

## RESEARCH PAPER

# Existence of solution for some quasi-homogenous and quasi-elliptic Nonlinear Eigenvalue Problems

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### ABSTRACT:

The existence of solutions for a non linear eigenvalue problems is well studied and proved for n even. In this article we will study the case of odd dimension  $n > 1$  for the family of quasi-homogeneous and quasi-elliptic operators and we will give some examples for the case  $n=3$ . We study the conditions for which we can prove the existence of non trivial solution for each case.

KEY WORDS: Nonlinear eigenvalue problems, spectra, trace, quasi-elliptic operators, quasi-homogeneous operators.

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### INTRODUCTION :

In this article we study the existence of the non-trivial solutions for a non-linear eigenvalue problem with some quasi-homogenous and quasi-elliptic operators defined on an odd dimensional space.

In 2003, Helffer, Robert and Wang have proved the existence of eigenvalues for the non-linear eigenvalue problems for every even dimension.

For the case of odd dimension: the case  $n = 1$  was studied by [Robert, 2004], [Christ, 1992] and [Aboud, 2009, 2010]. The case odd  $n > 1$  was studied in [Aboud, 2009] (doctorate thesis), in which the author proved the existence of non-trivial eigenvalues for some quadratics families of operators for the cases of dimensions  $n = 1, 3, 5$  and  $7$  and a conjecture was given for the upper odd dimensions.

In [Aboud, 2018], the existence of the non-trivial solutions for a non-linear eigenvalue problem with a quasi-homogeneous operator defined on an odd dimensional space were proved.

### 2. PRELIMINARIES

In this section we give some well-known results. Let  $T$  be a compact operator on  $H$ , where  $H$  is a separable Hilbert space. If  $r(T) \neq 0$  (spectral radius) one order the non-zero eigenvalues of  $T$  in a decreasing sequence in modulo

$$|\lambda_1(T)| \geq |\lambda_2(T)| \geq \dots \geq |\lambda_n(T)| \geq \dots$$

every eigenvalue be repeated following its algebraic multiplicity. For proving the existence of non-trivial eigenvalues, we use the following theorem:

**Theorem 2.1 Theorem of Lidskii (1958)** For every  $T \in C^1(H)$  we have  $Tr(T) = \sum_{j \geq 1} \lambda_j(T)$ .

We get directly the following corollary:

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**Corollary 2.1** *If there exists  $k \geq 1$  such that  $Tr(T^k) \neq 0$ , then  $\sigma(T) \neq \{0\}$ .*

**Definition 2.1** *Let  $X$  be a Banach space, an application  $f: R^n \setminus \{0\} \rightarrow X$ , a multi-index*

$$\underline{h} \in N^n, \underline{h} = (h_1, \dots, h_n)$$

and  $d \in C$ . One says that  $f$  is quasi-homogeneous of order  $d$  and of type  $h$  if

$$f(\rho^{h_1}x_1, \dots, \rho^{h_n}x_n) = \rho^d(x_1, \dots, x_n),$$

for each  $\rho > 0$  and  $(x_1, \dots, x_n) \in R^n \setminus \{0\}$ .

We give now the definition of quasi-homogeneous symbol.

**Definition 2.2** *For the two multi-indices  $\underline{h} \in N^n, \underline{h} = (h_1, \dots, h_n)$  and  $\underline{k} \in N^n, \underline{k} = (k_1, \dots, k_n)$*

we set

$$M = LCM\{h_1, \dots, h_n, k_1, \dots, k_n\}.$$

For,  $a$  is a  $C^\infty$  function such that

$$a: R^{2n} \setminus \{0\} \rightarrow L(H)$$

with

$$a(\rho^{h_1}x_1, \dots, \rho^{h_n}x_n, \rho^{h_1}\xi_1, \dots, \rho^{h_n}\xi_n) = \rho^M a(x_1, \dots, x_n, \xi_1, \dots, \xi_n),$$

where  $L(H)$  is the space of continuous linear operators from  $H$  to  $H$ ,  $x \in R^n, (x_1, \dots, x_n)$  and  $\xi \in R^n, (\xi_1, \dots, \xi_n)$  and

$$\begin{aligned} \varphi_{\underline{h}, \underline{k}}(x, \xi) &= \phi_{\underline{h}, \underline{k}}(x, \xi) \\ &= (1 + \sum_{i=1}^n |x_i|^{2p_i} \\ &\quad + \sum_{i=1}^n |\xi_i|^{2q_i}) \end{aligned}$$

where  $p_i = \frac{M}{h_i}, q_i = \frac{M}{k_i}, 1 \leq i \leq n, (x, \xi) \in R^{2n}$ .

