

1 FIRST EVIDENCE OF PROTEIN MODULATION BY POLYSTYRENE  
2 MICROPLASTICS IN A FRESHWATER BIOLOGICAL MODEL

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10 ABSTRACT

11 Microplastics (MPs) are now one of the major environmental problems due to the large amount  
12 released in aquatic and terrestrial ecosystems, as well as their diffuse sources and potential impacts  
13 on organisms and human health. Still the molecular and cellular targets of microplastics' toxicity  
14 have not yet been identified and their mechanism of actions in aquatic organisms are largely  
15 unknown. In order to partially fill this gap, we used a mass spectrometry based functional  
16 proteomics to evaluate the modulation of protein profiling in zebra mussel (*Dreissena polymorpha*),  
17 one of the most useful freshwater biological model. Mussels were exposed for 6 days in static  
18 conditions to two different microplastic mixtures, composed by two types of virgin polystyrene  
19 microbeads (size=1 and 10  $\mu\text{m}$ ) each one. The mixture at the lowest concentration contained  $5 \times$   
20  $10^5$  MPs/L of 1 $\mu\text{m}$  and  $5 \times 10^5$  MPs/L of 10  $\mu\text{m}$ , while the higher one was arranged with  $2 \times 10^6$   
21 MPs/L of 1  $\mu\text{m}$  and  $2 \times 10^6$  MPs/L of 10  $\mu\text{m}$ .

22 Proteomics' analyses of gills showed the complete lack of proteins' modulation after the exposure  
23 to the low-concentrated mixture, while even 78 proteins were differentially modulated after the  
24 exposure to the high-concentrated one, suggesting the presence of an effect-threshold. The  
25 modulated proteins belong to 5 different classes mainly involved in the structure and function of  
26 ribosomes, energy metabolism, cellular trafficking, RNA-binding and cytoskeleton, all related to  
27 the response against the oxidative stress.  
28

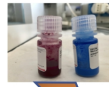
29 Capsule: a mixture of polystyrene microplastics ( $2 \times 10^6$  MPs/L of 1  $\mu\text{m}$  and  $2 \times 10^6$  MPs/L of  
30 10  $\mu\text{m}$ ) was able to modulate 78 different proteins of the zebra mussels'  
31 gills most involved in the oxidative stress response.  
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## HIGHLIGHTS

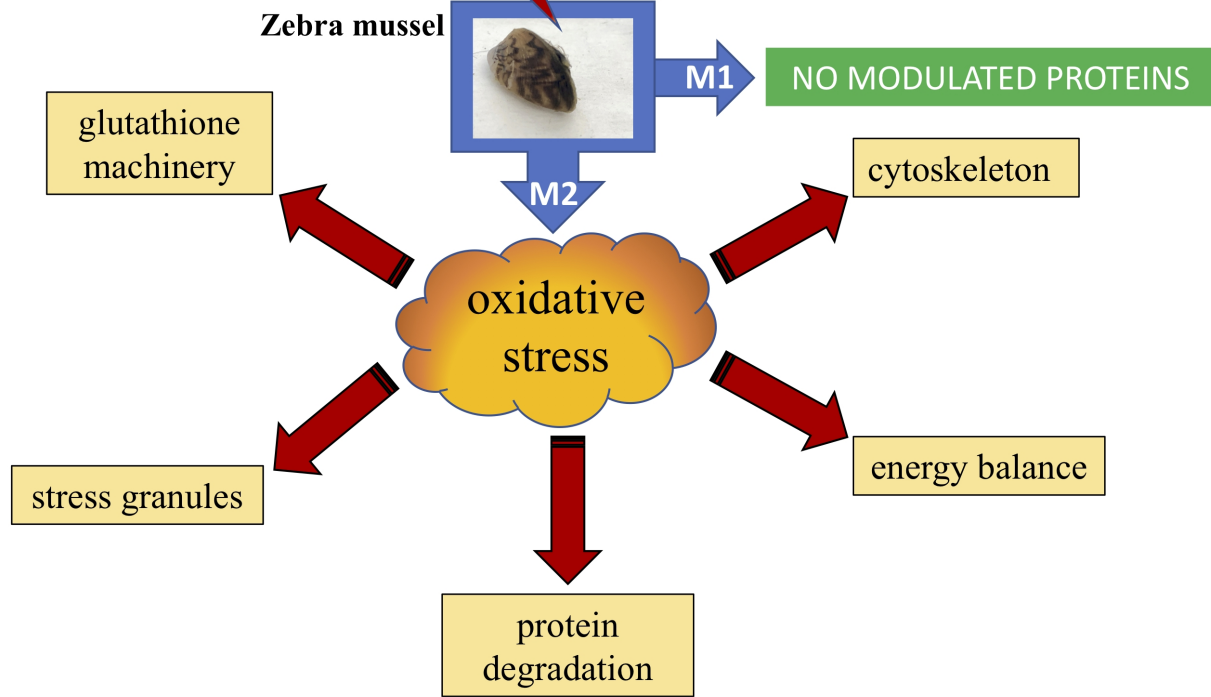
- 1) Effect of polystyrene microplastics on protein regulation of a freshwater organism
- 2) The highest microplastic concentration modulated 78 different proteins
- 3) The most modulated proteins are involved in the response against oxidative stress



**Two microplastics' mixtures**

M1:  $5 \times 10^5$  MPs/L of  $1 \mu\text{m}$  and  $5 \times 10^5$  MPs/L of  $10 \mu\text{m}$

M2:  $2 \times 10^6$  MPs/L of  $1 \mu\text{m}$  and  $2 \times 10^6$  MPs/L of  $10 \mu\text{m}$



## 33 INTRODUCTION

34 The increasing production and use of plastic goods and mainly their improper disposal, as well as  
35 the poor management of plastic wastes, have led to a great and continuous release of plastics in  
36 terrestrial and water ecosystems. Plastics are now one of the main challenges in the environmental  
37 management since the solution or more probably the mitigation of this problem affects the lifestyle,  
38 habits and behavior of each of us. In the last years, the scientific community focused the attention to  
39 microplastics (MPs), which are recently re-defined as synthetic polymers from 1 to <1000  $\mu\text{m}$  in the  
40 largest dimension (Hartmann et al., 2019). They derive from two different sources: the primary MPs  
41 originate from some plastic products, such as toothpastes, scrubs, cosmetics or pellets used in  
42 plastic production (Hidalgo-Ruz et al., 2012; Carr et al., 2016), while secondary MPs are small  
43 debris degraded through physical, chemical and biological processes from large plastics, such as  
44 shopping bags, fishing nets, resin pellets and household items (Browne et al., 2007). Although the  
45 MPs from terrestrial sources contribute to 80% of the total plastic debris that reach marine  
46 ecosystems (Cole et al., 2011), only few studies were conducted in freshwaters, as recently  
47 highlighted by Lambert and Wagner (2018) who indicated that less than 4% of studies related to  
48 MPs can be associated to inland waters. The MPs in freshwaters are characterized by an outstanding  
49 heterogeneity depending on sampling location, weather conditions, human activities and also  
50 sampling approaches (Eerkes-Medrano et al., 2015). The mean density of MPs in freshwaters  
51 changes dramatically, varying from almost none to many million MPs/m<sup>3</sup> (Li et al., 2018). The  
52 environmental impact of MPs can be categorized to physical, chemical and toxicological effects,  
53 each of them were identified mainly in marine organisms.

