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# **Using the Mini C-BARQ to Investigate the Effects of Puppy Farming on Dog Behaviour**

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**Abstract**

1 High demand for dogs in countries like the UK can lead to illegal intensive breeding and illegal  
2 importation of puppies for the pet trade. The current study investigates the effects of intensive  
3 breeding or ‘puppy farming’ on canine behaviour, explores new ways of predicting negative outcomes  
4 and categorising dog behaviour, and probes whether various types of training or routines can mitigate  
5 these behavioural outcomes. Participants completed an online self-report questionnaire, combining a  
6 shortened version of the Canine Behavioural Assessment and Research Questionnaire (mini C-  
7 BARQ) (Duffy et al., 2014), with new scales created in collaboration with the Scottish Society for the  
8 Prevention of Cruelty to Animals (Scottish SPCA). 2,026 participants completed the questionnaire;  
9 most owners had dogs from non-puppy farm backgrounds (n=1702), the rest had dogs from puppy  
10 farms (n=123), or were unsure of the source of the dog (n=201). We validated the mini C-BARQ as a  
11 tool for measuring dog behaviour, and explored its latent dimensions using factor analysis, extracting  
12 five first-order factors and one overarching second-order factor. We also confirm the validity of three  
13 of the four new scales developed with Scottish SPCA used to measure the impact of puppy farming  
14 practices. Linear and logistic regressions demonstrated that dogs from puppy farms have less  
15 desirable behaviours than dogs from other sources on 11 of the 14 behavioural subscales of the C-  
16 BARQ (for significant subscales, coefficients were between 0.1 and 0.2, and odds Ratios between 1.6  
17 and 2.5). Generalized Linear Models (GLM) revealed the predictive power of two newly developed  
18 scales measuring early life experience in explaining variations in dog behaviour. In a GLM  
19 accounting for the dog’s early life experience (and controlling for variables like breed and age), dog-  
20 walking significantly reduced the incidence of undesirable behaviours ( $p < 0.001$ ), while different  
21 types of training had a significant interaction with poor early life experience in moderating canine  
22 behaviour ( $p < 0.002$ ). Finally, dogs from puppy farms had significantly worse medical scores than  
23 dogs from other breeding sources ( $U = 144,719$ ,  $z = 7.228$ ,  $p < 0.001$ ). These results suggest that  
24 puppy farming has negative impacts on dog behaviours and health, while more research is necessary  
25 to fully explore how to mitigate the effects of poor early life experience.

26 **Key Words:** Canine; Dog Behaviour; mini C-BARQ; intensive breeding; Puppy farming; behavioural  
27 development.

28

## 29 **1. Introduction**

30 Dogs are the most common type of pet (PFMA, 2017) and dog ownership increases with number of  
31 household members and if children are present (Downes et al., 2009), suggesting that dogs often play  
32 an important role in a family context. Dogs have been found to have a beneficial impact on children's  
33 emotional development (Vidovic et al., 2015), provide emotional support (Hawkins et al., 2017), and  
34 increase physical health in old age (Curl et al., 2016). However, problematic or extreme canine  
35 behaviours may hinder the positive role a dog can have: perceived problems like aggression and  
36 house soiling can precipitate increased family tensions (Power, 2008), while destructive tendencies  
37 and aggression are the most common reason for dogs to be relinquished to shelters (Diesel et al.,  
38 2010). Understanding how to reduce problematic behaviours is therefore important both in a family  
39 context and for dog welfare, but research on dog breeding, the environment it creates for early  
40 development, and the long-term behavioural implications is only starting to receive attention.

41

42 Intensive dog breeding (IDB) can take on a range of forms and has been given various names, such as  
43 'puppy mills', 'puppy farms', or Commercial Breeding Establishments (CBEs). IDB tends to occur  
44 where an increased demand for dogs makes breeding a lucrative business, often to the detriment of  
45 dog welfare, where animals are kept in cramped, overpopulated conditions, and are selected as  
46 breeding stock irrespective of behaviour or health. Studies in the United States have shown CBEs  
47 have quite serious negative effects on adult dog behaviour, noticeably for fear and aggression  
48 (McMillan et al., 2013). However, IDB operations are poorly defined on an international scale as  
49 terminology is inconsistent: these sometimes refer simply to larger breeding operations, and  
50 sometimes to breeding operations specifically harmful to welfare. As a result, it can be difficult to  
51 build a global understanding of the effects of these practices, which will vary in their legality, the  
52 regulations applied, and consequently the welfare of animals. In line with current UK terminology  
53 (Scottish SPCA, 2018), and in order to underline the detrimental welfare effects and often illegal

54 nature of these practices (rather than their scale), we will refer to IDB operations detrimental to  
55 welfare as *puppy farming* (see Everett, 2014 for a discussion of ethical terminology regarding puppy  
56 farming).

57

58 Over the last 30 years, puppy farming has created both legal and public concern in the UK, especially  
59 as accumulating evidence suggests puppy farming has significant costs, both in terms of animal  
60 welfare and for the families whose pets display health and behavioural issues. This problem has  
61 started being addressed with legislation regulating welfare and breeding practices<sup>1</sup>, and with the trial  
62 of an assured breeder scheme in Scotland (Scottish SPCA, 2018). Although stricter regulation has  
63 probably reduced the number of legal IDB operations detrimental to dog welfare, it means that  
64 intensive breeders may choose to operate outside legislation (possibly operating with even worse  
65 welfare conditions) or that dogs will be imported from other countries with less stringent regulation  
66 (Dogs Trust, 2017). However, the mechanisms through which these intensive breeding practices  
67 influence dog behaviour are only starting to be investigated (McMillan, 2017), and there is little  
68 evidence concerning which aspects of puppy farming are most deleterious to canine behaviour and  
69 health, or how to mitigate the negative outcomes associated with puppy farming.

70

### 71 ***1.1 Effects of puppy farms on canine behavioural development and welfare***

72 In a review of evidence, McMillan (2017) argues that behavioural differences between intensively  
73 bred dogs and those from other sources can be traced back to a combination of genetic, prenatal, and  
74 developmental factors. These are poorly managed in puppy farms, raising a series of concerns for the  
75 behaviour of those dogs as adults, and for their welfare (HSVMA, 2013).

76

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<sup>1</sup> In Scotland: The Breeding of Dogs Act 1991, Breeding and Sale of Dogs (Welfare) Act 1999,  
Animal Health and Welfare (Scotland) Act 2006, The Licensing of Animal Dealers (Young Cats and  
Young Dogs) (Scotland) Regulations 2009, Microchipping of Dogs (Scotland) Regulations 2016

77 Bitches are often bred continuously until they are no longer able to deliver puppies and are then  
78 discarded. Intensive breeding affects the bitch's health, immune function and ability to care for her  
79 pups. McMillan et al. (2011) demonstrated that breeding dogs in CBEs developed fears and phobias  
80 and learning deficits. These issues may transfer directly to the puppies, as studies have demonstrated  
81 that higher quality maternal care in dogs will tend to increase puppy social and physical engagement  
82 (Foyer et al., 2015), may aid positive interest in humans (Guardini et al., 2017), and that puppies may  
83 also learn to display certain behaviours from their mothers (Lord, 2010).

84

85 On the genetic side, careful breeding remains an effective way of influencing dog behaviour and  
86 health. A wide variety of dog behaviours have been studied for their heritability, such as aggression  
87 (Perez-Guisado et al., 2006), anxiety (Goddhard and Beilharz, 1983), or human-directed social  
88 behaviour (Persson et al., 2015), a process which has been made more precise since the mapping of  
89 the dog genome in 2005. Mandatory behavioural screening of pedigree parents has managed to  
90 successfully reduce aggressive temperaments in breeds such as Dobberman and Rottweiler (van der  
91 Borg et al., 2017). Likewise, screening the parents and avoiding reproduction of ailing individuals can  
92 significantly weed out congenital illnesses or deformities. Such behavioural and physiological  
93 screenings are not likely to be performed in puppy farms, suggesting that genetically-caused  
94 behavioural and medical issues are not likely to be addressed.

95

96 Developmentally, puppy farming affects the welfare of puppies because it does not provide an  
97 appropriate environment for canine behavioural development. Dogs have a set of six relatively well-  
98 defined stages of behavioural development (Serpell et al. 2016). During these sensitive periods, the  
99 puppy farming environment may adversely affect puppies' behavioural development, especially  
100 during first four stages: prenatal, neonatal, transitioning, and socialisation.

101

102 During the prenatal period, developing pups are influenced by maternal levels of cortisol and  
103 androgen, which has been linked to increased stress sensitivity among rats and foxes (Champagne,  
104 2008; Braastad et al., 1998). Maternal stress in rodents also affects the amount of care pups receive in

105 the neonatal period (first two weeks), which has long-term consequences for their regulation of their  
106 Hypothalamic-Pituitary-Adrenal (HPA) axis (Champagne et al., 2003). The high levels of stress  
107 bitches are likely subjected to in puppy farms will therefore have direct and systematic deleterious  
108 effects on the puppies, both prenatally and during the neonatal period.

