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Prefrontal cortex activation supports the emergence of early stone age toolmaking skill

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## 23 Abstract

24

25 Trends toward encephalization and technological complexity ~1.8 million years ago may signify  
26 cognitive development in the genus *Homo*. Using functional near-infrared spectroscopy, we  
27 measured relative brain activity of 33 human subjects at three different points as they learned to  
28 make replicative Oldowan and Acheulian Early Stone Age tools. Here we show that the more  
29 complex early Acheulian industry recruits left dorsolateral prefrontal cortex when skills related  
30 to this task are first being learned. Individuals with increased activity in this area are the most  
31 proficient at the Acheulian task. The Oldowan task, on the other hand, transitions to automatic  
32 processing in less than four hours of training. Individuals with increased sensorimotor activity  
33 demonstrate the most skill at this task. We argue that enhanced working memory abilities  
34 received positive selection in response to technological needs during the early Pleistocene,  
35 setting *Homo* on the path to becoming human.

36

## 37 Key Words

38

39 motor learning, cognitive evolution, stone tools, fNIRS, neuroarchaeology, working memory

## 40 1. Introduction

41

42 One cognitive domain in which *Homo sapiens* appear to depart from the great ape pattern  
43 is executive functioning. Executive functions include a variety of cognitive processes that allow  
44 one to mentally manipulate information, think before acting, solve novel problems, resist  
45 temptations, and focus attention (Diamond, 2013). For example, humans tend to outperform  
46 other great apes in working memory (WM) tasks (Barth & Call, 2006; Washburn et al., 2007).  
47 WM is a system that activates and sustains a set of mental representations for further  
48 manipulation and processing (Carruthers, 2013). While WM does not reside in a single neural  
49 structure, the dorsolateral prefrontal cortex (dlPFC), with its many cortical and subcortical  
50 connections, is thought to play a major role in WM functions in humans (Barbey et al., 2013) and  
51 in other primates (Carruthers, 2013; Fuster, 2000; Goldman-Rakic, 1995; Petrides, 2000). It is  
52 therefore unsurprising that the dlPFC is one of several areas in the cerebral cortex that has  
53 expanded relative to other areas of the brain over the course of human evolution (Van Essen &  
54 Dierker, 2007).

55 This trend toward encephalization in early humans was likely accompanied by or  
56 possibly even caused by the enhancement of cognitive features like WM (Sherwood et al., 2008).  
57 The first major encephalization event occurred between 1.6 and 1.8 million years ago (Ma)  
58 (Shultz et al., 2012) and coincides with the emergence of *Homo erectus sensu lato* and the  
59 appearance of a relatively more complex stone tool industry called the early Acheulian. Although  
60 earlier accounts of human cognitive evolution have remarked upon the limited WM capacity of  
61 early *Homo* (Coolidge & Wynn, 2001, 2005; Wynn & Coolidge, 2004), more recently, there has  
62 been accumulating evidence suggestive of an enhancement in executive functions and  
63 component cognitive processes associated with the Acheulian industry (Coolidge & Wynn, 2016;  
64 Henshilwood & Dubreuil, 2011; Putt et al., 2017; Read, 2008; Stout et al., 2014; 2015; Wynn &  
65 Coolidge, 2016).

66 As products of cognition in action, archaeological artefacts can be used to test this  
67 hypothesis using a neuro-archaeological approach. Specifically, neuroimaging methods can be  
68 combined with experimental archaeology to probe the functional neural processes that underlie  
69 tool production, making it possible to identify the cognitive features that past hominins may have  
70 used to make certain types of stone tools. The results of a recent study using this approach  
71 suggest that early Acheulian tool production, when contrasted with simpler Oldowan  
72 toolmaking, relies on a WM network to coordinate between the different goals of the task (Putt et  
73 al., 2017). Critically, however, dlPFC activation was absent in this and previous studies that  
74 measure brain activity related to stone tool production (Stout & Chaminade, 2007; Stout et al.,  
75 2008). An fMRI study found left mid-dlPFC activation when modern subjects trained in  
76 Oldowan and Acheulian toolmaking methods made technical judgments about planned actions  
77 on partially completed Acheulian tools (Stout et al., 2015), but whether stone tool manufacture  
78 elicits dlPFC activation and associated WM functions or not remains an open question.