54 While the physical impacts of macroplastics include entanglement and ingestion which cause  
55 drowning, suffocation, strangulation and starving (Allsopp et al., 2006), MPs' ingestion can damage  
56 the gastrointestinal tract by mechanical rubbing and physical blockage of digestive organs  
57 (Jovanovic, 2017). The chemical and toxicological impacts are linked both to the intrinsic toxicity  
58 of MPs, but especially to the effects of additives, such as phthalates and bisphenol A used as  
59 plasticizers (Hammer et al., 2012), or to the plethora of environmental pollutants adsorbed on their  
60 surface that can be firstly ingested with MPs and then released in the organism even faster than that  
61 in the environment (Bakir et al., 2014). Up to now, only few studies were carried out in freshwater  
62 organisms: a very recent research showed an inhibition of cholinesterase activity on the bivalve  
63 *Corbicula fluminea* due to a mixture of the antimicrobial florfenicol and MPs (Guilhermino et al.,  
64 2018), while several studies conducted on larval and adult zebrafish (*Danio rerio*) demonstrated as  
65 MPs caused locomotion alteration, intestinal damage and metabolic changes (Lu et al., 2016; Chen  
66 et al., 2017; Sleight et al., 2017; Lei et al., 2018). Ding and collaborators (2018) found an activation

67 of EROD (7-ethoxyresorufin O-deethylase), BFCOD (7-benzyloxy-4-trifluoromethyl-coumarin O-  
68 dibenzyloxyase) and SOD (superoxide dismutase) in the liver, as well as an inhibition of AChE  
69 (acetylcholinesterase) in the brain of the fish red Tilapia (*Oreochromis niloticus*) exposed for 14  
70 days to 0.1 mm polystyrene MPs administered at different concentrations. Instead, Weber and co-  
71 workers (2018) have not monitored any significant effects on survival, development, metabolism  
72 and feeding activity in the freshwater crustacean *Gammarus pulex* exposed to irregular fragments of  
73 polyethylene terephthalate (PET; 10-150 µm) for 48 h. Our previous results obtained on the same  
74 freshwater zebra mussel (*Dreissena polymorpha*) used in this proteomic study showed a significant  
75 ( $p<0.05$ ) increase of catalase and dopamine and a significant ( $p<0.05$ ) decrease of glutathione  
76 peroxidase (Magni et al., 2018).

77 Therefore, in order to partially fill this gap of knowledge on the impact of MPs in freshwater  
78 organisms, we investigated the possible proteins' modulation in zebra mussels (*Dreissena*  
79 *polymorpha*) exposed to two different concentrations of a mixture of two types of virgin  
80 polystyrene microbeads (size=1 and 10 µm). We carried out static exposures for 6 days, performing  
81 proteomics analyses on mussels' gills, considering that this organ can represent a route of entrance  
82 for these emerging contaminants and that gills could be a target for MPs, being the first barrier that  
83 they encounter when enter through the inhalant siphon of mussels. The selection of zebra mussel as  
84 biological model is due to its specific characteristics, such as high filtration rate, easiness in  
85 laboratory maintenance, size suitable to expose a significant number of specimens and its ecological  
86 position that links littoral and benthic ecosystems (Binelli et al., 2015). Mass spectrometry-based  
87 proteomics is one of the most sensitive methodology available in ecotoxicology (Monsinjon and  
88 Knigge, 2007) and allowed us to study the potential toxicity of the virgin MPs. To our knowledge,  
89 this represents the first attempt to investigate the possible role played by MPs to modulate the  
90 proteins' expression of a freshwater organism.

91

## 92 2. MATERIALS AND METHODS

### 93 2.1 Selection of concentrations and exposure to polystyrene MPs

94 We collected hundreds of zebra mussels in Lake Iseo (Northern Italy) at 2-3 m of depth, then  
95 transported in lake water to the laboratory. Before the exposure tests, mussels were acclimated for 2  
96 weeks in 15 L tanks (50:50 v/v of tap and deionized water), at  $20 \pm 1$  °C under oxygen saturation  
97 and natural photoperiod, and fed with *Spirulina sp.*, as described in other previous studies (Binelli  
98 et al., 2015; Magni et al., 2016, 2017, 2018).

99 We purchased the standard suspension (5%) of polystyrene MPs (1 and 10 µm) from Sigma Aldrich  
100 (Milan, Italy), which were subsequently diluted in ultrapure water to reach 50 mg/L of suspensions.

101 Then, we quantified the number of the two types of MPs by a Bürker chamber, achieving  $116 \times 10^6$   
102  $\pm 33 \times 10^6$  of 10  $\mu\text{m}$  MPs/L and  $23 \times 10^9 \pm 530 \times 10^6$  of 1  $\mu\text{m}$  MPs/L, respectively. We did not  
103 notice any MPs' aggregation in the working suspensions observed by confocal microscopy (Magni  
104 et al., 2018). We measured the number of MPs only in the working suspensions, because it was  
105 impossible their measurement in the exposure beakers. We tried by coulter counter, but the lack of  
106 any surface charge prevents any possible realistic measure. Then, we tried by the Bürker chamber,  
107 by which we measured the MPs in the working suspensions. However, after the dilutions to reach  
108 the selected concentration in the exposure beakers, the average number of 10  $\mu\text{m}$  microparticles in  
109 M2 (the highest suspension) was only 1.8 particles in the Bürker chamber:  $2.000.000 \text{ particles/L} = 2$   
110  $\text{particles}/\mu\text{L}$  ( $\text{mm}^3$ ). Then,  $(2 \text{ particles}/\text{mm}^3) \times 9 \text{ mm}^2$  (chamber area) =  $18 \text{ particles}/\text{mm} \times 0.1 \text{ mm}$   
111 (thickness of chamber) = 1.8 average total particles in the Bürker chamber. This low value made not  
112 possible a plausible evaluation of the final suspensions' concentration. Furthermore, MPs of 1  $\mu\text{m}$   
113 were impossible to check in the exposure beakers due to their small size and the presence of the  
114 microalgae used as food that interfere on the microscopy observations.

115 Since the aim of this study was the preliminary investigation of the possible role of this kind of MPs  
116 to modulate the proteome of a non-target freshwater organism, we selected two MPs'  
117 concentrations higher than those now found in the inland waters in order to evaluate the mechanism  
118 of action (MoA) of these physical environmental contaminants. The reason is exactly the same even  
119 for the choice to use two mixtures of MPs with two different sizes (1 and 10  $\mu\text{m}$ ): the first one (M1)  
120 with  $5 \times 10^5$  MPs/L of 1 $\mu\text{m}$  and  $5 \times 10^5$  MPs/L of 10  $\mu\text{m}$  (total amount:  $1 \times 10^6$  MPs/L), while the  
121 second one (M2) with  $2 \times 10^6$  MPs/L of 1 $\mu\text{m}$  and  $2 \times 10^6$  MPs/L of 10  $\mu\text{m}$  (total concentration:  $4 \times$   
122  $10^6$  MPs/L).

123 Exposures were carried out in triplicate (3 tanks for controls, M1 and M2, respectively), placing 70  
124 mussels on suspended nets in each 4L glass beakers ( $n=9$ ) for each of the two mixtures (Ms) and  
125 related control for 6 days in static conditions in the same maintenance conditions above mentioned  
126 and under slow stirring to prevent the MPs' sedimentation. Thus, the sample size for each treatment  
127 and controls was 210 each one (70 mussels/tank  $\times$  3 tanks) which were then used both for  
128 biomarker analyses and proteomics. The short exposure time is related to the onset of deleterious  
129 effects caused by the bivalve metabolism and the food degradation after 6 days due to the lack of  
130 water change.

131 We added food only two times during the exposure to avoid a possible effect simply due to the  
132 under-nutrition, but at the same time to eliminate any possible interference of algae to the MPs'  
133 bioavailability, bearing in mind that the aim of the study was the investigation of the potential  
134 toxicity of the only MPs. On the other hand, the primary effect of toxicants should appear early,

135 since it affects the molecular level at first. Indeed, we previously evaluated that this exposure time  
136 is sufficient to produce some cyto- and genotoxic effects, as well as proteins' modulation on this  
137 biological model (Binelli et al., 2015; Magni et al., 2018).

