



# Improved Enzymatic Hydrolysis of Pilot Scale Pretreated Rice Straw at High Total Solids Loading

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#### Specialty section:

This article was submitted to Bioenergy and Biofuels, a section of the journal Frontiers in Energy Research

Received: 19 May 2018 Accepted: 15 October 2018 Published: 15 November 2018

#### Citation:

Agrawal R, Bhadana B, Mathur AS, Kumar R, Gupta RP and Satlewal A (2018) Improved Enzymatic Hydrolysis of Pilot Scale Pretreated Rice Straw at High Total Solids Loading. Front. Energy Res. 6:115. doi: 10.3389/fenrg.2018.00115

Enzymatic hydrolysis at high solids loading has the potential to reduce both capital and operational expenditures. Here, pretreatment of rice straw (PRS) with dilute acid was carried out at a pilot scale (250 kg per day) at 162°C for 10 min and 0.35% acid concentration, followed by enzymatic hydrolysis at different total solids loadings. It showed that although the total sugar concentration increased from 48 to 132 g/l, glucan conversion reduced by 27% (84-66.2%) with increasing solids from 5 to 20% in batch mode. Therefore, two different fed-batch approaches were evaluated to improve the glucan conversion by the sequential addition of a substrate and/or enzyme. At 20% solid loadings and a 3 filter paper units/g enzyme dosage, the highest glucan conversion obtained was 66% after 30 h of hydrolysis in batch mode. However, in an optimized fed-batch approach, the glucan yield was improved to 70% by simply dividing the substrate feeding into three batches, that is, 50% at 0h, 25% each after 4h, and 8h of hydrolysis reaction. The addition of surfactant (Ecosurf E6) further improved the conversion to 72% after 30 h. The role of critical factors, that is, inhibitors, enzyme-lignin binding, and viscosity, was investigated during the course of hydrolysis in the batch and fed-batch approaches. This study suggests a sustainable approach for improved hydrolysis at high solids loadings by fine-tuning a simple process.

#### Keywords: pretreatment, hydrolysis, rice straw, surfactant, fed-batch, high solid

# INTRODUCTION

Global energy demand is increasing with an average growth rate of 1.3% per year, and it is expected to increase by 30% by 2035, with the highest energy consumption rate (4.2% per year) in India (Satlewal et al., 2017, 2018a,b). Bioethanol from lignocellulosic biomass (LB) plays a critical role in meeting this energy demand as a renewable and sustainable source of energy (Agrawal et al., 2015a). One of the primary challenges in producing second-generation biofuels is the recalcitrant nature of the LB, chiefly imposed by the complex lignin-carbohydrate linkages (LCCs). The LCCs enhance the integrity and rigidity of the plant cell wall, yielding a complex macromolecular assembly and rendering the recalcitrance for biorefining (Li et al., 2016). Thus, thermochemical pretreatment is essential to improve enzyme accessibility to carbohydrates, embedded within lignin, to produce fermentable sugars efficiently (Adsul et al., 2014; Agrawal et al., 2015a; Gaur et al., 2015; Saini et al., 2015; Antonopoulou et al., 2016; Skiba et al., 2017).

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High total solids loadings [>15% (w/w)] are desired for scaling up of the process to produce high ethanol titer at reduced capital and operational expenditure (Modenbach and Nokes, 2013; Hou et al., 2016a). However, the high solids reaction faces challenges due to poor mass and heat transfer, leading to inefficient mixing and pumping, sugars accumulation during hydrolysis leading to feedback inhibition, and a high inhibitor concentration that lowers the hydrolysis yield (Cannella and Jørgensen, 2014). Specialized types of reactors with scrappers and impellers are thus required to overcome these challenges in mixing (Larsen et al., 2012; Zhang et al., 2014; Agrawal et al., 2015c; Hou et al., 2016a,b).

Efforts have been made in the last few years to reduce the saccharification cost by mutagenesis of cellulase-producing organisms for hyperproduction of cellulolytic enzymes (Agrawal et al., 2013b, 2017b). This has been achieved by using a cheaper carbon source to induce cellulase production (Agrawal et al., 2013a,c; Sharma et al., 2015), enzyme immobilization (Agrawal et al., 2016a,b), enzyme blending with high synergy (Gottschalk et al., 2010; Agrawal et al., 2015a,b; Sharma et al., 2015), and the addition of surfactants or proteins that reduce nonspecific binding of enzymes on lignin (Agrawal et al., 2017a), and simultaneous saccharification and fermentation (2015). Further, Wenjian et al. (2014) have reported that supplementing the polyelectrolytes, such as cationic polyacrylamide and poly(diallyldimethylammonium chloride) during enzymatic hydrolysis, has significantly improved the glucan and xylan vield.