**2.1 CONDITIONS OF TRACE CLASS AND HILBERT-**

**SCHMIDT CLASS OF THE SYMBOLS**

In the following we give a result which help us to know if the operator is of trace class (or of Hilbert-Schmidt class) by using the formula of the associated symbol. For the proof of the following lemmas see [Rndeaux, 1984] :

**Lemma 2.1** *Let  $\sigma^w(L)$  be the Weyl symbol of  $L$ . One has  $L$  is an operator Hilbert Schmidt iff  $\sigma^w(L) \in L^2(R^{2n})$ .*

**Lemma 2.2** *Let  $\sigma^w(L)$  be the Weyl symbol of  $L$ . One has  $L \in C_p$  if :*

$$\sum_{0 \leq |\alpha| + |\beta| \leq k(p)} \left( \int \int |D_x^\alpha D_\xi^\beta \sigma(L)|^p dx d\xi \right)^{\frac{1}{p}},$$

with  $k(p)$  even integer  $> 2n(\frac{2}{p} - 1)$  if  $p \in [1, 2]$ ,  $k(p)$  even integer  $> 3n(1 + \frac{2}{p})$  if  $p \in ]2, \infty[$ .

We recall the following lemma (for the proof see [Aboud, 2009]) we prove the following lemma:

**Lemma 2.3** *For an operator  $L$  the symbol  $\sigma(L)$  quasi homogenous of order  $M$  and of type*

$$(\underline{k}, \underline{\ell}) = (k_1, k_2, \ell_1, \ell_2) \text{ such that}$$

$$\sigma(L)(\rho^{k_1}x, \rho^{k_2}y, \rho^{\ell_1}\xi, \rho^{\ell_2}\eta) = \rho^M(x, y, \xi, \eta),$$

i.e.:  $\sigma(L) \in S_{\underline{k}, \underline{\ell}}^M$ ,

where  $(x, y) \in R^{n_1} \times R^{n_2}, (\xi, \eta) \in R^{n_1} \times R^{n_2}$  and  $n_1 + n_2 = n$ . Then:

i)  $L$  is of trace class if  $\sigma(L) \in S_{\underline{k}, \underline{\ell}}^M$  with the condition:  $M + (n_1k_1 + n_2k_2 + \ell_1n_1 + \ell_2n_2) < 0$ . (1)

ii)  $L$  is of Hilbert-Schmidt class if  $\sigma(L) \in S_{\underline{k}, \underline{\ell}}^M$  with the condition:

$$2M + (n_1k_1 + n_2k_2 + \ell_1n_1 + \ell_2n_2) < 0.$$

**Theorem 2.2 (Theorem of Composition)**

One suppose that  $\varphi, \phi$  are weight functions, if  $A \in L_{\varphi, \phi}^{\mu_1}$  with symbol  $a \in S_{\varphi, \phi}^{\mu_1}$  and  $B \in L_{\varphi, \phi}^{\mu_2}$  of symbol  $b \in S_{\varphi, \phi}^{\mu_2}$ , then  $AB \in L_{\varphi, \phi}^{\mu_1 + \mu_2}$ . Moreover, the symbol  $a.b$  of  $AB$  admits an asymptotic expression

$$a.b \approx \sum_{\alpha, \beta} \Gamma(\alpha, \beta) \left( \partial_{\xi}^{\alpha} D_x^{\beta} a \right) \left( \partial_{\xi}^{\beta} D_x^{\alpha} b \right),$$

in the following sense:

for an integer number  $N$  one has

$$a.b - \sum_{|\alpha + \beta| < N} \Gamma(\alpha, \beta) \left( \partial_{\xi}^{\alpha} D_x^{\beta} a \right) \left( \partial_{\xi}^{\beta} D_x^{\alpha} b \right) \in S^{\mu_1 + \mu_2 - (N, N)},$$

where  $\Gamma(\alpha, \beta) = \left(\frac{1}{2}\right)^{\alpha} \left(-\frac{1}{2}\right)^{\beta} \frac{1}{\alpha! \beta!}$ .

**3 FUNCTIONAL ANALYSIS OF THE PROBLEM**

Let  $H$  be a complex Hilbert space and let

$$L(\lambda) = H_0 + \lambda H_1 + \dots + \lambda^{m-1} H_{m-1} + \lambda^m, \quad (3)$$

$L$  is a family of non bounded operators on  $H$ , where  $\lambda \in \mathbb{C}$ .

In addition,  $H_0$  is a closed operator with a dense domain  $D(H_0)$ . The operators  $H_1, \dots, H_{m-1}$  are defined on  $D(H_0)$ .

We consider the following hypothesis:

**Hypothesis (H1):**  $H_0$  is a self-adjoint positive operator of the domain  $D(H_0)$  in  $H$ .

**Hypothesis (H2):** For each integer  $j$ ,  $0 \leq j \leq k - 1$ , the operators  $H_0^{-1} H_j$  and  $H_0^{-1} H_j$  are bounded in  $H$ .

To give the third hypothesis, we give the following definition:

**Definition 3.1** Let  $H_1, H_2$  be two complex Hilbert spaces and  $T: H_1 \rightarrow H_2$  a compact operator. We

denote by  $(\mu_j(T))_{j \geq 1}$  the decreasing sequence of eigenvalues of  $(T^*T)^{\frac{1}{2}}$  where each eigenvalue repeated corresponding to its multiplicity. Let  $p$  strictly positive real number. We say that:  $T \in C^p(H_1, H_2)$  if

$$\sum_{j=1}^{\infty} \mu_j(T)^p < +\infty$$

where  $C^p$  denote the class of Schatten.