138 At the end of exposure, we dissected one gill from 5 mussels *per* treatment, randomly selected from  
139 the three beakers, then stored at -80 °C, while the other mussels were used for biomarkers  
140 measurements (Magni et al., 2018). Contemporarily, the other fresh dissected gill was quickly  
141 observed with an optical microscope without any preliminary treatment to confirm the presence of  
142 MPs in this tissue.

143

## 144 2.2 Mass spectrometry based proteomic analysis

### 145 2.2.1 Sample preparation

146 We homogenized a pool of 5 gills *per* treatment (1 gill for each mussel randomly selected from the  
147 three tanks) in 500 µL of lysis buffer containing 20 mM 4-(2-hydroxyethyl)-1-  
148 piperazineethanesulfonic acid pH = 7.5 (HEPES), 320 mM sucrose, 1 mM  
149 ethylenediaminetetraacetic acid pH = 8.5 (EDTA), 5 mM ethylene glycol-bis(β-aminoethyl ether)-  
150 N,N,N',N'-tetraacetic acid pH = 8.1 (EGTA), 1 mM sodium ortho-vanadate (Na<sub>3</sub>VO<sub>4</sub>), 10 mM β-  
151 glycerophosphate, 10 mM sodium fluoride (NaF), 10 mM sodium pyrophosphate (NaPPi), 1 mM  
152 phenylmethylsulfonyl fluoride (PMSF) in ethanol, 5 mM dithiothreitol (DTT) and protease  
153 inhibitors (Roche) in Milli Q<sup>®</sup> water (Binelli et al., 2017). We centrifuged the homogenates at  
154 15,000 g (S15 fraction) for 10 min at 4 °C and quantified the proteins with Bradford method  
155 (Bradford, 1976); 300 µg of proteins were then precipitated with methanol/chloroform/Milli Q  
156 water (4:1:3 ratio v/v). Obtained pellets were re-suspended in 8 M urea in 50 mM tris hydrochloride  
157 (Tris-HCl), 30 mM sodium chloride (NaCl) pH = 8.5 and protease inhibitors (Roche) and  
158 centrifuged at 14,000 g for 30 min at 4 °C. We re-quantified the proteins in the supernatant with  
159 Bradford method (Bradford, 1976). Then, 10 µg of proteins were incubated with 50 mM DTT in 50  
160 mM ammonium bicarbonate (AMBIC) for 30 min at 52 °C under stirring (600 rpm) to perform the  
161 reduction of disulfide bonds; subsequently, we added in each sample 100 mM iodoacetamide  
162 (IANH<sub>2</sub>) and incubated for 20 min at room temperature (RT) to alkylate the sulfhydryl groups. To  
163 obtain peptide mixture, proteins were digested by Trypsin Sequencing Grade (Roche, Monza, Italy)  
164 in 50 mM AMBIC overnight at 37 °C under stirring (400 rpm). Peptides were purified by reverse  
165 phase chromatography, using Zip Tips (µ-C18; Millipore, Milan, Italy).

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169 *2.2.2 High resolution mass spectrometry analysis (nLC-MSMS)*

170 Tryptic peptides were analyzed at UNITECH OMICs (University of Milano, Italy) using a Dionex  
171 Ultimate 3000 nano-LC system (Sunnyvale CA, USA) connected to an Orbitrap Fusion™ Tribrid™  
172 Mass Spectrometer (Thermo Scientific, Bremen, Germany) equipped with a nano-electrospray ion  
173 source. Peptide mixtures were pre-concentrated onto an Acclaim PepMap 100 - 100µm x 2cm C18  
174 and separated on EASY-Spray column, 15 cm x 75 µm ID packed with Thermo Scientific Acclaim  
175 PepMap RSLC C18, 3 µm, 100 Å. The temperature was set to 35 °C and the flow rate was 300 nL  
176 min<sup>-1</sup>. Mobile phases were the following: 0.1% Formic acid (FA) in water (solvent A); 0.1% FA in  
177 water/acetonitrile (solvent B) with 2/8 ratio. Peptides were eluted from the column with the  
178 following gradient: 4% to 28% of B for 90 min and then 28% to 40% of B in 10 min, and to 95%  
179 within the following 6 min to rinse the column. Column was re-equilibrated for 20 min. Total run  
180 time was 130 min. One blank was run between triplicates to prevent sample carryover. MS spectra  
181 were collected over an m/z range of 375-1500 Da at 120,000 resolutions, operating in the data  
182 dependent mode, cycle time 3 sec between master scans. HCD was performed with collision energy  
183 set at 35 eV. Each sample was analyzed in three technical triplicates.

184 LTQ raw data was searched against a protein database using SEQUEST algorithm in Proteome  
185 Discoverer software version 2.2 (Thermo Scientific) for peptide/protein identification. The searches  
186 were performed against Uniprot KnowledgeBase (KB) (taxonomy Bivalvia, 83922 entries). The  
187 minimum peptide length was set to six amino acids and enzymatic digestion with trypsin was  
188 selected, with maximum 2 missed cleavages. A precursor mass tolerance of 8 ppm and fragment  
189 mass tolerance of 0.02 Da were used; acetylation (N-term), oxidation (M) were used as dynamic  
190 modifications and carbamidomethylation (C) as static modification. The false discovery rates  
191 (FDRs) at the protein and peptide level were set to 0.01 for highly confident peptide-spectrum  
192 matches and 0.05 for peptide-spectrum matches with moderate confidence.

193 We considered only proteins with a score of coverage > 2% with at least two identified peptides.  
194 Differences in abundance ratio (AR) of proteins between M1 and M2 against control were  
195 considered only with at least a 2-fold change and with a standard deviation between replicates less  
196 than 20%. We identified 425 different proteins, but the cut-offs made and the necessity of the  
197 homology search, based on the “Bivalvia” taxonomy entry only, decreased the proteins to 152.

198

199 RESULTS AND DISCUSSION

200 Although we had previous evidences of the intake of this kind of MPs both in other soft tissues and  
201 hemolymph of *D. polymorpha*, as reported in our recent work (Magni et al., 2018), we wanted the  
202 certainty of the MPs' presence in the gills to be sure that the potential effects observed on the



203 proteome were effectively due to these contaminants. Thus, we used the optical microscopy (20x)  
204 directly on one of the fresh gills extracted from the mussels collected for the analyses. The  
205 microscopy observations pointed out the presence of many MPs of 10  $\mu\text{m}$  interposed among the gill  
206 lamellae (Fig. 1 B, C, D) for the two Ms, confirming the uptake capability of zebra mussels also for  
207 this physical contaminant. The intake of 1  $\mu\text{m}$  MPs, not visible by optical microscopy, was already  
208 observed in this biological model by confocal observations in our previous study (Magni et al.,  
209 2018).

210 Moving to the proteomics' results, no proteins' modulation was obtained at the end of the exposure  
211 to M1 in comparison to controls, while M2 was able to modulate 78 different proteins (Fig. 2), but  
212 one of the most relevant results concerned the fact that 18 proteins were completely not expressed  
213 in zebra mussels exposed to M2 compared to controls (Tab. 1).

214 The two Ms showed a very different impact on zebra mussels' gill proteome considering the lack of  
215 changes observed for the exposure to M1 compared to the heavy effect made by M2, which contain  
216 an MPs' concentration only four times greater. This seems to suggest a kind of threshold whose  
217 reasons can be simply related to the higher intake of MPs in the mussels that reached the level-  
218 threshold to be toxic, but maybe also to the overrun of homeostatic responses that are no longer able  
219 to fight the injuries made by MPs. This result, which is the first evidence of this kind of effect due  
220 to MPs on the proteome of freshwater organisms, surely opens new questions on the toxicological  
221 behavior due to these physical contaminants and maybe can represent the first step to identify  
222 hypothetic limits to the environmental concentrations of MPs to be used in a future risk  
223 management. Other additional experiments will certainly be needed to confirm the threshold we  
224 found, bearing in mind the inability to measure the MPs' concentrations directly in the exposure  
225 beakers, as well explained in the paragraph 2.1.

