

Supplementary Appendix: Measuring prevalence and incidence of chronic conditions in claims and electronic health record databases

Table S1. Definitions of diseases of interest, in CPRD.

Patients were determined to have a diagnosis for the respective disease if they had a Clinical or Referral event with at least one of the diagnosis codes indicated below.

| Condition | Diagnosis Codes (READ Codes) |
|---------------------------------------|--|
| Chronic Obstructive Pulmonary Disease | H3...00, H3...11, H31..00, H310.00, H310000, H310z00, H311.00, H311000, H311100, H311z00, H312.00, H312000, H312011, H312100, H312300, H312z00, H313.00, H31y.00, H31y100, H31yz00, H31z.00, H32..00, H320.00, H320000, H320100, H320200, H320300, H320311, H320z00, H321.00, H322.00, H32y.00, H32y000, H32y100, H32y111, H32y200, H32yz00, H32z.00, H36..00, H37..00, H38..00, H39..00, H3A..00, H3B..00, H3y..00, H3y..11, H464000, H464100, H583200, Hyu3000, Hyu3100 |
| Cystic Fibrosis | C10N100, C370.00, C370.12, C370000, C370100, C370111, C370200, C370300, C370400, C370500, C370700, C370800, C370900, C370y00, C370z00 |
| Inflammatory Bowel Disease | 14C4.11, 8Cc5.00, 8Cc5.11, J08z900, J40..00, J40..11, J40..12, J400.00, J400000, J400100, J400200, J400300, J400400, J400500, J400z00, J401.00, J401000, J401100, J401200, J401z00, J401z11, J402.00, J40z.00, J40z.11, J41..00, J41..11, J41..12, J410.00, J410000, J410100, J410200, J410300, J410400, J410z00, J411.00, J412.00, J41y.00, J41yz00, J41z.00, J574C00, Jyu4.00, Jyu4000, Jyu4100, N031000, N031100, N045300, N045400, ZR3S.00, ZR3S.11, J4...00, J4...12 |
| Psoriasis | M16..00, M161.00, M161000, M161100, M161200, M161300, M161400, M161500, M161600, M161700, M161800, M161900, M161A00, M161B00, M161C00, M161E00, M161F00, M161F11, M161H00, M161z00, M16y.00, M16y000, M16z.00, Myu3000, M160000, M161D00, M161J00, M166.00 |
| Schizophrenia | 1464.00, 212T.00, E10..00, E100.00, E100.11, E100000, E100100, E100200, E100300, E100400, E100500, E100z00, E101.00, E101000, E101400, E101500, E101z00, E102.00, E102000, E102100, E102400, E102500, E102z00, E103.00, E103000, E103100, E103200, E103300, E103400, E103500, E103z00, E104.00, E105.00, E105000, E105200, E105500, E105z00, E106.00, E107.00, E107.11, E107000, E107100, E107200, E107300, E107400, E107500, E107z00, E10y.00, E10y.11, E10y000, E10y100, E10yz00, E10z.00, E14z.11, Eu05200, Eu05212, Eu2..00, Eu20.00, Eu20000, Eu20011, Eu20100, Eu20111, Eu20200, Eu20212, Eu20213, Eu20214, Eu20300, Eu20311, Eu20400, Eu20500, Eu20511, Eu20600, Eu20y00, Eu20z00, Eu21.11, Eu21.12, Eu21.13, Eu21.14, Eu21.15, Eu21.16, Eu21.17, Eu23112, Eu23200, Eu23214, Eu25.00, Eu25000, Eu25011, Eu25100, Eu25111, Eu25200, Eu25211, Eu25212, Eu25y00, Eu25z00, Eu25z11, ZV11000 |

Table S2. Disease diagnosis definition, in MarketScan.