79 It is possible that dlPFC activation is present during stone tool manufacture but went  
80 unnoticed in our previous study because of the type of contrast analysis employed (Oldowan vs.  
81 Acheulian). A follow-up region-of-interest (ROI) study found that bilateral dlPFC is significantly  
82 activated during early Acheulian toolmaking relative to a resting state, but only to a limited  
83 extent (Putt & Wijekumar, 2018). Another possibility that we probe here is that dlPFC  
84 activation went unnoticed in our previous report because we measured brain activity after  
85 participants had completed the training program. Decreased activation in dlPFC is often reported  
86 after training on a complex task that involves WM (Jansma et al., 2001; van Raalten et al., 2008).  
87 This is consistent with other studies showing that a more precise functional map with fewer  
88 activated areas emerges over learning as neural processing becomes more efficient (Garavan et  
89 al., 2000; Landau et al., 2004). We therefore expect that the functional neuroanatomy of  
90 Oldowan and Acheulian tool production is different at early stages of learning because of  
91 differences in task complexity. If this is the case, the increased WM demands of the Acheulian  
92 task may elicit increased activation of dlPFC *during earlier stages in training*. This would imply  
93 that WM was a cognitive strategy used by early *Homo* to acquire the skills related to making an  
94 Acheulian handaxe.

95 To test this hypothesis, we trained participants to make stone tools that attempt to  
96 replicate Oldowan and early Acheulian industries from the archaeological record. At three  
97 separate points in the participants' training, we measured real-time changes in oxygenated and  
98 deoxygenated haemoglobin (HbO and HbR, respectively) in the cortex using image-based  
99 functional near-infrared spectroscopy (fNIRS). This approach produces reconstructed images of  
100 localized functional brain activity that can be directly compared to fMRI results (Wijekumar et  
101 al., 2015, 2017). During these neuroimaging sessions, participants engaged in Oldowan and early  
102 Acheulian toolmaking tasks, and we assessed how cognitive networks associated with learning to  
103 make early forms of stone technology change with training.

## 104 105 2. Methods

### 106 107 2.1. Participants

108 109 This study included 33 healthy, right-handed, adult participants (17 females, 16 males;  
110 age  $23.8 \pm 7.9$  years [mean  $\pm$  SD] who had no previous stone knapping experience. The Benton  
111 Neuropsychology Clinic Handedness test was administered during the screening process to

112 determine the laterality quotient of potential subjects (Oldfield, 1971). Only subjects who fell  
113 within the range of +75 to +100 points (i.e., extreme right-handedness) were included in the  
114 experiment. The study was approved by the IRB and Human Subjects Office at the University of  
115 Iowa (IRB ID #: 201304789), and all research was performed in accordance with IRB and  
116 human subjects guidelines. All subjects signed an informed consent document prior to  
117 participating and were paid for their time during the experiment.

118 Participants were randomly assigned to one of two social transmission groups, which  
119 determined whether they received verbal instructions ( $n = 17$ ) or no verbal cues ( $n = 16$ ) while  
120 watching video tutorials (see supplementary materials for group results). This was to ensure that  
121 any activation of higher-order cognition areas could not be attributed to receiving spoken  
122 instructions, a modern learning strategy that may not have been available to early *Homo*  
123 toolmakers.

124 The manual dexterity of each volunteer was measured using the Minnesota Manual  
125 Dexterity Test (Yankosec & Howell, 2009). Participants were divided into the two learning  
126 groups based on their performance on this test so that dexterity levels were equally distributed  
127 across groups. The nonverbal group averaged  $182.4 \pm 17.5$  s to place all sixty pegs in the holes  
128 on the board in three iterations, while the verbal group averaged a nearly identical  $182.7 \pm 16.9$  s.  
129 There was no significant difference in dexterity between the two groups based on this assignment  
130 ( $t = 0.06$ ,  $p = 0.95$ ). Also, males, who averaged  $181.4 \pm 14.2$  s, and females, who averaged  $183.6$   
131  $\pm 19.5$  s, did not significantly differ from each other in their dexterity scores ( $t = -0.34$ ,  $p = 0.74$ ).  
132

