REVIEW

Signaling pathways and steroid receptors modulating estrogen receptor α function in breast cancer

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Estrogen receptor α (ER) is the major driver of ∼75% of breast cancers, and multiple ER targeting drugs are routinely used clinically to treat patients with ER[+] breast cancer. However, many patients relapse on these targeted therapies and ultimately develop metastatic and incurable disease, and understanding the mechanisms leading to drug resistance is consequently of utmost importance. It is now clear that, in addition to estrogens, ER function is modulated by other steroid receptors and multiple signaling pathways (e.g., growth factor and cytokine signaling), and many of these pathways affect drug resistance and patient outcome. Here, we review the mechanisms through which these pathways impact ER function and drug resistance as well as discuss the clinical implications.

Breast cancer is now the most common cancer diagnosed in the United States, with an estimated 266,120 new cases of invasive breast cancer to be diagnosed in women in the United States in 2018 and an estimated 40,920 breast cancer deaths (https://www.cancer.org/content/dam/cancer-org/research/cancer-facts-and-statistics/annual-cancer-facts-and-figures/2018/cancer-facts-and-figures-2018.pdf). The lifetime risk of developing breast cancer is now one in eight for women (Kohler et al. 2015). Approximately 75% of breast tumors are driven by the estrogen receptor α (ERα; referred to here as ER)-mediated transcriptional activity. A number of established endocrine therapies exist, including selective ER modulators (SERMs) such as tamoxifen, selective ER down-regulators (SERDs) such as fulvestrant, and aromatase inhibitors (AIs) such as letrozole, anastrazole (nonsteroidal AI), and exemestane (steroidal AI). More recently, the targeting of cell cycle progression with cyclin-dependent kinase 4/6 (CDK4/6) inhibitors in combination with anti-estrogen therapy

has become the first line standard of care in de novo or recurrent metastatic disease. However, despite standard endocrine therapy, >20% of patients with early stage disease develop resistance to anti-estrogens and relapse with incurable metastatic disease (Mauri et al. 2006; Early Breast Cancer Trialists' Collaborative Group [EBCTCG] 2011). While many different mechanisms of resistance have been described, recent data show that 11%–55% of metastatic cancers have point mutations in the ligand-binding domain of ER, especially in amino acids Y537 and D538, generating a constitutively active ER that is less dependent on estrogen for activity (Merenbakh-Lamin et al. 2013; Robinson et al. 2013; Toy et al. 2017). Compared with wild-type ER, mutant ER is resistant to estrogen deprivation and is less responsive to tamoxifen or fulvestrant. This highlights the pivotal role of the ER pathway in driving breast tumor progression as well as its clinical importance in late stage disease.

The activity of wild-type ER is largely controlled by the availability of estrogens, which bind to the ER ligand-binding domain and mediate homodimerization and binding of the receptor complex to chromatin, usually at distal regulatory enhancer sites. However, it is becoming increasingly clear that growth factors and signaling molecules from the tumor microenvironment play important roles in the progression of ER[+] breast cancer, and many of these signaling pathways directly impact ER transcriptional activity and function. This has clinical implications and suggests that targeting these pathways may provide opportunities for the treatment of ER[+] breast cancer patients. Here, we review the established molecular connections between the ER and signaling pathways initiated by growth factors, hormones, and cytokines from the tumor microenvironment and discuss the clinical opportunities raised by this insight.

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Modulation of ER function by phosphorylation
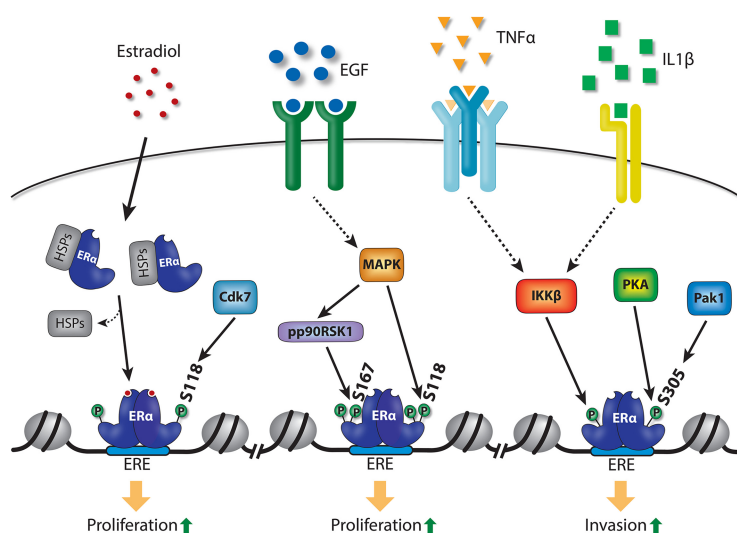
Growth factors

Canonical activation of ER involves binding of estrogens such as estradiol to the ligand-binding domain, resulting in structural changes in ER and cofactor recruitment. However, it is well established that post-translational modifications such as phosphorylation, acetylation, SUMOylation, methylation, and ubiquitination can also modulate ER activity through multiple different mechanisms (Le Romancer et al. 2011). Phosphorylation of ER in particular is well described and plays an important role in ER activation—in some cases, in a ligand-independent manner (Anbalagan and Rowan 2015). The N terminus of the receptor is particularly highly phosphorylated by multiple different kinases, and these phosphorylation events can modulate ER activity (Le Goff et al. 1994; Kato et al. 1995; Joel et al. 1998b). Phosphorylation of Ser118 (S118) is one of the most well-characterized ER phosphorylation events, and phosphorylation of this residue is mediated through Cdk7 upon activation of ER by estradiol (Fig. 1; Chen et al. 2000, 2002; Harrod et al. 2017). Growth factors such as epidermal growth factor (EGF) can also induce phosphorylation of S118 through mitogen-activated protein kinase (MAPK), thereby activating ER independently of estrogens (Fig. 1; Kato et al. 1995; Bunone et al. 1996; Chen et al. 2002). More recently, EGF-induced S118 phosphorylation has been suggested to increase breast cancer cell proliferation by activating a specific ER chromatin-binding profile through cooperation with different transcription factor complexes, including AP-1 transcription factors and the pre-B-cell leukemia transcription factor 1 (PBX1) (Lupien et al. 2010; Magnani et al. 2015). This implies that growth factor activation of ER can alter the binding potential and target genes of this transcription factor complex, and, in some cases, this can occur in the absence of estrogen stimulation.