**Hypotheses (H3):** There exists a real  $p > 0$  such that:  $H_0^{-\frac{1}{m}} \in C^p(H)$ .

So we have the following result:

**Proposition 3.1** Under the previous hypothesis one has:

- i)  $L(\lambda)$  define a closed operator of domain  $D(H_0)$ .
- ii) If  $L(\lambda)^{-1}$  exists, then it is compact.
- iii)  $\forall \lambda \in \mathbb{C}$ ,  $L(\lambda)$  is an operator with index and  $Ind(L(\lambda)) = 0$ .

**4 QUASI-ELLIPTIC AND QUASI-HOMOGENOUS OPERATORS**

**4.1 ELLIPTIC SYMBOLS**

**Definition 4.1** Let  $A$  be an operator with the symbol  $\sigma(A)(x, \xi)$  such that:

$$\sigma(A)(x, \xi) = \sum_{j \geq 0} a_{m-j}(x, \xi).$$

we say that  $A$  is elliptic if  $a_m(x, \xi) \neq 0$  for  $(x, \xi) \in \mathbb{R}^n \times (\mathbb{R}^n \setminus \{0\})$ .

Now, let  $A$  be an elliptic operator of order  $m > 0$ , invertible and has minimum

increasing radius (i.e. the radius  $arg \lambda = \theta$  in  $\mathbb{C}$  is a minimum increasing radius if this radius does not contain any proper value of  $a_m(x, \xi)$ ). The symbol of  $A$  is:

$$\sigma(A)(x, \xi) = \sum_{j=0}^{\infty} a_m(x, \xi),$$

where  $a_{m-j}$  is homogenous of order  $m - j$ .

**Definition 4.2** One says that the symbol  $a(x, \xi)$  is poly-homogenous, if  $a(x, \xi)$  of the following form:

$$a(x, \xi) = \sum_{\ell \in \mathbb{N}} a_{M-\ell}(x, \xi),$$

with  $a_{M-\ell}(x, \xi)$  is homogenous of order  $M - \ell$ .

**Definition 4.3** One says that the symbol  $a(x, \xi)$  is poly-quasi-homogenous, if  $a(x, \xi)$  is of the following form:  $a(x, \xi) = \sum_{\ell \in \mathbb{N}} a_{M-\ell}(x, \xi)$ ,

with  $a_{M-\ell}(x, \xi)$  is quasi-homogenous of order  $M - \ell$ .

**Definition 4.4** One says that the symbol  $a(x, \xi)$  is quasi-elliptic if it is poly- quasi-homogenous with the fact that its principal symbol principal does not vanish out of zero, i.e.:  $a_M(x, \xi) \neq 0$ , for  $(x, \xi) \in \mathbb{R}^{2n} \setminus \{0\}$ .

#### 4.2 QUASI ELLIPTIC SYMBOLS

We consider the family of operators:  $L_P(\lambda) = a(D_x) + (P(x) - \lambda)^2, x \in \mathbb{R}^n$  (4)

where  $P(x) = P(x_1, \dots, x_n)$  is a positive quasi-homogenous polynomial of order  $M$  and of type  $(k_1, \dots, k_n)$  such that:

$$P(\rho^{k_1}x_1, \dots, \rho^{k_n}x_n) = \rho^M P_0(x_1, \dots, x_n) + \rho^{M-\gamma} P_1(x_1, \dots, x_n) \quad (5)$$

where  $\gamma \geq 1$  and  $P$  is quasi-elliptic such that:

$$P_0(x) \neq 0, x \in \mathbb{R}^n \setminus \{0\},$$

and  $a(D_x) = a(D_{x_1}, \dots, D_{x_n})$  is a positive pseudodifferential quasi-homogenous operator  $M'$  and of type  $(\ell_1, \dots, \ell_n)$  such that:

$$a(\rho^{\ell_1}D_{x_1}, \dots, \rho^{\ell_n}D_{x_n}) = \rho^{M'} a_0(D_{x_1}, \dots, D_{x_n}) + \rho^{M'-\delta} a_1(D_{x_1}, \dots, D_{x_n}) \quad (6)$$

where  $\delta \geq 1$  and  $a$  is quasi-elliptic such that:

$$a_0(\xi) \neq 0, \xi \in \mathbb{R}^n \setminus \{0\}.$$

We have associated to  $L_P$  the non self-adjoint matrix operator on  $L^2(\mathbb{R}^n) \times L^2(\mathbb{R}^n)$  such that:

$$\hat{A} = \begin{pmatrix} 0 & 1 \\ -\hat{H}_0 & -\hat{H}_1 \end{pmatrix} \quad (7)$$

where

$$\hat{H}_0 = a(D_x) + (P(x))^2 = a_0(D_x) + a_1(D_x) + (P_0(x) + P_1(x))^2$$

and

$$\hat{H}_1 = -2P(x) = -2(P_0(x) + P_1(x)),$$

then the symbol of  $\hat{A}$  is:

$$A = A_0 + A_1 = \begin{pmatrix} 0 & 1 \\ -H_0 & -H_1 \end{pmatrix} + \begin{pmatrix} 0 & 1 \\ -H'_0 & -H'_1 \end{pmatrix}$$

where

$$\begin{aligned} H_0 &= a_0(\xi) + (P_0(x))^2, \\ H_1 &= -2(P_0(x)), \\ H'_0 &= a_1(\xi) + 2P_0(x)P_1(x) + P_1^2(x), \\ H'_1 &= -2(P_1(x)) \end{aligned}$$

the principal symbol of  $\hat{A}$  is  $A_0$ , in place of  $A_0$  we take a matrix equivalent to  $A_0$  and we call it also  $A_0$  such that:

$$A_0 = \begin{pmatrix} 0 & \sqrt{H_0} \\ -\sqrt{H_0} & -H_1 \end{pmatrix}$$

the principal symbol  $A_0$  belongs to  $S^1_{\underline{M}, \underline{M}'}$  where:

$$\underline{M} = \left( \frac{k_1}{M}, \dots, \frac{k_n}{M} \right), \quad \underline{M}' = \left( \frac{\ell_1}{M'}, \dots, \frac{\ell_n}{M'} \right).$$

we have

$$\mu_{\mp}(x, \xi) = P_0(x, \xi) \mp \sqrt{a_0(x, \xi)}. \quad (8)$$

So, we will prove the following proposition:

**Proposition 4.1** For the operator  $\hat{A}$  in (7) with a symbol  $A \in S^1_{\underline{M}, \underline{M}'}$ , such that:

$$(\underline{M}, \underline{M}') = \left( \left( \frac{k_1}{M}, \dots, \frac{k_n}{M} \right), \left( \frac{2\ell_1}{M'}, \dots, \frac{2\ell_n}{M'} \right) \right),$$

such that there exists  $j, j \geq 1$  such that:  $\ell_1 + \dots + \ell_n = M'j$ .

The parametrix of  $(\hat{A}_P + \lambda)^m$  have the following symbol:

$$B(x, \xi, \lambda) \approx \sum_{j \geq 0} b_{j,\lambda}(x, \xi).$$

Then for:

$$m > \max \left\{ \frac{k_1 + \dots + k_n}{M} + \frac{2(\ell_1 + \dots + \ell_n)}{M'}, n, \frac{2(\ell_1 + \dots + \ell_n)}{M'} + M \right\}$$

the asymptotic form of this parametrix is the following :

$$\begin{aligned} Tr(\hat{A}_P + \lambda)^{-m} &\approx \sum_{j \geq 0} c_{j,-m}(\lambda) \\ &\approx c_0 \lambda^{\alpha_0} + c_\delta \lambda^{\alpha_1} + \dots, \end{aligned}$$

$$c_{j,-m}(\lambda) = \int_{R^n} \int_{R^n} b_{j,\lambda}(x, \xi) dx d\xi,$$

with

$$\begin{aligned} c_0 &= \int_{R^n} (P_0(x) + 1)^{-m-\rho} dx \int_{R^n} \sqrt{a_0(\xi)} + 1)^{-m} d\xi \\ c_1 &= 0 \\ \vdots &= \vdots \\ c_{\delta-1} &= 0 \end{aligned}$$

and

$$\begin{aligned} c_{\delta,-m}(\lambda) &= \int_{R^{2n}} b_{\delta,\lambda}(x, \xi) dx d\xi \\ &= \lambda^{\alpha_\delta} c_\delta \end{aligned}$$

where

$$\alpha_0 = -m + \frac{k_1 n_1 + k_2 n_2}{M'} + \frac{\ell_1 n_1 + n_2 \ell_2}{M'}$$

$$\alpha_\delta = \alpha_0 - \delta,$$

$$\delta = MM'. \min \left\{ \frac{k_1}{M} + \frac{2\ell_1}{M'}, \dots, \frac{k_n}{M} + \frac{2\ell_n}{M'} \right\},$$

$$\rho = \frac{2(\ell_1 + \dots + \ell_n)}{M'}$$

in addition, we have  $c_0 \neq 0$ .

**Proof :** We apply the condition (1) for a general case of quasi-homogenous operators then the operator  $(\hat{A} + \lambda)^{-m}$  is of trace class for  $m$  such that :

$$m \geq \frac{k_1}{M} + \dots + \frac{k_n}{M} + \frac{2\ell_1}{M'} + \dots + \frac{2\ell_n}{M'}, \quad (9)$$

and for finding the asymptotic form of  $Tr(\hat{A} + \lambda)^{-m}$ , when  $\lambda \rightarrow +\infty$ , we find the asymptotic form of the symbol  $B$  of  $(A + \lambda)^{-m}$ . The symbol  $B$  belongs to  $S_{\underline{M}, \underline{M}'}^{-m}$  such that:

$$B(x, \xi, \lambda) \approx \sum_{j \geq 0} b_{j,\lambda}(x, \xi),$$

where

$$\begin{aligned} b_{0,\lambda}(x, \xi) &= (A_0(x, \xi) + \lambda)^{-m}, \\ b_{j+1,\lambda}(x, \xi) &= -b_{0,\lambda}(x, \xi) \sum_{\Lambda} \Gamma(\alpha, \beta) \partial_\xi^\alpha D_x^\beta (A_0(x, \xi) + \lambda)^m \partial_\xi^\beta D_x^\alpha b_{j,\lambda}(x, \xi) \\ &\quad - b_{0,\lambda}(x, \xi) \sum_{\Lambda'} \Gamma(\alpha, \beta) \partial_\xi^\alpha D_x^\beta A_{i,m}(x, \xi, \lambda) \partial_\xi^\beta D_x^\alpha b_{j,\lambda}(x, \xi) \end{aligned} \quad (10)$$

where

$$\begin{aligned} \Lambda &= \{ |(\underline{M} + \underline{M}') \cdot (\alpha + \beta)| + l = j + 1, l \leq j \} \\ \Lambda' &= \{ |(\underline{M} + \underline{M}') \cdot (\alpha + \beta)| + l + i = j + 1, l \leq j, 0 \leq i \leq m \} \end{aligned} \quad (11)$$

$$A_{i,m}(x, \xi, \lambda) =$$

$$\sum_{s+t=i} \sum_{s \neq m, t \neq 0} \frac{m!}{s!t!} (A_0 + \lambda)^s A_1^t, \quad 0 \leq s, t \leq$$

$m, 0 \leq i \leq m$  with



then the second term is  $b_{\kappa MM'}$  we take in consideration the type of homogeneity (c.f. also (11)), so when  $l = 0, i = 0$  and  $\alpha_1 + \beta_1 = MM'$  we have

$$b_{\kappa MM', \lambda} = -\Gamma(MM', 0)b_{0, \lambda} \partial_{\xi_1}^{MM'} (A_P + \lambda)^m D_{x_1}^{MM'} b_{0, \lambda}$$

$$\begin{aligned} & -\Gamma(0, MM')b_{0, \lambda} D_{x_1}^{MM'} (A_P + \lambda)^m \\ & \partial_{\xi_1}^{MM'} b_{0, \lambda} - \Gamma(MM' - 1, 1) \\ & b_{0, \lambda} \partial_{\xi_1}^{MM'-1} D_{x_1}^1 (A_P + \lambda)^m \partial_{\xi_1}^1 D_{x_1}^{MM'-1} b_{0, \lambda} \\ & -\Gamma(MM' - 2, 2)b_{0, \lambda} \partial_{\xi_1}^{MM'-2} D_{x_1}^2 (A_P + \lambda)^m \\ & \partial_{\xi_1}^2 D_{x_1}^{MM'-2} b_{0, \lambda} \dots - \Gamma(1, MM' - 1) \\ & b_{0, \lambda} \partial_{\xi_1}^1 D_{x_1}^{MM'-1} (A_P + \lambda)^m \partial_{\xi_1}^{MM'-1} D_{x_1}^1 b_{0, \lambda}. \end{aligned}$$

We do the changement of variables (12) we have:

$$\begin{aligned} & \int_{R^{2n}} \text{tr} (b_{\kappa MM', \lambda}(x, \xi)) dx d\xi \\ & = \lambda^{\alpha_1} \int_{R^{2n}} \text{tr}(b'_{\kappa MM'}(x', \xi')) dx' d\xi' \end{aligned}$$

where

$$\alpha_1 = -m + \frac{k_1 n_1 + k_2 n_2}{M} + \frac{n_1 \ell_1 + n_2 \ell_2}{M'} - \kappa MM' = \alpha_0 - \kappa MM',$$

and  $b'_{\kappa MM'}(x', \xi')$  is the rest of  $b_{\kappa MM', \lambda}(x, \xi)$  after the application of the changement of variables and taking  $\lambda$  as a factor.

We use the proposition (4.1) and the theorem of Lidskii we can proving the following theorem:

**Theorem 4.1** *The operator  $L_P$  en (4) is defined on  $L^2(R^n)$  where  $P(x) = P(x_1, \dots, x_n)$  is a positive quasi-homogenous polynomial of order  $M$  and of type  $(k_1, \dots, k_n)$  such that:*

$$P(\rho^{k_1} x_1, \dots, \rho^{k_n} x_n) =$$

$$\rho^M P_0(x_1, \dots, x_n) + \rho^{M-\gamma} P_1(x_1, \dots, x_n) \quad (15)$$

where  $\gamma \geq 1$  and  $P$  is quasi-elliptic such that:

$$P_0(x) \neq 0, x \in R^n \setminus \{0\},$$

$a(D_x) = a(D_{x_1}, \dots, D_{x_n})$  is a positive pseudodifferential quasi-homogenous operator of order  $M'$  and of type  $(\ell_1, \dots, \ell_n)$  such that:

$$a(\rho^{\ell_1} D_{x_1}, \dots, \rho^{\ell_n} D_{x_n}) =$$

$$\rho^{M'} a_0(D_{x_1}, \dots, D_{x_n}) + \rho^{M'-\delta} a_1(D_{x_1}, \dots, D_{x_n}) \quad (16)$$

where  $\delta \geq 1$  and  $a$  is quasi-elliptic such that:

$$a_0(\xi) \neq 0, \xi \in R^n \setminus \{0\}.$$

If there exists  $j$  such that:

$$\ell_1 + \dots + \ell_n = M'j.$$

Then there exists  $\lambda_0 \in C$  and  $u_0 \in L^2(R^n), u_0 \neq 0$  such that  $L_P(\lambda_0)u_0 = 0$ .