## 133 2.2. Training Procedures

134

135 The participants individually attended seven 60-min stone knapping training sessions  
136 over a period of 3-4 weeks, during which they learned how to knap two different types of archaic  
137 stone tools by watching instructional videos. We chose video instruction rather than in-person  
138 instruction to ensure that every subject received the exact same instructions at the same rate and  
139 also to control for interactive teaching. The videos featured an expert knapper with over 12 years  
140 of experience. His face was not visible in the frame, though his hands, lap, and torso were  
141 visible. This prevented the nonverbal group from being exposed to any verbal cues that might be  
142 communicated by the face. Both groups watched the same instructional videos; however, the  
143 nonverbal group watched a silent version. Each practice session proceeded in the following  
144 order: 1) a 10-min instruction video; 2) 20 min of individual practice; 3) the same 10-min  
145 instruction video repeated; and 4) 20 min more to practice. Subjects were not able to manipulate  
146 the video in any way, for example, by pausing it.

147 All the debitage (toolmaking debris) created while knapping fell on a large tarpaulin mat.  
148 After the participants completed a core or core tool and were ready to move on to another rock,  
149 the core/core tool and its corresponding debitage were collected, bagged, and labelled with the  
150 rock number and other pertinent information for further analysis.

151 There was relatively little interaction between the experimenters and the participants  
152 during the training sessions, except during the first session when the experimenter ensured that  
153 the participant understood proper safety protocol. Participants were also told during the first  
154 training session to do their best to infer the goals of each training video that they would watch.  
155 Explicit goals, such as recognizing ideal platform angles, proper grip of the hammer stone, flake  
156 production, alternate flaking, platform preparation, and biface shaping and trimming were stated

157 by the instructor in the videos when unmuted; however, participants in the nonverbal group had  
158 to infer these goals from the instructor's actions alone.

159 Each practice session introduced a new goal for the volunteers to meet or reviewed and  
160 refined skills introduced in previous sessions. The skills learned during practice sessions 1 and 2  
161 were comparable to the skills associated with Oldowan simple tool production. This is a quick  
162 and expedient method of obtaining a sharp flake to use as a tool (Toth & Schick, 2018). The  
163 skills learned during these first two sessions are also essential skills to master in order to make a  
164 biface. The first video instructed the participants on how to recognize ideal striking angles on the  
165 raw material and create flakes, while the second video reviewed this skill. Practice sessions 3-7  
166 introduced and reviewed skills involved in the production of the early Acheulian technocomplex,  
167 which involves a more efficient removal of flakes and the intentional shaping of a large cutting  
168 tool (Stout, 2011). The third practice session video featured alternate flaking around a squared  
169 edge. The fourth practice session video introduced core bifaces as the instructor demonstrated  
170 biface manufacture at a very slow rate. The fifth instruction video focused on primary thinning of  
171 a piece to remove large convexities. The sixth instruction video presented information on how to  
172 shape and refine a biface by trimming. Finally, the subjects were presented with an instruction  
173 video during the seventh practice session that reviewed the entire process of bifacial reduction.  
174

## 175 **2.3. Neuroimaging Procedures**

176

177 In addition to the training sessions, participants attended three 90-min neuroimaging  
178 sessions after the first, fourth, and seventh training sessions, during which they were video  
179 recorded and their brain activity was measured using fNIRS. They sat in a small room  
180 surrounded by black curtains. Set-up involved measuring the participant's head to ensure the  
181 proper cap size, and measuring 10-20 landmarks to ensure proper cap placement on the head.  
182 Hair was cleared at each optode site. The 10-20 landmarks and positions of the sources and  
183 detectors on the head were then digitized using a Polhemus Patriot™ Motion Tracking System  
184 (Colchester, VT).  
185

