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1 **Psychological Interventions as Vaccine Adjuvants: a systematic review**

2 Running title: Psychological vaccine adjuvants

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28 **Abstract**

29 **Objectives:** The effectiveness of vaccines is known to be altered by a range of psychological
30 factors. We conducted a systematic review to evaluate the effects of psychological
31 interventions on the ability of vaccines to protect against disease, as measured by antibody
32 responses.

33 **Methods:** Electronic databases (EMBASE, Medline, PsychINFO, CINAHL) were searched from
34 their inception to 6th February 2018.

35 **Results:** The search yielded 9 eligible trials conducted with 1603 participants and four broad
36 categories of intervention: meditation/mindfulness (n=3), massage (n=3), expressive writing
37 (n=2) and cognitive behavioural stress management (n=1). Some evidence of benefit on the
38 antibody response to vaccination was observed in 6/9 of all trials and in 4/7 of randomised
39 controlled trials. However, effects on antibody levels were often mixed, with only 3 of 6
40 trials showing benefit demonstrating an improvement in all antibody outcomes and at all
41 time points assessed. Trials demonstrating benefit also provided direct or indirect evidence
42 of adequate adherence with the intervention; and in 50% of these trials, there was also
43 evidence that the intervention was effective in changing the mediating psychological
44 constructs targeted by the intervention.

45 **Conclusions:** This literature is characterised by considerable heterogeneity in terms of
46 intervention type, vaccine type, age of participants and the temporal relationship between
47 vaccination and intervention. We conclude that there is early evidence to suggest that
48 psychological interventions may enhance the antibody response to vaccination. However,
49 the effects are inconsistent, with the greatest likelihood of benefit seen in trials evidencing
50 adequate adherence with the intervention. Future work would benefit from rigorous

51 intervention development that focuses on achieving adequate adherence and large well-
52 controlled randomised trials with a focus on an agreed set of outcomes.

53

54

55 **Keywords:** vaccinations; antibodies; psychological interventions

56

57 **Introduction**

58 The Centres for Disease Control stated that vaccination is among the ten most
59 significant health achievements ever documented[1]; and for many conditions they have
60 been an enormous success (e.g., smallpox). However, vaccinations are not universally
61 effective, with multiple factors related to the vaccine and the vaccine recipient known to
62 influence efficacy [2, 3]. With regard to the latter, there are several populations in whom
63 the evidence for vaccine effectiveness is equivocal. These include populations with
64 underlying immune impairment due to advancing age [3, 4] and/or the presence of co-
65 existing diseases (e.g., cancer) [5]. As a consequence, vaccines may be most likely to fail in
66 those they most seek to benefit [6, 7].

67 This has prompted research into strategies to enhance the immune response to
68 vaccination, so called vaccine adjuvants. The aim of such interventions is to optimise the
69 response of the immune system to the vaccine antigens and, in so doing, increase the
70 likelihood that the vaccine confers protection. Within this context, there has been a growing
71 interest in the potential for non-pharmacological factors to act as vaccine adjuvants. This is
72 borne out of a literature which has demonstrated that psychological and behavioural factors
73 such as mood, diet and physical activity can modulate aspects of functional and
74 enumerative immunity [8], including responses to vaccination [9, 10]. For example, a meta-
75 analysis of 13 studies examining the relationship between psychological stress and antibody
76 responses following influenza vaccination reported evidence of a significant negative
77 relationship, such that greater levels of stress (regardless of how it was measured) were
78 associated with lower levels of antibody [9]. Similarly, a review of cross-sectional,
79 observational and randomised controlled studies investigating the relationship between
80 chronic and acute exercise and immune responses to vaccination concluded that the

81 immune response appears to be augmented by exercise [11]. Comparable evidence also
82 exists for a range of dietary factors. For example, both vitamin D and zinc have been shown
83 to modulate the functioning of the immune system [12, 13] .

84 This systematic review aims to provide a comprehensive evaluation of the effects of
85 psychological interventions on the human antibody response to vaccination; with a view to
86 informing the debate as to whether they could be used to optimise vaccine efficacy. We
87 sought to be inclusive in this review. Thus, the term psychological was used to capture any
88 treatment that could be broadly considered to be aiming to improve the vaccine response
89 by targeting a psychological construct or process known to effect immunity (e.g., mood,
90 relaxation, pain, etc.), but we did not require the intervention to draw on psychological
91 theory. This was necessary to ensure a comprehensive assessment of the relevant literature,
92 given that this is a field known to be characterised by a relative absence of theory driven
93 enquiry [14]. We examined the evidence from all eligible trials conducted with human
94 participants that measured the effects of a psychological intervention on the antibody
95 response to standard dose vaccinations.

96 Furthermore, although a range of immunological outcomes have been reported in
97 the literature, we chose to focus this review on the antibody response only. Vaccines
98 contain live, attenuated, modified, or killed microorganisms (or their toxins) and, when
99 administered, they stimulate an immune response, the nature of which depends on the type
100 of microorganism administered. However, most often the cascade of immune activity
101 following vaccination ends with the production of antibodies. Thus, antibody responses can
102 be accepted as a surrogate and universal marker of an effective immune response to
103 vaccination.

104 It is worth noting that there are two classes of vaccine that stimulate B cells to
105 produce antibodies: thymus-dependent (i.e. T cell-dependent) or thymus-independent (i.e.
106 T cell-independent) vaccines. Psychological factors have been shown to influence the
107 response to both in comparable ways [15]. Thus, we had no *a priori* reason to expect that
108 the effect of the non-pharmacological interventions considered in this review would affect
109 these two classes of vaccines differently.