### 5 QUADRATIC QUASI-HOMOGENOUS FAMILY

We consider the following quadratic family of operators:

$$L(\lambda) = H_0 + \lambda H_1 + \lambda^2, \quad (17)$$

where  $H_0$  and  $H_1$  are pseudo-differential operators with the symbols  $H_0$  and  $H_1$  of order  $M$  and  $\frac{M}{2}$ , respectively and of type  $(k, \ell)$ i.e.:

$$H_0(\rho^k x, \rho^\ell \xi) = \rho^M H_0(x, \xi), H_1(\rho^k x, \rho^\ell \xi) = \rho^{\frac{M}{2}} H_1(x, \xi).$$

$H_0$  and  $H_1$  satisfy the hypotheses (1),(2) and (3) of the section (3), in addition we suppose that  $H_0$  and  $H_0 - H_1^2$  are positive operators.

We associate to  $L(\lambda)$ , the following non self-adjoint matrix operator:

$$\hat{A} = \begin{pmatrix} 0 & \hat{H}_0^{\frac{1}{2}} \\ -\hat{H}_0^{\frac{1}{2}} & -\hat{H}_1 \end{pmatrix}, \quad (18)$$

with a matrix symbol  $A$  which belongs to  $S_{k, \ell}^1(L^2(R^n) \times L^2(R^n))$  where

$$(\underline{k}, \underline{\ell}) = \left(\frac{k}{M}, \frac{\ell}{M}\right),$$

such that:

$$A = \begin{pmatrix} 0 & H_0^{\frac{1}{2}} \\ -H_0^{\frac{1}{2}} & -H_1 \end{pmatrix}, \quad (19)$$

$$\text{and } \mu_{\mp} = H_1 \mp i\sqrt{H_0 - H_1^2}.$$

We find the asymptotic form of the trace of the operator  $(A + \lambda)^{-m}$ , for  $m$  large enough. The symbol  $B$  of  $(A + \lambda)^{-m}$  belongs to  $S_{\underline{k}, \underline{\ell}}^{-m}(L^2(R^n) \times L^2(R^n))$  such that

$$B(x, \xi, \lambda) \approx \sum_{j \geq 0} b_{j,\lambda}(x, \xi),$$

where

$$\begin{aligned} b_{0,\lambda}(x, \xi) &= (A(x, \xi) + \lambda)^{-m}, \\ b_{j+1,\lambda}(x, \xi) &= \end{aligned}$$

$$-b_{0,\lambda}(x, \xi) \sum_{\Lambda} \Gamma(\alpha, \beta) \partial_{\xi}^{\alpha} D_x^{\beta}$$

$$(A(x, \xi) + \lambda)^m \partial_{\xi}^{\beta} D_x^{\alpha} b_{l,\lambda}(x, \xi),$$

$$\Lambda = \{ |(\underline{k} + \underline{\ell}) \cdot (\alpha + \beta)| + l = j + 1, l \leq j \}.$$

So the trace has the following asymptotic form:

$$\begin{aligned} \text{Tr}(\hat{A} + \lambda)^{-m} &\approx \sum_{j \geq 0} \int_{R^{2n}} \text{tr}(b_{j,\lambda}(x, \xi)) dx d\xi \\ &\approx \sum_{j \geq 0} c_{j,-m}(\lambda), \end{aligned}$$

setting

$$c_{j,-m}(\lambda) = \int_{R^{2n}} \text{tr}(b_{j,\lambda}(x, \xi)) dx d\xi,$$

and

$$b_{j,\lambda}(x, \xi) \in S_{\underline{k}, \underline{\ell}}^{-m-j}(L^2(R^n) \times L^2(R^n)).$$

**Proposition 5.1** *The operator  $\hat{A}$  in (18) is defined in  $L^2(R^n) \times L^2(R^n)$  where  $H_0$  and  $H_1$  are positive pseudo-differential operators of orders  $M$  and  $\frac{M}{2}$ , respectively and are of type  $(k, \ell)$  such that there exists  $j, j \geq 1$  with  $n(k + \ell) = Mj$ .*

