ability to "represent different attributes of their environments, to respond in terms of spatial, and even of abstract relationships between events, to store and rehearse information for later use." The guarded nature of this sentiment was echoed by Tulving (1983) who suggested that episodic memory was a uniquely human attribute and could not be possessed by animals. Although Tulving's view point was more tentative in 1983, by 1998 he stated that "episodic memory is not for the birds but for man" (Tulving & Markowitsch, 1998). They argue that animals are able to learn about the relationships between stimuli and events from specific episodes without having to encode temporal information that enables animals to locate these episodes in the past. Macphail (1982, 1998) held a rather sceptical view of the similarities between the memory processes of man and animals and presented arguments for doubting that animals possessed a sense of consciousness that allows them to mentally time travel, known as autonoetic consciousness. However as the world is full of unexpected dangers, e.g. predators, it could be considered beneficial for an animal to evolve in such a way that it develops a mechanism to enable the understanding of the world and its behaviours. Although this behaviour could be

considered to be implicit, it would be beneficial for the animal to encode, store and recollect information explicitly, *i.e.* the ability to identify a selection pressure and to react accordingly. He also argued that animals lack the knowledge of an overt language and due to the lack of agreed non-linguistic behavioural markers of conscious experience it makes it difficult to demonstrate episodic memory in animals. However one could argue that partaking and the subsequent recollection of an experience should be independent of the communication of the event to others, so knowledge of language should not be necessary for the possession of episodic memory.

Tulving's (1972) original definition stated that episodic memory 'receives and stores information about temporally dated episodes or events, and temporal-spatial relations among these events'. Therefore episodic memory combines information about the 'what' and 'where' of events as well as adding another dimension of 'when' the event occurred (temporally dated experiences). In episodic memory it is not only important that one is able to indicate a knowledge of the 'what', 'where' and 'when' but one must also be able to make a connection between the three features. This is particularly important as multiple events can occur in the same location but one must be able to separate each event according to 'what' happened and 'when'.

A landmark paper (Clayton & Dickinson, 1998) showed that scrub jays were in fact able to display the 'what', 'where' and 'when' of memory in an overt behavioural phenotype, in a phenomenon known as 'episodic-like' memory. Scatter hoarding birds scatter seeds across a large territory and rely on their memory to retrieve their hoards. Clayton and Dickinson's study utilised the food caching ability of scrub jays, which are able to remember their cache sites and do not return to sites from which the food has already been retrieved.

In order to test whether the scrub jays had episodic-like memory the 'degrade' group were given perishable food (mealworms) and non-perishable food (peanuts) to cache in plastic, sand-filled ice-cube trays that served as individual caching sites. The scrub jays were given one type of food (*e.g.* mealworms), and another food (*e.g.* peanuts) 120 hours later to cache, followed by hoard retrieval 4 hours later. The birds showed a preference to cache, recover and eat perishable food while it was still fresh, and preferred mealworms to peanuts. If a long time had passed since their caching (124 hours) the scrub jays soon learned to ignore the mealworms and instead retrieve the non-perishable peanuts. If the food was presented in a different order, the scrub jays learned that the mealworms would still be fresh and therefore would search preferentially in the mealworm locations and ignore the peanut cache sites (Clayton & Dickinson, 1998).

Although the scrub jays in the 'degrade' group were able to recognise the trays in which they had cached the mealworms and peanuts earlier, it is possible that they had solved the task based on familiarity and had not necessarily remembered the 'where', 'what' and 'when' required for episodic-like memory. Therefore the performance of the 'degrade' group was compared to a control 'replenish' group which had never experienced degradation of their preferred choice of mealworms. As predicted, the scrub jays searched preferentially for the mealworms, regardless of when they had been cached, demonstrating that the scrub jays in the original 'degrade' group were not just searching the most familiar site. This series of experiments showed that the birds were able to successfully remember the 'what', 'where' and 'when' of their food caching, thereby fulfilling Tulving's criteria for episodic-like memory.

Bird et al. (2003) attempted to recreate Clayton and Dickinson's scrub jay experiment in the common laboratory rat (Norway rat, Rattus norvegicus) in which rats were allowed to hide food items on an 8-arm radial maze (Olton and Samuelson, 1976). It had been shown previously that rats will carry items of food from the radial arms to produce a hoard at the centre of the maze (Whishaw And Tomie, 1989). The study by Bird et al. (2002) hypothesised that if rats could be persuaded to do the opposite and carry food from the centre of the radial maze to different arms then their memory for these storage locations could be assessed. Rats were placed within the centre of the radial maze and quickly learned to carry 4 pieces of food (cheese or pretzels) down the radial arms of the maze. Furthermore, rats also learned to retrieve the food items and, after a $1 \min - 24$ hour delay, showed a preference for returning accurately to arms in which they had stored food compared to arms where they had not. Like the scrub jays in Clayton and Dickinson's study (1998) rats showed a food preference and searched selectively for cheese over pretzels, searching preferentially in the arms where they had previously stored cheese. In order to assess the 'when' component of episodic memory, Bird et al. (2003) attempted to teach the rats that cheese would be degraded after an hour, but edible 25 hours later. However unlike the scrub jays (Clayton and Dickinson, 1998), the rats failed to show any evidence for memory for 'when' they had hidden different types of food, as demonstrated by their inability to switch preference to pretzels during trials where the cheese had degraded. However the validity of this test can be questioned as a rat with intrinsic knowledge of degradation would know that it is nonsensical for food that is degraded after 1 hour to be edible after 25 hours. In the

study by Clayton and Dickinson (1998), the scrub jays were divided into 'degrade' and 'replenish' groups in which the latter group had never experienced degradation. It would perhaps have been more beneficial if Bird *et al.* (2003) had replicated this study more closely to more accurately measure the ability of the rats to display episodic-like memory.

3.4: Using the intrinsic novelty seeking behaviour of rodents to investigate memory

As mentioned above, Bird *et al.* (2003) were unable to demonstrate episodic-like memory in rats using a paradigm based in Clayton and Dickinson's scrub jay study (1998). However, the spontaneous novelty exploration paradigm has been successfully used to examine recognition memory in rats, and has been extended in order to assess episodic memory. These tests will be described in more detail in the following section.

3.4.1: Standard novel object recognition task

Ennaceur and Delacour published a landmark paper in 1988 describing a behavioural paradigm used to assess a rat's differential exploration of familiar and novel objects. Rats have an innate capacity to show preferential exploration of novel objects when compared to familiar objects, and this preference can be used as an index of memory. The standard novel object recognition task consisted of an exposure (sample) phase, a retention interval (between 1 minute – 24 hours), followed by a test phase (Figure 3.1A). During the sample phase, 2 identical objects (A_1 and A_2) were placed in the back corners of the arena. The rat was placed within the arena and exploration at each of the objects was assessed for 3 minutes after which the rat was returned to a separate holding

box. After a retention interval, the rat was returned to the testing box which contained an object that was identical to that seen previously (A_3) and a new object that the rat had not encountered before (B_1) . The rat was considered to have 'remembered' the previously encountered objects if more time was spent exploring the novel object. As this test relies on the spontaneous exploration of novelty it does not require multiple training trials and therefore has no 'reference memory' component such as learning which alternatives belong to a rewarded set and those that are always unrewarded. In addition it is not based on positive or negative reinforcers such as food or electric foot shocks that could otherwise make the interpretation of the results difficult and misleading, as it is possible that reinforcers could modify the natural behaviour of the animal. Due to these reasons, this standard novel object recognition task designed by Ennaceur and Delacour (1988) has become a favoured method for assessing memory in rodents and has provided a useful basis for the testing of more complex forms of memory.

Research over the years has been to establish the differential roles of the hippocampus and the surrounding cortical regions in memory. The hippocampus, known to have a critical role in learning and memory, communicates with other brain regions via the fornix and via parahippocampal regions *e.g.* the perirhinal and postrhinal cortices. Various studies have assessed the effects of lesions in the perirhinal/ postrhinal cortices (Ennaceur *et al.*, 1996; Bussey *et al.*, 2000; Figure 3.1A), fornix (Ennaceur *et al.*, 1996; Figure 3.1A) or hippocampus (Mumby *et al.*, 2000 - Figure 3.1B; Langston and Wood, 2010 - Figure 3.1A) on performance in the spontaneous novel object recognition task (Ennaceur and Delacour, 1988). Results showed that although intact and fornix/ hippocampus lesion rats were able to correctly identify the novel object in this task (Ennaceur *et al.*, 1996; Bussey *et al.*, 2000; Mumby *et al.*, 2002, Langston and Wood, 2010), animals with perirhinal/ postrhinal cortex lesions (Ennaceur *et al.*, 1996; Bussey *et al.*, 2000) were impaired relative to control. Taken together, the findings of these studies have indicated a role of the perirhinal cortex, but not the hippocampus/ fornix in the standard novel object recognition task (Ennaceur *et al.*, 1996; Bussey *et al.*, 2000; Mumby *et al.*, 2002, Langston and Wood, 2010). As the hippocampus and fornix are components of a single functional circuit, it is often assumed that damage at any point in the circuit should have similar effects on memory. Although some signals leave the hippocampus *via* the fornix, in rats and monkeys the hippocampal formation also sends major projections to the entorhinal cortex (Zola-Morgan *et al.*, 1989). In addition, the entorhinal cortex. Thus, it is important to remember that damage to the fornix does not necessarily impair information processing in the same way as direct damage to the hippocampus (Zola-Morgan *et al.*, 1989).

The lack of impairment in animals with hippocampal/ fornix lesions in the standard novel object recognition task (Ennaceur *et al.*, 1996; Bussey *et al.*, 2000; Mumby *et al.*, 2002; Langston and Wood, 2010) indicates that, under many conditions, the hippocampus is not required for novel object recognition. However, it must be emphasised that it has been found that, at long delays, novel object recognition can be dependent on the hippocampus. Several studies have assessed the memory of rats with lesions of the hippocampus or fornix at 5 different delay intervals, ranging from 10 seconds to 24 hours (Clark *et al.*, 2000; Broadbent *et al.*, 2004; Ainge *et al.*, 2006). It was found that all the operated rats performed similarly to control rats at the shorter retention times (10 seconds and 1 minute), but were impaired with respect to control

animals across longer delays (10 minute, 1, 3 and 24 hours; Clark *et al.*, 2000; Broadbent *et al.*, 2004; Ainge *et al.*, 2006). During the trials with the longer retention intervals the animals are returned to their home cages. It is possibly the case that when the animals are given the opportunity to sleep between trials, the hippocampus plays a more important role in the novel object recognition task, therefore perhaps explaining the differences between the short- and long-term novel object recognition tasks following fornix/ hippocampal lesions. A 24 hour novel object recognition task will be used to assess the integrity of the hippocampus in the Hdh^{Q111} mouse model of HD (section 6.2.3 and 6.6).

3.4.2: The 'what', 'where' and 'which' of episodic memory

Tulving's original (1972) definition focused on 3 key features of episodic memory, the 'what', 'where' and 'when'. Clayton and Dickinson (1998) stated that the 'when' component of episodic memory is particularly important: two individual episodes could potentially share the same 'what' and 'where' components, they cannot share the same 'when'. It has proved difficult to assess the ability of animals to keep track of time and limited evidence exists that animals are capable of using more than relative familiarity to judge how long ago an event occurred. However in response to Clayton's argument it is also possible to state that since any given episode cannot possibly have happened at the same time as another, it is therefore not necessary to encode an exact temporal marker for each event. As a result Eacott and Norman (2004) suggested that the 'when' part of Tulving's criteria may not be critical for the demonstration of episodic-like memory in animals. They instead developed a new triad for the criteria of episodic memory that consisted of 'what', 'where' and 'which', with the 'which' providing a novel contextual environment as a unique discriminator for events, *e.g.* what event

happened, where the event occurred, and any contextual information *e.g.* the weather. Eacott and Norman (2004) subsequently designed a task, based on Ennaceur and Delacour's (1988) standard novel object recognition task to examine a rat's ability to demonstrate memory for objects, their spatial position and the context in which they appeared, and showed that rats were in fact able to display memory for the new 'what', 'where' and 'which' triad.

In the study by Eacott and Norman (2004) rats were exposed to different objects ('what') in different locations ('where') in different testing boxes ('which'). In this task, the arena for the object-place-context task could be configured to provide 2 different contexts (X and Y). The object-place-context task consisted of 2 sample phases (sample 1 and sample 2) followed by a test phase (Figure 3.11). In sample 1 the arena that was configured as Context X and contained 2 different objects (A_1 on the right and B_1 on the left). In sample 2 the arena was configured as Context Y and contained the objects seen in sample 1 but the object identity at each location was reversed (B_2 on the right and A_2 on the left). After a retention interval, the rat was placed back into the arena for the test phase in which the arena was configured as either Context X or Y, and contained 2 identical copies of one of the objects seen in the sample phases (A_3 and A_4 or B_3 and B_4). As a result one of the objects is in a novel configuration of place and context which has not been seen in either of the previous sample phases.

In addition, if the object-place-context task (Figure 3.1I) is a measurement of episodiclike memory then it could be predicted that performance in this task should be impaired by lesions within the hippocampal system. Subsequently, studies have assessed the effect of perirhinal/ postrhinal cortices or fornix/ hippocampal lesions on performance in the object-place-context task (Eacott and Norman, 2004; Langston and Wood, 2010). Only rats with the fornix/ hippocampal lesions were impaired in their ability to integrate the 'what', 'where' and 'which' components required for episodic-like memory (Eacott and Norman, 2004; Langston and Wood, 2010). These studies therefore emphasise the need for the hippocampus in the integration of multiple features of an event. Specifically, it has been demonstrated that the encoding of object, place and context information is unaffected by lesions of the hippocampus, thereby inferring that it is the storage and retrieval of such information that is dependent on the hippocampus (Langston *et al.*, 2010).

Although the integration of object, place and context configuration is required to display episodic memory, it is also important to determine that rodents are also capable of demonstrating memory for the individual components of episodic memory. The next section will therefore discuss the tests designed to assess memory for object in place (recognition that an object has swapped place with another object) and memory for object in context (recognition that a familiar object is in a different context to where it was previously encountered) (Eacott and Norman, 2004; Langston and Wood, 2010).

3.4.3: Extending novelty detection to look at memory for place and context

As stated previously the standard novel object recognition task was extended to include object-place memory (Eacott and Norman, 2004; Langston and Wood, 2010; Figure 3.1C). In the sample phase of the object-place task, 2 objects (A_1 and B_1) were located in the 2 far corners of the box. In the test phase, there were 2 copies of one of the objects seen in the sample phase (*e.g.* A_2 and A_3). Therefore in the test phase, one of the

objects was in a novel object-place configuration. Rats received lesions of the fornix/ hippocampus or were sham operated. In this object-place task both the sample and test phases occur in the same context and there is therefore no requirement to use context as a cue. All rats showed a preference for the novel object-place configuration indicating that the hippocampus is not required to process information about object-place using this protocol (Eacott and Norman, 2004, Langston and Wood, 2010).

However, this result is in contrast to the findings of 3 additional studies which tested rats with hippocampus/ fornix lesions in a test of location memory (Save et al., 1992; Ennaceur et al., 1997; Mumby et al., 2002) (Figure 3.1E and 3.1F). The results of these studies showed that intact animals showed preferential exploration at the object in the novel location, whereas rats that had received lesions of the hippocampus/ fornix failed to discriminate between the novel and familiar object (Save et al., 1992; Ennaceur et al., 1997; Mumby et al., 2002). The requirement for the hippocampus in these studies (Save et al., 1992; Ennaceur et al., 1997; Mumby et al., 2002) could be due to differences in experimental protocol when compared to the study by Eacott and Norman (2004) and Langston and Wood (2010). In the initial studies the test was an object-place task, with the objects appearing in the same locations in both the sample and test phases (Eacott and Norman, 2004; Langston and Wood, 2010). In contrast, the tests devised by Save et al. (1992), Ennaceur et al. (1997) and Mumby et al. (2002) were testing purely location memory, with the same objects being presented in different locations in the sample and test phases. It is perhaps the case that there is an element of spatial processing required to process information that an object is in a novel location, therefore recruiting the hippocampus. However in the case of the object-place tasks, the information that an object has swapped places can perhaps be supported by the surrounding cortical areas.

The lack of impairment following hippocampal lesions in the studies by Eacott and Norman's (2004) and Langston and Wood (2010) could also be due to the fact that the rats were always placed in the test arena in the same position, facing the same direction, therefore potentially allowing them to recognise each object-place configuration relative to their own starting position (Figure 3.1C). The previous studies (Ennaceur et al., 1997; Save et al., 1992; Mumby et al., 2002) that have reported hippocampal deficits in location memory used multiple entry points, thereby promoting the use of an allocentric spatial framework to render the task hippocampal-dependent. In the study by Save et al. (1992), the entry points were randomised and differed for each trial, making it impossible to identify the novel location using an 'egocentric' approach (Figure 3.1F). Furthermore, in the tasks by Ennaceur et al. (1997) and Mumby et al. (2002), the rats were always placed in a position that was equidistant from both objects. However, in a task where one of the objects was placed in a novel location in the test phase, it follows that the point of entry was not consistent between each trial (Figure 3.1E). A further study (Langston and Wood, 2010) directly tested whether the object-place task could be forced to become hippocampal-dependent by introducing multiple entry points (Figure 3.1D). This study consisted of testing the animals in an 'allocentric' and 'egocentric' version of the object-place task. The procedure for the egocentric object-place task was the same as stated previously (Eacott and Norman, 2004; Langston and Wood, 2010) but for 2 of the test phases the rats entered the box from the east and were placed facing the east wall, and on the other 2 trials the rat entered the box from the west and were placed facing the west wall (Figure 3.1D). The entry point for the sample and test phases was therefore different for each trial, forcing the animals to produce an allocentric framework to solve the object-place task. Results showed that rats with

hippocampal lesions performed at chance levels on the allocentric object-place task in which different start points were used during the sample and test phases. When the same entry point for the sample and test phases was used (egocentric object-place task), both the control and hippocampal lesion group were able to correctly identify the novel object-place configuration relative to the sample phase. These data suggests that the object-place task is hippocampus dependent when an allocentric representation of spatial locations is required (*i.e.* the location task NOT the object place task) (Mumby *et al.*, 2002., Ennaceur *et al.*, 1997) but not when an egocentric strategy can be employed (Eacott and Norman, 2004; Langston and Wood, 2010).

Finally, the object-context task was designed in order to show that rodents were capable of demonstrating memory for context alone (Eacott and Norman, 2004; Langston and Wood, 2010). For this task each session was divided into 2 sample phases and a test phase (Figure 3.1G). Similar to the object-place-context task (section 3.4.2) the arena could be configured to provide 2 different contexts (X and Y). In sample 1, 2 identical objects (A_1 and A_2) were in Context X and in sample 2, 2 identical objects (B_1 and B_2) were in Context Y. The test phase was configured as either Context X or Y with copies of both the objects seen in the sample phases (A_3 and B_3). Therefore in the test phase one object was in a novel object-context configuration.

The effect of fornix/ hippocampal lesions on the object-context task was assessed in order to demonstrate that the impairment in the object-place-context task following hippocampal lesions was not secondary to an impairment in the memory for object and context (Norman and Eacott, 2005; Langston and Wood, 2010) (Figure 3.1G). Rats were given lesions of the fornix (Norman and Eacott, 2005), hippocampus (Langston
and Wood, 2010) or were sham-operated and were subsequently tested in the objectcontext task as described previously (Figure 3.1G). Both studies show that control animals were able to identify the novel object-context configuration in this task. Rats with fornix lesions were slightly impaired in their ability to identify the novel objectcontext configuration relative to sham operated controls but performed significantly above chance levels (Norman and Eacott, 2005) whereas animals with hippocampal lesions were unimpaired when compared to control animals (Langston and Wood, 2010). These data suggests that the fornix/ hippocampus are not necessary to process information about the context in which an object is encountered (Norman and Eacott, 2005; Langston and Wood, 2010).

The findings of these studies are contradicted in a study by Mumby *et al.* (2002). However, the contrasting results could perhaps be explained by the differential protocols used in each study. As the context of the arena could be changed by inserting new floors and walls (Norman and Eacott, 2005; Langston and Wood, 2010), the arena in these studies occupied the same physical location in space and therefore had the same environmental cues (Figure 3.1G). In contrast, the object-context task performed by Mumby *et al.* (2002) involved 2 different contexts that were located in 2 different rooms (Figure 3.1H). The rooms differed in several ways, including the appearance of the ceiling and walls. Further cues were provided by strips of coloured cardboard which lined the top of the walls in one of the arena. The arenas were identical in every other way. Mumby *et al.* (2002) reported impairments in the object-context task following lesions of the hippocampus. The protocol differences in the current study when compared to previous studies (Norman and Eacott, 2005; Langston and Wood, 2010) in the context modification could therefore influence whether or not the object-context

task is hippocampus-dependent. The rats in the study by Mumby *et al.* (2002) did not have any cues within the test box and were instead required to use allocentric room cues. As stated previously, the study by Langston and Wood (2010) suggests that a task is rendered hippocampus dependent when an allocentric representation of spatial cues is required, perhaps providing an explanation for the deficit in object-context recognition in the study by Mumby *et al.* (2002).

Impairments in short-/ long-term recognition and episodic memory have been demonstrated in human patients (see section 6.1.1) and mouse models of HD (see section 6.1.2). In order to assess episodic memory in the Hdh^{Q111} mouse model of HD it is also necessary to assess the individual components of memory in order to demonstrate that any deficits in episodic memory are not secondary to impairments in the recognition of object-place or object-context (see section 6.2.1). As a further measurement of the integrity of the hippocampus in the early stages of HD, the long-term memory of the Hdh^{Q111} mouse will be assessed in a hippocampus-dependent 24 hour novel object recognition task (see section 6.2.3).



OBJECT-PLACE RECOGNITION

Test phase

 \mathbf{B}_1

 $A_{2} \\$

Sample phase



NOVEL OBJECT RECOGNITION



LOCATION MEMORY



OBJECT – CONTEXT RECOGNITION





OBJECT – PLACE – CONTEXT RECOGNITION



Figure 3.1: Schematic diagrams representing novel object, object place, location memory, object context and object-place-context tasks. The corresponding references from the text are indicated. The coloured circles indicate the rodent entry point for each trial.

4: γ-aminobutyric acid (GABA) and HD

4.1: Introduction

The cognitive deficits, in particular episodic memory, associated with human patients (see section 6.1.1) and mouse models of HD (see section 6.1.2) have been linked to abnormalities within the hippocampus (Rosas *et al.*, 2003). The α_5 -GABA_A receptor is expressed primarily in the hippocampus, indicating a role in learning and memory (section 4.3). The following section will therefore provide an introduction to GABA and the GABA_A receptor. The physiological role of the individual GABA_A receptor subunits will be discussed, focusing particularly on α_5 -GABA_A receptors. Furthermore, HD is associated with the major loss of striatal GABAergic medium spiny neurons (MSNs) (reviewed in Vonsattel and DiFiglia, 1998) and several studies have reported altered GABAergic synaptic transmission in mouse models of HD (Cepeda *et al.*, 2004; Fujiyama *et al.*, 2002; Cummings *et al.*, 2009). The final section of this chapter will therefore discuss the role of GABA_A receptors in HD (section 4.4).

<u>4.2: GABA and the GABAA receptor</u>

GABA is one of the main inhibitory neurotransmitters within the mammalian CNS. The GABA_A receptor is a member of the Cys-loop family of ligand-gated ion channels, which also includes the nicotinic acetylcholine (nACh), glycine and 5-hydroxytryptamine (5 HT_3) receptors. Arising from a common ancestral gene, the individual receptor subunits show amino acid sequence homology and possess several conserved features. Based on close analogy with the nACh receptor, it is thought that receptors in this family are comprised of 5 subunits arranged around a central ion-

conducting channel (Sieghart and Sperk, 2002; Unwin, 2005). Molecular cloning revealed the potential for a pronounced heterogeneity of GABA_A receptors based on the existence of at least 19 subunit isoforms which are divided by their sequence identity into 3 main classes: α (1-6), β (1-3), γ (1-3) and several more specialised forms: δ , ϵ , π , θ , and p (1-3) (Korpi et al., 2002; Rudolph and Möhler, 2006). GABAA receptors exist as heterometric, pentametric complexes with a prevalent subunit ratio of 2α : 2β : 1γ (Farrar et al., 1999; Baumann et al., 2001; reviewed in Sarto-Jackson and Sieghart, 2008), with δ, ε and θ subunits occasionally substituting for the γ subunit (Nusser *et al.*, 1996; Porcello et al., 2003; Sergeeva et al., 2005; reviewed in Sieghart and Sperk, 2002). Given the theoretical number of subunit combinations, multiple receptor isoforms are possible. However GABA_A receptor heterogeneity is governed by spatial and temporal regulation of subunit composition and by rules that limit subunit assembly. Therefore, while receptors consisting of α (1-3), β 2/3 and γ_2 are widely distributed throughout the CNS, other subunits (α (4-6), δ , ϵ and θ) display a more limited pattern of expression (reviewed in Sieghart and Sperk, 2002). The $\alpha_1\beta_2\gamma_2$ combination represents the most abundant receptor subtype, but $\alpha_2\beta_3\gamma_2$ and $\alpha_3\beta_x\gamma_2$ are also commonly expressed (reviewed in Sieghart et al., 1999; Möhler et al., 2002; Sieghart & Sperk, 2002).