Another strategy to resolve these challenges is the fed-batch approach, where only a process adjustment is required, by optimizing the dosage and timings of substrate and/or enzyme addition. This approach will benefit from the low viscosity by the optimization of the substrate-to-enzyme ratio in the reactor. Low solids provide enzymes with the opportunity to efficiently liquefy the available substrates in minimal time (Modenbach and Nokes, 2013). The free liquid is then available to accommodate more of the substrate (the next batch). High solids are thus easily accommodated in the fed-batch mode without affecting the rheology of the reaction. Timed additions of fixed amounts of substrate may help in minimizing the energy required for proper mixing and completion of the reaction. Timing of enzyme addition was also investigated as it has been reported to impact the overall liquefaction process and efficiency of the saccharification process (Gao et al., 2014; Cardona et al., 2015).

In this study, pretreatment of rice straw (RS) with dilute acid was carried out at a pilot scale (250 kg/day capacity). Further, enzymatic hydrolysis of pretreated rice straw (PRS) was carried out by batch and fed-batch approaches through substrate and enzyme splitting, to improve the hydrolysis yield. This study deciphered the critical roles of enzyme inhibitors (phenols and cellobiose), lignin, and viscosity affecting the fermentable sugar yield. The role of the surfactant in reducing the nonproductive enzyme-lignin binding is also elucidated.

# MATERIALS AND METHODS

# **Chemicals and Substrate**

All chemicals of analytical grade were procured from Merck, India. Cellulase with a 220 filter paper unit (FPU)/g activity (Agrawal et al., 2014) (SacchariSEB-C6) was procured from Advanced Enzymes, Mumbai (India) and surfactant (Ecosurf E6) was kindly provided by the Dow Chemical Company (India) as a gift. Rice straw (*Oryza sativa*) with 9% moisture was procured locally, and it was milled using a knife mill to the particle size of 5–10 mm.

# **Pilot Scale Pretreatment**

The RS pretreatment was carried out in a 250 kg/daycapacity continuous pilot scale horizontal pretreatment reactor as described by Kapoor et al. (2017). For this, 50 kg of dried RS was soaked in 500 L of dilute sulfuric acid (1% w/w) for 45 min at ambient temperature. To improve the total solids loading, excess acidified water was removed by using a hydraulic press with a 100 bar pressure. Pretreatment of the pressed RS (SPRS) with a moisture content of 35% was carried out at  $162^{\circ}$ C, 5 bar pressure, and a residence period of 10 min at a rate of 10 kg/h. Final acid concentration in the reactor was 0.35 wt% of the substrate. Pretreated RS was neutralized by adding a 1 N sodium hydroxide solution to bring the initial 1.8 pH up to 5 pH.

# Enzymatic Hydrolysis

### **Batch Mode Hydrolysis**

Enzymatic hydrolysis of acid pretreated RS was carried out at an enzyme dosage of 1–5 FPU/g TS at 5–20% total solids (TS) and at 50°C. The pH of the reaction was maintained at pH 5 using a 0.1 M sodium citrate buffer. Saccharification yield was calculated as described by Agrawal et al. (2017a) using the following equation:

Saccharification (%) =  

$$\frac{\text{Concentration of reducing sugar (g)} \times 0.9 \times 100}{\text{Carbohydrate content of the biomass (g)}}$$
(1)

### Fed-Batch Mode Hydrolysis

The fed-batch experiments were carried out under the same reaction conditions as the batch mode, except that the substrate and the enzyme were added in multiple batches. Hydrolysis was performed at 3 FPU/g TS at an initial low dry matter. In all experiments, final TS concentration was 20%. The fed-batch was optimized by a one variable at a time (OVAT) approach as depicted in **Table 1**. The following were the different variables studied:

• Time of substrate feeding: The timing of the substrate portions was optimized, while the proportions of the substrate and the enzyme added were kept constant. Substrate was added in 3 portions of 50, 25, and 25% at 0, 4, and 8 h, respectively. In total, 100% of the enzyme was added at 0 h.

TABLE 1 | Experimental scheme for optimization of fed-batch variables.