226 Moving to the modulation of gill protein, we did not observe a specific metabolic pathway as target,  
227 but rather a diffuse effect on many protein classes. Figure 3 shows that the catalytic activity (27%)  
228 and nucleotide binding were the major classes of proteins modified by the M2 exposure, followed  
229 by proteins involved in the structural molecule activity (12%) and protein binding (11%). Proteins  
230 related to RNA (5%) and metal ion (4%) bindings close the list of modulated protein classes. More  
231 in detail, the changed proteins are mainly involved in the structure and function of ribosomes,  
232 energy metabolism, cellular trafficking, RNA-binding and cytoskeleton (Tab. 1), which are directly  
233 or indirectly involved in the oxidative stress homeostasis. Indeed, several recent studies highlighted  
234 that the increase of oxidative stress and the consequent imbalance of the antioxidant defense  
235 mechanism are one of the major effects made by MPs (Jeong et al., 2017; Magara et al., 2018; Yu et  
236 al., 2018) probably due to both their intrinsic toxicological effects and the mechanic injuries made

237 by these physical pollutants that increase the inflammatory status (Jin et al., 2018). The only  
238 comparison with other proteomic data is possible with results recently made by Green and co-  
239 workers (2019) which however evaluate the effects of other MPs (polylactic acid and polyethylene)  
240 in the marine *Mytilus edulis*. Furthermore, comparisons among MPs' impact are more difficult than  
241 those made by chemicals since uptake and toxicological effects can be influenced by other physical  
242 variables, mainly size and shape. Anyway, the authors found some of the same protein classes  
243 modulated by M2, such as proteins involved in the cellular structure, DNA binding, detoxification  
244 (e.g. metal ion binding) and metabolism, but also another specific class not modulated by M2 linked  
245 to immune response (Green et al., 2018).

246 In detail, we found an up-regulation of the glutathione reductase (7.8-fold increase; Tab. 1) which  
247 suggests the activation of the antioxidant defense chain in the mussel gills. Glutathione reductase  
248 (*Gsr*) is a highly-conserved protein involved in the regulation, modulation and maintenance of  
249 cellular redox homeostasis by the transformation of oxidized glutathione into its reduced form  
250 (Couto et al., 2016). The up-regulation of *Gsr* suggests the attempt of zebra mussels to increase the  
251 production of reduced glutathione that is a direct scavenger of OH<sup>°</sup> and other cell radicals and one  
252 of the substrates involved in the glutathione machinery to counteract the oxidative stress.

253 Another indication of this mechanism of action can be seen through the modulation of the  
254 cytoskeleton proteins (Tab. 1) which were always found as one of the major targets of some  
255 xenobiotics in different model organisms (Miura et al., 2005; Hanisch et al., 2010; Riva et al., 2012;  
256 Binelli et al., 2013). This kind of proteins, which are involved in the maintenance of cell shape,  
257 locomotion, intracellular organization and transport, have been indicated as one of the first targets  
258 of the oxidative stress (Riva et al., 2012; Wilson et al., 2015; Belcastro et al., 2017). The M2  
259 modulated 11 different proteins directly ascribable to cytoskeleton functions (Tab. 1). In detail, 5 of  
260 them were up-regulated: actin-related protein 2/3 complex subunit 2, K1PPK1; myosin-Ie, K1QZI3;  
261 tubulin  $\beta$  chain, A0A194AQ74; 33 kDa inner dynein arm light chain, K1PNS2; dynein light chain  
262 roadblock, A0A210QUP6; 2 proteins were down-regulated (tropomyosin 1, H6BD84;  $\alpha$ -actinin,  
263 K1RH58) and 4 of them were not expressed (actin, A0A161HPY5;  $\beta$ -actin, A0A142IJP6; tubulin  $\alpha$   
264 chain, K1QII6; septin-2, K1PY30), in the exposed bivalves (Fig. 2 and Tab. 1). It is well known  
265 that oxidative stress induces the breakage of F-actin, impairing the microtubule polymerization  
266 (Wilson et al., 2015) and that tubulins  $\alpha$  and  $\beta$  chain contain many cysteine residues that can be  
267 oxidized by endogenous and exogenous oxidizing agents (Landino et al., 2004). The tubulins  
268  $\alpha$  chain (*Tuba*) and  $\beta$  chain (*Tubb*) were modulated by the exposure to M2 in different way: the  
269 former was completely not expressed (Tab. 1), while the latter was the protein most up-regulated  
270 (Tab. 1; Fig. 2). The block of expression for *Tuba* is a dramatic event because it normally binds the

271 other dimer  $\beta$  to form the microtubules. M2 seems not only to interfere on the microtubules'  
272 assemblage, modifying the cellular stability and organization, but could alter also the mechanical  
273 defense of the digestive tract of zebra mussel. Indeed, *Tuba* and *Tubb* are also the main components  
274 of cilia, which in mussels are present mainly in the syphons with the task to reject dangerous  
275 particles, such as diatom frustules and large particulate matter, and facilitate respiration (Magi et al.,  
276 2008).

277 Another effect noticed after the exposure to M2 was the impact on many proteins directly and  
278 indirectly involved in the RNA translation and protein synthesis. We found 7 RNA-binding proteins  
279 modulated (Tab. 1), 4 of them up-regulated (putative eukaryotic initiation factor 4A-II,  
280 A0A194AJS2; eukaryotic initiation factor 4A-III, K1BPL2; GTP-binding nuclear protein,  
281 A0A1L5JFM5; small nuclear ribonucleoprotein Sm D3, K1QVD0), 2 proteins down-regulated  
282 (polyadenylate-binding protein, K1RJH5; small nuclear ribonucleoprotein Sm D2, A0A210R719)  
283 and the RNA-binding protein Nova-1 (A0A210R485) not expressed (Fig.2 and Tab.1).

284 The RNA-binding proteins (RBPs) play a crucial role in the regulation of gene expression, mainly  
285 based on splicing regulation, mRNA transport, modulation of mRNA translation and also in decay.  
286 Furthermore, RBPs are strictly involved in response to stress (Alves and Goldenberg, 2016) since it  
287 is crucial for the cell to control and arrest mRNA translation during stressful situations, as shown by  
288 Holcik and Sonenberg (2005) who indicated as 50% of cell energy is consumed during translation.  
289 In particular, the RBPs are one of the main constituents of the so-called stress granules (SGs),  
290 which are formed in cytoplasm of cells exposed to many environmental stressors, such as hypoxia,  
291 UV, heat and oxidative stress (Anderson and Kedersha, 2006). The role played by SGs was  
292 confirmed by the observation of their rapid induction, estimated in 15-30 minutes, in the cell  
293 cytoplasm of different model-organisms exposed to different stressors (Mangiardi et al., 2004;  
294 Moeller et al., 2004; Kayali et al., 2005). During stress, most mRNAs are directed to either the  
295 degradation machinery or SGs, where they remain untranslated until homeostatic conditions are  
296 reactivated (Alves and Goldenberg, 2016). SGs are composed by an assemblage of different  
297 proteins, that include also the eukaryotic initiation factors (*EiFs*), some ribosomal subunits, scaffold  
298 proteins, RNA-stability proteins and many others (Wheeler et al., 2017). It is interesting to note that  
299 some proteins included in the classes forming SGs were effectively modulated by M2. Specifically,  
300 2 *EiFs* were up-regulated (*EiF 4A-II* A0A194AJS2 and *EiF 4A-III*, *K1PBL2*) and one of them was  
301 not expressed (putative *EiF 1-like*, A0A194AJY7), as shown in table 1.