The recently described Y537 and D538 ER mutants are constitutively phosphorylated on S118 by Cdk7 in an estrogen-independent manner, and this phosphorylation event is likely to play an important role in regulating the activity of the mutant receptors (Harrod et al. 2017; Jeselsohn et al. 2018). This highlights the importance of this phosphorylation event for ER activity and indicates that phosphorylation of S118 may play an important role in drug-resistant metastatic disease by potentiating transcriptional activity of mutant ER-driven cancer. Importantly, the Cdk7 inhibitor THZ1, which inhibits general RNA polymerase II-mediated transcription (as well as S118 phosphorylation of ER), suppresses growth in MCF7 breast cancer cells expressing either wild-type or mutant ER (Harrod et al. 2017; Jeselsohn et al. 2018). Although THZ1 is not specific for mutant ER and instead blocks a component of the general transcription machinery, it reveals a potential avenue for pharmacological intervention in patients with ER mutations.

In addition to S118, S167 is another major phosphorylation site in the N terminus of ER, which has been shown to be important for ER-mediated transcriptional activation (Joel et al. 1998a; Becker et al. 2011; Anbalagan and Rowan 2015). Phosphorylation of ER on this residue is induced by phosphatidylinositol 3-kinase (PI3K)/AKT/ mammalian target of rapamycin (mTOR) and MAPK signaling in response to hormones such as insulin, insulin-like growth factor (IGF), and EGF (Joel et al. 1998a; Campbell et al. 2001; Yamnik et al. 2009; Yamnik and Holz 2010; Becker et al. 2011; Held et al. 2012). Interestingly, ectopic expression of AKT has been shown to redistribute the ligand-dependent binding of ER to chromatin, presumably through S167 phosphorylation of the receptor (Bhat-Nakshatri et al. 2008), thereby further supporting the notion that phosphorylation of ER can modulate receptor binding and target gene activation.

In addition to the N terminus, the hinge region of ER has also been shown to be phosphorylated at S305 by protein kinase-A (PKA) (Michalides et al. 2004) and Pak1 (Fig. 1; Wang et al. 2002). Phosphorylation of ER on S305 was shown to activate the receptor in the absence of estradiol (Wang et al. 2002) and drives receptor activity that is refractory to tamoxifen inhibition (Michalides et al. 2004).

Figure 1. Activation of ER by phosphorylation induced by growth factor and cytokine signaling pathways. Estradiol can induce dimerization of ER and binding of the dimer to ER response elements (EREs) in chromatin, and, from these sites, ER drives a proproliferative gene program. In addition, multiple growth factor and cytokine signaling pathways can induce phosphorylation of ER at S167, S118, or S305, which can also activate the receptor and drive it onto chromatin in the absence of estradiol, thereby promoting cell proliferation.

Using a phospho-specific antibody for transcription factor mapping (chromatin immunoprecipitation [ChIP] followed by deep sequencing [ChIP-seq]) analysis, PKA-mediated ER phosphorylation on S305 has been suggested to induce receptor binding to a distinct set of binding sites that are not typically seen following estradiol-induced ER binding, implying that these are nonclassic regulatory sites. It was suggested that this mechanism can mediate tamoxifen resistance, partly through expression of the oncogene c-*MYC* (de Leeuw et al. 2013). Together, this demonstrates that phosphorylation of ER can modulate receptor binding and activity in a ligand-independent and nonclassical manner.

Cytokines

The tumor microenvironment is composed of a complex ensemble of cell types, including fibroblasts, fat cells, and immune cells such as macrophages, neutrophils, and T cells (Artacho-Cordon et al. 2012). These cells play an important role in breast cancer progression. As an example, Finak et al. (2008) identified a gene signature from the stromal compartment of breast cancer patients that predicts outcome independent of other tumor markers such as ER and HER2. Paracrine signaling is likely to be the major mechanism through which stromal cells affect tumor cell function, as stromal cells secrete a variety of signaling molecules, including hormones and inflammatory cytokines, many of which have been shown to be associated with tumor progression in breast cancer patients, where they impinge on the function and phenotype of cancer epithelial cells. For example, coculture of fibroblasts with breast cancer cells has been shown to decrease expression of ER in the cancer cells (Brechbuhl et al. 2017; Huang et al. 2017; Morgan et al. 2018) and activate potent growth factor pathways (e.g., AKT and MAPK), thereby modulating the response of the epithelial cancer cells to anti-estrogen treatment (Brechbuhl et al. 2017; Huang et al. 2017). Furthermore, the adipokines leptin and interleukin-6 (IL-6) have been shown to be associated with increased tumor size and metastasis in ER$^+$ breast cancer patients (Madeddu et al. 2014). However, the molecular mechanisms underlying potentially causal effects of paracrine signaling from the stromal cells in the tumor microenvironment on breast cancer progression are only starting to emerge and appear to involve modulation of ER action through different mechanisms (see also "Redirection of ER Function by Transcription Factors Downstream from Hormone and Cytokine Signaling").