109

110 The transition period, in the third week, marks the maturation of puppies' sensory systems (opening  
111 of their eyes and then their ear canals) until the onset of motor development in the fourth week,  
112 signalling the onset of the socialisation period. It is during this exploratory socialisation period (4-12  
113 weeks), that puppies are most directly influenced by their environment. During this period, it is  
114 crucial that pups are exposed both to the human and environmental factors that they are likely to  
115 interact with, as they may otherwise never fully habituate (Scott and Fuller, 1965). Variations on the  
116 socialisation timing can partially explain behavioural differences amongst breeds, subtle differences  
117 that puppy farmers are unlikely to take into account. For example, German shepherd puppies show  
118 stronger novelty avoidance at 5 weeks than Labradors, who are more flexible and retain the  
119 opportunity to socialise in their new home for longer (Lord et al, 2016). Puppy farming affects the  
120 patterns of socialisation in three ways: firstly, the kennel environment contains a narrower range of  
121 stimuli and reduces human contact. Since dogs also form 'localisation' attachment (Scott and Fuller,  
122 1965), this suggests that dogs from kennels will show increased fear responses as adults *both* to  
123 humans and their environment (Appleby et al., 2002). Secondly, dogs are unlikely to be socialised  
124 properly, or according to the needs of their breed. Finally, puppies are often sold at or before eight  
125 weeks of age, during one of the most sensitive developmental phases; if puppies are shipped from  
126 overseas, long transportation will translate to high stress levels and have long term effects on  
127 behaviour.

128

129 Welfare issues continue after intensively bred dogs are bought as pets, because both health and  
130 behavioural issues increase the likelihood that a dog will be relinquished to a shelter (Duffy et al.,  
131 2014). Based on anecdotal evidence, the UK Kennel Club states that "puppies bred by puppy farmers  
132 are more likely to suffer from common, preventable, infectious diseases, painful or chronic inherited

133 conditions, behavioural issues and shorter life spans”. Our research will scientifically investigate these  
134 claims.

135

### 136 *1.3 Research aims*

137 The first aim of this research was to investigate different ways of measuring the effects of puppy  
138 farming. This was done by validating four short new scales developed with the Scottish SPCA, and  
139 validating the use of the mini C-BARQ (Duffy et al., 2014) with the current sample. In order to  
140 maintain statistical power in further analysis, Exploratory Factor Analysis (EFA) was used to  
141 determine whether the mini C-BARQ could be validly condensed along latent behavioural  
142 dimensions.

143

144 The second aim was to investigate the effects of puppy farming on medical health and behaviour. The  
145 investigation on behaviour attempted to replicate the results of McMillan et al. (2013), who used the  
146 C-BARQ (Hsu and Serpell, 2003) to demonstrate the negative effects of intensive breeding on various  
147 behaviours including aggression, fear, separation anxiety, and a variety of other behavioural  
148 problems. The current research aimed to reproduce their results within the UK context, although we  
149 note a few differences. First, rather than using the full-length C-BARQ, the current study used the  
150 mini C-BARQ (Duffy et al., 2014), which allowed for additional questions to be included without  
151 making survey length prohibitive, probing aspects such as the living conditions of the dog at  
152 purchase, and their health condition. Second, the questionnaire was advertised through a different set  
153 of routes and may have reached a different participant sample: while McMillan et al. advertised  
154 through veterinary practices, the current research advertised primarily through animal rehoming  
155 centres, welfare charities, and social media. Finally, this study used a different set of comparison  
156 groups: while McMillan compared breeder-obtained dogs (excluding home-bred, rescued, or dogs  
157 obtained from a friend/relative) to pet-store bought dogs (proxy for CBEs), the current study  
158 compared dogs categorised as coming from puppy farms to those from any other sources. Despite  
159 these differences, we hypothesised similar effects would be found in the current study: dogs from  
160 puppy farms will be found to score significantly worse on most, if not all, of the C-BARQ measures.



161

162 The third aim was to explore how basic measures taken by the owner could mitigate the effects of  
163 poor early life experience. Research has demonstrated that different types of training, such as puppy  
164 classes, can significantly improve the behaviour of dogs (Kutsumi et al., 2013). Little research has  
165 been carried out for other simple measures, such as whether the frequency of dog walking can  
166 improve behaviour. We hypothesise that both dog walking and dog training will decrease the  
167 incidence of negative behaviours as measured by the mini C-BARQ.

## 168 **2. Methods**

### 169 ***2.1 Design***

170 This research used a cross-sectional online questionnaire-based survey design. A self-report  
171 questionnaire was designed consisting of standardized and newly developed measures and distributed  
172 using Bristol Online Survey. The online questionnaire was open from the 7<sup>th</sup> of June to the 27<sup>th</sup> of July  
173 2017.

174

### 175 ***2.2 Participants***

176 Participants were recruited through a combination of non-probability sampling methods (i.e. where  
177 the sample may not be representative of the general population because of biases potentially  
178 introduced when reaching participants). Most participants were recruited online through convenience  
179 sampling (drawing the participant sample from the set of people easiest to reach) although every care  
180 was taken to publicise through a wide variety of pertinent organisations. The questionnaire was  
181 publicised through press releases by the Scottish SPCA, the University of Edinburgh, and via social  
182 media, with a short synopsis describing the purpose of the research and a direct link to the  
183 questionnaire. The Scottish SPCA reached out to their contacts and asked them to forward or  
184 publicise the questionnaire further. The organizations contacted included: Blue Cross, Dogs Trust,  
185 RSPCA, ISPCA, Kennel Club, Association of pet behaviour councillors, Scottish Government  
186 Researchers, and Association of Pet Dog Trainers. The questionnaire was also forwarded to the  
187 Scottish SPCA's Head Vet, who then circulated the link with their contacts. Finally, a small number

188 of participants, who were known by the Scottish SPCA to have obtained their dog through the puppy  
189 trade, were directly contacted and asked to complete the questionnaire.

190

191 Of the 7,268 people who accessed the questionnaire, 2,787 started completing the questionnaire,  
192 corresponding to a response rate of approximately 38%- fairly typical for online survey formats (see  
193 e.g. Nulty, 2008). Of those that proceeded, 2,026 (72%) completed the full questionnaire, of which  
194 93% were female (n= 1891). This female gender bias, though potentially creating a skew in responses,  
195 is fairly common in questionnaires (Smith, 2008). Furthermore, research by Dodman et al. (2018),  
196 which investigated the effects of owner personality on dog behaviour using the mini C-BARQ, had a  
197 very similar gender bias with 91% of respondents being female. Participants were almost all from the  
198 UK (n=1889, with 1549 from Scotland, 286 from England, 25 from Northern Ireland, and 29 from  
199 Wales). Other participants were from the Republic of Ireland (n=91), and 46 participants were from  
200 other countries (including the USA and Canada). Dogs were 51% male, and the majority of dogs had  
201 been neutered (65% of males and 68% of females). Median dog age fell in the 2-5 years old category.

202

### 203 ***2.3 Materials and measures***

204 The questionnaire comprised five sections: (1) participant demographic information, (2) basic  
205 information about the participant's dog, (3) information concerning the purchase of the dog, (4)  
206 behavioural assessment of the dog, and (5) canine medical health questions (see Supplementary  
207 materials for full questionnaire). All sections except the fourth were designed in coordination with the  
208 Scottish SPCA to probe variables known to impact dog care, as well as possible indicators that dogs  
209 had been bred on puppy farms, and common medical issues. The fourth section, which measured the  
210 dog's behaviour, utilized the shortened version of the C-BARQ.

211

212 *Basic information about the participant's dog:* This section of eleven questions was designed to  
213 obtain basic information about the dog and the way it was handled, providing most of the control  
214 variables for the current analysis. Five questions made up the 'Owner Care' scale ( $\alpha=0.353$ ), which

215 asked participants what training they had completed with their dog, how often they took their dog on  
216 walks, and whether their dog regularly met dogs from outside the household.

217

218 *Information concerning the purchase of the dog:* This section probed different aspects of the context  
219 from which participants obtained their dog. Items were suggested by the Scottish SPCA as being  
220 predictive of whether the dog came from a puppy farm, and included two newly developed short  
221 scales. The “Puppy Experience Scale” ( $\alpha=0.778$ ) had ten questions, and investigated how the puppy  
222 had been living at the time of purchase. It included questions such as whether the current owner had  
223 met the dog’s mother and father, and whether other litters of puppies were for sale. The ‘Seller  
224 Experience Scale’ ( $\alpha=0.750$ ) had seven questions, and asked participants about their interaction with  
225 the seller at the time of purchase, including whether the seller was licensed, and whether they would  
226 recommend or return to the seller.

227

228 *Behavioural assessment using the mini C-BARQ:*

229 The Canine Behavioural Assessment and Research Questionnaire (C-BARQ) © (Hsu and Serpell,  
230 2003) is a self-report questionnaire which probes the severity of 14 behavioural subscales and several  
231 miscellaneous items. It has well-established validity and replicability, and has been used to investigate  
232 a variety of dog behaviours, including temperament (Barnard et al., 2012), trainability (Duffy and  
233 Serpell, 2012), and aggressive behaviour (Berg et al., 2010). Existing studies using the C-BARQ have  
234 also helped establish factors commonly influencing dog behaviour, including age, sex and neuter  
235 status (Hsu and Sun, 2010), dog size/weight (McGreevy et al. 2013) and breed (Tonoike et al., 2015).

236

237 In its full form, the C-BARQ is a 100-item questionnaire which investigates dog behaviour along 14  
238 behavioural subscale dimensions, and with a list of miscellaneous items. The questionnaire is  
239 completed by the dog’s principle owner or handler, who must select an answer for each item along a  
240 five-point scale which represents either severity of behaviour (i.e., 0 = no signs of the behaviour, 1 to  
241 3 = mild to moderate signs of the behaviour, and 4 = severe signs of the behaviour) or its frequency  
242 (i.e., 0 = never, 1 = seldom, 2 = sometimes, 3 = usually, and 4 =always), depending on the question.

243 Questions are accompanied by a general description of the behaviour type (e.g. aggression or fear),  
244 and examples of typical behaviour were given at extreme points for each scale. In order to minimize  
245 participant drop-out rates we used the mini C-BARQ, which has 42 items and takes under ten minutes  
246 to complete. It was found to be highly consistent with the C-BARQ, and was developed using a large  
247 sample of dogs using step-wise removal of items and using Cronbach's alpha to determine impact on  
248 internal consistency (Duffy et al., 2014). This shortened version had 14 subscales and nine  
249 miscellaneous items.

