Distributed Fibre Optic Sensing of Axially Loaded Bored Piles

3 Loizos Pelecanos¹, Kenichi Soga², Mohammed Z. E. B. Elshafie³, Nicholas de Battista⁴,

4 Cedric Kechavarzi⁵, Chang Ye Gue⁶, Yue Ouyang⁷, Hyung-Joon Seo⁸

- ¹ Lecturer in Geotechnical Engineering, Department of Architecture & Civil Engineering, University of Bath. Claverton Down, Bath, BA2 7AY,
 United Kingdom. Formerly: Research Associate, University of Cambridge, United Kingdom. Email: <u>L.Pelecanos@bath.ac.uk</u>. (Corresponding
- 7 Author).

1

2

- ² Chancellor's Professor of Civil Engineering, Department of Civil & Environmental Engineering, University of California, Berkeley. 760 Davis Hall,
 94720-1710, United States of America. Formerly: Professor, University of Cambridge, United Kingdom. Email: <u>Soga@berkeley.edu</u>
- 10 ³ Lecturer in Construction Engineering, Centre for Smart Infrastructure & Construction, Department of Engineering, University of Cambridge.
- 11 Trumpington Street, Cambridge, CB2 1PZ, United Kingdom. Email: ME254@cam.ac.uk
- 12 ⁴ Research Associate, Centre for Smart Infrastructure & Construction, Department of Engineering, University of Cambridge. Trumpington Street,
- 13 Cambridge, CB2 1PZ, United Kingdom. Email: <u>N.Debattista@eng.cam.ac.uk</u>
- 14 ⁵ Training & Knowledge Transfer Manager, Centre for Smart Infrastructure & Construction, Department of Engineering, University of Cambridge.
- 15 Trumpington Street, Cambridge, CB2 1PZ, United Kingdom. Email: <u>CK209@cam.ac.uk</u>
- 16 ⁶ PhD Research Student, Centre for Smart Infrastructure & Construction, Department of Engineering, University of Cambridge. Trumpington
- 17 Street, Cambridge, CB2 1PZ, United Kingdom. Email: <u>CYG20@cam.ac.uk</u>
- 18 ⁷ Project Manager, Cementation Skanska. Formerly: University of Cambridge, United Kingdom. Neelands House, Pipering Lane, Doncaster, DN5
- 19 9NB, United Kingdom. Email: <u>Echo.Ouyang@skanska.co.uk</u>
- 20 ⁸ Lecturer, Department of Civil Engineering, Xi'an Jiaotong Liverpool University, Shaanxi Sheng, China, 710048, China. Formerly: Research
- 21 Associate, University of Cambridge, United Kingdom. Email: <u>Hyungjoon.Seo@xjtlu.edu.cn</u>

22

Abstract

Instrumented pile tests are vital to establish the performance of a pile and validate the assumptions made during initial design. Conventional instrumentation includes vibrating wire strain gauges and extensometers to measure the change in strain or displacements within a pile. While these strain and displacement gauges are very accurate, they only provide strain/displacement readings at discrete locations at which they are installed. It is therefore common to interpolate between two consecutive points to obtain the values corresponding to the data gaps in between; in practice, these discrete instrumented points could be tens of 30 meters apart, at depths corresponding to different soil layers, and hence simple interpolation 31 between the measurement points remains questionable. The Brillouin Optical Time Domain 32 Reflectometry fibre optic strain sensing system however is able to provide distributed strain 33 sensing along the entire length of the cable, enabling the full strain profile to be measured 34 during a maintained pile load test. The strain data can also be integrated to obtain the 35 displacement profile. In this paper, three case studies are presented where the performance 36 of three concrete bored piles in London is investigated using both conventional vibrating wire 37 strain gauges and distributed fibre optic strain sensing during maintained pile load tests 38 which enabled comparisons to be made between the two instrumentation systems. In 39 addition, finite element analyses were conducted for the three piles and it was found that the 40 ability to measure the full strain profiles for each pile is highly advantageous in 41 understanding the performance of the pile and in detecting any abnormalities in the pile 42 behaviour.

43 Keywords: piles, field monitoring, fibre optic sensors, load transfer, pile load test, finite element analysis, pile instrumentation

44 **1. Introduction**

45 The overall geotechnical capacity of a pile is derived from the skin friction and the base 46 resistance. The design process begins with evaluating moderately conservative soil 47 parameters based on site investigation test results. Depending on the type of soil, different 48 equations and methods for pile capacity can be used. For example, for piles in clay the α -49 method and the method proposed by Meyerhof (1965) are commonly used (e.g. in the UK) 50 to predict the ultimate skin friction and end bearing resistance respectively. Other methods 51 adopt direct correlations based on in situ soil investigation (e.g. CPT, SPT) (Eslami & 52 Fellenius 1997), LCPC (Bustamante & Gianeselli 1982), IC method (Jardine & Chow 1996). More complex and rigorous numerical methods can also be employed for complicated pile 53 54 problems such as piled groups (Kraft, Ray & Kakaaki 1981; Poulos 1989; Randolph 2003) and piled raft (Poulos & Davis 1974; Kitiyodom & Matsumoto 2003) foundations. 55 56 Nevertheless, all of these methods are used in the design stage and therefore they only

provide an estimate of a pile's behaviour. As such, instrumented pile tests are recommended
by standard codes of practice (e.g. clause 7.5 of Eurocode 7) to quantify the performance of
a pile in order to validate the initial design assumptions.

60 General preliminary pile tests (McCabe & Lehane 2006) include a number of vibrating wire 61 strain gauges (VWSG), either in pairs or threes at several levels within the pile, along with a 62 measurement of pile head settlement measured from an independent reference beam by 63 linear voltage distance transducers (LVDT). This instrumentation scheme offers very useful 64 but discrete data points (Lehane et al. 1993). Data from appropriately monitored pile load tests can provide a means to assess the behaviour of the pile and develop pile behaviour 65 66 models (Comodromos & Bareka 2009) such as load transfer curves (Ménard 1963; 67 Butterfield & Banergee 1971; Kraft, Ray & Kakaaki 1981; Frank & Zhao 1982; Poulos 1989; Lee 1993; Klar et al. 2006; Abchir et al. 2015; Seo et al. 2017). 68

69 Recent advances in geotechnical instrumentation include fibre optic (FO) technology such as 70 Fibre Bragg Gratings (FBG) (Kersey & Morey 1993; Lee et al. 2004; Liu & Zhang 2012; 71 Doherty et al. 2015) and distributed Brillouin Optical Time-Domain Reflectometry (BOTDR) 72 (Kurashima et al. 1993; Soga 2014; Pelecanos et al. 2017). The latter technology offers near 73 spatially-continuous strain data along the entire length of the pile, which can be further 74 processed to provide detailed information regarding the pile behaviour and integrity and 75 load-transfer properties (Pelecanos & Soga 2017; de Battista et al. 2016). The BOTDR 76 technique has been successfully used to monitor various soil-structure interaction problems 77 (Acikgoz et al. 2016; Acikgoz et al. 2017), including piles (Klar et al. 2006; Ouyang et al. 78 2015; Pelecanos et al. 2016), shafts/retaining walls (Mohamad et al. 2011; Schwamb et al. 79 2014; Schwamb & Soga 2015), tunnel linings (Mohamad et al. 2010; Mohamad et al. 2012; 80 Cheung et al. 2010; de Battista et al. 2015; Di Murro et al. 2016; Soga et al. 2017), tunnelling 81 and other geotechnical process-induced surface settlements (Hauswirth et al. 2014; Klar, 82 Dromy & Linker 2014; Linker & Klar 2015), concrete cracking (Goldfeld & Klar 2013), soil 83 slopes etc.

84 In this paper the BOTDR distributed monitoring technology is briefly discussed and its 85 application in a number of pile load test cases (both top-loaded using an external reference frame and bi-directionally loaded using an Osterberg-cell) in London is explored. The 86 monitoring data from the distributed BOTDR and discrete VWSG technologies in the three 87 88 case studies is analysed and compared to shed light on the relative merits of each approach (continuous and discrete) and highlight their necessity in future reliable pile load testing. 89 90 Finally, numerical analyses were conducted for each of the three piles and the results are 91 presented in this paper to enable a better understanding of pile behaviour under loading.