It has been shown recently that the cytokines tumor necrosis factor α (TNFα) and IL-1β, which can be produced by multiple different cell types, including immune cells such as macrophages, can induce phosphorylation of S305 on ER (Stender et al. 2017). Cytokine-induced phosphorylation of this residue is mediated by inhibitor of nuclear factor κ B kinase subunit β (IKKβ), rather than PKA or Pak1 (which have been shown previously to phosphorylate S305). Cytokine-induced S305 phosphorylation of ER results in ER binding to a subset of binding sites typically seen following estradiol induction, and the target genes

regulated by cytokine-induced phosphorylation of S305 represent a subset of estradiol-induced genes (Stender et al. 2017). Importantly, the cytokine-induced gene program in MCF7 breast cancer cells can induce extravasation, an important part of the metastatic process, and this occurs through ER but independently of estradiol (Stender et al. 2017). Consistently, overexpressing a constitutive active form of IKKβ, which is a key kinase downstream from TNFα, increases invasion in vitro and in vivo in the presence of estradiol (El-Shennawy et al. 2018). Interestingly, MCF7 breast cancer cells also become resistant to tamoxifen in the presence of these cytokines, showing that extracellular stimuli, in the form of specific cytokines, can modulate drug responsiveness.

Transforming growth factor β (TGFβ) is another cytokine secreted by cancer cells as well as stromal cells in the tumor microenvironment such as fibroblasts and immune cells (e.g., macrophages and leukocytes) and is known to play an important role in tumor progression in many different cancer types (Papageorgis and Stylianopoulos 2015). TGFβ appears to have a dual function, where it represses early tumor growth but promotes metastasis in late stage disease. This takes place both through direct effects on the tumor cells (e.g., TGFβ can induce epithelial-to-mesenchymal transition [EMT]) (Deckers et al. 2006) and by modulating the tumor microenvironment (e.g., by inducing an immunosuppressive environment) (Papageorgis and Stylianopoulos 2015). Canonical TGFβ signaling induces phosphorylation of the transcription factors SMAD2 and SMAD3, which then associate with SMAD4 to activate TGFβ target genes. TGFβ signaling inhibits ER function in a SMAD4-dependent manner (Wu et al. 2003; Ren et al. 2009). Consistent with a repressive function of SMAD4 on ER function, the association between these proteins is induced by anti-estrogens such as tamoxifen (Wu et al. 2003). In the absence of SMAD4, activation of SMAD3 by TGFβ enhances ER activity (Wu et al. 2003; Ren et al. 2009). Thus, although the precise mechanisms through which these SMADs regulate ER activity are not clear, these findings indicate that TGFβ signaling regulates breast cancer progression at least partly by directly regulating ER function. Furthermore, ER also inhibits TGFβ signaling, which is likely to be another important mechanism through which cross-talk between these pathways regulates breast cancer progression (Band and Laiho 2011).

Taken together, this illustrates the potential power of cytokine secretion from cells in the tumor microenvironment to alter ER activity and endocrine responsiveness in breast cancer. It also suggests that targeting these pathways may provide novel approaches for treating resistant ER$^+$ breast cancer. Validating these mechanisms in vivo and in patient samples will be an important next step to investigate the translatability of these findings.

Effect of drugging growth factor and cytokine pathways in ER$^+$ breast cancer

The PI3K/AKT/mTOR pathway is commonly mutated in both primary and recurrent ER$^+$ breast cancer (The Cancer

Genome Atlas Network 2012; Ciruelos Gil 2014; Yates et al. 2017) and regulates activation of ER through phosphorylation as described above, and activation of this pathway can lead to acquired endocrine resistance (Campbell et al. 2001; Vivanco and Sawyers 2002; Miller et al. 2010; Sanchez et al. 2011; Cavazzoni et al. 2012). Consequently, drugs directed against these pathways have been assessed in clinical trials in combination with ER targeting compounds. Preclinical data in a breast cancer cell line and murine xenograft models demonstrating anti-proliferative effects of these drugs suggest that this approach is viable (Boulay et al. 2005; Crowder et al. 2009; Ghayad et al. 2010; Guichard et al. 2015).

EGFR inhibitors The utility of the EGFR tyrosine kinase inhibitors (TKIs) gefitinib and lapatinib, in combination with endocrine therapy for the management of de novo or recurrent metastatic breast cancer, has been investigated in both the first and second line setting in four separate studies. With the exception of one study (Cristofanilli et al. 2010), no significant progression-free survival (PFS) improvements have been reported with EGFR TKIs (Osborne et al. 2011; Carlson et al. 2012; Burstein et al. 2014). Paradoxically, in the small phase II study where a PFS benefit was observed (Cristofanilli et al. 2010), the objective response rate was numerically lower with the EGFR TKI plus AI combination (2%) compared with the AI plus placebo (12%), further indicating that endocrine resistance is not delayed by EGFR inhibition.

mTOR inhibitors Inhibition of the mTOR pathway has received substantial attention in recent years, due to the development of inhibitors and the preclinical data functionally linking mTOR activity in ER$^+$ disease. Clinical trial evidence culminated in the approval of everolimus (an inhibitor of the mTOR complex 1) in combination with exemestane for the treatment of nonsteroidal AI-resistant metastatic ER$^+$ breast cancer. In the BOLERO-2 study, a double-blind phase III trial (Yardley et al. 2013), investigator-assessed PFS (the primary end point) was more than doubled with the addition of everolimus alone (7.8 mo for everolimus compared with 3.2 mo for placebo; hazard ratio [HR] 0.45; 95% confidence interval [CI]; 0.38–0.54). However, median overall survival (OS) was not significantly improved when everolimus was combined with exemestane: 31 mo compared with 26.6 mo with placebo plus exemestane (HR 0.89; 95% CI; 0.73–1.10; $P = 0.14$) (Piccart et al. 2014). The phase 2 BOLERO-4 study then explored the utility of combining everolimus with an AI as first line treatment for ER$^+$ HER2$^-$ advanced cancer, yielding a median PFS of 22.0 mo (95% CI; 18.1–25.1 mo) (Royce et al. 2018). While this is potentially impactful, cross-trial comparisons with studies of newer therapeutics, such as CDK4/6 inhibitors, in combination with AIs can be made only cautiously due to the single-arm open-label design of the BOLERO-4 study. Interestingly, retrospective evidence has now established the use of everolimus and exemestane as second line therapy following progression on CDK4/6 inhibitors plus AIs—the now

established first line therapy for metastatic ER$^+$ HER2$^-$ metastatic breast cancer (Dhakal et al. 2018).