4.2.1: Functional domains of GABAA receptors

 $GABA_A$ receptor subunits exhibit a similar topology and consist of a long hydrophilic extracellular N-terminal region, 4 transmembrane helical segments (M1 – M4), a large intracellular loop between M3 and M4, and a short extracellular C-terminal domain (reviewed in Olsen and Sieghart, 2008; Figure 4.1).

The large extracellular N-terminal contains two cysteine residues that form a disulphide-linked loop which is common to all members of the Cys-loop superfamily. The extracellular segment also contains the GABA binding domain (Sigel, 2002; Kittler and Moss, 2003). By modelling the structure of the GABA_A receptor on the basis of a snail acetylcholine binding protein (AChBP), the nACh receptor and information known on residues involved in the formation of the binding sites and subunit interfaces, it was determined that the subunits were arranged γ - α - β - α - β (Cromer *et al.*, 2002; Trudell, 2002). The GABA binding site is located in a pocket at the interface between each α subunit and the adjacent β subunit (for review see Sigel and Lüscher, 2011). The GABA_A receptor has an anion selective pore, surrounded by an α -helical ring of 5 subunits (α , β , γ or ε , δ). There are 4 predicted membrane-spanning regions within each subunit (M1 - 4), and the M2 α -helices line the channel pore to form a conduction channel (Miyazawa et al., 2003). The transmembrane sections (M1 - 4) in GABAA receptors are connected by intracellular loops. The large intracellular loop connecting M3 – M4 contains sites for protein-protein interactions, phosphorylation and intracellular scaffolding proteins interaction sites (Kittler and Moss, 2003).



Figure 4.1: Structure and heterogeneity of $GABA_A$ receptors. A) Schematic representation of the predicted quaternary structure of $GABA_A$ receptors. B) Topological organisation of an individual subunit, illustrating the extracellular Nterminal domain, 4 transmembrane segments and the large intracellular loop between M3 - M4. C) Subunit repertoire of $GABA_A$ receptors.

4.2.2: Physiological role of GABA_A receptors

The subunit composition of GABA_A receptors influence their physiological properties, their expression profile (which neurons are they expressed in and where: synaptic/extrasynaptic, presynaptic) and their pharmacological properties e.g. their interaction with benzodiazepines (Rudolph et al., 1999; Low et al., 2000; McKernan et al., 2000; Crestani et al., 2002; Yee et al., 2005). While the majority of GABAA receptors (those containing α_1 -, α_2 -, α_3 -, or α_5 -subunits in combination with a γ -subunit) are benzodiazepine sensitive, there exists a minority of GABA_A receptors (α_4 - or α_6 subunit containing) which are insensitive to benzodiazepine due to the presence of an arginine *cf.* a histidine residue (found for $\alpha_1, \alpha_2, \alpha_3$ and α_5 subunits) at a key extracellular location of the subunit (Derry et al., 2004) This finding has been exploited to create "knock in" mice, engineered to be benzodiazepine insensitive (see below; Rudolph et al., 1999; Low et al., 2000; Crestani et al., 2002). Benzodiazepines act as allosteric modulators of GABA_A receptors, enhancing the action of GABA by increasing the frequency of GABA_A receptor channel openings (MacDonald and Olsen, 1994). Allosteric modulators such as benzodiazepines display a broad range of behavioural actions including: anxiolytic, sedation, perturbations of memory/cognition, analgesia, muscle relaxant and anticonvulsant properties (Savić et al., 2010). GABAA receptor knock-in mice have provided an important insight into the distinct physiological/ pharmacological roles contributed by individual GABAA receptor subtypes (reviewed by Möhler, 2006). This strategy was developed by generating 4 lines of point-mutated mice in which receptors containing the α_1 , α_2 , α_3 or α_5 subunits were rendered diazepam-insensitive by the introduction of a histidine to arginine point mutation in the drug-binding domain, while the physiological function of the receptor remained unaffected. Various benzodiazepine-induced behavioural responses from the wild type

and knock-in mice were then compared to clarify the *in vivo* relevance of the GABA_A receptor subtypes. Consequently it has been established that the sedative component of diazepam is mediated through neuronal circuits that contain the α_1 -GABA_A receptor (Rudolph *et al.*, 1999; McKernan *et al.*, 2000), whereas the anxiolytic activity is mediated through α_2 -GABA_A receptors (Low *et al.*, 2000; Crestani *et al.*, 2002). The extent to which α_3 -containing receptors contribute to the anxiolytic effect of diazepam has yet to be established. Although the anxiolytic activity of diazepam was undiminished in mice that lacked the α_3 -GABA_A receptor (Yee *et al.*, 2005), an inverse agonist at the α_3 -GABA_A receptor was anxiolytic at high receptor occupancy (Dias *et al.*, 2005).

4.3: α₅- containing GABA_A receptors influence cognition

GABA_A receptors that contain the α_5 -subunit have a relatively restricted distribution throughout the brain and are expressed primarily in the hippocampus, indicating a role in learning and memory. Although α_5 -GABA_A receptors account for less than 5% of the total GABA_A receptor population in the brain, in the hippocampus they represent 20% of all GABA_A receptors further indicating a role for the α_5 -subtype in learning and memory processes (Sieghart, 1995).

A study by Collinson *et al.* (2002) demonstrated the role of the α_5 subunit in learning and memory. Gene "knock-outs" or deletions of particular receptor subunits throughout the brain have proved useful in determining the roles of specific subunits, although compensatory mechanisms may complicate the interpretation of experiments utilising such mice. Homozygous mice were generated in which the α_5 gene was deleted and such mice were subsequently tested for hippocampal function using tests of spatial memory. In addition the performance of the mice in non-hippocampal-dependent learning tasks and anxiety tasks was also assessed. The mice were tested in the hippocampus-dependent 'matching-to-place' version of the MWM task. The results obtained showed a significant difference in the performance of the α_5 -knockout mice when compared to wild type, with the α_5 -knockout mice finding the platform significantly faster than the wild type mice (Collinson *et al.*, 2002). Differences in anxiety levels could potentially have a confounding effect on learning and memory in animals. In order to exclude this possibility the anxiety of the mice was tested on the elevated platform. The results showed that the α_5 -knockout mice did not appear to display any differences in background anxiety levels when compared to wild type, with both genotypes spending comparable times in the open and closed arms of the elevated platform (Collinson *et al.*, 2002). The results of this study therefore suggest that removal of α_5 -GABA_A receptor expression results in disinhibition of the hippocampus, therefore promoting excitation and subsequently enhancing cognition.

In addition to the behavioural tests, *in vitro* brain slices were prepared from wild type and α_5 -knockout mice and the electrophysiological phenotype of the mice was assessed. To determine if the α_5 -knockout mice had alterations in synaptic function within the hippocampus, PPF and paired pulse depression (PPD) in the CA1 and DG was assessed. LTP was also examined in wild type and α_5 -knockout mice. Following paired-pulse stimuli, α_5 -knockout mice showed an increased facilitation of the fEPSP amplitude in the CA1 region of the hippocampus when compared to wild type mice. In contrast PPD in the DG remained unaffected, reflecting the reduced expression of α_5 -containing receptors in the DG when compared to the CA1 region of the hippocampus. In contrast to the enhancement of PPF in α_5 -knockout mice, there was no significant enhancement of LTP following the application of a theta burst induction protocol. Whole-cell voltage-clamp recordings were also obtained from the CA1 neurons in the presence of glutamate and GABA_B receptor blockers and the kinetics of the isolated inhibitory postsynaptic currents (IPSCs) were subsequently assessed. Although the deletion of the α_5 -subunit had no effect on the frequency, rise time and decay time kinetics, the peak amplitudes of the IPSCs were significantly smaller in the α_5 -knockout mice, consistent with the loss of this receptor subtype in the hippocampus. The results of this study by Collinson *et al.* (2002) further emphasised the role of the α_5 -GABA_A receptor in learning and memory as performance in the hippocampal dependent MWM task was significantly improved in the α_5 -knockout mice, whereas the anxiety levels of the mouse remained unchanged. Studies suggested that alterations in the synaptic transmission could underlie the enhanced performance of the α_5 -knockout mice in the spatial memory task as IPSCs recorded from the α_5 -knockout mice exhibited reduced IPSC peak amplitude when compared to wild type. Previous studies have associated enhancements of learning and memory with increases in LTP (Tsien et al., 1996; Tang et al., 1999; see section 2.3). However, in the study by Collinson et al. (2002), LTP remained unaltered in the α_5 -knockout mice. In this case, the enhancement of the PPF alone could perhaps be sufficient to promote hippocampal memory, or other forms of synaptic plasticity could also be contributing to the enhanced cognition of the α_5 -knockout mice.

The role of the α_5 -subunit in memory was further demonstrated in a study by Crestani *et al.* (2002) where a point mutation (H105R) was introduced into the mouse α_5 -subunit gene. This knock-in mutation should have been silent, but immunohistochemical studies unexpectedly showed that the point mutation was associated with a specific reduction of hippocampal α_5 -GABA_A receptors, whereas the pattern of distribution remained

unaffected (Crestani *et al.*, 2002; Prut *et al.*, 2010). The mice were subsequently tested on various behavioural tests to investigate the sedative, anticonvulsant and anxiolytic properties of diazepam (Crestani *et al.*, 2002). The locomotor activity of the mice was tested in automated circular arenas subsequent to oral administration of diazepam. For anxiety, the effect of diazepam on the light-dark choice paradigm and the elevated plus maze was assessed. Fear conditioning was assessed by foot-shock studies. The investigation revealed that the behavioural responses to diazepam of both the wild type and α_5 (H105R) point mutation mice were similar for the motor activity and locomotor activity tests. Furthermore, the anxiolytic action of diazepam remained unaltered in the α_5 (H105R) point mutation mice as demonstrated by similar performances of both the wild type and knock-in mice in the elevated plus maze and in the light-dark choice test (Crestani *et al.*, 2002).

The hippocampus plays an important role in specific types of associative learning and memory. Trace conditioning is a hippocampal dependent task in which the conditional stimulus and the unconditional stimulus are separated by a certain time interval (Crestani *et al.*, 2002). However, when the 2 stimuli terminate together or overlap, *i.e.* in delay conditioning or contextual fear conditioning, the hippocampus is not required (Crestani *et al.*, 2002). When first exposed to the tone and shock in the first learning session, both wild type and α_5 (H105R) mice displayed similar levels of freezing in response to the shock. However, when exposed to the tone 48 hours later the α_5 (H105R) mice showed an enhanced level of freezing when compared to the wild type mice, indicating that the α_5 -GABA_A receptors are involved in associative memory (Crestani *et al.*, 2002).

A further study by Prut *et al.* (2010) aimed to quantify the reduction of α_5 -GABA_A receptor expression in the α_5 (H105R) mice. In wild type brain slices, staining for α_5 -GABA_A receptors showed moderate – strong staining along the hippocampal formation and parahippocampal sections. However, in the α_5 (H105R) mice there was a 30% reduction in α_5 -GABA_A receptor immunoreactivity in the hippocampus, although the layer and area-specific α_5 -subunit expression was retained (Prut *et al.*, 2010). Interestingly, α_5 -GABA_A receptor staining in the neocortex and basal ganglia remained unchanged in the α_5 (H105R) mice. As stated earlier, the α_5 (H105R) mice demonstrated enhanced performance in the hippocampus-dependent trace fear conditioning task, providing further evidence for the involvement of α_5 -GABA_A receptor in learning and memory (Crestani *et al.*, 2002).

4.3.1: Synaptic versus extrasynaptic receptors

Among GABA_A receptors in the brain, those that contain α_1 -, α_2 - and α_3 -subunits are normally present in the synapse (Nusser *et al.*, 1996; Nyiri *et al.*, 2001). These synaptic receptors are transiently activated by GABA and modulate 'phasic' inhibition. However, immunohistochemistry and *in situ* hybridisation studies have shown that α_5 subunit containing receptors are primarily expressed in extrasynaptic locations on pyramidal neurons in the CA1 and CA3 regions of the hippocampus (Crestani *et al.*, 2002; Brunig *et al.*, 2002; Fritschy *et al.*, 1998; Houser and Esclapez, 2003). Work from my host laboratory demonstrated that these extrasynaptic receptors are more sensitive to the actions of GABA, desensitise less and when activated by GABA, mediate a persistent 'tonic' form of inhibition (Caraiscos *et al.*, 2004). The study revealed that the magnitude of GABA-mediated whole-cell currents of CA1 pyramidal neurons was greatly reduced for α_5 -knockout mice *c.f.* wild type indicating that the tonic current is mediated through α_5 -GABA_A receptors. In addition, there were no differences in the mIPSCs recorded from wild type and α_5 -knockout neurons, indicating that α_5 -GABA_A receptors are not present at the synapse (Caraiscos *et al.*, 2004).

As α_5 -GABA_A receptors display preferential expression in the hippocampus and due to the association of reduced α_5 -GABA_A expression and improved memory performance in behavioural studies (Collinson et al., 2002; Crestani et al., 2002), it follows that the tonic inhibition mediated by the α_5 -GABA_A in the pyramidal neurons may play a key role in cognitive processes (Caraiscos et al., 2004). Long-term potentiation of synaptic efficacy following HFS of afferent pathways had long been associated with learning and memory (see section 2.3). However, as indicated above, LTP in CA1 neurons generated from α_5 -knockout mice does not differ from wild type neurons, although PPF was increased in α_5 -knockout slices (Collinson *et al.*, 2002). The enhanced PPF was restricted to the CA1 of the hippocampus of α_5 -knockout mice (Collinson *et al.*, 2002). PPF can be attributed to reduced inhibition by postsynaptic GABA_A receptors and as mIPSCs are unchanged in α_5 -knockout mice this implies that the reduced tonic inhibition underlies the enhanced PPF (Caraiscos et al., 2004). Taken together, these results indicate that α_5 -GABA_A receptor mediate tonic inhibitory conductance in hippocampal pyramidal neurons and may regulate memory (Chambers et al., 2002; Collinson et al., 2002; Caraiscos et al., 2004).

4.4: GABA A Receptors and HD

As stated above, HD is associated with the major loss of striatal GABAergic medium spiny neurons (MSNs; reviewed in Vonsattel and DiFiglia, 1998) and several studies have examined GABAergic transmission in mouse models of HD (Cepeda *et al.*, 2004;

Fujiyama et al., 2002; Cummings et al., 2009). A study by Cepeda et al. (2004) used voltage-clamp recordings to examine GABAergic synaptic currents in MSNs in striatal slices prepared from 2 mouse models of HD. Experiments were carried out on 3 age groups of the R6/2 mouse; pre-symptomatic animals (3 weeks old), when overt symptoms begin (5 - 7 weeks old) and when full behavioural phenotype has been expressed (9 - 14 weeks); for more phenotypic detail of the R6/2 mouse see section 1.2.1.1). Examination of the spontaneous GABAergic synaptic currents demonstrated that, by 5-7 weeks, R6/2 mice showed a significant increase in the mean frequency of spontaneous GABAergic currents when compared to wild type mice. These data suggests that striatal GABAergic interneurons fire more frequently in the transgenic mice. Following treatment with TTX, GABAergic currents were similar to control, suggesting that the increase in frequency of GABA synaptic activity in the R6/2 mouse is dependent primarily on action potential generation. Furthermore, analysis of the sIPSCs in the 9 – 14 week age group showed significant faster rise and decay kinetics the postsynaptic GABA_A receptors. suggesting changes to Studies with immunofluorescence indicated that staining for the α_1 -GABA_A receptor was significantly increased in 12 week old R6/2 mice, perhaps explaining the faster kinetics and decay times observed in the MSNs from R6/2 mice. These studies indicate that changes in GABA_A receptor function may contribute to HD. Several other studies have also observed an up-regulation of $GABA_A$ receptor expression, specifically an increase in $\beta_{2/3}$ -GABA_A receptor expression in the substania nigra in mouse models (Fujiyama *et* al., 2002) and an increase in α_1 , $\beta_{2/3}$ and γ_2 -GABA_A receptor expression in the globus pallidus of human patients with HD (Thompson-Vest et al., 2003; Allen et al., 2009).

The study by Cepeda et al. (2004) demonstrated that the frequency of IPSCs increased in striatal MSNs from R6/2 mice. As the cerebral cortex provides the main excitatory drive to the striatum (Markram et al., 2004), a follow up study in 2009 (Cummings et al., 2009) examined synaptic currents within cortical pyramidal neurons of R6/2, YAC128 and CAG140 mouse models in order to gain a better understanding of how neurons are affected in HD (for more details on mouse models see section 1.2). Using whole-cell voltage-clamp techniques, it was established that, in common with MSNs of the striatum (Cepeda et al., 2004), the frequency of spontaneous IPSCs was also increased in the cortical pyramidal neurons of pre-symptomatic mouse models of HD. This increased frequency was sensitive to treatment with TTX, suggesting a presynaptic action, perhaps resulting from an increased action potential discharge or an increase in the probability of release or number of release sites. However, as no differences in the paired-pulse ratio were evident, it was speculated that the firing properties of GABAergic interneurons are altered in mouse models of HD, subsequently increasing inhibition in pyramidal neurons (Cummings et al., 2009). An increase in postsynaptic receptors was considered unlikely as there was no change in the amplitude of mIPSCs, or of the GABA-evoked currents recorded from dissociated neurons derived from such mice. A reduction in the frequency of IPSCs, that was insensitive to TTX and therefore independent of action potential firing, was observed in post-symptomatic R6/2 mice. Although the results indicated an increased probably of release, this was confounded by a depletion of available GABA-containing vesicles within the synaptic terminals, perhaps underlying the decrease in sIPSC frequency in symptomatic models of HD (Cummings et al., 2009).

4.4.1: GABA_A receptor trafficking and the regulation of synaptic strength

Synaptic inhibition plays an important role in regulating neuronal excitability and information processing in the brain. The number of GABA_A receptors present at the plasma membrane is a critical determinant of inhibitory synaptic strength. Alterations of the excitatory/ inhibitory balance may lead to changes in neuronal excitability and/or disrupted memory processing (Jacob et al., 2008). In addition to the regulation of apoptosis and transcription, huntingtin may also have a neurotoxic role in HD by altering the intracellular transport of proteins including the NMDA receptor (Fan and Raymond, 2007). GABA_A receptor function may also be impaired in HD. Following endocytosis, the fate of GABA_A receptors may be subject to regulation by an interaction of the receptor with huntingtin-associated protein (HAP1), a binding partner of Huntingtin (Duyao et al., 1993; Li et al., 1995). HAP1 inhibits the degradation and facilitates the recycling of GABA_A receptors following endocytosis, increasing the number of GABA_A receptors expressed at the cell surface and synaptic receptor number. Therefore HAP1 may play a critical role in controlling fast synaptic inhibition by regulating the membrane trafficking of internalised GABA_A receptors, leading to compromised inhibition and disruption of the excitatory/ inhibitory balance (Kittler et al., 2004). The next section will introduce HAP1 and discuss its role in GABAA receptor trafficking.

4.4.2: HAP1 expression and function

HAP1 is expressed predominantly within the CNS, especially in the forebrain, cerebral cortex and the cerebellum (Li *et al.*, 1995). HAP1 is a cytoplasmic protein and associates with microtubules and membranous organelles *e.g.* mitochondria,

endoplasmic reticulum (Martin *et al.*, 1999). The localisation of HAP1 and Huntingtin is similar which suggests that they both have a role in intracellular transport (Gutekunst *et al.*, 1998).

HAP1 acts as a scaffold protein, enabling the packaging of various proteins for transport along the microtubules. HAP1 acts as one of the components of cargo-motor molecules and participates in intracellular trafficking (Li & Li, 2005). HAP1 may regulate the turnover and stabilisation of membrane receptors at the cell surface to maintain neuronal responses to neurotransmitters by increasing expression of membrane receptors by inhibiting degradation *via* lysosomal pathways and enhancing recycling pathways. In addition, HAP1 has been shown to bind the β subunits of GABA_A receptors (Kittler *et al.*, 2004). Under basal conditions, synaptic GABA_A receptors undergo clatherin-dependent endocytosis, and the internalised receptor is subsequently recycled back to the membrane surface or targeted for lysosomal degradation. HAP1 inhibits receptor degradation, in turn facilitating the recycling of receptors back to the membrane. The overexpression of HAP1 results in an increase in GABA_A receptor surface number, thereby increasing neuronal excitability (Kittler *et al.*, 2004).

4.4.3: HAP1 mediates delivery of GABA_A receptors to the plasma membrane

In order to understand the role of synaptic GABA_A receptors in the regulation of inhibitory synaptic transmission, it is important to understand the molecular machinery that delivers the receptors to the synapses. It was recently established (Twelvetrees *et al.*, 2010) that HAP1 acts as an adapter, linking GABA_A receptors to the kinesin family of motor protein 5 (KIF5), forming a motor complex for rapid delivery of GABA_A

receptors to synapses. Furthermore, mutant Huntingtin disrupts the formation of this complex, inhibiting KIF5-dependent GABA_A receptor trafficking (Gauthier *et al.*, 2004; Li *et al.*, 1995). In electrophysiological studies utilising the whole-cell voltage-clamp technique, cortical neurons (maintained in cell culture) were dialysed, *via* the patch pipette, with an antibody (SUK4) to block KIF5 motor protein activity. When the activity of the KIF5 motor protein was inhibited, the amplitude of mIPSCs decreased, but mIPSC kinetics were not affected, suggesting that KIF5 does not selectively transport GABA_A receptors to the receptor with particular kinetics (Twelvetrees *et al.*, 2010). It was hypothesised that the effect of SUK4 on inhibitory transmission was mediated by blocking KIF5-dependent GABA_A receptor delivery to synapses. In order to test this, SUK4, or a control antibody, was introduced into neurons maintained in cell culture and the effect on GABA_A receptor clustering was determined. Neurons treated with SUK4 showed a decrease in the GABA_A receptor cluster area in dendrites when compared to mock-treated neurons, suggesting that blocking KIF5 activity decreases the delivery of GABA_A receptors to the membrane (Twelvetrees *et al.*, 2010).

The expanded glutamine repeats present during HD enhance the function and trafficking of the NMDA receptor and it has been proposed that this effect exacerbates excitotoxicity (Fan *et al.*, 2007). In addition, increased neurotransmission and neuronal excitability are also proposed to contribute to the neuronal degradation caused by the full-length polyglutamine huntingtin protein early in pathology (Romero *et al.*, 2008). It has been suggested that NMDA receptors are transported by a second kinesin motor protein, KIF17 (Guillaud *et al.*, 2003). When SUK4 was dialysed into the patch-pipette, although the GABA_A receptor-mediated responses were decreased, the NMDA receptor-mediated responses remained unaffected, suggesting a specificity of KIF5 for selectively transporting GABA_A receptors to synapses (Twelvetrees *et al.*, 2010).

Immunoprecipitation experiments were performed in rat brain lysate to show that KIF5 could readily be immunoprecipitated with GABA_A receptors, indicating that KIF5 motor proteins are closely associated with the GABA_A receptor in vivo (Twelvetrees et al., 2010). It had already been established that HAP1 function is disrupted by the mutant polyglutamine version of huntingtin that causes HD (Gauthier et al., 2004., Li et al., 1995) suggesting that HAP1-KIF5-dependent trafficking could therefore be a likely target for disruption in HD, leading to pathological alterations in inhibition. To further investigate this possibility, live cell-imaging experiments were used in neuronal cells derived from homozygous knock-in mice in which an expanded glutamine repeat (109 CAG) had been inserted into the endogenous mouse huntingtin gene (Trettel et al., 2000). It was therefore possible to track the movement of vesicles and to demonstrate a significant decrease in the velocity of GABAA receptor transport vesicles. This observation suggested that mutant huntingtin decreased the accumulation of GABAA receptors at inhibitory synapses by altering the trafficking ability of HAP1-KIF5dependent GABA_A receptor transport vesicles for receptor delivery to inhibitory synapses (Twelvetrees et al., 2010). In agreement, electrophysiological studies in transfected cortical neurons showed that, when compared to wild-type huntingtin transfected cells, expression of mutant huntingtin with an expanded polyglutamine repeat showed a significant decrease in the mIPSC amplitude (Twelvetrees et al., 2010). A recent study by Yuen and colleagues (2012) was performed to determine whether impaired synaptic inhibition in the N171-82Q (82 CAG repeats) mouse model of HD results from the loss of GABAA receptor transport due to the disruption of the

HAP1/KIF5/GABA_A receptor complex in vivo. Results showed that, although synaptic inhibition was normal in pre-symptomatic mice, the amplitude of miniature IPSC was significantly decreased in both cortical and striatal neurons from post-symptomatic N171-82Q mice. In addition paired pulse ratios and IPSC frequencies were unchanged, indicating the depression of GABAergic functions in the N171-82Q mice is due to altered postsynaptic GABA_A receptors and not caused by changes to presynaptic GABA release (Yuen *et al.*, 2012). In agreement, it was demonstrated that cell surface GABA_A receptor expression is reduced in symptomatic mice. It is known that HAP1 interacts with GABA_A receptors (Kittler et al., 2004) and KIF5 (Twelvetrees et al., 2010), and due to the fact that mutant huntingtin binds to HAP1 with a greater affinity than to wild type huntingtin (Li et al., 1995), Yuen and colleagues (2012) speculated that kinesindependent GABA_A receptor transport could be impaired in the N171-82Q mice due to abnormal mutant huntingtin/ HAP1 interactions. This hypothesis was confirmed when it was demonstrated using co-immunoprecipitation that the expanded polyglutamine repeats in N171-82Q mice caused the dissociation of the KIF5/GABAA receptor complex from the microtubules and the dissociation of GABA_A receptors from KIF5, thus providing a possible mechanism for disrupted GABAA receptor transport in HD (Yuen et al., 2012).