Patients were determined to have a diagnosis for the respective disease if they had an Inpatient, Inpatient Service, or Outpatient event with at least one of the diagnosis codes indicated below.

| Condition | Diagnosis Codes (ICD-9 Diagnosis Codes) |
|---------------------------------------|---|
| Chronic Obstructive Pulmonary Disease | 491, 491.0, 491.1, 491.2, 491.20, 491.21, 491.22, 491.8, 491.9, 492, 492.0, 492.8, 496 |
| Cystic Fibrosis | 277.0, 277.00, 277.01, 277.02, 277.03, 277.09 |
| Inflammatory Bowel Disease | 555, 555.0, 555.1, 555.2, 555.9, 556, 556.0, 556.1, 556.2, 556.3, 556.4, 556.5, 556.6, 556.8, 556.9 |
| Psoriasis | 696, 696.1, 696.8 |
| Schizophrenia | 295, 295.0, 295.00, 295.01, 295.02, 295.03, 295.04, 295.05, 295.1, 295.10, 295.11, 295.12, 295.13, 295.14, 295.15, 295.2, 295.20, 295.21, 295.22, 295.23, 295.24, 295.25, 295.3, 295.30, 295.31, 295.32, 295.33, 295.34, 295.35, 295.4, 295.40, 295.41, 295.42, 295.43, 295.44, 295.45, 295.5, 295.50, 295.51, 295.52, 295.53, 295.54, 295.55, 295.6, 295.60, 295.61, 295.62, 295.63, 295.64, 295.65, 295.7, 295.70, 295.71, 295.72, 295.73, 295.74, 295.75, 295.8, 295.80, 295.81, 295.82, 295.83, 295.84, 295.85, 295.9, 295.90, 295.91, 295.92, 295.93, 295.94, 295.95 |

Table S3. Median length of observable look-back time available, in years.

| Database | 2011 | 2012 | 2013 | 2014 |
|------------|------|------|------|------|
| CPRD | 8.22 | 8.61 | 9.13 | 9.74 |
| MarketScan | 2.00 | 2.00 | 2.25 | 2.75 |

Table S4. Prevalence and incidence estimates, from CPRD.