AKT inhibitors Given that AKT has been shown to activate ER through phosphorylation of S167 (Campbell et al. 2001) and that increased AKT activity is found in 20%–55% of breast cancers (Altomare and Testa 2005), which is associated with reduced OS in patients with ER$^+$ cancer treated with tamoxifen (Kirkegaard et al. 2005), the impact of targeting AKT is also being explored. Preclinical evidence for combining AIs and AKT inhibitors in anastrozole-resistant cancer cells (Vilquin et al. 2013) has lead to the initiation of a clinical trial using this combination in the AI-resistant setting (NCT01344031) (Ma et al. 2016). Other novel AKT inhibitors such as AZD5363 (Banerji et al. 2018) are in early phase clinical trials.

PI3K inhibitors Clinical trials assessing the pan-class 1 PI3K inhibitors buparlisib (BKM120) and pictilisib (GDC-0941) were limited by prohibitive dose-limiting toxicity and lack of efficacy, resulting in marginal PFS benefits in a cohort of AI-pretreated post-menopausal women with metastatic breast cancer (Krop et al. 2016; Baselga et al. 2017). More promisingly, the α-specific PI3K inhibitors alpelisib (BYL719) and taselisib (GDC-0032) have demonstrated efficacy in early phase trials and shown modest toxicity. While the results of the placebo-controlled phase III SOLAR-1 trials evaluating the potential improvement in PFS with the addition of alpelisib to fulvestrant (NCT02437318) among patients with ER$^+$ metastatic breast cancer are awaited, results from the SANDPIPER trial using taselisib have now been reported (Baselga et al. 2018). A PFS benefit of only 2 mo was observed with the combination of taselisib plus fulvestrant (7.4 mo), compared with placebo plus fulvestrant (5.4 mo) in a population of patients harboring PIK3CA mutations (HR 0.7; $P = 0.0037$). In addition, this small benefit came at the expense of significant toxicity, with 32% of patients experiencing serious adverse events. The concept of targeting PIK3CA remains critically important, but recent data highlight the need to develop more α-specific targeted agents to maximally exploit this combination. Additionally, resistance to these α-specific inhibitors through acquisition of mutations in PTEN have already been described (Juric et al. 2015), potentially further limiting the clinical utility of these drugs.

Mechanism of action of PI3K/AKT/mTOR targeting drugs The finding that some of the PI3K/AKT/mTOR inhibitors described above show efficacy in ER$^+$ breast cancer has increased focus on the underlying mechanism of action. The fact that the PI3K/AKT/mTOR pathway has been shown to regulate ER activity directly through phosphorylation as described above raises the question of whether PI3K/AKT/mTOR targeting drugs inhibit tumor growth by directly modulating ER activity. Unexpectedly, in preclinical models, the α-specific PI3K inhibitor alpelisib was found to redistribute chromatin binding of the ER complex, thereby increasing ER activity (Toska et al.

2017), in stark contrast to what would be predicted from inhibition of an activating PI3K pathway. In contrast to this finding, we showed recently that the two mTOR inhibitors everolimus (inhibitor of mTOR complex 1) and vistusertib (AZD2014; inhibitor of mTOR complexes 1 and 2) do not affect binding of ER to chromatin despite robust inhibition of the mTOR pathway. This indicates that these drugs likely function through an ER-independent mechanism to control tumor growth. Indeed, this is consistent with findings from the BOLERO-3 study (Andre et al. 2014) and subsequent BOLERO-1 study (Hurvitz et al. 2015), where benefit from everolimus, when combined with trastuzumab (HER2 monoclonal antibody) and chemotherapy in HER2-positive breast cancer patients, was, in fact, observed to be greater in ER-negative patients compared with ER$^+$ patients. This questions the direct impact of mTOR inhibition on ER transcriptional activity in ER$^+$ disease.

Taken together, this raises two important points. First, given that many of the drugs targeting the PI3K/AKT/mTOR pathway show some efficacy in ER$^+$ breast cancer, it is critical to delineate the precise mechanisms through which these drugs inhibit tumor growth. An essential part of this is to clarify whether these inhibitors genuinely modulate ER activity or are general cell cycle regulatory compounds. This information is required for rational design of combinatorial strategies to treat ER$^+$ breast cancer patients. Second, due to the generally modest clinical efficacy of assessed PI3K/AKT/mTOR targeting drugs, it is important to evaluate the role and clinical utility of PI3K/AKT/mTOR inhibition compared with newer treatment modalities, such as CDK4/6 inhibition, that are rapidly altering the treatment landscape for ER$^+$ breast cancer. Currently, beside PIK3CA mutational status (Mayer et al. 2017; Baselga et al. 2018), no biomarkers are used in the clinic to stratify patients to receive inhibitors of the PI3K/AKT/mTOR pathway, and attempts to identify such biomarkers have had limited success (Chandarlapaty et al. 2016; Hortobagyi et al. 2016). A significant requirement for maximal exploitation of PI3K/AKT/mTOR inhibitors is therefore the identification of specific biomarkers that are predictive of response to these compounds.

Cytokine pathway inhibitors   The potential for targeting cytokine pathways therapeutically is highlighted by a wealth of preclinical evidence supporting the capacity for cytokine secretion to not only promote growth, proliferation, and metastatic potential but also alter ER activity and endocrine responsiveness.