Finally, in addition to altered GABAergic inhibition, NMDA receptor function is also reported to be abnormal in striatal neurons of mouse models of HD (Zeron *et al.*, 2002; Fan *et al.*, 2007) More specifically, extrasynaptic NMDA receptors have been implicated in HD (Okamoto *et al.*, 2009; Milnerwood *et al.*, 2010). Differential roles for NMDA receptors have been uncovered depending upon their location (Papadia and Hardingham, 2007). Synaptic NMDA receptors activate cellular survival pathways

while extrasynaptic receptors activate apoptotic pathways, and an imbalance of activity or number of each type of NMDA receptor would therefore influence cell survival or cell death. Subsequently, Milnerwood *et al* (2010) demonstrated that this synaptic/ extrasynaptic balance is disrupted, with increased extrasynaptic NMDA receptor activity present in MSNs in the YAC128 mouse model of HD. It was determined that the increased activity of the extrasynaptic NMDA receptor required capase-6 cleavage, a step critical for the production of toxic huntingtin fragments (Milnerwood *et al.*, 2010). Similarly, an additional study noted that activation of synaptic NMDA receptors resulted in an increased resistance to cell death due to the formation of huntingtin inclusions. On the other hand, activation of extrasynaptic NMDA receptors reduced the formation of huntingtin inclusions, thereby increasing the vulnerability of mutant huntingtin expressing cortical neurons (Okamoto *et al.*, 2009).

Collectively, the above data suggests that altered KIF5 motor dependent-trafficking may directly contribute to reduced synaptic inhibition and altered information processing in HD. NMDA receptor function may also be altered, perhaps contributing to dysfunction of the excitatory/inhibitory balance (Yuen *et al.*, 2012). It is therefore an interesting possibility that mutant Huntingtin could influence GABAergic neurotransmission and synapse development leading to disrupted GABA_A receptor trafficking and altered synaptic inhibition and subsequently an enhanced neuronal excitability, contributed also by impaired NMDA receptor function, in HD. Of relevance to the current study, the impact of HD on the expression of extrasynaptic α_{5} -GABA_A receptor expression is not known.

5: Experiment 1: Characterisation of the motor phenotype of the Hdh^{Q111} mouse model of HD.

5.1: Introduction

In humans, HD is diagnosed following the appearance of an overt motor phenotype (Sturrock and Levitt, 2010; see section 1.1.3). In addition, motor deficits are also evident in several mouse models of HD (Mangiarini *et al.*, 1996; Carter *et al.*, 1999; Lüesse *et al.*, 2001; Wheeler *et al.*, 2000; Wheeler *et al.*, 2002; Menalled *et al.*, 2003; Hickey *et al.*, 2005; Stack *et al.*, 2005; Milnerwood *et al.*, 2006; Heng *et al.*, 2007; Gray *et al.*, 2008) (see section 1.2). In order to replicate the motor deficits seen in human patients, the following section of this thesis will assess the motor phenotype of the Hdh^{Q111} mouse model of HD. Although motor deficits are not as pronounced in knock-in models when compared to other transgenic models, careful testing using refined test settings can be used reveal early deficits. The slow progression of the disorder in these models allows the evaluation of the early changes likely to be the most important to target therapeutically in order to prevent further progression of the disease. Thus the Hdh^{Q111} knock-in mice offer an ideal model in which behavioral testing can be used to evaluate new therapeutic approaches designed to delay the onset of HD.

In an attempt to characterise the motor phenotype of the Hdh^{Q111} mouse model of HD, the rotarod was used to assess motor co-ordination (2 - 12 months) while the circular running tracks and the activity box were used to assess locomotor activity (both tested at 13 months).

5.2: Methods

5.2.1: Breeding and genotyping

The phenotype of the Hdh^{Q111} mouse model of HD was assessed in this thesis. Homozygous Hdh^{Q111} (Hdh^{Q111+/+}) mice were purchased from the Jackson Laboratory, maintained in the laboratory as an inbred colony and used, in combination with wild type (WT) C57/BL6 mice, to produce heterozygous Hdh^{Q111} (Hdh^{Q111+/-}) mice. Note, in all the subsequent results chapters, genotypes were evaluated using the NucleoSpin Tissue DNA purification kit (Macherey-Nagel) for DNA isolation and the Hot star TaqPlus polymerase kit (Qiagen) for DNA amplification. Agarose gels were used to separate the proteins showing a WT Huntingtin protein band at 424 basepairs and a knock-in Huntingtin protein band at 694 basepairs (Figure 5.1).

5.2.2: Rotarod

The rotarod has been specifically designed for making automated measurements of motor co-ordination in rodents and is one of the most commonly used tests of motor function in mice (Dunham and Miya, 1957; Jones and Roberts, 1968) (Figure 5.2). The rotarod (Ugo Basile, Italy) consists of horizontal beams with dividers to separate multiple mice being tested concurrently. Mice were tested on the accelerating rotarod paradigm (2 - 12 months). The mice were tested on the first week of every month: 1 training day to allow acclimatisation to the rotarod apparatus, followed by 3 trials on 3 consecutive days. During the test period, each mouse was placed on the rotarod with increasing speed, from 6 rpm to a maximum speed of 50 rpm, for a maximum time of 10 minutes. A trip switch was located beneath the rod and recorded the latency until the mouse fell from the rotating rod.



Figure 5.1: Representation of genotyping results.

Agarose gels were used to separate the proteins. WT mice show 1 WT band (424 base pairs). Hdh^{Q111+/+} mice display 1 knock-in band (694 base pairs). Hdh^{Q111+/-} mice show 1 WT band and 1 knock-in band.



Figure 5.2: Photograph of rotarod apparatus.

The mice were placed on the accelerating rotarod (6 rpm - 50 rpm; maximum time of 10 minutes). A trip switch was located beneath the rod and recorded the latency until the mouse fell from the rotating rod.

5.2.3: Circular runways

Locomotor activity was assessed in individual polypropylene circular runways (diameter = 245 mm, corridor width = 65 mm) (13 months) (Figure 5.3). Mice were tested on 4 consecutive days. The runways were transected by eight photobeams spaced at regular intervals and forward locomotion was defined as consecutive breaks of adjacent beams. Photobeam breaks were counted in 30 second time samples, at 4 minute intervals, and data was collected by an attached PC via a Med-PC interface (Med Associates, WT, USA) (Dixon *et al.*, 2010).

5.2.4: Activity Box

The locomotor activity of mice was also measured using an Activity Monitor (Benwick Electronics, Norfolk, UK) using infrared beams to detect movement (13 months) (Figure 5.4). Mice were tested on 4 consecutive days. The mice were placed in a Perspex chamber (32 x 20 x 19 cm) in which two sets of beams were arranged in a grid formation covering the length and width of the chamber. The lower beams (2 cm above the floor) could detect the animals' horizontal movement and the higher beams (7 cm above the floor) could detect any rearing. Movement was measured by beam breaks and recorded as counts of activity. A mouse was considered mobile if the movement of the animal broke two consecutive beams but not if the same beam was broken twice. The animal was placed in the activity box for 10 minutes over a series of 4 days and the locomotor activity was logged at the end of each 10 minute session. Habituation was said to have occurred when the rodent became familiar to the environment, as demonstrated by reaching a constant but reduced level of activity between sessions. This will occur if the animals have memory of the previous exposures to the testing environment.



Figure 5.3: Photograph of the circular runway apparatus.

Each circle represents an individual polypropylene circular runway. The runways were transected by photobeams; forward locomotion was defined as consecutive breaks of adjacent beams.



Figure 5.4: Photograph of the activity box apparatus.

The mice were placed in the activity box (10 minutes, 4 consecutive days). Lower beams detected horizontal movement and higher beams detected rearing. Movement was measured by beam breaks and recorded as counts of activity.

5.3: Results: rotarod

In order to determine whether the presence of the mutant *Huntingtin* gene resulted in the generation of any motor deficits, WT (n = 9), Hdh^{Q111+/+} (n = 10) and Hdh^{Q111+/-} (n = 11) were tested on the accelerating rotarod apparatus (2 – 12 months). Note that 1 Hdh^{Q111+-} mouse died before the end of the 12 months so was not included in the analysis.

5.3.1: Hdh^{Q111} mice show no progressive weight loss

Mice were weighed at the beginning of each of their monthly rotarod trials. WT mice displayed a gradual weight increase from 3 months (26.9 ± 0.7 g) to 12 months (33.5 ± 0.4 g; Figure 5.5A). Hdh^{Q111+/+} mice increased in weight from 26.2 ± 0.6 g at 3 months to 32.7 ± 0.5 g at 12 months, Hdh^{Q111+/-} mice increased from 25.3 ± 0.4 g to a final weight of 32.2 ± 0.6 g at 12 months (f _(2, 29) = 1.222; P > 0.05). The weights of the mice were compared using a repeated measures ANOVA with genotype (WT *vs.* Hdh^{Q111+/+} *vs.* Hdh^{Q111+/-}) as the between subjects factor and age (3 - 12 months) as the within subjects factor. Results indicated a significant effect of age (f _(9, 18) = 271.474, P < 0.05), but no significant effect of genotype (f _(2, 26) = 2.491, P > 0.05) or age *vs.* genotype interaction (f _(18, 234) = 1.205, P > 0.05). These data show that the presence of the mutant *Huntingtin* gene does not result in any discrepancies in weight between the genotypes up to the age of a year.

5.3.2 : Hdh^{Q111} mice show a mild motor phenotype on the rotarod

Mice were tested using the accelerating rotarod paradigm. The data was initially analysed in the raw form (Figure 5.5B). A repeated measures ANOVA was performed with genotype (WT *vs.* Hdh^{Q111+/+} *vs.* Hdh^{Q111+/-}) as the between subjects factor and age (2 - 12 months) as the within subjects factor. Results indicated no significant effect of

genotype (f _(2, 26) = 1.332, P > 0.05), a significant effect of age (f _(10, 260) = 4.033, P < 0.05) and an age *vs.* genotype interaction (f _(20, 260) = 2.385, P < 0.05). Bonferroni corrected pair-wise comparisons between groups confirmed that Hdh^{Q111+/+} mice, but not Hdh^{Q111+/-} mice, showed an increased latency to fall from the beam when compared to WT mice (WT: 138.8 ± 11.1 sec; Hdh^{Q111+/+}: 274.1 ± 33.5 sec; Hdh^{Q111+/-}: 239.4 ± 33.2 sec; P < 0.05) at 2 months. At 3 months, both Hdh^{Q111+/+} (253.8 ± 41.2 sec) and Hdh^{Q111+/-} (235.7 ± 18.8 sec) mice showed increased latency to fall from the rod when compared to WT mice (148.5 ± 14.4 sec; P < 0.05). For all of the subsequent months there were no differences between the performances of the three genotypes (all P > 0.05).

In order to further analyse the data the raw data was normalised to show the progression of the individual genotypes as they aged (Figure 5.5C). A mean latency to fall was calculated for each genotype at 2 months and for the following months each mouse was then plotted as a percentage of the original value at 2 months. A repeated measures ANOVA showed a significant effect of genotype (f $_{(1, 26)} = 6.622$, P < 0.05), no significant effect of age (f $_{(10, 260)} = 1.178$, P < 0.05) and an age *vs.* genotype interaction (f $_{(20, 260)} = 1.763$, P < 0.05). Bonferroni corrected pair-wise comparisons indicated that the performance of Hdh^{Q111+/+} and Hdh^{Q111+/-} mice was consistent up to the age of 5 months (all P > 0.05). At 6 months Hdh^{Q111+/+} (P < 0.05) but not Hdh^{Q111+/-} (P > 0.05) mice spent significantly less time on the rod when compared to their performance at 2 months. Both Hdh^{Q111+/-} and Hdh^{Q111+/-} mice show impairments by 7 months (P < 0.05). Bonferroni corrected pair-wise confirmed that both the Hdh^{Q111+/+} and Hdh^{Q111+/-} mice show motor co-ordination deficits on the rotarod at 8, 11 and 12 months, when compared to their performance at 2 months, when compared to their performance at 2 months, when compared to their performance at 2 months when compared to their performance at 8, 11

only Hdh^{Q111+/+} mice show impairments at 9 and 10 months (P < 0.05). The performance of WT did not change over the course of the testing period (P > 0.05). The performance of Hdh^{Q111+/+} and Hdh^{Q111+/-} mice is similar at all ages (P > 0.05). These data show that Hdh^{Q111+/+} mice show impaired performance in the accelerating rotarod task by 6 months. Hdh^{Q111+/-} mice show deficits from 7 months, although this is not consistent at all the time points and would therefore perhaps advantageous to test a larger sample of mice. Additionally, the decreased locomotion on the rotarod over time is somewhat complicated by the apparent enhanced performance at 2 and 3 months, suggesting that the motor phenotype characterisation of the Hdh^{Q111} mouse would perhaps benefit from additional motor testing (see section 5.6).



Figure 5.5: Hdh^{Q111} mice demonstrate a mild motor phenotype on the rotarod. A) WT (n = 9), $Hdh^{Q111+/+}$ (n = 10) and $Hdh^{Q111+/-}$ (n = 11) mice exhibit similar gradual increases in weight over the course of the experiment indicating that the presence of the mutant Huntington protein does not produce any weight discrepancies (P > 0.05). B) Hdh^{Q111} mice show an increased latency to fall from the beam when compared to WT mice at 2 (P < 0.05) and 3 months (P < 0.05). For all of the subsequent months there was no difference between the performances of the three genotypes (all P > 0.05). C) When the data is normalised to baseline performance at 2 months, $Hdh^{Q111+/+}$ and Hdh^{Q111+/-} mice show a consistant performance up to the age of 5 months when compared to their performance at 2 months (all P > 0.05). Hdh^{Q111+/+} mice show an impaired performance by 6 months (P < 0.05), whereas $Hdh^{Q111+/-}$ mice are impaired at 7 months (P < 0.05) when compared to their performance at 2 months. Both Hdh^{Q111+/+} and Hdh^{Q111+/-} mice are impaired at the rotarod task at 8, 11 and 12 months, when compared to their performance at 2 months, whereas only $Hdh^{Q111+/+}$ mice are impaired at 9 and 10 months (P < 0.05). WT mice show consistant performance on the rotorod as they age (P > 0.05).

5.4: Results: Circular runway

5.4.1: Hdh^{Q111} mice show no locomotor deficits at 13 months

The locomotor activity of WT (n = 9), Hdh^{Q111+/+} (n = 10) and Hdh^{Q111+/-} (n = 10) mice was assessed in circular runways (Figure 5.6). A repeated measures ANOVA was performed on the total distances travelled with group (WT vs. Hdh^{Q111+/+} vs. Hdh^{Q111+/-}) as the between subjects factor and day (1 - 4) as the within subjects factor. This showed no overall significant effect of genotype (f $_{(2, 19)} = 0.243$, P > 0.05) but a significant effect of day (f $_{(3, 57)} = 2.576$, P < 0.05) and a significant day vs. genotype interaction (f $_{(6,57)}$ = 2.576, P < 0.05). Bonferroni corrected pair-wise comparisons between groups for each day confirmed that the locomotion of Hdh^{Q111+/+} and Hdh^{Q111+/-} mice was similar to WT mice on each of the 4 days (all P > 0.05). A mouse was considered to have habituated to the circular runways if the distance travelled by the mice reached a steady but reduced level of activity on each consecutive day. The distance travelled by the WT mice on Day 2 was significantly lower than that travelled on Day 1 (P < 0.05), but the distances travelled on Days 3 and 4 were not significantly different from Day 1 (all P >0.05). The WT mice travelled similar distances on Days 2 - 4 (P > 0.05). Hdh^{Q111+/+} mice travelled significantly less on Day 2 when compared to Day 1 (P < 0.05) but the distances travelled on Days 3 and 4 were not significantly different from Day 1 (P >0.05). The Hdh^{Q111+/+} mice travelled similar distances on Days 2 - 4 (P > 0.05). Hdh^{Q111+/-} mice travelled significantly less on Days 2 - 4 (P < 0.05) when compared to Day 1. Similar distances were travelled on Days 2 - 4 (all P > 0.05). In summary, these data show that, at 13 months, Hdh^{Q111} mice show no locomotor deficits in the circular runways.


Figure 5.6: Hdh^{Q111} mice show no locomotor deficits in the circular runways at 13 months. A repeated measures ANOVA indicated no effect of genotype ($f_{(2, 19)} = 0.243$, P > 0.05), an effect of day (($f_{(3, 57)} = 2.576$, P < 0.05) and a day vs. genotype interaction ($f_{(6, 57)} = 2.576$, P < 0.05).

(A) WT (n = 9), Hdh^{Q111+/+} (n = 10) and Hdh^{Q111+/-} (n = 10) travel similar distances on Days 1-4. The distance travelled by all 3 genotypes differs over the 4 days (P < 0.05). (B & C) WT and Hdh^{Q111+/+} mice travel significantly less on Day 2 when compared to Day 1 (P < 0.05) but the distances travelled on Days 3 and 4 are not significantly different from Day 1. Similar distances are travelled on Days 2 – 4 (all P > 0.05). D) Hdh^{Q111+/-} mice travel significantly less on Days 2 - 4 (P < 0.05), when compared to Day 1. Similar distances are travelled on Days 2 - 4 (P < 0.05), when compared to

5.5: Results: Activity box

The activity box was also used as a measure of locomotor activity in WT (n = 9), Hdh^{Q111+/+} (n = 10) and Hdh^{Q111+/-} (n = 10) mice (13 months). Measurements taken include the mobile counts and mobile time (measurement of how much the mouse is moving around), exploratory rearing (exploration), static counts (freezing behaviour), and active time (measurement of all activity *e.g.* grooming, head dipping and other stereotypical behaviours).

5.5.1: Mobile Counts

The total number of mobile counts at the end of the 4 days was assessed. A repeated measures ANOVA was performed with genotype (WT *vs.* Hdh^{Q111+/+} *vs.* Hdh^{Q111+/-}) as the between subjects factor and day (1 - 4) as the within subjects factor. Results demonstrated that there was no effect of genotype (f _(2, 26) = 0.759, P > 0.05; Figure 5.7A) or day *vs.* genotype interaction (f _(6, 78) = 1.531, P > 0.05) (Figure 5.8B). There was an effect of day (f _(3, 78) = 7.009, P < 0.05; Figure 5.7B) revealing a general decrease in activity over the four days of testing suggesting inter-session habituation.



Figure 5.7: Hdh^{Q111} mice show similar mobile counts in the activity box (13 months)

A repeated measures ANOVA indicated no effect of genotype ($f_{(2, 26)} = 0.759$, P > 0.05) or day vs. genotype interaction ($f_{(6, 78)} = 1.531$, P > 0.05) but an effect of day ($f_{(3, 78)} = 7.009$, P < 0.05).

A) Histograms showing mean number (\pm SEM) of mobile counts as measured by beam breaks in WT (n = 9), $Hdh^{Q111+/+}$ (n = 10) and $Hdh^{Q111+/-}$ (n = 10) mice at the end of a 4 day period.

B) Line graph showing the mean number $(\pm SEM)$ of mobile counts as measured by beam breaks on Days 1 to 4 in WT, $Hdh^{Q111+/+}$ and $Hdh^{Q111+/-}$ mice.

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5.5.2: Exploratory Rearing

The total number of rearing counts at the end of the 4 days was assessed. A repeated measures ANOVA was performed with genotype (WT *vs.* Hdh^{Q111+/+} *vs.* Hdh^{Q111+/-}) as the between subjects factor and day (1 - 4) as the within subjects factor. Results indicated that there was no significant effect of genotype (f _(2, 26) = 1.200, P > 0.05; Figure 5.8A). There was an effect of day (f _(3, 78) = 26.170, P < 0.05; Figure 5.8B) revealing a general decrease in activity over the four days of testing suggesting intersession habituation. There was no day *vs.* genotype interaction (f _(6, 78) = 0.165, P > 0.05) (Figure 5.8B).

5.5.3: Static counts

The total number of static counts at the end of the 4 days was assessed. A repeated measures ANOVA was performed with genotype (WT *vs.* Hdh^{Q111+/+} *vs.* Hdh^{Q111+/-}) as the between subjects factor and day (1 - 4) as the within subjects factor. Results indicated that there was a significant effect of genotype (f _(2, 26) = 6.006, P < 0.05; Figure 5.9A). Bonferroni corrected pair-wise comparisons between groups confirmed that the Hdh^{Q111+/+} mice had significantly more static counts than WT and Hdh^{Q111+/-} mice (both P < 0.05). WT and Hdh^{Q111+/-} mice showed similar static counts (P > 0.05). There was no significant effect of day (f _(3, 78) = 1.574, P > 0.05) or day *vs.* genotype interaction (f _(6, 78) = 1.613, P > 0.05; Figure 5.9B).



Figure 5.8: Hdh^{Q111} mice show similar exploratory rearing in the activity box (13 months)

A repeated measures ANOVA indicated no effect of genotype ($f_{(2, 26)} = 1.200, P > 0.05$), an effect of day ($f_{(3, 78)} = 26.170, P < 0.05$) and no day vs. genotype interaction ($f_{(6, 78)} = 0.165, P > 0.05$).

A) Histograms showing mean number (\pm SEM) of rearing counts as measured by beam breaks in WT (n = 9), $Hdh^{Q111+/+}$ (n = 10) and $Hdh^{Q111+/-}$ (n = 10) mice at the end of a 4 day period.

B) Line graph showing the mean number $(\pm SEM)$ of rearing counts as measured by beam breaks on Days 1 to 4 in WT, $Hdh^{Q111+/+}$ and $Hdh^{Q111+/-}$ mice.



Figure 5.9: Hdh^{Q111+/+} mice show increased freezing in the activity box (13 months)

A repeated measures ANOVA indicated an effect of genotype ($f_{(2, 26)} = 6.006$, P < 0.05), no effect of day ($f_{(3, 78)} = 1.574$, P > 0.05) and no day vs. genotype interaction ($f_{(6, 78)} = 1.613$, P > 0.05).

A) Histograms showing mean number (\pm SEM) of static counts as measured by beam breaks in WT (n = 9), $Hdh^{Q111+/-}$ (n = 10) and $Hdh^{Q111+/-}$ (n = 10) mice at the end of a 4 day period. Bonferroni pairwise comparisons $Hdh^{Q111+/+}$ mice had significantly more static counts than WT and $Hdh^{Q111+/-}$ mice (both P < 0.05), WT and $Hdh^{Q111+/-}$ mice had similar static counts (P > 0.05).

B) Line graph showing the mean number (\pm SEM) of static counts as measured by beam breaks on Days 1 to 4 in WT, Hdh^{Q111+/+} and Hdh^{Q111+/-} mice.

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5.5.4: Active time

The total number of active time counts at the end of the 4 days was assessed. A repeated measures ANOVA was performed with genotype (WT *vs.* Hdh^{Q111+/+} *vs.* Hdh^{Q111+/-}) as the between subjects factor and day (1 - 4) as the within subjects factor. Results indicated that there were no effect of genotype (f_(2, 26) = 0.279, P > 0.05; Figure 5.10A). There was an effect of day (f_(3, 78) = 3.040, P < 0.05) revealing a general decrease in activity over the four days of testing suggesting inter-session habituation. There was no day *vs.* genotype interaction (f_(6, 78) = 0.984, P > 0.05) (Figure 5. 10B).

5.5.5: Mobile time

The total number of mobile time counts at the end of the 4 days was assessed. A repeated measures ANOVA was performed with genotype (WT *vs.* Hdh^{Q111+/+} *vs.* Hdh^{Q111+/-}) as the between subjects factor and day (1 - 4) as the within subjects factor. Results indicated that there was no effect of genotype (f_(2, 26) = 0.219, P > 0.05; Figure 5.11A). There was an effect of day (f_(3, 78) = 18.466, P < 0.05) revealing a general decrease in activity over the four days of testing suggesting inter-session habituation. There was no day *vs.* genotype interaction (f_(6, 78) = 1.037, P > 0.05) (Figure 5.11B).



Figure 5.10: Hdh^{Q111} mice show similar active time counts in the activity box (13 months)

A repeated measures ANOVA indicated no effect of genotype ($f_{(2, 26)} = 0.279, P > 0.05$), an effect of day ($f_{(3, 78)} = 3.040, P < 0.05$) and no day vs. genotype interaction ($f_{(6, 78)} = 0.984, P > 0.05$).

A) Histograms showing mean number (\pm SEM) of active time counts as measured by beam breaks in WT (n = 9), Hdh^{Q111+/+} (n = 10) and Hdh^{Q111+/-} (n = 10) mice at the end of a 4 day period.

B) Line graph showing the mean number (\pm SEM) of active time counts as measured by beam breaks on Days 1 to 4 in WT, Hdh^{Q111+/+} and Hdh^{Q111+/-} mice.

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Figure 5.11: Hdh^{Q111} mice show similar mobile time counts in the activity box (13 months)

A repeated measures ANOVA indicated no effect of genotype ($f_{(2, 26)} = 0.219, P > 0.05$), an effect of day ($f_{(3, 78)} = 18.466, P < 0.05$) and no day vs. genotype interaction ($f_{(6, 78)} = 1.037, P > 0.05$).