Mode of hydrolysis Batch Fed-Batch	<b>Time (h)</b> 0			<b>Substrate (%)</b> 100			<b>Enzyme (%)</b> 100		
	I	11		I	11	Ш	I	11	111
	Time of substrate feeding (Time was optimized here, while proportion of substrate and enzyme addition was kept constant)	0	4	6	50	25	25	100	0
0		2	4						
0		1	2						
0		2	3						
0		2	5						
0		2	6						
0		4	8						
Substrate Splitting (substrate proportion was optimized here, while enzyme proportion and time kept constant)	0	4	8	75	25	0	100	0	0
				50	50	0			
				25	75	0			
				50	25	25			
				25	25	50			
				25	50	25			
				33.3	33.3	33.3			
Substrate Plus Enzyme Splitting (enzyme proportion was optimized here, while substrate proportion and time kept constant)	0	4	8	50	25	25	100	0	0
							75	25	0
							50	50	0
							25	75	0
							50	25	25
							25	25	50
							25	50	25
							33.3	33.3	33.3

- Substrate Splitting (SS): The size of the substrate proportions was optimized here, while enzyme proportion and time were kept constant at 0, 4, and 8 h. In total, 100% of the enzyme was added at 0 h.
- Substrate plus enzyme splitting (SES): Portions of the enzyme were optimized here, while the substrate proportion and time were kept constant. The substrate was added in 3 portions of 50, 25, and 25% at 0, 4, and 8 h, respectively.

### Effect of Ecosurf E6 on Fed-Batch Hydrolysis

The effect of the surfactant (Ecosurf E6) at 0.05% of TS was studied during the substrate-splitting approach and the optimized fed-batch hydrolysis condition. The substrate was added in 3 portions of 50, 25, and 25% at 0, 4, and 8 h, respectively. In total, 100% of the enzyme was added at 0 h. Hydrolyses and sample collection were carried out under the same reaction conditions as described in the Enzymatic Hydrolysis section.

### Comparison of Different Modes of Hydrolysis

To understand the differences in hydrolysis among the batch mode and fed-batch mode substrate splitting, the substrate plus enzyme splitting, and the substrate splitting plus Ecosurf E6, various parameters were determined as follows:

### **Cellobiose and Total Phenols Estimations**

Hydrolysate samples were taken periodically and analyzed for cellobiose and total phenols using Waters' HPLC with Aminex HPX-87P column (Bio-Rad, United States) coupled with refractive index detector (Agrawal et al., 2018). Milli-Q water was used as a mobile phase at a flow rate of 0.6 ml/min, with a column temperature of 75°C (Agrawal et al., 2015b). The total phenols were estimated by Folin-Ciocalteu method (Sanchez-Rangel et al., 2013).

# Protein Adsorption and Lignin Content Measurements

To monitor the protein adsorption on the substrate, free protein was measured at various time intervals during the hydrolysis experiments by the Bradford method (Bradford, 1976). The total absorbed protein (%) was calculated as follows:

Total adsorbed protein =  

$$\frac{\text{Total protein added (mg/mL)} - \text{Free protein (mg/mL)} \times 100}{\text{Total adsorbed protein}} (2)$$

The lignin content was calculated directly based on the chemical composition of the pretreated RS by the NREL LAP protocol as described in the Pilot Scale Pretreatment section.

# Viscosity Changes During Hydrolysis in Different Modes

The viscosity during hydrolysis was measured at specific time points during the enzymatic hydrolysis in different modes by means of a DV-III Ultra Rheometer as described by Rosgaard et al. (2007).

### **Analytical Methods**

The moisture content of samples was determined using an infrared drier from Sartorius MA-150C (Model No. 000230V1), Germany (Kapoor et al., 2017). The compositional analysis of native- and pretreated- RS was carried out by a two-stage acid hydrolysis following the NREL LAP (Sluiter et al., 2011). The content of insoluble solids in the pretreated slurry was determined by following the NREL LAP (Sluiter et al., 2008b). The sugar analysis was performed using an Aminex HPX-87P column (Bio-Rad, USA) coupled with a refractive index detector in HPLC (Waters Gesellschaft Mbh, Austria) (Agrawal et al., 2017a; Kapoor et al., 2017).