92

2. Distributed fibre optic monitoring

93 This section provides a brief description of the principles of BOTDR. However, the complete 94 description of the method and the associated experimental approaches required for 95 calibration are well beyond the scope of this paper and they are therefore not included, as they can be found elsewhere in great detail (Mohamad 2007; Iten 2011; Soga 2014; Soga et 96 97 al. 2015). More information about the fundamentals of light propagation can be obtained 98 from relevant literature in the area of photonics (Horiguchi et al. 1995) as this is out of scope 99 of this paper. A detailed description of the theory of distributed FO strain sensing and its applications in civil and geotechnical infrastructure is given by Kechavarzi et al. (2016) 100

101

2.1. Principle of Brillouin Optical Time Domain Reflectometry

102 A fibre optic (FO) cable allows light waves from a FO analyser to travel along its entire length 103 through total internal reflection, irrespective of the orientation of the cable itself. This allows a 104 signal to be carried over very long distances, such as for broadband Internet. Backscattered 105 signals are generated as the light wave passes through the optical fibre and presents itself 106 as Rayleigh, Raman and Brillouin spectrum. Within the Brillouin backscatter, it is found that 107 the peak frequency experiences a shift that is generally considered to be linearly 108 proportional to applied strain. Using the measured time required for the backscattered signal 109 to return to the analyser, the specific location at which this frequency shift is observed can

be estimated accurately. Therefore, the entire fibre optic cable is essentially serving as adistributed strain sensor.

The FO analyser sends a light with of 1550 nm wavelength into an optical fibre and the generated Brillouin spectrum of the back-scattered light has 25-27 MHz bandwidth and around 11 GHz central peak frequency when no strain is applied on the fibre. The backscattered Brillouin central frequency, v_b , is related to the input light according to Eq. 1 and this is provided directly from the FO analyser.

117
$$v_b = \frac{2 \cdot n_f \cdot v_a}{\lambda_l}$$

118 Eq. 1

119 where n_f is the fibre core refractive index, v_{α} is the acoustic velocity in the fibre and λ_I is the 120 wave length of the input light.

121 Changes in temperature and/or strain induce a density change in the cable and therefore 122 change in the acoustic velocity, v_{α} , of the light too. As the strain or temperature at a given 123 location change, the frequency of the backscattered light is shifted by an amount which is 124 approximately linearly proportional to the applied strain, $\Delta \epsilon$, or temperature, ΔT , according to 125 Eq. 2.

$$\Delta v_b = \Delta v_{b0} + M \cdot \Delta \varepsilon + N \cdot \Delta T$$

127 Eq. 2

Where, v_{b0} is the central Brillouin peak frequency at zero strain and at a given temperature, $\Delta \epsilon$ is the applied strain, ΔT is the temperature change, and M, N are the coefficients for strain and temperature change respectively. For an incident wavelength of 1550nm, the Brillouin frequency shift can vary from 9GHz to 13GHz depending on the different fibre properties. Therefore knowledge about of this frequency difference can provide information about the applied strain and temperature changes at the location where the back-scattered light was generated. As the speed of light is constant, the location can be evaluated by measuring the time since the light was initially sent into the fibre. Back-scattered light is generated at every point along the entire length of the fibre and therefore by resolving both time and frequency a continuous strain profile along the fibre can be determined.

138 For the case studies presented in this paper, either the AQ8603 analyser manufactured by 139 Yokogawa Electric Corporation, Japan, or the NeubreScope NBX-5000 analyser 140 manufactured by Neubrex, Japan, are employed. These are able to provide a minimum 141 readout resolution between 0.05m and 0.1m with a spatial resolution of 0.5 to 1.0m. Spatial 142 resolution implies that it produces a weighted average strain reading over 0.5 or 1m at every 143 0.05m length of the cable (this is considered as "spatially-continuous" or "distributed" data). 144 These settings can be changed depending on the time allocated for the specific test. 145 Essentially the technology offers a large number of strain data (every 0.05m to 0.1m in this 146 case) along a structure embedded with fibre optic cables.

In addition to the clear advantage of measuring a full strain profile, its simplicity lies in the fact that only a single cable is required for the entire system, enabling its use in small diameter piles and eliminating the time and effort for cable management, that would be required for conventional strain gauges. No electricity is required other than to power the analyser itself, which could be located much further away in a safe, and convenient location on the construction site, as light waves travel efficiently through the fibre optic cables. The result is an instrumentation system which can provide a full strain profile of the pile.

154 2.2. Fibre Optic Cables

Strain on an optical fibre can be generated from two sources, mechanical or thermal. Therefore, two types of optical fibre cables are installed and are shown in Figure 2: Fujikura 4-core single mode fibres reinforced ribbon cable for strain sensing (strain sensing cable) and Excel 4-core single mode fibres loose tube for temperature compensation (temperature cable). While they are both attached to the reinforcement cage, the fibre optic cores of the temperature cable sit in a gel which isolates any transfer of mechanical strains from the

outer coating. Thus it is only subjected to thermal changes. These measurements are used
to compensate the readings measured from the strain cables to provide an accurate reading
of interest, the actual mechanical strain.

164 **2.3. Installation of FO Instrumentation**

165 Installation of FO cables is usually done on site, as described in Figure 3. Long pile 166 foundations typically consist of a number of steel reinforcement cage segments and 167 therefore the bottom steel cage is instrumented on the ground. The FO cables (shown in 168 blue colour in Figure 3) are running along the entire length of the bottom segment on two 169 opposite sides of the pile and a loop of some FO cable is made close to the bottom of the 170 segment. The longitudinal cables are pre-strained (i.e. a tensile strain is applied) using cable 171 clamps at the two ends of the steel cage. Once the borehole is dug, the bottom cage is 172 inserted and while the other cages are spliced onto the bottom cage and the whole pile 173 lowered down in the borehole, the remaining FO cable is attached to them. Finally, the two 174 ends of the FO cable run from the top of the pile to the FO analyser.

With the pile loaded axially, it is assumed that the concrete pile will have negligible hoop strain across its cross section and therefore a 10m loop cable for both strain and temperature is prepared and secured at the end of the bottom reinforcement cage to serve as a zero-strain loop for referencing and compensation purposes.

179 For the ease of data interpretation, a pre-strain of about $1000-2000\mu\epsilon$ is often introduced to the strain cable. Anchorage is provided on the bottom loop end by cable wire clamps before 180 181 stretching the strain cable to the predetermined pre-strain. Strain cable is then secured with 182 another set of cable wire clamps at the top of the reinforcement cage before supplementing 183 the anchorage by either spot gluing with epoxy glue or using cable ties at approximately 184 every 0.5-1.0m interval. Temperature cables are loosely secured next to the strain cables 185 with cable ties as they are routed to the top of the cage. Figure 4 (a) and (b) show the 186 installed FO cables and sister-bar VWSGs on a foundation pile.

Once the bottom cage has been instrumented, it is lowered into the borehole. The fibre optic cables are then unwound from the reels on each side of the borehole as the cage is lowered. Pre-straining is carried out for the strain cables for subsequent reinforcement cages as well without epoxy glue due to time constraints. Concrete is subsequently poured in the borehole and as the concrete cures the FO cables become securely embedded within the pile. Further details of FO cable installation in piles established at University of Cambridge can be found in (Klar et al. 2006; Soga 2014; Soga et al. 2015).

194 2.4. FO data analysis

As described earlier, applied strain causes a shift in the peak Brillouin frequency in the optical fibre. Therefore, by measuring the frequency difference, one can obtain the applied strain on the cable. Moreover, because FO cables are able to detect strains due to both mechanical and thermal loads, the two components need to be analysed separately. The measured frequency difference from the "temperature cable", Δv_{bT} , is influenced only by changes in temperature, whereas that from the "strain cable", Δv_{bS} , is influenced by changes in both mechanical load and temperature.

Therefore, changes in temperature, ΔT , can be obtained from Eq. 3 (where, C_{TT} is a property of the cable, obtained by calibrating the "temperature cable", which determines how temperature affects the Brillouin frequency reading of the cable and it is usually around $1.1\cdot10^{-3}$ GHz/°C).

 $\Delta T = \frac{\Delta v_{bT}}{C_{TT}}$

207 Eq. 3

208 The thermal strain, ε_{temp} , (the strain that corresponds to free thermal expansion strain due to 209 temperature change) is then given by Eq. 4 (where, α_c is the thermal expansion coefficient 210 of concrete and it is usually around 9.65 $\mu\epsilon/^{\circ}C$).

211
$$\varepsilon_{temp} = a_c \cdot \Delta T$$

212 Eq. 4

The real (observed) strain, ε_{real} , (the actual strain that the pile experiences in the field) is then given by Eq. 5 (where, C_E is a property of the fibre, obtained by calibrating the "strain cable", which determines how strain affects the Brillouin frequency and it is usually around 5·10⁻⁴ GHz/µɛ; and C_T is a property of the fibre that determines how the Brillouin frequency is affected by temperature difference, and it is usually around 1.0·10⁻³ GHz/°C).