A) Histograms showing mean number (\pm SEM) of mobile time counts as measured by beam breaks in WT (n = 9), $Hdh^{Q111+/+}$ (n = 10) and $Hdh^{Q111+/-}$ (n = 10) mice at the end of a 4 day period.

B) Line graph showing the mean number (\pm SEM) of mobile time counts as measured by beam breaks on Days 1 to 4 in WT, Hdh^{Q111+/+} and Hdh^{Q111+/-} mice.

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5.6: Discussion

Although they are considered by some to be more relevant genetic models, knock-in mouse models have received relatively little attention in comparison to transgenic models of HD. This is mainly to do with the lack of an obvious motor phenotype in knock-in mouse models at an early age (Shelbourne *et al.*, 1999; Wheeler *et al.*, 2000; Lin *et al.*, 2001). In an attempt to characterise the motor phenotype of the Hdh^{Q111} mouse model of HD, the rotarod was used to assess motor co-ordination, while the circular running tracks and the activity box were used to assess locomotor activity.

The motor co-ordination of the Hdh^{Q111} mouse was assessed using the accelerating rotarod paradigm. Analysis of the raw data suggested that, in agreement with Mennalled and colleagues (2009), Hdh^{Q111+/+} and Hdh^{Q111+/-} mice demonstrated an increased latency to fall from the rotating rod when compared to WT animals, suggesting an enhanced performance in the Hdh^{Q111+/+} mice at early ages. However, analysis of the normalised data indicated a slight reduction in rotarod performance in Hdh^{Q111+/+} and Hdh^{Q111+/-} mice from 6 and 7 months respectively, although this was not consistent at all the subsequent ages. However it must be emphasised that as the Hdh^{Q111} mice showed evidence of an enhanced performance at 2 and 3 months, the subsequent reduction in performance on the rotarod could perhaps be indicative of a return to a 'normal' motor phenotype, rather than a display of a motor deficit. Subsequently there is a need for more sensitive tests to be used to more accurately assess the motor phenotype of the Hdh^{Q111} mouse.

The motor co-ordination of rodents can also be tested using a fixed speed protocol, in which subjects are placed on the rotating rod at fixed, increasing speeds. Furthermore, the fixed speed rotarod has been shown to be more sensitive to striatal dysfunction, a pathological phenotype of HD (Monville *et al.*, 2006). In agreement, Hickey *et al.* (2008) demonstrated that while the CAG140 mouse model of HD was not impaired in the accelerating rotarod paradigm, subsequent testing using the fixed speed rotarod protocol revealed deficits when compared to control animals. It would therefore perhaps be beneficial to test the Hdh^{Q111} mice using a fixed speed rotarod protocol in order to determine if a more robust motor deficit can be displayed.

However, even in the more severe transgenic models of HD, *e.g.* the R6/2 mouse, the rotarod does not reveal deficits until after the synaptic, molecular and pathological abnormalities have been detected (Hickey *et al.*, 2005; Stack *et al.*, 2005). Therefore it would perhaps be of value if the Hdh^{Q111} mouse could be tested using more sensitive tests in an attempt to detect anomalies at an earlier age. Other tests, including the balance beam and foot print analysis, have been used to assess fine motor co-ordination and balance in other mouse models of HD (Carter *et al.*, 1999; Hickey *et al.*, 2005; Stack *et al.*, 2005; Stack *et al.*, 2005; Mickey *et al.*, 2008) and could perhaps be used to further examine the early motor phenotype of the Hdh^{Q111} mouse model of HD.

The spontaneous locomotor activity was assessed in the circular runways and activity box. The use of circular runways to assess locomotor dysfunction in rodents is advantageous as there are no corners, thereby encouraging spontaneous locomotion in rodents. The lack of abnormalities in the Hdh^{Q111} mouse at 13 months is in agreement with other studies who have demonstrated no differences in exploratory behaviours in the open field test up to the age of 17 months (Wheeler *et al.*, 2000; Menalled *et al.*,

2009). However, it is possible that a motor phenotype could perhaps emerge if testing had been extended to include older mice.

Of specific interest, it was demonstrated that the static counts in the activity box differed in the Hdh^{Q111+/+} mice. Analysis of the static counts demonstrated that Hdh^{Q111+/+} mice exhibited an increased freezing response, as indicated by a significant increase in the static counts when compared to WT and Hdh^{Q111+/-} mice. In addition, distinct from both WT and Hdh^{Q111+/-} mice that demonstrated a decrease their static counts over the 4 days, the Hdh^{Q111+/+} mice showed similar "freezing" responses over the course of the experiment. These data indicates that the Hdh^{Q111+/+} mouse may exhibit a slightly anxiogenic phenotype. In order to determine if this is a true phenotype it would be advantageous to assess the anxiogenic phenotype of the Hdh^{Q111} mouse in more depth. Previous studies have identified an anxiogenic phenotype in other rodent models of HD by utilising the open field test and light-dark box (Hickey et al., 2005; Mennalled et al., 2009; Pang et al., 2009; Pouladi et al., 2009). In particular, a study utilising a novelty suppressed feeding task has recently shown that an anxiogenic phenotype has been observed in the Hdh^{Q111} mouse prior to the emergence of an overt motor phenotype (Orvoen et al., 2012). Collectively these studies suggest that anxiety may be an early feature of HD (Hickey et al., 2005; Mennalled et al., 2009; Pang et al., 2009; Pouladi et al., 2009; Orvoen et al., 2012), a psychiatric feature which is also present in human patients of HD (Sturrock and Leavitt, 2010). As a possible anxiogenic phenotype is suggested by the increased freeze response of the Hdh^{Q111+/+} mice in the activity box, it would perhaps be valuable to assess the anxiogenic phenotype by utilising the light-dark box and novelty suppressed feeding task, to examine this phenotype more thoroughly.

<u>6: Experiment 2: Characterisation of the cognitive</u> phenotype of the Hdh^{Q111} mouse

<u>6.1: Introduction: HD and memory</u>

6.1.1: Cognitive deficits in human patients of HD

A number of studies have attempted to characterise the early subtle cognitive changes in human patients with HD (Hahn-Barma et al., 1998; Lawrence et al., 1998; Kirkwood et al., 2000; Verny et al., 2007). All subjects in these studies were prodromal and free of the psychiatric (i.e. depression and anxiety) and motor deficits associated with HD, including chorea and tremors. In these studies the memory of carriers and non-carriers of the *Huntingtin* gene was assessed using either the Wechsler memory scale (WMS) (Hahn-Barma et al., 1998; Lawrence et al., 1998; Kirkwood et al., 2000; Verny et al., 2007), the California verbal learning test (CVLT; Hahn-Barma et al., 1999; Verny et al., 2007), or the Cambridge Neuropsychological Test Automated Battery (CANTAB; Lawrence et al., 1998). The WMS is designed to test multiple features of human memory, which are categorised into auditory memory, visual memory, visual working memory, immediate memory, and delayed memory whereas CVLT tests allows the quantification and qualification of short and long term recognition and recall (Hahn-Barma et al., 1999). The CNTB test examines various aspects of cognitive function, including working memory and executive function, visual memory, attention and reaction time, semantic memory, decision making and episodic memory (Lawrence et al., 1998). In addition, the subjects were tested with the Wechsler Adult Intelligence Scale-Revised (WAIS-R), a psychometric test used to assess basic linguistic function

(Hahn-Barma *et al.*, 1999; Lawrence *et al.*, 1998; Kirkwood *et al.*, 2000; Verny *et al.*, 2007). Results indicated that there were significant differences in the cognitive performance of non-carriers and carriers of the *Huntingtin* gene, including impairments in logical memory, short/long term recall and recognition, episodic memory, attention and verbal fluency (Hahn-Barma *et al.*, 1998; Lawrence *et al.*, 1998; Kirkwood *et al.*, 2000; Verny *et al.*, 2007). The results of these studies therefore suggest that cognitive changes, without overt motor, or psychiatric disturbances, represent the first stages of HD (Hahn-Barma *et al.*, 1998; Lawrence *et al.*, 1998; Kirkwood *et al.*, 2000; Verny *et al.*, 2007). It is these cognitive deficits in short/ long term recognition and episodic memory that I aim to test in the Hdh^{Q111} mouse in order to demonstrate that the Hdh^{Q111} mouse is an accurate cognitive model of HD (see section 6.2).

6.1.2: Cognitive deficits in animal models of HD

Several studies have assessed the cognitive performance of animal models of HD in an attempt to detect the early cognitive deficits associated with the human disorder (Lione *et al*, 1999; Van Raamsdonk *et al.*, 2005; Pang *et al.*, 2006; Nithianantharajah *et al.*, 2008; Simmons *et al.*, 2009). For more details on the genetics of the mouse models described in this section, please see section 1.2.

Many studies have demonstrated a role of the hippocampus in spatial memory. The MWM has been used to test the spatial learning in the R6/2 mouse (testing from 3 weeks of age, over 19 days) (Lione *et al.*, 1999). In this study the mouse was trained to find a visible platform within a circular pool. Following training, the platform was submerged and the mouse was trained to swim to the hidden platform. The platform was subsequently removed and the swimming path of the mouse was examined to

assess memory for the original location of the platform. During a reversal test the platform was moved to another location in the pool, and the ability of the mouse to learn the new position of the platform was assessed. Results indicated that R6/2 mice showed similar performance in the visible platform version of the MWM task (Lione et al., 1999). However, when the platform was removed, the R6/2 mouse spent significantly less time in the original location of the platform when compared to control (Lione et al., 1999). Furthermore, R6/2 mice took less direct routes and took longer to locate the new platform position during the reversal learning phase and spent less time in the location of the platform when it was removed for the reversal test. Although the visible platform version of the MWM is a test of spatial memory, it is not dependent on the hippocampus. On the other hand, the impairments of the R6/2 mice in the hippocampus-dependent hidden platform and reversal test versions of the MWM emphasise the role of the hippocampus in the early cognitive deficits of HD (Rosas et al., 2003). Considering the role of the hippocampus in episodic memory (see section 3.1.2), it is possible that, using appropriate behavioural testing, episodic memory deficits could be displayed in the Hdh^{Q111} model of HD.

The T-maze has been used to test spatial learning in the R6/2 (5 – 6.5 weeks; Lione *et al.*, 1999), R6/1 (14 weeks; Pang *et al.*, 2006) and YAC128 (8.5 months; Van Raamsdonk *et al.*, 2005) mouse models of HD. During 'forced' alteration training, one of the T-Maze arms was blocked (*e.g.* the right arm), forcing the mouse to enter the other arm to receive a food reward (*e.g.* the left arm; Lione *et al.*, 1999; Pang *et al.*, 2006). During the first trial the mouse had a 'free choice' and a reward was placed at the end of both arms. On each subsequent trial the mouse was considered to have made a correct choice if it entered the arm that it had not previously visited. The process was

repeated until the mouse had successfully completed the required number of trials with the number of correct trials/ errors being used as an indication of memory. In the study by Van Raamsdonk et al. (2005), a swimming T-Maze was used and the mouse was trained to locate a floating platform in alternate arms of the T-Maze. The results of all 3 of these studies indicated that the mouse models of HD made more errors when compared to control animals in the T-maze (Lione et al., 1999; Pang et al., 2006; Van Raamsdonk et al., 2005). Similar deficits were found in studies in which the short-term spatial memory of the R6/1 mouse was tested using the Y-Maze (Pang et al., 2006; Nithianantharajah et al., 2008). Similar to the T-Maze, in the Y-Maze task the mice were tested for their ability to identify the arm they had not previously entered (*i.e.* the novel arm), although in this test the length of time spent in the novel arm was used as an indication of memory. Results indicated that while at 12 - 14 weeks, control animals spent an increased amount of time in the novel arm, the R6/1 mouse showed no preference, spending an equal amount of time in all 3 arms (Pang et al., 2006; Nithianantharajah et al., 2008). These studies therefore provide evidence of short-term hippocampal-dependent memory deficits in mouse models of HD (Lione et al., 1999; Pang et al., 2006; Van Raamsdonk et al., 2005; Nithianantharajah et al., 2008). However, as the T-maze required training in which forced alteration behaviour was rewarded, it can be argued that it is not necessarily the spontaneous behaviour of the animal that is being assessed. As episodic memory is formed by a single exposure to an event (section 3.1.1 and 3.1.2.), the development of a hippocampal-dependent test that assesses spontaneous cognitive behaviour, without the need for training and/ or a reward would therefore benefit the assessment of episodic memory in the Hdh^{Q111} mouse.

Interestingly, the cognitive processes of the R6/1 (12 and 14 weeks; Nithianantharajah et al., 2008) and CAG140 (16 weeks; Simmons et al., 2009) mouse models of HD have been tested using the novel object recognition task (see sections 3.4.1 and 6.1.2). The novel object recognition task does not require any training and assesses memory by utilising the spontaneous novelty seeking behaviour of rodents and therefore does not rely on positive reinforcement, such as rewards, that could alter behaviour. In the study by Nithianantharajah and colleagues (2008), the short-term memory of the mice was tested using the novel object recognition task (section 3.4.1, Figure 3.1A). Results indicated that, at 12 and 14 weeks, both the R6/1 and control animals show similar preference for the novel object. However, R6/1 mice show impairments in location memory (see section 3.4.3, Figure 3.1E; Nithianantharajah et al., 2008). Previous studies have suggested that location memory is heavily dependent on the hippocampus (Ennaceur et al., 1997; Mumby et al., 2002 – see section 3.4.3), while the novel object task is not hippocampus-dependent (Bussey et al., 2000; Mumby et al., 2002; Langston and Wood, 2010 – see section 3.4.1), perhaps providing a reason for the differences in cognitive impairments in this study and again implicating the hippocampus in the early cognitive deficits of HD (Nithianantharajah et al., 2008).

Previous studies have also indicated that the hippocampus could be involved in longterm novel object recognition tasks (Clark *et al.*, 2000; Broadbent *et al.*, 2004 – see section 3.4.1). In the study by Simmons and colleagues (2009) Hdh^{Q140} mice were tested for 60 minutes over 4 consecutive days in an open-field environment. On Day 1 the open field did not contain any objects. On testing Days 2 and 3 two identical objects were added to the arena. On Day 4 one of the objects was replaced with a novel object. Although wild type mice showed increased exploration at the novel object, Hdh^{Q140} mice were impaired in their ability to identify the novel object when compared to wild type mice following a 24 hour delay. These data indicates that a long-term recognition memory deficit is present in the Hdh^{Q140} mouse model of HD at 16 weeks.

In summary these studies indicate that the cognitive decline occurs in human patients and mouse models of HD prior to the onset of motor abnormalities. Cognition deficits in human patients of HD include impairments in short/long term recognition memory and episodic memory. Furthermore, these cognitive deficits are also seen in various rodent models of HD, specifically in tests that have been shown to involve the hippocampus. Collectively, these studies suggest that cognitive decline could act as an early marker of HD in mouse models, mirroring the progression of the clinical symptoms seen in humans. In this thesis the cognitive performance of the Hdh^{Q111} mouse model of HD will be assessed by utilising the intrinsic novelty-seeking behaviour characteristic of rodents. As no training and/ or positive reinforcers are required, it can be argued that tests assessing the spontaneous behaviour of mice are therefore more indicative of natural behaviour of the mouse. Similar to the studies discussed previously (Nithianantharajah et al., 2008; Simmons et al., 2009) the shortand long-term memory of Hdh^{Q111} mice will be assessed using the novel object recognition task in order to model the recognition memory deficits seen in HD patients. Furthermore, in an attempt to replicate the episodic memory deficits associated with HD, the novel object recognition test will be extended to test the 'what', 'where' and 'which' of episodic-like memory (Eacott and Norman, 2004; Langston and Wood, 2010; see section 3.4.2).

6.2: Methods

6.2.1: Assessment of episodic memory: long protocol

In order to assess episodic memory, it is necessary to demonstrate that Hdh^{Q111} mice are also capable of demonstrating memory for the individual components of episodic memory. The following section will present the methods for the novel-object, object-place, object-context and object-place-context (episodic memory) tasks.

6.2.1.1: Subjects

WT, Hdh^{Q111+/+} and Hdh^{Q111+/-} mice (male and female, 2 and 13 months) were used as subjects. Note, in the cognition experiments in the following chapters, the age stated at the beginning of each section represents the age at which the cognition testing commenced. All mice were housed in groups of 2-6 and kept on a 12 hour light/ 12 hour dark cycle (dawn at 5am, dusk at 5pm). All mice had unrestricted access to water and food throughout the experiment.

6.2.1.2: Apparatus

All testing was carried out in a rectangular arena (width = 24 cm; length = 28 cm; depth = 20 cm) (Figure 6.1). The walls of the arena were interchangeable in order to create two different contexts. Context 1 consisted of a floor and wipeable white walls. Context 2 consisted of a white plastic floor insert with holes across its surface. The original floor of context 1 remained exposed through these holes to emphasise that it was the same arena in the same location and that only local features had been altered. The walls of context 2 consisted of 4 cardboard inserts, covered with a wipeable green tile-effect wall paper. Reusuable Velcro strips were placed in the same location on each of the

floor inserts on which the objects were always presented. These locations were centrally located within the north-west and north-east quadrants of the box. At the north-west and north-east corners of the box, large 3D visual cues were hung from the wall. These cues were maintained in a constant position in the testing room relative to the testing box. The wall and floor inserts were always placed in the same location and orientation to control for any local cues present on the testing apparatus. The configuration of the box as either context 1 or context 2 was counterbalanced across the genotypes for each task. In addition, each context was wiped down with lemon antibacterial wipes (Tesco) in between each time a mouse entered the testing box.

For each task, the exploration times of the mice at each object were recorded. Exploration was defined as the mouse being within approximately 2 cm of the object, directing its nose at the object and being actively involved in exploration *e.g.* sniffing or whiskers twitching. If the mouse was sitting on or next to the object with no signs of exploration, these time periods were not included. Objects for exploration were collected from a variety of sources but had to fulfil the criteria of being easily cleaned, made from nonporous materials and either heavy enough that the mice could not push them over or having a suitable base where a Velcro strip could be attached. Objects were always presented in the same locations and orientations in the testing box (see Figure 6.1).

6.2.1.3: Behavioural testing

All tests were carried out in the light phase 4 days a week. The mice were tested on the novel-object, object-place, object-context, and then object-place-context task.

6.2.1.4: Habituation

Prior to experimentation, the mice were removed from their home cage and handled in order to ensure that the mice were used to the experimenter. Initial habituation sessions were carried out before behavioural testing commenced to familiarise the mice with the arena, the two different contextual configurations of the testing arena and the locations in which these objects would be placed. Each day, the mice were brought into the testing room in their home cage (2 - 6 mice), which was placed on a trolley near the test arena. On Day 1 the mice were placed within the arena, which was arranged in 1 of the 2 contexts, for 15 minutes in their cage groups. The animals were then removed and placed back into the home cage, while the context configuration was changed. The animals were then replaced back into the arena in their cage groups for a further 15 minutes before being returned to their home cage. On Day 2, the mice were placed in one of the two contexts individually for 5 minutes, placed in a holding chamber, an opaque bucket containing sawdust, while the context was changed and then placed back in the arena for the second context for an additional 5 minutes before being returned to their home cage. Care was taken to ensure that the mouse was placed within the arena at the same position, facing the south wall, as would happen during testing. No objects were present in the testing arena on Days 1 and 2. On Day 3 and 4, mice were placed in one of the two contexts and two objects were placed within the arena in the north-west and north-east corners; alternate contexts and different objects were used on each day. Days 3 and 4 ensured that the mice were familiar with the locations in which the objects would appear. Each object was presented only once during habituation and did not appear at any later stage of testing.

6.2.1.5: Object recognition task

Two days after the end of habituation, mice were tested on the novel object recognition test to ensure that the animals in both groups were able to discriminate between objects (Figure 6.1A).

On each trial, the mouse to be tested was removed from its home cage and placed in the holding box next to the test arena. In the sample phase, the mouse was timed exploring the two identical objects in the testing box for 3 minutes after which the mouse was removed from the arena and placed in the holding box. After a short delay (~2 minutes), the mouse was presented with one object that was a third copy of the objects seen in the sample phase while the other object was completely novel. This test phase was carried out using the same procedures as the sample phase. After each test phase, the animal was returned to its home cage. Each mouse received four trials of the novel object recognition task with an inter-trial interval of 24 hours. Two trials took place in context 1 and two trials took place in context 2. For two trials, one in each context, the novel object occurred on the left and for the other two it occurred on the right.

6.2.1.6: Object-place task

The procedure for the mice in the object-place task was similar to that used in the object recognition task, except that the objects in the sample and test phases were manipulated differently (Figure 6.1B). During the sample phase, two different objects were present in the test arena. During the test phase, which occurred in the same context as the sample phase, a further two copies of one of the two objects presented in the sample phase was presented. Thus, during the test phase, one of the objects was presented in the same place as it had previously been in the sample phase, whereas the other was in a

place that had previously been occupied by a different object. In this situation, both the objects and the places were familiar but for one object the object-place configuration was novel. Each mouse received four trials on the object-place task with an inter-trial interval of 24 hours. Two trials took place in context 1 and two trials took place in context 2. For two trials, one in each context, the novel object-place configuration occurred on the left and for the other two it occurred on the right.

6.2.1.7: Object-context task

The procedure for the mice in the object-context task was similar to that used in the previous tasks but there were two sample phases, one in each context, followed by a single test phase (Figure 6.1C). Between each sample phase the mouse was removed and placed in the holding box while the test arena was cleaned and reconfigured. During the first sample phase (Sample 1), two identical copies of an object were placed within the test arena, which was configured as either context 1 or 2. For the second sample phase (Sample 2), the testing arena was reconfigured as the opposite context and two identical copies of another object were presented. For the test phase, the test arena was reconfigured as either the context from Sample 1 or remained in the same context as Sample 2. One copy of the object from Sample 1 and one copy of the object from Sample 2 were present. Thus, the objects, their absolute locations, and the contexts were all familiar by the test phase, but for one object the object-context configuration was novel. Each mouse received four trials on the object-context task. The trials were arranged in such a way that counterbalanced for the effects of relative recency on memory for the objects or the contexts. For two trials, one in each context, the novel object-context configuration occurred on the left and for the other two it occurred on the right.

6.2.1.8: Object-place-context task

The procedure for the mice in the object-place-context task was similar to that used in the object-context task. During the first sample phase (Sample 1), the test arena was configured as either context 1 or context 2, and two different objects were placed in the test arena (e.g. object A on the left and object B on the right) (Figure 6.1D). During Sample 2, the box was configured as the context not used in Sample 1, and identical copies of the same two objects used during Sample 1 were present, but their locations were swapped relative to Sample 1 (e.g. object B on the left and object A on the right). For the test phase, the test arena was either reconfigured as the context used in Sample 1 or remained in the same context as Sample 2. During the test phase, two identical copies of one of the objects from the sample phases were present. Thus, one of the object copies was in an object-place-context configuration in which it had not been previously experienced (novel). Each mouse received four trials on the object-place-context task. The trials were arranged in such a way that counterbalanced for the effects of relative recency on memory for the objects or the contexts. Again, for two trials, one in each context, the novel object-place-context configuration occurred on the left and for the other two it occurred on the right.

6.2.1.9: Data collection and analysis

The mice were monitored by an overhead video camera (Sony HandyCam) and viewed by the experimenter on a television monitor (LG). The time spent exploring was recorded manually, using key press-activated timers to time exploration at the left and right objects before being entered into a Microsoft Excel spreadsheet, in which further analysis was performed. SPSS software was used for all statistical analysis. Note, in all the following results chapters, the statistical test used is defined in the appropriate section. The exploration times at each object was noted in both the sample and test phases in order to provide a comparison of exploration between genotypes. In the object-context and object-place-context tasks, the exploration times from each sample phase were combined and used to calculate a mean exploration. In addition, the raw times spent at each object in the test phase were converted into a discrimination index for each mouse using the formula (time at novel – time at familiar) / (time at novel + time at familiar) where novel refers to the novel object and familiar refers to the other object. A value of zero indicates no preference, whereas as a positive value indicates preferential exploration of the novel configuration. For each mouse, a discrimination indices for the four trials on that task

A: Novel Object

(Apparatus dimensions w=24 cm; l=28 cm; d=20 cm)

Sample

Test







Test





Sample 1



Sample 2



Test









Figure 6.1: Representation of the apparatus used to show episodic memory. (A), object-place (B), object-context (C) and object-place-context task (D). Context 1 consisted of a wooden floor and wipeable white walls. Context 2 consisted of a white plastic floor insert with holes across its surface. Objects were presented in the same locations for each trial. Mice were placed into the testing apparatus from the south side, facing the south wall for each sample and test phase.

As it was important to identify cognitive deficits at the earliest possible time point, it was necessary to assess cognition in a precise time-specific manner. Therefore, in addition to the long protocol, a shorter protocol was also used to assess episodic memory. The general protocol was similar to that stated previously (section 6.2.1) but differed in the way that instead of taking 5 weeks to complete, the mice had completed all 4 tasks in 3 days (Figure 6.1).

Mice were habituated to the test arena in the same way as stated previously (section 6.2.1.4), although habituation was over the course of 1 day, instead 4. The mice were subsequently tested on the spontaneous recognition memory tasks on Days 2 and 3. The testing process was identical to that of the long protocol but instead of each mouse carrying out each task 4 times and an average calculated, each task was only carried out by each mouse once. On Day 2 the mice were tested on the novel-object recognition task in the morning and the object-context task in the afternoon. On Day 3 the mice were tested on the object-place task in the morning and the object-place task were calculated as stated previously (section 6.2.1.9).