For  $m$  large enough and  $\lambda \rightarrow +\infty$  we have

$$\begin{aligned} \text{Tr}(\hat{A}_p + \lambda)^{-m} &\approx \sum_{j \geq 0} c_{j,-m}(\lambda) \\ &\approx c_0 \lambda^{\alpha_0} + c_{\delta} \lambda^{\alpha_0 - \delta} + \dots, \end{aligned}$$

such that

$$c_{j,-m}(\lambda) = \int_{R^{2n}} b_{j,\lambda}(x, y, \xi, \eta) dx dy d\xi d\eta,$$

where  $b_{j,\lambda}$  are the terms of the symbol of the parametrix of  $(\hat{A}_p + \lambda)^m$  and

$$\alpha_0 = -m + \frac{2n(k + \ell)}{M},$$

$$c_0 = (-1)^{\frac{\rho}{2}} \int_{R^{2n}} \left( H_1(x, \xi) + \sqrt{H_0(x, \xi) - H_1^2(x, \xi)} + 1 \right)^{-m} dx d\xi,$$

$$\begin{aligned} \delta &= M \cdot \left\{ \frac{k}{M}, \frac{\ell}{M} \right\}, \\ \rho &= \frac{2n(k + \ell)}{M}, \end{aligned}$$

$$c_0 = (-1)^{\frac{\rho}{2}} \int_{R^{2n}} \left( H_1(x, \xi) + \sqrt{H_0(x, \xi) - H_1^2(x, \xi)} + 1 \right)^{-m} dx d\xi,$$

$$\delta = M \cdot \min \left\{ \frac{k}{M}, \frac{\ell}{M} \right\},$$

$$\rho = \frac{2n(k + \ell)}{M},$$

of plus  $c_0 \neq 0$ .

**Proof:** we have :

$$\text{tr}(b_{0,\lambda}) = \Re(H_1 + i\sqrt{H_0 - H_1^2} + \lambda)^{-m},$$

then we find the integral



$$\int_{R^{2n}} (H_1 + i\sqrt{H_0 - H_1^2} + \lambda)^{-m} dx d\xi,$$

we do the changement of variables:

$$\begin{aligned} x &= \lambda^{\frac{2k}{M}} x' \Rightarrow dx = \lambda^{\frac{2kn}{M}} dx' \\ \xi &= \lambda^{\frac{2\ell}{M}} \xi' \Rightarrow d\xi = \lambda^{\frac{2\ell n}{M}} d\xi' \end{aligned} \quad (20)$$

we have

$$\int_{R^{2n}} tr(b_{0,\lambda}(x, \xi)) dx d\xi = \lambda^{\alpha_0} \int_{R^{2n}} \Re \left( H_1(x', \xi') + i\sqrt{H_0(x', \xi') - H_1^2(x', \xi')} + 1 \right)^{-m} dx' d\xi',$$

where  $\alpha_0 = -m + \frac{2n(k+\ell)}{M}$ ,

we set

$$c_0 = \int_{R^{2n}} \Re \left( H_1(x', \xi') + i\sqrt{H_0(x', \xi') - H_1^2(x', \xi')} + 1 \right)^{-m} dx' d\xi',$$

i.e.  $c_{0,-m}(\lambda) = \lambda^{\alpha_0} c_0$ .

To calculate  $c_0$  we use the same method of the previous section. we suppose that :  $f(\alpha) = \int_{R^{2n}} \left( H_1(x', \xi') + \alpha\sqrt{H_0(x', \xi') - H_1^2(x', \xi')} + 1 \right)^{-m} dx' d\xi'$ , by doing the changement of variables :

$$\begin{aligned} x' &= \left(\frac{1}{\alpha}\right)^{\frac{2k}{M}} x'' \Rightarrow dx' = \left(\frac{1}{\alpha}\right)^{\frac{2kn}{M}} dx'' \\ \xi' &= \left(\frac{1}{\alpha}\right)^{\frac{2\ell}{M}} \xi'' \Rightarrow d\xi' = \left(\frac{1}{\alpha}\right)^{\frac{2\ell n}{M}} d\xi'' \end{aligned}$$

we get

$$f(\alpha) = \left(\frac{1}{\alpha}\right)^\rho \int_{R^{2n}} \left( H_1(x'', \xi'') + \sqrt{H_0(x'', \xi'') - H_1^2(x'', \xi'')} + 1 \right)^{-m} dx'' d\xi''$$

where  $\rho = \frac{2n(k+\ell)}{M}$ .

An analytic extension of  $f(\alpha)$ , allow to

suppose that  $\alpha = i$ , and we have

$$c_0 = R(i)^{-\rho} \int_{R^{2n}} \left( H_1(x'', \xi'') + \sqrt{H_0(x'', \xi'') - H_1^2(x'', \xi'')} + 1 \right)^{-m} dx'' d\xi''$$

then  $c_0 \neq 0$ , if there exists  $j, j \geq 1$  such that

$$n(k + \ell) = Mj, \quad (21)$$

For  $n$  and  $M$  even numbers we must having  $k$  and  $\ell$  such that (21) is satisfied. For  $n$  odd and  $M$  even we must obtain that the sum  $k + \ell$  be even and (21) is satisfied. For example, the case  $n = 3, (k, \ell) = (1, 3)$  and  $M = 6$ .

Then we have

$$c_0 = (-1)^{-\frac{\rho}{2}} \int_{R^{2n}} \left( H_1(x'', \xi'') + \sqrt{H_0(x'', \xi'') - H_1^2(x'', \xi'')} + 1 \right)^{-m} dx'' d\xi''$$

and  $c_0 \neq 0$  because

$$\left( H_1(x'', \xi'') + \sqrt{H_0(x'', \xi'') - H_1^2(x'', \xi'')} + 1 \right)^{-m} dx'' d\xi''$$

is positive.