218
$$\varepsilon_{real} = \frac{1}{C_E} (\Delta v_{bS} - C_T \cdot \Delta T)$$

219 Eq. 5

220 The mechanical (constrained) strain, ε_{mech} , (the reaction strain that is the result of both the 221 applied mechanical load and temperature) is then given by Eq. 6

222
$$\varepsilon_{mech} = \varepsilon_{real} - \varepsilon_{temp} = \frac{1}{C_E} \left[\Delta v_{bS} - C_T \cdot \left(\frac{\Delta v_{bT}}{C_{TT}} \right) \right] - a_c \cdot \frac{\Delta v_{bT}}{C_{TT}}$$

223 Eq. 6

Finally, once the strain profiles are obtained, the actual geotechnical response of the pile may be captured using Eq. 7 and Eq. 8 to determine axial force, $F_a(y)$, and vertical displacement, u(y), profiles respectively.

227
$$F_a(y) = EA \cdot \varepsilon_{mech}(y)$$

228 Eq. 7

229
$$u(y) = u(y = y_0) + \int_0^y \varepsilon_{real}(y) \, dy$$

230 Eq. 8

Where, EA is the axial rigidity of the pile (E is Young's modulus and A is cross-sectional area) and y is the depth from the top of the pile. For the vertical displacements, the relative displacements obtained from the integration of axial strains is added to available absolute displacement values from displacement transducers at y₀. The data profiles obtained from BOTDR have usually a wavy nature and therefore they need to be filtered prior to data analysis. The data presented in this study have been filtered using a second order Savitzky-Golay (1964) filter with a 31-point frame.

3. Case study 1: Pile load test at Broadgate Road, London.

239 **3.1. Description of pile test**

240 The Broadgate Road project in London was designed to house a fourteen-storey office 241 building with two basement levels. Due to tight space restrictions along one side of the 242 project, a number of mini piles of 0.305m diameter were constructed in close proximity to support the superstructure. A high-strength steel reinforcing case was inserted in the ground 243 244 after the drilling process. The pile tested is 0.305m diameter (0.343m at the top 6m because 245 of a steel casing around the pile) and 25m long, as shown in Figure 5 (a). On the same figure, the soil stratigraphy is also included with some known material properties obtained 246 247 from relevant triaxial and simple shear laboratory tests. The pile test was carried out once 248 the concrete material achieved a specified value of minimum strength. The pile test consists 249 of three consecutive cycles of applied load (at the top of the pile) of up to 720kN, 1080kN 250 and 1985kN for each of the three cycles, achieved after several loading and unloading steps 251 (Figure 5 (b)). The pile was instrumented with distributed FO cables on two opposite sides of 252 the pile and a number of discrete VWSGs along the pile depth.

253 **3.2. Data Interpretation**

254 Figure 6(a) shows the axial strain in the pile for the three peak values of the three cycles as 255 it was captured by the FO cables and the VWSGs, whereas Figure 6(b) shows the 256 corresponding axial force profiles (calculated from strains multiplied by the pile axial rigidity, 257 EA, as described by Eq. 7 and using E=30000MPa. This value adopted for E was obtained 258 following the Fellenius (1989) approach and by using the FO strain values, ε , at the top 259 30cm of the pile (surrounded by soil but with insignificant influence, see Figure 6a, Figure 260 9a, Figure 12a) and the applied loads, P, (E= $\Delta P/\Delta \epsilon/A$). The Fellenius method proposes a 261 smooth linear (best-fit) reduction of secant modulus with axial strains. Therefore, a

262 representative average value of E over the dominant experienced strains (~300-700µɛ) was 263 adopted based on that best-fit line. It is shown that there is a generally good agreement 264 between the two monitoring technologies. No VWSG data were obtained for the largest cycle 265 (i.e. for loading of 1985kN), as there was a malfunction of the VWSG instruments, and 266 therefore only FO data is available for this load case. It is also shown that there is some 267 scatter in the FO data values which is currently a known issue with distributed FO strain 268 sensing systems. This is because the standard resolution of FO is constant and about 30-269 50µɛ and therefore this becomes relatively less significant for larger applied loads (which 270 imply larger induced strains). The waviness of FO strains may offer a challenge when 271 differentiating strain data profiles to obtain shaft friction values, but their spatial continuity 272 allows for a distributed sensing of localised strains, e.g. necking, fracture etc., whereas, such 273 localised features would not be identified by discrete monitoring systems (such as VWSGs). 274 Figure 6(c) shows the vertical displacements, u, of the pile from the FO cables. The values 275 from the FOs were obtained by integrating the strain profiles and adding those to absolute 276 displacement measurements from displacement transducers at the top of the pile, as 277 described by Eq. 8.

278 The results of a simplified numerical finite element (FE) beam-spring model are included for 279 comparison in Figure 6. The simplified FE analysis considered a single vertical pile loaded 280 axially from the top modelled with linear beam elements and represented the surrounding 281 soil with non-linear springs which is a practical approach as opposed to the more common 282 way of modelling the soil with solid elements. All the beam elements and non-linear springs 283 contribute to the global stiffness matrix and therefore the global FE equilibrium equations. 284 Due to the nonlinear nature of the soil-spring the external load is applied incrementally and 285 the equations are solved using an iterative Modified Newton-Raphson technique. A number 286 of different soil layers, associated with constant soil spring properties along the depth of 287 each layer, were considered based on the ground conditions, although they did not follow 288 exactly the soil stratigraphy. This simplified FE analysis approach is explained in the

289 Appendix in more detail. The behaviour of the pile was back-analysed to derive the 290 properties of the soil springs which are subsequently used in the FE analysis to calculate the 291 axial strain and vertical displacement profiles. Namely, the optimum set of properties of the 292 soil springs was obtained that was able to reproduce well the observed axial strain and 293 vertical displacement profiles from the FO readings. The values of the model parameters 294 was obtained through a simple optimisation algorithm (here the Levenberg-Marguardt 295 scheme was used (Levenberg 1944; Marduardt 1963)), in which the changing variables were 296 the set of the model parameters (i.e. in this case 20 parameters, 4 for each of the 4 layers 297 and 1 for the pile base) and the objective function was the difference of the axial strains 298 obtained from the numerical model and those observed from the FOs. It is shown here that a 299 good match is obtained between the field data (from FO & VWSGs) and the FE back-300 calculations.

301 Figure 7 (a) shows the calculated shaft friction (SF) profiles for the three peak values of the 302 three cycles, from the FE analysis. Since the FO data exhibit some (inherent) undulations, 303 deriving SF values from the slope of the axial force might be cumbersome. Therefore, here a 304 "synthetic" approach is followed, where a numerical model is established that reproduces 305 accurately the monitored axial strain and vertical displacements from FOs (see Figure 6) and 306 then SF profiles are obtained from the FE analysis of the model. This numerical analysis 307 approach was followed here due to the inability to obtain SF values directly from the wavy 308 FO strains. In fact, direct estimation of SF requires differentiation of axial strains which in the 309 case of wavy strain profiles leads to unrealistically large fluctuations of SF values with the 310 depth of the pile. It is shown here that generally larger SF values are obtained within the 311 London Clay stratum (i.e. at z < -4m) as compared to the SF observed at the top soil layers 312 (i.e. at z>-4m). However, it is shown that at the bottom of the pile, very small SF values are 313 mobilised, perhaps due to the small strains experienced by the pile. Since a numerical 314 optimisation procedure was followed to obtain the SF, the small values of strains 315 experienced at the bottom of the pile compared to the usual variation of FO strain data leads

to a large noise-to-signal-ratio and therefore the evaluation of SF (i.e. determination of theactual slope of the strain profiles) values may become cumbersome.

318 Furthermore, Figure 7 (b)(c) show the evolution of SF with the applied load, P, and the 'local' 319 vertical displacement, u, at various depths (according to the local soil stratigraphy) along the 320 pile and the pile base pressure, q_b. Figure 7 shows that SF is mobilised early in the test, 321 whereas the pile base pressure is mobilised at later stages for higher loads. As expected the 322 SF development curves show an initial stiffness that drops with the displacement, due to the 323 plasticity of the soil close to the pile shaft. It is clearly observed that the first layer (0-6m), 324 which is covered by the pile casing does not show significant development of strains and 325 approximately reaches an ultimate value of SF of about 20kPa. Besides, although the three 326 layers considered within the London Clay show variable SF development, it is accepted that 327 the majority of the London Clay reaches SF of about 70-100kPa, whereas the bottom of the 328 London Clay shows minimal development of SF. However, this could probably be due to the 329 small layer thickness considered in the data analysis (FO data exist in layer 4 between y=19-330 22.5m). Nevertheless, in general, the evolution of shaft friction with the vertical 331 displacements seems to reach (roughly) a plateau for displacements of about 0.01-0.03m 332 which is slightly less than 10% of the pile diameter.

