1	Short title: Control of mRNA translation by <i>cis</i> -NATs
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3	Control of cognate sense mRNA translation by cis-natural antisense RNAs
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17	Footnotes:
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19         20         21         22         23         24         25         26         27         28         29         30         31         32         33         34         35	JD, RSR and YP conceived and designed the study. JD performed all experiments except for the establishment and performance of the protoplast transformation assay done by RSR. Bioinformatic analysis of the data was performed by JD and PJ, whereas proteomic analysis was performed by GHS. Analysis of the coding potential of <i>cis</i> -NATs was done by VPG, AT and ILH, whereas analysis of <i>cis</i> -NATs:sense mRNA configuration and main characteristics was done by SP and JD. Bioinformatic support was also provided by CI and IX. JD, RSR and YP wrote the paper. All authors read and approved the final manuscript. YP agrees to serve as the author responsible for contact and ensures communication. This work was supported by a Sinergia grant (CRSII3_154471) from the Swiss National Fund to YP and ILH. The Swiss Institute of Bioinformatic (SIB) and Vital-IT Center for high-performance computing of the SIB are supported by University of Lausanne and the Swiss Federal Government. Corresponding author: <u>vves.poirier@unil.ch</u> One-sentence summary: A robust pipeline identifies and experimentally validates cis-natural antisense transcripts controlling cognate sense mRNA translation.

#### 37 Abstract

Cis-Natural Antisense Transcripts (cis-NATs), which overlap protein coding genes and are 38 39 transcribed from the opposite DNA strand, constitute an important group of non-coding RNAs. 40 Whereas several examples of *cis*-NATs regulating the expression of their cognate sense gene are 41 known, most *cis*-NATs function by altering the steady-state level or structure of mRNA via changes 42 in transcription, mRNA stability or splicing, and very few cases involve the regulation of sense 43 mRNA translation. This study was designed to systematically search for cis-NATs influencing 44 cognate sense mRNA translation in Arabidopsis thaliana. Establishment of a pipeline relying on sequencing of total polyA<sup>+</sup> and polysomal RNA from Arabidopsis grown under various conditions 45 46 (i.e., nutrient deprivation and phytohormone treatments) allowed the identification of 14 cis-NATs 47 whose expression correlated either positively or negatively with cognate sense mRNA translation. 48 Using a combination of *cis*-NAT stable over-expression in transgenic plants and transient 49 expression in protoplasts, the impact of *cis*-NAT expression on mRNA translation was confirmed 50 for 4 out of 5 tested *cis*-NAT:sense mRNA pairs. These results expand the number of *cis*-NATs 51 known to regulate cognate sense mRNA translation and provide a foundation for future studies of 52 their mode of action. Moreover, this study highlights the role of this class of non-coding RNAs in 53 translation regulation.

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#### 58 Introduction

59 A large proportion of the genome of eukaryotes is transcribed into RNA that is not coding for 60 proteins or house-keeping RNAs (e.g. tRNAs, ribosomal RNAs) (Djebali et al., 2012). Whereas 61 first being considered as transcriptional noise, non-coding RNAs have emerged as major regulators 62 of gene expression (Rinn and Chang, 2012; Bonasio and Shiekhattar, 2014; Chekanova, 2015; 63 Ransohoff et al., 2018). Besides the well-characterized small RNAs that include short interfering 64 RNAs (siRNAs) and micro RNAs (miRNAs), abundant long non-coding RNAs (lncRNAs) have 65 been identified across a wide spectrum of organisms. LncRNAs are typically defined as capped and 66 polyadenylated transcripts longer than 200 bases that do not contain conserved open reading frame 67 capable of encoding proteins (Rinn and Chang, 2012; Bonasio and Shiekhattar, 2014; Chekanova, 68 2015; Ransohoff et al., 2018). However, recent studies have indicated that some lncRNAs could 69 associate with ribosomes and, in some cases, generate small peptides (Ji et al., 2015; Hsu et al., 70 2016; Bazin et al., 2017). Whereas most of the lncRNAs identified by genome-wide studies have 71 yet unknown functions, an increasing number has been shown to be involved in critical biological 72 processes such as X chromosome inactivation in mammals (Brockdorff et al., 1992) or flowering in 73 plants (Liu et al., 2010; Heo and Sung, 2011).

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75 LncRNAs located in intergenic regions relative to coding genes are defined as long intergenic non-76 coding RNA (lincRNAs), whereas lncRNAs overlapping coding genes and transcribed from the 77 opposite DNA strand are categorized as cis-Natural Antisense Transcripts (cis-NATs) (Rinn and 78 Chang, 2012). In addition, lincRNAs able to bind target mRNAs by partial base-pair 79 complementarity are defined as trans-Natural Antisense Transcripts (trans-NAT) (Lapidot and 80 Pilpel, 2006). Cis-NATs are widespread in eukaryotes, with 20–70% of coding genes having an 81 associated cis-NAT in Saccharomyces cerevisiae, Drosophila melanogaster, mice, human, and 82 Arabidopsis thaliana (Faghihi and Wahlestedt, 2009; Liu et al., 2015). Cis-NATs can overlap 83 completely with their cognate mRNAs or only at the 5' (head-to-head orientation) or the 3' end (tail-84 to-tail orientation).

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86 Whereas various modes of action have been reported for lncRNAs impacting the regulation of 87 target gene expression, the majority involves changes in the steady-state level or structure of mRNA 88 via changes in transcription, mRNA stability or splicing (Rinn and Chang, 2012; Bonasio and 89 Shiekhattar, 2014; Chekanova, 2015; Ransohoff et al., 2018). This is true for either lincRNAs or 90 *cis*-NATs and applies to both animal and plant models. Well-characterized mechanisms by which 91 lncRNAs affect gene transcription include recruitment of chromatin or transcription regulators and

92 displacement of transcriptional repressors. Examples of such mechanisms in animals includes 93 inhibition of transcription via histone methylation by HOTAIR (Gupta et al., 2010) or DNA 94 methylation by pRNA (Schmitz et al., 2010), stimulation of transcription by the recruitment of the 95 activator PYGO2 by the lincRNA PCGEM1 (Schmitz et al., 2010), and the displacement of the 96 repressive glucocorticoid response element by the lincRNA Gas5 (Kino et al., 2010). Similar 97 mechanisms for lncRNAs in plants have been described, such as histone modification at the 98 flowering locus FLC triggered by the cis-NAT COOLAIR (Liu et al., 2010) or the intronic lncRNA 99 COLDAIR (Heo and Sung, 2011), as well as the transcriptional activation of pathogen-responsive 100 gene *PR1* via the recruitment of a Mediator component by lincRNA *ELF18* (Seo et al., 2017). 101 LincRNA can also interact with splicing factors to regulate alternative splicing, as described for 102 lincRNA MALATI in animals (Tripathi et al., 2010) and ASCO in plants (Bardou et al., 2014). 103 Moreover, lincRNA can control mRNA stability via interaction with members of the Staufen 104 double-stranded RNA (dsRNA)-binding proteins in animals (Gong and Maquat, 2011) or inhibition 105 of microRNA action on mRNA degradation via target mimicry, as described for the Arabidopsis 106 *IPS1* lincRNA involved in the response of plants to inorganic phosphate (Pi) deficiency (Franco-107 Zorilla et al., 2007). Another mechanism for the decrease in steady-state mRNA level associated 108 with *cis*-NAT expression is the generation of siRNA via processing of double-stranded RNA 109 generated by the overlapping *cis*-NAT with its cognate sense mRNA (Khorkova et al., 2014). 110 However, considering the large number of potential *cis*-NAT:sense mRNA pairs in animal and plant 111 genomes, relatively few examples of siRNA-mediated effects for *cis*-NATs have been described, 112 indicating that this mechanism may be less frequently employed than initially thought.

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114 In contrasts to the effects of lncRNA on transcription and mRNA levels, examples of modulation of 115 mRNA translation by lncRNA are rather rare. Examples involving lincRNAs in human cell lines are 116 the inhibition of translation of targets CTNNB1 and JUNB via recruitment of the translational 117 repressor Rck by the lincRNA-p21 (Yoon et al., 2012) and the inhibition of *c*-Myc translation by the 118 recruitment of the eukaryotic initiation factor eIF4E by lncRNA GAS5 (Hu et al., 2014). Repression 119 of mRNA translation was also demonstrated for the *cis*-NAT of the *PU.1* gene, encoding a 120 transcription factor in mammals (Ebralidze et al., 2008). Recently, three examples for the 121 enhancement of translation by *cis*-NATs have been described. In rice (*Oryza sativa*), expression of 122 the cis-NAT of the Pi exporter gene PHO1;2 was shown to enhance the association of the cognate 123 mRNA to polysomes, leading to the accumulation of PHO1;2 protein despite unchanged steady-124 state level of the corresponding mRNA (Jabnoune et al., 2013). In mice, Uchl1 mRNA translation is 125 enhanced by a cis-NAT that is exported to the cytoplasm upon inhibition of the Target of 126 Rapamycin (TOR) pathway (Carrieri et al., 2012). Finally, the human regulator of megakaryocyte

127 differentiation *RBM15* is also translationally enhanced by a *cis*-NAT (Tran et al., 2016). Little is 128 known about the mechanisms of action of the three translation enhancer *cis*-NATs reported so far. 129 All three pairs are oriented in a head-to-head manner (5'-5'). For RBM15, the region of the antisense 130 overlapping with the sense mRNA 5'UTR alone was found to be sufficient to enhance translation 131 (Tran et al., 2016). In contrast, for Uchl1, two elements in the cis-NAT were found to be essential, 132 namely the region overlapping with the 5' end of Uchl1 mRNA and a non-overlapping inverted 133 Short Interspersed Nuclear Element (SINE) B2 element, a class of retrotransposable repeat element 134 (Carrieri et al., 2012). More recently, cis-NATs containing distinct SINE elements have been 135 identified in mammals as potential translation enhancers (Schein et al., 2016), whereas expression 136 of some ribosome-associated cis-NATs in plants were correlated with increased mRNA translation 137 (Bazin et al., 2017).

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139 The low number of *cis*-NATs experimentally validated to influence translation of the cognate 140 mRNA might reflect the fact that most genome-wide studies of cis-NATs examined the correlation 141 between steady-state level of mRNAs and the expression of *cis*-NATs, an approach that is not 142 suitable for studying translation. In the present study, we took advantage of the polysome profiling 143 method combined with strand-specific RNA sequencing to identify, in A. thaliana plants, cis-NATs 144 whose expression level were associated with a change of cognate sense mRNA level, as well as 145 translation across a range of experimental conditions. The impact of *cis*-NAT expression on cognate 146 mRNA translation was further validated by expression of several cis-NATs in transgenic A. 147 thaliana and/or by transient expression in protoplasts.