We find the second term of the trace of  $(\hat{A} + \lambda)^{-m}$  we set

$$\kappa = \min \left\{ \frac{k}{M}, \frac{\ell}{M} \right\},$$

then we take in consideration the type of homogeneity we have  $b_{\kappa M, \lambda}$  is the second term when  $l = 0$  and  $\alpha + \beta = M$  i.e.

$$\begin{aligned} b_{\kappa M, \lambda}(x, \xi) = & -\Gamma(M, 0)b_{0, \lambda}(x, \xi)\partial_{\xi}^M(A_P(x, \xi) + \lambda)^m D_x^M b_{0, \lambda}(x, \xi) \\ & -\Gamma(0, M)b_{0, \lambda}(x, \xi)D_x^M(A_P(x, \xi) + \lambda)^m \partial_{\xi}^M b_{0, \lambda}(x, \xi) \\ & -\Gamma(M - 1, 1)b_{0, \lambda}(x, \xi)\partial_{\xi}^{M-1}D_x^1(A_P(x, \xi) + \lambda)^m \\ & \quad \partial_{\xi}^1 D_x^{M-1} b_{0, \lambda}(x, \xi) \\ & -\Gamma(M - 2, 2)b_{0, \lambda}(x, \xi)\partial_{\xi}^{M-2}D_x^2(A_P(x, \xi) + \lambda)^m \\ & \quad \partial_{\xi}^2 D_x^{M-2} b_{0, \lambda}(x, \xi) \\ & \dots \\ & -\Gamma(1, M - 1)b_{0, \lambda}(x, \xi)\partial_{\xi}^1 D_x^{M-1}(A_P(x, \xi) + \lambda)^m \\ & \quad \partial_{\xi}^{M-1} D_x^1 b_{0, \lambda}(x, \xi). \end{aligned}$$

we have  $b_{\kappa M, \lambda} \in S_{\frac{k, \ell}{k, \ell}}^{-m-(\kappa M)}$  then we do the changement of variables (20) we find :

$$\int_{R^{2n}} \text{tr}(b_{\kappa M, \lambda}(x, \xi)) dx d\xi = \lambda^{\alpha_1} \int_{R^{2n}} \text{tr}(b'_{\kappa M}(x', \xi')) dx' d\xi',$$

where

$$\alpha_1 = \alpha_0 - \kappa M,$$

and  $b'_{\kappa M}(x', \xi')$  is the rest of  $b_{\kappa M, \lambda}(x, \xi)$  after the application of the changement of variables (20) and taking  $\lambda$  as a factor.

We use the proposition (5.1) and the theorem of Lidskii we can proving the following theorem:

**Theorem 5.1** *Let  $L_P$  be the operator in (17), which is defined on  $L^2(R^n)$  with  $H_0, H_1$  are positives pseudo-differential operators with the symbols  $H_0, H_1$  of order  $M$  and  $\frac{M}{2}$ , respectively and of type  $(k, \ell)$ , if there exists  $j, j \geq 1$  such that :*

$$n(k + \ell) = Mj.$$

Then there exists  $\lambda_0 \in \mathbb{C}$  and  $u_0 \in L^2(R^n)$ ,  $u_0 \neq 0$  such that  $L_P(\lambda_0)u_0 = 0$ .

### 5.1 EXAMPLE WITH $n = 3$

We consider for example the operator  $L(\lambda)$  in  $L^2(R^3)$  with  $H_0$  and  $H_1$  of order 6 and 3 respectively and of type (1,3) such that:

$$\begin{aligned} H_0(x, D_x) &= |x|^6 - D_x^2, \\ H_1(x, D_x) &= |x|^3, \end{aligned}$$

Then we have the matrix operator  $\hat{A}$  has the symbol  $A$  with :

$$\begin{aligned} H_0(x, \xi) &= |x|^6 + |\xi|^2, \\ H_1(x, \xi) &= |x|^3, \end{aligned}$$

For  $m > 9$  and  $\lambda \rightarrow +\infty$ , the asymptotic form of the trace is the following :

$$\text{Tr}(\hat{A} + \lambda)^{-m} \approx \sum_{j \geq 0} c_{j, -m}(\lambda),$$

$$\approx \lambda^{\alpha_0} c_0 + \lambda^{\alpha_1} c_1 + \dots,$$

with

$$c_0 = \int_{R^3} (|x|^3 + 1)^{-m+3} dx \int_{R^3} (|\xi| + 1)^{-m} d\xi$$

$$c_1 = 0$$

$$\alpha_0 = -m + 4$$

$$\alpha_1 = -m + 3$$

and  $c_0 \neq 0$  since  $(|x|^3 + 1)^{-m+3}$  and  $(|\xi| + 1)^{-m}$  are positives.

Then by using the proposition (5.1) and the theorem (5.1) we can prove the existence of non trivial solutions for the operator  $L$ .

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