# **RESULTS AND DISCUSSION**

# Pretreatment and Chemical Composition of Rice Straw

During the dilute acid pretreatment, hemicellulose is hydrolyzed into monomeric sugars, that is, xylose, arabinose, galactose, glucose, and mannose, with alternations in the lignin structure (Agrawal et al., 2015b, 2017a; Skiba et al., 2017). This, in turn, increases the pore volume and surface area of the substrate, improving the enzyme accessibility. Chemical composition of native and acid PRS is depicted in Figure 1, which represents a hemicelluloses content decrease from 23.5 to 3.4%, with an increase in glucan (from 37 to 53%) and xylan content (from 13.4 to 27.8%). Similarly, Agrawal et al. (2015a) reported that after acid pretreatment of wheat straw, the cellulose content increased from 36.6 to 69.8% and the hemicellulose was reduced to 3.8%, while the lignin content increased from 22.2 to 26.4%. The solid content of the pretreated slurry was 26% and glucose and xylose concentrations in the slurry liquid were 12.1 and 45 g/L, respectively. The concentration of 5-hyxymethyfurfural (HMF), furfural, and acetic acid was 0.2, 0.4, and 1.4 g/L, respectively. Our previous study showed that optimized pretreatment conditions might lead to higher sugar recoveries with a meager amount of inhibitors, so that this slurry could be directly used for enzymatic hydrolysis and fermentation without any detoxification process (Satlewal et al., 2018b). The ash content in the PRS increased from 7.3 to 15.8%, while all of the extractives were removed from the solids and recovered in the liquid stream.

The mass closure for the sugars present in the 15 kg batch of RS with a 9% moisture content was carried out and showed that the native RS had 5.59 kg of glucose and 3.13 kg of xylose. After pretreatment, 89% or 4.97 kg of the glucan was recovered along with the solid residue, while only 0.38 kg of the glucose was found in the liquids. Therefore, a total of 95.7% glucan recovery was made after pretreatment, with only 6.8% glucose yield as monomeric sugar after the pretreatment. In contrast to





this, 1.5 kg of the monomeric xylose sugars were recovered in the liquid stream accounting for 48% of the xylose, while 0.35 kg was obtained with solid residue. Thus, a total of 59% xylan recovery could be made during this pretreatment. Further, details of mass balance, sugars recovery, and calculation particulars could be referred from our previously published article (Kapoor et al., 2017).

# **Batch Mode Hydrolysis**

The effect of the enzyme dosage (1–5 FPU/g TS) was studied in the enzymatic hydrolysis in the batch mode, where both the substrate and the enzyme were added at the beginning only. About 60% of the glucan conversion and 128.35 g/L total sugars were achieved after 24 h using 3 FPU/g TS at 20% solid loadings (**Figure 2**). However, a maximum of 68% conversion with a total 135 g/L sugars was achieved using 5 FPU/g of the enzyme. It was found that at low dose (1 and 2 FPU/g) of the enzyme, the sugar release was not significant. Based on the results that showed only an 8% increase when an extra 2 FPUs were added, the enzyme dose of 3 FPU/g TS was used for further studies.

The effect of total solids loadings (5-20%) on the glucan conversion and total sugars was examined at 3 FPU/g TS.



Although the total sugars concentration increased from 48 g/L at 5% TS to 132 g/L at 20% TS, the glucan conversion was found to drop by 20% (**Figure 3**). With the purpose to obtain high conversions along with high concentrations of fermentable sugars, a further study was carried out by the fed-batch approach at 20% total solids loadings. The fed-batch was optimized using the one-variable-at-a-time (OVAT) approach.

### Substrate Splitting: Optimization of the Time Interval and Sizes for Substrates Feeding During Fed-Batch Hydrolysis

The substrate was added in batches (50, 25, and 25%) at varying time periods, while all the enzyme (100%) was added at 0 h. The maximum conversion was achieved when the substrate was added in three batches at 0, 4, and 8 h (**Figure 4**). About 65% glucan conversion was achieved after 24 h and 70% glucan conversion after 30 h using 3 FPU/g TS. While maximum conversion achieved in the control (batch mode) was only 61% after 24 h and 66% after 30 h under the same conditions.

Further, the sizes of the substrates to be added at an interval of 0, 4, and 8 h were optimized. Whole enzyme (100%) was added at the beginning, that is, at 0 h. The maximum conversion was achieved when the substrate was added in three batches of 50, 25, and 25% (**Figure 5**). About 64% glucan conversion was achieved after 24 h and 68% conversion after 30 h using 3 FPU/g TS, while the maximum conversion achieved in the batch mode was only 61% after 24 h and 65% after 30 h under similar conditions. Thus, in further experiments, the substrate was added proportionally in three batches (50, 25, and 25%) and at an interval of 0, 4, and 8 h, respectively.