110

111 **Systematic Review Methods**

112 **Search strategy and selection criteria**

113 We searched electronic databases (EMBASE, Medline, PsychINFO, and CINAHL) from
114 their inception to 6th February 2018 (see Appendix 1 for details of the search strategy). Our
115 search was constructed to identify all non-pharmacological interventions and identified
116 three broad types of intervention: psychological, physical activity/exercise and
117 dietary/nutritional interventions. However, given the diversity in types of intervention
118 within and between each category, the results from the physical activity/exercise and
119 dietary/nutritional interventions are to be the subject of separate manuscripts. Hereafter,
120 we use ‘k’ to denote number of articles and ‘n’ to denote number of participants in this
121 manuscript:

122 No language restrictions were applied. Only primary studies published in peer-
123 reviewed journals were considered for inclusion. Review articles were excluded, but their
124 reference lists were examined for relevant papers. We also hand-searched reference lists of
125 included papers and contacted subject experts for additional relevant papers. The following
126 study inclusion criteria were applied: (1) human adult, child and infants receiving any type of
127 vaccine; (2) studies explicitly concerned with evaluating the therapeutic (i.e., beneficial)

128 effects of an intervention on the immune response to the vaccine; (3) the intervention
129 targeted a psychological construct known to effect immunity (e.g., mood, relaxation, etc.)
130 but was not required to explicitly draw on psychological theory; (4) studies in which
131 participants received standard doses of vaccine; (5) comparative studies (randomised and
132 non-randomised); (6) studies providing a quantitative assessment of the antibody response
133 to the vaccination and (7) examined the association between the intervention and the
134 antibody response. To be included, studies had to meet all 7 criteria.

135 Antibody responses are typically quantified in absolute levels, as captured by titres,
136 or binary outcomes that capture a change in antibody levels: with the outcomes
137 ‘seroresponder/responder’ and ‘seroconversion’ used most commonly. Typically,
138 seroresponding following vaccination is defined as a rise in serum antibody of a particular
139 magnitude (e.g., a four-fold increase or greater). Seroconversion refers to the presence of
140 antibody specific to the vaccine antigens in the blood. All approaches to quantifying the
141 antibody response were included in this review.

142 It is usual in reviews of this kind to specify the primary outcome in advance. In the
143 case of the present body of work this might have included a focus on a specific type of
144 antibody measure (e.g., absolute antibody levels) and a specific time-point following
145 vaccination (e.g., 4 weeks post-vaccination). However, this was not possible in this review
146 because common practice in this field has been to report multiple antibody outcomes;
147 measure these on more than one occasion post-vaccination and not always specify the
148 primary or secondary outcomes. The absence of a consistent approach to measuring the
149 effects of psychological interventions on the antibody response to vaccination led us to
150 operationalise ‘an improvement in the antibody response’ as a statistically significant
151 ($p \leq 0.05$) enhancement in one or more antibody outcome, at any time point post-

176 All discrepancies between reviewers were resolved through discussion. For example, there
177 was some discrepancy regarding what could be considered selective reporting. Discussions
178 led to reviewers agreeing that this could only be determined if a published protocol was
179 available containing the relevant details. All agreed ratings are reported in Table 1.

180

181 INSERT TABLE 1 ABOUT HERE

182 **Effect Sizes**

183 Between group effect sizes (Hedges' g) were calculated for all antibody outcomes
184 using Comprehensive Meta-Analysis (Version 3): Englewood, NJ; Biostat: [https://www.meta-](https://www.meta-analysis.com/)
185 [analysis.com/](https://www.meta-analysis.com/)). These were calculated using post-vaccination means, standard deviations
186 and sample size for continuous outcomes and number of events per group used for
187 dichotomous outcomes. In two cases [17, 18], where these statistics were not reported in
188 the published manuscript, effect sizes were calculated on the basis of reported inferential
189 tests assessing between group differences in changes from pre-vaccination antibody levels.
190 In the case of the Davidson et al. trial [17] this was because no other data were available. In
191 the case of the Vedhara et al trial [18], the measure presented was seroconversion and thus
192 was, in effect, 'change from baseline'.

193 For five studies, insufficient statistics of any kind were published to calculate effect
194 sizes. Authors of all 5 studies were contacted and two provided additional data, thus
195 allowing us to calculate effect sizes for 6/9 articles in total (see Table 2).

196 Effect sizes were interpreted in line with guidelines for Cohen's d (small = .2,
197 medium= .5, large= .8 [19], with positive values interpreted as the intervention having
198 enhanced antibody responses compared to controls. However, due to the heterogeneous

199 nature of the trials identified (in terms of vaccinations used, intervention type, and method
200 of antibody measurement) we did not meta-analyse these data.

201

202 **Results**

203 **Summary of findings**

204 The search yielded nine eligible papers reporting nine trials which covered four
205 broad categories of intervention: meditation/mindfulness (k=3), massage (k=3), expressive
206 writing (k=2) and cognitive behavioural stress management (k=1). We elected to include the
207 massage trials in this review of psychological interventions for two main reasons. First, they
208 met our criteria of ‘interventions targeting a psychological construct known to effect
209 immunity’ in that the massage in these trials was designed to reduce pain or enhance mood.
210 Second, we considered these interventions to be wholly different from the exercise/physical
211 activity based interventions identified in our searches, all of which were concerned with
212 participants actively engaging in some form of physical activity. This contrasts with massage
213 where subjects are the passive recipients of some degree of physical manipulation.

214 Seven randomised controlled trials were identified, one study used matched
215 controls, and another used waiting-list controls. All studies provided data on at least one
216 measure of adherence or effects on a mediating mechanism. The total sample size across all
217 studies was 1603 (range: 40-413). The average age of participants ranged from 2 months to
218 80 years. Two trials were conducted with infants (2-6 months), five with adults (21-60
219 years), and two in older adults (75-80 years). Five trials focussed on responses to seasonal
220 influenza vaccination, two to hepatitis B vaccinations, and two to
221 diphtheria/tetanus/pertussis (DTP) vaccination. Four trials targeted groups who could be

222 considered to be at potential risk of vaccine failure: two with young infants [20, 21] and two
223 with older adults [18, 22]. The length of the interventions ranged from single sessions of 1
224 minute [20] to 3 x 1 hour sessions per week for 20 weeks [22]. Five trials administered their
225 vaccination post-intervention; two before or at the first intervention session and two during
226 the intervention.