250

251 *Canine Health-related Questions:* Physical health outcomes were measured using a set of eight  
252 questions ('Medical Symptoms',  $\alpha=0.713$ ), investigating the general physical health of the dog, which  
253 was adapted from a recent Scottish Government funded survey (Wyatt et al., 2017). Questions  
254 included whether the dog had ever required veterinary treatment, suffered from viruses or respiratory  
255 illness, or been underweight. Finally, participants were given a free text box if they wished to leave  
256 any comments.

257

## 258 ***2.4 Procedure***

259 Participants completed either an online or hard-copy version of the questionnaire. Both versions of the  
260 questionnaire had a cover page, which detailed the purpose of the study, the information that  
261 participants would be asked to complete, and an estimated time it would take to complete the  
262 questionnaire (ten minutes). Participants were also informed that their responses were confidential  
263 and anonymous, that they could withdraw at any time they wished, and were required to tick a box  
264 confirming that they consented to take part in the survey. In the online version of the questionnaire,  
265 almost all questions were required, the only exceptions were questions which may not have applied to  
266 all participants. Ethical approval was obtained from the University of Edinburgh's Clinical and Health  
267 Psychology Research Ethics Committee.

268

269 Assigning dogs to the 'puppy farming' category was done based on participant's response to the  
270 question: "Do you believe your dog was bred as part of intensive breeding/puppy farming?", to which

271 participants could respond “Yes”, “Unsure”, or “No”. Responses marked “unsure” were excluded  
272 from analysis; of the remaining dogs, 7% (n=123) were reported by owners to come from puppy  
273 farms, and the remaining 93% (n=1,702) had dogs from other sources. Although the questionnaire  
274 was distributed to known owners of puppy-farmed dogs (from the SPCA’s list of contacts), it was  
275 impossible to confirm the owner’s report because the questionnaire was anonymous.

276

## 277 *2.5 Data analysis*

### 278 *2.5.1 Preliminary data handling*

279 Dog breed was a free response section in the questionnaire. For analysis, this was coded according to  
280 the seven breed groups as listed by the UK Kennel Club, with an additional category for Cross breeds,  
281 and a category titled ‘Wolfdog’ (n=16) describing a set of breeds not officially recognised by the  
282 Kennel Club (e.g. Czechoslovakian Vlcak).

283

284 C-BARQ subscales were calculated in accordance with Duffy et al. (2014), and the instructions of the  
285 corresponding author (Serpell, email communication, August 1<sup>st</sup> 2017). The histograms of the  
286 subscales were then explored to identify scales that were seriously skewed. Nine subscales were  
287 identified and dichotomized following the procedure in McMillan et al. (2013) so that logistic binary  
288 regression could be performed. This was done by recoding the lowest (most common) score as 0,  
289 while any score above this was recoded as 1. The five remaining subscales did not violate the  
290 assumptions of normality too significantly and so were not transformed.

291

### 292 *2.5.2 Statistical Procedure*

293 All data was handled and analysed using IBM SPSS version 22. There were three stages to data  
294 analysis: reliability analysis and EFA of the mini C-BARQ and newly created scales, replication of  
295 the results from McMillan (2013) investigating difference of behaviour between dogs from puppy  
296 farms and other backgrounds, and GLMs exploring the predictive power of the newly created scales  
297 and moderating factors in accounting for dog behaviour beyond the effect of puppy farming.

298

299 Four new scales were analysed: 'Medical Symptoms', 'Puppy Experience', 'Seller Experience', and  
300 'Owner Care'. Those scales that had Cronbach's alpha above 0.7 were considered to have 'good'  
301 reliability, and be suitable for further analysis (Field, 2103). Certain scales underwent Principle  
302 Components Analysis (PCA), which was performed using Direct Oblimin rotation, and extracting  
303 factors with eigenvalues above 1. Reliability analysis was once again carried out on the extracted  
304 subscales; because these had fewer items,  $\alpha$  or  $\rho$  above 0.6 were considered acceptable for the  
305 purpose of calculating an average score for that subscale.

306

307 Once the validity of the mini C-BARQ's 14 subscales was investigated with the current sample, its  
308 psychometric properties were investigated using an approximation of hierarchical EFA. This was  
309 achieved through several rounds of Factor Analysis, which is appropriate for the extraction of latent  
310 constructs (Floyd and Widaman, 1995) using Oblimin rotation because the extraction of higher level  
311 factors assumes lower level factors have common variance and are not orthogonal. Two rounds of  
312 EFA were performed: the first level was performed on the 14 C-BARQ subscales, and the second on  
313 extracted factor scores which were calculated using regressions scores. The number of factors to  
314 extract was determined using Horn's Parallel analysis (Horn, 1965) which determines the number of  
315 factors to retain based on eigenvalues which are greater than those from a randomly generated  
316 correlation matrix.

317

318 Hierarchical binary logistic regression (for recoded variables) or hierarchical linear regression (for  
319 variables which did not violate the assumptions of normality of residuals) were used to investigate the  
320 behavioural effects of puppy farming (n=123) when compared to other sources of dog breeding  
321 (n=1702) (201 cases were excluded where owners were not sure of the origin of their dog). These  
322 regressions controlled for five factors: age, sex, neuter status, breed, and whether they lived with other  
323 dogs. Although there is some debate as to whether ordinal data can be analysed using linear  
324 regression, Norman (2010) confirms that Likert-type data measuring continuous constructs can be  
325 analysed parametrically, as long as other assumptions of regression (e.g. normality of the means) are  
326 not violated. These analyses were performed hierarchically, controlling for other variables in the first

327 block, and with puppy farming in the second block. P-values below 0.05 were used to identify  
328 significant predictors in the model, and non-significant predictors were removed in order to avoid  
329 over-fitting. In the final models, the Benjamini-Hochberg correction (Benjamini and Hochberg, 1995)  
330 for false discovery rate (with  $Q=0.05$ ) was applied for the effect of puppy farming (control variables  
331 were not included in this correction). The effect of puppy farming on medical health was investigated  
332 by comparing medical scores across dogs from puppy farms or from other sources, using a non-  
333 parametric Mann-Whitney U test.

334

335 The final step of the analysis was an exploration of whether the newly created ‘Puppy experience’  
336 (PE) and ‘Seller Experience’ (SE) scales could predict C-BARQ score beyond ‘puppy farm’  
337 classification. These were analysed using Generalised Linear Models (GLMs), which allows fitting of  
338 non-normal regressions. Thus, the highly skewed subscales that had previously been binary  
339 transformed were analysed using Gamma regressions with Log link, which, based on the value of the  
340 Akaike Information Criterion, was found to be the most appropriate non-normal regression model.  
341 Those subscales that were not transformed continued being analysed using hierarchical linear  
342 regressions.

343

344 This allowed an investigation of whether walking and training exposure moderated these behavioural  
345 outcomes. The effect of walking and training on dog behaviour was investigated by inputting these  
346 terms as main effects and through the inclusion of interaction terms in hierarchical linear regressions  
347 using the extracted factors from the EFA. Thus, these analyses were performed on six extracted  
348 factors: five factors extracted as first order factors, and one general factor was extracted as a second  
349 order factor. We chose to investigate the effect on behaviour by using these six factor scores, rather  
350 than on a subscale basis, because it reduced the likelihood of Type I errors (by running one test rather  
351 than 14), or of losing too much statistical power by having to correct for multiple tests. The factor  
352 scores were calculated using a simple average of the items loading most strongly (and above 0.3) onto  
353 each factor. This method was chosen because using weighted averages (i.e. regression scores) may  
354 cause issues due to factor indeterminacy, may be less stable across samples, and will produce results

355 that are more difficult to interpret (see DiStefano et al., 2009). As this research was exploratory in  
356 nature, using averages provides a more stable solution for comparison with future research. In order to  
357 reduce multicollinearity and stabilise model fit, independent variables were centred (by subtracting  
358 the mean), and interaction terms were calculated by multiplying the centred independent variables  
359 (Afshartous and Preston, 2011). Finally, the Benjamini-Hochberg correction for false discovery rate  
360 (with  $Q=0.05$ ) was applied before interpreting significance.

### 361 **3. Results**

#### 362 *3.1 Scale reliability and analysis*

363 All scales except the 'Owner Care' had  $\alpha$  above 0.7, and so were retained (see Table 1). Sub-items in  
364 the 'Owner care' scale were investigated separately. It was found that the question "Does your dog  
365 obey basic commands (sit, stay, down, etc.)?" was highly skewed, with 96% of respondents reporting  
366 'yes'. As a result, this item was removed, and two possible subscales each with two items remained.  
367 Because these two subscales only had two items each, the Spearman-Brown split-half reliability  
368 estimate was used instead of Cronbach's alpha (Eisinga et al., 2013). The 'training' subscale  
369 (investigating attendance to puppy classes and whether the owner did clicker training) had low  
370 reliability ( $\rho=0.336$ ), and so was not considered a valid subscale. However, the 'walking' subscale,  
371 which investigated how often the owner took their dog on walks and how often the dog met  
372 unfamiliar dogs, had adequate reliability ( $\rho=0.618$ ).