For example, given that activation of the nuclear factor κB (NF-κB) pathway has been implicated in endocrine resistance and poor outcomes in ER$^+$ breast cancer (Oida et al. 2014), the role of combining anti-NF-κB and endocrine therapy has been explored. Bortezomib, a proteasome inhibitor that blocks the NF-κB pathway, was added to either an AI or tamoxifen in a small single-arm phase II study to investigate whether endocrine responsiveness could be reestablished in a cohort of relapsed patients with progressive and measurable disease (Trinh et al. 2012). While no

objective responses were observed, a clinical benefit rate of 22% was reported; however, this came at the expense of significant gastrointestinal toxicities.

Accumulating evidence also suggests that inhibition of the receptor activator of NF-κB ligand (RANKL), which activates the NF-κB pathway, not only increases bone mass and strength but also has anti-tumor effects. Preclinically, RANKL inhibition decreases mammary carcinogenesis and reduces metastatic tumor burden in bone and other tissues (de Groot et al. 2018). Denosumab, an antibody targeting RANKL, is licensed to treat osteoporosis and prevent skeletal-related events in patients with breast cancer bone metastases (Gnant et al. 2015) and has been shown recently to improve disease-free survival in patients (Gnant et al. 2018). Conversely, however, in the recently reported D-Care study, adjuvant denosumab failed to reduce rates of breast cancer recurrence or death in higher-risk patients receiving optimal loco-regional and "standard of care" systemic adjuvant therapy (Coleman et al. 2018).

Overall, these early signs of activity indicate that exploration of inhibition of cytokine pathways in ER$^+$ breast cancer patients warrants further clinical investigation.

Redirection of ER function by transcription factors downstream from hormone and cytokine signaling

It has been demonstrated in breast cancer patient samples that differential binding profiles for ER are associated with clinical outcome (Ross-Innes et al. 2012). It has been hypothesized that the differential ER genomic binding patterns dictate activation of distinct target genes that are associated with treatment response. This highlights the functional importance of ER–chromatin interactions for tumor progression and the need for delineating the factors and pathways that influence ER binding to chromatin.

ER functions as part of a large transcriptional complex involving multiple transcription factors, including its pioneer factor, FOXA1 (Hurtado et al. 2011), as well as other cooperating factors; e.g., PBX1 (Magnani et al. 2011), the transcription factor AP-2γ (Tan et al. 2011), and GATA-binding protein 3 (GATA3) (Theodorou et al. 2013). Many of these modulate the activity of the ER pathway by directly affecting binding of ER to chromatin; e.g., by modulating the local accessibility of the chromatin, as has been suggested for FOXA1 (Hurtado et al. 2011) and PBX1 (Magnani et al. 2011, 2015).

The finding that multiple cytokines and hormones are associated with the outcome in ER$^+$ breast cancer suggests that the downstream effectors of these stimuli may also modulate ER function. Indeed, it has been shown that a cocktail of cytokines and growth factors, including IL6, TNFα, IGF-1, and EGF, can redistribute binding of ER and its pioneer factor, FOXA1, to different sites even in the presence of estrogen (Ross-Innes et al. 2012). This suggests that the cytokine/hormone-induced redistribution of ER binding may contribute to altered transcriptional activity and therefore may play a functional role in tumor progression.

TNFα-mediated redistribution of ER binding through NF-κB

The role of TNFα in modulating ER binding to chromatin has been characterized recently in more detail at selected loci (Pradhan et al. 2012) and genome-wide (Franco et al. 2015). TNFα signaling drives phosphorylation and subsequent degradation of the repressor IκB, which releases the transcription factor complex NF-κB, which subsequently translocates to the nucleus and binds to target regions in chromatin (Hayden and Ghosh 2008). TNFα signaling has been shown to inhibit breast cancer cell proliferation induced by estradiol both in vitro and in vivo. Franco et al. (2015) showed that activation of NF-κB by TNFα redirects ER to a subset of NF-κB-binding sites, and this leads to increased production of noncoding enhancer RNA (eRNA) transcripts from these sites, which has been linked previously to enhancer activation by ER (Fig. 2A; Hah et al. 2013; Li et al. 2013; Lam et al. 2014). Importantly, this increase in enhancer activity is associated with activation of nearby protein-coding genes, which are associated with clinical outcome in breast cancer pa-

tients (Franco et al. 2015). This indicates that targeting of this pathway may have therapeutic potential. This mechanism of cooperativity between NF-κB and ER is consistent with detailed molecular analysis of the baculoviral inhibitor of apoptosis (IAP) repeat-containing 3 (*BIRC3*) target gene, showing that TNFα-induced binding of NF-κB to the *BIRC3* promoter primes it for ER binding, which in turn potentiates TNFα-induced activation of *BIRC3* (Pradhan et al. 2012). Taken together, this illustrates how signaling molecules from the tumor microenvironment can ultimately activate new enhancers and target genes in the tumor cells by co-opting ER function.