Similarly, Zhao et al. (2013) compared the wet pulp hydrolysis at 30% total solids loadings by the batch and fed-batch approaches. More than a 10% increase in glucan and 20 g/L high glucose yield was obtained with the addition of the substrate

at "0, 12, 36, and 48 h." Gupta et al. (2012) also obtained a 50% increase in the sugar release with a 23% increase in the cellulose conversion by the intermittent addition of solids in a repeated fed-batch mode (substrate and enzyme thrice after 24, 56, and 80 h) at 20% total solids loadings as compared with the single-batch addition. Yang et al. (2011) showed an increase of 34-37% in the hydrolysis yield conversion at 30% total solids loadings in the three-stage (9, 9, and 12h) hydrolysis as compared with the one-stage hydrolysis for 72 h, but at 20 FPU/g cellulose. Wang et al. (2012) charged the reactors with 1/2 of the final total solids loadings, followed by two additional feedings of 1/4th of the final total solids loadings at 24 and 48 h. The fed-batch hydrolysis method adopted in this study produced total sugar of 17.06 g/L more than the batch hydrolysis and raised the substrate consistency to 30%.

Contrary to this, Chandra et al. (2011) reported that the batch mode at 10% total solids loadings at 3 FPU/g cellulose gives a better sugar yield after 72 h of incubation as compared with the fed-batch reaction. This might be because of the irreversible enzyme-substrate binding and/or the inability of the remaining unabsorbed enzymes to find accessible cellulose on the freshly added substrate. Free protein measurements after 72 h indicated that while the cellulose conversion ceased, 50–70% of the cellulase was still adsorbed to the substrate. According to Chandra et al. (2011), the fed-batch reaction with a low total solids loadings, leads to a rapid glucose accumulation, which causes strong feedback inhibition especially at a low enzyme dosage and inefficient mixing.

### Substrate Plus Enzyme Splitting: Optimization of the Sizes for Enzyme Feeding During Fed-Batch Hydrolysis

The strategy of enzyme addition might also affect the hydrolysis; therefore, the fed-batch approach was investigated with all of the enzyme added either at the beginning or proportionally in multiple batches along with the substrate. Enzyme sizes or dosages were optimized at different time intervals (0, 4, and 8 h). The effect of enzyme splitting was observed only after 48 h. When the enzyme was added in three batches of 50, 25, and 25%, the glucan conversion was 76.7% using a 3 FPU/g TS, while the control (batch mode) showed only 70.5% of the conversion after 48 h (Figure 6). When the whole enzyme (100%) was added at the beginning, that is, at 0 h, about 74.8% of the glucan conversion was obtained after 48 h in the fed-batch. These results are similar to Hodge et al. (2008), where a high yield (80%) was achieved by the enzyme-splitting approach in comparison with adding all the enzyme at the start of the reaction. Quite high enzyme dosages of 10.7 FPU/g of the cellulose (or 22 FPU/g TS considering 50% glucan in the pretreated substrate) were used in comparison with this study (Hodge et al., 2008). The major reasons for this dissimilarity might be the high solid loadings of 25% TS and the type, variety, and efficiency of the enzyme formulation, biomass, and pretreatment. A similar study by Ma et al. (2011) showed that at 25% (w/v) total solids loadings, the



FIGURE 4 | Glucan conversion during substrate additions in fed-batch approach. Size of substrate additions was constant (50, 25, and 25%) while the entire enzyme (100%) was added at the beginning.



FIGURE 5 | Glucan conversion during substrate splitting in fed-batch experiments. Time of substrates addition was constant (0, 4, and 8 h) and whole enzyme (100%) was added at the beginning.



batch reaction reached about 50% conversion, whereas the fedbatches with a single-enzyme addition and multiple = -enzyme additions achieved about 5 and 84% conversions, respectively. The commercial cellulolytic enzyme cocktail used here is composed of endoglucanase, beta-glucosidase, exoglucanase, and other enzyme-stabilizing components. Although we have not evaluated the half-life of the enzyme in this study, previous studies have reported that commercial enzymes might have a half-life of 25–120 h (Saddler et al., 1985). In this study, 168 h was reported for the enzyme-splitting approach, where the fresh enzyme was added after every few hours, and this might have further extended the half-life of the enzyme and resulted into a high yield.