373

374 The 'Medical Symptoms' scale was further analysed using PCA to investigate whether there were  
375 consistent patterns in the types of illnesses being reported. PCA extracted two factors, each of four  
376 items. Factor 1 was named 'Chronic Illnesses' (items: 'Has your dog required veterinary treatment for  
377 illnesses', 'Suffered from skin conditions', 'Suffered from common illnesses', and 'Suffered from  
378 inherited disorders') and had  $\alpha=0.63$ . Factor 2 was named 'Environmental/Infectious Illness' (items:  
379 'Suffered from viruses such as parvovirus, canine brucellosis, canine distemper', 'Suffered from  
380 respiratory illnesses such as kennel cough, pneumonia', 'Suffered from parasites', and 'Been  
381 underweight') and had  $\alpha=0.60$ . Although both these scales have borderline reliability (under 0.7), this  
382 may be due to the small number of items in each scale.



383

384 [Insert Table 1 here]

385

386 The mini C-BARQ subscales were investigated for reliability and validity, and PCA was performed to  
387 determine whether the same factors reported in Duffy et al. (2014) would be extracted. The overall  $\alpha$   
388 for the mini C-BARQ was 0.851, suggesting it might be appropriate to analyse as a single scale. A  
389 total of 11 factors were extracted. The extracted subscales were broadly consistent with those in Duffy  
390 et al., (2014), although certain scales collapsed into each other (non-social fear with stranger directed  
391 fear, owner-directed aggression with dog rivalry, and dog-direct fear with dog-directed aggression).  
392 Subscales were calculated according to the categories in Duffy et al. (2014), and reliability was  
393 calculated using  $\alpha$ . A comparison with the current scores show good replicability of the previous  
394 reliability results (see Table 2).

395

396 [Insert Table 2 here]

397

398 Several rounds of EFA was performed on the 14 extracted subscales to determine whether there were  
399 any latent constructs and factors of higher generalisability. EFA on the 14 subscales extracted five  
400 factors (see Table 3), quite neatly: these have relatively little cross-loading, and each subscale has a  
401 factor loading of at least 0.3 on at least one factor. The  $\alpha$  for the new factors were all above 0.6.  
402 Based on the loading subscales, the five extracted factors were named 'Fear', 'Attachment issues',  
403 'Familiar aggression', 'Impulsiveness', and 'Unfamiliar aggression'. Another round of EFA was  
404 performed on the five extracted first order factor regression scores. This extracted one general factor  
405 (see Table 4). Since the factor loadings onto this general factor do not seem highly biased towards any  
406 one of the first order factors, this suggests the second order factor may correspond to overall  
407 behavioural reactivity, and the dog's ability to regulate (and inhibit) undesirable behaviours. This  
408 suggests a latent hierarchical structure to the C-BARQ with five first-order factors and a general  
409 second order factor (see Figure 1).

410

411 [Insert Tables 3 and 4, and Figure 1 here]

412

### 413 ***3.2 Breed groups and puppy farming***

414 In order to explore which breeds are most likely to be targeted by puppy farming, and to understand  
415 how this may affect analysis of behaviour (breed and size both affect dog behaviour), an exploration  
416 was performed to determine how the different breed groups were separated between the puppy  
417 farming and non-puppy farming samples. The ‘Toy’ breed group was found to have the highest  
418 percentage of dogs from puppy farms (16.5%), as all other groups had under 9% of dogs from puppy  
419 farms. The ‘Gundog’ breed group had the lowest percentage of dogs from puppy farms (2.6%).

420 Within the group of dogs which came from puppy farms, most dogs were cross-breeds (most likely  
421 desirable crosses such as labradoodles or Cockapoos), at about 30%, with the Toy and Terrier groups  
422 also making up a large percentage of the dogs from puppy farms (at 18.7% and 15.4% respectively).

423

424 [Insert table 5 here]

425

### 426 ***3.3 The effects of puppy farming on dog behaviour and medical health***

427 Dogs bred on puppy farms differed significantly from those acquired from other sources on 11 of 14  
428 of the mini C-BARQ behavioural subscales. This effect was always negative, with puppy farming  
429 being linked to higher (less desirable) C-BARQ scores (Tables 3 and 4). Dog-rivalry, Energy and  
430 Chasing were the only scores in which puppy-farm raised dogs and those from other sources did not  
431 differ. For all other variables, dogs from puppy farms showed a 60-90% increase in likelihood of  
432 demonstrating undesirable behaviours (in logistic regressions) or a 10-20% increase in subscale score  
433 (in linear regressions).

434

435 [Insert Tables 6 and 7 here]

436

437 The effects of puppy farming on medical health was also explored. As medical scores were skewed  
438 towards low scores, non-parametric tests were used (distributions between groups were equivalent so

439 means are reported). A Mann-Whitney U test showed a significant difference in ‘Medical Symptoms’  
440 score (an average of all medical items, possible values from 1-5, with 1 indicating no symptoms)  
441 between dogs from puppy farms and dogs from other sources. Mean ‘Medical Symptoms’ score was  
442 statistically significantly higher in puppy-farm dogs (1.57) than in dogs from other sources (1.29) ( $U$   
443 = 144,719,  $z = 7.228$ ,  $p < 0.001$ ). In order to determine whether there was a difference in the type of  
444 illnesses reported, separate Mann-Whitney U tests were then run for each of the medical subscales.  
445 There was a significant difference in ‘Chronic Illness’ scores ( $U = 141,371$ ,  $z = 6.675$ ,  $p < 0.001$ ), with  
446 dogs from puppy farm having higher mean scores (1.89) than those from other sources (1.45). Puppy  
447 farm dogs also had significant higher ‘Infectious Illness’ scores (mean= 1.26) compared to dogs from  
448 other sources (mean=1.13,  $U = 127,464$ ,  $z = 5.124$ ,  $p < 0.001$ ). Note that the difference in means for  
449 ‘Chronic Illnesses’ ( $\Delta\bar{x} = 0.44$ ) is more than three times larger than for ‘Infectious Illness’ ( $\Delta\bar{x} = 0.13$ ),  
450 suggesting the former may have a larger effect.

451

#### 452 *3.4 Analysis of new subscales and factors moderating differences in dog behaviour*

453 To confirm the validity of the Puppy Experience (PE) and Seller Experience (SE) scales, these were  
454 tested for their ability to identify dogs coming from puppy farms. A Mann-Whitney U test showed a  
455 significant difference in the score of the PE scales with dogs from puppy farms having significantly  
456 higher scores than dogs from other sources ( $U = 182,903$ ,  $z = 14.03$ ,  $p < 0.001$ ). Similarly, for the scores  
457 on the SE scales dogs from puppy farms had significantly higher scores than dogs from other sources  
458 ( $U = 162,046$ ,  $z = 15.20$ ,  $p < 0.001$ ).

459

460 The ability of the PE and SE scales to more sensitively predict changes in behavioural score than the  
461 puppy-farm/other breeding dichotomy was tested by inputting the three variables as predictors into  
462 GLMs for each subscale (control variables from the first set of regressions were not included as these  
463 complicated the interpretation of changes in coefficient/significance). Of the 14 regressions, 11  
464 explained variance in canine behavioural score better with the inclusion of the PE and SE scales,  
465 rather than just the puppy-farming variable. Of these, two subscales had all predictors significant,  
466 while six subscales were significant for both SE and PE, which caused the puppy-farming factor to

467 lose significance. Two regressions which had not been significant for puppy-farming in the first set of  
468 regressions showed significance for the SE scale (Dog Rivalry and Energy), while the Trainability  
469 scale showed significance for PE. Of the three scales which were not influenced by the inclusion of  
470 PE or SE, two regressions were non-significant for any variables (Chasing and Excitability), while  
471 Attention-seeking was significant only for the puppy-farming. As a result, the SE and PE predicted  
472 variance in most behaviours beyond that explained by puppy-farming, and in some cases replaced  
473 puppy farming as more significant and sensitive predictors (see Supplementary data).

474

475 Finally, engagement in dog walking and different types of training were tested for their ability to  
476 moderate the effects of adverse early life experience. Because the SE and PE scales were found to  
477 generally be more powerful predictors, these scales were used to investigate the interaction effects.  
478 However, because of the high number of interaction terms that would otherwise be involved, the SE  
479 and PE scales were combined into a wider “Early experience scale” (EES), which had adequate  
480 reliability ( $\alpha=0.82$ ). The final model under investigation had seven terms: four main effects  
481 (clicker/reward training, puppy training classes, walking scores, and EES) and three interaction terms  
482 (‘clicker/reward training’ x EES, ‘puppy classes’ x EES, and ‘walking’ x EES).

483

484 This model was applied to explain variance for each of the extracted factors first order factors, ‘Fear’,  
485 ‘Attachment issues’, ‘Familiar aggression’, ‘Impulsiveness’, and ‘Unfamiliar aggression’, and the  
486 overall ‘General’ factor. The ‘General’ factor was analysed using a hierarchical linear regression with  
487 three blocks (see Table 8). The first block contained the controls from previous tests, the second block  
488 contained the main effects, and the third block the interaction terms. Each block was found to  
489 significantly increase model fit, and the final model explained nearly 12% of the variance. Of the  
490 main effects in block 2, only EES and ‘Walking scale’ had a significant effect. However, in block 3  
491 the interaction term for walking had no effect, while those for the two types of training did. This  
492 suggests that while more walking reliably reduces C-BARQ score, training has no reliable effect on  
493 C-BARQ score by itself. Because the interaction terms have negative coefficients (while the main  
494 effects have positive coefficients), this suggests that for an equivalent increase in EES, increases in

495 training score (i.e. *less* training) *reduces* the effect (slope) of EES (see Figure 1). This seems counter-  
496 intuitive: we would expect *more* training to reduce the effects of negative early life experience.  
497 However, looking closely at Figure 1, it is apparent that the regression lines cross-over. Thus, the  
498 correct interpretation may not be regarding the overall ability of training to moderate the effects of  
499 poor early life experience, but rather that training only has a beneficial effect for those dogs that did  
500 *not* have poor early life experience (from the graph we can see that those who receive training  
501 actually have lower C-BARQ scores for low values of the ‘Early Experience’ scale). The reason  
502 training may appear to give worse behavioural outcomes for higher scores on the EES may simply be  
503 an artefact: the distributions of both C-BARQ and EES are skewed to the lower values; since the  
504 lower ends of the scale have more data, this is what the regression attempts to fit. Training may not  
505 uniformly alter the relationship between ‘Early Experience’ and C-BARQ score, having a (slight)  
506 beneficial effect *only* on the lower ends of the scales. However, even if training *does* have a uniform  
507 effect, the skew masks the effect of data at higher ends, so that it becomes impossible to predict  
508 whether the interaction is also valid for higher values.