Finally, Figure 7 (d) shows the relevant design t-z and q-z curves following the API (2002) methodology (see Appendix B). Although there are some differences between the observed (Figure 7 (c)) and design (Figure 7 (d)) curves, in general they seem to agree quite well yielding comparable values of ultimate pile shaft and base resistance.

337 **3.3. Remarks**

341

338 A typical interpretation of the geotechnical data would consider Eq. 9 and Eq. 10 to 339 calculate the ultimate shaft capacity, q_s , and Eq. 11 and Eq. 12 for the base capacity, q_b of 340 the pile (Salgado 2008; Knappett & Craig 2012; Tomlinson & Woodward 2014).

$$q_{s(cohesive)} = \alpha \cdot S_u$$

342 Eq. 9 343 $q_{s(non-cohesive)} = \beta \cdot \sigma'_{vo} = K_o \cdot \tan \delta \cdot \sigma'_{vo}$ 344 Eq. 10 345 $q_{b(cohesive)} = N_c \cdot S_u$ 346 Eq. 11

347

$$q_{b(non-cohesive)} = N_q \cdot \sigma'_{vo}$$

348 Eq. 12

where, α (usually around 0.5 for London Clay (Tomlinson 1997)) is the empirical shaft coefficient, K_o is the earth pressure at rest, δ (usually around 0.75 ϕ) (Stas & Kulhawy 1984) is the pile-soil interface friction angle and N_q (usually around 50 (Berezantzev, Khristoforov & Golubkov 1961; Knappett & Craig 2012)) and N_c (usually its value is taken as 9 (Kulhawy & Prakoso 1999)) are the base bearing capacity coefficients.

354 Using the above equations and the geotechnical data in Figure 5 one would obtain an 355 ultimate value of shaft capacity of 8kPa for the first layer (using Eq. 10) and about 32-356 120kPa for the second layer (using Eq. 9). These values compare well with the calculated 357 values from FO in Figure 7, which suggest around 20kPa for the first layer and about 10-120kPa for the second layer. If one was to back calculate the values of α and β , then, the 358 359 first layer would yield a value of β =0.5 (whereas Eq. 10 yields β =0.2) and layers 2-4 would 360 yield values of α =0.9, 0.89, 0.1 respectively (whereas the common assumption is 0.5 361 (Tomlinson 1997)).

Similarly, when calculating the ultimate base capacity, one would obtain about 2MPa when using Eq. 11 (i.e. based on S_u) and about 25MPa when using Eq. 12 (i.e. based on c and ϕ). These values are different and below and well above the (linear) 6MPa that was observed from the FOs during this test respectively. This is unexpected and it could be due to a number of possible reasons, e.g. it may suggest that the relation usually used for the pile base bearing capacity (Eq. 11) might be significantly unconservative or it may suggest

368 that the material parameter values used to calculate σ'_{v0} were too small. Nevertheless, it is 369 observed here that Eq. 11 (i.e. S_u) provides a better estimate.

370 The ability to fit a numerical model to the monitoring data (in particular, the continuous 371 vertical displacement profile) to further understand the behaviour of piles is a great 372 advantage. More confidence in the results of the back-analysed model is built when a 373 continuous strain profile is available which can show the full picture of the strains over the 374 whole length of the pile and by direct integration it may give reliable estimates of pile 375 displacements. Finally, the benefits of obtaining such a relevant numerical model can include the development of load transfer curves derived from the calculated shaft friction with 376 377 respect to the vertical displacement.

378

4. Case study 2: Pile load test at East Village, London.

379 4.1. Description of pile test

380 The second case study considers a pile test at East Village (former Athletes Village) in 381 Stratford, London. The examined pile is 32m long with 900mm diameter (930mm at the top 14m). The local soil stratigraphy consists of Made Ground, Alluvium and River Terrace 382 383 Deposit finishing at around 14m depth and along which the pile is covered by a 15mm-thick 384 steel casing. These layers are followed by two thick layers of Lambeth Group and Thanet 385 Sand that interface at a depth of 23m. Information about pile geometry, soil stratigraphy and 386 some basic soil properties are given in Figure 8(a). The pile test consists of a static 387 maintained load applied at the top of the pile following two cycles of loading-unloading until 388 the pile fails. Details about the pile test sequence are shown in Figure 8(b). Similar to the 389 previous case, the pile was instrumented with distributed FO cables and discrete VWSGs; 390 the latter were installed at various locations along the pile depth.

391 **4.2. Data Interpretation**

Figure 9 (a)(b) show the monitored axial strains and the calculated axial force in the pile for three selected load stages from both the FOs and the VWSGs. Similar to the previous case,

394 although the FOs show some scatter in the data, a good agreement is obtained between the 395 two sensors for both strains and forces. Observed strains and forces are roughly constant for 396 the first 14m which suggests that minor shaft friction is developed over that depth. This was 397 expected as the pile is surrounded by a steel casing at the top 14m. Moreover, at depths 398 below 14m, the axial strains and forces drop, which is due to the interaction with the 399 surrounding soil and the developed soil-pile interface friction. Additionally, on the same 400 graphs, the results of a simple FE analysis (similar to the one used in the first case, see 401 Appendix A for more details and model parameters) are included (the strain step in the first 402 figure is due to the change of pile diameter and hence the axial stiffness EA). This analysis 403 was conducted to match the observed axial strains and vertical displacements in Figure 9 (a) 404 and (c). The latter figure shows that the vertical displacements obtained by the direct 405 integration of the observed axial strains match the displacements resulting from the FE 406 model that reproduces the axial strains.

407 Figure 10 (a) shows the calculated shaft friction, SF, profiles for the three selected load 408 cases as these were determined from the FE analysis. Again, these were obtained from the 409 FE model that was calibrated to reproduce accurately the monitored axial strain and vertical 410 displacements from FOs (see Figure 9). Furthermore, Figure 10(b)(c) show the evolution of 411 SF with the applied load, P, and the 'local' vertical displacement, u, at three selected depths 412 along the pile, according to the local soil stratigraphy, i.e. in the shallow layers (covered with 413 pile casing), Lambeth Group and Thanet Sand. It is firstly observed that the first layer, which 414 is covered by the pile casing does not show significant development of strains and 415 approximately reaches an ultimate value of SF of about 40kPa. In contrast, Lambeth Group 416 and Thanet Sand do exhibit a larger development of SF that reaches around 200kPa and 417 110kPa respectively. This difference was expected as the pile in the latter two layers was not 418 covered with a steel casing and therefore pile-soil interaction friction develops resisting the 419 pile movement. In general, as expected, the SF development curves show an initial stiffness 420 that drops with the displacement, due to the plastic deformation of the soil close to the pile

shaft. Finally, it is again shown that SF is mobilised early in the test, whereas the pile basepressure in mobilised at later stages for higher loads.

Finally, Figure 10 (d) shows the relevant design t-z and q-z curves following the API (2002) methodology. Although there are some differences between the observed (Figure 10 (c)) and design (Figure 10 (d)) curves, in general they seem to agree quite well yielding comparable values of ultimate pile shaft resistance.

427

428 **4.3. Remarks**

Using Eq. 9 – Eq. 12 and the geotechnical data in Figure 8 one would obtain an ultimate
value of shaft capacity of 29kPa for the first layer (using Eq. 10), 32-219kPa for the second
layer (using Eq. 9) and about 116kPa for the third layer (using Eq. 10). These values
compare very well with the observed values from FO in Figure 10, which suggest around
30kPa, 200kPa and 110kPa for the three layers.

If one was to back calculate the values of α and β, then, the first layer would yield a value of β=0.21 (whereas Eq. 10 yields around β=0.2), layer 2 would yield a values of α=0.8 (whereas the common assumption is 0.5 (Tomlinson 1997)) and the third layer a value of β=0.2 (in agreement to Eq. 10 that yields around β=0.2 too). So, in the case, the β-method seems to work well, whereas the appropriate value for α is slightly larger than the commonly used (0.5).

Similarly, when calculating the ultimate base capacity, one would obtain about 27MPa using Eq. 12 (i.e. based on c and φ) which is well above the (roughly linear) 12MPa that was observed from the FOs during this test. Again, the relation for the base capacity seems to overestimate significantly the observed pile base capacity.

444 5. Case study 3: Osterberg-cell pile test at Francis Crick Institute, London.