| Disease | Parameter | Case | Estimate (95% Confidence Interval) | | | |
|-----------------|------------|------|------------------------------------|-------------------------------|-------------------------------|-------------------------------|
| | | | 2011 | 2012 | 2013 | 2014 |
| COPD | Incidence | 1 | 0.370 (0.364, 0.375) | 0.352 (0.346, 0.357) | 0.325 (0.320, 0.330) | 0.298 (0.293, 0.304) |
| COPD | Incidence | 2 | 0.295 (0.290, 0.300) | 0.270 (0.265, 0.274) | 0.250 (0.245, 0.254) | 0.234 (0.229, 0.238) |
| COPD | Incidence | 3 | 0.176 (0.173, 0.180) | 0.174 (0.170, 0.177) | 0.167 (0.163, 0.171) | 0.158 (0.154, 0.161) |
| COPD | Incidence | 4 | 0.184 (0.180, 0.188) | 0.180 (0.176, 0.184) | 0.178 (0.174, 0.183) | 0.174 (0.169, 0.178) |
| COPD | Prevalence | 1 | 0.406 (0.400, 0.412) | 0.434 (0.428, 0.440) | 0.405 (0.399, 0.411) | 0.388 (0.382, 0.394) |
| COPD | Prevalence | 2 | 0.658 (0.650, 0.665) | 0.701 (0.693, 0.708) | 0.693 (0.686, 0.701) | 0.653 (0.645, 0.660) |
| COPD | Prevalence | 3 | 1.560 (1.549, 1.572) | 1.610 (1.599, 1.622) | 1.653 (1.642, 1.665) | 1.683 (1.671, 1.695) |
| COPD | Prevalence | 4 | 1.511 (1.500, 1.523) | 1.563 (1.552, 1.575) | 1.591 (1.579, 1.604) | 1.627 (1.614, 1.641) |
| Psoriasis | Incidence | 1 | 0.349 (0.343, 0.354) | 0.345 (0.340, 0.350) | 0.328 (0.323, 0.334) | 0.306 (0.301, 0.311) |
| Psoriasis | Incidence | 2 | 0.288 (0.283, 0.293) | 0.284 (0.279, 0.289) | 0.270 (0.265, 0.275) | 0.252 (0.247, 0.257) |
| Psoriasis | Incidence | 3 | 0.154 (0.151, 0.158) | 0.147 (0.143, 0.150) | 0.143 (0.139, 0.146) | 0.133 (0.129, 0.136) |
| Psoriasis | Incidence | 4 | 0.164 (0.160, 0.168) | 0.155 (0.151, 0.159) | 0.155 (0.151, 0.159) | 0.149 (0.145, 0.153) |
| Psoriasis | Prevalence | 1 | 0.440 (0.434, 0.446) | 0.450 (0.444, 0.456) | 0.443 (0.437, 0.449) | 0.434 (0.428, 0.440) |
| Psoriasis | Prevalence | 2 | 0.770 (0.762, 0.778) | 0.761 (0.753, 0.768) | 0.764 (0.756, 0.772) | 0.756 (0.747, 0.764) |
| Psoriasis | Prevalence | 3 | 2.972 (2.956, 2.987) | 3.046 (3.030, 3.061) | 3.101 (3.086, 3.117) | 3.180 (3.164, 3.197) |
| Psoriasis | Prevalence | 4 | 3.004 (2.988, 3.020) | 3.078 (3.062, 3.094) | 3.157 (3.140, 3.174) | 3.228 (3.209, 3.246) |
| IBD | Incidence | 1 | 0.0907 (0.0880, 0.0934) | 0.0956 (0.0929, 0.0985) | 0.0891 (0.0864, 0.0918) | 0.0879 (0.0851, 0.0907) |
| IBD | Incidence | 2 | 0.0742 (0.0718, 0.0766) | 0.0801 (0.0776, 0.0827) | 0.0735 (0.0711, 0.0760) | 0.0735 (0.0710, 0.0761) |
| IBD | Incidence | 3 | 0.0383 (0.0366, 0.0401) | 0.0412 (0.0394, 0.0431) | 0.0376 (0.0358, 0.0394) | 0.0390 (0.0372, 0.0410) |
| IBD | Incidence | 4 | 0.0403 (0.0385, 0.0423) | 0.0431 (0.0412, 0.0452) | 0.0400 (0.0381, 0.0421) | 0.0436 (0.0415, 0.0459) |