Another set of studies claimed that the fed-batch process with multiple feeds of the enzyme do not perform as well as a single-stage feeding approach. Rosgaard et al. (2007) elucidated that the hydrolysis yield was not affected by the mode of enzyme application and the final glucose concentrations were similar in both the batch and fed-batch reactions. Geng et al. (2015) reported that adding the whole amount of enzymes at the beginning is more effective than adding the enzymes proportionally with the solid materials. Zhao et al. (2013) investigated three cellulase-feeding strategies: (3/3 of the enzymes at beginning; 2/3 at beginning, and 1/3 at the 12 h; 1/3 at the beginning, 1/3 at the 12 h, and the rest 1/3 at the 36 h). Quick liquefaction within 4 h was observed with strategy I (all of the enzyme addition at initial stage of saccharification (0 h), while only a fraction of the substrate was present during the fed-batch); however, similar glucose concentrations and glucan conversions were obtained for all three strategies after 216 h of hydrolysis. Similarly, according to a recent study by Cardona et al. (2015), adding all the enzyme at the start of the hydrolysis reaction was beneficial for process efficiency, as rapid liquefaction allowed earlier batch additions of the substrate (4.5 h instead of 6.5 h), thus achieving higher total solids loadings faster. Cardona et al. (2015) and Zhao et al. (2013) observed that while a higher ratio of the enzyme and substrate (E/S) may account for initial improvements, additional factors are at play to make liquefaction more efficient in the later additions. The longer exposure to the substrate added earlier in the reaction might allow for more constrained water to be released, thus decreasing viscosities in the soluble phase and facilitating the mass transfer of the enzymes to the substrate in subsequent additions (Roberts et al., 2011). Therefore, adding all the enzymes at the beginning may counteract the inhibitory effects of new substrate additions by more thoroughly liquefying the first batches of the substrate.

Yet another set of studies claimed that enzyme splitting was more significant than whole enzyme feeding in late hours of the process. Sugiharto et al. (2016) showed that the glucose concentration was higher for the first 40 h (25% total solids loadings was operated) when the whole enzyme was added at the beginning as compared with the concentration in the enzyme splitting. The glucose concentration was higher for the hydrolysis between 40 and 120 h with the enzyme splitting, as compared with the former one. Enzyme splitting could increase the enzymatic digestibility to 24–26% and the glucose concentration to 6-12% after 40 h, when compared with the whole enzyme feeding. Wanderley et al. (2013) also found a similar trend with a drop in the hydrolysis rate after 30 h of the process, which is supposed to be caused by the lignin presence in the bagasse. Cui et al. (2014) also reported that the enzyme splitting was more significant than the whole enzyme feeding after 20 h of the process. Cui et al. (2014) started the fed-batch experiments with an initial 5% TS, another 25% TS was added in 5 portions at 2, 5, 8, 12, and 17 h after beginning, giving a final TS concentration of 30%. The enzyme was added to the reactor either at the beginning or in 5 portions divided according to the TS content. While a decreasing trend was observed in the initial hours of the process, mainly due to the lower hydrolysis time for added fresh substrate and a higher concentration of the inhibitor (such as lignin, glucose) in enzyme splitting, the higher cellulose conversion was achieved in the later stage of the reaction (>27 h) and was attributed to the reduced glucose inhibition and adsorption onto the lignin. Similar work was carried out by Gao et al. (2014), who also evaluated these two kinds of enzymefeeding strategies (namely whole enzyme and enzyme splitting). According to Gao et al. (2014), sugars were more in the former, until the final stage of 72 h.

In case of the enzyme splitting, the E/S ratio during the hydrolysis remains constant (Park et al., 2013). However, the E/S reduces considerably when the whole enzyme is added initially, while the fresh substrate is added stepwise. In addition to this, the enzyme also binds non-productively with the lignin and might also get deactivated in the presence of inhibitors generated after pretreatment and a high operational temperature ( $50^{\circ}C$ ) (Agrawal et al., 2015b). Thus, there is a high probability of prolonged enzyme exposure with inhibitors when the entire enzyme was added initially as compared with the enzyme splitting (Ganesh et al., 2000).