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#### 152 **RESULTS**

## Experimental setup to identify *cis*-NATs associated with changes in mRNA level and mRNA translation

155 In order to identify *cis*-NATs impacting their cognate sense mRNA transcript level as well as 156 mRNA translation, an experimental procedure was set up allowing the quantification of steady-state 157 levels of coding and non-coding RNAs along with the determination of mRNA translation 158 efficiency genome-wide in A. thaliana seedlings grown under various conditions. Whole seedlings 159 grown in liquid cultures in the presence of a high (1 mM) or low  $(100 \mu\text{M})$  concentration of Pi were 160 analyzed, as well as roots and shoots from seedlings grown on agar-solidified medium 161 supplemented with different phytohormones, namely auxin (indole acetic acid, IAA), abscisic acid 162 (ABA), methyl-jasmonate (MeJA) or 1-aminocyclopropane-1-carboxylic acid (ACC), a precursor 163 of ethylene. For each sample, steady-state levels of cis-NATs and mRNAs were determined by 164 strand-specific sequencing of total polyA<sup>+</sup> RNA, whereas translation efficiency was assessed for the 165 same sample by sequencing polysome-associated RNA purified by centrifugation through sucrose 166 density gradients. Sequencing of each total or polysomal RNA sample vielded between 30 and 60 167 million paired-end reads. Three independent biological replicates were analyzed for each treatment, 168 and a total of at least 120 million paired-end reads were obtained per condition.

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170 The genes up- or down-regulated in response to the different treatments were identified by pairwise 171 comparisons between hormone treated or low Pi samples and their corresponding controls. In 172 response to low Pi, 2,991 protein-coding genes (according to the TAIR10 annotation) were 173 significantly up-regulated with a fold change > 2 and adjusted p-value (adj.pval) < 0.1, and 2.149 174 were significantly down-regulated (Figure 1A, Supplemental Table S1-S2). Fewer genes were 175 differentially expressed in response to the different hormone treatments (Supplemental Figure S1, 176 Supplemental Table S1-S2). For example, upon auxin treatment, 377 and 120 protein-coding genes were up-regulated in roots and shoots, respectively (Supplemental Table S2). Untreated root and 177 178 shoot tissues were also compared and their transcriptomes were dramatically different, as expected 179 for two different organs, with 3,906 and 4,742 protein-coding genes significantly up- or down-180 regulated, respectively, in roots relative to shoots (Supplemental Table S2).

181

Quality assessment of the transcriptomic data was first performed by Gene Ontology (GO) term enrichment analyses for each set of up-regulated genes. This analysis confirmed the strong induction of marker genes associated with the different treatments. The genes up-regulated in response to low Pi were significantly enriched for the GO term "cellular response to Pi starvation" (GO:0016036 adj.pval=5.3x10<sup>-11</sup>) (Supplemental Figure S2A). Among these, *Induced by Phosphate* 



**Figure 1. Steady state mRNA expression level and association with polysomes in response to grow of Arabidopsis to low Pi condition. A:** Relation between log2-fold change of mRNA steady-state level (x axis) is plotted against the log2-fold change in polysome association (y axis). Coding genes significantly up- or down- regulated at the mRNA steady-state level are colored in yellow and cyan respectively, while mRNA significantly more or less associated with polysomes are colored in red and blue, respectively. The genes not showing any statistical difference are colored in grey. B: Same plot as A where genes associated with GO terms "Response to Pi starvation", "Cytosolic ribosome", "Mitochondrial ribosome", and "Chloroplastic ribosome" are colored in pink, dark blue, light blue and green, respectively. C-E: Normalized RNA-seq coverage plots for the *IPS1*, *RPS15AE* and *RPL34* genes. The two upper panels show the coverage plots for total mRNA and polysomal RNA from high Pi samples and the two lower panels correspond to low phosphate samples. The schematic exonic organization of each gene is represented by red boxes and lines below the plots.

187 Starvation 1 (IPS1), a known highly induced marker of Pi deficiency, was strongly over-expressed 188 (fold change=127.9, Figure 1A, C). Similarly, the up-regulated genes were significantly enriched in GO terms "response to abscisic acid" (GO:0009737, adi.pval=1.3x10<sup>-10</sup>), "response to auxin" 189 190 (GO:0009733.  $adi.pval=6.5x10^{-11}$ ). "iasmonic acid metabolic process" (GO:0009694. adj.pval=5.6x10<sup>-11</sup>,) and "ethylene-activated signaling pathway" (GO:0009873, adj.pval=6.4x10<sup>-8</sup>) 191 in root samples treated with auxin, ABA, methyl jasmonate and ACC, respectively, compared to 192 193 untreated roots (Supplemental Figure S2B-E).

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195 The genes differentially expressed in response to low Pi and ABA treatments were further 196 compared to previously published datasets (Supplemental Figure S3). Approximately 71% of the 197 genes up-regulated in whole seedlings upon ABA treatment in the study of Song et al. (2016) were 198 also up-regulated in ABA-treated roots and/or shoots in our dataset (941 genes out of 1.327). 199 Similarly, 79% of genes up-regulated in the study of Bazin et al. (2017) (154 / 194) and 30.5% of 200 genes up-regulated either in roots or shoot in Yuan et al. (2016) were common with the genes up-201 regulated under our low-Pi condition. The lower proportion of common differentially expressed 202 genes with that reported by Yuan et al. (2016) might be explained by the differences in terms of

203 tissue analyzed (root versus whole seedlings) and growth conditions (e.g. liquid versus solid 204 medium and different Pi concentrations).

205

#### 206 Analysis of differential mRNA translation

207 Translation efficiency of coding genes can be estimated by measuring the proportion of mRNA 208 molecules associated with polysomes relative to the amount of total RNA, as previously described 209 (Mustroph et al., 2009; Juntawong et al., 2014). Using sequencing data from polysome-associated 210 RNA, the ratio of polysome association (PA) was calculated for each gene by dividing the 211 normalized readcount in the polysomal RNA fraction by the normalized readcount measured for 212 total RNA steady-state level. The treated samples were compared to that in the corresponding 213 control conditions and loci with a 30% increase or decrease in PA ratio and an adj.pval < 0.1 were 214 considered differentially associated with polysomes, and thus potentially regulated translationally 215 (Supplemental Table S1, S3). In response to growth under low-Pi conditions, 300 and 340 protein-216 coding genes were significantly more and less associated with polysomes, respectively, compared to 217 that under high-Pi conditions (Figure 1A). GO enrichment analyses revealed that the coding genes 218 with a lower association with polysomes in response to low Pi were strongly enriched for ribosomal proteins (GO:0022626cellular component "cytosolic ribosome", adj.pval=2.26x10<sup>-11</sup>) (Figure 1B, 219 220 Supplemental Figure S4A), such as the cytosolic ribosomal protein RPS15AE (Figure 1D). This 221 finding was consistent with that of previous reports, where a similar down-regulation of the 222 translation of the ribosomal proteins was observed by ribosome footprints in response to both Pi 223 deficiency (Bazin et al., 2017) and hypoxia (Juntawong et al., 2014), validating both techniques for 224 the analysis of mRNA translation. Of note, most of the genes constituting chloroplastic ribosomal 225 proteins, such as RPL34 (Figure 1B, E) showed a strong decrease in mRNA steady-state level 226 without significant change in PA. The genes encoding mitochondrial ribosomal proteins on the 227 other hand were globally less associated with polysomes, similarly to those encoding cytosolic 228 ribosomal proteins (Figure 1B).

229

230 Many genes were also found differentially associated with polysomes when comparing root and 231 shoot tissues (Supplemental Figure S5, Supplemental Table S3). For example, 946 protein-coding 232 genes were significantly more associated with polysomes in roots and 1,033 in shoots, in untreated 233 samples. Interestingly, the strongest enrichment within the set of genes with higher PA in shoots corresponds to GO:0008380 "mRNA splicing" (adj.pval= 6x10<sup>-11</sup>) (Supplemental Figure S4B). 234 235 SERRATE and SR45, for example, were strongly associated with polysomes in shoots and very 236 poorly in roots (fold change PA = 5.3 and 4.2 for SERRATE and SR45, respectively), despite similar 237 steady-state levels of mRNA in both tissues (Supplemental Figure S5B-D). Both SERRATE and

238 SR45 have been experimentally validated to participate in splicing, with an additional role for

239 SERRATE in microRNA processing (Laubinger et al., 2008; Zhang et al., 2017).

240

### 241 De novo identification of cis-NATs

242 Cis-NATs expressed in response to the different treatments were identified using the pipeline 243 described in the Materials and Methods (Figure 2A). In this pipeline, pairs of protein-coding genes 244 having mRNAs that may overlap in a sense-antisense fashion are not included as bona fide cis-245 NATs. De novo transcriptome annotations corresponding to each of the 12 experimental conditions 246 analyzed were merged, and, after comparison to the TAIR10.31 annotation (Berardini et al., 2015), 247 a novel set of 4,411 cis-NATs were identified. Approximately 9% (374) of these cis-NATs were 248 recently annotated in the Araport11 database (Cheng et al., 2017). We then used the FEELnc tool 249 (Wucher et al., 2017) (see Materials and Methods and Supplemental Materials and Methods for 250 details) to determine *in silico* the coding potential of all the newly identified *cis*-NATs. The large 251 majority of these *cis*-NATs (98.5%) were lacking coding potential and only 63 were predicted to be 252 potentially coding. This prediction of coding potential was well supported by our experimental 253 polysome profiling data since the *cis*-NATs predicted to be coding were significantly more 254 associated with polysomes than seen for the non-coding cis-NATs (Figure 2B). A similar difference 255 was observed when comparing protein-coding and non-coding genes annotated in the TAIR10 256 database.

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258 Exploring the conservation across plant genomes of the peptides encoded by the 63 *cis*-NATs with 259 high coding potential, we identified a group of 10 peptides that were well conserved amongst plant 260 genomes, and a second group of nine peptides conserved amongst Brassicaceae species only (Supplemental Figure S6). The remaining 44 predicted coding cis-NATs were poorly or not 261 262 conserved. Seven of the *cis*-NATs encoding conserved peptides (Group I or II) were recently 263 annotated as (putative) protein-coding genes in the ARAPORT11 database but not in TAIR10. The 264 transcripts encoding these evolutionary conserved peptides should thus likely be considered as 265 novel protein-coding genes.