| IBD | Prevalence | 1 | 0.123 (0.120, 0.126) | 0.121 (0.118, 0.125) | 0.126 (0.123, 0.129) | 0.123 (0.120, 0.126) |
| IBD | Prevalence | 2 | 0.212 (0.208, 0.216) | 0.208 (0.204, 0.212) | 0.212 (0.207, 0.216) | 0.212 (0.207, 0.216) |
| IBD | Prevalence | 3 | 0.866 (0.858, 0.874) | 0.885 (0.876, 0.893) | 0.903 (0.895, 0.912) | 0.923 (0.914, 0.932) |
| IBD | Prevalence | 4 | 0.879 (0.870, 0.888) | 0.894 (0.885, 0.903) | 0.920 (0.911, 0.930) | 0.938 (0.928, 0.948) |
| Schizophrenia | Incidence | 1 | 0.0283 (0.0268, 0.0299) | 0.0291 (0.0276, 0.0306) | 0.0315 (0.0300, 0.0332) | 0.0292 (0.0276, 0.0308) |
| Schizophrenia | Incidence | 2 | 0.0253 (0.0239, 0.0268) | 0.0258 (0.0243, 0.0272) | 0.0278 (0.0263, 0.0294) | 0.0259 (0.0244, 0.0275) |
| Schizophrenia | Incidence | 3 | 0.0108 (0.0099, 0.0118) | 0.0106 (0.0097, 0.0116) | 0.0112 (0.0103, 0.0122) | 0.0112 (0.0102, 0.0122) |
| Schizophrenia | Incidence | 4 | 0.0111 (0.0101, 0.0121) | 0.0109 (0.0099, 0.0119) | 0.0114 (0.0104, 0.0125) | 0.0120 (0.0109, 0.0132) |
| Schizophrenia | Prevalence | 1 | 0.0352 (0.0336, 0.0370) | 0.0400 (0.0383, 0.0419) | 0.0399 (0.0381, 0.0418) | 0.0446 (0.0427, 0.0467) |
| Schizophrenia | Prevalence | 2 | 0.0630 (0.0608, 0.0653) | 0.0663 (0.0640, 0.0686) | 0.0704 (0.0680, 0.0728) | 0.0755 (0.0730, 0.0782) |
| Schizophrenia | Prevalence | 3 | 0.362 (0.356, 0.367) | 0.365 (0.360, 0.371) | 0.366 (0.360, 0.371) | 0.368 (0.362, 0.374) |
| Schizophrenia | Prevalence | 4 | 0.352 (0.347, 0.358) | 0.354 (0.348, 0.360) | 0.351 (0.345, 0.356) | 0.351 (0.345, 0.357) |
| Cystic Fibrosis | Incidence | 1 | 0.00173 (0.00139, 0.00214) | 0.00177 (0.00142, 0.00219) | 0.00147 (0.00116, 0.00187) | 0.00169 (0.00134, 0.00213) |
| Cystic Fibrosis | Incidence | 2 | 0.00133 (0.00104, 0.00170) | 0.00134 (0.00105, 0.00172) | 0.00123 (0.00095, 0.00160) | 0.00137 (0.00106, 0.00177) |
| Cystic Fibrosis | Incidence | 3 | 0.000374 (0.000232, 0.000596) | 0.000213 (0.000110, 0.000398) | 0.000325 (0.000192, 0.000541) | 0.000510 (0.000333, 0.000777) |
| Cystic Fibrosis | Incidence | 4 | 0.000394 (0.000241, 0.000636) | 0.000236 (0.000122, 0.000441) | 0.000255 (0.000131, 0.000476) | 0.000461 (0.000277, 0.000755) |
| Cystic Fibrosis | Prevalence | 1 | 0.00333 (0.00285, 0.00388) | 0.00330 (0.00282, 0.00386) | 0.00346 (0.00297, 0.00405) | 0.00318 (0.00269, 0.00376) |
| Cystic Fibrosis | Prevalence | 2 | 0.00530 (0.00469, 0.00599) | 0.00513 (0.00452, 0.00582) | 0.00550 (0.00486, 0.00622) | 0.00559 (0.00493, 0.00634) |
| Cystic Fibrosis | Prevalence | 3 | 0.0217 (0.0205, 0.0231) | 0.0223 (0.0210, 0.0237) | 0.0226 (0.0212, 0.0240) | 0.0231 (0.0218, 0.0246) |
| Cystic Fibrosis | Prevalence | 4 | 0.0221 (0.0207, 0.0235) | 0.0225 (0.0212, 0.0240) | 0.0229 (0.0214, 0.0244) | 0.0229 (0.0214, 0.0246) |