227 Two-thirds of all trials (k=6/9), and over half of all RCTs (k=4/7), reported some
228 evidence of a statistically significant improvement in the antibody response to vaccination
229 [17, 18, 20, 22-24]; two showed no benefit [21, 25] and one showed evidence of an
230 impaired antibody response in the intervention group [26]. Intervention effect sizes ranged
231 from $g=-0.73$ to $g=1.13$ (see Table 2). Trials showing evidence of an improved immune
232 response to vaccination, and in which effect sizes could be calculated, typically exhibited
233 moderate to large effects [17, 18, 24].

234 When examining the six trials that showed some evidence of benefit in more detail,
235 it was clear that there was variability in both the number of outcomes reported (ranging
236 from 1-25) and the proportion of these that exhibited evidence of a statistically significant
237 improvement in the antibody response. For 50% of these trials (k=3) all antibody outcomes
238 reported improved significantly in the intervention group compared with the control group
239 [17, 18, 24]. In contrast, the study by Hsu [20], considered 5 outcomes over 5 time points,
240 only 12 of which (48%) attained significance in the expected direction. Two outcomes
241 showed significantly greater antibody levels in the control arm (both at 2 months post-
242 vaccine) and the direction of the non-significant comparisons indicated higher antibody
243 levels in the control arm for 7/11 outcomes.

244 The study by Yang [22], reported 6 between-group comparisons, 2 of which (33%)
245 attained statistical significance in the expected direction. The direction of all the non-

246 significant between group comparisons in this study were in the expected direction (i.e.,
247 greater antibody levels or protective titres observed in the intervention arm). Finally, the
248 post-hoc analysis by Stetler [23] which showed evidence of improved antibody responses,
249 did so for only 1 out of 3 viral strains (33%). The results for the other viral strains were not
250 presented in the manuscript and so we could not determine the direction of these non-
251 significant comparisons.

252 There appeared to be no systematic differences in intervention effects based on the
253 nature of the vaccine (influenza, hepatitis B and DTP vaccines used in trials showing
254 benefit/impairment and not); or the timing of the vaccination relative to the intervention
255 (i.e., whether vaccination occurred pre, during or post-intervention). Trials showing no
256 benefit/impairment also did not appear to differ markedly in their duration, from those that
257 did show benefit (median total number of intervention days: 4 versus 6 respectively).
258 However, they did appear to differ in intensity (i.e., median number of minutes engaged in
259 formal intervention sessions): with median intensity (not including unsupervised
260 intervention practice) over the intervention period of 180 minutes for trials showing no
261 benefit/impairment versus 280 minutes for trials reporting benefit. They also differed in
262 sample size: with trials showing no benefit/ impairment typically being larger than the trials
263 showing some evidence of benefit (medians n=149 and n=49 respectively). Although this
264 latter observation may be attributable, in part, to a single very large trial of 413 participants
265 [21].

266 In considering this literature in more detail, we next give consideration to findings
267 according to intervention type and methodology

268 **Intervention Type and Methodology**

269 No single intervention approach was examined in more than three trials. Thus it is
270 not yet possible to consider the relative benefits of each intervention approach in the
271 context of such a modest evidence base. However, some early patterns emerge if we
272 consider aspects of intervention methodology, relating in particular to (a) adherence with
273 the interventions (indicated by the number of intervention sessions attended); (b)
274 intervention effects on purported mediating mechanisms i.e., whether it had a beneficial
275 effect on constructs targeted by the intervention (e.g., improved mood) and (c)
276 characteristics of participants at baseline (i.e., could they be considered to be at risk of
277 vaccination failure).

278 **Intervention adherence:** Only three trials formally reported on intervention
279 adherence [18, 22, 26], but it is possible to infer levels of adherence from other details (e.g.,
280 degrees of freedom) presented in a further three trials [20, 23, 24]. All six of these trials
281 evidenced adequate to good adherence, as measured by participants attending >75% of
282 intervention sessions, and all but one [26] reported evidence of an enhanced antibody
283 response to vaccination in the intervention group compared with the control group. In
284 contrast, of the three trials that did not provide data on adherence [17, 21, 25], only one
285 reported evidence of an improved vaccination response.

286 **Mediating mechanisms:** Nearly all trials (k=8/9) reported evidence relating to one or
287 more hypothesised mediating mechanism: mood [17, 18, 23, 24, 26]; brain activity [17];
288 cognitive change [23-25]; pain and other vaccine related adverse events [20, 21]. Of these,
289 three trials were characterised by the intervention having no effect or an adverse effect on
290 their hypothesised mechanisms [21, 25, 26]; and all three showed no evidence of a
291 beneficial effect on vaccine effectiveness. In contrast, three out of the five trials reporting
292 evidence of a beneficial effect on vaccine effectiveness showed that the purported

293 mechanisms had also been changed in the expected direction [17, 23, 24]. The remaining
294 two trials showing benefit observed no effect of their intervention on their hypothesised
295 mechanism (mood: [18]) or an adverse effect (pain and fever: [20]).

296 **Participant characteristics:** Four out of nine trials were conducted with individuals at
297 risk of vaccine failure due to their age [18, 20-22]. All but one of these trials [21] reported a
298 beneficial effect of their intervention on the antibody response to vaccination. However,
299 evidence of an enhanced immune response to vaccination following interventions
300 conducted in healthy adults was also not uncommon, with three out of five of these trials
301 reporting benefit [23-25].