302 Very interestingly, almost all the ribosomal proteins were up-regulated (Tab. 1), confirming the  
303 over-production of these proteins' class necessary for the SG formation and considered markers of  
304 these cytoplasmic structures (Kedersha and Anderson; 2002). Another evidence of this possible

305 defense mechanism can be highlighted in the lack of expression of many proteins. Table 1 shows  
306 that three heat shock proteins (*Hsps*) were below the detection limit (Heat shock cognate 70 kDa  
307 protein, B4E3Z6; Heat shock protein 70, M4GLN4; Putative heat shock protein 90) compared to  
308 controls and one was down-regulated (HSP90 protein, A0A023W7V2), while only one was up-  
309 regulated (Heat shock cognate 70, A0A0M4TZ63). The *Hsps* are involved in many cell activities,  
310 such as folding/unfolding of proteins, cell-cycle control and signaling, protein transport and  
311 protection against stress and apoptosis (Li and Srivanstava, 2004). Although several studies  
312 highlighted an activation of the *Hsps* related to the increasing oxidative stress (Oksala et al., 2014;  
313 Liu et al., 2015; Wang et al., 2018; Ikwegbue et al., 2018), our proteomics results seem to show a  
314 more complicated situation. Indeed, if the “silver thread” among the modulation of the different  
315 protein classes made by M2 is effectively the activation of the response machinery against the  
316 oxidative stress, the inactivation or down-regulation of *Hsps* seems to be inconsistent. Actually, the  
317 modulation of *Hsps* noticed could be simply related to one of the numerous other functions above  
318 described, but the most intriguing explanation concerns the physical nature of the administered  
319 contaminant that can trigger a different cascade of events than that produced by chemical pollutants.  
320 Since a background level of these *Hsps* was evaluated in the controls, the complete blockage of  
321 their expression could point out that cells consider them as housekeeping proteins, whose mRNA  
322 must be untranslated for cellular energy-saving, instead of possible barrier against the injuries made  
323 by M2 exposure. Another evidence on the response based on the energy pathways was the  
324 modulation of some proteins related to energy source and production, whose 4 of them were down-  
325 regulated, 4 up-regulated and one not expressed (Tab. 1). More specifically, 5 proteins (isocitrate  
326 dehydrogenase, K1R7T2; enolase, K1QX37; phosphoglycerate kinase, K1QCC1; fructose-  
327 bisphosphate aldolase, K1R8R6 and GAPDH, A0A2H4NFY0) were directly involved in the  
328 glycolysis and one in the Krebs cycle (methylmalonyl-CoA mutase, K1QE55), showing as M2 was  
329 able to interfere also on the cellular energetic balance. Indeed, similar results were obtained in a  
330 previous study (Sussarellu et al., 2016) in which polystyrene microparticles were administered to  
331 Pacific oysters, interfering with energy uptake and allocation. This negative effect shifted the  
332 energy flows toward organism maintenance and structural growth at the expense of reproduction.  
333 Lastly, the last big protein class modulated by M2 was related to protein degradation with 3 proteins  
334 up-regulated, 3 down-regulated and one not expressed (Tab. 1). In detail, 3 of them (26S  
335 proteasome non-ATPase regulatory subunit 7, A0A210QH02; 26S proteasome non-ATPase  
336 regulatory subunit 14, A0A210QNI0; proteasome subunit  $\alpha$  type, K1R6F1) are components of the  
337 proteasome, a multiprotein complex mainly involved in the ATP-dependent degradation of  
338 ubiquitinated proteins.

339 In summary, even if some evidences must be confirmed, for instance through microscopy  
340 observations for the probable formation of SGs, our results confirmed the sensitivity of the  
341 proteomic approach in ecotoxicology and its capability to highlight the adverse effects made also by  
342 these physical contaminants. In particular, this methodology suggested that M2 was able to create  
343 an imbalance in the oxidative status of gill cells which was reflected in the modulation of many  
344 proteins involved in some different cellular pathways, as summarized in figure 4.

345

## 346 CONCLUSIONS

347 This study adds another brick in the knowledge of the risk assessment associated to virgin MPs.  
348 One of the pivotal results is the discovery of a “quantal effect” demonstrated by a clear threshold  
349 between M1 and M2, situation absolutely not predictable before the exposures and never described  
350 in the previous studies on MPs. Proteomics performed this task very well, proving its sensitivity  
351 and the capability to investigate the deepest effects due to M2 that modulate especially proteins  
352 belonging to 5 different classes involved in crucial cellular pathways. The ultimate effect which  
353 connects the modulation of these protein classes seemed to be the increase of oxidative stress and  
354 the related activation of the antioxidant machinery.

355 Our data drive to other in-depth studies, based on different techniques, to evaluate if the  
356 biochemical effects noticed could determine negative consequences also at the higher levels of the  
357 biological scale. Moreover, other experiments should be conducted considering also the possible  
358 adsorption of phytoplankton and/or environmental pollutants to MPs that can modify both their  
359 aggregation and bioavailability.