COPD: Chronic obstructive pulmonary disease. IBD: Inflammatory bowel disease. Incidence: cumulative incidence in the indicated year. Prevalence: point prevalence on the first day of the indicated year.

Table S5. Prevalence and incidence estimates, from MarketScan.

| Disease | Parameter | Case | Estimate (95% Confidence Interval) | | | |
|-----------------|------------|------|------------------------------------|----------------------------|----------------------------|----------------------------|
| | | | 2011 | 2012 | 2013 | 2014 |
| COPD | Incidence | 1 | 1.341 (1.338, 1.344) | 1.131 (1.128, 1.134) | 1.153 (1.150, 1.156) | 1.113 (1.110, 1.116) |
| COPD | Incidence | 2 | 1.147 (1.144, 1.150) | 0.945 (0.942, 0.947) | 0.957 (0.954, 0.960) | 0.905 (0.902, 0.907) |
| COPD | Incidence | 3 | 1.078 (1.075, 1.080) | 0.848 (0.845, 0.850) | 0.834 (0.831, 0.837) | 0.771 (0.768, 0.774) |
| COPD | Incidence | 4 | 1.143 (1.140, 1.146) | 0.892 (0.889, 0.895) | 0.848 (0.845, 0.851) | 0.810 (0.807, 0.813) |
| COPD | Prevalence | 1 | 1.388 (1.384, 1.391) | 1.536 (1.532, 1.539) | 1.512 (1.508, 1.516) | 1.362 (1.359, 1.366) |
| COPD | Prevalence | 2 | 1.927 (1.923, 1.931) | 2.027 (2.023, 2.030) | 2.055 (2.051, 2.059) | 1.899 (1.895, 1.903) |
| COPD | Prevalence | 3 | 2.195 (2.191, 2.199) | 2.543 (2.539, 2.547) | 2.778 (2.773, 2.783) | 2.733 (2.728, 2.737) |
| COPD | Prevalence | 4 | 2.215 (2.210, 2.219) | 2.552 (2.548, 2.557) | 2.841 (2.835, 2.846) | 2.773 (2.767, 2.778) |
| Psoriasis | Incidence | 1 | 0.397 (0.396, 0.399) | 0.391 (0.389, 0.392) | 0.412 (0.410, 0.413) | 0.444 (0.442, 0.446) |
| Psoriasis | Incidence | 2 | 0.337 (0.336, 0.339) | 0.324 (0.323, 0.326) | 0.340 (0.338, 0.342) | 0.362 (0.360, 0.364) |
| Psoriasis | Incidence | 3 | 0.315 (0.313, 0.316) | 0.293 (0.291, 0.294) | 0.300 (0.298, 0.301) | 0.310 (0.309, 0.312) |
| Psoriasis | Incidence | 4 | 0.343 (0.341, 0.345) | 0.318 (0.316, 0.319) | 0.319 (0.317, 0.320) | 0.339 (0.337, 0.341) |
| Psoriasis | Prevalence | 1 | 0.394 (0.392, 0.396) | 0.472 (0.470, 0.474) | 0.471 (0.469, 0.473) | 0.460 (0.458, 0.462) |
| Psoriasis | Prevalence | 2 | 0.566 (0.564, 0.568) | 0.645 (0.642, 0.647) | 0.671 (0.668, 0.673) | 0.679 (0.676, 0.681) |
| Psoriasis | Prevalence | 3 | 0.653 (0.651, 0.655) | 0.820 (0.817, 0.822) | 0.922 (0.919, 0.925) | 0.993 (0.990, 0.996) |
| Psoriasis | Prevalence | 4 | 0.677 (0.674, 0.679) | 0.849 (0.847, 0.852) | 0.964 (0.961, 0.968) | 1.039 (1.035, 1.042) |
| IBD | Incidence | 1 | 0.276 (0.274, 0.277) | 0.254 (0.252, 0.255) | 0.268 (0.266, 0.270) | 0.283 (0.281, 0.284) |
| IBD | Incidence | 2 | 0.224 (0.222, 0.225) | 0.201 (0.200, 0.202) | 0.211 (0.210, 0.213) | 0.214 (0.212, 0.215) |
| IBD | Incidence | 3 | 0.208 (0.207, 0.210) | 0.181 (0.180, 0.183) | 0.186 (0.185, 0.188) | 0.184 (0.182, 0.185) |