302

303 **Discussion**

304 This review identified nine trials in which the effects of psychological interventions
305 on the antibody response to vaccination were examined. This literature was modest in size
306 and characterised by considerable heterogeneity in terms of the type of intervention, age of
307 participants, vaccine type, intervention duration and intensity and approaches to assessing
308 the antibody response to vaccination. When examining the evidence according to the less
309 stringent criterion of 'a statistically significant ($p \leq 0.05$) enhancement in one or more
310 antibody outcome at any time point post-vaccination', we observed that two-thirds of trials
311 reported some evidence of benefit in the antibody response to vaccination, and in those
312 where an effect size could be calculated, the results suggested evidence of a moderate to
313 large effect. However, a closer examination of these trials suggests that caution should be
314 exercised when interpreting these findings. For example, only 50% of trials reported a
315 significant improvement across all antibody outcomes and at all time points; while for the

316 remaining trials, evidence of improvement was seen only for between 33-48% of outcomes
317 and time-points considered.

318 The weight of the evidence offers early support for the view that psychological
319 interventions may help to prevent disease through their ability to improve the antibody
320 response to vaccinations and thus make vaccines more effective. Furthermore, the data
321 suggest the effect could be generalizable across a range of vaccinations and at all stages of
322 the immune response: evidenced by the fact that intervention effects were unrelated to
323 vaccine type or the timing of the intervention relative to the vaccine. However, this
324 conclusion should be tempered by several caveats.

325 First, while our outcome measure (i.e., antibody responses) is widely used as a
326 surrogate for protection from disease [27], vaccine effectiveness is more accurately
327 determined in studies that report laboratory confirmed disease [28]. Such trials, do
328 however, require longer follow-ups, are likely to be more costly and thus are rarely
329 undertaken in the context of psychological interventions.

330 Second we wish to acknowledge that the way we determined if there was evidence
331 of an enhanced immune response to vaccination, and thus improved protection from
332 disease, lacked precision and could have increased the risk of bias. We considered an
333 improvement in at least one immune outcome (not necessarily all immune outcomes), at
334 any time point, as evidence of an enhanced response to vaccination i.e., improvement
335 across all outcome measures and at specific times was not required. This was necessary
336 because of variability in the literature in the ways that the antibody response has been
337 measured; at what time points; and the failure in many trials to specify primary or
338 secondary outcomes. The former poses a particular problem for this field because it is well
339 known that findings from different immunological methods and outcomes do not correlate

340 well [29, 30]. Thus, it is perhaps not reasonable, for example, to expect improvements in
341 absolute antibody levels to translate into improved rates of seroprotection. Similarly, the
342 optimal timing of antibody outcomes is influenced by whether the focus is on a primary or
343 secondary immune response (a primary response is slower than a secondary response) [31-
344 34]; and whether the focus is on the peak antibody response or long-term persistence in
345 immunity (again the former would be measured earlier than the latter). In addition, the
346 choice of primary outcome may also be influenced by the nature of the vaccine itself [35].
347 These considerations have contributed to capriciousness in outcome assessment in this
348 literature which, in turn, serves only to impede attempts to synthesise the evidence. We
349 suggest that future research in this area would benefit from the development of an agreed
350 set of outcomes as is advocated by the COMET initiative [36]. COMET seeks to achieve
351 agreement on the minimum outcomes that should be measured and reported in clinical
352 trials with a view to facilitating comparisons between trials and evidence synthesis. The
353 initiative is typically focussed on single disease entities. However, the principles of COMET
354 are of relevance to this field. In addition, we would recommend greater uptake of pre-
355 registration of trial designs and analysis plans as this would alleviate concerns regarding
356 'researcher degrees of freedom' [37] which can also lead to false-positive results.

357 The third caveat relates to the potential for the significance of these findings to be
358 influenced by the 'file drawer effect' or publication bias. This phenomenon, now widely
359 recognised in the psychological and medical sciences, refers to the likelihood of positive
360 findings being more likely to appear in the published literature than null findings. Some
361 estimates of the size of the file drawer problem suggest that there may be 3 times more
362 negative trials than those found in the published literature. For example, in a now classic
363 study, Smart [38] examined publications in psychological journals and reported that while

364 studies with negative findings typically accounted for 9% of published papers, negative
365 findings were reported in 20.5% of abstracts of papers presented at a mainstream
366 psychological conference in a single year and 30.2% of dissertation abstracts from the same
367 year. These findings support the view that research is much more likely to be published if
368 the results are positive.

369 A host of factors are known to drive the file drawer effect [39], but the implications
370 for reviews like the present one are clear: it can lead to an over-estimation of the size of the
371 treatment effect. Like many authors, we sought to mitigate this risk by contacting known
372 authors in the field to enquire about data from unpublished trials (none were reported). We
373 also sought to be as inclusive as possible in our identification of the literature by not
374 restricting ourselves to studies in which the intervention explicitly drew on psychological
375 theory. Indeed, we are somewhat reassured that this review reflects the extant literature by
376 the fact that three of the nine included studies reported null findings or evidence in support
377 of a psychological intervention impairing the antibody response. Furthermore, while we
378 were unable to locate and include any unpublished studies, there is a contrasting view that
379 this could be a strength of the present work because unpublished research is not without
380 bias (e.g., due to potentially being of lower quality, not having been subjected to peer
381 review etc.). Indeed, a recent simulation study concluded that selective publication (as
382 opposed to publishing everything) results in a more accurate estimate of effect sizes [40].

383 The debate on the file drawer effect is likely to continue for some time to come. But
384 in the context of this nascent field, typically characterised by modest sample sizes, we
385 strongly encourage authors to always seek to publish their findings regardless of observed
386 effects so that the scientific community can arrive at an informed view on whether
387 psychological interventions represent a viable means for enhancing vaccine effectiveness.