360

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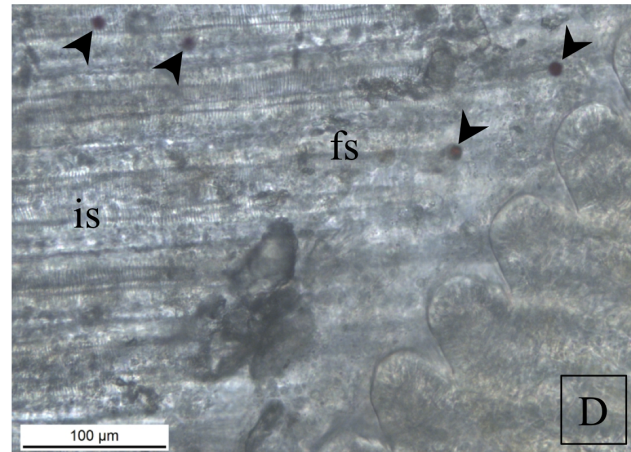
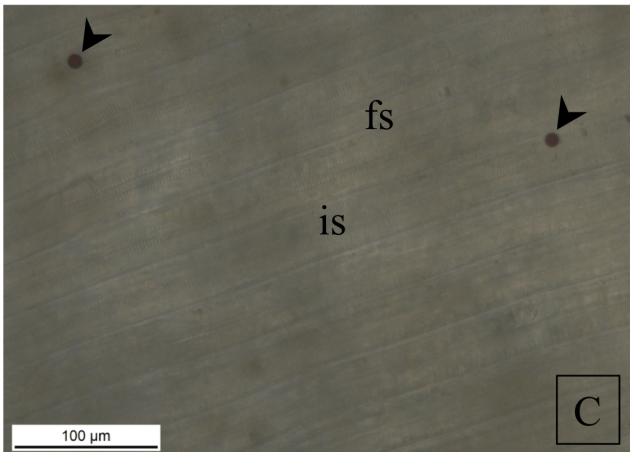
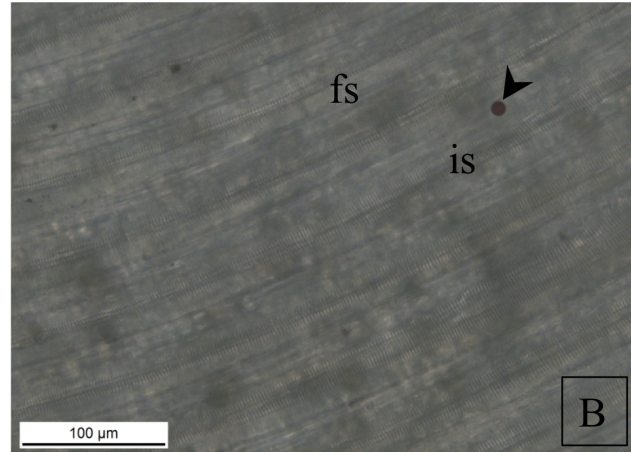
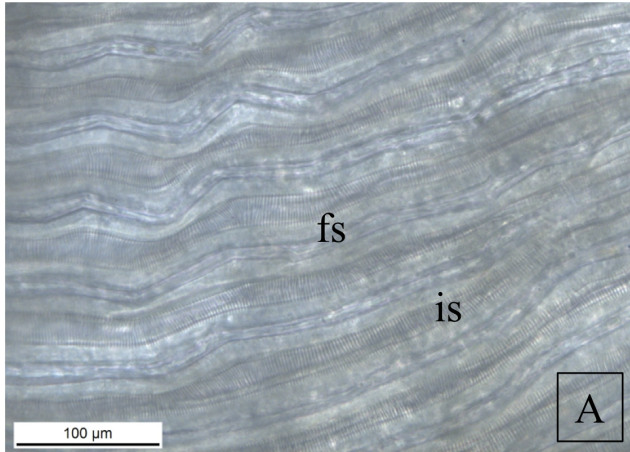
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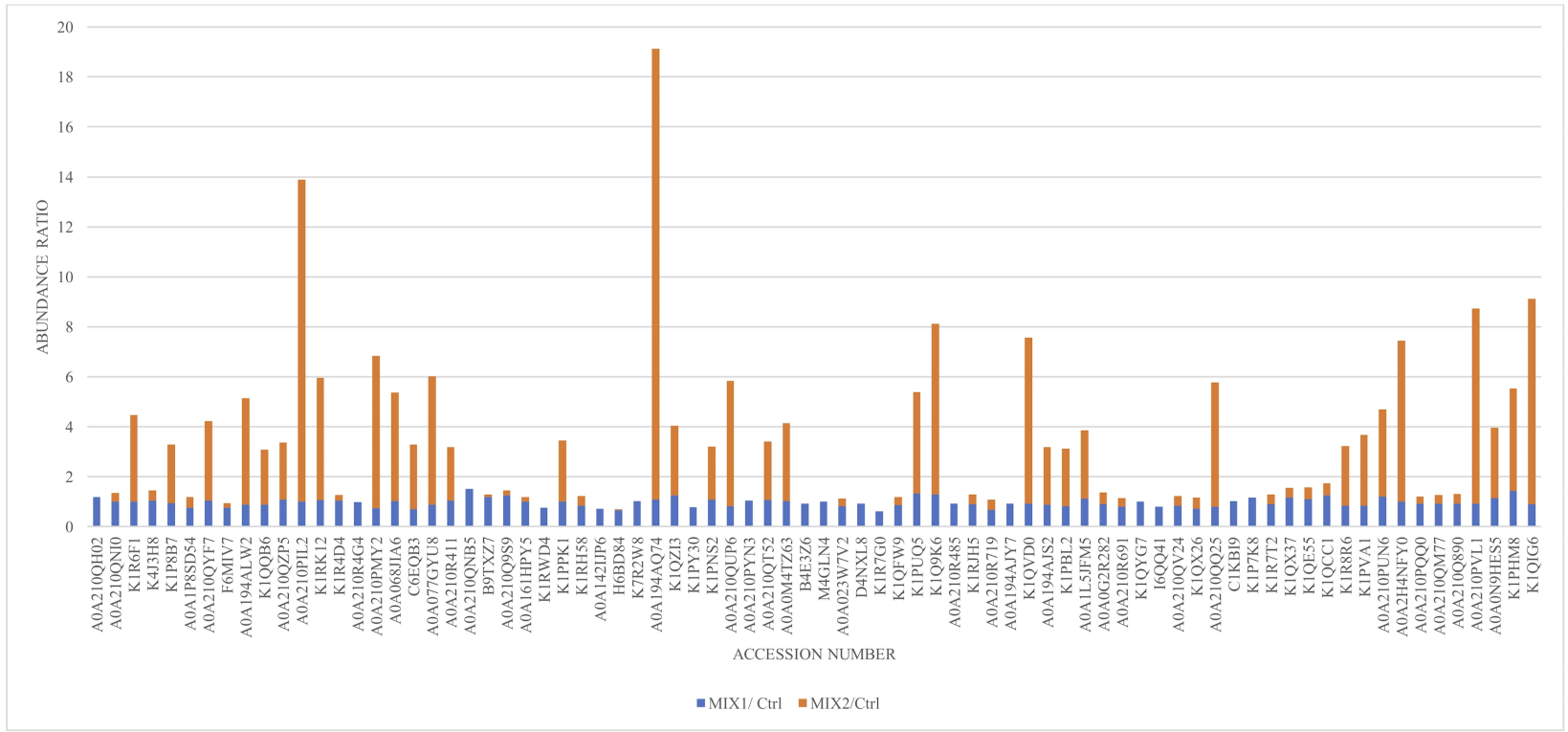
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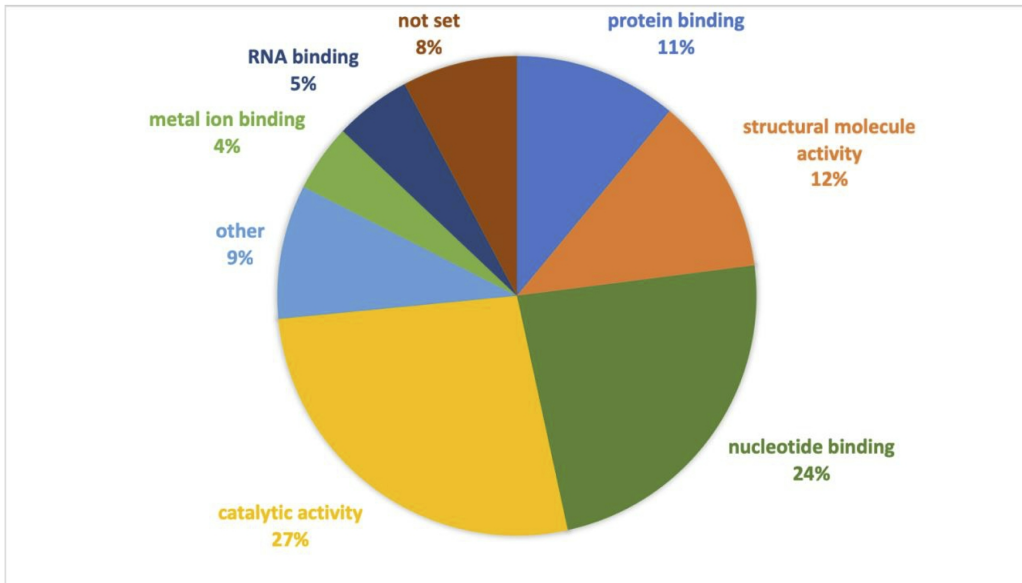
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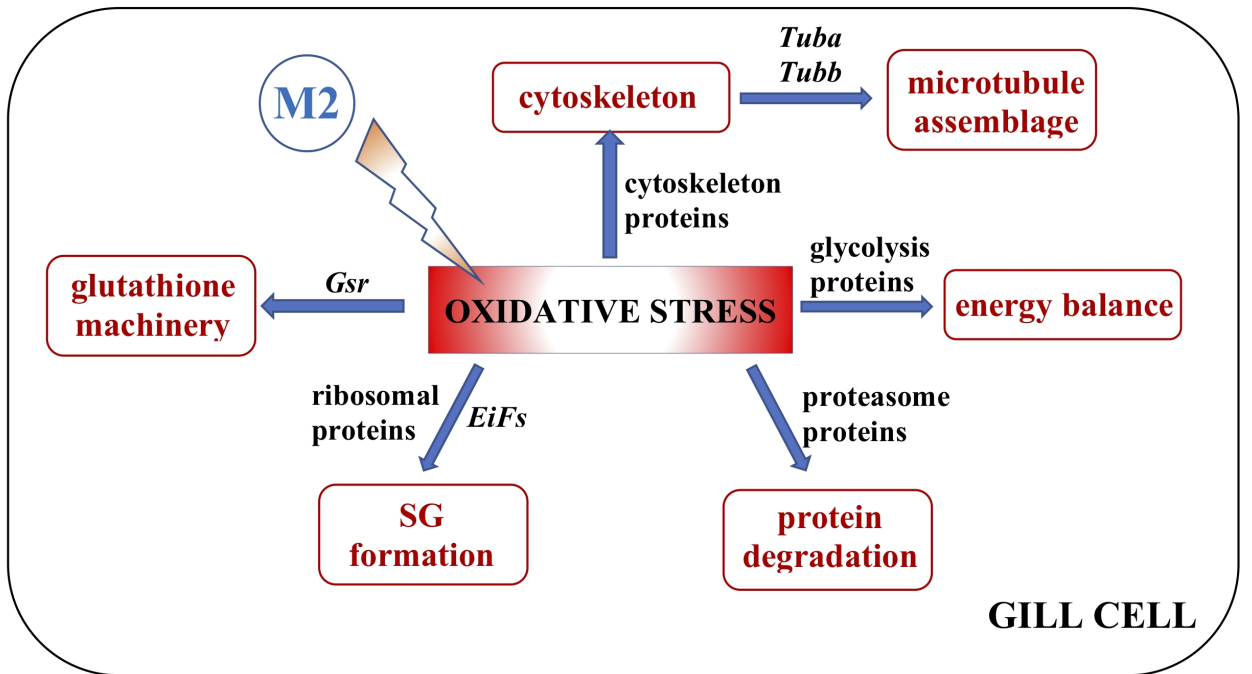
## CAPTIONS