| IBD | Incidence | 4 | 0.224 (0.223, 0.225) | 0.193 (0.192, 0.194) | 0.194 (0.192, 0.195) | 0.196 (0.194, 0.197) |
| IBD | Prevalence | 1 | 0.342 (0.340, 0.343) | 0.394 (0.392, 0.396) | 0.391 (0.389, 0.393) | 0.379 (0.377, 0.381) |
| IBD | Prevalence | 2 | 0.445 (0.443, 0.447) | 0.496 (0.494, 0.498) | 0.506 (0.504, 0.508) | 0.511 (0.509, 0.513) |
| IBD | Prevalence | 3 | 0.497 (0.495, 0.499) | 0.594 (0.592, 0.596) | 0.647 (0.644, 0.649) | 0.685 (0.682, 0.687) |
| IBD | Prevalence | 4 | 0.509 (0.507, 0.511) | 0.610 (0.608, 0.613) | 0.669 (0.667, 0.672) | 0.710 (0.707, 0.713) |
| Schizophrenia | Incidence | 1 | 0.0490 (0.0484, 0.0496) | 0.0435 (0.0430, 0.0441) | 0.0502 (0.0495, 0.0509) | 0.0503 (0.0496, 0.0509) |
| Schizophrenia | Incidence | 2 | 0.0439 (0.0433, 0.0445) | 0.0388 (0.0383, 0.0394) | 0.0449 (0.0442, 0.0455) | 0.0424 (0.0418, 0.0430) |
| Schizophrenia | Incidence | 3 | 0.0422 (0.0417, 0.0428) | 0.0366 (0.0361, 0.0371) | 0.0420 (0.0414, 0.0427) | 0.0391 (0.0385, 0.0397) |
| Schizophrenia | Incidence | 4 | 0.0437 (0.0431, 0.0443) | 0.0376 (0.0370, 0.0382) | 0.0417 (0.0410, 0.0424) | 0.0400 (0.0394, 0.0407) |
| Schizophrenia | Prevalence | 1 | 0.0597 (0.0590, 0.0603) | 0.0684 (0.0677, 0.0691) | 0.0697 (0.0689, 0.0705) | 0.0669 (0.0661, 0.0677) |
| Schizophrenia | Prevalence | 2 | 0.0755 (0.0747, 0.0762) | 0.0838 (0.0830, 0.0846) | 0.0882 (0.0873, 0.0891) | 0.0888 (0.0879, 0.0897) |
| Schizophrenia | Prevalence | 3 | 0.0840 (0.0832, 0.0848) | 0.0998 (0.0990, 0.1007) | 0.112 (0.111, 0.113) | 0.118 (0.117, 0.119) |
| Schizophrenia | Prevalence | 4 | 0.0839 (0.0831, 0.0848) | 0.0994 (0.0985, 0.1003) | 0.113 (0.111, 0.114) | 0.117 (0.115, 0.118) |
| Cystic Fibrosis | Incidence | 1 | 0.0114 (0.0112, 0.0117) | 0.00929 (0.00903, 0.00955) | 0.00941 (0.00912, 0.00971) | 0.00925 (0.00897, 0.00954) |
| Cystic Fibrosis | Incidence | 2 | 0.0106 (0.0103, 0.0109) | 0.00877 (0.00852, 0.00903) | 0.00887 (0.00858, 0.00916) | 0.00817 (0.00791, 0.00845) |
| Cystic Fibrosis | Incidence | 3 | 0.0104 (0.0102, 0.0107) | 0.00861 (0.00836, 0.00887) | 0.00864 (0.00836, 0.00893) | 0.00791 (0.00765, 0.00818) |
| Cystic Fibrosis | Incidence | 4 | 0.0110 (0.0107, 0.0114) | 0.00910 (0.00882, 0.00939) | 0.00905 (0.00874, 0.00938) | 0.00851 (0.00821, 0.00881) |
| Cystic Fibrosis | Prevalence | 1 | 0.0159 (0.0156, 0.0163) | 0.0175 (0.0171, 0.0178) | 0.0164 (0.0160, 0.0168) | 0.0151 (0.0148, 0.0155) |
| Cystic Fibrosis | Prevalence | 2 | 0.0226 (0.0222, 0.0231) | 0.0231 (0.0227, 0.0236) | 0.0224 (0.0219, 0.0228) | 0.0212 (0.0207, 0.0216) |
| Cystic Fibrosis | Prevalence | 3 | 0.0280 (0.0276, 0.0285) | 0.0326 (0.0321, 0.0331) | 0.0350 (0.0344, 0.0356) | 0.0363 (0.0358, 0.0369) |
| Cystic Fibrosis | Prevalence | 4 | 0.0284 (0.0279, 0.0289) | 0.0332 (0.0327, 0.0338) | 0.0362 (0.0355, 0.0368) | 0.0378 (0.0372, 0.0384) |