266

Expression of the identified *cis*-NATs was well supported by published epigenetic profiling data from Jégu T. et al. (2017). The predicted transcription start sites of the *cis*-NATs were strongly enriched for the activating histone mark H3K9Ac as well as micrococcal S7 nuclease (Mnase) footprints to the same extent as that in TAIR10 protein-coding loci, confirming the transcriptionally

active state of the promoter regions of the *cis*-NATs (Supplemental Figure S7). Moreover, 60% of



**Figure 2: Identification and characterization of** *cis***-NATs. A:** Schematic diagram of the pipeline used for *de novo cis*-NAT identification from the 12 different experimental conditions. **B:** Boxplot comparing polysome association between *cis*-NATs predicted noncoding (green), or with coding potential (pink), ncRNA (cyan) and protein coding genes (salmon) annotated in TAIR10 database. **C, D:** Plots comparing transcript length (C) and RNA steady-state-level (D) between *cis*-NATs predicted noncoding (green), or with coding genes (salmon) annotated in TAIR10 database. **E:** Boxplots comparing the nucleotide conservation across 20 angiosperm genomes within exonic and intronic regions of the four categories of transcripts listed above.

the *cis*-NATs detected in our dataset overlapped with *cis*-NATs previously identified in at least one of the three datasets used for comparison: the PlncDB database (Jin et al., 2013; Wang et al., 2014)<sup>•</sup>

as well as the work of Yuan et al. (2016) and Bazin et al. (2017) • (Supplemental Figure S8).

275

*Cis*-NATs were on average shorter, expressed at a lower level and had a weaker genomic sequence conservation (PHASTcons score) compared to that of TAIR10 annotated non-coding RNA and protein-coding mRNAs (Figure 2C-E), consistent with previous reports of *cis*-NATs in plants and other eukaryotes (Wang et al., 2005; Khorkova et al., 2014; Yuan et al., 2015). Furthermore, the polysome association value of *cis*-NATs was significantly lower (0.64) compared to that of mRNAs (1.19), but similar to that of the non-coding transcripts annotated in the TAIR10 database (0.54) (Figure 2B).

283

284 To validate our pipeline of identification of differentially expressed *cis*-NATs and protein-coding

genes, we analyzed by RT-qPCR the expression level of six protein-coding genes and six *cis*-NATs

286 predicted to be up- or down-regulated in response to phosphate starvation. For the 12 genes

analyzed, the RT-qPCR results showed a significant increase or decrease in RNA steady-state level

in agreement with the RNAseq data (Supplemental Figure S9).

#### 290 Cis-NATs associated with changes in steady-state level of their cognate mRNA

291 To identify cis-NATs potentially regulating the expression of their cognate mRNA at the 292 transcriptional or translational level, we looked for correlation between expression levels of all 293 available *cis*-NATs (e.g. *cis*-NATs identified in this study and those included in TAIR10.31, total of 294 4,846 cis-NATs) and cognate sense mRNA steady-state levels or polysome association across the 295 different experimental conditions analyzed, comparing hormone treated samples with untreated 296 controls for root and shoot tissues as well as seedlings grown under low- or high-Pi conditions. 297 Untreated root and shoot tissues were also compared to identify *cis*-NATs potentially regulating 298 tissue-specific gene expression. All cis-NAT:sense mRNA pairs were put into four categories 299 considering their region of overlap, namely overlap in the 5' end, 3' end, completely included within 300 the sense region, or cis-NATs that extend beyond the 5' and 3' region of the coding sense 301 (overhanging) (Supplemental Figure S10).

302

303 Analysis for potential effects of *cis*-NAT expression on steady-state sense mRNA level was 304 performed. For each pairwise comparison, the cis-NAT:mRNA pairs were considered correlated if 305 both the *cis*-NAT and the cognate mRNA were differentially expressed, with a fold change of at 306 least 2 and a FDR < 0.1. A total of 1,310 *cis*-NATs, including 67 annotated in TAIR10, were 307 differentially expressed in at least one condition (Supplemental Table S1). For 107 of these loci, 308 steady-state level of the cognate mRNA was positively correlated to *cis*-NAT expression (Table 1, 309 Supplemental Table S4). For example, both the mRNA and the *cis*-NAT of the locus AT2G37580 310 were significantly up-regulated upon ABA treatment in shoots (FC= 2.13, adj.pval=0.029 for the 311 mRNA and FC=2.06, adj.pval=1.3x10<sup>-2</sup> for the *cis*-NAT) (Figure 3A). We also found 41 pairs with a negative correlation, such as AT1G68940, whose mRNA was up-regulated in response to low-Pi 312 conditions (FC=2.16, adj.pval= $7.9 \times 10^{-3}$ ), whereas the *cis*-NAT was down-regulated (FC= 0.39, 313 314 adi,  $pval=7.2 \times 10^{-2}$ ) (Figure 3B). Pearson correlation coefficient between *cis*-NAT and mRNA 315 expression was calculated across the 12 experimental conditions analyzed, taking advantage of the 316 whole experimental dataset to identify *cis*-NATs with stronger positive and negative expression 317 correlation with their cognate sense mRNA. This analysis revealed that 86 cis-NAT:sense mRNA 318 pairs out of 107 had a positive correlation coefficient higher than 0.4, whereas 27 cis-NAT:sense 319 mRNA pairs out of 41 had a negative correlation coefficient lower than -0.4, across all 12 320 experimental conditions (Figure 3E-F, Table 1). 321

#### 322 Identification of putative translation regulator cis-NATs



**Figure 3: Correlations between expression of** *cis*-NATs and changes in steady-state level or poylsome association of the cognate sense mRNA. A-D: Coverage plots showing the density of RNA-seq reads per position at AT2G37580, AT1G68940, AT1G603410 and *CuAO1* loci. The red and blue areas represent the density of reads mapping to the sense mRNA and *cis*-NATs, respectively. For each experimental condition, the upper part corresponds to total RNA-seq reads and the lower part to polysomal RNA-seq reads. The red and blue arrows below indicate the *cis*-NATmRNA pair orientation. **E-H:** Correlation plots showing the steady-state level of the coding mRNA (red dots) and *cis*-NAT (green dots) for AT2G37580 and AT1G68940 loci (E and F, respectively) or the steady-state level of the *cis*-NAT (yran dots) and the association with polysomes of the cognate sense mRNA (purple dots) for AT1G03410 and *CuAO1* loci (G and H, respectively). The Z-score of normalized read cultated from the 12 experimental conditions is represented on the Y-axis. Pearson correlation coefficients between the 2 variables shown in each plot are indicated on top of the plots.

323 In order to identify *cis*-NATs influencing the translation of their cognate sense mRNA, we looked 324 for cis-NAT:sense mRNA pairs where the cis-NAT was differentially expressed (fold change > 2325 and adj.pval < 0.1) and the sense mRNA that was differentially associated with polysomes (at least 326 30% increase or decrease, adj.pval < 0.1) in response to treatment. A finer filtering step was also 327 performed using additional criteria such as the size of the overlapping region or the relative level of 328 expression between cis-NAT and mRNA (see Material and Methods for further details). A total of 329 eight cis-NAT:sense mRNA pairs were identified for which cis-NAT differential expression was 330 positively correlated to mRNA differential association with polysomes in at least one pairwise comparison (Supplemental Table S4). For example, AT1G03410 mRNA was more associated with 331 polysomes (FC=1.79, adj.pval=0.01) when the *cis*-NAT was more expressed (FC=2.71, 332 aid.pval= $5.6 \times 10^{-18}$ ) in untreated roots samples compared to untreated shoots (Figure 3C). A total of 333 334 six pairs showed a negative correlation between *cis*-NAT expression and cognate mRNA 335 association with polysomes (Supplemental Table S4), including the CuAO1 locus whose sense 336 mRNA was more associated with polysomes (FC=1.68, adj.pval=0.05) when the *cis*-NAT was less abundant (FC=0.29, adj.pval=1.3x10<sup>-5</sup>) in Pi-deficient seedlings (Figure 3D). The expression of 337 338 three out of the eight *cis*-NAT:sense mRNA pairs with a positive correlation and three out of the six 339 pairs with negative correlation had a Pearson correlation coefficient > 0.4 and < -0.4, respectively, 340 with polysome association of their cognate mRNAs across the 12 experimental conditions (Figure

341 3G-H, Table 1, Supplemental Table S4).

342

343 The *cis*-NATs identified that positively or negatively correlated with sense mRNA steady-state 344 transcript level or polysome association were further analyzed for relation with miRNAs, i.e. 345 presence of miRNA precursor sequence, miRNA target sequence, and potential as a microRNA 346 target mimic (see Material and Methods). Out of the 4,846 cis-NATs analyzed, 14% (682) were 347 predicted to contain at least one miRNA binding site (Supplemental Table 1), including 7 and 14 348 *cis*-NATs negatively and positively correlated to cognate mRNA steady-state level, respectively 349 (Supplemental Table 1). Two cis-NATs positively correlated with mRNA polysome association 350 were predicted to contain miRNA binding sites, but none of the cis-NATs with a negative 351 correlation. Only seven cis-NATs were predicted as miRNA precursors and 69 contained potential 352 miRNA target mimic sites, including two cis-NATs positively correlated with cognate mRNA 353 expression and one cis-NAT positively correlated with mRNA polysome association (Supplemental 354 Table S1). No cis-NAT negatively correlated to mRNA expression or polysome association 355 contained a putative miRNA target mimic site.

356

357 We also took advantage of 40 publicly available small RNA datasets to analyze the *cis*-NATs in 358 relation to siRNAs. We identified 24,119,910 small reads between 18 and 28 nucleotides long 359 mapping to TAIR10 reference genome. Of those, 666,181 mapped to cis-NAT loci and were 360 considered as cis-NAT-siRNAs. Most of them were 21 and 24 nucleotides long (Supplemental 361 Figure S11A) and the overlapping region of *cis*-NATs showed a significantly higher density in 362 small RNAs compared to that of non-overlapping regions (Supplemental Figure S11B), in 363 agreement with previous reports (Zhou et al., 2009; Yuan et al., 2015). We identified 1336 potential 364 siRNA precursor *cis*-NATs, with at least five small reads mapping to the overlapping region and a 365 read density at least two-fold higher in the overlapping region than that in the non-overlapping 366 region. From this set of 1,336 cis-NATs, 25 belonged to the group of putative transcription 367 enhancers (representing 23% of the 107 candidates), 10 to the group of putative transcription 368 inhibitors (representing 24% of the 41 candidates), one to the group of putative translation 369 enhancers (representing 12.5% of the 8 candidate) and none to the group of putative translation 370 repressors (Supplemental Table S1).