### 186 *2.3.1. Presentation of Stimuli*

187

188 Stimuli were presented using a block design. The experimental program was designed  
189 with EPrime software. Each imaging session consisted of 1) a motor baseline task, 2) an  
190 Oldowan toolmaking task, and 3) an Acheulian toolmaking task. The purpose of the motor  
191 baseline task was to observe activation of motor-related brain areas while striking rocks together  
192 in three different actions that resemble stone knapping (direct percussion, glancing percussion,  
193 and grinding) without the added element of actual flake removal. These actions were  
194 synchronized to the beat of an externally heard 60 beats per minute metronome initially (10 s).  
195 After the metronome concluded, subjects continued the actions to an internal beat that was meant  
196 to match the external beat they had just heard (20 s). This task was made up of 9 40-s blocks of  
197 activity segregated by 20-s rest periods. The Oldowan toolmaking task was segregated into five  
198 1-min blocks of activity with 15-s resting periods in between each block. The Acheulian  
199 toolmaking task was segregated into fifteen 1-min blocks, separated by 15-s rest periods. The  
200 order of the tasks was not randomized during each imaging session nor was the length of resting  
201 periods; thus, there is some possibility that habituation effects impacted our results. These  
202 limitations should be addressed in future studies.

203 To eliminate the possibility of linguistic contamination, the experiment was designed so  
204 that all instructions were given via a silent video, with timing of events indicated by different  
205 tones, and subjects were instructed to not talk during the experiment. They were told at the  
206 beginning of each neuroimaging session to perform the same activity that they viewed in the  
207 instruction videos, which preceded each new task or event. The two instruction videos that  
208 preceded the Oldowan and Acheulian tasks were muted and short (~20 s long), featuring the  
209 same instructor as the training session videos. These clips showed the final stages of tool  
210 manufacture for both tool types so that it was clear to the participants what type of tool they  
211 should attempt to make. Instructions also included training on the meanings of different tones  
212 that they would hear throughout the session that would signal whether to stop or start an action.

213 For all training and neuroimaging sessions, subjects were required to wear safety goggles,  
214 leather work gloves, and lap pads. They were also given the choice to wear a facemask to block  
215 out small particles of airborne silicates.

216

### 217 2.3.2. *Materials*

218

219 At each training and neuroimaging session, subjects were presented with three or four  
220 local, granitic rocks of varying sizes that were naturally rounded for use as hammer stones, as  
221 well as three siliceous rocks to use as blanks for flake removal. A goal of the training was to  
222 introduce the subjects to different qualities, shapes, and types of rock to fracture so that they  
223 would learn to select the blank of highest quality and the most workable edges from the three  
224 choices that they were always provided. Thus, a variety of unheated cherts from the Midwestern  
225 United States, Texas, and California were obtained from collectors in Missouri and Texas,  
226 though most of the material was Burlington chert.

227 Prior to being made available for the subjects to knap, each stone was assigned a unique,  
228 identifying label, weighed on a digital scale, and assigned a measurement of volume by the water  
229 displacement method. Spalls and cobbles ranged between 69.6 and 3000.0 g in mass (mean =  
230 676.8 g) and had a volume between 20 and 1200 cm<sup>3</sup> (mean = 284.3 cm<sup>3</sup>). Generally, smaller  
231 pre-made spalls of chert with edges of very acute angles were provided in the first two training  
232 sessions. By the third and fourth training sessions, the participants chose from medium-sized  
233 spalls without cortex that had edges with more difficult angles, as well as rounded cobbles with  
234 cortex but with one or more flakes already removed to help them get started. A mix of small- to  
235 medium-sized spalls and cobbles were available to choose for the Oldowan task during the  
236 neuroimaging sessions. Larger pieces, some with square edges, were provided for the fifth, sixth,  
237 and seventh training sessions and the Acheulian task during the neuroimaging sessions.

238

### 239 2.3.3. *Behavioural Data Acquisition*

240

241 All core and debitage pieces were collected after the completion of each finished  
242 core/core tool during the neuroimaging sessions for further analysis. Any debitage that passed  
243 through a 6.35 mm screen was discarded. A sample of 17,365 debitage pieces from 235 rocks  
244 reduced by all 33 participants in the study was collected and measured for the behavioural  
245 analysis. Each piece was weighed to the nearest tenth of a gram and allocated to a metric size  
246 category continuum as defined by the smallest of a series of nested squares on centimetre graph  
247 paper into which the piece would completely fit (i.e., 1 cm<sup>2</sup>, 2 cm<sup>2</sup>, 3 cm<sup>2</sup>, etc.). All non-core  
248 debitage was coded as a flake (either complete, proximal, or distal) or nonflake debitage shatter

249 (Andrefsky, 2005). Digital callipers were used to measure the maximum thickness for each  
250 piece, as well as the maximum platform width and thickness of any flakes with an intact striking  
251 platform.