### Effect of Ecosurf E6 on Fed-Batch Hydrolysis

Ecosurf E6 is a nonionic, alcohol ethoxylate type of surfactant. It is biodegradable and eco-friendly with a very low aquatic toxicity. The mechanism of the action of nonionic surfactants during enzymatic hydrolysis has been reported earlier. It has been proposed that nonionic surfactants improved the cellulose accessibility with their high lubricating ability and reduce the nonproductive enzyme-lignin binding to improve the hydrolysis yield (Agrawal et al., 2017a; Wang et al., 2018). The effect of the surfactant (Ecosurf E6) was compared in the batch and fedbatch hydrolysis. For the fed-batch, the substrate (50, 25, and 25%) was added at (0, 4, and 8 h) intervals. The enzyme was added as a whole at 0 h. It was found that when Ecosurf E6 was added, the glucan conversion increased from 65.3 to 68.8% in the batch mode. The conversion increased from 68.6 to 71.8% after 30 h when Ecosurf E6 was added under the fed-batch hydrolysis conditions (Figure 7). It was observed that the glucan conversion increased up to 3.5% when Ecosurf E6 was added during the batch hydrolysis. The increase was 4.7% when Ecosurf E6 was added under optimized fed-batch hydrolysis conditions. The synergism among Ecosurf E6 and the fed-batch is promising for improving the lignocellulose hydrolysis.



### **Cellobiose and Total Phenols Estimations**

One of the disadvantages of dilute acid pretreatment was the formation of phenols, furfurals, and acids, which acted as inhibitors for the subsequent enzymatic hydrolysis and fermentation. Most of the furfurals and acids were generated from carbohydrate degradation during acid pretreatment and usually determined with chromatographic techniques as reported in the NREL protocols (Sluiter et al., 2008a). Unlike other inhibitors, phenols were generated by the lignin degradation during a high severe pretreatment and only a few reports have discussed the effect of phenolics on enzymatic hydrolysis. Folin-Ciocalteu's reagent-based method is considered as a convenient and reliable approach for phenols estimation in the hydrolysate (Jönsson et al., 2013). In this study, the concentrations of the cellobiose and the total phenols were monitored during both the batch and fed-batch approaches (Agrawal et al., 2015b). As shown in Figure 8, the concentration of the cellobiose ranged between 20 and 31 ppm at 0 h (I stage) of hydrolysis in different modes, but a significant difference was observed in the cellobiose concentration among the batch mode and fed-batch modes during 4 h (II stage) and 8 h (III stage). In the batch experiment, the cellobiose increased to 83.24 ppm after 4 h and up to 92.11 ppm after 8 h, while the concentrations were 28.14 and 31.11 ppm for the substrate splitting, 42.33 and 58.15 ppm for the substrate and enzyme splitting, and 22.46 and 20.44 ppm for the substrate splitting with Ecosurf E6 (0.5%). After 24 h of hydrolysis, the cellobiose ranged between 42 and 58 ppm for the four modes.

The total phenolic compounds present in the hydrolysis reaction depend directly upon the amount of substrate added in the reactor. About 700 ppm of the total phenolics was present in the batch process since the start of the reaction because all of the substrate was added at 0 h. While in the substrate-splitting approach, the phenolics concentration was found to be low (34.06 ppm) during the initial hours (0 h or I stage). It increased gradually from 516 ppm at 4 h (II stage) to 700 ppm after 8 h (III stage) when all of the substrate was added (**Figure 9**).

As shown in **Figure 8**, the fed-batch approaches had a benefit of low inhibition by the cellobiose and the phenols over the batch mode in the initial 8 h of hydrolysis, yielding a higher cellulose hydrolysis and conversion. A high enzyme-to-substrate ratio in the fed-batch experiments resulted in a low cellobiose formation in the initial hours; however, a low enzyme-to-substrate ratio may be attributed to the lower inactivation of the enzyme by the cellobiose.

# Protein Adsorption and Lignin Content Measurements

To understand the nonspecific enzyme-lignin binding, protein adsorption was monitored during hydrolysis under four different modes viz., batch, substrate splitting, substrate plus enzyme splitting, and substrate splitting plus Ecosurf E6.