509

510 [Insert Table 8 and Figure 2 here]

511

512 Because the mini C-BARQ is designed to measure a variety of behaviours an analysis of overall score  
513 may mask some interesting effects. As a result, we performed the above analysis for each of the  
514 extracted first order factors. These analyses were performed by choosing the best model fit based on  
515 the dependant variable’s distribution (‘Fear’ and ‘Unfamiliar Aggression’ were lognormal distributed,  
516 and fit with a Gaussian with log-link model, ‘Attachment’ and ‘Impulsiveness’ were normal and fit  
517 with a normal GLM, and ‘Familiar Aggression’ was very skewed and so transformed into a binary  
518 variable and analysed with a Binary logistic model). As before, control variables were included,  
519 followed by main effects and interaction terms. Table 9 presents a summary of these effects. Of  
520 particular interest is the fact that although there are broad similarities regarding which variables have  
521 an effect, there are also distinct patterns for each of the first order factors. For example, Early  
522 Experience had a significant effect across the board, while Clicker/reward training did not. However,

523 puppy classes was a highly significant moderator only for attachment issues and was borderline for  
524 impulsiveness. Interaction terms also had varying patterns of significance for each first level factor.  
525 For example, the Clicker/reward interaction with Early Experience was highly significant for  
526 impulsiveness, and borderline for Attachment, while the Puppy classes interaction was only  
527 significant for Fear and Unfamiliar Aggression.

528

529 [Insert Table 9 here]

## 530 **1. Discussion**

### 531 *4.1 Scales measuring the effects of puppy farming*

532 The first aim of this research was to investigate the validity of both established and new scales for  
533 measuring the effects of puppy farming on dog behaviour and health.

#### 534 *4.1.1 New scales*

535 The ‘Puppy Experience’ and ‘Seller Experience’ scales both had high reliability, which suggests that  
536 several factors indicative of intensive breeding tend to be present at once. These scales may be used as  
537 indicators of intensive breeding in cases where puppy farming is uncertain. Furthermore, these scales  
538 predicted overall C-BARQ score better than a simple classification of dogs into a binary puppy  
539 farm/other category, suggesting that intensive breeding might be understood as an accumulation of  
540 factors, which impact behaviour in a graded way. These two scales can also be merged into an overall  
541 score, given their high reliability when combined.

542

543 The ‘Owner Care’ scale was the only measure that had very poor reliability, and so could not be  
544 combined into an overall score. This suggests that engaging in one type of care does not predict  
545 whether the owner will participate in others. It may be fruitful to investigate whether other variables  
546 can predict levels of owner engagement, and to devise a broader dog care engagement scale (e.g. how  
547 much time does the owner spend with their dog every day, or how often they groom or play with their  
548 dog).

#### 4.1.2 Replicability and EFA of the mini C-BARQ

549  
550 This is the first replication study of the shortened version of the mini C-BARQ. Results suggest broad  
551 agreement with the results of Duffy et al. (2014) for subscale dimension, and high levels of agreement  
552 concerning their reliability. Furthermore, the mini C-BARQ yielded similar results to those of  
553 McMillan et al. (2013), who used the full questionnaire, lending further support to the validity of the  
554 scale. The way the subscales collapsed into each other in the current dimensional analysis (non-social  
555 fear with stranger directed fear, owner-directed aggression with dog rivalry, and dog-direct fear with  
556 dog-directed aggression) suggests overarching concepts: fear, for example, may generalize to  
557 strangers and objects, dog aggression may be mediated by fear, and aggression within the household  
558 may generalize to owners and dogs.

559  
560 The way in which behaviours measured by the mini C-BARQ correlate and may represent underlying  
561 latent constructs was further investigated using EFA. Although the C-BARQ, as a measure of distinct  
562 behavioural issues, was not originally designed to be averaged into overarching factors, the EFA may  
563 lend further validity to the measure. First order factors ('Fear', 'Attachment issues', 'Familiar  
564 aggression', 'Impulsiveness', and 'Unfamiliar aggression) separated neatly, suggesting that the C-  
565 BARQ investigates a set of distinct behaviours, and it also implies the C-BARQ is not reducible to a  
566 single or smaller set of items. These first order factors loaded approximately evenly onto a single  
567 higher order 'General' factor. The extraction of this general factor, and the high  $\alpha$  of the overall scale,  
568 suggests that behavioural problems in dogs tend to correlate and that the C-BARQ has been well  
569 designed to measure an overarching, multi-dimensional phenomenon. Although these correlations do  
570 not provide evidence for a causal mechanism linking behaviours, it may be interesting to consider  
571 what biological mechanism underlie the pattern of correlations extracted by the current analysis.  
572 Firstly, subscale loadings onto the first order factors make intuitive/biological sense, lending tentative  
573 validity to the extracted factor structure, and suggesting that finding a biological explanation for these  
574 correlations may be valid. Secondly, many of the behaviours in the C-BARQ concern a dog's  
575 reactivity and behavioural regulation in various contexts. If this is what is captured by the extracted  
576 General factor, then the biological mechanism which underlies this correlation may be of interest.

577 Current research suggests that HPA axis activity may be linked amygdala reactivity through the  
578 elevation of cortisol (Tottenham and Sheridan, 2010), and that early life stress may mediate increases  
579 in HPA axis activity through methylation (Bogdan et al., 2016). If behavioural and emotional  
580 reactivity are linked to HPA axis activity, this may be what underpins the correlation in dog's reactive  
581 behaviours measured by the C-BARQ and may account for the extraction of the 'General' factor.

582

583 Previous research by Svartberg and Forkman (2002) exploring dog personality using tests and  
584 behavioural observation lends tentative support for the extracted factor structure. Svartberg and  
585 Forkman also extracted five first order factors (Playfulness, Curiosity/ Fearlessness, Chase-proneness,  
586 Sociability, Aggressiveness) and one second order factor (which they interpreted as a shyness-  
587 boldness continuum). Although their extracted factors do not precisely match those extracted in the  
588 current analysis, this is partially because the types of behaviour measured, purpose, and methods of  
589 their study were so different. Despite this, there are some interesting similarities: Curiosity/  
590 Fearlessness is essentially the inverse of 'Fear', Aggression might be equivalent to 'Unfamiliar  
591 Aggression' and 'Familiar Aggression' (the latter was not studied), and Chase-proneness may loosely  
592 match 'Impulsiveness'. The link between our extracted factors and their Sociability factor is slightly  
593 less clear, although it may partially overlap with the 'Attachment difficulties' as it is a measure of  
594 positive approach to people. Their extracted factor of Playfulness does not match any of our extracted  
595 factors clearly, but this is most likely because the C-BARQ is concerned with negative problematic  
596 behaviour. Svartberg and Forkman's interpretation of their general factor as representing the  
597 'shyness-boldness' continuum also has interesting parallels to the 'General' factor in the current  
598 analysis: shyness and boldness are linked to anxiety, which is linked to HPA axis reactivity (Landgraf  
599 et al., 1999), suggesting the same biological mechanism might drive the behavioural effects in both  
600 studies.

601

602 The application of psychometric techniques to the C-BARQ has not been, to our knowledge,  
603 performed before. It may be an interesting tool to further investigate, both because it allows the C-  
604 BARQ to be summarised into a smaller set of behaviours, and because if the extracted factors are



605 found to be valid, this may provide insights into dog behaviour. This analysis also joins a growing  
606 body of literature concerning dog personality (see Wiener and Haskell, 2016).

607

#### 608 *4.3 Effects of puppy farming on dogs*

609 The second aim of this research was to investigate the behavioural and medical impact of puppy  
610 farming on dogs. The results showed that dogs raised on puppy farms have higher rates of undesirable  
611 behaviours than dogs from other breeding sources, broadly confirming previous findings by McMillan  
612 et al. (2013). However, there were some differences: the current results showed no significant effect  
613 for the 'Chasing', 'Energy', and 'Dog rivalry' subscales, while McMillan et al. (2013) showed no  
614 effect for 'Chasing' and 'Stranger-directed fear'. This is interesting, given that the strongest result (as  
615 measured by the Odds Ratio) in the current study was for Stranger-Directed fear. In fact, while the  
616 current results showed strongest effects for fear-related behaviours with dogs more than twice as  
617 likely to show fear both to strangers and to other stimuli if they came from a puppy farm, McMillan et  
618 al. (2013) reported the strongest effects for aggressive behaviours. These differences may be due to  
619 their inclusion of additional control variables (dog weight and working versus recreational role), or  
620 perhaps differences in sampling, as they only studied dogs obtained either directly from breeders or  
621 pet stores, whereas the current study had a more varied mix of dog origins. However, it also raises the  
622 possibility that these different patterns of behaviour arise because of differences between UK and US  
623 intensive breeding regimens. For example, in the UK dogs originating from puppy farms are often  
624 imported due to stricter UK legislation: they may have longer and more stressful transport conditions,  
625 an event which likely has long lasting effects, as high levels of stress in early development have been  
626 shown to influence the development of the HPA axis (Caldji et al., 2001). Furthermore, there may be  
627 differences in the way the puppies tend to be handled, which may impact their socialisation and elicit  
628 different levels of stress. One of the most common consequences of inadequate socialisation in dogs is  
629 increased fearfulness in adulthood (Scott and Fuller, 1965).