We also looked for the presence of transposable elements or inverted repeats within the *cis*-NATs identified (see Material and Methods) (Supplemental Table S1). Approximately 10.5% of the *cis*-

NATs (i.e. 508) contained at least part of transposable element sequences, including one positively correlated and one negatively correlated to mRNA translation. Transposable element sequences were found in 15 out of the 107 *cis*-NATs correlated with cognate mRNA steady-state level. Similarly, we found that 121 *cis*-NATs contained inverted repeats, including one in a *cis*-NAT positively correlated with cognate mRNA translation and one in a *cis*-NATs negatively correlated with cognate mRNA steady-state level.

379

#### 380 Experimental validation of *cis*-NAT regulation of cognate sense mRNA translation

381 A positive or negative correlation between *cis*-NAT expression and cognate mRNA association 382 with polysomes could indicate translation enhancement or repression of the mRNA by the *cis*-NAT. 383 To experimentally validate such a potential translation regulation activity, two cis-NATs with 384 potential translation enhancer activity and two with putative translation repressor activity were 385 cloned after the CaMV35S promoter and used to transform A. thaliana to produce transgenic lines 386 over-expressing the *cis*-NATs in *trans*. Two independent transgenic lines were selected for each *cis*-387 NAT construct with a robust (>10-fold) over-expression of the transgenic *cis*-NAT compared to the 388 steady-state level of the endogenous *cis*-NAT in wild-type lines but without a significant change of 389 steady-state level of the endogenous cognate mRNA (Supplemental Figure S12). Polysome 390 association of each cognate sense mRNA was analyzed by sucrose density gradient in the lines 391 over-expressing the *cis*-NATs compared to that in a control line transformed with an empty vector. 392 The distribution of mRNAs along the sucrose density gradient was determined by RT-qPCR (Figure 393 4B and E, 5B and E, and Supplemental Figure S13). In order to quantify the changes in terms of 394 association with polysomes in a more robust manner, the proportion of mRNA present either in 395 fractions containing free mRNA or monosomes (fractions 1 to 3) versus fractions containing 396 polysomal mRNAs (fractions 4 to 6) was calculated for each of the eight independent biological 397 replicates (Figure 4C and F, 5C and F). This analysis revealed that over-expression of the *cis*-NAT 398 associated with the CuAO1 locus was associated with a decrease in translation of the cognate 399 mRNA (Figure 4B, C), in agreement with the negative correlation between *cis*-NAT steady-state 400 level and mRNA polysome association (Figure 4A). In contrast, polysome association of the mRNA 401 of locus At1g54260, encoding a potential transcription factor, was not significantly changed by the 402 over-expression of its *cis*-NAT in *trans* (Figure 4E, F), despite the positive correlation (Figure 4D). 403

404 Similar analysis performed for two *cis*-NATs that displayed positive correlation with their cognate 405 mRNA translation (Figure 5A, D) showed that lines over-expressing the *cis*-NAT to locus 406 At3g26240 showed a reproducible shift of the its cognate mRNA towards the heavy polysome

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**Figure 4: Expression of putative translation repressor** *cis*-NATs in transgenic *A. thaliana*. A and D: Coverage plots showing the density of RNA-seq reads per position for the *CuAO1* (A) and AT1G54260 (D) loci, with the red and blue areas representing the sense mRNA and *cis*-NATs, respectively. **B and E:** Polysome profiles showing the proportion of endogenous mRNA in each of the 6 fractions of the sucrose gradient for transgenic lines over-expressing the *cis*-NAT (red) versus control lines transformed with an empty vector (turquoise) for the *CuAO1* (B) and AT1G54260 (F) sense mRNA-*cis*-NAT pair. **C and F:** Proportion of mRNA present in the first three fractions (free RNA and monosomes) and in the last three fractions (polysomes) of the gradient, in transgenic lines over-expressing *cis*-NAT (red) and in control lines transformed with an empty vector (turquoise) for the *CuAO1* (C) and AT1G54260 (F) sense mRNA-*cis*-NAT pair. Data in B, C, E and F represent the average between 8 independent biological replicates obtained with 2 independent transgenic lines. The error bars represents the confidence intervals with alpha=0.05. The significant differences (Student test with pvalue <0.05) are indicated by a star.

fractions in eight independent biological replicates, indicating a stimulatory effect of *cis*-NAT
expression on translation (Figure 5B, C). In contrast, over-expression of the *cis*-NAT to locus *WRKY45* (AT3G01970) did not change significantly the polysome profile of its cognate sense
mRNAs (Figure 5E, F).

411

412 A protoplast co-transformation system was developed to independently validate the effects of *cis*-413 NAT expression on the translation of their cognate sense mRNA. Protoplasts were transformed with 414 a plasmid containing a sense-coding gene fused to NanoLuc luciferase (Nluc), in the presence or 415 absence of a plasmid expressing the cognate *cis*-NAT. The sense-Nluc vectors contained also an 416 independent expression cassette for the firefly luciferase (Fluc), used as an internal transformation 417 and loading control (see Materials and Methods for further details). The ratio Nluc:Fluc activity was 418 used to assess the effect of each selected cis-NAT on its sense-encoded protein accumulation. Increasing the molar amounts of *cis*-NAT<sub>CuAO1</sub> resulted in a corresponding decrease in the 419 420 expression of the CuAO1-Nluc fusion protein as detected by a decrease in the Nluc:Fluc ratio 421 (Figure 6A). Importantly, this inhibitory effect was not observed at the transcript level 422 (Supplemental Figure S14). Similarly, increasing amounts of *cis*-NAT<sub>AT1G54260</sub> resulted in reduction

Figure 5



**Figure 5: Expression of putative translation activator** *cis*-NATs in transgenic *A. thaliana*. A and D: Coverage plots showing the density of RNA-seq reads per position for the AT3G26240 (A) and *WRKY45* (D) loci, with the red and blue areas representing the sense mRNA and *cis*-NATs, respectively. **B and E:** Polysome profiles showing the proportion of endogenous mRNA in each of the 6 fractions of the sucrose gradient for transgenic lines over-expressing the *cis*-NAT (red) versus control lines transformed with an empy vector (turquoise) for the AT3G26240 (B) and *WRKY45* (E) sense mRNA-*cis*-NAT pair. **C and F:** Proportion of mRNA present in the first three fractions (free RNA and monosomes) and in the last three fractions (polysomes) of the gradient, in transgenic lines over-expressing *cis*-NAT (red) and in control lines transformed with an empty vector (turquoise) for the AT3G26240 (C) and *WRKY45* (F) sense mRNA-*cis*-NAT pair. **C and F:** Proportion of mRNA present in the first three fractions (free RNA and monosomes) and in the last three fractions (polysomes) of the gradient, in transgenic lines over-expressing *cis*-NAT (red) and in control lines transformed with an empty vector (turquoise) for the AT3G26240 (C) and *WRKY45* (F) sense mRNA-*cis*-NAT pair. Data in B, C, E and F represent the average between 8 independent biological replicates obtained with 2 independent transgenic lines. The error bars represents the confidence intervals with alpha=0.05. The significant differences (Student test with pvalue <0.05) are indicated by a star.

423 in expression of the AT1G54260-Nluc fusion protein (Figure 6B) without an effect on transcript 424 level (Supplemental Figure S14), although the effect was less pronounced than the one observed for 425 the cis-NAT<sub>CuAQI</sub>-sense construct pair. These later results are in contrast to the lack of significant 426 effect observed in stable transgenic lines overexpressing *cis*-NAT<sub>AT1654260</sub> and analyzed by 427 quantification of polysomal mRNA in sucrose gradient fractions (Figure 4E and F). Such 428 discrepancy may reflect the higher variability of the transgenic-polysomal approach or the higher 429 sensitivity of the protoplast transformation method. To further validate the specificity of the effects 430 of cis-NAT on translation of the corresponding sense mRNA, cis-NAT<sub>CuAO1</sub> was co-transformed 431 with the AT1G54260-Nluc construct, and *cis*-NAT<sub>AT1G54260</sub> was co-transformed with the *CuAO1*-432 Nluc construct. In this cis-NAT swap experiment, no effect on Nluc:Fluc ratio were observed 433 (Figure 6C and 6D), revealing that the inhibitory effect of cis-NAT<sub>AT1G54260</sub> and cis-NAT<sub>CuAO1</sub> on 434 translation was specific to their cognate sense genes.

435

436 The protoplast system was also used to test the two cis-NATs acting as potential translational

437 enhancers that were previously analyzed in transgenic plants, namely the *cis*-NATs to AT3G26240

- 438 and WRKY45, as well as an additional third candidate, 2A6 (AT1G03410) (Figure 7). There was a
- 439 significant increase in Nluc:Fluc ratio upon addition of increasing amount of *cis*-NAT<sub>AT3G26240</sub> to its



**Figure 6**. **Transient expression of putative translation repressor** *cis***-NATs in protoplasts.** Arabidopsis leaf protoplasts were cotransformed with a plasmid combining a sense mRNA-NanoLuc luciferase (Nluc) fusion and a firefly luciferase (Fluc) along with various molar ratios of an independent plasmid for expression of a *cis*-NAT. The ratio of Nluc over Fluc activity is plotted for each combination of sense and *cis*-NAT plasmids. (A) Co-expression of *CuAO1*-Nluc fusion with its cognate *cis*-NAT. (B) Co-expression of AT1G54260-Nluc with its cognate *cis*-NAT. (C) Co-expression of *CuAO1*-Nluc with the *cis*-NAT to AT1G54260. (D) Co-expression of AT1G54260-Nluc with the *cis*-NAT to *CuAO1*. Statistical significant differences (t-test, p-value < 0.05; four biological replicates) between treatments are indicated distinct letters above the bars.