252 Relative knapping skill was measured using the following variables. The first set of  
253 variables measured correspond to flake and platform shape. Platform shape, determined by the  
254 ratio of maximum platform width to platform thickness, is a common method used to measure  
255 knapping skill (Putt et al., 2014; Stout et al., 2014; Toth et al., 2006), as platform shape  
256 contributes to the size and shape of the overall flake. The ratio of flake size to flake mass was  
257 also included to determine flake shape differences (Putt et al., 2014; Toth et al., 2006). A larger  
258 ratio in both cases signifies a flake that is both relatively thin and elongated, which supposedly  
259 demonstrates the knapper's ability to remove desired flake tools in the case of the Oldowan task  
260 and long, thinning flakes for shaping the core tool in the case of the Acheulian task. We  
261 calculated the relative platform area ( $[\text{platform width} \times \text{platform thickness}] / \text{flake size}$ ) with the  
262 expectation that knappers of a higher skill level would produce smaller, thinner platforms  
263 relative to the size of the rest of the flake (Stout et al., 2014).

264 The second set of variables measured correspond to the efficient use of raw material, as  
265 inefficient use of raw material is indicative of low skill level, especially when making Oldowan  
266 tools (Bamforth & Finlay, 2008). We examined the proportion of intended flakes to unintended  
267 shatter fragments, both on low-quality and high-quality material (Putt et al., 2014; Toth et al.,  
268 2006), with the expectation that the assemblages of relatively more skilled knappers would  
269 include a higher percentage of flakes than the assemblages of less skilled knappers,  
270 demonstrating better control of the material. We also examined the proportion of whole flakes to  
271 flake fragments. Previous experimental research demonstrated that the assemblages of skilled  
272 knappers included more flake fragments than the assemblages of less skilled knappers, perhaps a  
273 combination of skilled knappers striking the core at a higher velocity while attempting to  
274 produce thinner, more delicate flakes (Toth et al., 2006). A clear sign of knapping skill is the  
275 level of reduction of the cobble into usable flakes (Toth et al., 2006). We measured this by  
276 determining the proportion of the original cobble's mass into flake, shatter, and unexploited core  
277 mass, with the expectation that the more skilled knappers would have a larger percentage of flake  
278 mass during both Oldowan and Acheulian tasks and a smaller percentage of unexploited core  
279 mass during the Oldowan task. We would not expect skilled knappers to exploit most of the core  
280 mass while making shaped Acheulian tools, however.

#### 281 282 2.3.4. Hemodynamic Signal Extraction

283  
284 fNIRS data were acquired at 25 Hz with a TechEn CW6 system with wavelengths of 690  
285 nm and 830 nm. Light was delivered to a customized cap via fibre optic cables. Prior to the  
286 study, a custom optode geometry was designed to probe ROIs in frontal, temporal, and parietal  
287 cortex (see Putt et al., 2017 for more information). The optode geometry included 12 sources and  
288 24 detectors, creating 36 channels with a source-detector separation of 3 cm and two short  
289 source-detector channels with a separation of 1 cm. The presentation of stimuli was synchronized  
290 with the CW6 system.

291 HOMER2 software was employed to demean and convert the data into optical density  
292 (OD) units. A targeted principal component analysis (tPCA) was applied to data from the three  
293 tasks to eliminate noise and motion artefacts (Yücel et al., 2014). We used a general linear model  
294 (GLM) to obtain beta values ( $\beta$ ) from our block design for HbO and HbR measures in every



295 channel for all conditions in every task for each subject. Signals from short source-detector pairs  
296 (channels with the greatest correlation) were used to regress out the effects of superficial layers  
297 of the head from signals from the rest of the channels (Gagnon et al., 2011).