630

631 Puppy farming was also found to increase the number of medical symptoms. The higher rates of  
632 illness support evidence which shows that dogs bred in commercial establishments have higher rates

633 of genetic disorders (ASPCA), and higher rates of infectious disease such as parvovirus (“Kennel  
634 Club Puppy Farming”). There may be a larger difference between the means of puppy farm and non-  
635 puppy-farm dogs for chronic illness than for infectious illness, although these issues require further  
636 exploration.

637

#### 638 ***4.4 Investigating moderating effects of training and dog walking***

639 The third aim of this study was to investigate possible moderating factors in the behavioural outcomes  
640 of puppy farm dogs. This was investigated using the extracted five first order factors and the General  
641 factor (overall mini C-BARQ score). In the overall model, higher levels of dog walking improved  
642 behavioural outcomes, while the different types of training were significant as interaction effects. This  
643 might suggest that owners can take action to mitigate the effects of poor early life experience, but  
644 results must be interpreted carefully: the positive effect of increased walking were quite small, while  
645 the effects of training were difficult to interpret due to the nature of the interaction. The marginal  
646 effect of training is puzzling, as training has repeatedly been linked to better behavioural scores  
647 (Bennett and Rholf, 2006). This might come from the fact that training was probed using yes/no  
648 questions, whereas more graded measures of training involvement might have been more accurate.

649

650 These results were further explored with the first order factors in order to build a more fine-grained  
651 picture of the effects of moderators. The pattern of significant effects is interesting for two reasons: it  
652 provides tentative validation of the extracted factor structure due to the presence of both general  
653 effects (e.g. overall effect of Early Experience) and factor-specific effects (e.g. differential effect of  
654 ‘puppy classes’ and ‘walking’ for various behaviours), and the extracted patterns for each first order  
655 factor suggest different behavioural categories are influenced in different ways. For example,  
656 ‘Attachment Difficulties’ was most strongly affected by activities which suggested owner engagement  
657 (puppy classes, walking), suggesting that owner engagement may promote more positive dog  
658 attachment and alleviate attachment issues. Impulsiveness was the only behaviour influenced by the  
659 interaction between Clicker training and Early experience, suggesting that clicker training may be  
660 helpful in cases where a dog needs to manage impulsive tendencies, particularly where this has also

661 been influence by the dog's early life experience. Finally, it is interesting to note that the Fear and  
662 Unfamiliar Aggression factors had essentially identical patterns of influence: they both had walking  
663 significant as a main effect and the Early Experience\*Puppy classes significant as an interaction  
664 effect. This may again suggest that many aggressive behaviours towards unfamiliar people and dogs  
665 are actually rooted in fear, which would explain why both behaviours are influenced in the same way.

666

#### 667 *4.5 Synthesis of findings and implications*

668 These results concur with findings of McMillan (2017) and suggest that puppy farming produces dogs  
669 that are less suited to the family environment due to long lasting behavioural and health issues. This  
670 affects dog welfare and human-animal interaction placing vulnerable family members, such as  
671 children, at risk. However, responsible dog ownership, including moderator variables such as dog  
672 walking and training, can influence the long-term welfare outcomes for dogs bred in puppy farms.

673

674 The intensive breeding environment affects two factors influencing canine behaviour: increased stress  
675 (both of the pups and mothers) and decreased socialisation (to humans, conspecifics and  
676 environment). These have wide-ranging effects on the development of the dog's nervous system,  
677 potentially leading to dysregulation of the HPA axis, which can affect reactivity, both as a 'positive'  
678 affect (e.g. excitement, attention-seeking) or a negative affect (e.g. fear or aggression), both measured  
679 by the C-BARQ. Increased reactivity and a dysregulation of the HPA axis has been linked to a  
680 variety of mental health disorders in humans (Shea et al., 2005), suggesting that the issues raised by  
681 these practices generalize to a broader literature investigating the interaction between genetics, poor  
682 early life experience, and adult behaviour in many animal species. Studying the effects of puppy  
683 farming therefore provides an opportunity both to increase animal welfare, and to explore  
684 fundamental issues concerning behavioural development and the interaction of risk factors.

685

#### 686 *4.6 Methodological limitations and further research*

687 A variety of issues are associated with using convenience sampling and questionnaire designs.

688 Although every care was taken to publicise the questionnaire through a variety of routes in order to

689 achieve the largest pool of participants possible, it is likely that most participants had higher-than-  
690 average interest in animal welfare. The data relied on a voluntary, self-selected sample, and reflected  
691 self-reports provided by owners which may have introduced biases. Although Duffy et al. (2014)  
692 demonstrate that respondent knowledge of how the survey information might be used had no  
693 significant impact on owners' responses, it is still possible that owners' expectations influenced their  
694 answers. Future studies may wish to use more verifiable measures of outcomes. Furthermore,  
695 because the questionnaire was anonymous, there was no way of independently verifying owner's  
696 reports of the origin of their dog, which introduces potential reliability issues. For example, owners  
697 may not have consistent definitions of puppy farming, or may not be aware of the origin of their dog.  
698 However, these concerns are partially mitigated with the analysis of the 'puppy experience' and  
699 'seller experience' scales, which probed factors known by the SPCA to be indicators of puppy  
700 farming. Dogs reported as coming from puppy farms had consistently much higher scores (more  
701 indicative of intensive breeding) on these scales than dogs from other sources, suggesting that  
702 owner's report of the origin of their dog has some validity. The analysis in this study is correlational,  
703 and cannot draw any causal conclusions. This may be especially important when studying moderating  
704 factors, such as training or dog walking.

705

706 Another limitation comes from the fact this study did not control for dog weight or size, which has  
707 been linked to an increase in problem behaviours such as separation anxiety and dog directed fear  
708 (McGreevy et al., 2013), as well as scent marking (McGuire & Bemis, 2017). The analysis of which  
709 breed groups tend to come from puppy farms does suggest these may favour smaller breeds, such as  
710 those coming from the Toy and Terrier groups. However, many of the dogs were cross breeds, and so  
711 size is very difficult to determine. Although breed group was controlled for in the current analysis,  
712 this may not fully account for behavioural differences due to size, which may have biased the results.

713

714 The current study did not include any questions probing the nature of the relationship between the  
715 owner and their dog. There are several validated scales measuring this, including the Monash Dog  
716 Owner Relationship Scale (MDORS) (Dwyer et al., 2105) and the Dog Attachment Questionnaire

717 (DAQ) (Archer & Ireland, 2015). These scales may be interesting to include both as predictors of dog  
718 behaviour and also as outcomes: several studies suggest that dog behavioural characteristics may  
719 relate to the quality of relationship between dogs and their owners (Hoffman et al., 2015).

720

721 In order to causally test whether the range of negative behavioural effects of intensive breeding come  
722 about through dysregulation of the HPA axis and other neuro-endocrine systems, researchers may  
723 wish to compare measures of physiological markers such as circulating levels of cortisol, oxytocin,  
724 and heart rate at various points during the development of puppies from various sources. For example,  
725 measurements of oxytocin and stress (cortisol) may give an indication of attachment style (Atzil et al.,  
726 2011). Separation anxiety and attention seeking behaviours in dogs have both been linked to improper  
727 attachment patterns (Serpell et al., 2016), which have known links to affect regulation in humans  
728 (Mikulincer et al., 2003), and possibly HPA axis dysregulation (Kidd et al., 2013). Furthermore, HPA  
729 axis dysregulation through mechanisms such as chronic elevation of plasma corticosteroids and  
730 decreased feedback inhibition of corticotropin-releasing hormone during development may be  
731 responsible for increasing the incidence of fear and aggression-related behaviours in dogs (Braastad,  
732 1998; Weinstock, 2008). While the various negative behavioural outcomes may share patterns in their  
733 developmental triggers, more specific factors may lead to the preferential development of one type of  
734 response over the other (e.g. of fear over aggression). Given the difference in results between this  
735 study and those of McMillan (2013), it might be interesting to investigate whether there are  
736 systematic differences between the US and UK commercial breeding environments which could  
737 account for the relative higher effect on aggression in American CBE dogs compared to those from  
738 puppy farms in the UK, and whether this is reflected in canine physiological development.

739

#### 740 ***Conclusions***

741 Puppy farming has a negative effect on 11 of 14 subscales of the mini C-BARQ, and on both medical  
742 health scales measuring infectious and chronic illnesses. A variety of new measures have been  
743 developed that might help measure the effects of poor early life experience in dogs. Although walking  
744 and training were both found to have an effect on reducing mini C-BARQ score, more research is

745 necessary to determine the nature of this relation. Overall, these results confirm the impact of puppy  
 746 farming on canine behaviour and health and underline the need for tighter legislation to curb this  
 747 practice.