Although TNFα can activate ER by phosphorylation in the absence of ER ligand, redistribution of ER binding to new enhancers by TNFα has been demonstrated only in the presence of estrogen-liganded ER (Franco et al. 2015; Stender et al. 2017). It is currently unclear whether NF-κB can redistribute tamoxifen-bound ER and whether ER will occupy these new NF-κB-primed ER-binding sites in response to TNFα in the absence of estrogens (e.g., in breast cancer patients successfully treated with AIs). However, some patients relapsing on AIs will have a
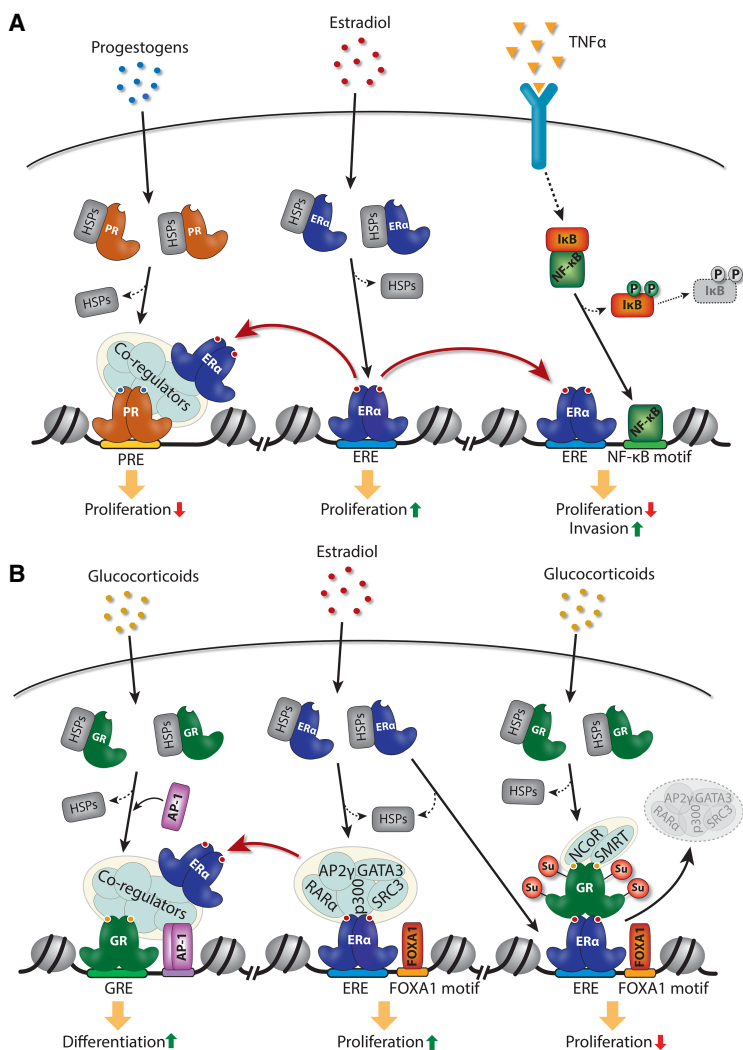
Figure 2. Redistribution of ER chromatin binding by other transcription factors. (*A*) Redistribution of ER binding to chromatin by progestogens and the cytokine TNFα through activation of progesterone receptor (PR) and NF-κB, respectively. (*B*) Modulation of ER binding and activity by glucocorticoids through activation of glucocorticoid receptor (GR).

functional ER pathway, such as those with the Y537 and D538 mutations in the ligand-binding domain of ER, which renders the receptor constitutively active (Robinson et al. 2013). It will be important to determine whether binding of mutant ER is also redistributed by TNFα, which would indicate that TNFα might also regulate ER function in the metastatic setting.

Cross-talk between ER and other steroid hormone receptors

Progesterone receptor (PR) Although ER is the driving transcription factor in ER$^+$ breast cancer, other steroid receptors have been shown to affect tumor progression by modulating ER function—most notably the PR, which is expressed in ~75% of ER$^+$ breast cancer. *PGR* is a classical ER target gene (Horwitz and McGuire 1978), and PR expression therefore has been used historically as a biomarker of an active ER pathway, which is predictive of patient outcome (Blows et al. 2010; Purdie et al. 2014). However, it is becoming increasingly clear that PR plays a more direct functional role in controlling progression of established tumors. Progestogens (i.e., natural and synthetic compounds that activate PR) have been shown in multiple studies to inhibit breast tumor growth in both preclinical models and patients (for review, see Carroll et al. 2017). More recently, our laboratory described the mechanism underlying the inhibitory effect of progestogens on tumor growth. This involves PR-directed redistribution of ER to PR-binding sites through an ER response element (ERE)-independent mechanism involving tethering of ER to chromatin through PR (Fig. 2A; Mohammed et al. 2015). This effectively sequesters ER away from its proproliferative gene targets, thereby inhibiting growth. This indicates that redirecting ER binding around the genome by progestogens may provide a mechanism to inhibit ER function in breast cancer (Carroll et al. 2017), which may be effective both in combination with SERMs, as suggested by preclinical xenograft experiments (Mohammed et al. 2015), and in tamoxifen-resistant cancer cells (Vignon et al. 1983).

Clinical benefit has been demonstrated from a single injection of progesterone administered before surgery (Badwe et al. 2011), and the use of a single agent, progestogen, has been consistently shown to clinically benefit patients as either first line therapy in de novo metastatic ER-positive breast cancer or in advanced disease when ER targeted endocrine agents have failed (Pannuti et al. 1979; Alexieva-Figusch et al. 1980; Izuo et al. 1981; Morgan 1985; Muss et al. 1988; Jonat et al. 1996; Buzdar et al. 1997, 2001; Abrams et al. 1999;). Notably, the progestin megestrol acetate was found to be efficacious in patients with ER-positive metastatic breast cancer after AI treatment failure (Bines et al. 2014). In this single-arm phase II trial, 48 post-menopausal women were administered 160 mg of megestrol acetate daily (a well-tolerated treatment yielding a clinical benefit rate of 40%), with a median treatment duration of 10 mo. Based on preclinical evidence, we speculate that this response to megesterol

acetate results from PR activation and sequestration of ER binding and activity.

Most recently, the preclinical findings of functional cross-talk between ER and PR from our laboratory have now been translated into the clinic, driven by the hypothesis that the addition of a progesterone agonist will enhance the anti-proliferative effect of standard antiestrogen therapy. This is based on the paradigm that PR activation will influence ER binding and change the target genes of this transcription factor (Fig. 2A). A phase II preoperative window study (NCT03306472) investigating the effect of combining megestrol acetate and an AI in post-menopausal women with early breast cancer is currently ongoing at our institute, while another ongoing study is evaluating this combination in a cohort of patients with advanced ER$^+$ breast cancer (NCT03024580). In addition, two other studies in the UK and Australia using progestins in combination with ER targeting agents are scheduled to open soon.