440 cognate sense construct, without a corresponding increase in transcript levels (Figure 7A and 441 Supplemental Figure S14), confirming the translational enhancement of this *cis*-NAT. Similar to the 442 results obtained with stable transgenic plants (Figure 5E, F), there was no significant effect of 443 addition of *cis*-NAT<sub>WRKY45</sub> on the expression of the WRKY45-Nluc construct (Figure 7C). Although 444 we did not generate transgenic lines to test the effect of cis-NAT<sub>2A6</sub> on the translation of its cognate 445 sense mRNA, protoplast analysis revealed an increasing Nluc:Fluc ratio associated with the 446 addition of *cis*NAT<sub>246</sub> to its corresponding sense 2A6-Nluc construct, without changes in mRNA 447 levels (Figure 7B and Supplemental Figure S14), revealing a similar translational enhancement 448 effect as those observed with cis-NAT<sub>AT3G26240</sub>. A swap experiment performed between the cis-449 NAT<sub>AT3G26240</sub> and cis-NAT<sub>2A6</sub> showed no enhancement effect on unrelated sense-Nluc fusion 450 (Figure 7D-E), confirming that the stimulatory effect of cis-NAT<sub>AT3G26240</sub> and cis-NAT<sub>2A6</sub> on 451 translation was specific to their cognate sense genes.

- 452
- 453 Over-expression of the putative translation inhibitor *cis*-NAT<sub>CuAO1</sub> in transgenic lines probably
- 454 resulted in lower levels of endogenous CuAO1 protein although we were not able to detect and
- 455 quantify reliably CuAO1 protein by targeted mass spectrometry using N<sup>15</sup>-labeled plants (Hart-

Figure 7



Figure 7. Transient expression of putative translation activator *cis*-NATs in protoplasts. Arabidopsis leaf protoplasts were cotransformed with a plasmid combining a sense mRNA-NanoLuc luciferase (Nluc) fusion and a firefly luciferase (Fluc) along with various molar ratios of an independent plasmid for expression of a *cis*-NAT. The ratio of Nluc over Fluc activity is plotted for each combination of sense and *cis*-NAT plasmids. (A) Co-expression of AT3G26240-Nluc fusion with its cognate *cis*-NAT. (B) Co-expression of *2A6* (AT1G03410)-Nluc with its cognate *cis*-NAT. (C) Co-expression of *WRKY45* (AT3G01970)-Nluc with its cognate *cis*-NAT. (D) Co-expression of AT3G26240-Nluc with the *cis*-NAT to 2A6. (E) Co-expression of *2A6*-Nluc with the *cis*-NAT to AT3G26240. Statistical significant differences (t-test, p-value < 0.05; four biological replicates) between treatments are indicated distinct letters above the bars.

456 Smith et al., 2017). Since Arabidopsis CuAO1 knock-down mutants were shown to be impaired 457 in NO production induced by polyamines (Wimalasekera et al., 2011), we undertook to quantify NO production upon spermidine treatment in two independent cis-NAT<sub>CuAO1</sub> over-458 459 expressing transgenic lines along with a CuAO1 T-DNA knock-down mutant. NO production 460 was strongly impaired in CuAO1 knock-down mutant, in agreement with the previous results of 461 Wimalasekera et al. (2011), but also in the *cis*-NAT<sub>CuAO1</sub> over-expressing line #1 462 (Supplemental Figure S15). The second cis-NAT over-expressing line also showed a 463 reproducible reduction in NO production compared to that in the Col0 control although the 464 associated p-value was above 0.05 (0.13).

- 465
- 466 467

- 469 **Discussion**
- 470

471 Out of a total set of 4,846 *cis*-NATs identified in this study or annotated in TAIR10, 157 (3.24%) 472 were found to have a potential to regulate the expression of their cognate sense mRNA based on 473 positive or negative correlations with either the steady-state mRNA level or polysome association. 474 The great majority of those potential regulatory *cis*-NATs (147 out of 157; Table 1) were associated 475 with changes in the transcript level of the cognate sense mRNA, with a stronger bias towards 476 concordant expression (107 out of 147). This bias towards concordance is somewhat surprising 477 since negative effect of cis-NAT expression on steady-state mRNA level is more commonly 478 described in the literature than positive effects (Khorkova et al., 2014). It is possible that 479 phenotypes associated with the disruption of *cis*-NATs with discordant expression pattern may be 480 more apparent than for concordant expression pattern. Furthermore, co-expression of cis-NAT and 481 cognate sense mRNA could, in many cases, be simply a consequence of local changes in chromatin 482 state encompassing a whole locus that would equally affect the access of the transcription 483 machinery to both the sense and antisense promoters, and thus would not be associated with a 484 regulatory mechanism for controlling sense mRNA expression. However, numerous examples exist 485 in the literature showing that increased expression of a *cis*-NAT may negatively affect sense mRNA 486 steady-state level via changes in histone marks localized primarily at the promoters of the sense 487 genes (Khorkova et al., 2014). Whereas fewer examples of similar local effect only on the promoter 488 activity of the sense genes have been described for cis-NATs having concordant expression pattern 489 (Mondal et al., 2010), more examples may be found through a more systematic analysis of this 490 group of cis-NATs in Arabidopsis.

491

492 Non-coding RNAs, and particularly lincRNAs, can regulate the expression of coding mRNA by 493 either masking a miRNA binding site via base pairing or by acting as a miRNA mimic (Wang et al., 494 2013; Cho and Paszkowski, 2017). At least one example has been described in animals for a *cis*-495 NAT masking a miRNA binding site present in the cognate sense gene (Faghihi et al., 2010). In the 496 present study, 600 sense genes associated with a *cis*-NAT were found to be a potential target for a 497 microRNA and 69 cis-NATs were found to contain a sequence that could act as a miRNA mimic 498 (Supplemental Table S1). Of those, only two *cis*-NATs were found in the group associated with 499 changes in steady-state mRNA level (both concordant) and only one associated with changes in 500 mRNA polysome association, namely *cis*-NAT<sub>WRKY45</sub>. However, the effect of *cis*-NAT<sub>WRKY45</sub> in its 501 cognate sense RNA could not be experimentally validated either in transgenic plants nor in 502 protoplasts (Figure 5D and 7C). Thus, whereas it is possible that some plant *cis*-NATs may function 503 in miRNA masking or as a miRNA mimic, it would not appear to be common.

505 Overlap between *cis*-NATs and their cognate sense mRNAs can potentially generate siRNAs 506 leading to gene silencing. There are several examples of *cis*-NATs down-regulating the cognate 507 mRNA level via a siRNA-mediated silencing pathway, including in Arabidopsis (Held et al., 2008; 508 Ron et al., 2010). It is thus possible that some of the *cis*-NATs identified in this study are potential 509 transcription inhibitors and the associated *cis*-NAT-siRNAs may act through a siRNA pathway. 510 There is also an example in Arabidopsis where *cis*-NAT expression leads to an increase in cognate 511 sense mRNA transcript via the generation of cis-NAT-siRNAs that inhibit the action of a 512 microRNA targeting the same cognate sense mRNA, thus leading to an increase in sense mRNA 513 level (Gao et al., 2015). Such a mechanism could potentially apply to three genes having *cis*-NATs 514 identified as potential transcription enhancers and associated with *cis*-NAT-siRNAs, namely 515 At1g23090, At2g44430 and At2g45850, which could be targeted by miR826a, miR838 and 516 miR837, respectively. In contrast, the only gene with a *cis*-NAT generating *cis*-NAT-siRNAs that 517 belongs to the group of potential translation regulators does not harbor miRNA targets.

518

519 In silico analysis of the set of cis-NATs identified a small group of 63 cis-NATs that had a higher 520 coding potential and that were more associated with polysomes than were other non-coding RNAs. 521 Further analysis revealed that 19 of those *cis*-NATs could encode polypetides that were conserved 522 either mainly in Brassicaceae (Group II, 9 cis-NATs) or more broadly in plants (Group I, 10 cis-523 NATs) (Supplemental Figure S6). Only one out of these 63 *cis*-NATs was positively correlated with 524 change in sense mRNA steady-state level (*cis*-NAT<sub>AT1G69260</sub>), but none were correlated with 525 changes in mRNA translation (Supplemental Table S1). Association of mRNA with polysomes 526 does not directly show if the RNA is being actively translated into a polypetide. However, analysis 527 of RNA translation by ribosome footprint in both plants and animals have revealed that a 528 remarkably broad spectrum of RNAs previously thought to be non-coding are actively being 529 translated by ribosomes (Aspden et al., 2014; Ji et al., 2015; Hsu et al., 2016; Bazin et al., 2017). 530 Recent analysis of non-coding RNAs in Arabidopsis roots revealed that 568 out of 1,676 cis-NATs 531 had ribosome footprints consistent with translation (Bazin et al., 2017). Interestingly, translation of 532 a small ORF present in a tasiRNA was shown to enhance tasiRNA production (Bazin et al., 2017), 533 suggesting that whereas many lincRNAs and cis-NATs may indeed be translated into peptides, the 534 act of translation itself rather than the specific sequence of the polypeptide may, in some cases, be 535 the predominant mechanism of regulation. In that context, four *cis*-NATs found by Bazin et al. (2017) to have ribosome footprints are included in the group of 19 cis-NATs with coding potential 536 537 that are well conserved in plants (Supplemental Figure S6). These four cis-NATs may be good 538 candidates for transcripts coding for biologically active polypeptides.

539

540 In contrast to numerous reports of the effects of *cis*-NAT expression on sense gene transcription 541 and/or transcript stability, very few examples of *cis*-NATs affecting the translation of their cognate 542 sense mRNA have been described. Repression of mRNA translation by a cis-NAT has only been 543 described for the PU.1 gene in mouse (Ebralidze et al., 2008), whereas cis-NATs enhancing cognate 544 mRNA translation have been reported for the rice PHO1.2, the mouse Uchl1 and the human RBM15 545 genes (Carrieri et al., 2012; Jabnoune et al., 2013; Tran et al., 2016). A major goal of this work has 546 thus been to systematically explore the role of *cis*-NAT expression on translation of their cognate 547 mRNA in Arabidopsis. A total of 14 candidate *cis*-NATs with putative repressive or stimulatory 548 effects on cognate mRNA translation were found, which is 10-fold less compared to the number of 549 cis-NATs with effects on mRNA steady-state level. Analysis of the configuration of the cis-NATs 550 relative to the sense mRNA showed that cis-NATs associated with either translational stimulation 551 or repression had a higher proportion of head-to-head configuration compared to that of all other 552 cis-NATs (Supplemental Figure S10), although the low number of cis-NATs associated with 553 translation makes this distinction not statistically significant. Furthermore, some of the Arabidopsis 554 cis-NATs that were experimentally confirmed to affect translation have other configurations, such 555 as tail-to-tail (AT1G54260, AT3G26240).