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**Tables and Figures:**

*Table 1: Reliability analysis for new scales and subscales*

<b>Scale Name</b>	<b>Number of items</b>	<b>Cronbach's Alpha</b>	<b>Scale retained?</b>
<b>Owner Care</b>	5	0.35	<i>No</i>
Training	2	.	<i>No</i>
Walking	2	.	<i>Yes</i>
<b>Puppy Experience</b>	10	0.78	<i>Yes</i>
<b>Seller Experience</b>	7	0.75	<i>Yes</i>
<b>Medical Symptoms</b>	8	0.71	<i>Yes</i>
Infectious Illnesses	4	0.60	<i>Yes</i>
Congenital Illnesses	4	0.63	<i>Yes</i>

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932*Table 2: Subscale reliability of the C-BARQ(s)*

<b>Subscale</b>	<b>No. items</b>	<b>Transform</b>	<b>Current <math>\alpha</math></b>	<b>Duffy (2014) <math>\alpha</math></b>
Stranger-Directed Aggression	3	Binary	0.797	0.775
Owner-Directed Aggression	3	Binary	0.839	0.886
Dog Rivalry	2	Binary	0.857	0.829
Dog-Directed Aggression	2	Binary	0.820	0.796
Stranger-Directed Fear	2	Binary	0.906	0.799
Non-Social Fear	3	Binary	0.681	0.625
Dog-Directed Fear	2	Binary	0.847	0.804
Touch Sensitivity	2	Binary	0.708	0.730
Separation Related Behaviour	3	Binary	0.750	0.767
Excitability	2	None	0.770	0.819
Attachment/Attention Seeking	2	None	0.758	0.804
Training Difficulty	3	None	0.629	0.504
Chasing	2	None	0.807	0.845
Energy Levels	2	None	0.788	0.841

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Table 3: Factor loadings following Principle axis factoring on the 14 mini C-BARQ subscales.

Subscale	1 Fear	2 Attachment issues	3 Familiar aggression	4 Impulsivity	5 Unfamiliar aggression	Communalities
Excitability		<b>0.377</b>				0.196
Stranger Aggression	0.276				<b>-0.408</b>	0.436
Owner Aggression			<b>0.694</b>			0.454
Dog Aggression					<b>-0.819</b>	0.789
Dog Rivalry			<b>0.618</b>		-0.207	0.484
Stranger Fear	<b>0.716</b>					0.513
Nonsocial Fear	<b>0.708</b>					0.515
Dog Fear	<b>0.547</b>				-0.256	0.433
Touch Sensitivity	<b>0.331</b>		0.213			0.231
Separation Anxiety		<b>0.363</b>				0.270
Attention Seeking		<b>0.612</b>				0.369
Training Difficulty				<b>0.457</b>		0.234
Chasing				<b>0.511</b>		0.284
Energy		0.256		<b>0.377</b>		0.247
<b>Cronbach's <math>\alpha</math></b>	<b>0.816</b>	<b>0.709</b>	<b>0.785</b>	<b>0.68</b>	<b>0.82</b>	

941 Loading scores below 0.2 are omitted for clarity, and loadings above 0.3 are bolded.

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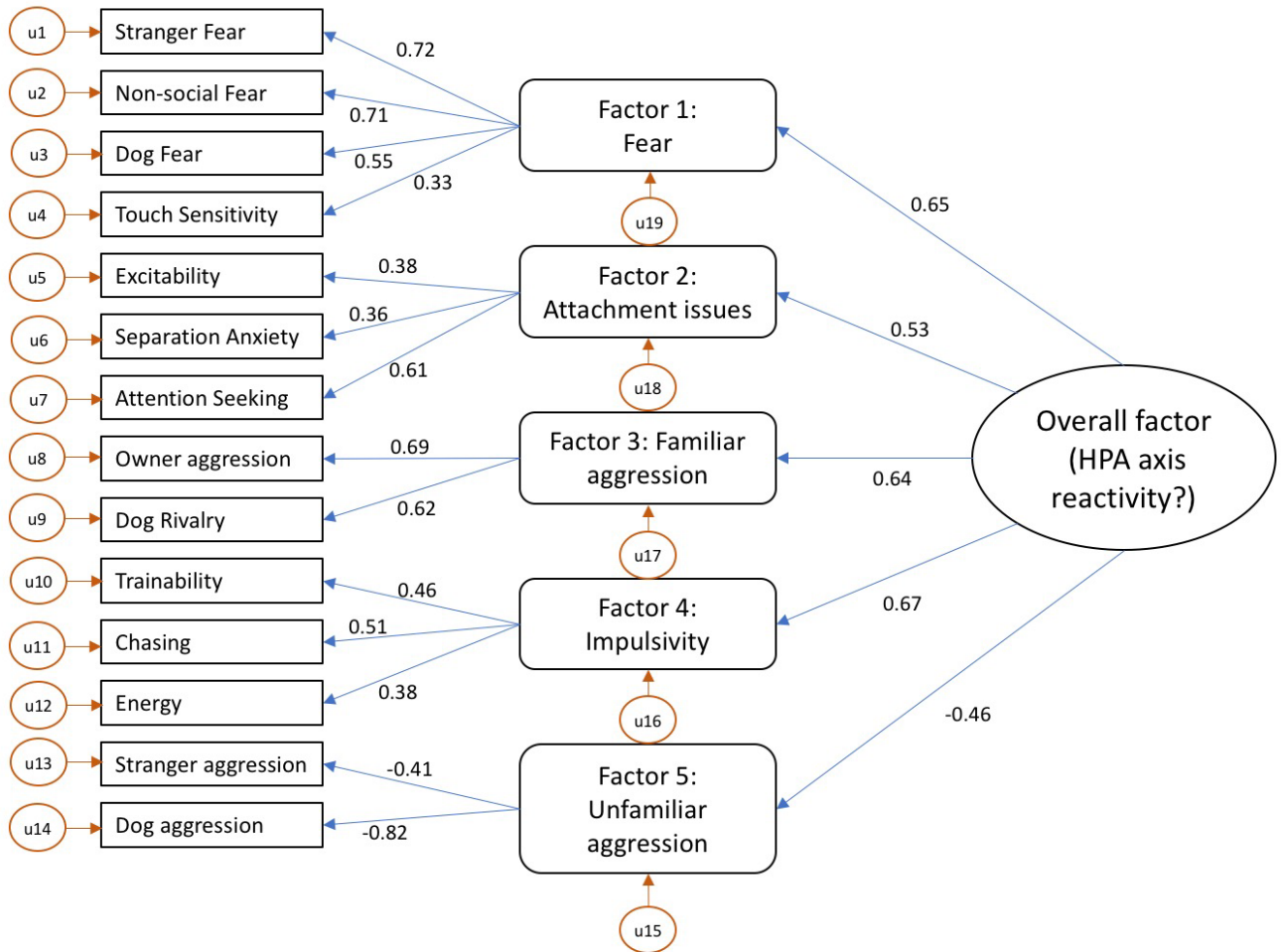
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Table 4: Factor loadings following second order Principle Axis Factoring on the five extracted first order factors.

	General Factor	Communalities
Fear	0.653	0.426
Attachment Issues	0.526	0.277
Familiar Aggression	0.639	0.409
Impulsivity	0.666	0.443
Unfamiliar aggression	-0.464	0.215

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947 Figure 1: Diagram illustrating the extracted hierarchical factor structure from the 14 C-BARQ  
 948 subscales using Principle Axis factoring. Five latent factors are extracted at the first level,  
 949 and one general latent factor is extracted at the second level. Arrows show the direction of  
 950 the effects and are labelled with factor loadings score, with error terms denoted as "u".





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*Table 5: Source of different breed groups*

<b>Breed Group</b>	<b>No. from 'other source'</b>	<b>No. from 'puppy farm' (%)</b>	<b>% of puppy farm total (n=123)</b>
Gundog	454	12 (2.6%)	9.5%
Pastoral	248	12 (4.6%)	9.5%
Working	87	5 (5.4%)	4.1%
Hound	59	5 (7.8%)	4.1%
Terrier	222	19 (7.8%)	15.4%
Toy	116	23 (16.5%)	18.7%
Utility	114	8 (6.6%)	6.5%
Cross-breed	389	37 (8.7%)	30.1%

*\*The 'wolfdog' breed group was excluded as it only had 15 dogs, and is not officially recognised by the UK Kennel Club*

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*Table 6: Summary of binary logistic regressions comparing the behavioural scores of dogs from puppy farm vs. dogs from other sources*

<b>Subscale</b>	<b>Variables controlled<sup>2</sup></b>	<b>Odds Ratio</b>	<b>95% C.I.</b>	<b>p- value<sup>3</sup></b>
Stranger-Directed Aggression	1, 2, 3, 4	1.87	1.20-2.90	0.005 *
Owner-Directed Aggression	2, 4, 5	1.92	1.24-2.98	0.004 *
Dog-Directed Aggression	1, 3, 4, 5	1.61	1.04-2.50	0.032 *
Dog Rivalry	1, 2, 4	1.59	1.00-2.51	0.048
Stranger-Directed Fear	2, 4, 5	2.16	1.48-3.20	<0.001 *
Non-Social Fear	1, 2, 3, 4	2.49	1.37-4.51	0.003 *
Dog-Directed Fear	2, 3, 4, 5	1.63	1.02-2.60	0.039 *
Touch Sensitivity	2, 3, 4	1.80	1.19-2.57	0.004 *
Separation Related Behaviour	1, 4	1.87	1.21-2.91	0.005 *

<sup>1</sup>Non-puppy farm dogs were the reference category: Odds Ratio corresponds to the odds of shifting from a score of 0 to a score above 0 on the C-BARQ for that subscale if the dog came from a puppy farm.

<sup>2</sup>All controls were included in original regression, and non-significant variables ( $p < 0.05$  level) were removed for final model estimations; 1=age, 2=neutered, 3=other dogs, 4=breed, 5=sex.