6.2.3: 24 hour novel object recognition task

The hippocampus has been linked to the early cognitive deficits in HD (Rosas *et al.*, 2003). Studies have demonstrated that, at long delays, novel object recognition can be dependent on the hippocampus (section 3.4.1). In order to provide another cognitive test that could assess the integrity of the hippocampus in HD, the 24 hour novel object recognition test was used to assess long-term memory in Hdh^{Q111} mice.

6.2.3.1: Subjects

WT, Hdh^{Q111+/+} and Hdh^{Q111+/-} mice (1 and 2 months) were used as subjects. Mice were kept in the same conditions as stated previously (section 6.2.1.1)

6.2.3.2: Apparatus

Due to the fact that some of the mice participating in the 24 hour novel object recognition task were also tested using the previous recognition tasks (section 6.2.1 and 6.2.2), it was necessary to produce a different arena in order to avoid interference between tests. Therefore the 24 hour novel object task was carried out in a circular arena (width = 37 cm; depth = 19 cm; Figure 6.2). The walls of the arena were covered in a wipeable brown laminate effect paper. Re-usuable Velcro strips were placed in the same location on each of the floor inserts on which the objects were always presented. These locations were located centrally within the north-west and north-east quadrants of the box (Figure 6.2).

6.2.3.3: Habituation

Initial habituation sessions were carried out before behavioural testing commenced to familiarise the mice with the test arena. Each day, the mice were brought into the testing room in their home cage (2 - 6 mice), which was placed on a trolley near the test arena. On Day 1 the mice were removed from their home cage and handled in order to ensure that the mice were used to the experimenter. On the morning of Day 2, the mice were placed within the arena in their home cage groups for 15 minutes. The animals were then removed and placed back into their home cage. In the afternoon of Day 2 the mice were placed into the empty arena individually for 10 minutes each before being removed and placed back in their home cage.

6.2.3.4: 24 hour novel object recognition task

After habituation the mice were tested in the 24 novel object recognition task. The 24 hour novel object task differed from that stated previously (section 6.2.1.5) in as far as each mouse participated in 2 sample phases over 2 days (Days 3 and 4; Figure 6.2). The mouse was timed exploring the two identical objects in the test arena for 10 minutes. On Day 5 (Test phase), 24 hours after sample 2, one object was replaced with a novel object and again the time spent exploring each object was recorded for 10 minutes.

6.2.3.5: Data collection

Data was collected as stated in section 6.2.1.9. A record of the exploration at each object was made half way through the experiment (5 minutes) and at the end (10 minutes) of the test phase. The discrimination index was calculated as indicated in section 6.2.1.9.



A: Sample 1



B: Sample 2

C: Test phase



(Apparatus dimensions w=37 cm; d=19 cm)

Figure 6.2: Representation of the apparatus used in the 24 hour novel object recognition task. Following habituation on Day 1, the sample phases (10 minutes) on Days 2 and 3 consist of 2 identical objects (A and B). In the test phase on Day 4 (C), one of the objects is replaced with a novel object. Exploration at each of the objects was recorded, taking note of the exploration times at both 5 and 10 minutes.

6.3: Results: Assessment of episodic memory (2 months)

Episodic memory requires the integration of the 'what', 'where' and 'when' components of memory (Tulving, 1972; section 3.3). Previous studies have demonstrated that rats are capable of identifying the 'what', 'where' and 'which' of episodic-like memory (Eacott and Norman, 2004; Langston and Wood, 2010; section 3.4.2). However, this study is the first time this test has been utilised in mice. In order to assess episodic memory in HdhQ111 mice, it is necessary to demonstrate that they are also capable of demonstrating memory for the individual components of episodic memory. Hdh^{Q111} mice were tested in the novel-object, object-place, object-context and object-place-context (episodic memory) tasks. The discrimination indices of WT (n =24), Hdh^{Q111+/+} (n = 16) and Hdh^{Q111+/-} (n = 24) mice in each of these tasks were evaluated (2 months). A repeated measures ANOVA was performed on discrimination scores with genotype (WT vs. Hdh^{Q111+/+} vs. Hdh^{Q111+/-}) as the between subjects factor and task (novel-object vs. object-place vs. object-context vs. object-place-context) as the within subjects factor. Results showed a significant effect of genotype (f $_{(2,59)} = 41.097$, P < 0.05), task (f _(3, 59) = 162.329, P < 0.05) and a significant task vs. genotype interaction (f $_{(6, 59)}$ = 35.381, P < 0.05) (2 months). The next section will report the performance of Hdh^{Q111+/+}, Hdh^{Q111+/-} and WT mice in each individual task at 2 months.

6.3.1: Object recognition task

WT, Hdh^{Q111+/+} and Hdh^{Q111+/-} mice (2 months) were initially tested in an object recognition test. Bonferroni corrected pair-wise comparisons between groups confirmed that the performance of Hdh^{Q111+/+} and Hdh^{Q111+/-} mice did not differ from WT mice in the object recognition task (P > 0.05; Figure 6.3A). In addition, one-sample *t*-tests showed that all three genotypes explored the novel object significantly more than

expected by chance. These data show that the presence of the mutant *Huntingtin* gene does not impair the ability of the mice to recognise a novel object/remember a familiar object at 2 months.

The exploration times in the object recognition task were assessed. One way ANOVAs showed there were no significant differences in the exploration patterns of the mice in the sample (f $_{(2, 61)} = 0.064$, P > 0.05) and test phase (f $_{(2, 61)} = 0.998$, P > 0.05; 2 months) indicating that the *Huntingtin* mutation does not affect exploration (Figure 6.4A).

6.3.2: Object-place and object-context

The mice were then tested in the object-place and object-context tasks. Bonferroni corrected pair-wise comparisons between groups for each task confirmed that $Hdh^{Q111+/+}$ and $Hdh^{Q111+/-}$ mice did not differ from WT mice in the object-place or object-context tasks (P > 0.05) at 2 months (Figure 6.3B and 6.3C). In addition, the performance of $Hdh^{Q111+/+}$ and $Hdh^{Q111+/-}$ mice was similar in both of these tasks (P > 0.05). Finally, one-sample *t*-tests showed that all three genotypes explored the novel configuration significantly more than expected by chance in both the object-place and object-context tasks (P < 0.05). These data show that the presence of the mutant *Huntingtin* gene does not impair the ability of the mice to recognise a novel/remember a familiar place or context configuration at 2 months.

The exploration times in the associative memory tasks were assessed. One way ANOVAs showed no significant differences in the exploration patterns of the mice in the sample (f $_{(2, 60)} = 0.257$, P > 0.05) and test phase (f $_{(2, 60)} = 1.001$, P > 0.05) of the object-place task (2 months; Figure 6.4B). In addition, one way ANOVAs demonstrated

no significant differences in the exploration patterns of the mice in the sample (f $_{(2, 61)}$ = 1.517, P > 0.05) and test phase (f $_{(2, 61)}$ = 1.698, P > 0.05) of the object-context task (2 months) again demonstrating that the *Huntingtin* mutation does not affect exploration (Figure 6.4C).

6.3.3: Object-place-context task: Episodic memory

The mice were then tested for episodic memory in the object-place-context task. Bonferroni corrected pair-wise comparisons between groups for each task confirmed that the performance of Hdh^{Q111+/+} and Hdh^{Q111+/-} mice significantly differed from WT mice in the object-place-context task at 2 months (both P < 0.05; Figure 6.3D). Bonferroni corrected pair-wise comparisons between each pair of task for the WT mice indicated no significant differences between tasks (all P > 0.05). In contrast, the Hdh^{Q111+/+} and Hdh^{Q111+/-} mice performed significantly worse in the object-placecontext task when compared to the other tasks (both P < 0.05), with no difference between the object-place and object-context tasks (P > 0.05). Finally, one-sample *t*-tests showed that the WT mice (P < 0.05), but not Hdh^{Q111+/+} and Hdh^{Q111+/-} mice (P > 0.05), explored the novel configuration significantly more than expected by chance in the object-place-context task. These data show that the presence of the mutant *Huntingtin* gene impairs the ability of the mice to recognise a novel/remember a familiar objectplace-context configuration. These data therefore implies that an episodic memory deficit exists in the Hdh^{Q111} mouse at 2 months.

The exploration times in the object-place-context task were assessed. One way ANOVAs showed no significant difference in the exploration patterns of the three genotypes in the sample (f $_{(2, 61)} = 0.031$, P > 0.05 and test phase (f $_{(2, 61)} = 0.177$, P >

0.05) of the object-place-context task (2 months) (Figure 6.4D). The lack of exploration differences between genotypes is particularly important in this task as it indicates that the selective impairment in the object-place-context task is not due to secondary changes in exploratory behavior in the Hdh^{Q111} mice. Interestingly, although assessment of the motor phenotype of Hdh^{Q111} mice revealed enhanced performance on the rotarod at 2 and 3 months (section 5.3.2), the lack of differences in the exploration patterns of the Hdh^{Q111} using this paradigm again suggests that further motor testing in the Hdh^{Q111} mouse is required to elucidate a more accurate motor phenotype.





For each panel: Top shows schematic representation of the task. Bottom shows discrimination ratios of WT (n = 24), $Hdh^{Q111+/+}$ (n = 16) and $Hdh^{Q111+/-}$ (n = 24) mice (2 months). All genotypes show similar ability to identify the novel configuration in the (A) novel object, (B) object-place (C) and object-context tasks (all P > 0.05). (D) $Hdh^{Q111+/+}$ and $Hdh^{Q111+/-}$ mice are significantly impaired in their ability to identify the novel configuration in the object-place-context task when compared to WT mice (P < 0.05).

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Figure 6.4: The exploration behaviours of Hdh^{Q111} mice are unaffected at 2 months.

For each panel: Top shows schematic representation of the task. Bottom left and right shows total exploration times of WT (n = 24), $Hdh^{Q111+/+}$ (n = 16) and $Hdh^{Q111+/-}$ (n = 24) mice (2 months) in the sample and test phases respectively. A) Novel object recognition task B) Object-place task. C) Object-context task D) Object-place-context task. All genotypes show similar exploration patterns in the sample and test phases of all four tasks (one way ANOVAs; P > 0.05).
6.4: Result: Assessment of episodic memory (13 months).

In order to determine whether the cognitive deficits of Hdh^{Q111} mice were progressive, the spontaneous recognition tests were also used to assess the cognition of WT (n = 9), Hdh^{Q111+/+} (n = 10) and Hdh^{Q111+/-} (n = 10) mice at 13 months. The discrimination indices of the mice were evaluated. A repeated measures ANOVA was performed on discrimination scores with genotype (WT *vs.* Hdh^{Q111+/+} *vs.* Hdh^{Q111+/-}) as the between subjects factor and task (novel-object *vs.* object-place *vs.* object-context *vs.* objectplace-context) as the within subjects factor. Results indicated that there was a significant effect of genotype (f_(2, 24) = 35.227, P < 0.05), task (f_(3, 24) = 16.686, P < 0.05) and a significant task *vs.* genotype interaction (f_(6, 24) = 4.544, P < 0.05) (13 months). The following section will discuss the performance of Hdh^{Q111+/+}, Hdh^{Q111+/-} and WT mice in each individual task at 13 months.

6.4.1: Object recognition task

WT, Hdh^{Q111+/+} and Hdh^{Q111+/-} mice (13 months) were initially tested in an object recognition test. Bonferroni corrected pair-wise comparisons between groups confirmed that the performance of Hdh^{Q111+/+} and Hdh^{Q111+/-} mice did not differ from WT mice in the object recognition task (P > 0.05) (Figure 6.5A). In addition, one-sample *t*-tests showed that all three genotypes explored the novel object significantly more than expected by chance. These data show that the presence of the mutant *Huntingtin* gene does not impair the ability of the mice to recognise a novel object/ remember a novel object at 13 months.

The exploration times in the object recognition task were assessed. One-way ANOVAs showed there were no significant differences in the exploration patterns of the mice in

the sample (f $_{(2, 28)} = 0.350$, P > 0.05) and test phase (f $_{(2, 28)} = 0.406$, P > 0.05) in the object recognition task (13 months) (Figure 6.6A). Although inconclusive due to enhanced performance at early ages, it was previously demonstrated that Hdh^{Q111} mice displayed a mild phenotype on the rotarod from 6 months (see section 5.3.2). However, assessment of the exploration patterns in this task suggest that exploration is unaffected by the *Huntingtin* mutation at 13 months.

6.4.2: Object-place and object-context

The mice were then tested in the object-place and object-context tasks. Hdh^{Q111+/+} and Hdh^{Q111+/-} mice were impaired in both the object-place and object-context task with respect to WT mice (13 months) (Figure 6.5B and 6.5C). Bonferroni corrected pair-wise comparisons between groups for each task confirmed that Hdh^{Q111+/-} and Hdh^{Q111+/-} mice differed from WT mice in both the object-place and object-context (P < 0.05) tasks (13 months). In addition, the performance of Hdh^{Q111+/+} and Hdh^{Q111+/-} mice was similar in both these tasks (P > 0.05). Bonferroni corrected pair-wise comparisons indicated no significant differences in the performance of the WT mice over the objectplace and object-context tasks (P > 0.05). In contrast, the Hdh^{Q111+/-} mice performed significantly worse in the object-context (P < 0.05), but not the object-place task (P >0.05). Hdh^{Q111+/+} mice performed significantly worse in both the object-place and object-context tasks when compared to performance in the object recognition task (P < P0.05). Finally, one-sample *t*-tests showed that WT mice explored the novel configuration significantly more than expected by chance in both the object-place and object-context tasks (P < 0.05). In contrast, both the Hdh^{Q111+/+} and Hdh^{Q111+/-} mice explored the novel configuration significantly more than expected by chance in the object-place (P < 0.05), but not the object-context task (P > 0.05). These data show that although performance is impaired when compared to the WT, the Hdh^{Q111+/+} and Hdh^{Q111+/-} mice are still able to identify the novel configuration in the object-place task. However, data show that the presence of the mutant *Huntingtin* gene impairs the ability of the mice to recognise a novel /remember a familiar context configuration at 13 months.

The exploration times in the associative memory tasks were assessed. One way ANOVAs showed significant differences in the exploration patterns of the three genotypes in the sample (f $_{(2, 28)} = 3.848$, P < 0.05) and test phase (f $_{(2, 28)} = 5.302$, P < 0.05) of the object-place task (13 months; Figure 6.6B). Bonferroni corrected pair-wise comparisons indicated that Hdh^{Q111+/+} (P < 0.05), but not Hdh^{Q111+/-} (P > 0.05) mice explored significantly less than WT mice in both the sample and test phases. The Hdh^{Q111+/+} and Hdh^{Q111+/-} mice showed similar exploration patterns throughout the object-place task (P > 0.05) (Figure 6.6B). However, only Hdh^{Q111+/+} mice showed reduced exploration, and as Hdh^{Q111+/+} and Hdh^{Q111+/-} mice showed similar preference for the novel object-place configuration, it can be assumed that the impairment in the object-place task is not due to reduced exploration.

The exploration times in the object-context task were also assessed. One-way ANOVAs showed there were no significant difference in the exploration patterns of the mice in the sample (f $_{(2, 26)} = 2.923$, P > 0.05) and test phases (f $_{(2, 26)} = 1.273$, P > 0.05) of the object-context task (Figure 6.6C). As Hdh^{Q111} mice are impaired in the object-context task in the absence of any differences in exploration, this supports the suggestion that the cognitive abnormalities in the object-place task are independent of the reduced exploratory behavior in the Hdh^{Q111+/+} mice.





For each panel: Top shows schematic representation of the task. Bottom shows discrimination ratios. All genotypes show similar ability to identify the novel configuration in the object recognition task (P > 0.05) (A). Hdh^{Q111+/+} and Hdh^{Q111+/-} mice are impaired at the object-place task (B) object-context (C) and object-place-context tasks (D) when compared to WT (P < 0.05)



Figure 6.6: Hdh^{Q111}mice do not show any major differences in exploration at 13 months

For each panel: Top shows schematic representation of the task. Bottom left and right shows total exploration times in the sample and test phases respectively. A) Object recognition task. All genotypes show similar exploration patterns in the sample and test phases (one way ANOVAs, P > 0.05). B) Object-place task. Hdh^{Q111+/+} mice show reduced exploration in the sample and test phases (one way ANOVAs, P < 0.05) when compared to WT mice. C) Object-context. All genotypes show similar exploration in both the sample and test phases. D) Object-place-context. Hdh^{Q111+/+} mice explore significantly more than WT and Hdh^{Q111+/-} mice in the sample phase (one way ANOVAs, P < 0.05). Hdh^{Q111+/+} mice explore significantly more than WT mice (one way ANOVAs, P < 0.05) in the test phase.

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6.4.3: Object-place-context task: Episodic memory

The mice were then tested for episodic memory in the object-place-context task. Bonferroni corrected pair-wise comparisons between groups for each task confirmed that the Hdh^{Q111+/+} and Hdh^{Q111+/-} mice were impaired when compared to WT mice in the object-place-context task at 13 months (both P < 0.05; Figure 6.5D). The Hdh^{Q111+/+} and Hdh^{Q111+/-} mice performed similarly in this task (P > 0.05). Finally, one-sample *t*- tests showed that the WT mice (P < 0.05), but not Hdh^{Q111+/+} and Hdh^{Q111+/-} mice (P > 0.05), explored the novel configuration significantly more than expected by chance in the object-place-context task (13 months). These results indicate that, at 13 months, the Hdh^{Q111+/+} and Hdh^{Q111+/-} mice are impaired at the object-place-context task. This impairment is to be expected as I have shown previously that Hdh^{Q111} mice are impaired at many of the component parts of episodic memory when compared to wild type at 13 months (section 6.4.2).

The exploration times in the episodic memory task were assessed. One way ANOVAs showed a significant difference in the exploration patterns of the three genotypes in the sample (f $_{(2, 28)} = 11.824$, P < 0.05) and test phases (f $_{(2, 28)} = 9.398$, P < 0.05) at 13 months (Figure 6.6D). Bonferroni pair-wise corrected comparisons showed that Hdh^{Q111+/+} mice explored significantly more than WT and Hdh^{Q111+/-} mice in both the sample and test phases (P < 0.05) of the object-place-context task. The exploration patterns of the Hdh^{Q111+/-} mice did not differ from WT mice throughout the object-place-context task (both P > 0.05).

6.5 : Results : Assessment of episodic memory: Short protocol

Episodic memory was also assessed using a novel shortened protocol based on the episodic task described earlier (for more details, see section 6.2.1 and 6.2.2). This shortened protocol was used in order to determine whether similar patterns of cognitive abnormalities seen using the longer protocol could be displayed over a shorter testing period. If successful, such a behavioural test would be a valuable tool in determining when early cognitive deficits present. The discrimination indices of WT (n = 14) and Hdh^{Q111+/-} (n = 12) mice were evaluated. A repeated measures ANOVA was performed on discrimination indices with genotype (WT *vs.* Hdh^{Q111+/-}) as the between subjects factor and task (novel-object *vs.* object-place *vs.* object-context *vs.* object-place-context) as the within subjects factor. Results showed a significant effect of genotype (f_(2, 21) = 18.667, P < 0.05), task (f_(3, 21) = 9.727, P < 0.05) and a significant task *vs.* genotype interaction (f_(3, 21) = 6.641, P < 0.05; 2 months). The next section will discuss the performance of WT and Hdh^{Q111+/-} mice in each individual task, using the shortened protocol.

6.5.1: Object recognition task

WT and Hdh^{Q111+/-} mice (2 months) were tested in an object recognition test. Bonferroni corrected pair-wise comparisons between groups confirmed that the performance of Hdh^{Q111+/-} mice did not differ from WT in the object recognition task (P > 0.05) (Figure 6.7A). In addition, one-sample *t*-tests showed that both genotypes explored the novel object significantly more than expected by chance. These data show that the presence of the mutant *Huntingtin* gene does not impair the ability of the mice to recognise a novel object/ remember a familiar object at 2 months.

The exploration times in the non-associative memory task were assessed. One way ANOVAs showed Hdh^{Q111+/-} mice explored significantly less than WT mice in both the sample (f _(1, 25) = 6.087, P < 0.05) and test phase (f _(1, 25) = 5.163, P < 0.05) (Figure 6.8A). However, as demonstrated by the discrimination indices, the reduced exploration of the Hdh^{Q111+/-} mice has not affected the performance of the mice in this task.

6.5.2: Object-place and object-context

The mice were then tested in the object-place and object-context task. Bonferroni corrected pair-wise comparisons between groups for each task confirmed that Hdh^{Q111+/-} mice did not differ from WT mice in the object-place or object-context tasks (P > 0.05) at 2 months (Figure 6.7B and 6.7C). The performance of Hdh^{Q111+/-} mice was similar in both of these tasks (P > 0.05). One-sample *t*-tests showed that both genotypes explored the novel configuration significantly more than expected by chance in both the object-place and object-context tasks (P < 0.05). These data show that the presence of the mutant *Huntingtin* gene does not impair the ability of the mice to identify the novel/ remember the familiar novel place and context configurations at 2 months.

The exploration times were assessed. One way ANOVAs showed no significant difference in the exploration patterns in the sample (f $_{(2, 25)} = 1.166$, P > 0.05) and test phase (f $_{(1, 25)} = 0.018$, P > 0.05) of the object-place task (2 months; Figure 6.8B). In addition, one way ANOVAs demonstrated no significant differences in the sample (f $_{(1, 22)} = 0.026$, P > 0.05) and test phase (f $_{(1, 22)} = 0.369$, P > 0.05) of the object-context task (2 months) demonstrating that the huntingtin mutation does not affect exploration in either of these tasks (Figure 6.8C).

6.5.3: Object-place-context task: Episodic memory

The mice were then tested for episodic memory in the object-place-context task. Bonferroni corrected pair-wise comparisons between groups for each task confirmed that the performance of Hdh^{Q111+/-} mice significantly differed from WT mice in the object-place-context task at 2 months (P < 0.05; Figure 6.7D). Bonferroni corrected pair-wise comparisons between each pair of tasks indicated that WT mice showed no significant differences between tasks (all P > 0.05). In contrast, the Hdh^{Q111+/+} and Hdh^{Q111+/-} mice performed significantly worse in the object-place-context task when compared to the other tasks (both P < 0.05), with no difference between the object-place and object-context tasks. Finally, one-sample *t*-tests showed that WT mice (P < 0.05), but not Hdh^{Q111+/-} mice (P > 0.05), explored the novel configuration significantly more than expected by chance in the object-place-context task. These data show that the presence of the mutant Huntingtin gene impairs the ability of the mice to identify the novel/remember the familiar object-place-configuration at 2 months. Importantly, the results of this study provide similar results to those obtained using the longer protocol (section 6.3). It is therefore possible that this novel shortened protocol could be used to assess early cognitive abnormalities when the developmental window is brief.

The exploration times in the object-place-context task were assessed. One way ANOVAs showed no significant differences in the exploration patterns in the sample (f $_{(1, 24)} = 0.015$, P > 0.05) and test phase (f $_{(1, 24)} = 0.262$, P > 0.05) of the object-place-context task (2 months; Figure 6.8D). The lack of exploration differences between genotypes further emphasises the fact that the impairments in episodic memory are not secondary to any deficits in exploration.



Figure 6.7: The shortened protocol can be used to assess impairments in episodic memory in Hdh^{Q111} mice at 2 months.

For each panel: Top shows schematic representation of the task. Bottom shows discrimination ratios of 2 month old WT (n = 14) and Hdh^{Q111+/-} (n = 12) mice. Both genotypes show similar ability to identify the novel objects in the (A) novel object, (B) object-place, (C) and object-context tasks (P > 0.05). (D) Hdh^{Q111+/-} mice are significantly impaired in their ability to identify the novel configuration in the object-place-context task when compared to WT mice (P < 0.05).



Figure 6.8: The exploration behaviours of Hdh^{Q111} mice are unaffected at 2 months

For each panel: Top shows schematic representation of the task. Bottom left and right shows total exploration times of the WT (n = 14) and Hdh^{Q111+/-} (n = 12) mice the sample and test phases respectively. A) Object recognition task B) Object-place task. C) Object-context task D) Object-place-context task. Hdh^{Q111+/-} mice show reduced exploration in the sample and test phases of the novel object task when compared to WT (one way ANOVAs, P < 0.05) Both genotypes show similar exploration patterns in the sample and test phases of the object-place, object-context and object-place-context tasks (One way ANOVAs, P > 0.05).

In order to provide another cognitive test that could assess the integrity of the hippocampus in HD, the 24 hour novel object recognition test was used to assess long-term memory in Hdh^{Q111} mice. Exploration times were assessed at both 5 and 10 minutes to determine whether the mice explored significantly more over 10 minutes, or whether exploration diminished after 5 minutes. One way ANOVAs demonstrated that although WT and Hdh^{Q111} mice explored significantly more after 10 minutes (all P < 0.05), the discrimination indices calculated at 5 minutes and 10 minutes were similar (all P > 0.05). As a result, all the data presented in the following section represents the data obtained at the end of 10 minutes.