445 **5.1. Description of pile test**

This particular case study focuses on the behaviour of a 31.5m-long, 1500mm diameter 446 bored pile during a preliminary load test at the Francis Crick Institute. This is a biomedical 447 448 research centre situated next to St. Pancras International train station in the London 449 Borough of Camden. One of the key differences from the previous case studies is the 450 loading mechanism. Bi-directional Osterberg Cells (O-Cell) (Osterberg 1984) were used to 451 apply load from the bottom of the pile. Figure 11(a) shows the geometry of the pile and the 452 local stratigraphy; the ground consists of two thick layers of London Clay and Lambeth 453 Group, with varying undrained strength, overlying Thanet Sand.

454 Similar to the previous case study, Fujikura reinforced ribbon cable (JBT-03813) and 8 core single mode fibre (205-301 Excel OS1 8C 9/125 Loose Tube LSOH Black) were used for 455 456 measuring strain and temperature respectively. The installation process was identical to case study 1 where both fibre optic cables were routed along opposite sides of the 457 458 reinforcement cage from the pile head to the top of O-Cell where a 10m long reference loop 459 was located. A pre-strain of 2000µɛ was induced in the strain cable during installation. 460 Anchorage was provided by IC-ROC clamps manufactured by Fujikura. To serve as a 461 comparison, 5 levels of VWSG were installed at 5 levels along the pile depth. The pile test 462 consisted of a single load cycle reaching a maximum of 8.33MN after 7 loading steps and 463 then unloading to zero after 3 steps, as shown in Figure 11 (b).

464 **5.2. Data Interpretation**

Figure 12(a) shows the measured axial strain profiles of the pile for three selected load stages from FO and VWSGs. Considering firstly the VWSGs only, it is shown that, as expected, large values of strain occur at the bottom of the pile (close to the O-cell) and smaller values occur at the top. Interestingly, at a depth of about 19m, there is a significantly higher value of VWSG strain which, in practice, could be considered as not representative of the actual strains in the pile and therefore ignored and discarded by the design engineers.

Eliminating outliers that do not conform to the expected ranges is common in data interpretation as instrument malfunctions do occur occasionally. Signs of VWSG malfunction may not always be clear and these anomalies can be caused by a number of scenarios such as cable damage. In some cases the recorded data is in fact a true representation which can be attributed to changes in ground conditions and construction quality.

476 However, it is observed that the fibre optic cable picks some unexpectedly high values of 477 strain at a depth of about 18-23m. This is unexpected when the pile diameter is uniform at 478 that depth and therefore no step is expected in the axial strains. The data indicates that the 479 pile sustained high localised strains in that region. Similarities in trend for both systems 480 triggered a further investigation into the soil strata where the nearest borehole log (BH04) 481 (distance ~10m) recorded a change in soil layers from lignite beds and lower mottled beds in 482 the Lambeth group at around 18-19m depth. Although such a scenario was not reported in 483 the construction records, the presence of sandy glauconitic clay may have caused a 484 localised collapse during the construction of the pile which may have caused necking of the 485 pile (smaller cross-section). Subsequently, the cross sectional area, A, as well as the 486 integrity of the concrete (e.g. Young's modulus, E) at this location would have been 487 compromised. Therefore, a much higher strain reading would be very likely ($\varepsilon_a = F_a/EA$).

488 Computing the axial force by multiplying the axial strains with a constant axial stiffness, EA, 489 would therefore be unrealistic. Here, a FE model was employed again in which the axial 490 rigidity, EA, of the pile was kept constant along the pile depth, except at depth of 18-23m at 491 which it was reduced. After a parametric study, it was found that, when EA at that location 492 was reduced down to 35% of the initial EA (using again E=30000MPa and A=0.25 π d², 493 where d is the design diameter shown in Figure 11), a good match was obtained between 494 the axial strains (Figure 12 (a)) and the vertical displacements (Figure 12 (c)). This apparent 495 reduction in EA could be due to some pile necking (smaller A) or some mixing of the pile 496 concrete with adjacent ground materials (smaller E). Then, using the results of the FE model, the axial force profiles in the pile were calculated by multiplying the axial strains by 497

498 EA everywhere except at depth 18-23m where 0.35EA was used. The latter profiles are 499 shown in Figure 11 (b) along with the axial force from the FE model. It is shown that a good 500 comparison was obtained between the two monitoring instruments and the relevant 501 numerical analysis. As it may be observed the axial force profiles with the non-uniform EA 502 vary smoothly with the depth (in contrast to the axial strain profiles) and this is expected 503 because of force equilibrium (since the soil spring stiffness values have not been changed). 504 It is appreciated here that the use of 0.35EA for the pile analysis is not ideal and it was 505 literally obtained from a back-analysis matching the observed strain profiles. Perhaps 506 another option would be to conduct a series of solid FE analyses (e.g. 2D axisymmetric) 507 which consider different values of reduced E (of blended concrete and soil) and reduced A 508 (i.e. reduced d^2).

509 Figure 13 (a) shows the calculated shaft friction, SF, profiles for the three chosen values of 510 applied load, from the FE analysis. Again, these were obtained from the FE model that 511 reproduced accurately the monitored axial strain and vertical displacements from FOs (see 512 Figure 12). Furthermore, Figure 13(b)(c) show the evolution of SF with the applied load, P, 513 and the 'local' vertical displacement, u, at two selected depths along the pile, according to 514 the local soil stratigraphy, i.e. in the London Clay and the Lambeth Group. It is shown that 515 Lambeth Group which is deeper and closer to the O-cell exhibits early development of shaft 516 friction with the applied load, P, and that it has a stiffer response than the upper London 517 Clay, which seems to reach a SF plateau of about 35kPa at about 0.01m displacement. In 518 contrast, Lambeth Group shows an increasing development of SF which has not reached an 519 ultimate value in this test.

520 Finally, Figure 13 (d) shows the relevant design t-z curves following the API (2002) 521 methodology. Once again, although there are some differences between the observed 522 (Figure 13 (c)) and design (Figure 13 (d)) curves, in general they seem to agree quite well 523 providing similar values of ultimate pile shaft resistance.

524 **5.3. Remarks**

525 The monitoring data from the FO cables agree very well with the monitoring data from the 526 VWSG. Moreover, the continuity of the FO data is able to highlight a region of localised high 527 strain development which spreads over 6-8m in the pile shaft. A high value of strain was also 528 captured by the VWSG sensors at the same depth, but as this was only a single value it 529 could easily have been ignored and its significant difference from the other data points be 530 erroneously attributed to instrument malfunction. However, the presence of continuous FO 531 data here was able to support the localised high values of strain which might be due to some 532 low quality concrete material of the pile or some mixing of ground material with pile concrete.

533 Moreover, the availability of these monitoring data allows the derivation of shaft friction 534 development curves with the applied load or vertical displacement. These curves show that 535 the developed shaft friction in the deeper soil layers (e.g. Lambeth Group), i.e. closer to the 536 O-cell is, as expected, higher than the corresponding friction at the top of the pile, close to 537 the ground surface.

538 Using Eq. 9 (i.e. based on S_u) and the geotechnical data in Figure 11 one would obtain an 539 ultimate value of shaft capacity of 23-98kPa for the first layer and about 100-121kPa for the 540 second layer. These values compare well with the observed values from FO in Figure 13, 541 which suggest average values of around 30kPa and 150kPa for the two layers. It is shown 542 that the shaft friction values interpreted from the observed FO data are very close to the 543 expected design based on Eq. 9. Finally, if one was to back calculate the values of α in Eq. 544 9, then, the two layers would yield values of α =0.25 and α =0.68 respectively (whereas the 545 common assumption is 0.5 (Tomlinson 1997)).

546 **6.** Conclusions

547 This paper presents the application of distributed fibre optic strain measurement technology 548 for monitoring the actual field behaviour of axially loaded piles. The fibre optic data from 549 three representative case studies of pile load tests conducted recently in London are

analysed and compared to spatially-discrete point VWSGs and relevant simple finite-elementanalyses. The main findings of this study are the following:

552 The BOTDR distributed monitoring system is able to provide a continuous profile of • 553 the induced strain within piles and this offers more confidence in determining the 554 developed shaft friction profiles along the pile. It is also shown that the availability of 555 continuous strain measurements offers a clear view of the condition of the entire pile 556 and hence provides an indication of any localised regions of weakness, shaft area 557 inhomogeneity or strain concentration. This is clearly a limitation of discrete monitoring systems such as VWSG, which do not provide adequate information for 558 559 the whole length of the pile.

560

The distributed FO data can provide reliable information about vertical pile
 displacements by direct integration of the spatially-continuous strain data. The
 calculated displacements from the FO strains were verified against the
 displacements obtained from a relevant FE model. It was found that such vertical
 displacement profiles are very useful in calibrating the model parameters of a FE
 model.