Similar to the total phenols, the lignin content is also subject to the amount of the substrate that has been added during the hydrolysis. Since all the substrate was added at the 0 h in the batch mode, the lignin was constant (55.6 mg/mL) throughout the batch process. While in the other three fedbatch approaches, the concentrations of the lignin were low until the initial 8 h (III stage) and until all the substrate was added. It ranged between about 27.8 mg/mL during 0 h (I stage), 41.7 mg/mL after 4 h (II stage), and 55.6 mg/mL after 8 h (III stage) of hydrolysis in the fed-batch modes (**Figure 9**).

It was observed that at 0 h, protein adsorption was 48% for the batch process, 32% for the substrate splitting, 23% for the substrate plus enzyme splitting, and 18% for the substrate splitting plus Ecosurf E6. After 4 h, protein adsorption was 75% for the batch process, 56% for the substrate splitting, 44% for the substrate plus enzyme splitting, and 32% for the substrate splitting plus Ecosurf E6. After 48 h, protein adsorption was 54% for the batch process, 41% for the substrate splitting, 32% for the substrate plus enzyme splitting, and 49% for the substrate splitting plus Ecosurf E6 (**Figure 9**). Though protein





adsorption is a consequence of binding to the cellulose (specific) and lignin (nonspecific), it is difficult to exactly estimate the proportion bound independently on the cellulose and on the lignin. The separation of the cellulose and the lignin and studying the adsorption again, do not lead to the results of an actual substrate. But, the data show that the protein adsorption varies among the batch and fed-batch modes of hydrolysis, the latter causing reduced adsorption of the enzyme or the protein, which may cause better hydrolysis in the fed-batch mode. The lower lignin content in the initial hours of hydrolysis in the three fed-batch modes could be directly related to the observations.



# Viscosity Changes During Hydrolysis in Different Modes

The specific aims of using the fed-batch mode, whether it is substrate splitting or the substrate plus enzyme splitting, were to achieve hydrolysis of high substrate levels, low viscosity during hydrolysis, and high glucose concentrations. Viscosity changes during hydrolysis were measured at specific time points during the enzymatic hydrolysis. Inadequate mixing, which imposes the diffusion limitations causing product inhibition and hindrance in the enzyme to substrate accessibility, has been significantly improved using different fed-batch modes. A high viscosity  $(1,438 \text{ mPa s}^{-1})$  of the substrate was observed in the case of the batch operation, which dropped to 980 mPa  $s^{-1}$  after 8 h of hydrolysis. For the other three fed-batch modes, the viscosity ranged from 487 to 732 mPa/s during the initial 8 h of hydrolysis (Figure 10). This is again indicative of better hydrolysis in the fed-batch modes. Similar to the observations made by Rosgaard et al. (2007), the decrease in viscosity was found to be most pronounced within the first 8 h of reactions with all the modes of hydrolysis. The effect was more prominent among the batch (E/S < 1) and fed-batch (E/S > 1). Beyond 48 h, all the reactions reached a low viscosity level (40-70 mPa/s).

# CONCLUSIONS

In this study, we have investigated the effect of multiple factors *viz*. high viscosity, inappropriate heat and mass transfer, and high inhibitor concentrations responsible for a substantial reduction

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in the enzymatic hydrolysis yield at high total solids loadings. Here, we proposed a modified fed-batch approach with the intermittent or split addition of the substrate and enzyme to improve the hydrolysis yield by reducing the viscosity (substratesplitting approach) and the enzyme exposure to inhibitors and lignin (enzyme-splitting approach). This study further elucidated that the surfactant (Ecosurf E6) with an ability to reduce enzymelignin binding worked in a synergistic manner along with the optimized fed-batch approach to substantially improve the hydrolysis yield. However, a detailed pilot scale techno-economic analyses of the batch and fed-batch approaches need to be carried out to further evaluate the commercial feasibility of this concept.

# **AUTHOR CONTRIBUTIONS**

RA was responsible for the experimental work as well as writing the manuscript, creating the figures and tables and compiling all results. BB helped in writing the manuscript. AM, RK, and RG reviewed the manuscript and improved the content. AS is the corresponding author and the project leader for this manuscript.

# ACKNOWLEDGMENTS

We are thankful to Indian Oil Corporation Ltd., R&D Centre, Faridabad and Department of Biotechnology (DBT), Govt. of India for providing all infrastructural, analytical, and financial assistance. Dow Chemicals, India is duly acknowledged for providing surfactants.

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**Conflict of Interest Statement:** RA, BB, AM, RK, RG, and AS work at the DBT-IOC Centre for Advanced Bioenergy Research, Indian Oil Corporation Ltd., Haryana, India.

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