298  
299

## 300 **2.4. Image Reconstruction**

301

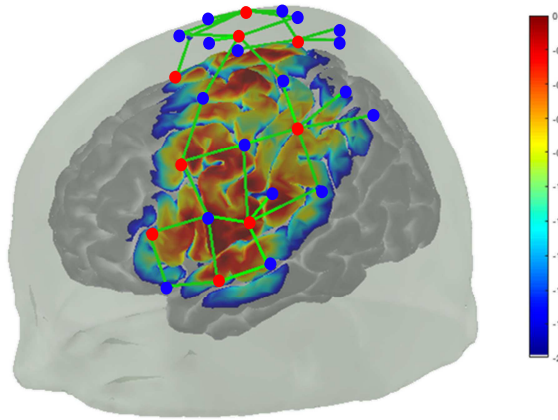
302 fNIRS data are acquired via sensors placed on the surface of the head. In particular, an  
303 optical source is placed near a detector forming a channel. Many studies using fNIRS report data  
304 and run statistics on data from each channel. For significant channels, the researchers then infer  
305 the locus of brain activity by approximating where the signal is likely to originate from. This can  
306 be done by approximating the location of the channel using the 10-20 system of electrode  
307 placement or by overlaying the channel on a head model and finding the cortical sites directly  
308 below the channel.

309 Although these approaches are commonly used, they have key limitations. First, it is  
310 difficult to place a NIRS cap in exactly the same place from session to session, even on the same  
311 individual. This means that there could be some session-to-session variability in the scalp  
312 location at which recordings were being performed. Clearly, this is a fundamental limitation if  
313 one wants to infer changes in brain activity over learning as in the present study. Second, head  
314 sizes differ across individuals; thus, how the channels are laid out on the head of one individual  
315 will be different for another individual, particularly if the source-detector distance is held  
316 constant.

317 An alternative to ignoring these sources of spatial variance is to account for them  
318 explicitly using image reconstruction techniques. That is, fNIRS data can be moved from  
319 channel-space on the surface of the head to voxel-space within the brain volume. This image  
320 reconstruction process has been applied successfully in several independent studies by different  
321 labs (Eggebrecht et al., 2014; Perlman et al, 2016; Wijekumar et al., 2015, 2017), and also has  
322 been validated by simultaneously measuring brain activity with fNIRS and fMRI (Wijekumar et  
323 al., 2017). Here, we briefly summarize the image reconstruction approach we adopted (see  
324 Wijekumar et al., 2015, 2017 for a more extensive explanation of this process).

325 Scalp 10-20 landmarks from the session that had the best symmetry were chosen as the  
326 reference for each subject. The landmarks from the other two sessions were transformed (linear)  
327 to fit this reference set of landmarks. The transformation matrices were applied to the  
328 corresponding source and detector positions. AtlasViewerGUI (available within HOMER2) was  
329 used to project the points onto an adult atlas using a relaxation algorithm. The projected  
330 geometry was used to run Monte Carlo simulations based upon a GPU-dependent Monte Carlo  
331 algorithm for each session and subject (Fang & Boas, 2009). This resulted in sensitivity profiles  
332 (100 million photons) for each channel of the probe geometry for each session and subject. Head  
333 volumes and sensitivity profiles of channels were converted to NIFTI images. Subject-specific  
334 head volumes were skull-stripped and transformed to the head volume in the native atlas space  
335 using an affine transform (BRAINSFit in Slicer 3D). The transformation matrix obtained was  
336 applied to the sensitivity profiles to move them to the transformed head volume space  
337 (BRAINSResample in Slicer3D). Sensitivity profiles for all channels were thresholded to include  
338 voxels with an OD of greater than 0.0001 (see Wijekumar et al., 2015 for details). These  
339 profiles were summed to create a subject-specific mask for each session, and then these masks  
340 were summed across all subjects and sessions (Fig. 1). Only those voxels that contained data

341 from all subjects and all sessions were included in any further analyses. We refer to this image as  
 342 an intersection mask.