567

An available and reliable set of monitoring data over the whole length of the pile
 allows an estimation of the shaft friction development curves with the applied load or
 vertical displacement (load-transfer) which may be used in future design of piles in a
 similar geographical region and soil stratigraphy.

572

The obtained values of shaft friction and base resistance were compared with
 expected values from existing methods of geotechnical design (e.g. α and β methods) and were generally found to be in good agreement. The "observed" values

- 576 of α and β were back-analysed and were also found to be, in general, in good 577 agreement with the suggested values from the literature.
- 578
- The obtained load-transfer (t-z and q-z) curves were compared with design curves
 from the literature (API). Although notable differences were observed regarding the
 pile base curves, the pile shaft curves were generally in good agreement.

582 Acknowledgements

583 This research was conducted within the Centre for Smart Infrastructure and Construction 584 (CSIC) of the University of Cambridge, funded by EPSRC and Innovate UK. Their financial assistance is gratefully acknowledged. Also, the assistance of the CSIC team is 585 586 acknowledged, including Professor Lord Robert Mair, Dr Jennifer Schooling, Peter Knott, Jason Shardelow and Jules Birks. Finally, the Authors would like to acknowledge the 587 588 contribution of the numerous CSIC Industry partners, especially ARUP (Duncan Nicholson, 589 Paul Morrison, Stuart Pennington), Cementation Skanska (Andrew Bell, Martin Pedley, Rab 590 Fernie) and Laing O'Rourke.

591 Nomenclature

- 592 A pile cross-sectional area
- 593 C_E optical fibre parameter
- 594 C_T optical fibre parameter
- 595 C_{TT} optical fibre parameter
- 596 d nonlinear model degradation parameter
- 597 D pile diameter
- 598 E pile Young's modulus

- 599 F_a axial pile force
- 600 h nonlinear model hardening parameter
- 601 k_m nonlinear model maximum subgrade modulus parameter
- 602 L total length of pile
- 603 M optical fibre strain coefficient
- 604 N optical fibre temperature coefficient
- 605 N_c pile end bearing capacity factor
- 606 n_f fibre core refractive index
- 607 P top load value
- 608 q_b pile base pressure
- 609 r pile radius
- 610 SF shaft friction
- 611 S_u undrained soil shear strength
- 612 t nonlinear model shear stress parameter
- 613 t_m nonlinear model maximum shear stress parameter
- 614 u vertical displacement
- 615 v_{α} acoustic velocity in the optical fibre
- 616 v_b central Brillouin frequency
- 617 v_{b0} central Brillouin frequency at zero strain and temperature difference
- 618 y depth

- 619 z local vertical displacement
- α adhesion factor
- ΔT temperature change
- 622 Δv_{bS} Brillouin frequency change reading from "strain cable"
- Δv_{bT} Brillouin frequency change reading from "temperature cable"
- γ soil unit weight
- ϵ_a axial pile strain
- ϵ_{mech} mechanical strain
- ϵ_{real} real (observed) strain
- ϵ_{temp} thermal expansion strain
- λ_1 wavelength of the input light
- 630 v Poisson's ratio

References

- Abchir, Z, Burlon, S, Frank, R, Habert, J & Legrand, S 2015, 't–z curves for piles from
 pressuremeter test results', *Géotechnique*, vol 66, no. 2, pp. 137-148.
- Acikgoz, MS, Pelecanos, L, Giardina, G, Aitken, J & Soga, K 2017, 'Distributed sensing of a
 masonry vault during nearby piling', *Structural Control and Health Monitoring*, vol 24, no. 3,
 p. e1872.
- Acikgoz, MS, Pelecanos, L, Giardina, G & Soga, K 2016, 'Field monitoring of piling effects
 on a nearby masonry vault using distributed sensing.', *International Conference of Smart Infrastructure and Construction*, ICE Publishing, Cambridge.

API 2002, 'API Recommended Practice. Planning, Designing and Constructing Fixed
Offshore Platforms - Working Stress Design', American Petroleum Institute, 2A-WSD,
American Petroleum Institute.

Bathe, KJ 1996, *Finite Element Procedures*, 1st edn, Prentice Hall, New Jersey.

644 Berezantzev, VG, Khristoforov, VS & Golubkov, VN 1961, 'Load bearing capacity and 645 deformation of piled foundations', *Proc. 5th Int. Conf. on Soil Mechanics and Foundation* 646 *Engineering*, Paris.

Bustamante, M & Gianeselli, L 1982, 'Pile bearing capacity predictions by means of static', *Proc., 2nd European Symp. on Penetration Testing*, Amsterdam.

649 Butterfield, R & Banergee, PK 1971, 'The elastic analysis of compressible piles and pile 650 groups', *Geotechnque*, vol 21, no. 1, pp. 43-60.

Cheung, L, Soga, K, Bennett, PJ, Kobayashi, Y, Amatya, B & Wright, P 2010, 'Optical fibre
strain measurement for tunnel lining monitoring', *Proceedings of the ICE - Geotechnical Engineering*, vol 163, no. 3, pp. 119-130.

Comodromos, EM & Bareka, SV 2009, 'Response evaluation of axially loaded fixed-head
pile groups in clayey soils', *International Journal for Numerical and Analytical Methods in Geomechanics*, vol 33, no. 17, pp. 1839-1865.

de Battista, N, Elshafie, MZEB, Soga, K, Williamson, M, Hazelden, G & Hsu, YS 2015,
'Strain monitoring using embedded distributed fibre optic sensors in a sprayed concrete
tunnel lining during the excavation of cross-passages', 7th International Conference on
Structural Health Monitoring and Intelligent Infrastructure (SHMII7), Torino, Italy.

de Battista, N, Kechavarzi, C, Seo, H, Soga, K & Pennington, S 2016, 'Distributed fibre optic
sensors for measuring strain and temperature of cast-in-situ concrete test piles',

663 *Proceedings of the International Conference on Smart Infrastructure and Construction* 664 *(ICSIC)*, Thomas Telford, Cambridge, UK.

Di Murro, V, Pelecanos, L, Soga, K, Kechavarzi, C, Morton, RF & Scibile, L 2016,
'Distributed fibre optic long-term monitoring of concrete-lined tunnel section TT10 at CERN.', *International Conference of Smart Infrastructure and Construction*, ICE Publishing,
Cambridge.

Doherty, P, Igoe, D, Murphy, G, Gavin, K, Preston, J, McAvoy, C, Byrne, BW, McAdam, R,
Burd, HJ, Houlsby, GT, Martin, CM, Zdravkovic, LT, Taborda, DMG, Potts, DM, Jardine, RJ,
Sideri, M, Schroeder, FC, Muir Wood, A, Kallehave, D & Skov Gretlund, J 2015, 'Field
validation of fibre Bragg grating sensors for measuring strain on driven steel piles', *Géotechnique Letters*, vol 5, no. 2, pp. 74-79.

- Eslami, A & Fellenius, BH 1997, 'Pile capacity by direct CPT and CPTU methods applied to
 102 case histories', *Can. Geotech. J*, vol 34, pp. 886-904.
- Fellenius, BH 1989, 'Prediction of pile capacity', ASCE Symposium on Predicted and
 Observed Behavior of Piles.
- Frank, R & Zhao, SR 1982, 'Estimating the Settlement of Axially Loaded Bored Piles in Fine
 Sand by PMT Data', *Bull. Liaison LPC*, vol 119.
- Goldfeld, Y & Klar, A 2013, 'Damage Identification in Reinforced Concrete Beams Using
 Spatially Distributed Strain Measurements', *Journal of Structural Engineering*, vol 139, no.
 12, pp. 1-11.
- Hauswirth, D, Puzrin, AM, Carrera, A, Standing, JR & Wan, MSP 2014, 'Use of fibre-optic
 sensors for simple assessment of ground surface displacements during tunnelling', *Geotechnique*, vol 64, no. 10, pp. 837-842.