It is important to acknowledge that, in contrast to the beneficial role of progestogens in breast cancer patients, certain specific progestogens have been shown to increase breast cancer incidence in healthy women (Hankinson et al. 2004; Chlebowski et al. 2013; Asi et al. 2016), although, importantly, this is not associated with longterm increased risk of all-cause mortality (Manson et al. 2017). Accordingly, the mechanism underlying the role of the PR axis in driving breast cancer risk in the mammary glands has been explored extensively (Graham et al. 2009; Lydon and Edwards 2009; Hilton et al. 2015). Taken together, while PR targeting agents in breast cancer patients remain a viable therapeutic approach (Carroll et al. 2017), the precise mechanisms through which PR regulates tumor compared with mammary gland proliferation warrants further exploration.

Androgen receptor (AR) In addition to PR, functional cross-talk between ER and the AR has also been described in breast cancer cells. ER and AR are coexpressed in 80%–90% of ER$^+$ breast cancer cells, and high AR expression is associated with good outcome in ER$^+$, but not ER$^-$, breast cancers (Peters et al. 2009). However, the role of AR in ER$^+$ breast cancer is controversial, since both AR agonists and antagonists have been suggested to inhibit growth of breast cancer cells in preclinical models, at least partly by inhibiting ER function (Panet-Raymond et al. 2000; Greeve et al. 2004; Peters et al. 2009; Cochrane et al. 2014; D'Amato et al. 2016). This inhibitory effect of AR is mediated to a certain extent through binding of ER and AR to the same genomic regions (Need et al. 2012; D'Amato et al. 2016). Although this clearly implicates AR as an important steroid receptor in ER$^+$ breast cancer, further work is needed to fully understand the mechanism through which this receptor works in breast cancer cells.

Clinically, the high level of coexpression of AR and PR in ER$^+$ breast cancer makes these sex steroid receptors attractive targets for therapeutic intervention. Despite the historic demonstration of therapeutic benefits seen with androgen treatment in breast cancer (Tormey et al. 1983; Ingle et al. 1991), the use of androgens diminished due to

the virilizing adverse effects of this class of agents, concerns regarding aromatization of androgens to estrogen, and the emergence of tamoxifen and AIs as effective therapies in ER$^+$ breast cancer. However, given the resurgence of preclinical data supporting a role of AR in ER$^+$ breast cancer described above, a focus on targeting of the AR signaling axis in these tumors has re-emerged, with both opposing agonistic and antagonistic strategies. Preclinical data suggesting a proproliferative role of AR in ER$^+$ breast cancer (Cochrane et al. 2014; D'Amato et al. 2016), together with the growing evidence supporting efficacy of AR inhibition in AR$^+$ triple-negative breast cancer (Bonnefoi et al. 2016; Traina et al. 2018), have lead to ongoing combination trials using the selective AR inhibitor bicalutamide (NCT02910050) and the anti-androgen enzalutamide (NCT02953860). However, AR inhibition strategies are likely to be limited to contexts in which AR is the driving nuclear receptor, as seen in the AR$^+$ triple-negative breast cancer subtype, and the bulk of the evidence in ER$^+$ breast cancer supports an anti-proliferative role of androgens. In this regard, great promise surrounds a new breed of selective AR modulators, such as enobosarm (GTx-024). Enobosarm is a potent AR agonist with reduced capacity for androgenization and without estrogenic properties (Coss et al. 2014). A small proof-of-concept study confirmed activity and tolerability in a cohort of heavily pretreated ER/AR$^+$ patients with metastatic breast cancer (Overmoyer et al. 2014), and results from a follow-up phase II trial are awaited (NCT02463032). These recent findings support a role for AR agonists as anti-proliferative agents in ER$^+$ breast cancer contexts.

Glucocorticoid receptor (GR)   GR is expressed in nearly 70% of ER$^+$ breast cancers, and high GR expression is associated with low tumor grade (Abduljabbar et al. 2015) and, in some cases, has been associated with good clinical outcome (Pan et al. 2011; West et al. 2016). Mechanistic studies in mammary epithelial and breast cancer cell lines have convincingly demonstrated that both receptors can modulate chromatin binding of each other upon cotreatment with estradiol and the synthetic glucocorticoid dexamethasone (Fig. 2B; Miranda et al. 2013; West et al. 2016). This redistribution of receptor binding to chromatin occurs through an assisted loading mechanism involving chromatin remodeling by one receptor followed by recruitment of the other receptor (Miranda et al. 2013). Interestingly, GR-mediated redistribution of ER binding is not dependent on ER DNA-binding capacity. Instead, tethering of ER to chromatin at these sites is dependent on the transcription factor AP-1, which is recruited upon chromatin remodeling by GR (Fig. 2B; Miranda et al. 2013). Similarly, GR gets recruited indirectly to ER-binding sites upon costimulation with the ligands for these receptors (Fig. 2B). This occurs through a tethering mechanism likely involving a direct interaction between ER bound to its ERE and the DNA-binding domain of GR, although the DNA-binding capacity of GR is not required for GR recruitment to these sites (Karmakar et al. 2013; Miranda et al. 2013; West et al. 2016; Yang et al. 2017). This indirect recruitment of GR through ER is dependent on SUMOyla-

tion of GR, and, although it does not affect binding of ER and its pioneer factor, FOXA1, to these sites, it disrupts binding of other well-described ER cooperating factors such as AP2γ, GATA3, retinoic acid receptor α (RARα), p300, and steroid receptor coactivator-3 (SRC3) (Karmakar et al. 2013; Yang et al. 2017). Furthermore, GR recruits nuclear receptor corepressor (NCoR) and silencing mediator of retinoid and thyroid hormone (SMRT) receptor corepressor complexes, and this further alters the balance of coactivators and corepressors at these DNA regulatory sites (Fig. 2B). This leads to a repressive chromatin environment, resulting in less localized enhancer transcription from these sites (Yang et al. 2017).