556

557 The effects of *cis*-NAT expression on cognate mRNA translation were experimentally tested by 558 either stable transformation in plants and/or transient expression in leaf protoplasts for 5 of the 14 559 candidates. Four of those were validated, namely two *cis*-NATs mediating translational repression 560 (*CuAO1* and AT1G54260) and two *cis*-NATs mediating translational stimulation (AT3G26240 and 561 AT1G03410). These results highlight the robustness of the experimental pipeline used to identify 562 the candidates.

563

564 CuOA1 encodes a copper amine oxidase involved in the catabolism of polyamines (Wimalasekera 565 et al., 2011). CuAO1 has been shown to be involved in the generation of nitric oxide, a key 566 signaling molecule involved in a wide range of functions in plants, including seed germination, root 567 development and ABA-induced stomatal closure (Besson-Bard et al., 2008). The Arabidopsis 568 cuaol-1 T-DNA knock-down mutant shows reduced production of NO after treatment with 569 spermidine (Wimalasekera et al., 2011). Several stress conditions are known to induce NO 570 synthesis, including phosphate deficiency (Sun et al., 2016). Although proteomic experiments could 571 not reliably quantify the amount of CuAO1 protein in transgenic lines overexpressing cis-572 NAT<sub>CUAO1</sub>, the same lines did show reduced NO production to levels similar to that of the cuao1-1 573 mutant, supporting an inhibitory effect of cis-NAT<sub>CuAO1</sub> expression on CuAO1 production 574 (Supplemental Figure S15).

576 AT1g54260 harbors a highly conserved central globular domain (GH1) present in the linker histone 577 H1, proteins that perform important functions on chromatin structure and influencing accessibility 578 of trans-acting factors to DNA (Hergeth and Schneider, 2015; Kotlinski et al., 2017). The GH1 579 domain is known to bind DNA and the AT1G54260 protein belongs to the winged helix family of 580 DNA binding proteins. Beside histones H1, proteins containing GH1 domain have been shown to 581 binds to DNA, including at the telomeres, and potentially act at various level in the regulation of 582 chromatin structure (Zhou et al., 2016). The cis-NAT to AT1G54260 is up-regulated by both ABA 583 and low Pi (Supplemental Table S1). Modulation of AT1G54260 protein synthesis via expression 584 of its cis-NAT could thus have broad impact on chromatin structure and gene regulation under 585 various stress.

586

587 AT3G26240 encodes a protein of unknown function. AT1g03410 (2A6) encodes a protein 588 containing a domain associated with oxoglutarate and iron-dependent dioxygenase. In plants, 589 enzymes containing this domain catalyze the formation of plant hormones, such as ethylene, 590 gibberellins, anthocyanidins and pigments such as flavones. The *cis*-NAT<sub>AT1G03410</sub> is of particular 591 interest since it corresponds to a retroelement of the Sadhu family (Rangwala and Richards, 2010). 592 The stimulatory activity of the cis-NAT of the mouse Uchl1 on translation was shown to be 593 dependent on the SINEB2 retroelement (Carrieri et al., 2012). Sadhu retroelements resemble SINEs 594 in their structure, except that they do not contain similarity to known non-coding RNAs, such as 595 5SrRNA or tRNAs (the SINEB2 element is derived from a tRNA) (Weiner, 2002). Whereas the cis-596 NATs of both Uchl1 and AT1g03410 are in the head-to-head configuration, the SINEB2 element of 597 cis-NAT<sub>Uchll</sub> is located at the non-overlapping 3' end of the cis-NAT and cis-NAT<sub>AT1G03410</sub> is almost 598 completely overlapping with the 5'UTR region of the sense mRNA except for the last 56 599 nucleotides (Supplemental Figure S16) (Carrieri et al., 2012). Whether or not SINEB2 elements and 600 Sadhu retrotransposon stimulates mRNA translation by a similar mechanism remains to be 601 determined.

602

The success of the validation methods relying on stable expression of *cis*-NATs in transgenic plants or transient expression in protoplast reveals that the effect of *cis*-NAT expression on sense mRNA translation can occur in *trans*. This implies that the *cis*-NAT produced from a distinct locus must be sufficiently stable to locate and anneal to its target mRNA and recruit or sequester factors that affect translation. This may, however, not always be the case, since the effects of some *cis*-NATs on mRNA transcript level have been found to occur only in *cis* and not in *trans* (Fedak et al., 2016; Rosa et al., 2016). Thus experimental validation of some *cis*-NATs for regulation of sense mRNA

610 translation may, in some cases, require other methods working in *cis*, such as precise mutation of

611 the *cis*-NAT locus by CRISPR/Cas9.

612

613 In conclusion, the experimental pipeline described in this work identified and validated a number of

614 novel *cis*-NATs in Arabidopsis that influence cognate sense mRNA translation. Although the

615 proportion of *cis*-NATs associated with changes in mRNA translation was relatively low compared

616 to the total number of *cis*-NATs expressed in the genome, it is likely that more candidates will be

- 617 found when plants are grown under different experimental conditions that lead to greater spectrum
- of *cis*-NAT expression. Considering that a broad range of mechanisms have been identified for the
- 619 effect of lincRNAs and *cis*-NATs on transcriptional regulation, it is likely that the mechanisms
- 620 through which *cis*-NATs enhance or repress translation will also be quite diverse.
- 621

- 622 Materials and Methods
- 623

#### 624 Plant materials

625 A. thaliana seeds (Col0) were germinated in half-strength Murashige and Skoog (MS) liquid 626 medium containing 1 mM (high) or 100 uM (low) Pi. On day 5 and 6 after germination, the medium 627 was replaced to maintain a constant level of Pi. On day 7, whole seedlings were harvested and used 628 for total RNA extraction and polysome profiling. A. thaliana seeds were also germinated on agar-629 solidified half-strength MS medium for 10 days, after which the seedlings were flooded with a 630 solution of half-strength MS containing 5 µM IAA, 10 µM ABA, 10 µM MeJA, 10 µM ACC, or no 631 hormone for the untreated control. After 3 h of incubation, roots and shoots were split and harvested 632 separately. For each of the 12 experimental conditions, 3 independent biological replicates were 633 carried out at different times.

634

### 635 Total and polysomal RNA extraction

Plant samples (whole seedlings, roots or shoots) were flash frozen and ground in a mortar and
pestle, and the polysomes were extracted essentially as described in Mustroph et al. (2009) with
minor modifications (see Supplemental Materials and Methods).

639

### 640 Library preparation and RNA sequencing

From each total and polysomal RNA sample, strand specific libraries were prepared using the TruSeq Stranded Total RNA kit (Illumina) and polyA<sup>+</sup> RNAs were selected according to manufacturer's instructions. The libraries were sequenced on a HiSeq 2500 Illumina sequencer and about 30 million of paired-end reads per sample were obtained. In total, about 120 million reads were obtained for each of the 12 experimental conditions.

646

### 647 Identification of *cis*-NATs and analysis of their coding potential

648 To identify *cis*-NATs, the paired-end reads from the 3 replicates were pooled together and uniquely 649 mapped to the TAIR10 genome using Hisat2 (Kim et al., 2015). For each of the 12 conditions, the 650 transcriptome was determined *de novo* with Cufflinks (Trapnell et al., 2010), using the TAIR10.31 651 annotation as guide. The 12 annotation files obtained were merged using the Cuffmerge tool 652 (Trapnell et al., 2010). This transcriptome was then compared to TAIR10.31 using Cuffcompare 653 (Trapnell et al., 2010), and transcripts antisense to TAIR10.31 coding genes (class code x) were 654 considered as putative *cis*-NATs. The readcount for each TAIR10.31 protein coding gene and each 655 identified cis-NATs was determined using HTSeq-count (mode Union) and the identified cis-NATs 656 with a ratio read count *cis*-NAT / coding gene < 0.01 were discarded as false positives likely due to 657 imperfect strand specificity of the library preparation protocol (99.9%).

The "FEELnc codpot" module from FEELnc (version 0.01) (Wucher et al., 2017) was used to identify *cis*-NATs that could potentially be coding for polypeptides (see Supplemental Material and Methods).

662

#### 663 Characterization of cis-NATs

664 Basic features such as length or GC content of transcripts, average steady-state levels or polysome 665 association were determined for each cis-NAT using custom functions written in Python. To 666 analyze the nucleotide conservation, PHASTcons scores where extracted from the 20 angiosperm 667 genome alignment as described by Hupalo et al. (2013). For each transcript, the average 668 PHASTcons score was calculated for exonic and intronic sequences. The presence of inverted 669 determined using the einverted (EMBOSS; repeats was program 670 http://emboss.bioinformatics.nl/cgi-bin/emboss/einverted) using default parameters. The presence 671 of miRNA binding sites within cis-NATs and coding transcripts was determined using 672 psRNATarget server (http://plantgrn.noble.org/psRNATarget/) with an expectation  $\leq 3$  and 673 unpaired energy (UPE) <= 25. Potential miRNA precursors were identified by comparing the cDNA sequences of cis-NATs against a database of miRNA hairpins downloaded from miRBase 674 675 (http://www.mirbase.org).

676

The presence of potential miRNA target mimic sites was determined using custom python functions following the rules edicted in Wu et al. (2013), namely: (i) perfect nucleotide pairing was required at the second to eighth positions of miRNA sequence, (ii) bulges were only permitted at the 5' end ninth to 12th positions of miRNA sequence, and (iii) should be composed of only three nucleotides. No more than 3 mismatches or G/U pairs were allowed in pairing regions (not considering the bulge).

683

Analysis of siRNAs that could be generated by *cis*-NATs was essentially performed according to the method described by Yuan et al. (2015) using the Arabidopsis small RNA dataset available on GEO. Briefly, the small reads 18–28 nucleotides long were mapped to the TAIR10 reference genome using bowtie. For each *cis*-NAT locus, the length and density in small RNAs was calculated for overlapping and non-overlapping regions by dividing the number of mapped small reads by the length of the region using custom scripts and the python library pysam.

690

- 691 The presence of transposable elements within *cis*-NAT transcripts was determined by comparing
- 692 the *cis*-NATs sequences against a database containing all transposable elements annotated in
- 693 TAIR10 using Blastn with a cutoff of evalue=1e-12 and percent identify > 50.
- 694

#### 695 Quantification of TAIR10 and identified loci and identification of DEG

For each experimental condition and biological replicate, the read count of TAIR10 as well as identified loci was determined with HTSeq-count (mode Union) (Anders et al., 2015), and normalized with DESeq2 (Love et al., 2014). A gene was considered differentially expressed comparing two conditions if the adj.pval was < 0.1 and the fold change > 2 or < 0.5.