- Horiguchi, T, Shimizu, K, Kurashima, T, Tateda, M & Koyamada, Y 1995, 'Development of a
 distributed sensing technique using Brillouin scattering', *Journal of Light-wave Technology*,
 vol 13, no. 7, pp. 1296-1302.
- 689 Iten, M 2011, 'Novel applications of distributed fiber-optic sensing in geotechnical
 690 engineering', PhD Thesis, ETH, Zurich.
- Jardine, RJ & Chow, FC 1996, 'New design methods for offshore piles', MTD, Centre for
 Petroleum and Marine Technology (CPMT), 96/103, London.
- Kechavarzi, C, Soga, K, de Battista, N, Pelecanos, L, Elshafie, MZEB & Mair, RJ 2016, *Distributed Fibre Optic Strain Sensing for Monitoring Civil Infrastructure*, Thomas Telford,
 London.
- Kersey, AD & Morey, WW 1993, 'Multiplexed Bragg grating fibre-laser strain-sensor system
 with mode-locked interrogation', *Electron. Lett*, vol 29, no. 1, pp. 112-114.
- Kitiyodom, P & Matsumoto, T 2003, 'A simplified analysis method for piled raft foundations in
 non-homogeneous soils.', *International Journal for Numerical and Analytical Methods in Geomechanics*, vol 27, pp. 85-109.
- Klar, A, Bennett, PJ, Soga, K, Mair, RJ, Tester, P, Fernie, R, St John, HD & Thorp-Peterson,
 G 2006, 'Distributed strain measurement for pile foundations', *Proceedings of the ICE - Geotechnical Engineering*, vol 159, no. 3, pp. 135-144.
- Klar, A, Dromy, I & Linker, R 2014, 'Monitoring tunneling induced ground displacements
 using distributed fiber-optic sensing', *Tunnelling and Underground Space Technology*, vol
 40, pp. 141-150.
- 707 Knappett, JA & Craig, RF 2012, *Craig's Soil Mechanics*, 8th edn, CRC Press, London.
- Kraft, ML, Ray, RP & Kakaaki, T 1981, 'Theoretical t-z curves', *J. Geotech. Engrg. Div. ASCE*, vol 107, no. 11, pp. 1543-1561.

- Kulhawy, FH & Prakoso, WA 1999, 'Discussion of "End Bearing Capacity of Drilled Shafts in
 Rock', *J. Geotech Eng ASCE*, vol 125, no. 12, pp. 1106-1109.
- Kurashima, T, Horiguchi, T, Izumita, H & Tateda, M 1993, 'Brillouin Optical-Fiber Time
 Domain Reflectometry', *IEICE Transactions on Cummunications*, vol E76-B, no. 4, pp. 382390.
- Lee, CY 1993, 'Pile group settlement analysis by hybrid layer approach', *Journal of the Geotechnical Engineering Division, ASCE*, vol 119, no. 6, pp. 984-997.
- 717 Lee, W, Lee, W, Lee, S & Salgado, R 2004, 'Measurement of pile load transfer using the
- Fiber Bragg Grating sensor system', *Canadian Geotechnical Journal*, vol 41, no. 6, pp. 1222-1232.
- Lehane, BM, Jardine, RJ, Bond, AJ & R., F 1993, 'Mechanisms of shaft friction in sand from
 instrumented pile tests', *Journal of Geotechnical Engineering*, vol 119, no. 1, pp. 19-35.
- Levenberg, K 1944, 'A Method for the Solution of Certain Non-Linear Problems in Least
 Squares', *Quarterly of Applied Mathematics*, vol 2, pp. 164-168.
- Linker, R & Klar, A 2015, 'Detection of Sinkhole Formation by Strain Profile Measurements
 Using BOTDR: Simulation Study', *Journal of Engineering Mechanics*, pp. 1-10.
- Liu, J & Zhang, M 2012, 'Measurement of residual force locked in open-ended pipe pile using FBG-based sensors', *Electron. J. Geotech. Eng*, vol 17, pp. 2145-2154.
- Marduardt, D 1963, 'An Algorithm for Least-Squares Estimation of Nonlinear Parameters', *SIAM Journal on Applied Mathematics*, vol 11, no. 2, pp. 431-441.
- McCabe, BA & Lehane, BM 2006, 'Behavior of Axially Loaded Pile Groups Driven in Clayey
 Silt', *Journal of Geotechnical and Geoenvironmental Engineering*, vol 132, no. 3, pp. 401410.

- Ménard, L 1963, 'Calcul de la force portante des fondations à partir des essais
 pressiométriques', *Sols-Soils*, vol 6, pp. 9–27.
- Meyerhof, GG 1965, 'Shallow foundations', *Journal of Soil Mechanics and Foundations Division, ASCE*, vol 91, no. 2, pp. 21-31.
- Mohamad, H 2007, 'Distributed optical fibre strain sensing of geotechnical structures. PhD
 Thesis', University of Cambridge, Cambridge, UK.
- Mohamad, H, Bennett, PJ, Soga, K, Mair, RJ & Bowers, K 2010, 'Behaviour of an old
 masonry tunnel due to tunnelling-induced ground settlement', *Geotechnique*, vol 60, no. 12,
 pp. 927-938.
- Mohamad, H, Soga, K, Bennett, PJ, Mair, RJ & Lim, CS 2012, 'Monitoring Twin Tunnel
 Interaction Using Distributed Optical Fiber Strain Measurements', *Journal of Geotechnical and Geoenvironmental Engineering*, vol 138, no. 8, pp. 957-967.
- Mohamad, H, Soga, K, Pellew, A & Bennett, PJ 2011, 'Performance Monitoring of a SecantPiled Wall Using Distributed Fiber Optic Strain Sensing', *Journal of Geotechnical and Geoenvironmental Engineering, ASCE*, vol 137, no. 12, pp. 1236-1243.
- 748 Osterberg, JO 1984, 'A new simplified method for load testing drilled shafts', *Foundation*749 *Drilling*, ADSC.
- Ouyang, Y, Broadbent, K, Bell, A, Pelecanos, L & Soga, K 2015, 'The use of fibre optic
 instrumentation to monitor the O-Cell load test on a single working pile in London', *Proceedings of the XVI European Conference on Soil Mechanics and Geotechnical Engineering*, Edinburgh.
- Pelecanos, L & Soga, K 2017, 'Using distributed strain data to evaluate load-transfer curves
 for axially loaded piles', *Journal of Geotechnical & Geoenvironmental Engineering, ASCE (Submitted).*

- Pelecanos, L, Soga, K, Chunge, MPM, Ouyang, Y, Kwan, V, Kechavarzi, C & Nicholson, D
 2017, 'Distributed fibre-optic monitoring of an Osterberg-cell pile test in London.', *Geotechnique Letters*, vol 7, no. 2, pp. 1-9.
- Pelecanos, L, Soga, K, Hardy, S, Blair, A & Carter, K 2016, 'Distributed fibre optic monitoring
- of tension piles under a basement excavation at the V&A museum in London.', *International*
- 762 Conference of Smart Infrastructure and Construction, ICE Publishing, Cambridge.
- Poulos, HG 1989, 'Pile behaviour theory and application', *Geotechnique*, vol 39, no. 3, pp.
 365-415.
- Poulos, HG & Davis, EH 1974, *Elastic solutions for soil and rock mechanics*, Wiley, NewYork.
- 767 Randolph, MF 2003, 'Science and empiricism in pile foundation design', *Geotechnique*, vol
 768 53, no. 10, pp. 847-875.
- 769 Salgado, R 2008, *The engineering of foundations*, McGraw Hill, New York.
- Savitzky, A & Golay, MJE 1964, 'Smoothing and Differentiation of Data by Simplified Least
 Squares Procedures', *Analytical Chemistry*, vol 36, no. 8, pp. 1627-1639.
- Schwamb, T & Soga, K 2015, 'Numerical modelling of a deep circular excavation at Abbey
 Mills in London', *Geotechnique*, vol 65, no. 7, pp. 604-619.
- Schwamb, T, Soga, K, Mair, RJ, Elshafie, MZEB, R., S, Boquet, C & Greenwood, J 2014,
 'Fibre optic monitoring of a deep circular excavation', *Proceedings of the ICE Geotechnical*
- 776 *Engineering*, vol 167, no. 2, pp. 144-154.
- Seo, H-J, Pelecanos, L, Kwon, Y-S & Lee, IM 2017, 'Net load–displacement estimation in
 soil-nail pullout tests', *Proceedings of the Institution of Civil Engineers Geotechnical Engineering*, pp. 1-14.

Soga, K 2014, 'XII Croce Lecture: Understanding the real performance of geotechnical
structures using an innovative fibre optic distributed strain measurement technology', *Rivista Italiana di Geotechnica*, vol 4, pp. 7-48.

Soga, K, Kechavarzi, C, Pelecanos, L, de Battista, N, Williamson, M, Gue, CY, Di Murro, V &
Elshafie, M 2017, 'Distributed fibre optic strain sensing for monitoring underground
structures - Tunnels Case Studies ', in S Pamukcu, L Cheng (eds.), *Underground Sensing*,
1st edn, Elsevier.

Soga, K, Kwan, V, Pelecanos, L, Rui, Y, Schwamb, T, Seo, H & Wilcock, M 2015, 'The role
of distributed sensing in understanding the engineering performance of geotechnical
structures', *Proceedings of the XVI European Conference on Soil Mechanics and Geotechnical Engineering*, Edinburgh.