- Figure 1 **Intake of MPs:** microplastics of 10  $\mu\text{m}$  (indicated by arrows) inserted in the gills' lamellae of zebra mussels (B, C, D) in comparison to controls (A).  
fs=frontal surface of a filament; is=interfilament space.
- Figure 2 **Protein profiling modulation:** differentially modulated proteins due to M1 and M2 related to controls.
- Figure 3 **Molecular function of modulated proteins:** gene ontology analyses of identified proteins in M1 and M2. The showed enriched categories are related to the molecular functions of gills' proteins.
- Figure 4 **Proteins' classes affected by M2:** Summarizing scheme of the suggested mechanism of action with the main proteins' classes modulated by the M2 exposure.  
*Gsr* = glutathione reductase; *Tuba* = tubulin  $\alpha$  chain; *Tubb* = tubulin  $\beta$  chain; *EiFs* = eukaryotic initiation factors.
- Table 1 Description of the proteins modulated in the zebra mussel gills by M1 and M2.









Main Function	UniProt Accession	Description	Coverage [%]	Peptides	Unique Peptides	MW [kDa]	pI	AR: (M1) / (Ctrl)	AR: (M2) / (Ctrl)
Protein degradation	A0A210QH02	26S proteasome non-ATPase regulatory subunit 7 ( <i>Mizuhopecten yessoensis</i> )	9	2	2	38.1	6.62	1.180	NOT FOUND
	A0A210QNI0	26S proteasome non-ATPase regulatory subunit 14 ( <i>Mizuhopecten yessoensis</i> )	6	2	2	34.6	6.58	0.998	0.349
	K1R6F1	Proteasome subunit alpha type ( <i>Crassostrea gigas</i> )	31	6	6	28.0	7.47	0.995	3.466
	K4J3H8	Activated protein kinase C receptor (Fragment) ( <i>Solen grandis</i> )	23	6	5	26.5	8.63	1.040	0.403
	K1P8B7	Ubiquitin-conjugating enzyme E2-17 kDa (Fragment) ( <i>Crassostrea gigas</i> )	40	3	3	14.7	5.41	0.930	2.344
	A0A1P8SD54	Ubiquitin ( <i>Ruditapes philippinarum</i> )	86	8	8	8.7	7.25	0.743	0.443
	A0A210QYF7	cAMP-dependent protein kinase regulatory subunit ( <i>Mizuhopecten yessoensis</i> )	6	2	2	42.2	5.43	1.030	3.187
	F6MIV7	Cathepsin B ( <i>Cristaria plicata</i> )	4	2	2	38.5	6.10	0.758	0.176
Ribosome structure	A0A194ALW2	40S ribosomal protein S6 ( <i>Pinctada fucata</i> )	12	4	2	27.9	10.83	0.879	4.252
	K1QQB6	40S ribosomal protein S14 ( <i>Crassostrea gigas</i> )	25	6	6	16.3	10.36	0.866	2.217
	A0A210QZP5	40S ribosomal protein S17 ( <i>Mizuhopecten yessoensis</i> )	21	3	3	16.9	9.70	1.071	2.286
	A0A210PIL2	40S ribosomal protein S20 ( <i>Mizuhopecten yessoensis</i> )	24	2	2	13.7	10.32	1.000	12.887



	K1RK12	40S ribosomal protein S23 ( <i>Crassostrea gigas</i> )	3	2	2	79.5	7.12	1.054	4.891
	K1R4D4	40S ribosomal protein SA ( <i>Crassostrea gigas</i> )	38	9	2	33.3	4.92	1.041	0.212
	A0A210R4G4	60S ribosomal protein L18a ( <i>Mizuhopecten yessoensis</i> )	9	2	2	20.9	10.90	0.979	NOT FOUND
	A0A210PMY2	60S ribosomal protein L36 ( <i>Mizuhopecten yessoensis</i> )	10	2	2	12.7	11.80	0.725	6.096
	A0A068JIA6	60S ribosomal protein L6 (Fragment) ( <i>Azumapecten farreri</i> )	9	3	3	24.7	10.54	1.022	4.331
	C6EQB3	Ribosomal protein L8 (Fragment) ( <i>Modiolus modiolus</i> )	8	2	2	24.4	10.36	0.692	2.586
	A0A077GYU8	Ribosomal protein L19 (Fragment) ( <i>Mytilus trossulus</i> )	22	6	6	23.8	11.43	0.874	5.134
	A0A194AJY7	Putative eukaryotic translation initiation factor 1-like protein ( <i>Pinctada fucata</i> )	14	2	2	12.9	8.02	0.924	NOT FOUND
Protein synthesis	A0A210R411	Serine--tRNA ligase, cytoplasmic ( <i>Mizuhopecten yessoensis</i> )	5	2	2	58.6	6.44	1.046	2.138
	A0A210QNB5	Small nuclear ribonucleoprotein E ( <i>Mizuhopecten yessoensis</i> )	16	2	2	10.5	9.38	1.515	NOT FOUND
Protein Folding	B9TXZ7	Peptidyl-prolyl cis-trans isomerase (Fragment) ( <i>Dreissena polymorpha</i> )	63	4	4	8.4	8.51	1.180	0.103
	A0A210Q9S9	Peptidyl-prolyl cis-trans isomerase ( <i>Mizuhopecten yessoensis</i> )	23	4	4	18.1	8.07	1.251	0.198
Cytoskeleton structure	A0A161HPY5	Actin ( <i>Crassostrea brasiliana</i> )	94	43	10	41.7	5.48	1.005	0.182