700

#### 701 Validation for DEG by RT-qPCR

702 A. thaliana seedlings were grown in liquid cultures in the presence of a high or low concentration of 703 inorganic phosphate as described above in the "Plant materials" section. Total RNA was extracted 704 from whole seedlings with Trizol following manufacturer's instructions. One microgram of RNA 705 was then used for reverse-transcription using the M-MLV Reverse Transcriptase (Promega) and 706 oligo  $d(T)_{15}$  as primer using manufacturer's instructions. RT-qPCR analysis to measure mRNA 707 steady-state level was completed using SYBR select Master Mix (Applied Biosystems) with a 708 primer set specific of the gene of interest as well as a primers specific of ACT2 gene used as 709 reference. Log2 fold changes were calculated by the  $\Delta\Delta$ Ct method.

710

#### 711 Determination of polysome association (PA) ratio

712 To estimate the translation efficiency for each gene, the polysomes association (AP) ratio was

713 determined using Xtail package (Xiao et al., 2016), which calculates the ratio between read count

from polysomal RNA sample and total RNA sample. Genes with a Xtail adj.pval < 0.1 and at least a

715 30% increase or decrease of the AP ratio were considered differentially associated with polysomes.

716

## 717 Identification *cis*-NATs influencing steady-state level or polysome association of cognate sense 718 mRNA

The candidate regulatory *cis*-NATs were identified by pairwise comparisons between whole seedlings grown under high- or low-Pi conditions, roots or shoots treated with phytohormones and appropriate untreated controls, as well as between untreated root and shoot tissues, using a series of criteria. Only the pairs coding gene / *cis*-NAT overlapping by at least 50 nucleotides and with a normalized read count for both coding gene and *cis*-NAT > 20 were considered. A *cis*-NAT was considered positively correlated to its cognate coding mRNA expression if both *cis*-NAT and coding mRNA were either up-regulated or down-regulated (fold change > 2 and adj.pval < 0.1) 726 between the two conditions compared. It was considered negatively correlated if one partner was 727 up-regulated whereas the other was down-regulated (fold change > 2 and adj.pval < 0.1) between 728 the two conditions compared. To identify the putative translation regulatory cis-NATs, only the 729 pairs for which the coding gene was differentially translated with fold change > 1.3 and adj.pval <730 0.1 between the two conditions compared, and with fold-change of mRNA steady-state level < 3731 were kept. From these pairs, the *cis*-NATs had to be differentially expressed, with fold change > 2732 and adj.pval < 0.1 and the ratio readcount *cis*-NAT / readcount coding gene had to be above 0.2, in 733 at least one condition. The *cis*-NATs up-regulated when their cognate mRNA was more associated 734 with polysomes were considered as putative translation enhancers, whereas *cis*-NATs up-regulated 735 when their cognate mRNA was less associated with polysomes were considered as putative 736 translation repressors.

737

Pearson correlation coefficient between mRNA and *cis*-NAT steady-state level was also calculated across the 12 experimental conditions analyzed for each candidate pair with a positive or negative correlation between *cis*-NAT and mRNA expression. Similarly, the correlation between PA ratio, and *cis*-NAT steady-state level was also calculated across the 12 experimental conditions for each translation regulator *cis*-NAT candidate. The candidate pairs with a correlation factor > 0.4 or < -0.4 were considered as the most robust candidates.

744

# 745 Creation and analysis of transgenic lines over-expressing putative translation regulatory *cis*746 NATs

747 To create transgenic plants over-expressing the candidate translation regulator *cis*-NATs, the 748 genomic sequence encompassing the transcribed region was cloned into the vector pFAST-R02 749 (Shimada et al., 2010), in the correct orientation, to allow synthesis of the *cis*-NAT transcript under 750 the control of the cauliflower mosaic virus 35S promoter. The constructs were introduced into A. 751 thaliana by Agrobacterium tumefaciens-mediated transformation using floral dipping (Clough and 752 Bent, 1998). Transgenic lines over-expressing the different *cis*-NAT constructs or transformed with 753 empty vector were grown for 10 days on agar-solidified half-strength MS medium containing Basta 754 as a selection marker. Whole seedlings were crushed in liquid nitrogen and total RNA was extracted 755 using standard procedure.

756

To purify polysomes, 10-day-old seedlings were ground into powder in liquid nitrogen and 2 volumes of polysome extraction buffer were added. The mixture was incubated for 15 minutes on ice, centrifuged at 16,000 g to pellet debris and 200  $\mu$ L of supernatant were loaded on top of 5 mL sucrose gradients. After 75 min of centrifugation at 55,000 rpm in a SW55 rotor (Beckman) at 4°C,

761 the gradients were collected and split into 6 fractions. For each fraction, 500 µL was transferred into 762 a new eppendorf tube and RNA was extracted with 1 mL of Trizol according to manufacturer's 763 instructions. An additional step of acetate ammonium precipitation with ethanol and washing was 764 added to remove remaining salt and phenol traces. For each sample, 300 ng of RNA was then used 765 for reverse transcription using the M-MLV Reverse Transcriptase (Promega) and oligo  $d(T)_{15}$  as 766 primer using manufacturer's instructions. To analyze WRKY45 mRNA, due to the full overlap 767 between *cis*-NAT and mRNA, the reverse transcription was performed in the same conditions but 768 using a mix of reverse primers specific to WRKY45 and ACT2 mRNA instead of oligo d(T)15. RT-769 qPCR analysis to quantify the relative amount of endogenous mRNA in each fraction was 770 performed with a primer set specific for the gene of interest as well as a primer specific for the 771 ACT2 gene used as reference. The results are presented as relative proportion of endogenous mRNA 772 in each fraction of the gradient, as described in Faye et al. (2014). The average of 8 independent 773 biological replicates obtained with 2 independent transgenic lines is reported. To be able to quantify 774 in a more robust manner the changes in terms of polysome association, the sum of the proportions 775 of mRNA in fractions 1–3 and fractions 4–6 were calculated to compare the proportion of mRNA 776 not or poorly translated, e.g. free mRNA (fraction 1 and 2) or associated with monosomes (fraction 777 3), versus the proportion of mRNA efficiently translated, e.g. associated with low (fraction 4) or 778 high polysomes (fractions 5–6).

779

#### 780 Transient translation assays in Arabidopsis protoplasts

781 Plasmids used for protoplast transformation were assembled using *BsaI*-based Golden Gate cloning, 782 and the final constructs contained a recombination site for Gateway<sup>TM</sup> cloning. A Gateway<sup>TM</sup> 783 destination vector, for cloning and expression of sense-coding genes, included a C-terminal in-784 frame fusion with a foot-and-mouth disease virus (FMDV) 2A peptide, followed by fusion with 785 NanoLuc® luciferase (Nluc) (plasmid nLucFlucGW, GenBank MH552885). Additionally, an 786 independent expression cassette driving firefly luciferase (Fluc) was also included in this vector. Another Gateway<sup>TM</sup> destination vector, for cloning and expression of antisense noncoding genes, 787 788 was produced without any fusion or additional expression cassette (plasmid RHIP1pGW, GenBank 789 MH552886). Both Gateway<sup>™</sup> destination vectors expressed the cloned gene, sense or antisense, 790 under control of the same promoter (1.1 kbp genomic sequence upstream of AT4G26410) and 791 terminator (250 bp downstream of AT5G59720). Genomic sequences for sense-coding genes (from 792 5'UTR to last codon, without STOP) and antisense-noncoding genes were cloned via Gateway™ 793 cloning into their corresponding vector.

794

795 Protoplasts were produced and transformed essentially as described by Yoo et al. (2007) with minor 796 modifications (see Supplemental Material and Methods). Protoplasts were harvested by 797 centrifugation at 6,000 g for 1 min, and resuspended in 1X Passive Lysis Buffer (Promega, E1941). 798 The lysate was cleared by centrifugation and used for luminescence quantification using Nano-799 Glo® Dual-Luciferase® Reporter Assay System (Promega, N1610), according to the manufacture's 800 instructions. Luminescence values for Nluc fused to sense-coding gene were normalized against 801 Fluc to control for loading and transfection efficiency. Statistical significant differences (Student's 802 *t*-test, p-value < 0.05) in ratio Nluc:Fluc were used to assess the effect of antisense noncoding gene 803 co-expression.

804

#### 805 Quantification of NO production

NO production was quantified in 10-day-old seedlings treated with spermidine following the procedure described in Wimalakasera et al. (2011). Briefly, 5–6 seedlings were equilibrated in 3 mL of MES buffer (30 mM MES, 0.1 mM CaCl<sub>2</sub>, 1 mM KCl) for 2 h. Then 4,5-diaminofluorescein diacetate and spermidine or DMSO was added to the medium. After 30 min incubation at 24°C under light with shaking, 100  $\mu$ L of medium was transferred to 96 well plate and fluorescence was quantified. Eight independent biological replicates were analyzed and the florescence was normalized by mg of fresh weight of spermidine-treated seedlings or untreated control.

813

#### 814 Accession numbers

The data reported in this paper have been deposited in the Gene Expression Omnibus (GEO) database, https://www.ncbi.nlm.nih.gov/geo (accession no. GSE116553). The processed data tables (Supplemental Table S1 and S4) are included as additional files for this article. The sequence of created plasmids used in this study has been submitted to GenBank, MH552885 and MH552886.

819

#### 820 Supplemental Data

- 821 The following supplemental materials are available.
- 822 Supplemental Figure S1. Steady state mRNA expression level and association with polysomes in
- 823 response to treatment with phytohormones.
- 824 Supplemental Figure S2. GO terms enriched in the set of genes up-regulated in plants grown in
- 825 low Pi conditions or treated with various phytohormones.
- 826 Supplemental Figure S3. Analysis of the degree of overlap between independent studies analyzing
- 827 gene expression in response of low Pi or ABA treatment.