Stas, CV & Kulhawy, FH 1984, 'Critical evaluation of design methods for foundation under
axial uplift & compression loading', Electric Power Research Institute, EL-3771, Palo Alto.

Tomlinson, MJ 1997, 'The adhesion of piles driven in clay', *Proc. 4th Intern. Conf. Soil. Mech.*, London.

Tomlinson, M & Woodward, J 2014, *Pile design and construction practice*, CRC Press.

796

797 Appendix A

The numerical finite element analysis used in this paper is described in Figure 14. A vertical axially-loaded pile is modelled with a series of linear-elastic two-noded beam elements with vertical displacement degrees-of-freedom only and a series of nonlinear springs, representing the surrounding soil, attached to each node.

The behaviour of the soil spring is governed by a nonlinear load-transfer curve that follows the Degradation and Hardening Hyperbolic Model (DHHM) model of (Pelecanos & Soga 2017) described by Eq. 13.

$$t = \frac{k_m z}{\sqrt[d]{\left(1 + \left(\frac{k_m}{t_m}z\right)^{hd}\right)}}$$

806 Eq. 13

807 Where k_m is the maximum stiffness for displacement, z=0 (units: [force/length³]), t_m is the 808 "maximum" value of shear stress, t (maximum only in the case of no hardening/softening, i.e. h=0) (units: [force/length2]), d is the degradation parameter (units: [-]), that governs the 809 810 degradation of subgrade modulus, k, with displacement, z, and h is the hardening parameter 811 (units: [-]), that mostly governs the model behaviour at large displacements, z. It should be 812 noted here that some t-z curves (see Section 1) include the effect of the pile diameter too, 813 but in the considered cases that mostly involved London Clay and large pile diameters (i.e. 814 no significant arching) it is expected that the diameter doesn't affect the obtained t-z curves.

The values of the 4 parameters of the model (k_m , t_m , d, h) are obtained by matching the axial strain, $\varepsilon_a(z)$, and vertical displacement, u(z), profiles resulting from the numerical model and those observed in the field as shown in Figure 6 (a) (c), Figure 9 (a) (c) and Figure 12 (a) (c).

The equations satisfying global equilibrium of the pile-soil problem follow a standard static finite element formulation (Bathe 1996) and are described by Eq. 14.

820 $\left[K_p + K_s\right] \cdot \{u\} = \{F\}$

821 Eq. 14

Where, $[K_p]$ and $[K_s]$ are the global pile and soil stiffness matrices respectively, which contain information about the geometry and the material properties of the pile and soil respectively, $\{u\}$ is the vector of the displacement degrees-of-freedom and $\{F\}$ is the vector of the externally applied forces. Boundary conditions applied consist only of the applied load which is specified as a known value in the {F} vector; at the first node for a top-loaded pile or at the last node for a bottomloaded O-cell test. Finally, the numerical model parameters adopted for the analyses of the case studies presented in this paper (which, as explained before, were obtained by matching the observed pile response) are listed in Table 1.

831 Table 1. Parameters of the numerical FE beam-spring model for all cases considered

| Case 1 – Broadgate Pile | | | | | | | | | | | | |
|-----------------------------|-----------|--|-------------------------------------|------|------|--|--|--|--|--|--|--|
| Layer | Depth [m] | k _m [MN/m ³] | t _m [MN/m ²] | d [] | h [] | | | | | | | |
| 1 | 0 – 6 | 8 | 0.011 | 2 | 0.8 | | | | | | | |
| 2 | 6 – 12 | 14 | 0.157 | 0.9 | 1.5 | | | | | | | |
| 3 | 12 – 19 | 16 | 0.136 | 2.5 | 1 | | | | | | | |
| 4 | 19 – 25 | 2 | 0.008 | 1.2 | 1 | | | | | | | |
| Base | 25 | 459 | 65573 | 1 | 1 | | | | | | | |
| Case 2 – East Village Pile | | | | | | | | | | | | |
| Layer | Depth [m] | k _m [MN/m³] | t _m [MN/m²] | d [] | h [] | | | | | | | |
| 1 | 0 – 14 | 14 | 0.053 | 1 | 1 | | | | | | | |
| 2 | 14 – 23 | 37 | 0.223 | 1 | 1 | | | | | | | |
| 3 | 23 – 32 | 24 | 0.195 | 1.6 | 1 | | | | | | | |
| Base | 32 | 513 | 17.113 | 1 | 1 | | | | | | | |
| Case 3 – Francis Crick Pile | | | | | | | | | | | | |
| Layer | Depth [m] | epth [m] k _m [MN/m ³] t _m [MN/m ²] | | d [] | h [] | | | | | | | |
| 1 | 0 – 21 | 21 | 0.036 3 | | 1 | | | | | | | |
| 2 | 1 – 25 | 57 | 0.117 | 3 | 0.7 | | | | | | | |

833 Appendix B

The data used for the API (2002) curves shown in Figure 7, Figure 10, Figure 13 are listed in Table 2. These curves depend only on the soil material properties and the geometry (diameter, D) of the pile. The values for t_{ult} were obtained by using Eq. 9 and Eq. 10 for clay and sand respectively, whereas those for q_{ult} were obtained by using Eq. 11 and Eq. 12 for clay and sand respectively.

| t-z for sand | | | | | | | | | | | | |
|------------------------|---|--------|--------|--------|-------|------|------|------|--|--|--|--|
| z [in] | 0 | 0.1 | 0.4 | | | | | | | | | |
| t/t _{ult} [-] | 0 | 1 | 1 | | | | | | | | | |
| t-z for clay | | | | | | | | | | | | |
| z/D [-] | 0 | 0.0016 | 0.0031 | 0.0057 | 0.008 | 0.01 | 0.02 | 0.03 | | | | |
| t/t _{ult} [-] | 0 | 0.3 | 0.5 | 0.75 | 0.9 | 1 | 0.9 | 0.9 | | | | |
| q-z for sand & clay | | | | | | | | | | | | |
| z/D [-] | 0 | 0.002 | 0.13 | 0.042 | 0.073 | 0.1 | 0.2 | | | | | |
| q/q_ult | 0 | 0.25 | 0.5 | 0.75 | 0.9 | 1 | 1 | | | | | |

839 Table 2. Data used for the API (2002) curves.

840

841 **Figure Captions**

- Figure 1. Principle of distributed fibre optic sensing using BOTDR.
- Figure 2. Fibre optic cables used at the pile cases studied: (a) Fujikura reinforced "strain cable" and (b) Unitube "temperature cable".
- 845 Figure 3. Schematic illustration of FO installation and monitoring of piled foundations.
- Figure 4. View of installed fibre optic cables and vibrating wire strain gauges on the pile cage: (a) detailed view of clamp, (b) general view of installed sensors.
- Figure 5. Description of Case 1 Broadgate pile load test case: (a) pile geometry &
 soil stratigraphy, (b) test schedule
- Figure 6. Monitored data profiles for Case 1 Broadgate: (a) axial strain, (b) axial
 force and (c) vertical displacement.

- Figure 7. Calculated pile shaft friction from FE analysis for Case 1 Broadgate: (a) shaft friction profiles, (b) shaft friction development with applied load, (c) shaft friction development with vertical displacement, and (d) relevant API t-z and q-z curves.
- Figure 8. Description of Case 2 East Village pile load test case: (a) pile geometry &
 soil stratigraphy, (b) test schedule
- Figure 9. Monitored data profiles for Case 2 East Village: (a) axial strain, (b) axial force and (c) vertical displacement.
- Figure 10. Calculated pile shaft friction from FE analysis for Case 2 East Village: (a) shaft friction profiles, (b) shaft friction development with applied load, (c) shaft friction development with vertical displacement, and (d) relevant API t-z and q-z curves.
- Figure 11. Description of Case 3 Francis Crick pile load test case: (a) pile geometry
 & soil stratigraphy, (b) test schedule
- Figure 12. Monitored data profiles for Case 3 Francis Crick: (a) axial strain, (b) axial
 force and (c) vertical displacement.
- Figure 13. Calculated pile shaft friction from FE analysis for Case 3 Francis Crick:
 (a) shaft friction profiles, (b) shaft friction development with applied load, (c) shaft
 friction development with vertical displacement, and (d) relevant API t-z curves.
- Figure 14. Numerical analysis model of pile-soil interaction: (a) pile, (b) axial strain distribution, (c) top load-displacement, (d) numerical beam-spring model and (e) loadtransfer curve.
- 874















Broadgate pile test





































Francis Crick pile test



