6.6.1: 24 novel object recognition task (2 months)

WT (n = 14), Hdh^{Q111+/+} (n = 11) and Hdh^{Q111+/-} (n = 14) mice (2 months) were tested in a 24 hour novel object recognition test. A one-way ANOVA was performed on discrimination scores and showed a significant effect of genotype (f_(2, 38) = 79.468, P < 0.05; 2 months). Bonferroni corrected pair-wise comparisons between groups confirmed that the Hdh^{Q111+/+} and Hdh^{Q111+/-} mice were impaired when compared to the WT in their ability to identify the novel object after a 24 hour delay (P < 0.05; Figure 6.9A). Finally, one-sample *t*-tests showed that WT mice performed significantly better than chance in the 24 hour novel object recognition task (P < 0.05), whereas the Hdh^{Q111+/+} and Hdh^{Q111+/-} mice did not (P > 0.05).

The exploration times in the 24 novel object recognition task were assessed. One way ANOVAs showed that there was no significant differences in the exploration patterns of the mice in either Sample 1 (f $_{(2, 38)} = 1.091$, P > 0.05), Sample 2 (f $_{(2, 38)} = 0.096$, P > 0.05) or the test phase (f $_{(2, 38)} = 0.292$, P > 0.05) (Figure 6.9B).

6.6.2: 24 hour novel object recognition task (1 month)

Following the identification of impairments in episodic memory (section 6.3) and longterm memory (section 6.5) in Hdh^{Q111} mice at 2 months, it was necessary to determine the age at which the cognitive deficits appeared in the Hdh^{Q111} mice. As a result, longterm memory was also assessed at the age of 1 month.

WT (n = 12) and Hdh^{Q111+/-} (n = 12) mice (1 month) were tested in a 24 hour novel object recognition test. WT and Hdh^{Q111+/-} mice showed similar performance in their ability to identify the novel object after a 24 hour delay (Figure 6.10A). A one-way ANOVA was performed on discrimination scores and showed no significant effect of genotype (f_(1, 23) = 0.021, P > 0.05) at 1 month. One-sample *t*-tests showed that both the WT and Hdh^{Q111+/-} mice performed significantly better than expected by chance in the 24 hour novel object task (P < 0.05) indicating that no cognitive deficits existed in Hdh^{Q111+/-} mice at 1 month.

The exploration patterns were assessed. One way ANOVAs showed that there was no significant differences in the exploration patterns of the mice in either Sample 1 (f $_{(1, 22)}$ = 0.062, P > 0.05), Sample 2 (f $_{(1, 23)}$ = 1.508, P > 0.05) or the Test Phase (f $_{(1, 23)}$ = 0.024, P > 0.05) (Figure 6.10B). The lack of exploration differences between genotypes is particularly important in this experiment as this suggests that the lack of cognitive impairment in Hdh^{Q111} mice is not due to altered exploratory behaviour.



Figure 6.9: Hdh^{Q111} mice show impairments in long-term memory at 2 months A) $Hdh^{Q111+/+}$ (n = 11) and $Hdh^{Q111+/-}$ (n = 14) mice are significantly impaired in their ability to identify the novel object when compared to WT (n = 14; one way ANOVA, P < 0.05) B) All genotypes show similar exploration patterns in both the sample phases and the test phase (one-way ANOVA, P > 0.05).

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Figure 6.10: Hdh^{Q111+/-} mice show no cognitive deficits in the 24 hour object recognition task at the age of 1 month.

A) WT (n = 12) and Hdh^{Q111+/-} (n = 12) mice have a similar performance in their ability to identify the novel object when compared to WT at 1 month (one-way ANOVA, P >0.05) B) Both genotypes show similar exploration patterns in both the sample phases and the test phase (one-way ANOVA, P > 0.05)

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6.7: Discussion

The episodic memory of WT, Hdh^{Q111+/+} and Hdh^{Q111+/-} mice (2 months) was assessed using recognition tasks that have been previously used in rodents (Ennaceur & Delacour, 1988; Bussey et al., 2000; Eacott & Norman, 2004; Dere et al., 2005; Norman and Eacott, 2005; Langston and Wood, 2010). These tasks assess the ability of rodents to discriminate the various aspects of episodic memory, the 'what', 'where' and 'when', as defined by Tulving (1972) (see section 3.3). Studies in rodents have previously demonstrated that rats are capable of displaying episodic-like memory. 'what', 'where' and 'which' (Eacott and Norman, 2004; Langston and Wood, 2010; see section 3.4.2). However, the present study is the first time that this episodic-like memory task has been successfully utilised in mice. As many studies use mice for genetic manipulations in the development of rodent models of human diseases, this study has therefore provided an important novel tool for assessing cognitive impairments in mice. Results indicated that, at 2 months, both Hdh^{Q111+/+} and Hdh^{Q111+/-} mice showed a deficit in episodic memory as demonstrated by the impaired performance in the object-place-context task. Due to the fact that each mouse was tested in all 4 recognition tasks, I was able to confirm that the impairment in the object-placecontext task in the Hdh^{Q111} mice could not be attributed to secondary impairments in the recognition of object-place or object-context configurations and was not caused by a general lack of propensity to explore novelty. Therefore these data indicates that the deficit in the Hdh^{Q111} mice can be specifically attributed to the object-place-context task, our model of episodic-like memory. The deficit in performance of the object-placecontext task suggests that, at 2 months of age, Hdh^{Q111} mice show impairments in episodic memory. Importantly, episodic memory is reported to be impaired in HD patients (Montoya et al., 2006; Solomon et al., 2007). Crucially, the cognitive

impairments in the homozygous and heterozygous Hdh^{Q111} mice are similar, indicating that, reminiscent of the human disorder, only one copy of the mutant gene is necessary to produce cognitive abnormalities, further supporting the validity of the Hdh^{Q111} mouse as a clinically relevant model of HD.

Due to the early cognitive impairments found in Hdh^{Q111} mice at 2 months old, it was necessary to develop a novel protocol that could assess cognitive processes in a reduced time frame. To test this novel protocol, the episodic memory of Hdh^{Q111} mice (2 months) was also assessed using a shortened version of the long episodic memory protocol (section 6.2.1), but instead of taking 5 weeks to complete, the mice had completed all 4 tasks in 3 days. Although this protocol has never been used in mice before, I was able to demonstrate that it could be used to produce similar results to those obtained using the longer protocol. This shortened protocol could therefore allow the cognitive abilities of mice to be examined over a shorter period of time, which is beneficial in a study where early cognitive abnormalities are being examined. In addition, a 24 hour novel object recognition task was used to demonstrate that the Hdh^{Q111} show no cognitive impairments at the age of 1 month. With such a narrow developmental window in which the cognitive deficits become apparent, both the shortened version of the episodic memory task and 24 hour novel object recognition task would therefore be useful in order to determine the specific age at which the cognitive deficits occur in the HdhQ111 mouse model of HD, and also to assess the effectiveness of potential cognitive therapies. Although the 24 hour novel object recognition task is not a model of episodic memory, previous studies have indicated a role of the hippocampus in long-term novel object recognition (Clark et al, 2000; Broadbent et al, 2004; Ainge et al., 2006 – see section 3.4.1). As a result, the 24 hour

novel object task was used in order to provide a second, efficient cognition test that could assess the integrity of the hippocampus in the early stages of HD.

The lack of a short-term memory impairment in the performance of the Hdh^{Q111} mouse (2 months) in the object recognition task agrees with a previous study which indicated that, at 12 and 14 weeks, the R6/1 transgenic model of HD shows similar preference for the novel object when compared to control animals (Nithianantharajah et al., 2008). However, in contrast to the lack of impairment of the Hdh^{Q111} mice in the object-place task (2 months), R6/1 mice show impairments in a test used to assess location memory (12 – 14 weeks; Nithianantharajah et al., 2008). These contrasting results could perhaps be explained by protocol differences (see section 3.4.3). However, the contrasting results could also be explained by the fact that the R6/1 displays a more severe phenotype than the Hdh^{Q111} mouse (see section 1.2.1.2). This suggestion is supported by the observation that the Hdh^{Q111} shows deficits in the object-place task by the age of 13 months. Neuronal cell loss is evident in the hippocampus and it is thought that it is the changes within the hippocampus that underlie the cognitive abnormalities that characterise the early stages of HD (Rosas et al., 2003). It is perhaps the case that, in the early stages of the disorder, deficits only result in tasks that recruit the hippocampus. Rat lesions studies assessing the differential role of the hippocampus in memory could perhaps provide an insight behind the cognitive deficits in Hdh^{Q111} mice at 2 months (Ennaceur et al., 1997; Clark et al., 2000; Mumby et al., 2002; Broadbent et al., 2004; Eacott and Norman, 2004; Ainge et al., 2006; see section 3.4). Lesion studies have shown that the hippocampus is only required for the integration of object, place and context configurations, as memory for the individual components of episodic memory remain intact following lesions of the fornix/ hippocampus (Eacott and Norman, 2004;

Langston and Wood, 2010; section 3.4.2 and 3.4.3). In addition, lesion studies have indicated that the hippocampus could be important in novel object recognition at long delays (Clark *et al*, 2000; Broadbent *et al*, 2004; Ainge *et al*., 2006 – see section 3.4.1). The lack of impairment in the 24 hour novel object recognition task at 1 month therefore suggests that the hippocampus is functioning normally in the Hdh^{Q111} mouse early in development.

The long-term memory of Hdh^{Q111} mice was assessed at 2 months. In contrast to the results of the short-term novel object recognition task, Hdh^{Q111+/+} and Hdh^{Q111+/-} mice were unable to identify the novel object following a 24 hour retention time, whereas WT animals had no problem successfully completing this task. Therefore, in order to gain a better understanding of the contrasting results in the short- and long-term recognition tasks, a task could be designed in which short-term recognition memory (2 minute retention) and long-term recognition memory (24 hour retention) protocols could be more accurately compared *i.e.* with identical sample and test times. In addition, the retention times could also be varied in attempt to determine at which point at which recognition memory becomes impaired. If it is indeed the case that abnormalities within the hippocampus contribute to the early cognitive deficits in HD, it would be beneficial to assess the point at which recognition memory recruits the hippocampus, thus resulting in the development of cognitive impairments in HD.

An alternative explanation could also perhaps explain the contrasting results. Previous studies have demonstrated that patients of HD are unable to recall information (Butters and Grady, 1977; Butters *et al.*, 1978; Caine *et al.*, 1978), and several studies have shown that although human patients are able to store and retain new information, they

are unable to retrieve the information at a later date (Butters *et al.*, 1983; Butters *et al.*, 1985; Moss *et al.*, 1986; Delis *et al.*, 1991; Lundervold *et al.*, 1994). If this is indeed the case, it is possible that in the test of short-term recognition memory, the Hdh^{Q111} mice are using working memory to identify the novel object. However, when longer retention intervals are used, *i.e.* 24 hours, there is requirement for the storage of the encoded information, which the Hdh^{Q111} mice are subsequently unable to retrieve, thereby displaying a cognitive deficit at longer retention intervals. In the case of the short-term cognitive impairment in object-place-task, as a model of episodic memory this task should recruit the hippocampus. Importantly, rat lesion studies have demonstrated that this is indeed the case (Eacott and Norman, 2004; Langston and Wood, 2010 – see section 3.4.2). It is possible that the deficits in episodic memory occur as a result of damage to the hippocampus in the early stages of HD, thus explaining why the short-term recognition memory of object-place and object-context is intact.

The results from the assessment of cognitive processes in the older animals suggest that the early cognitive deficits present in the Hdh^{Q111} mice are progressive, perhaps spreading to different regions of the brain by the age of 13 months. It would therefore be beneficial to know which brain regions are involved in each of the tests used to assess short-term recognition memory. Lesion studies have indicated a role of the perirhinal cortex in the object recognition task (Ennaceur *et al.*, 1996., Bussey *et al.*, 2000), but not the object-place memory (Ennaceur *et al.*, 1997; Eacott and Norman, 2004). In addition, lesions of the perirhinal cortex only cause relatively mild, delaydependent impairments of object–context memory (Norman and Eacott, 2005). However, lesions of the postrhinal cortex impair memory for object–context associations (Norman and Eacott, 2005). In addition, unpublished data has demonstrated that the entorhinal cortex is involved in the processing of object-context configurations (Ainge and Langston, 2010). In agreement, the entorhinal cortex is one of the earliest brain regions to be affected in Alzheimer's disease (Van Hoesen *et al.*, 1991; Gomez-Isla *et al.*, 1996; Du *et al.*, 2001; Mega, 2001) so it is possible that the cognitive deficits in the Hdh^{Q111} mouse could also link to the abnormalities within the entorhinal cortex.

In order to determine which brain regions are specifically activated during each test it is possible to examine Fos protein expression within specific brain regions. The expression of *c-fos*, an early gene-product marker of Fos, can be used as an indirect marker of neuronal activity as expression reflects an intracellular state of cells that varies primarily as a result of recent activation by intercellular signals. If, for example, the perirhinal/ postrhinal or entorhinal cortices are involved in any of the short-term spontaneous recognition memory tasks in mice, that particular brain region would show an upregulation of *c-fos* mRNA expression (Dragunow and Faull, 1989; Day *et al.*, 2008; Van Elzakker *et al.*, 2008). Following these studies, if any brain regions show Fos expression, lesion studies specifically targeting these regions could be performed in control animals in order to assess which brain regions are critical for cognitive processing of the object-place and object-context tasks.

7: Experiment 3: Characterisation of the electrophysiological phenotype of the Hdh^{Q111} mouse

Hippocampal synaptic plasticity has been proposed as a correlate of learning and memory (see section 2.3). Impairments in synaptic plasticity have been demonstrated in mouse models of HD prior to the appearance of an overt motor phenotype (Usdin *et al.*, 1999; Murphy *et al.*, 2000; Lynch *et al.*, 2007; Simmons *et al.*, 2009). Subsequently, it is possible that changes in synaptic plasticity in the Hdh^{Q111} mouse could underlie cognitive deficits seen in HD (Lione *et al.*, 1999; Mazarakis *et al.*, 2005; Van Raamsdonk *et al.*, 2005; see section 6.1). The following section will therefore discuss the hippocampal synaptic plasticity deficits in the Hdh^{Q111} mouse model of HD.

7.1: HD and deficits in LTP

The following section will introduce synaptic plasticity deficits that have been demonstrated in mouse models of HD (Usdin *et al.*, 1999; Murphy *et al.*, 2000; Lynch *et al.*, 2007; Simmons *et al.*, 2009). The synaptic physiology of hippocampal slices derived from wild type and heterozygous mutant 80 CAG mice was assessed (8 – 14 months; for more information on the 80 CAG mouse model, see section 1.2.2.1) (Usdin *et al.*, 1999). Although baseline synaptic function (*i.e.* control fEPSPs) was similar to that of control mice, LTP was significantly reduced for the mutant animals when compared to control (Usdin *et al.*, 1999). However, the LTP deficit in this model of HD could be rescued by applying a stronger LTP induction protocol. Therefore, Usdin *et al.* (1999) speculated that instead of disabling the LTP mechanism, the huntingtin mutation in the 80 CAG mouse increased the threshold for LTP induction.

In addition, a study by Lynch *et al.* (2007) investigated synaptic plasticity in presymptomatic homozygous Hdh^{Q92} and Hdh^{Q111} knock-in mice (2 months; for more information on the Hdh^{Q92} and Hdh^{Q111} mice, see Section 1.2.2.2). Basal synaptic properties were comparable for WT, Hdh^{Q92} and Hdh^{Q111} mice. Furthermore, pairedpulse facilitation did not differ between the genotypes, suggesting that there are no presynaptic abnormalities in this mouse model. However LTP, assessed 60 minutes post-theta burst stimulation (TBS), was significantly reduced in the slices from the knock-in mice (Lynch *et al.*, 2007).

A study by Murphy *et al.* (2000) demonstrated reduced synaptic plasticity in the R6/2 mouse model of HD (for more information on the R6/2 mouse, see section 1.2.1.1). Although basal synaptic transmission was normal, LTP following a high-frequency conditioning stimulus was significantly reduced at the CA1 synapses of hemizygous R6/2 mice at all ages from 5 - 18 weeks. The deficits in synaptic plasticity in the R6/2 mouse are also associated with cognitive deficits as demonstrated by impaired spatial memory at 7 weeks. Similarly, LTP deficits and impairments in long-term memory have been demonstrated in homozygous Hdh^{Q140} mice (8 weeks) (Simmons *et al.*, 2009; for information on the Hdh^{Q140} mouse, see section 1.2.2.3). The results of these studies therefore indicate that dysfunctional synaptic transmission may underlie the cognitive deficits seen pre-symptomatically in HD (Usdin *et al.*, 1999; Simmons *et al.*, 2009).

Collectively, these studies have shown that mutant huntingtin severely impairs the stabilisation of LTP (Usdin *et al.*, 1999; Murphy *et al.*, 2000; Lynch *et al.*, 2007; Simmons *et al.*, 2009). This section will assess basal synaptic transmission and LTP in the Hdh^{Q111} mouse model of HD in order to detect early hippocampal abnormalities,

thereby allowing a further understanding of the relationship between cognitive deficits and synaptic plasticity in HD.

7.2: Methods

7.2.1: Hippocampal brain slice preparation

Adult male WT, Hdh^{Q111+/+} and Hdh^{Q111+/-} mice (2 months) were sacrificed by cervical dislocation in accordance with Schedule 1 of the UK Animals (Scientific Procedures) Act 1986. The brain was dissected was immersed in oxygenated (95% O₂, 5% CO₂) artificial cerebrospinal fluid (aCSF) containing (in mM) 124 NaCl, 3 KCl, 1 MgSO₄.7H₂O, 1.25 NaH₂PO₄, 26 NaHCO₃, 1 CaCl₂ and 10 D-glucose. The cerebellum and lateral portion of the temporal lobes were removed, and the brain was halved down the midline and glued to a metal plate. Sagittal slices (400 µm) were cut using feather blades (Agar Scientific, Essex, UK) while mounted on a Vibratome (Intracel, Series 1000, Royston, Hert, UK), and continuously submerged in aCSF. Sagittal hippocampal slices were cut from each of the bisected hemispheres. Such slices were then placed on a submerged nylon mesh in an incubation chamber, filled with oxygenated aCSF at room temperature for at least an hour before use in electrophysiological recording.

7.2.2: Solution application

A single slice was transferred to a submerged recording chamber (Scientific Systems Design, Mississauga, Ontario, Canada) to obtain electrophysiological recordings. Oxygenated aCSF solution, was held at a bath temperature of 31 - 32°C by a temperature controller (Digitimer, Research Instruments, Hertfordshire, UK), using an oxygenated water chamber to circulate warm water beneath the slice. The slice was

oxygenated in the perfusion system with aCSF (flow rate ~2 ml/min). Gravity feed was manipulated to maintain a constant flow rate and a Gilson pump (AD Instruments, model Minipuls evolution, Calgrove, Oxfordshire, UK) was used for suction.

7.2.3: Generation of field excitatory postsynaptic potentials (fEPSPs).

To monitor basal synaptic transmission a bipolar stimulating electrode, either handmade from twisted Teflon-coated tungsten wire (Advent research materials, Ltd, Eynsham, Oxfordshire, UK) or a commercial electrode (World Precision Instruments, Florida, USA) was used to stimulate the Schaffer collateral-commissural pathway from area CA3 to the CA1 region of the hippocampus. Stimulation of these presynaptic fibres results in the release of glutamate at the synapse between the axons of the CA3 region and dendrites of the CA1 neurons, causing a synchronous postsynaptic depolarization, resulting from the activation of ionotropic receptors (primarily AMPA receptors) and influx of positive ions into the postsynaptic terminal (Amaral and Lavenex, 2007). The stimulus was delivered to the slice every 30 seconds to record dynamic changes in fEPSPs and this was controlled by a constant current isolated electronic stimulator (Digitimer Ltd, model DS2, Hertfordshire, UK) which was electrically isolated to prevent interference from the mains electrical noise. The stimulatory current was adjusted to produce a response with a slope measurement that was 40% of the maximum population spike-free response. fEPSPs were recorded using an aCSF-filled glass borosilicate microelectrode, (Kind precision glass, Inc, Claremont, USA), which had been pulled to the desired tip resistance of less than 5 M Ω (inner diameter of 0.69 mm) by a vertical electronic puller (Narishige, Japan, model PP-830) and placed in the apical dendrite layer of CA1 pyramidal cells. Within the recording electrode was a silver chloride wire (Advent research materials, Ltd, Eynsham, Oxfordshire, UK) and

this, together with a ground electrode of silver chloride wire, was attached to an isolated differential amplifier where the signal was amplified and filtered (3 kHz – 10 kHz) (Warner Instrument Corporation, Connecticut, USA). The output from the amplifier was fed through an oscilloscope (Tektronix, Oregon, USA) and digitised through an acquisition board (BNC-2090, National Instruments, Berkshire, UK). Both stimulating and recording electrodes were positioned using manipulators under visual guidance through a microscope (Olympus, SZ30, Essex, UK).

7.2.4: fEPSP analysis

Analysis of fEPSPs was performed using WinLTP software (Anderson, www.winltp.com). The WinLTP software provides a visual representation of the evoked fEPSP and can also be used to alter the stimulation protocol (e.g. the number, or the duration of pulses) or the parameters of the fEPSP recording (e.g. the length of recording, or amplitude and slope detection values). The fEPSP has a number of characteristic features (Figure 7.1) and measurement of amplitude and slope of the fEPSP can be taken to give an indication of synaptic activity within the neuronal population. Analysis of the slope of the fEPSPs was used as a measurement of the strength of synaptic transmission and was measured from 30% - 70% of the peak amplitude. The percentage increase in fEPSP slope when compared to baseline was calculated offline using Origin 7 software (OriginLab Corporation, Northhampton, MA, USA) and this was used to quantify the magnitude of LTP.

7.2.5: Input-output function

Basal synaptic transmission was studied with an input-output curve. This is a measure of synaptic recruitment, which increases with the input stimulus due to the activation of a greater population of neurons. Input-output curves were generated using increasing stimulus intensities and the slope of each of the evoked fEPSPs was measured. The stimulus was initially set a point at which no fEPSP current was evoked and was then increased at 10 μ A increments. For each stimulation intensity three stable slope recordings were measured at 30 second intervals and the responses were subsequently averaged. The slope of the fEPSP was then plotted as a function of stimulus strength. The curve was completed when a plateau phase was reached and the slope of the fEPSP remained similar at several increasing stimulus intensities.

7.2.6: Paired-pulse facilitation

Paired-pulse facilitation (PPF) was recorded at inter-stimulus intervals of 20, 50, 100, 200, 300, 400 and 500 ms. PPF was plotted as a paired pulse ratio, calculated by dividing the amplitude of the second fEPSP by the amplitude of the first fEPSP *versus* inter-stimulus interval. Values of greater than 1 indicate facilitation. Three steady fEPSP slope responses were recorded at 30 second intervals for each inter-stimulus interval and the measurements were subsequently averaged.

7.2.7: LTP induction

There are a number of stimulation protocols which have been used to induce LTP in the hippocampal slice preparation. The theta burst protocol is characterised by an interburst interval of 200 ms and is considered to be physiologically relevant as similar firing patterns exist in the hippocampus during learning (Otto *et al.*, 1991). A 10 minute stable baseline recording of control fEPSPs was obtained after which LTP was induced, by the same stimulating electrode by applying a 4 pulse TBS (4-TBS) protocol in which 10 bursts of stimulation pulses were delivered at a frequency of 5 Hz; each burst

containing 4 pulses at 100 Hz. The fEPSP measurements were obtained for an additional 60 minutes. The magnitude of LTP was assessed between 40 - 50 minutes post-TBS (for more details on analysis and statistics, see section 7.2.8 and 7.2.9).



Figure 7.1: A field extracellular postsynaptic potential (fEPSP). The amplitude is calculated as the measurement from the baseline to the peak of the fEPSP, while the slope is calculated between 30-70% of the amplitude. The stimulus artifact appears as a brief spike immediately before the fEPSP. The fEPSP represents the simultaneous depolarisation of a population of CA1 pyramidal neurons, which is recorded as a negative voltage deflection due to the flow of positive ions away from the recording electrode into the postsynaptic terminals.

7.2.8: Measurement of LTP

Following a TBS there is an immediate increase in both the slope and amplitude of the fEPSP. This enhanced response decreases rapidly in the first 5 minutes after LTP induction, causing a steep decrease in the slope and the amplitude of the fEPSP. A plateau phase is reached where the slope and amplitude of the fEPSP both reach a steady maintained state, which is enhanced in comparison to the original control fEPSPs. Experiments that did not follow this pattern, *i.e.* decayed below baseline, were discarded. LTP was measured using the average potentiation of the plateau phase, taken at 50 minutes following the 4-TBS. The mean value of each individual slice was calculated and then for the group as a whole. The average potentiation determined from all fEPSPs between 40 - 50 minutes post TBS was used for statistical comparison between the groups. In order to allow experiments to be accurately pooled together, LTP was plotted as a normalised measurement of control fEPSP slope values.

7.2.9: Statistics and analysis

All graphs of electrophysiological experiments were created using Origin 7 software (OriginLab Corporation, Northhampton, MA, USA). Input-output curves were compared using a one-way ANOVA. PPF and LTP measurements were analysed by a repeated measures ANOVA. The analysis used is indicated in the text where appropriate. Significance was noted at the levels of P < 0.05. Data is presented as mean \pm SEM and *n* = number of slices.