- 828 Supplemental Figure S4. GO terms enriched in the set of genes with changes in polysome
- 829 association.
- 830 Supplemental Figure S5. Steady state mRNA expression level and association with polysomes in
- 831 untreated roots compared to shoots.
- 832 Supplemental Figure S6. Evolutionary conservation of *cis*-NAT encoded peptides.
- 833 Supplemental Figure S7. Analysis of histone acetylation and nucleosome occupancy near the
- 834 transcription start site of *cis*-NATs.
- 835 Supplemental Figure S8. Analysis of the degree of overlap in cis-NATs identified in distinct
- 836 studies.
- 837 **Supplemental Figure S9.** Validation of differentially expressed genes by RT-qPCR.
- 838 **Supplemental Figure S10.** Proportion of the different types of orientation for the *cis*-NAT sense
- 839 mRNA pairs.
- 840 **Supplemental Figure S11.** Analysis of *cis*-NAT-siRNAs.
- 841 **Supplemental Figure S12.** Quantification of the endogenous cognate mRNA in *cis*-NAT 842 overexpressing lines.
- 843 Supplemental Figure S13. Polysome profile.
- 844 Supplemental Figure S14. Levels of sense mRNA-NanoLuc luciferase (Nluc) fusion transcripts in
- 845 transiently transformed protoplasts.
- 846 Supplemental Figure S15. Quantification in NO production upon spermidine treatment.
- 847 Supplemental Figure S16. Organization of the *cis*-NAT:mRNA pair at AT1G03410 locus.
- 848 Supplemental Table S1. Summary of features associated with each transcript
- 849 Supplemental Table S2. Genes differentially expressed in various conditions
- 850 **Supplemental Table S3.** Number of mRNAs differentially associated with polysomes.
- 851 Supplemental Table S4. RNAseq and polysome profiling data relative to putative transcription or
- translation regulatory cis-NATs.
- 853 Supplemental Materials and Methods
- 854
- 855

- 856
- 857

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- 862 interest declared.
- 863

#### 864 Tables

Table 1. Number of cis-NATs correlated with cognate gene steady-state mRNA expression or 865 association with polysomes. Number of mRNA / cis-NAT pairs with either a positive or negative 866 867 correlation between *cis*-NAT and cognate gene steady-state mRNA expression (second and third 868 columns), and number of pairs with positive or negative correlation between cis-NAT expression and cognate gene mRNA polysome association (PA) (fourth and fifth columns). The experimental 869 870 conditions compared are indicated in the first column where root and shoot tissues are indicated 871 with the prefix R and S, respectively, and untreated control conditions indicated with the suffix ctrl. 872 The figures in brackets show the number of those pairs with a Pearson correlation coefficient > 0.4

873 or < -0.4 across the 12 experimental correlations.

874

Treatment	Positive correlation <i>cis</i> -NAT / mRNA expression	Negative correlation <i>cis</i> -NAT / mRNA expression	Positive correlation <i>cis</i> -NAT expression / mRNA PA	Negative correlation <i>cis</i> -NAT expression / mRNA PA
Low / high Pi	40 (33)	10 (3)	4 (1)	1 (1)
RIAA / Rctrl	2 (2)	0	0	0
RABA / Rctrl	17 (13)	0	1 (0)	1 (0)
RMeJA / Rctrl	6 (4)	0	0	0
SABA / Sctrl	10 (7)	1 (0)	0	0
SMeJA / Sctrl	3 (3)	1 (0)	0	0
Rctrl / Sctrl	47 (41)	29 (24)	3 (2)	4 (2)
Total (unique)	107 (86)	41 (27)	8 (3)	6 (3)

875

876

#### 877 Figure legends

878



880 growth of Arabidopsis under low-Pi conditions. A, Relation between log2-fold change of mRNA

steady-state level (x axis) is plotted against the log2-fold change in polysome association (y axis).

882 Coding genes significantly up- or down-regulated at the mRNA steady-state level are colored in

883 yellow and cyan, respectively, whereas mRNA significantly more or less associated with polysomes

884 are colored in red and blue, respectively. The genes not showing any statistical difference are 885 colored in grey. B, Same plot as A where genes associated with GO terms "Response to Pi starvation", "Cytosolic ribosome", "Mitochondrial ribosome", and "Chloroplastic ribosome" are 886 887 colored in pink, dark blue, light blue and green, respectively. C to E, Normalized RNA-seq 888 coverage plots for the IPS1, RPS15AE and RPL34 genes. The two upper panels show the coverage 889 plots for total mRNA and polysomal RNA from high Pi samples and the two lower panels 890 correspond to low phosphate samples. The schematic exonic organization of each gene is 891 represented by red boxes and lines below the plots.

892 Figure 2: Identification and characterization of cis-NATs. A, Schematic diagram of the pipeline 893 used for *de novo cis*-NAT identification from the 12 different experimental conditions. B, Boxplot 894 comparing polysome association of *cis*-NATs predicted to be noncoding (green) or coding (pink), 895 ncRNA (cyan) and protein-coding genes (salmon) annotated in TAIR10 database. C and D, Plots 896 comparing transcript length (C) and RNA steady-state-level (D) of cis-NATs predicted to be 897 noncoding (green) or coding (pink), ncRNA (cyan) and protein-coding genes (salmon) annotated in 898 TAIR10 database. E, Boxplots comparing the nucleotide conservation across 20 angiosperm 899 genomes within exonic and intronic regions of the four categories of transcripts listed above.

900 Figure 3: Correlations between expression of *cis*-NATs and changes in steady-state level or 901 poylsome association of the cognate sense mRNA. A to D, Coverage plots showing the density of 902 RNA-seq reads per position at AT2G37580, AT1G68940, AT1G03410 and CuAO1 loci. The red 903 and blue areas represent the density of reads mapping to the sense mRNA and *cis*-NATs, 904 respectively. For each experimental condition, the upper part corresponds to total RNA-seq reads 905 and the lower part to polysomal RNA-seq reads. The red and blue arrows below indicate the cis-906 NAT-mRNA pair orientation. E to H, Correlation plots showing the steady-state level of the coding 907 mRNA (red dots) and cis-NAT (green dots) for AT2G37580 and AT1G68940 loci (E and F, 908 respectively) or the steady-state level of the cis-NAT (cyan dots) and the association with 909 polysomes of the cognate sense mRNA (purple dots) for AT1G03410 and CuAO1 loci (G and H, 910 respectively). The Z-score of normalized read counts calculated from the 12 experimental 911 conditions is represented on the y-axis. Pearson correlation coefficients between the two variables 912 shown in each plot are indicated on top of the plots.

Figure 4: Expression of putative translation repressor *cis*-NATs in transgenic *A. thaliana*. A and D, Coverage plots showing the density of RNA-seq reads per position for the *CuAO1* (A) and AT1G54260 (D) loci, with the red and blue areas representing the sense mRNA and *cis*-NATs, respectively. B and E, Polysome profiles showing the proportion of endogenous mRNA in each of 917 the six fractions of the sucrose gradient for transgenic lines over-expressing the *cis*-NAT (red) 918 versus that in control lines transformed with an empty vector (turquoise) for the CuAO1 (B) and 919 AT1G54260 (E) sense mRNA-cis-NAT pair. C and F, Proportion of mRNA present in the first 920 three fractions (free RNA and monosomes) and in the last three fractions (polysomes) of the 921 gradient. Determinations were in transgenic lines over-expressing *cis*-NAT (red) and in control 922 lines transformed with an empty vector (turquoise) for the CuAO1 (C) and AT1G54260 (F) sense 923 mRNA-cis-NAT pair. Data in B, C, E and F represent the average of 8 independent biological 924 replicates obtained with 2 independent transgenic lines. The error bars represent the confidence 925 intervals with alpha=0.05. Asterisks indicate significant differences (Student's *t*-test with p-value 926 < 0.05).

927 Figure 5: Expression of putative translation activator *cis*-NATs in transgenic A. thaliana. A 928 and D, Coverage plots showing the density of RNA-seq reads per position for the AT3G26240 (A) 929 and WRKY45 (D) loci, with the red and blue areas representing the sense mRNA and cis-NATs, 930 respectively. B and E, Polysome profiles showing the proportion of endogenous mRNA in each of 931 the six fractions of the sucrose gradient for transgenic lines over-expressing the *cis*-NAT (red) 932 versus that in control lines transformed with an empty vector (turquoise) for the AT3G26240 (B) 933 and WRKY45 (E) sense mRNA-cis-NAT pair. C and F, Proportion of mRNA present in the first 934 three fractions (free RNA and monosomes) and in the last three fractions (polysomes) of the 935 gradient, in transgenic lines over-expressing cis-NAT (red) and in control lines transformed with an 936 empty vector (turquoise) for the AT3G26240 (C) and WRKY45 (F) sense mRNA-cis-NAT pair. 937 Data in B, C, E and F represent the average of 8 independent biological replicates obtained with 2 938 independent transgenic lines. The error bars represent the confidence intervals with alpha=0.05. 939 Asterisks indicate significant differences (Student's *t*-test with p-value <0.05).

940

941 Figure 6. Transient expression of putative translation repressor *cis*-NATs in protoplasts. 942 Arabidopsis leaf protoplasts were co-transformed with a plasmid combining a sense mRNA-943 NanoLuc luciferase (Nluc) fusion and a firefly luciferase (Fluc) along with various molar ratios of 944 an independent plasmid for expression of a *cis*-NAT. The ratio of Nluc over Fluc activity is plotted 945 for each combination of sense and *cis*-NAT plasmids. A, Co-expression of *CuAO1*-Nluc fusion with 946 its cognate cis-NAT. B, Co-expression of AT1G54260-Nluc with its cognate cis-NAT. C, Co-947 expression of CuAO1-Nluc with the cis-NAT to AT1G54260. D, Co-expression of AT1G54260-948 Nluc with the *cis*-NAT to *CuAO1*. Statistically significant differences (Student's *t*-test, p-value <949 0.05; four biological replicates) between treatments are indicated by distinct letters above the boxes. 950

951	Figure 7. Transient expression of putative translation activator cis-NATs in protoplasts.
952	Arabidopsis leaf protoplasts were co-transformed with a plasmid combining a sense mRNA-
953	NanoLuc luciferase (Nluc) fusion and a firefly luciferase (Fluc) along with various molar ratios of
954	an independent plasmid for expression of a cis-NAT. The ratio of Nluc over Fluc activity is plotted
955	for each combination of sense and cis-NAT plasmids. A, Co-expression of AT3G26240-Nluc fusion
956	with its cognate cis-NAT. B, Co-expression of 2A6 (AT1G03410)-Nluc with its cognate cis-NAT.
957	C, Co-expression of WRKY45 (AT3G01970)-Nluc with its cognate cis-NAT. D, Co-expression of
958	AT3G26240-Nluc with the cis-NAT to 2A6. E, Co-expression of 2A6-Nluc with the cis-NAT to
959	AT3G26240. Statistically significant differences (Student's t-test, p-value < 0.05; four biological
960	replicates) between treatments are indicated by distinct letters above the boxes.
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### **Parsed Citations**

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