388 Further observations arising from this review worthy of comment include, first, that
389 we cannot yet determine what type of intervention (e.g., mindfulness versus CBT) might be
390 most effective in enhancing vaccinations and reducing disease risk because no single
391 intervention has been examined in more than 3 studies. Second, that observations
392 regarding intervention methodology pointed towards effective interventions being more
393 likely to involve treatments that were more intensive (reflected by the median time spent in
394 receipt of formal intervention sessions), although not necessarily of a longer duration, and
395 where the intervention was effective in modifying the psychological constructs being
396 targeted. We also observed some potentially interesting findings in relation to intervention
397 adherence and effects on the antibody response. For six of the nine trials, adherence data
398 were reported (or could be inferred) and the majority of these (k=5/6) showed evidence of
399 both adequate adherence and an improved antibody response to vaccination. For the
400 remaining three trials it was not possible to determine if adequate adherence had been
401 achieved, but two of these failed to show evidence of benefit on the antibody response. We
402 cannot of course assume that the absence of adherence data is indicative of poor
403 adherence. But the findings hint at this possibility and, at the very least, highlight the need
404 for more rigorous reporting of trial methodology.

405 Third, we did not observe any clear patterns in relation to the age of participants and
406 the likelihood of psychological interventions enhancing the antibody response to
407 vaccination: with some degree of improvement reported in trials with the very young, the
408 elderly and healthy adults.

409 Fourth, we suggest that the heterogeneity evident in this literature regarding
410 intervention type and populations assessed may be a consequence of the absence of theory
411 driven enquiry in this field. The theoretical context for much of this work comes from the

412 biopsychosocial model [41] which proposes that health and disease are a function of not
413 only biology but the complex psychological and social influences that surround an individual.
414 Although this framework has been influential, critics argue that its lack of specificity has
415 meant that it does not make clear predictions or hypotheses that can be tested [14]. This
416 lack of specificity is reflected in the literature reviewed here where both the populations
417 under investigation (ranging from the very young to the very old) and the mechanisms
418 targeted by the interventions were broad (ranging from mood, cognitive change and brain
419 activity to pain). At this stage we have not achieved a clear understanding of which
420 psychological factors may be the most influential in modifying immunity or how these
421 relationships vary according to factors such as participant age and contextual factors such as
422 the nature and type of stressor. Greater clarity on these issues would enable us to focus
423 research effort on developing interventions that could optimise, rather than just improve,
424 the effectiveness of vaccinations.

425 An additional consequence of the varied literature examined here is that it
426 necessarily precluded a meta-analysis and also impacted on the conclusions we could draw
427 in this narrative synthesis. We also observed that studies where the intervention
428 methodology was less robust (e.g., no data on intervention adherence) were less likely to
429 find evidence of benefit. This makes it difficult to determine whether an absence of effect
430 was due to the interventions per se, or the rigour with which they were implemented.

431 Taken together, some clear directions for future research are evident. In particular,
432 we would suggest that there is a need for more trials to examine the potential for
433 psychological interventions to prevent disease by enhancing the effectiveness of vaccines;
434 for these trials to be larger and conducted with a focus on an agreed set of outcomes; for
435 authors to publish trial protocols in advance and be mindful of the consequences of

436 publication bias. It would also be advantageous for this work to adopt a clearer theoretical
437 framework so that we can move towards a better understanding of which psychological
438 influences on immunity are preeminent; and develop interventions that target these
439 specifically whilst also maximising participant adherence.

440

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450

451

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Table 1: Risk of Bias Assessments

Author	Year	Random sequence generation	Allocation concealment	Blinding of participants and personnel	Blinding of outcome assessment	Incomplete outcome data	Selective reporting	Other bias
Davidson	2003	?	?	H	?	?	?	L
Hayney	2014	L	L	H	L	L	?	L
Hsu	1995	?	?	H	?	?	?	L
Huang	1999	?	?	H	?	?	?	L
Loft	2012	L	?	H	?	?	?	L
Petrie	1995	?	?	H	?	?	?	L
Stetler	2006	?	?	H	?	?	?	L
Vedhara	2003	H	H	H	?	L	?	H
Yang	2008	H	H	H	?	L	?	L

L = low risk; ? = Unclear risk; H = High risk

Table 2 Summary of Studies

Authors (year of publication); setting & trial design	Sample size per condition & participant characteristics	Description of intervention/control arms; adherence; effects on mediating mechanisms & timing in relation to vaccination	Type of vaccine; assay methods; timing of immune measures & immune outcomes relating to vaccination	Authors' main immune findings relating to vaccine response	Effect Sizes (Hedges' g) for between condition differences [95% Confidence intervals]*
Davidson et al. (2003)	Intervention: n=25 Control: n=16	Intervention: mindfulness meditation program; sessions lasting 2.5 – 3 hours, once a week, over 8 weeks; 7 hour silent retreat; unsupervised sessions 1 hour 6 days a week for 8 weeks	Influenza	Compared with control group, intervention participants displayed a significantly greater increase in HI antibody titres between 3-5 and 8-9 weeks post-vaccine.	g= 0.64 [.01, 1.27]
USA	Healthy adults	Control: wait-list control	Hemagglutination inhibition assay		
Randomised controlled trial	Mean age 36 years 12 male, 29 female	Adherence: not reported	3-5 weeks & 8-9 weeks post-vaccination		
		Mediating mechanisms: intervention group, compared with controls showed a reduction in negative affect and increased left sided brain activity.	Change in HI antibody titres (composite of viral strains)		
		Vaccination administered after the 8 week intervention period			