	K1RWD4	Actin, cytoplasmic ( <i>Crassostrea gigas</i> )	79	40	4	41.9	5.39	0.744	NOT FOUND
	K1PPK1	Actin-related protein 2/3 complex subunit 4 ( <i>Crassostrea gigas</i> )	13	3	3	19.6	8.92	1.003	2.441
	K1RH58	Alpha-actinin, sarcomeric ( <i>Crassostrea gigas</i> )	23	19	9	102.1	5.45	0.825	0.402
	A0A142IJP6	Beta-actin ( <i>Sinanodonta woodiana</i> )	76	36	2	41.8	5.48	0.711	NOT FOUND
	H6BD84	Tropomyosin 1 (Fragment) ( <i>Ostrea edulis</i> )	13	2	2	16.3	4.88	0.645	0.051
	K7R2W8	Tubulin alpha chain (Fragment) ( <i>Scrobicularia plana</i> )	43	22	2	50.3	5.20	1.015	NOT FOUND
	A0A194AQ74	Tubulin beta chain ( <i>Pinctada fucata</i> )	74	32	4	43.3	4.86	1.073	18.064
	K1QZI3	Myosin-Ie ( <i>Crassostrea gigas</i> )	3	2	2	127.9	9.16	1.233	2.803
	K1PY30	Septin-2 ( <i>Crassostrea gigas</i> )	4	2	2	72.3	8.81	0.771	NOT FOUND
	K1PNS2	33 kDa inner dynein arm light chain, axonemal ( <i>Crassostrea gigas</i> )	10	2	2	27.0	9.11	1.074	2.133
	A0A210QUP6	Dynein light chain roadblock ( <i>Mizuhopecten yessoensis</i> )	18	3	3	11.3	9.41	0.819	5.007
Transport	A0A210PYN3	AP complex subunit beta ( <i>Mizuhopecten yessoensis</i> )	4	2	2	103.7	5.03	1.043	NOT FOUND
	A0A210QT52	Pleckstrin homology domain-containing family F member 2 ( <i>Mizuhopecten yessoensis</i> )	9	2	2	26.7	8.00	1.065	2.347
Heat shock proteins	A0A0M4TZ63	Heat shock cognate 70 ( <i>Septifer virgatus</i> )	28	18	3	71.2	5.43	1.019	3.114

	B4E3Z6	Heat shock cognate 70 kDa protein (Fragment) ( <i>Laternula elliptica</i> )	33	4	2	12.3	8.38	0.912	NOT FOUND
	M4GLN4	Heat shock protein 70 ( <i>Sinonovacula constricta</i> )	28	20	3	70.9	5.41	0.995	NOT FOUND
	A0A023W7V2	HSP90 protein ( <i>Ruditapes philippinarum</i> )	19	13	4	83.6	4.93	0.807	0.302
	D4NXL8	Putative heat shock protein 90 (Fragment) ( <i>Dreissena polymorpha</i> )	56	6	3	11.5	5.19	0.919	NOT FOUND
Binding proteins	K1R7G0	Chromobox-like protein 5 ( <i>Crassostrea gigas</i> )	13	2	2	22.4	4.91	0.616	NOT FOUND
	K1QFW9	Uncharacterized protein ( <i>Crassostrea gigas</i> )	5	3	3	94.8	7.06	0.846	0.337
DNA-binding proteins	K1PUQ5	Histone H2B ( <i>Crassostrea gigas</i> )	35	5	5	13.7	10.59	1.331	4.047
	K1Q9K6	Histone H3 ( <i>Crassostrea gigas</i> )	13	3	3	28.9	10.62	1.276	6.833
RNA-binding proteins	A0A210R485	RNA-binding protein Nova-1 ( <i>Mizuhopecten yessoensis</i> )	4	2	2	66.6	6.80	0.909	NOT FOUND
	K1RJH5	Polyadenylate-binding protein ( <i>Crassostrea gigas</i> )	9	5	5	71.6	9.41	0.904	0.373
	A0A210R719	Small nuclear ribonucleoprotein Sm D2 ( <i>Mizuhopecten yessoensis</i> )	33	2	2	13.7	9.95	0.670	0.406
	K1QVD0	Small nuclear ribonucleoprotein Sm D3 ( <i>Crassostrea gigas</i> )	11	3	3	14.2	10.54	0.923	6.645
	A0A194AJS2	Putative eukaryotic initiation factor 4A-II ( <i>Pinctada fucata</i> )	24	4	4	28.5	5.83	0.865	2.317
	K1PBL2	Eukaryotic initiation factor 4A-III ( <i>Crassostrea gigas</i> )	3	3	3	137.7	7.18	0.809	2.319

	A0A1L5JFM5	GTP-binding nuclear protein ( <i>Paphia undulata</i> )	28	7	7	24.8	6.92	1.121	2.73
Calcium-binding proteins	A0A0G2R282	Calmodulin (Fragment) ( <i>Isognomon nucleus</i> )	61	4	3	12.4	4.23	0.894	0.475
	A0A210R691	Calmodulin ( <i>Mizuhopecten yessoensis</i> )	21	2	2	16.8	4.34	0.785	0.362
Biosynthesis	K1QYG7	Glucosamine--fructose-6-phosphate aminotransferase [isomerizing] 1 ( <i>Crassostrea gigas</i> )	5	2	2	81.9	6.80	0.996	NOT FOUND
Chaperones	I6QQ41	Protein disulfide-isomerase ( <i>Mytilus galloprovincialis</i> )	7	3	3	55.1	4.65	0.797	NOT FOUND
	A0A210QV24	78 kDa glucose-regulated protein ( <i>Mizuhopecten yessoensis</i> )	22	9	2	72.7	5.05	0.836	0.392
	K1QX26	Endoplasmin ( <i>Crassostrea gigas</i> )	3	4	2	125.3	4.97	0.712	0.456
	A0A210QQ25	T-complex protein 1 subunit eta ( <i>Mizuhopecten yessoensis</i> )	11	4	4	59.4	6.21	0.801	4.968
Trafficking	C1KBI9	Rab7-like protein ( <i>Pinctada martensii</i> )	12	2	2	23.1	5.48	1.024	NOT FOUND
Energy source/production	K1P7K8	Vesicle-fusing ATPase 1 ( <i>Crassostrea gigas</i> )	3	2	2	82.7	6.77	1.154	NOT FOUND
	K1R7T2	Isocitrate dehydrogenase [NADP] ( <i>Crassostrea gigas</i> )	6	2	2	46.3	6.55	0.900	0.374
	K1QX37	Enolase ( <i>Crassostrea gigas</i> )	5	4	4	127.3	7.34	1.164	0.388
	K1QE55	Methylmalonyl-CoA mutase, mitochondrial ( <i>Crassostrea gigas</i> )	4	2	2	81.7	5.90	1.106	0.455

	K1QCC1	Phosphoglycerate kinase ( <i>Crassostrea gigas</i> )	7	2	2	43.0	7.72	1.246	0.490
	K1R8R6	Fructose-bisphosphate aldolase ( <i>Crassostrea gigas</i> )	11	4	4	43.5	6.20	0.834	2.385
	K1PVA1	Transitional endoplasmic reticulum ATPase ( <i>Crassostrea gigas</i> )	24	16	5	88.6	5.30	0.832	2.827
	A0A210PUN6	V-type proton ATPase subunit E ( <i>Mizuhopecten yessoensis</i> )	5	2	2	26.1	7.03	1.199	3.480
	A0A2H4NFY0	GAPDH (Fragment) ( <i>Ruditapes philippinarum</i> )	12	3	3	20.0	8.35	0.998	6.448
Signaling	A0A210PQQ0	Serine/threonine-protein phosphatase ( <i>Mizuhopecten yessoensis</i> )	12	3	3	41.1	5.17	0.916	0.282
	A0A210QM77	Glutamate dehydrogenase ( <i>Mizuhopecten yessoensis</i> )	6	3	3	59.5	7.93	0.907	0.357
	A0A210Q890	Major vault protein ( <i>Mizuhopecten yessoensis</i> )	11	8	8	96.4	5.94	0.915	0.387
Antioxidant activity	A0A210PVL1	Glutathione reductase ( <i>Mizuhopecten yessoensis</i> )	3	2	2	49.1	6.87	0.921	7.806
Multifunction	A0A0N9HES5	Ras-related C3 botulinum toxin substrate 1 ( <i>Mizuhopecten yessoensis</i> )	17	3	2	21.4	8.32	1.133	2.817
	K1PHM8	14-3-3 protein zeta ( <i>Crassostrea gigas</i> )	6	3	2	28.6	4.93	1.432	4.084
	K1QIG6	ADP-ribosylation factor-like protein 3 ( <i>Crassostrea gigas</i> )	10	3	3	28.2	9.20	0.885	8.223

MW = molecular weight; pI = isoelectric point; AR = abundance ratio