Importantly, the transcriptional response to either dexamethasone or estradiol alone in MCF7 breast cancer cells is changed when both steroid hormones are added together, resulting in transcriptional changes in genes linked to cell proliferation and differentiation (West et al. 2016). Interestingly, some of the genes induced by costimulation of ER and GR were shown to inhibit cell proliferation of MCF7 cells. Furthermore, GR binding to ER enhancers represses a subset of ER target genes associated with poor outcome in breast cancer patients (Yang et al. 2017). This indicates that redistribution of ER and GR binding upon costimulation with glucocorticoids and estradiol can change the transcriptome to favor a less proliferative phenotype. Taken together, this demonstrates extensive genomic cross-talk between GR and ER, which is likely to drive the anti-proliferative effect of GR and glucocorticoids on ER$^+$ tumor growth.

In addition to the direct cross-talk between ER and GR described above, GR activation can also affect tumor growth by modulating the metabolism of estradiol (Gong et al. 2008). GR activated by dexamethasone induces expression of the sulfotransferase SULT1E1 in both mouse livers and MCF7 breast cancer cells. SULT1E1 sulfonates and thereby inactivates estradiol, which results in decreased circulating estradiol levels and, consequently, inhibition of tumor growth in a mouse xenograft model (Gong et al. 2008).

Clinically, glucocorticoids are used ubiquitously in breast cancer patients in conjunction with chemotherapy to mitigate allergic reactions and for their anti-emetic and anti-inflammatory properties. While high tumor GR expression has been associated with a relatively poor outcome in ER-negative breast cancer, meta-analysis of genomic data sets has revealed that tumor GR mRNA expression is associated with improved recurrence-free survival in ER$^+$ breast cancer, independent of PR expression (Pan et al. 2011). Despite the preclinical finding of GR activation reducing estrogen-induced proliferation in ER$^+$ tumors, clinical studies have demonstrated varied effects of glucocorticoid use on breast cancer patient survival, with modest effects when used as a single agent and with no effect in combination with other drugs, including anti-estrogens in ER$^+$ breast cancer patients (Keith 2008; Lietzen et al. 2014). However, given the in vitro evidence for an inhibitory effect of glucocorticoids on ER function and breast cancer cell proliferation (Fig. 2B) together with the proven role of glucocorticoids in AR-driven

prostate cancer (Kach et al. 2015) and the widespread use of glucocorticoids in the supportive care of breast cancer patients, we feel that investigation of the full therapeutic potential of glucoticoids still needs to be explored.

Discussion

Seventy-five percent of breast cancers are driven by the steroid receptor ER, which is regulated by estrogenic hormones. Here, we discussed the link between the function of ER in tumor cells and signaling pathways triggered by other steroid hormones, cytokines, and growth factors, many of which originate from the many different cell types surrounding the cancer cells in the tumor microenvironment. It is clear that in addition to activation by classic estrogenic ligands, there are at least two levels of regulation of ER. First, chromatin binding and activity of ER can be regulated by phosphorylation in the absence of estrogens. Particularly, phosphorylation of S118 and S305 appears to be important for this estrogen-independent activation of ER, and several growth factor (e.g., EGF) and cytokine (e.g., TNFα) pathways can induce phosphorylation of these sites on ER. In addition, once ER is on chromatin, other transcription factors can redirect the genomic binding of ER, essentially reprogramming the transcriptional activity of ER to other target genes. The transcription factors that function downstream from cytokine signaling (e.g., NF-κB) and other steroid hormone receptors (e.g., PR) play important roles in regulating where in the genome ER binds.

The fact that multiple pathways triggered by signals from the tumor microenvironment impact ER function and have been linked to endocrine resistance clearly highlights the importance of paracrine signaling for tumor progression. This emphasizes the need to further explore the clinical potential of targeting tumor-extrinsic factors in the microenvironment in ER⁺ breast cancer. However, most of the mechanisms described above are based on in vitro experiments, and validation of these mechanisms in disease-relevant preclinical models (e.g., mouse xenograft models and patient-derived xenografts) and patient samples is critical in order to determine whether these pathways may provide clinical benefit to patients. In this regard, it is interesting to note the recent use of intraductal injections of human cancer cells into the mouse mammary gland to establish xenograft models from cell lines and patient samples (Sflomos et al. 2016). This method more accurately recapitulates the tumor progression observed in patients, with the development of metastases in organs such as the liver, lungs, brain, and bone. This is likely to increase the translatability of preclinical findings in the future. In addition to xenograft models, tumor explant methods that allow investigations of drug responses in patient samples (Centenera et al. 2012, 2013) are a powerful way to investigate the potential therapeutic impact of preclinical findings, as demonstrated for PR agonists (Mohammed et al. 2015). Validating mechanistic findings in preclinical models such as these is crucial to ensure translatability of the wealth of mechanistic in vitro findings.

It is particularly interesting to note the high degree of cross-talk between the different steroid receptors on chromatin. This group of transcription factors is highly targetable by small molecules, and numerous agonists and antagonists for these receptors are already Food and Drug Administration-approved, thereby significantly shortening the time from preclinical discoveries to clinical testing. In support of this is the rapid translation of findings linking PR agonists to ER function, with two clinical trials initiated (NCT03306472 and NCT03024580) and two more in development within 2 yr of publication of the biological discovery.

In conclusion, ER function and therefore breast cancer progression are directly modulated by both tumor-intrinsic and tumor-extrinsic factors, and many of the latter are promising candidates for targeted therapy aimed at improving survival for ER⁺ breast cancer patients.

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Signaling pathways and steroid receptors modulating estrogen receptor α function in breast cancer

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