Authors (year of publication); setting & trial design	Sample size per condition & participant characteristics	Description of intervention/control arms; adherence; effects on mediating mechanisms & timing in relation to vaccination	Type of vaccine; assay methods; timing of immune measures & immune outcomes relating to vaccination	Authors' main immune findings relating to vaccine response	Effect Sizes (Hedges' g) for between condition differences [95% Confidence intervals]*
Hayney et al. (2014) USA Randomised controlled trial	Control group n= 51 Exercise group n= 47 MBSR/meditation group n= 51 Adults ≥ 50 years: no previous/current experience of meditation; moderate exercise ≥ 2 times a week; any intense exercise Control group: mean age 59, 10 male, 41 female MBSR group: mean age 60, 9 male, 42 female Exercise group: mean age 59, 8 male, 43 female	Mindfulness-based stress reduction (MBSR) group: 8-week meditation intervention, weekly 2.5hr group sessions and 45mins home practice per day. Exercise group: 8 weeks in length, weekly 2.5hr group sessions, 45mins daily home practice Waiting list control group: no intervention Adherence: not reported Mediating mechanisms: measures of mindfulness and exercise completed at 1 and 8 weeks post-intervention indicate no between group differences in mindfulness and a difference in exercise between the exercise and control group at 1 and 8 weeks post-intervention Timing: Vaccine given to all participants during week 6 of intervention	Influenza Hemagglutination inhibition assay; Baseline (pre-vaccine), 3 and 12 weeks post-vaccine HI titres: Mean fold increase from baseline to 3 weeks (by viral strain); geometric mean titre (by viral strain); seroprotection rates - titres ≥ 40 (by viral strain and by number of strains); seroconversion rates – 4-fold increase in titres (by viral strain and by number of strains)	No significant differences between groups for any immune outcome at any time point.	<u>Meditation vs Control</u> ⁺ Mean fold Increase: g= .08 Geometric Mean Titre 3 weeks: g= -.51 Geometric Mean Titre 12 weeks: g= -.34 Seroconversion: g= -.42 Seroconversion: g= -.13 <u>Exercise vs Control</u> ⁺ Mean fold Increase: g= -.07 Geometric Mean Titre 3 weeks: g= .23 Geometric Mean Titre 12 weeks: g= .03 Seroconversion: g= -.15 Seroconversion: g= .04 <u>Meditation vs Exercise</u> ⁺ Mean fold Increase: g= .06 Geometric Mean Titre 3 weeks: g= -.73 Geometric Mean Titre 12 weeks: g= -.38 Seroconversion: g= -.27 Seroconversion: g= -.17 <i>+Average Hedges' g across viral strains and number of strains reported, as a total of 72 effect sizes could be reported. Effect sizes by viral strains and number of strains available at request.</i>

Authors (year of publication); setting & trial design	Sample size per condition & participant characteristics	Description of intervention/control arms; adherence; effects on mediating mechanisms & timing in relation to vaccination	Type of vaccine; assay methods; timing of immune measures & immune outcomes relating to vaccination	Authors' main immune findings relating to vaccine response	Effect Sizes (Hedges' g) for between condition differences [95% Confidence intervals]*
Hsu et al. (1995) Taiwan Randomised controlled trial	Intervention: n=175 Control: n=152 Infants recruited through routine vaccine programme 2 months of age n=125; receiving first vaccine dose); 70 male, 55 female 4 months of age n=100; receiving second dose; 44 male, 56 female 6 months of age n=102; receiving third dose; 48 male, 54 female	Intervention: 1-minute light circular massage over injection site Control: no treatment Adherence: not reported, but intervention was a single session of supervised massage. Mediating mechanisms: examined parents' reports of local (e.g., pain) and systemic (e.g. fever) adverse reactions. Greater percentage of parents in intervention arm reported local pain and fever. But effects on fever not significant when examining fevers >39°C. Vaccination administered immediately prior to intervention.	Diphtheria, tetanus, pertussis Diphtheria: neutralisation assay; tetanus: indirect hemagglutinin test; pertussis: elisa measuring antibody to filamentous hemagglutinin (anti-FHA); antibody to pertussis toxin (anti-PT) microagglutination assay for pertussis agglutinin 2 (pre-vaccine), 6, 7, 18, & 19 months of age Antibody titres (log transformed)	Compared with controls, the intervention group exhibited higher diphtheria titres at 6 and 7 months, but no significant between group differences at 18 or 19 months. At 2 months titres were significantly higher in the control group. No significant between group differences in tetanus titres at any time point. Compared with controls, the intervention group exhibited significantly higher anti-FHA at 2, 6 and 7 months; significantly higher anti-PT at all time points and significantly higher pertussis agglutinin titres at 18 and 19 months, but with greater levels in the control group at 2 months.	Insufficient details available.

Authors (year of publication); setting & trial design	Sample size per condition & participant characteristics	Description of intervention/control arms; adherence; effects on mediating mechanisms & timing in relation to vaccination	Type of vaccine; assay methods; timing of immune measures & immune outcomes relating to vaccination	Authors' main immune findings relating to vaccine response	Effect Sizes (Hedges' g) for between condition differences [95% Confidence intervals]*
Huang & Huang (1999) Taiwan Randomised controlled trial	<p>Intervention: DTPw n=293 (of which 107 provided a blood sample for antibody measurement);</p> <p>DTPa n= 107 (of which 99 provided a blood sample for antibody measurement);</p> <p>Control: DTPw n=297 (of which 108 provided a blood sample for antibody measurement);</p> <p>DTPa n= 111 (of which 99 provided a blood sample for antibody measurement).</p> <p>Infants recruited through routine vaccine programme</p> <p>2-6 months</p>	<p>Intervention: 2 minute massage immediately after vaccination and application of warm towel on injection site for 30 minutes in the evening of the vaccination day</p> <p>Control: no treatment</p> <p>Adherence: not reported, but first part of intervention was a single session of supervised massage. Adherence to warm towel application not reported.</p> <p>Mediating mechanisms: examined parents' reports of local (e.g., pain) and systemic (e.g. fever) adverse reactions. Found no differences between groups for DTPa but evidence of increased, rather than decreased adverse reactions (pain and induration) in intervention children receiving DTPw.</p> <p>Vaccination administered immediately prior to intervention.</p>	<p>Diphtheria, tetanus, & whole-cell pertussis combined vaccine (DTPw) & diphtheria, tetanus and acellular pertussis combined vaccine (DTPa)</p> <p>Diphtheria: neutralisation assay; tetanus: indirect hemagglutinin test; pertusus: microagglutination assay</p> <p>2 (pre-vaccine) and 7 months of age</p> <p>Antibody titres (log transformed)</p>	<p>No significant between group differences between the intervention group and controls in antibody titres of diphtheria, tetanus, and pertussis antibodies in response to the DTPw or DTPa vaccines.</p>	<p>Insufficient details available.</p>