7.3: Results

7.3.1: Input/ output function

Normalised input-output curves were generated and compared for WT mice, Hdh^{Q111+/+} and Hdh^{Q111+/-} mice (2 months; age range 59 – 74 days – hereafter referred to as 2 months old). A normalised input-output curve, where the maximum fEPSP slope was set to 100%, was generated. Results showed that there were no significant differences in the normalised input-output curve in 2 month old WT (n = 8), Hdh^{Q111+/+} (n = 9) or Hdh^{Q111+/-} (n = 8) mice (One-way ANOVA: f _(2, 51) = 0.213, P > 0.05; Figure 7.2A).

7.3.2: Paired-pulse facilitation

Paired-pulse facilitation was compared between 2 month old WT mice, Hdh^{Q111+/+} and Hdh^{Q111+/-} mice. In all experiments, the maximum facilitation was observed at an interstimulus interval of 50 ms. In WT mice (n = 8), the paired-pulse ratio at 50 ms was 1.78 \pm 0.06, while in Hdh^{Q111+/+} (n = 9) and Hdh^{Q111+/-} (n = 6) was 1.8 \pm 0.06 and 1.73 \pm 0.04 respectively. Subsequent statistical analysis revealed that the paired-pulse ratio is similar in all 3 genotypes (Repeated measures ANOVA: f _(2, 23) = 0.04, P > 0.05; Figure 7.2B).



Figure 7.2: Input/output function and PPF is normal in the Hdh^{Q111} mice (2 months)

A) Input/output function. The fEPSP slope was measured at a range of stimulus intensities and then normalised for WT (n = 8), $Hdh^{Q111+/+}$ (n = 9) and $Hdh^{Q111+/-}$ (n = 6) mice. The input/output function was similar in all 3 genotypes (One-way ANOVA; P > 0.05). B) Paired-pulse facilitation. The paired-pulse facilitation was calculated at a range of inter-stimulus intervals (20, 50, 80, 100, 200, 300, 400 and 500 ms) in WT (n = 8), $Hdh^{Q111+/+}$ (n = 9) and $Hdh^{Q111+/-}$ (n = 8) mice. Paired-pulse facilitation was similar in all 3 genotypes (Repeated measures ANOVA; P > 0.05).

7.3.3: LTP is impaired in the Hdh^{Q111} mouse model of HD (2 months)

For hippocampal slices derived from WT mice (n = 14), a 4-TBS induced a robust magnitude of LTP, (93 ± 16 % increase *cf.* control at 50 minutes post-TBS). Similarly, for brain slices derived from either Hdh^{Q111+/+} and Hdh^{Q111+/-} mice a 4-TBS induced LTP, but for both genotypes the magnitude was considerably reduced *c.f.* WT (Hdh^{Q111+/+} = 50 ± 8 % increase, n = 9; Hdh^{Q111+/-} = 28 ± 6 % increase, n = 15; Figure 7.3).

These data illustrates that, at 2 months, LTP is significantly impaired in both Hdh^{Q111+/+} and Hdh^{Q111+/-} brain slices, when compared to WT (repeated measures ANOVA, effect of genotype; f_(2, 33) = 8.558, P < 0.05). Bonferroni corrected pair-wise comparisons between groups confirmed that potentiation of the fEPSP slope 50 minutes post-TBS was significantly reduced in both Hdh^{Q111+/+} and Hdh^{Q111+/-} brain slices when compared to WT (P < 0.05), but that there was no significant difference (P > 0. 05) between heterozygous and homozygous HD mice in this respect (Figure 7.3).







7.4: Discussion

These results indicate that the properties of fEPSPs are similar in WT, WT, Hdh^{Q111+/+} and Hdh^{Q111+/-} hippocampal CA1 neurons. The similarity in the input/output function suggests that Hdh^{Q111+/+} and Hdh^{Q111+/-} slices have approximately the same density of synapses as control and these synapses also respond similarly to a single stimulus. In addition, the paired-pulse ratios did not differ between the genotypes, suggesting no impairment of presynaptic function. The lack of any impairments in the fEPSP properties of Hdh^{Q111+/+} and Hdh^{Q111+/-} CA1 neurons agrees with previous studies which have demonstrated that PPF in hippocampal slices derived from Hdh^{Q92} and Hdh^{Q111} mice (Lynch *et al.*, 2007) and R6/2 mice (Murphy *et al.*, 2000) does not differ from those of WT (section 7.1).

Although basal excitatory synaptic transmission was normal in Hdh^{Q111} mice, due to the cognitive deficits that are associated with HD, the effect of the huntingtin mutation on the magnitude of LTP was also assessed. Results indicated that Hdh^{Q111+/+} and Hdh^{Q111+/-} mice showed significant impairments in LTP when compared to WT mice at 2 months. This result is in agreement with other studies which have also demonstrated impaired synaptic plasticity in mouse models of HD, including the 72/ 80 CAG knock-in (Usdin *et al.*, 1999), R6/2 (Murphy *et al.*, 2000), Hdh^{Q92} and Hdh^{Q111} (Lynch *et al.*, 2007) mouse models (see section 7.1). Of specific interest to the mouse model used in the present study, LTP has only previously been assessed in homozygous Hdh^{Q111} mice (Lynch *et al.*, 2007). The results of the current study clearly demonstrate that LTP deficits are also evident in the more clinically relevant heterozygous Hdh^{Q111} mice, indicating that similar to the human disorder, only one copy of the mutant gene is necessary to produce the impairments in synaptic plasticity associated with HD.

Specifically, LTP deficits were evident in the 80 CAG mouse model of HD (Usdin *et al.*, 1999; see section 7.1). However in 12% of the mutant slices, LTP was indistinguishable from wild type, suggesting that the huntingtin mutation perhaps increases the threshold for LTP induction. In agreement, following an enhanced tetanus stimulus (six 1 second long trains at 100 Hz, 30 seconds apart), LTP in mutant slices was indistinguishable from wild type slices that had been subjected to the same enhanced tetanus stimulation (Usdin *et al.*, 1999). It is perhaps the case that a common mechanism exists between the 80 CAG and the Hdh^{Q111} mouse, so it would be of benefit to determine whether a stronger LTP induction protocol could also relieve the LTP deficit seen in the Hdh^{Q111} mouse.

The study by Lynch *et al.* (2007) observed that LTP was reduced in slices generated from homozygous Hdh^{Q92} and Hdh^{Q111} mice, and in agreement with the present study, did not demonstrate any differences in paired-pulse facilitation, also suggesting a postsynaptic locus of action. Several studies have provided a possible post-synaptic mechanism behind the LTP deficit in the Hdh^{Q111} and these will be discussed in the following section. TBS causes actin polymerization in potentiated synapses, thereby assisting in the stabilisation of LTP (Lin *et al.*, 2005; Kramar *et al.*, 2006). However, the increase F-actin expression following TBS is absent in Hdh^{Q111} hippocampal slices, perhaps explaining the deficit in the stabilisation of LTP in the Hdh^{Q111} mouse (Lynch *et al.*, 2007). In addition, the deficits in actin polymerisation found in the Hdh^{Q111} mouse could also provide an explanation for the abnormal spine morphology found in striatal and cortical neurons in human patients (Graveland *et al.*, 1985; Ferrante *et al.*, 1991) and mouse models of HD (Guidetti *et al.*, 2001; Spires *et al.*, 2004). Brain derived neurotrophic factor (BDNF) is a positive modulator of LTP and acts by reducing the
after-hyperpolarisation that results from TBS (Bramham and Messaoud, 2005; Kramar et al., 2006) and by facilitating actin polymerisation in spines immediately after TBS (Rex et al., 2007). Previous findings have shown that BDNF expression is decreased by the presence of mutant huntingtin (Zuccato et al., 2001, 2005; Spires et al., 2004). In addition, studies have been indicated that BDNF levels are reduced in the neocortex, striatum (Gines et al., 2003) and hippocampus (Lynch et al., 2007) of mouse models of HD. Lynch *et al.* (2007) demonstrated that the LTP deficit in the Hdh^{Q111} mouse could be rescued by BDNF to a level that was not significantly different from BDNF-treated wild type slices (Lynch et al., 2007). This study therefore suggests that the cognitive deficits associated with HD could be treated by increasing BDNF levels, possibly by treatment with an AMPAkine (Lauterborn et al., 2000). In agreement, a study by Simmons et al. (2009) demonstrated that the LTP and long-term memory deficits in homozygous Hdh^{Q140} mice could be rescued to a level comparable to control following treatment with an AMPAkine (5 mg/kg, injected twice daily for 4 days; Simmons et al., 2009). However the study by Lynch et al. (2007) only demonstrated reduced BDNF levels and the rescue of LTP by BDNF in Hdh^{Q111+/+} mice. It would therefore be valuable for the BDNF levels to be assessed in the more clinically relevant Hdh^{Q111+/-} mouse to see if reduced BDNF expression is consistent.

In conclusion, I have shown that the Hdh^{Q111} mouse displays deficits in episodic memory and long-term recognition memory (Chapter 6). In addition, this chapter indicates that the Hdh^{Q111} mouse displays LTP deficits (2 months). In the following chapter, data will be presented illustrating that acute treatment of the brain slice preparation with a drug known to enhance certain forms of cognition, can rescue these cognitive and LTP deficits.

8: Experiment 4: The cognitive and LTP deficit in Hdh^{Q111} mice is rescued by an α_5 -GABA_A receptor inverse agonist

8.1: Introduction

In the previous chapters I have demonstrated that the Hdh^{Q111} mouse model of HD displays impairments in episodic memory and long-term impairments in the 24 hour novel object recognition task (2 months). In addition, these cognitive deficits are evident before the appearance of an overt motor phenotype in the Hdh^{Q111} mouse. Studies have shown that reduced or deleted expression of α_5 -GABA_A receptors facilitates cognition (Collinson et al., 2002; Crestani et al., 2002; Caraiscos et al., 2003; Martin *et al.*, 2010; see section 4.3). Furthermore, drugs inhibiting the function of α_5 -GABA_A receptors are known to enhance memory (Chambers et al., 2003; Dawson et al., 2006; Nutt et al., 2007). These studies will be discussed in the introduction section of this chapter. Extrasynaptic α_5 -GABA_A receptors are densely expressed in mouse hippocampal CA1 neurons (Bai et al., 2001; Caraiscos et al., 2004; Vargas-Caballero et al., 2010). Studies have demonstrated that selective α_5 -GABA_A receptor antagonists enhance submaximal LTP in this region (Dawson et al., 2006; Martin et al., 2010). In this chapter I will report the results of experiments examining the effect of α_5 IA, a selective inverse agonist for the a₅-GABA_A receptor (Sternfeld et al., 2004) on the cognitive and LTP deficits I have previously demonstrated in the Hdh^{Q111} mouse model of HD.

<u>8.1.1:</u> Inverse agonists of the α_5 -GABA_A receptor enhance cognition and LTP.

The α_5 -GABA_A receptor is expressed primarily in the hippocampus, suggesting a role in learning and memory (see section 4.3). In addition, pharmacological studies support the role of α_5 -GABA_A receptors in learning processes. A study by Chambers *et al.* (2003) demonstrated that inverse agonists of the α_5 -GABA_A receptor enhance cognitive processes in rats. Whilst benzodiazepine agonists such as diazepam increase the GABAinduced chloride flux mediated by GABAA receptors, non-selective (i.e. do not discriminate between GABA_A receptor isoforms) inverse agonists cause a decrease in chloride flux and often an increase in neuronal excitability (Vargas-Caballero et al., 2010). Non-selective benzodiazepine inverse agonists enhance cognitive performance in animals (McNamara & Skelton, 1993), but can be anxiogenic (Dorow et al., 1983) and pro-convulsant (Peterson, 1983; Sarter, 2001), thereby restricting the potential use of non-selective inverse agonists as treatments for disorders where memory is impaired. Due to the proposed involvement of the α_5 -GABA_A receptor in learning and memory Chambers *et al.* (2003) hypothesised that an inverse agonist selective for the α_5 -GABA_A receptor could be utilised as a cognitive enhancing agent that lacked the unwanted side effects associated with non-selective inverse agonists. Chambers et al. (2003) identified a novel inverse agonist, 6,6-Dimethyl-3-(2-hydroxyethyl)thio-1-(thiazol-2-yl)-6,7dihydro-2-benzothiophen -4(5H)-one (Compound 43), that had higher affinity at the α_5 -GABA_A receptor when compared to the other receptor subtypes. Spatial memory was enhanced following treatment with Compound 43 when compared to vehicle-treated animals.

A further selective inverse agonist for the α_5 -GABA_A receptor was identified in 2004 (Sternfeld *et al.*, 2004). The inverse agonist α_5 IA has a relatively high affinity for human recombinant GABA_A receptors containing the α_1 , α_2 , α_3 or α_5 subunit coexpressed with a β_3 and a $\gamma 2$ subunit (Ki values 0.58 – 0.88 nM), with lower affinity for the equivalent α_4 (Ki 60 nM) and α_6 (Ki 418 nM) subunit containing receptors. A similar affinity of $\alpha_5 IA$ for native rat GABA_A receptors (Ki ~1nM) was determined, suggesting that α_5 IA does not show interspecies differences. A study by Dawson *et al.* (2006) demonstrated that α_5 IA improved performance in a hippocampal-dependent version of the MWM task. The anxiolytic properties of α_5 IA were tested by assessing the performance of the rats in the elevated plus maze. The elevated plus maze has previously been shown to be sensitive to agonists and inverse agonists acting at the benzodiazepine binding site of the GABA_A receptor (Pellow and File, 1986). Mice were treated with a non-selective partial inverse agonist at the benzodiazepine binding site (FG 7142), the α_5 -selective inverse agonist α_5 IA, or were treated with vehicle. FG 7142 significantly increased the amount of time spent in the closed arms of the elevated plus maze, suggesting it had an anxiogenic-like effect. In contrast, α_5 IA-treated rats did not show any differences in the time spent in the closed arms. The sedative properties of α_5 IA were also assessed. The effect of α_5 IA and diazepam on the locomotor activity of mice was demonstrated using a fixed speed rotarod protocol. Diazepam reduced the latency to fall from the rod in a dose-dependent manner, whereas α_5 IA had no effect (Dawson et al., 2006). Finally the pro-convulsant activity of a₅IA was assessed. Mice were either injected with vehicle, α_5 IA or FG 7142 and were subsequently infused with the pro-convulsant pentylentetrazole and the time taken to induce clonic and full tonic seizures was measured. Administration of a₅IA did not induce seizures, nor did it decrease the dose of pentylentetrazole required to induce convulsions. By contrast, FG

7142 reduced the dose of pentylenetetrazole required to induce a seizure, indicating proconvulsant activity. This study therefore shows that selective inhibition of α_5 -GABA_A receptors resulted in improved performance in the hippocampal-dependent MWM task, without the anxiogenic, sedative or convulsant properties associated with non-selective GABA_A receptor inverse agonists (Dawson *et al.*, 2006).

In addition to studying the *in vivo* effects of α_5 IA, Dawson *et al.* (2006) also investigated the *in vitro* properties of this inverse agonist. LTP in the Schaffer collateral-commissural pathway of the hippocampus was induced by a brief tetanus (10 stimuli at 100 Hz) followed by a TBS and the effect of α_5 IA on the magnitude of LTP was assessed. Dawson *et al.* (2006) found that although α_5 IA had no effect on basal synaptic transmission, LTP was significantly enhanced in α_5 IA-treated slices when compared to controls. As previous studies have indicated that non-selective inverse agonists at the benzodiazepine binding site potentiate LTP (Seabrook *et al.*, 1997), enhancement of LTP following disinhibition *via* the α_5 -GABA_A receptor using α_5 subunit selective inverse agonists indicates that the α_5 -subtype is potentially responsible for the LTP enhancement observed with non-selective inverse agonists.

A study by Nutt *et al.* (2007) used α_5 IA to investigate the role of α_5 -GABA_A receptors in cognition in man. In addition to being modulated by benzodiazepines, there is some evidence, albeit controversial, that GABA_A receptors are also modulated by alcohol (Wallner *et al.*, 2003; 2006; Olsen *et al.*, 2007), however see Borghese *et al.*, 2006. Alcohol has been shown in some studies to influence the function of the GABA_A receptor by enhancing the effects of GABA and other agonists that act on certain GABA_A receptor isoforms. The α_5 -GABA_A receptor has been implicated in mediating the effects of alcohol including motor co-ordination, sedation and amnesia (McKay et al., 2004; Pickering et al., 2007). Taking this into consideration, the study by Nutt et al. (2007) used α_5 IA to evaluate the role of the α_5 -GABA_A receptor in mediating the effects of alcohol on the brain. In this study volunteers were dosed with either $\alpha_5 IA$, or placebo and were given alcohol to drink. One hour after they had consumed the alcohol the subjects were asked to memorise a list of 20 words and recall them 30 minutes later. The study determined that the adverse memory effects of alcohol were attenuated by α_5 IA. When subjects were pre-treated with α_5 IA they were able to recall significantly more words than when they were treated with vehicle. In addition, subjects who had consumed more alcohol showed a more significant improvement in memory recall after treatment with α_5 IA. Other than the enhancement of memory, α_5 IA had no significant effect on the other functional impairments associated with alcohol consumption, including motor co-ordination and sedation. In agreement with previous studies in rodents (Chambers et al., 2003, Dawson et al., 2006), this study demonstrated that the α_5 -GABA_A receptor in humans is important in the regulation of learning and memory, indicating that this receptor could potentially be a therapeutic target in the early stages of diseases that are associated with cognitive decline.

<u>8.1.2:</u> Specific targeting of the α_5 -GABA_A receptor in an animal model of cognitive decline.

Down's syndrome is a disorder that is characterised by varying degrees of cognitive decline and an imbalance between inhibitory and excitatory neurotransmission, specifically increased GABAergic transmission, is thought to contribute to the learning and memory abnormalities associated with individuals with the disorder (Best *et al.*, 2007; Kleschevnikov *et al.*, 2004). In agreement, treatment of mouse models of Down's

syndrome with the non-competitive GABA_A receptor antagonists picrotoxin and pentylenetetrazol have been demonstrated to restore cognitive decline (Fernandez *et al.*, 2007; Rueda *et al.*, 2008). However, these drugs are convulsant at high doses. Subsequently a recent study by Braudeau *et al.* (2011) assessed the effects of α_5 IA in a cognitively impaired Ts65Dn mouse model of Down's syndrome. Braudeau *et al.* (2011) showed that α_5 IA treatment rescued the spatial deficits associated with Ts65Dn mice. In addition, Ts65Dn mice showed an impaired performance in the novel object recognition task that could be recovered following pre-treatment with α_5 IA. Due to the lack of convulsant or anxiogenic effects in the Ts65Dn mouse, α_5 IA demonstrates a more favourable therapeutic profile than non-selective GABAergic drugs. This study therefore provides evidence for the potential use of selective inverse agonists at the α_5 -GABA_A receptor for the treatment of diseases associated with cognitive decline (Braudeau *et al.*, 2011).

8.2: Methods

The role of α_5 -GABA_A receptors in the regulation of learning and memory indicates that this receptor could potentially be a therapeutic target in diseases of cognitive decline. The effects of α_5 IA (Sternfeld *et al.*, 2004) on the cognitive and the LTP deficits in the Hdh^{Q111} mouse model of HD will be discussed in the following chapter.

<u>8.2.1: Drug application – Cognition tests</u>

Following training in the novel object, object-place and object-context tasks, WT (n = 12) and Hdh^{Q111+/-} (n = 12) were tested in the object-place-context task (section 6.2.1). On each day mice received intraperitoneal injections of either α_5 IA (3 mg / kg), vehicle (0.4% methylcellulose) or were not injected. For the long protocol (section 6.2.1) each mouse performed the task 12 times, receiving 4 drug and 4 vehicle injections and 4 trials with no injection. For the short protocol (section 6.2.2), each mouse performed the task 3 times, receiving 1 drug and 1 vehicle injection and 1 trial with no injection. In the 24 hour novel object recognition task (section 6.2.3), mice were only injected on the sample days (*i.e.* mice were not injected on the test day). Each mouse performed the task 3 times, one for each condition, and therefore each mouse received 2 drug and 2 vehicle injections (and 1 trial with no injection). In all tests, injections were counterbalanced to eliminate any object bias that may occur on a given day within the experimental groups and the experimenter was blind to the identity of the injected solutions. After injection, the mouse was placed back into the home cage and was tested 30 minutes later.

<u>8.2.2: Drug application – LTP</u>

Hippocampal slices from WT and Hdh^{Q111+/-} mice were perfused with α_5 IA (300 nM) for at least 30 minutes prior to the induction of LTP (for electrophysiological methods, see section 7.2).

8.3: Results

8.3.1: Episodic memory (long protocol) α₅IA drug treatment

The episodic memory of WT (n = 12) and Hdh^{Q111+/-} (n = 12) mice was assessed (2 months). A repeated measures ANOVA was performed on discrimination scores with genotype (WT *vs*. Hdh^{Q111+/-}) as the between subjects factor and drug condition (no injection *vs*. vehicle *vs*. α_5 IA) as the within subjects factor. This showed a significant effect of genotype (f (1, 22) = 93.827, P < 0.05), drug condition (f (2, 44) = 3.680, P < 0.05)

and a significant drug condition *vs.* genotype interaction (f _(2, 44) = 9.037, P < 0.05) (2 months). Bonferroni corrected pair-wise comparisons confirmed that Hdh^{Q111+/-} mice showed impaired performance in the object-place-context task when compared to WT irrespective of whether they received no injection, or whether they were injected with vehicle (both P < 0.05; Figure 8.1A and 8.1B). Furthermore, a one-sample *t*-test indicated that WT (P < 0.05), but not Hdh^{Q111+/-} (P > 0.05) mice, explored the novel configuration significantly more than expected by chance in these two conditions, *i.e.* Hdh^{Q111+/-} mice were unable to identify the novel object regardless of whether they were injected with vehicle, or did not receive an injection. Bonferroni corrected pair-wise comparisons confirmed that, following injection with 3 mg/ kg α_5 IA, the performance of the compromised Hdh^{Q111+/-} mice was improved to a level that was not significantly different from α_5 IA-treated WT mice (P > 0.05; Figure 8.1C). Finally, one-sample *t*-tests confirmed that both WT and Hdh^{Q111+/-} mice explored the novel configuration significantly more than expected by chance in the set of the novel configuration significantly more than the mice (P > 0.05; Figure 8.1C). Finally, one-sample *t*-tests confirmed that both WT and Hdh^{Q111+/-} mice explored the novel configuration significantly more than expected by chance following injection with α_5 IA.

In addition, in order to demonstrate that α_5 IA did not remain in the system over night and influence the performance of the mice the next day, analysis was carried out comparing the discrimination indices of WT mice that received an α_5 IA injection followed by a vehicle injection the next day and *vice versa*. The data was arranged in categories according to the order of drug injections from day to day *i.e.* vehicle following drug or drug following vehicle. A one-way ANOVA demonstrated that the order of the injections does not affect the discrimination indices obtained (f (1, 36) = 0.750, P > 0.05), indicating that α_5 IA does not remain in the system over night. In addition, pharmacokinetic studies in man have also shown that the half-life of α_5 IA is The exploration times of mice in each condition were assessed. One way ANOVAs showed no significant differences in the exploration patterns of WT and Hdh^{Q111+/-} mice in the sample and test phases in the 3 drug conditions (all P > 0.05) (Figure 8.1A and 8.1B).

A: No injection



Figure 8.1: a_5IA greatly improves the cognitive deficit of Hdh^{Q111+/-} mice in the episodic memory task. Graphs show the exploration times (left) and discrimination indices (right). Hdh^{Q111} mice (n = 12) are significantly impaired when compared to WT in their ability to identify the novel object in the no injection (A), and vehicle injection trials (B) (P < 0.05). (C) The performance of Hdh^{Q111+/-} mice is significantly improved following injection with a_51A , (P < 0.05) to a level that is not significantly different from a_51A -treated WT mice (P > 0.05). All mice show similar exploration patterns in each of the drug conditions (P > 0.05).

8.3.2: Episodic memory (short protocol) α₅IA drug treatment

In addition, WT (n = 9) and Hdh^{Q111+/-} (n = 8) mice were tested in the object-placecontext task in order to determine if the rescue of the cognitive impairment of the Hdh^{Q111+/-} with α_5 IA can still be seen using the short protocol.

The discrimination indices for the three drug conditions were assessed. A repeated measures ANOVA was performed on discrimination scores with genotype (WT *vs*. Hdh^{Q111+/-}) as the between subjects factor and drug condition (no injection *vs*. vehicle *vs*. α_5 IA) as the within subjects factor. This investigation showed a significant effect of genotype (f _(1, 15) = 35.426, P < 0.05), but no effect of the drug condition (f _(2, 30) = 1.794, P > 0.05) or a drug condition *vs*. genotype interaction (f _(2, 30) = 1.890, P < 0.05; 2 months). As a result, no further statistical analysis could be performed. However, the pattern of results using this shortened protocol (Figure 8.2) was similar to that obtained in the longer protocol (Figure 8.1) with Hdh^{Q111+/-} mice showing a trend towards impaired performance in the no injection and vehicle injection trials but improved performance following treatment with α_5 IA (Figure 8.2C).

The exploration times of the mice in each condition were assessed. One way ANOVAs showed no significant differences in the exploration patterns for sample and test phases in all 3 conditions (all P > 0.05) (Figure 8.2).