<sup>3</sup>\*= significant with Benjamini-Hochberg correction

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*Table 7: Summary of linear regressions investigating the behavioural scores of dogs from puppy farms vs. dogs from other sources*

<b>Subscale</b>	<b>Variables controlled<sup>1</sup></b>	<b>Coef. B</b>	<b>95% C.I.</b>	<b>p- value</b>	
Excitability	1, 4	0.11	0.02-0.20	0.017	*
Attachment/Attention Seeking	1, 4	0.19	0.11-0.28	<0.001	*
Training Difficulty	1, 2, 4	0.12	0.06-0.18	<0.001	*
Chasing	1, 2, 3, 4	0.07	-0.05-0.11	0.241	
Energy Levels	1, 4, 5	0.04	-0.06-0.12	0.445	

<sup>1</sup>See Table 4 for key

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Table 8: Summary of statistics for hierarchical model predicting overall C-BARQ(s) score

	R <sup>2</sup>	F (df)	Δ R <sup>2</sup>	ΔF (df)	Sig. F change
Block 1	0.042	15.406 (5, 1749)	0.042	15.406 (5, 1749)	<0.001
Block 1+2	0.104	22.502 (9, 1745)	0.062	30.090 (4, 1745)	<0.001
Block 1+2+3	0.119	19.625 (12, 1742)	0.015	9.954 (3, 1742)	<0.001

## Coefficients values (by block) in final Model

	B	95% C.I.	p-value
Age	-0.022	-0.042 to -0.003	0.023
Sex	-0.024	-0.062 to 0.014	0.212
Neuter	-0.059	-0.103 to -0.015	0.009 *
Breed	0.013	0.006 to 0.020	<0.001 *
Other dogs	-0.095	-0.139 to -0.051	<0.001 *
Early Experience	0.265	0.210 to 0.319	<0.001 *
Clicker/reward	-0.009	-0.030 to 0.012	0.410
Puppy classes	0.011	-0.009 to 0.031	0.284
Walking scale	0.060	0.038 to 0.319	<0.001 *
'Early Experience' x Clicker/reward	-0.096	-0.144 to -0.033	0.002 *
'Early Experience' x Puppy classes	-0.088	-0.150 to -0.041	0.001 *
'Early Experience' x Walking scale	-0.043	-0.101 to 0.015	0.146

\*= significant under Benjamini Hochberg Correction

Interpreting coefficients: *lower* C-BARQ scores demonstrate more desirable behaviours. Lower scores for 'Early Experience' indicates better early life experience, lower walking scores correspond to *more* walking, and lower training score indicate *more* training.

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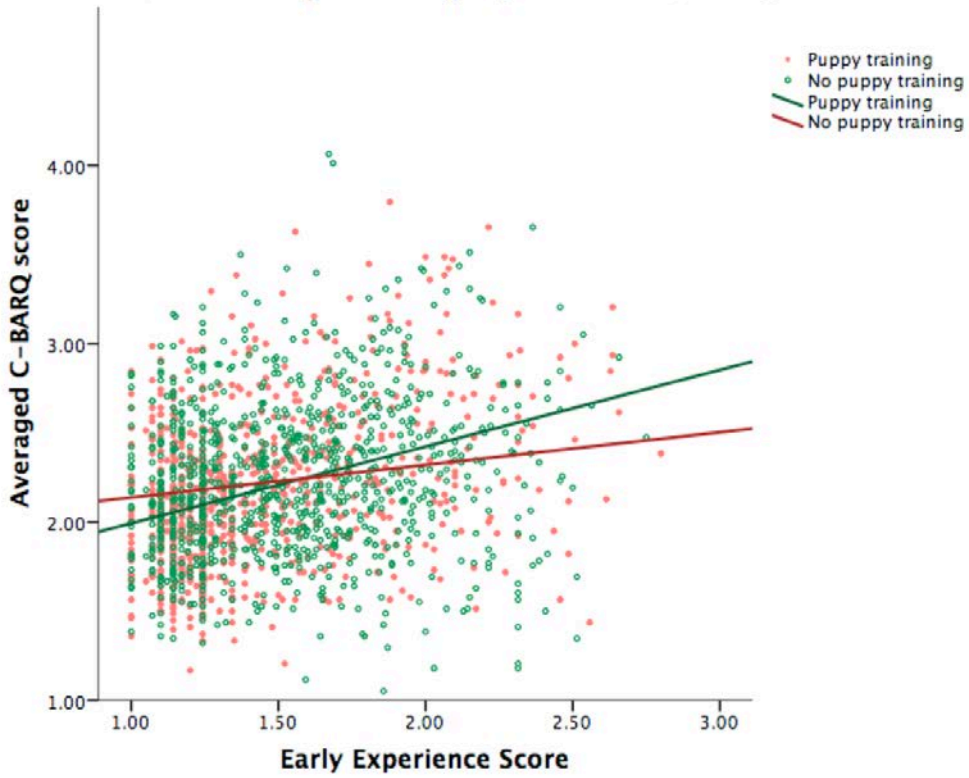
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Scatterplot with regression lines demonstrating the interaction of Puppy Class training with Early Experience on C-BARQ score



Scatterplot with regression lines demonstrating the interaction of Clicker/Reward training with Early Experience on C-BARQ score

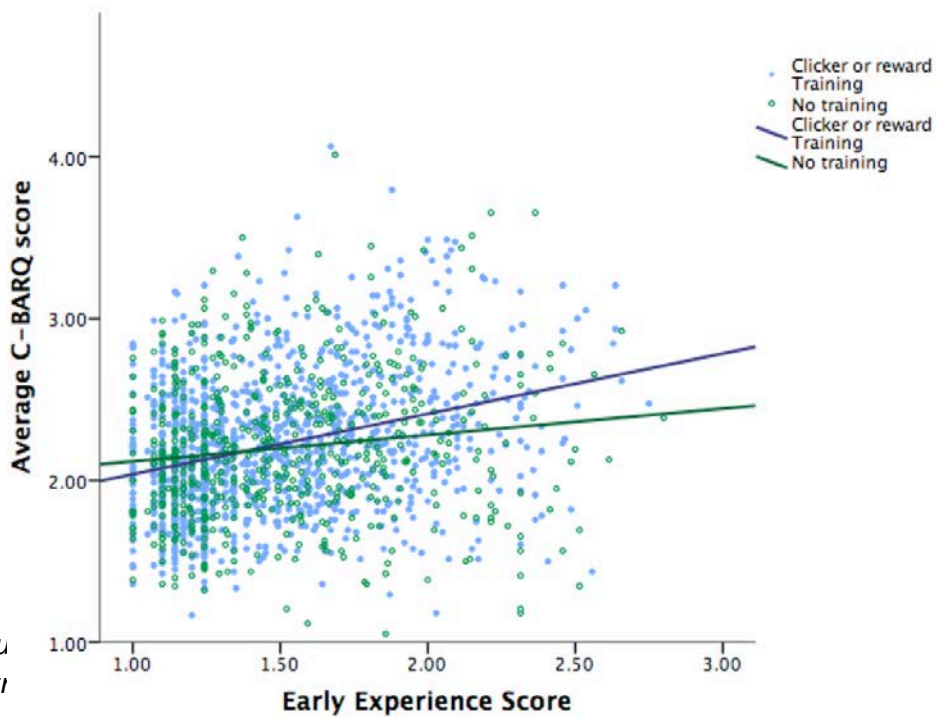


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Table 9- Summary of statistics for main effects and interaction terms for each of the extracted subscales.

	Parameter Estimates	Early experience	Clicker/reward training	Puppy classes	Walking scale	Clicker/reward	EE * Puppy classes	EE* Walking scale
Factor 1- Fear <sup>1</sup>	B	0.225	-0.007	-0.003	0.037	-0.029	-0.077	-0.018
	95% C.I.	0.181	-0.024	-0.019	0.019	-0.074	-0.121	-0.064
	p-value	0.269	0.009	0.013	0.054	0.016	-0.033	0.029
		<b>&lt;0.001*</b>	0.380	0.72	<b>&lt;0.001*</b>	0.200	<b>&lt;0.001*</b>	0.460
Factor 2- Attachment Difficulties <sup>2</sup>	B	0.266	-0.007	0.061	0.070	-0.092	-0.05	-0.01
	95% C.I.	0.185	-0.038	0.032	0.038	-0.175	-0.131	-0.096
	p-value	0.348	0.024	0.091	0.102	-0.010	0.031	0.076
		<b>&lt;0.001*</b>	0.639	<b>&lt;0.001*</b>	<b>&lt;0.001*</b>	<b>0.029</b>	0.225	0.820
Factor 3- Familiar Aggression <sup>3</sup>	B	0.575	-0.066	0.015	0.186	0.122	-0.25	0.129
	95% C.I.	0.286	-0.178	-0.091	0.074	-0.171	-0.537	-0.171
	p-value	0.864	0.047	0.121	0.298	0.415	0.038	0.430
		<b>&lt;0.001*</b>	0.252	0.784	<b>0.001*</b>	0.413	0.089	0.399
Factor 4- Impulsiveness <sup>2</sup>	B	0.118	-0.01	0.032	-0.007	-0.135	-0.01	-0.1
	95% C.I.	0.031	-0.043	0.001	-0.041	-0.223	-0.097	-0.192
	p-value	0.205	0.023	0.063	0.027	-0.046	0.076	-0.008
		<b>0.008*</b>	0.542	<b>0.045</b>	0.676	<b>0.003*</b>	0.815	<b>0.033</b>
Factor 5- Unfamiliar Aggression <sup>1</sup>	B	0.165	0.006	-0.013	0.061	-0.046	-0.092	-0.003
	95% C.I.	0.116	-0.013	-0.030	0.042	-0.096	-0.141	-0.055
	p-value	0.214	0.024	0.005	0.080	0.005	-0.042	0.049
		<b>&lt;0.001*</b>	0.541	0.153	<b>&lt;0.001*</b>	0.074	<b>&lt;0.001*</b>	0.902

<sup>1</sup>Analysed using a mixed model GLM with Gamma Log link, <sup>2</sup>Analysed using a mixed model GLM with normal distribution, <sup>3</sup> Analyzed using a mixed model Binary Logistic GLM  
 Values in bold are below p=0.05, starred values are significant under Benjamini Hochberg correction

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