Authors (year of publication); setting & trial design	Sample size per condition & participant characteristics	Description of intervention/control arms; adherence; effects on mediating mechanisms & timing in relation to vaccination	Type of vaccine; assay methods; timing of immune measures & immune outcomes relating to vaccination	Authors' main immune findings relating to vaccine response	Effect Sizes (Hedges' g) for between condition differences [95% Confidence intervals]*
Loft et al. (2012) New Zealand Randomised controlled trial	Intervention: n=35 Control: n=35 Undergraduate medical students Mean age 21 years 34 male, 36 female	Intervention: 45-minute body massage received once a week for 4 weeks. Control: no treatment Adherence: all intervention participants attended all treatment sessions. Mediating mechanisms: no effect of intervention on measures of emotional distress Vaccination administered after intervention	Hepatitis B (single, primary dose) Microparticle enzyme immunoassay 0 (pre-vaccine), 2 & 6 weeks post-vaccination Total serum (IgM & IgG) anti-HB antibody titres	Compared with controls, the intervention group exhibited significantly lower anti-HB antibody titres at 2 weeks and 6 weeks post-vaccination.	At 2 weeks: g= -.68 [-1.16, -.21] At 6 weeks: g= -.40 [-.87, .07]
Petrie et al. (1995) New Zealand Randomised controlled trial	Intervention: n=20 Control: n=20 Undergraduate medical students Mean age 21 years 21 male, 19 female	Intervention: writing about traumatic event or events over 4 consecutive days Control: emotionally neutral writing about activities in recent days over 4 consecutive days Adherence: not reported, but degrees of freedom data indicate 100% adherence Mediating mechanisms: text analysis of written material showed intervention group's writing was more emotional and showed greater cognitive change Vaccination administered on the day after the 4 th day of writing	Hepatitis B (triple vaccine schedule) Microparticle enzyme immunoassay 0 months (after intervention/pre-vaccine), 1, 4, & 6 months Anti-HB antibody titres (log transformed)	Compared with the control group, the intervention group had increasingly higher levels of anti-HB antibody titres over time. This effect became non-significant when individuals (n=5) who were seropositive at baseline were excluded from the analyses.	All participants at: 1 month: g= .06 [-.55, .67] 4 months: g= .43 [-.18, 1.05] 6 months: g= .42 [-.19, 1.04] Excluding seropositive at baseline participants: 1 month: g= -.21 [-.86, .44] 4 months: g= .41 [-.24, 1.07] 6 months: g= .37 [-.28, 1.03]

Authors (year of publication); setting & trial design	Sample size per condition & participant characteristics	Description of intervention/control arms; adherence; effects on mediating mechanisms & timing in relation to vaccination	Type of vaccine; assay methods; timing of immune measures & immune outcomes relating to vaccination	Authors' main immune findings relating to vaccine response	Effect Sizes (Hedges' g) for between condition differences [95% Confidence intervals]*
Stetler et al. (2006) Canada Randomised controlled trial	Intervention: n=26 Control: n=22 Healthy students Mean age 27 years Intervention group: 2 male, 24 female Control group: 3 male, 19 female	Intervention: writing about personal experiences of racism for 20 minutes over 3 days (day 1, day 1 + 5-7 days; day 2 +5-7 days) Control: emotionally neutral writing about activities 20 minutes over 3 days (day 1, day 1 + 5-7 days; day 2 +5-7 days) Adherence: not reported, but degrees of freedom data indicate 100% adherence Mediating mechanisms: intervention participants were less positive and more negative after each intervention session Vaccination administered within one week of the 3 rd day of writing	Influenza Hemagglutination inhibition assay 0 (pre-vaccine), 30 and 90 days Hemagglutination inhibiting antibody slopes/change over time (log transformed, regressed on time since vaccination) analysed separately by viral strain (A/New Caledonia H1N1; A/Moscow H3N2, B/Sichuan)	Compared with the control group, the intervention group had lower antibody slopes/change over time for the A/New Caledonia H1N1 and A/Moscow H3N2 viral strains. No significant between group differences in antibody slopes/change over time for the B/Sichuan viral strain. Post-hoc analysis of the intervention group only showed greater antibody slopes/change over time for the A/New Caledonia H1N1 strain in participants who attributed greater certainty their experiences were explained by racism, compared with those who showed expressed less certainty. No such relationships were observed for the other two viral strains.	A/New Caledonia H1N1: 30 days: g= -.14 [-.70, .42] 90 days: g= -.12 [-.68, .44] A/Moscow H3N2: 30 days: g= -.21 [-.77, .35] 90 days: g= -.28 [-.85, .28] B/Sichuan: 30 days: g= .10 [-.46, .66] 90 days: g= .10 [-.45, .66]