Figure 8.2: $\alpha_5 IA$ greatly improves the cognitive deficit of Hdh^{Q111+/-} mice in the shortened version of the episodic memory task. Graphs show the exploration times (left) and discrimination indices (right). A repeated measures ANOVA showed no significant drug vs. genotype interaction, therefore no further statistical analysis could be performed. However, the pattern of results is similar to that obtained using the long protocol in as far as Hdh^{Q111+/-} (n = 8) mice showed impaired performance in the object-place-context task when compared to WT (n = 9) following no injection (A) and vehicle injection (B). (C) The performance of Hdh^{Q111+/-} mice is improved following injection with α_51A , to a level that is similar to α_51A -treated WT mice. All mice show similar exploration patterns in each of the drug conditions (one-way ANOVA, P > 0.05)

A: No injection

8.3.3: 24 hour novel object recognition task : α₅IA drug treatment

WT (n = 9), Hdh^{Q111+/+} (n = 5) and Hdh^{Q111+/-} (n = 9) mice were tested in the 24 hour novel object recognition task (Figure 8.3). A repeated measures ANOVA was performed on discrimination scores with genotype (WT vs. Hdh^{Q111+/+} vs. Hdh^{Q111+/-}) as the between subjects factor and drug condition (no injection vs. vehicle vs. α_5 IA) as the within subjects factor. Results revealed a significant effect of genotype (f $_{(2, 20)} = 30.666$, P < 0.05), drug condition (f _(2, 40) = 13.0639, P < 0.05) and a genotype vs. drug condition interaction (f $_{(4, 40)}$ = 7.492, P < 0.05). Bonferroni corrected pair-wise comparisons between groups confirmed that the $Hdh^{Q111+/+}$ and $Hdh^{Q111+/-}$ mice were impaired when compared to WT mice irrespective of whether they received no injection or were injected with vehicle (both P < 0.05). Furthermore, one-sample *t*-tests indicated that WT (P < 0.05), but not the Hdh^{Q111+/+} or Hdh^{Q111+/-} (P > 0.05) mice, performed significantly better than expected by chance in these two conditions, *i.e.* the Hdh^{Q111+/+} and Hdh^{Q111+/-} mice were unable to identify the novel object after a 24 hour retention interval. However, following injection with 3 mg/ kg α_5 IA, performance of the compromised Hdh^{Q111+/+} and Hdh^{Q111+/-} mice was significantly improved (P < 0.05) to a level that was not significantly different to their WT counterparts (P > 0.05). One-sample *t*-tests indicated that WT, Hdh^{Q111+/+} and Hdh^{Q111+/-} mice, performed significantly better than expected by chance following injection with α_5 IA.

The exploration patterns of the mice were also assessed. In the no injection trial, one way ANOVAs demonstrated exploration was similar in sample 1 (f $_{(2, 22)} = 2.981$, P > 0.05) and sample 2 (f $_{(2, 22)} = 1.997$, P > 0.05), but differed in the test phase (f $_{(2, 22)} = 8.727$, P < 0.05). Bonferroni corrected pair-wise comparisons confirmed the reduced exploration of the Hdh^{Q111+/+} mice in the test phase (P < 0.05) and showed that the

activity of WT and Hdh^{Q111+/-} mice was similar in all three phases (P > 0.05). Hdh^{Q111+/+} and Hdh^{Q111+/-} mice showed similar exploration patterns in the vehicle trial (P > 0.05) (Figure 8.3A).

The exploration patterns for the vehicle trial were analysed. One way ANOVAs demonstrated that exploration was similar in sample 1 (f $_{(2, 22)} = 0.901$, P > 0.45) and sample 2 (f $_{(2, 22)} = 2.219$, P > 0.05), but differed in the test phase (f $_{(2, 22)} = 5.845$, P < 0.05). Bonferroni corrected pair-wise comparisons confirmed the reduced exploration of the Hdh^{Q111+/+} mice in the test phase (P < 0.05) and showed that the activity of WT and Hdh^{Q111+/-} mice was similar in all three phases (P > 0.05). Hdh^{Q111+/+} and Hdh^{Q111+/-} mice showed similar exploration patterns in the vehicle trial (P > 0.05) (Figure 8.3B).

Finally the exploration patterns for the α_5 IA trial were assessed. One-way ANOVAs showed significant differences in sample 1 (f _(2, 22) = 3.908, P < 0.05) and sample 2 (f _(2, 22) = 4.994, P < 0.05). Bonferroni corrected pair-wise comparisons between groups for each task showed that Hdh^{Q111+/-} mice explored significantly less than WT mice in both the sample phases (P < 0.05), whereas Hdh^{Q111+/+} mice explored similarly to WT (P > 0.05). However, although Hdh^{Q111+/-} mice displayed hypoactivity in the sample phase, episodic memory was still enhanced following injection with α_5 IA. Exploration was similar in the test phase (f _(2, 22) = 0.496, P > 0.05) (Figure 8.3C).



Figure 8.3: $\alpha_5 IA$ greatly improves the cognitive deficit of Hdh^{Q111+/-} mice in the 24 hour novel object recognition task.

For each panel: Left shows the total exploration times of the WT (n = 9), $Hdh^{Q111+/+}$ (n = 5) and $Hdh^{Q111+/-}$ (n = 9) mice in Sample 1, Sample 2 and test phase. Right shows the discrimination index in the test phase. $Hdh^{Q111+/+}$ and $Hdh^{Q111+/-}$ mice are significantly impaired in their ability to identify the novel object when compared to WT in the (A) no injection and (B) vehicle injection trials (P < 0.05). (C) The performance of $Hdh^{Q111+/+}$ and $Hdh^{Q111+/-}$ mice is improved following injection with $\alpha_5 IA$, to a level that is similar to $\alpha_5 IA$ -treated WT mice (P > 0.05).

<u>8.3.4:</u> The effects of the α_5 -GABA_A receptor inverse agonist α_5 IA on hippocampal LTP of WT and Hdh^{Q111} mice.

Previous studies have demonstrated that selective α_5 -GABA_A receptor antagonists enhance submaximal hippocampal LTP (Dawson *et al.*, 2006; Martin *et al.*, 2010; section 8.1.1). Given the association of LTP and memory (section 2.3) and the fact that α_5 IA rescued the cognitive abnormalities of Hdh^{Q111} mice (sections 8.3.1 – 8.3.3), the effect of α_5 IA on the LTP deficit associated with Hdh^{Q111} mice (section 7.3.3) was assessed.

8.3.4.1: The influence of the stimulus protocol on the magnitude of LTP.

For hippocampal slices derived from WT mice (n = 14), a 4-TBS induced a robust form of LTP (93 ± 16 % increase in the slope of the fEPSP), as measured 50 minutes post-TBS (2 months) (Figure 8.4). LTP was also induced using the sub-maximal 3-TBS (Figure 8.4). In WT slices the 3-TBS induced LTP, but of a magnitude (32 ± 4 % increase, n = 6) significantly less than that induced by the 4-TBS paradigm (repeated measures ANOVA, f (1, 20) = 8.486, P < 0.05).

8.3.4.2: The magnitude of LTP following a sub-threshold stimulus is enhanced by an α_5 -GABA_A receptor "inverse agonist".

As a prelude to investigating whether the α_5 -GABA_AR inverse agonist α_5 IA (Sternfeld *et al.*, 2004) may rescue the deficit in LTP noted in Hdh^{Q111+/+} and Hdh^{Q111+/-} mice (see section 7.3.3), I investigated the effect of α_5 IA on the submaximal LTP induced in WT hippocampal slices by a 3-TBS (n = 8). WT hippocampal slices were perfused with α_5 IA (300 nM) for at least 30 minutes prior to the induction of LTP. This treatment did

not change baseline (*i.e.* control fEPSPs) transmission in WT slices. However, in the continued presence of 300 nM α_5 IA, LTP (obtained 50 minutes after 3-TBS) is significantly greater (86 ± 22 % increase; *n* = 8) than that obtained from untreated WT slices following 3-TBS (36 ± 6 % increase; *n* = 6; repeated measures ANOVA, f _(2, 25) = 4.338, P < 0.05). Furthermore, Bonferroni corrected pair-wise comparisons between groups confirmed that, in α_5 IA-treated WT slices, LTP following 3-TBS was not significantly different from the robust LTP following 4-TBS in untreated WT slices (P > 0.05; Figure 8.5).

Additionally, the effect of 300 nM α_5 IA on LTP following 4-TBS was also assessed. In α_5 IA-treated WT brain slices (n = 10), the magnitude of LTP (obtained 50 minutes after 4-TBS) was a 64 ± 8 % increase of the fEPSP slope *c.f.* control, a value that was not significantly different from the magnitude of LTP induced by the 4-TBS protocol in untreated WT brain slices (93 ± 19 % increase, repeated measures ANOVA, f _(1, 22) = 12.898; P > 0.05; Figure 8.6).

In summary, these data illustrates that the magnitude of LTP resulting from a subthreshold 3-TBS in WT slices can be facilitated by the α_5 -GABA_A receptor inverse agonist α_5 IA. However, α_5 IA has no effect on the robust LTP following 4-TBS in WT slices.



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A) The time course of the changes in the slope of the fEPSP after the induction of LTP by a 4-TBS or 3-TBS, delivered after the establishment of a 10 minute control baseline. The fEPSP slope is plotted as a percentage change against time and expressed as a pooled mean (\pm SEM). B) A 4-TBS induced a robust LTP (93 \pm 16% increase; determined 50 mins. post-TBS) in WT hippocampal slices. The magnitude of LTP was significantly less following a 3-TBS (36 \pm 6% increase, P < 0.05) cf. the 4-TBS. Error bars indicate SEM. n values indicates the number of brain slices.

А

B

B



Figure 8.5: In WT hippocampal brain slices sub-maximal LTP is facilitated by a_5IA

A) The time course of the changes to the slope of the fEPSP after the induction of LTP induced by a 3-TBS, delivered after the establishment of a 10 minute control baseline. The fEPSP slope is plotted as a percentage change against time and expressed as a pooled mean (\pm SEM). B) The magnitude of LTP was significantly increased following 3-TBS in WT slices treated with 300 nM α_5 IA (86 \pm 22% increase; n = 8) when compared to control 3-TBS LTP (36 \pm 6% increase; n = 6) (P < 0.05). Note the magnitude of LTP following a 3-TBS in brain slices treated with α_5 IA is not significantly different from that induced by a 4-TBS in untreated WT slices (P > 0.05; n = 14). Error bars indicate SEM. n values indicate number of slices.





A) The time course of the changes in the slope of the fEPSP after the induction of LTP by a 4-TBS, applied after the establishment of a 10 minute control baseline. The fEPSP slope is plotted as the percentage change against time and expressed as a pooled mean (\pm SEM). B) The magnitude of LTP was unchanged in brain slices treated with 300 nM α_5 IA (64 \pm 8% increase; n = 10) when compared to control 4-TBS LTP (93 \pm 16% increase; n = 14; P < 0.05). Error bars indicate SEM. n values indicate number of brain slices.

182

B

A

8.3.4.3: The impairment of LTP in 2 month old Hdh^{Q111} mice is rescued by an α_{5} -GABA_A receptor inverse agonist.

The following section will report the effect of $\alpha_5 IA$ on the LTP deficits observed in Hdh^{Q111+/-} brain slices (2 months old; see section 7.3.3).

In Hdh^{Q111+/-} brain slices that had been treated with 300 nM α_5 IA (n = 12), the magnitude of LTP following a 4-TBS was significantly greater (62 ± 11 % increase) than that of untreated Hdh^{Q111+/-} brain slices (28 ± 6 % increase; n = 15; repeated measures ANOVA, f _(1, 23) = 7.067, P < 0.05; Figure 8.7). Furthermore, potentiation of the fEPSP slope 50 minutes after 4-TBS in Hdh^{Q111+/-} brain slices treated with 300 nM α_5 IA (64 ± 8 % increase; n = 10; repeated measures ANOVA, f _(1, 20) = 0.253, P > 0.05; Figure 8.7). These results indicate that treatment of Hdh^{Q111+/-} brain slices with 300 nM α_5 IA significantly rescues the LTP deficit observed for untreated Hdh^{Q111+/-} brain slices, to a level that is not significantly different from that of WT brain slices that have been treated with 300 nM α_5 IA.



Figure 8.7: a_5IA rescues the deficit of LTP evident in Hdh^{Q111+/-} hippocampal slices

A) The time course of the changes in the slope of the fEPSP after the induction of LTP by a 4-TBS, applied after the establishment of a 10 minute control baseline. The fEPSP slope is plotted as the percentage change against time and expressed as a pooled mean (\pm SEM). B) The magnitude of LTP in Hdh^{Q111+/-} slices treated with 300 nM α_5 IA (62 \pm 11% increase; n = 12) was not significantly different to WT slices treated with 300 nM α_5 IA (64 \pm 8% increase; n = 10; P < 0.05). The magnitude of LTP induced by a 4-TBS in Hdh^{Q111+/-} slices treated with 300 nM α_5 IA (62 \pm 11% increase; n = 12) was significantly greater than that induced in untreated Hdh^{Q111+/-} slices (28 \pm 6% increase; n = 15; P < 0.05). Error bars indicate SEM. n values indicate number of brain slices.

Α

B

8.4: Discussion

In this chapter I have demonstrated that α_3 IA reverses the deficits in episodic memory in Hdh^{Q111} mice (long-protocol) to a level that is not significantly different from WT mice. Although the pattern of results was similar in the shortened protocol, the cognitive deficit rescue by α_5 IA was not statistically significant. This was perhaps due to increased variability in the data associated with the shortened protocol. This observation suggests that although this novel shortened protocol is useful for the assessment of cognitive deficits when the developmental window is relatively brief (see sections 6.5 and 6.7), this paradigm is not necessarily a replacement for the longer protocol, where repeated measurements reduce the error in the data set.

In addition I have demonstrated that $\alpha_{5}IA$ alleviates the cognitive deficits of Hdh^{Q111} mice in the 24-hour novel object recognition task to a level that is not significantly different from $\alpha_{5}IA$ -treated WT mice. The rescue of the long-term memory deficit in the Hdh^{Q111+/-} mice is in contrast to a previous study in which the performance of a mouse model of Down's Syndrome in a short-term, but not a long-term, memory task was improved following treatment with $\alpha_{5}IA$ (Braudeau *et al.*, 2011; section 8.1.2). Although previous studies have assessed the effect of selective α_{5} -GABA_A receptor inverse agonists on the acquisition and retrieval of stored information, they used memory paradigms that were based on short-term memory retentions that did not assess long-term (at least 24 hours) recall (Atack *et al.*, 2006; Collinson *et al.*, 2006). However, the current study clearly demonstrates that $\alpha_{5}IA$ has the capacity to improve both short- and long-term memory in cognitively impaired mice.

Furthermore, studies have demonstrated that selective α_5 -GABA_A receptor antagonists enhance submaximal LTP (Dawson *et al.*, 2006; Martin *et al.*, 2010). In agreement, I have demonstrated that while α_5 IA has no significant effect on the magnitude of control LTP, treatment of Hdh^{Q111+/-} brain slices with 300 nM α_5 IA rescues the LTP deficit observed for untreated Hdh^{Q111+/-} brain slices, to a level that is not significantly different from that of α_5 IA-treated WT brain slices.

The rescue of the cognitive and LTP deficits in Hdh^{Q111} mice by a selective inverse agonist for the α_5 -GABA_A receptor suggests that the phenotype may perhaps result from an imbalance between excitatory and inhibitory neurotransmission. Due to the fact that HD is associated with the major loss of striatal GABAergic medium spiny neurons (reviewed in Vonsattel and DiFiglia, 1998), it is not surprising that postsynaptic changes in GABA receptors have been reported (Fujiyama et al., 2002; Thompson-Vest et al., 2003; Cepeda et al., 2004; Allen et al., 2009). Several studies have observed an up-regulation of GABA_A receptor expression in models of HD (Fujiyama et al., 2002; Thompson-Vest et al., 2003; Cepeda et al., 2004; Allen et al., 2009). It was suggested that there was an increased contribution of GABAA receptors to synaptic transmission in the R6/2 model of HD as the frequency of spontaneous GABAergic synaptic currents was increased in medium spiny neurons in the striatum of the R6/2 mouse (Cepeda et al., 2004). Furthermore, this change to inhibitory synaptic function was accompanied by an increased response to exogenous GABA application and an increased expression of α_1 -GABA_A receptors (Cepeda *et al.*, 2004). The alterations in GABAergic synaptic currents were concurrent with the appearance of the first overt behavioural phenotype in R6/2 mice (Cepeda et al., 2004). In mouse models of HD reduced brain levels of BDNF have been reported (Zuccato et al., 2001, 2005; Spires et al., 2004; Lynch et al., 2007).

Intriguingly, a study in the striatum further demonstrated that BDNF reduced the frequency of spontaneous GABAergic synaptic currents (Cepeda *et al.*, 2004). Furthermore, in the hippocampus, BDNF rescued the suppressed LTP evident with the Hdh^{Q111} mouse (Lynch *et al.*, 2007). It is conceivable this effect of BDNF is a consequence of an alteration in GABA-ergic signaling. Clearly a whole-cell voltage-clamp study of the phasic and tonic GABA-ergic signaling in CA1 of the Hdh^{Q111} mouse would be invaluable in this regard.

Studies using alternative models of HD also report a perturbation of GABA_A receptors. Increased GABA_A receptor expression has been noted in the substantia nigra of rats with quinolinic acid lesions (Fujiyama *et al.*, 2002). These rats exhibit a similar loss of striatonigral GABAergic neurons to that which occurs in HD and, as such, have been used as models of this disease (for references see Brickell *et al.*, 1999). Specifically, it was shown in this lesion model of HD that the expression of $\beta_{2/3}$ -GABA_A receptors was increased in individual synapses of the substania nigra (Fujiyama *et al.*, 2002). A similar increase in GABA_A receptor expression has also been observed in the globus pallidus of human patients with HD, specifically demonstrated by an increase in α_1 , $\beta_{2/3}$ and γ_2 -GABA_A receptors (Thompson-vest *et al.*, 2003; Allen *et al.*, 2009). GABAergic neurons in the striatum project to both the substania nigra and globus pallidus, so it is possible that the increase in expression of the α_1 -, $\beta_{2/3}$ - and γ_2 -GABA_A receptors in these areas is to compensate for the loss of striatal GABAergic neurons.

As mentioned briefly above, clearly a comprehensive investigation (utilising whole-cell voltage-clamp and immunohistochemistry) of the expression of synaptic and extrasynaptic GABA_A receptors in WT and Hdh^{Q111} mice with an emphasis on

extrasynaptic α_5 -GABA_A receptors is required. Importantly, previous studies have indicated that α_5 -GABA_A receptors mediate the tonic current in the hippocampus (Bai *et al.*, 2001; Caraiscos *et al.*, 2004; Vargas-Caballero *et al.*, 2010), and exist in combination with $\beta_{1/3}$ - and γ_2 -subunits (Herd *et al.*, 2008). Therefore, in common with the substania nigra and globus pallidus, it is possible that β_3 -GABA_A receptor expression, in combination with the α_5 -subunit, could also be increased in the hippocampus in HD. It would therefore be invaluable to use antibodies specific for α_5 -GABA_A receptors to assess expression in the CA1 region of the hippocampus of Hdh^{Q111} mice.

In order to assess the role of α_5 -GABA_A receptors in tonic conductance, synaptic plasticity and memory, a study by Martin *et al.* (2010) examined synaptic plasticity and hippocampus-dependent cognition in mice null for the α_5 -GABA_A receptor (*Gabra* 5^{-/-}). Results indicated that genetic deletion or pharmacological inhibition of α_5 -GABA_A receptors markedly reduces the threshold for LTP, as indicated by enhanced LTP in *Gabra*5^{-/-} mice. Furthermore, it was demonstrated that α_5 -GABA_A receptors had greater influence on the control of LTP at stimulation frequencies associated with theta-frequency, which has been linked to hippocampus-dependent learning (Buzsaki, 2005). In agreement, *Gabra*5^{-/-} mice showed increased freezing scores in the hippocampus-dependent trace fear conditioning task (Martin *et al.*, 2010), consistent with findings from a previous study in a second genetic model of α_5 -GABA_A receptor deficiency (Crestani *et al.*, 2002). If the Hdh^{Q111} mouse demonstrates increased α_5 -GABA_A receptor deficiency induction, perhaps underlying or contributing to the LTP deficit associated with Hdh^{Q111} mice. Interestingly, as described previously, it was speculated that the *huntingtin*

mutation increases the threshold for LTP induction in the 72/ 80 CAG mouse model (Usdin *et al.*, 1999; see section 7.1). As decreased α_5 -GABA_A receptor expression has been associated with enhanced cognitive performance, increased α_5 -GABA_A receptor expression should therefore inhibit hippocampal-dependent cognitive tasks, perhaps explaining the cognitive deficit in the Hdh^{Q111} mouse.

9: Summary and conclusions

In humans, the clinical diagnosis of HD relies on the manifestation of motor abnormalities. In an attempt to characterise the motor phenotype of the Hdh^{Q111} mouse, the circular running tracks and the activity box were used to assess locomotor activity while the rotarod was used to assess motor co-ordination. In agreement with other studies that have indicated no locomotor dysfunction in the activity box (Wheeler *et al.*, 2000; Menalled et al., 2009), I have demonstrated that the Hdh^{Q111} mouse shows no impairments in spontaneous locomotor activity in the activity box and circular runways up to the age of 13 months. Analysis of the performance on the accelerating rotarod paradigm suggested that, in agreement with Mennalled and colleagues (2009), Hdh^{Q111+/+} and Hdh^{Q111+/-} mice demonstrated an enhanced performance at early ages when compared to WT, which could be indicative of the hyperkinesia associated with patients in early stages of HD. In addition, I demonstrated a mild impairment in Hdh^{Q111+/+} and Hdh^{Q111+/-} mice from 6 and 7 months respectively, although this was not consistent at all of the subsequent ages. Tests, including the fixed speed rotarod paradigm, balance beam and foot print analysis have been used to assess fine motor coordination and balance in other mouse models of HD (Carter et al., 1999; Hickey et al., 2005; Stack et al., 2005; Hickey et al., 2008) and could perhaps be used to further examine the early motor phenotype of the Hdh^{Q111} mouse. Nevertheless, as this thesis is focusing on the cognitive and LTP deficits that have been demonstrated prior to a motor phenotype, it is not necessarily critical that an overt motor phenotype is displayed.

Studies have shown that cognitive deficits are often present in advance of motor symptoms in human patients (Hahn-Barma *et al.*, 1998; Lawrence *et al.*, 1998;

Kirkwood *et al.*, 2000; Verny *et al.*, 2007) and in mouse models (Lione *et al.*, 1999; Van Raamsdonk *et al.*, 2005; Brooks *et al.*, 2006; Pang *et al.*, 2006; Nithianantharajah *et al.*, 2008; Simmons *et al.*, 2009) of HD. Deficits in hippocampal LTP have also been reported at similar ages in other mouse models of HD, including the 80CAG, R6/2 Hdh^{Q92}, Hdh^{Q111} and Hdh^{Q140} mice (Usdin *et al.*, 1999; Murphy *et al.*, 2000; Lynch *et al.*, 2007; Simmons *et al.*, 2009). In agreement, I have demonstrated that, despite possessing normal basal synaptic transmission, Hdh^{Q111+/+} and Hdh^{Q111+/-} mice showed impairments in LTP by 2 months of age. I have also demonstrated that the Hdh^{Q111} mouse model of HD displays normal cognitive processes at the age of 1 month but presents with short-term impairments in episodic memory and long-term recognition memory by 2 months. Although this is an age where Hdh^{Q111} mice appeared to show enhanced performance on the rotarod, the lack of differences in the exploration patterns in the cognition test suggests that the rotarod results are not necessarily an accurate assessment of the motor phenotype of the Hdh^{Q111} mice and therefore more investigation is perhaps required.

I have shown that the short-term memory deficits present at 2 months are progressive, with cognitive deficits spreading to include the object-place and object-context tasks by 13 months. It would be beneficial to characterise the brain regions involved in each of the tasks by examining the expression of cFos, following up with lesion studies in control animals, targeting the specific brain regions associated with each task in an attempt to anatomically define the cognitive deficits seen in the Hdh^{Q111} mice.

The episodic and long-term recognition deficits of the Hdh^{Q111} mouse were rescued following treatment with the α_5 -GABA_A receptor inverse agonist α_5 IA. It would

therefore be beneficial to assess hippocampal α_5 -GABA_A receptor expression using antibodies specific for the α_5 -subunit to determine expression of extrasynaptic receptors and whole-cell voltage-clamp studies to compare the properties of the tonic current in Hdh^{Q111} and WT CA1 neurons in order to further examine the mechanism behind the cognitive and LTP deficits associated with HD.

In conclusion, prior to the emergence of a conclusive motor phenotype, Hdh^{Q111} mice demonstrate impairments in episodic memory and deficits in the hippocampaldependent 24 hour novel object recognition task. These findings suggest abnormal hippocampal functions early in HD. These cognitive impairments were accompanied by deficits in hippocampal LTP. Importantly, the clinically relevant heterozygous Hdh^{Q111} mice exhibited an identical phenotype to homozygous Hdh^{Q111} mice indicating that, reminiscent of the human disorder, only one copy of the mutant gene is necessary to produce abnormalities associated with the disorder, further supporting the validity of the Hdh^{Q111} mouse as a clinically relevant model of HD. Furthermore, the LTP and cognitive deficits of the Hdh^{Q111} mouse can be rescued following treatment with the α_5 -GABA_A receptor inverse agonist α_5 IA. Collectively, this thesis provides evidence that α_5 -GABA_A receptor antagonists have the potential to improve cognitive function in patients with HD.

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