COPD: Chronic obstructive pulmonary disease. IBD: Inflammatory bowel disease. Incidence: cumulative incidence in the indicated year. Prevalence: point prevalence on the first day of the indicated year.

Fig. S1 Chronic Obstructive Pulmonary Disease

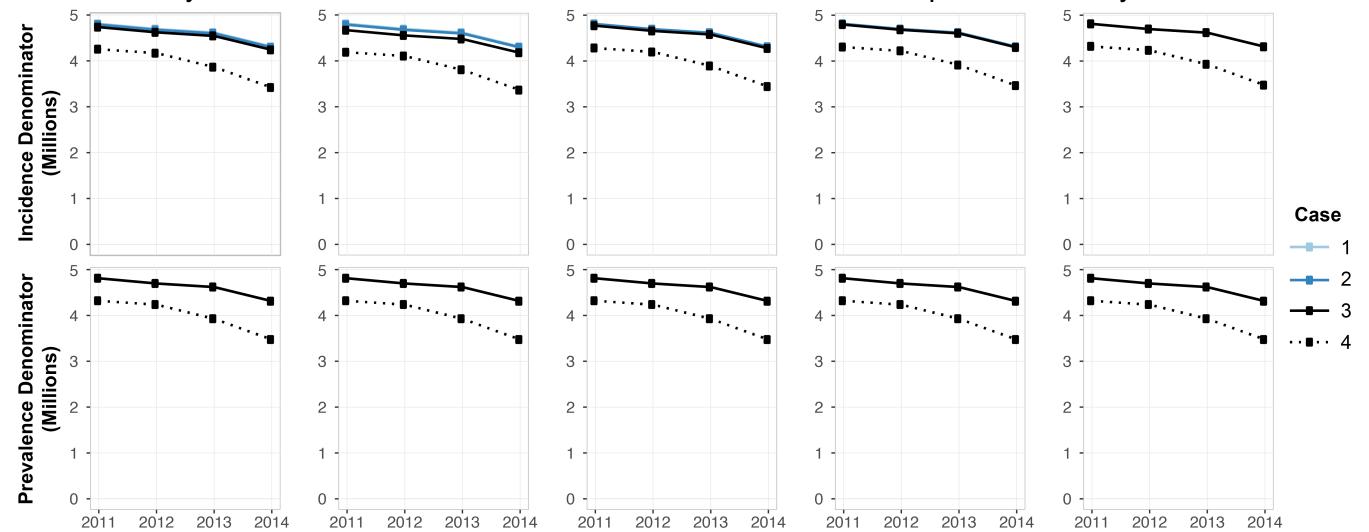


Figure S1. Denominators for incidence and prevalence, in CPROD. Numbers for case 1 and 2 generally overlap with those of case 3, which is why they may not be visible.

Fig. S2 Chronic Obstructive Pulmonary Disease

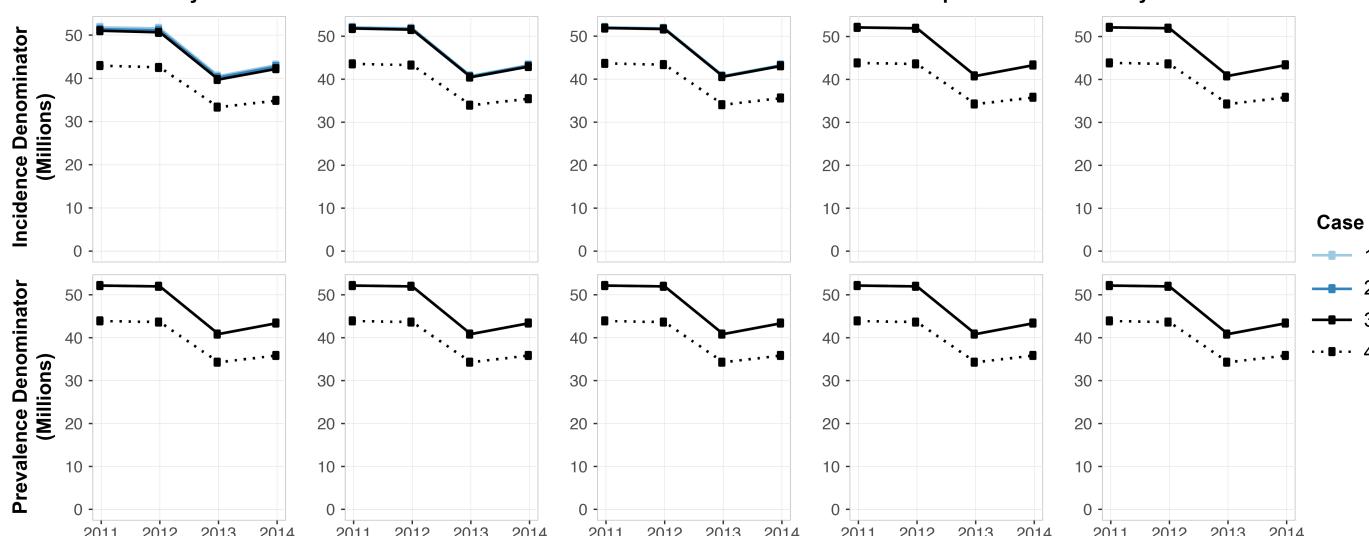


Figure S2. Denominators for incidence and prevalence, in MarketScan. Numbers for case 1 and 2 generally overlap with those of case 3, which is why they may not be visible.