Authors (year of publication); setting & trial design	Sample size per condition & participant characteristics	Description of intervention/control arms; adherence; effects on mediating mechanisms & timing in relation to vaccination	Type of vaccine; assay methods; timing of immune measures & immune outcomes relating to vaccination	Authors' main immune findings relating to vaccine response	Effect Sizes (Hedges' g) for between condition differences [95% Confidence intervals]*
Vedhara et al. (2003) UK Matched control design	Intervention: n=16 Carer controls: n=27 Non-carer controls: n=27 Chronically stressed older adults (spousal carers and non-caregiving controls) Mean age 75 years (carers); 71 years (controls) 32 males, 38 females	Intervention: Cognitive-behavioural stress management intervention; sessions 1 hour a week over 8 weeks Control: no treatment Adherence: all intervention participants attended at least 6/8 intervention sessions Mediating mechanisms: no change in emotional distress between groups Vaccination administered 2-3 weeks after final intervention session	Influenza Enzyme-linked immunosorbent assay 0 (pre-vaccine), 2, 4, & 6 weeks Seroresponse: 4-fold increase in IgG antibody titres to at least one viral strain	Significantly more carers in the intervention group were classed as seroresponders compared with carers in the control group. Seroresponder rates did not differ significantly between intervention carers and non-carer controls. Significantly more non-carer controls were classed as seroresponders compared with carer controls.	Intervention vs Carer Controls: g= 1.13 [.41, 1.83] Intervention vs Non-carer Controls: g= .43 [-.19, 1.06] Carer Controls vs Non-carer controls: g= -.59 [-1.15, -.02]
Yang et al., (2008) USA Waiting-list control design	Intervention: n=27 Control: n=23 Older adults Intervention group: mean age 80 years; 6 male, 21 female Control group: mean age 75 years; 7 male, 16 female	Intervention: combined Taiji/Qigong meditation; 3 x 1 hour sessions per week for 20 weeks Control: waiting-list control Adherence: mean attendance of intervention sessions 80.5% Mediating mechanisms: no relevant data reported. Vaccination administered during first week of intervention/control period	Influenza Hemagglutination inhibition assay 0 (pre-vaccine), 3, 6 & 20 weeks Hemagglutination inhibiting antibody titres (composite of all viral strains) and seroprotection rates (titre > 40) analysed separately by viral strain	Compared with the control group, intervention group had higher hemagglutination inhibiting antibody titres at 3 and 20 weeks post-vaccination, but not at 6 weeks. Compared with baseline levels: antibody levels were significantly greater at 3, 6 and 20 weeks post-vaccination in the intervention group; in the control group, antibody levels were significantly greater at 3 and 6 weeks only. No significant differences between groups in seroprotection rates for each viral strain.	Insufficient details available.

MBSR= Mindfulness-based stress reduction; HI= Hemagglutination inhibiting; DTPw= Diphtheria, tetanus, & whole-cell pertussis combined vaccine; DTPa= diphtheria, tetanus and acellular pertussis combined vaccine; IgG= Immunoglobulin serotype G; IgM= Immunoglobulin serotype M; anti-HB= anti-hepatitis B. [†] Positive effect sizes should be interpreted as the trial arm listed first (typically the intervention) having enhanced antibody responses compared to the trial arm listed second (typically the control). Negative effect sizes indicate reduced antibody responses in the same manner

Figure Captions

Figure 1: PRISMA summary of search procedure

Appendix 1: Medline search matrix as example of search strategy

Each group of search terms were combined with the Boolean AND operator within each bibliographic database.

Population (vaccine)

Conjugate OR Haemophilus Vaccines OR Human OR Influenza OR Influenza vaccines
OR Vaccin OR Vaccines OR Viral vaccines

Intervention

Acupressure OR Acupuncture OR Adaptation OR Affect OR Alternative medicine OR
Alternative therapy OR Anxiety OR Autogenic training OR Behavior change OR Behaviour
change OR Behavior modification OR Behaviour modification OR Behavior therapy OR
Behaviour therapy OR Biofeedback OR Biofeedback training OR Breathing exercises OR
Client education OR Cognition OR Cognitive behaviour therapy OR Cognitive behavior
therapy OR CBT OR Cognitive performance OR Cognitive restructuring OR Cognitive therapy
OR Cognitive techniques OR Complementary therapy OR Coping behavior OR Coping
behaviour OR Counseling OR Counselling OR Depression OR Diet OR Education OR
Emotional adjustment OR Emotional disclosure OR Emotional expression OR Emotions OR
Exercise OR Exercise therapy OR Expressive writing OR Group counseling OR Group
counselling OR Health education OR Health promotion OR Home practice OR Hypnosis OR
Hypnotherapy OR Illness behavior OR Illness behaviour OR Interventional studies OR
Lifestyle changes OR Massage OR Meditation OR Meditation retreat OR Mind body
therapies OR Mind body therapy OR Mindful meditation OR Mindfulness OR Motivation OR
Narration OR Nutrition OR Optimism OR Patient counseling OR Patient counselling OR
Patient education OR Perceived stress OR Physical activity OR Physical education OR Physical

education training OR Physiological OR Pilates OR Preventative medicine OR Promotion
campaign OR Psychoeducation OR Psychology OR Psychological OR Psychological
intervention OR Psychotherapy OR Rehabilitation OR Relaxation OR Relaxation therapy OR
Relaxation training OR Self-help groups OR Sleep OR Sleep techniques OR Social adjustment
OR Social network OR Social care OR Social skills training OR Social support OR Stress OR
Stress appraisal OR Stressor appraisal OR Stressors OR Stress OR Stress management OR
Stress reduction OR Support groups OR Tai chi OR Tai ji OR Visualisation OR Yoga

Outcome

Antibodies OR Antibody OR Antibody formation OR Antibody maintenance OR
Antibody-producing cells OR Antibody status OR Antibody titer OR Antigens OR Anti-
idiotypic OR Autoantibodies OR B-Lymphocytes OR Bacterial OR Cellular OR Cytokines OR
Dendritic Cells OR Hemagglutination inhibition OR Humoral OR Humoral responses OR OR
IgA OR IgM OR IgD OR IgE OR IgG OR Immune response OR Immune tolerance OR Immunity
OR Immunoglobulin OR Immunologic memory OR Immunosorbent assay OR
Immunosuppression OR Immunosuppressive agents OR Innate OR Lymphocytes OR Memory
cells OR Primary antibody response OR Regulatory OR Secondary antibody response OR
Seroconverted OR Seronegative OR Seropositive OR Seroprotection OR Seroprotective
responses OR T-Lymphocytes OR Titres OR Viral