343 **Fig. 1.** Probe design with optode positions (red circles represent light sources and blue circles represent  
 344 light detectors) registered onto an adult atlas head and its corresponding logarithmically-scaled sensitivity  
 345 map  
 346 map

347  
 348 Image reconstruction combined the beta coefficients for each channel, condition (within  
 349 each task), and subject with the sensitivity profiles obtained from the Monte Carlo simulations to  
 350 create voxel-based changes in HbO and HbR concentration (see Wijekumar et al., 2017 for  
 351 details). Briefly, the image reconstruction problem can be formulated as the following generic  
 352 equation:

$$353 \quad Y = L \cdot X \quad (1)$$

354  
 355 where,

$$356 \quad Y = \begin{bmatrix} \beta_{dOD}^{\lambda 1} \\ \beta_{dOD}^{\lambda 2} \end{bmatrix}$$

$$357 \quad L = \begin{bmatrix} \varepsilon_{oxy-Hb}^{\lambda 1} \cdot F^{\lambda 1} & \varepsilon_{deoxy-Hb}^{\lambda 1} \cdot F^{\lambda 1} \\ \varepsilon_{oxy-Hb}^{\lambda 2} \cdot F^{\lambda 2} & \varepsilon_{deoxy-Hb}^{\lambda 2} \cdot F^{\lambda 2} \end{bmatrix}$$

$$358 \quad X = \begin{bmatrix} \Delta oxy - Hb_{vox} \\ \Delta deoxy - Hb_{vox} \end{bmatrix}$$

359  
 360  
 361 Inverting  $L$  to solve for  $X$  results in an ill-conditioned and under-determined solution that  
 362 might be subject to rounding errors. An alternative is to use Tikhonov regularization (Tikhonov,  
 363 1963). In this case, the above ‘system’ can be replaced by a regularized ‘system.’ The solution is  
 364 given by the Gauss-Markov equation,  
 365

$$366 \quad X = (L^T L + \lambda \cdot I)^{-1} L^T \cdot Y \quad (2)$$

367 where  $\lambda$  is a regularization parameter that determines the amount of regularization and  $I$  is the  
 368 identity operator.

369 The solution to (2) can be found by minimizing the cost function (Calvetti et al., 2000),

370

$$\text{cost min } X = |L \cdot X - Y|^2 + \lambda \cdot |X - X_0|^2 \quad (3)$$

371

372 where the size of the regularized solution is measured by the norm  $\lambda \cdot |X - X_0|^2$ .  $X_0$  is an *a priori*  
 373 estimate of  $X$ , which is set to zero when no priori information is available. Here  $X$  is determined  
 374 for each chromophore and condition separately. Once Equation (3) is solved, there is now a  
 375 voxel-wise estimate of the concentration data. Thus, the best estimate of the channel-wise  
 376 concentration data for each condition (from the GLM) has been combined with information from  
 377 the photon migration results to create an estimate of the voxel-wise concentration data for each  
 378 chromophore, for each condition, and for each subject.

379

380 The resultant beta maps were intersected with the intersection mask to restrict analyses to  
 381 the voxels that were common to all sessions and subjects. Consequently, voxel-based changes in  
 382 HbO and HbR concentration were obtained for each condition (within each task) and subject.

382

## 383 2.5. Statistical Analysis

384

### 385 2.5.1. Preliminary Analysis of Motor Baseline Data

386

387 Initial examinations of the motor baseline data revealed that performance varied from  
 388 session to session. Therefore, a Pace (external, internal) x Session (1-3) ANOVA was performed  
 389 for each of the three conditions of the baseline task (Direct, Glancing, and Grinding) to identify  
 390 which condition had the fewest number of session-related effects, for the purpose of identifying a  
 391 stable motor baseline to contrast with the knapping tasks. The ANOVA was conducted with the  
 392 *3dMVM* function in AFNI (Analysis of Functional Images) (Chen et al., 2014). There was a  
 393 significant effect of Session for each condition ( $F = 3.153, p < 0.05$ ). The glancing condition was  
 394 selected as the baseline for this study because it most closely resembles the knapping gesture  
 395 used during the Oldowan and Acheulian tasks, and its combined significant clusters had the  
 396 fewest number of voxels of the three conditions in the Session effect, meaning this condition  
 397 remains the most stable over time.

398

### 399 2.5.2. Analysis of Neuroimaging Data

400

401 Two separate multi-factorial ANOVA tests were conducted on the HbO and HbR beta  
 402 maps, with Task (Oldowan, Acheulian) and Session (1-3) as within-subject factors and Group  
 403 (verbal, nonverbal) as a between-subject factor. Resultant functional images of main effects and  
 404 interactions were corrected for family-wise errors using the *3dClustSim* function (corrected at  
 405  $\alpha = 0.05$ , corresponding to a cluster size threshold of  $> 27$  voxels). We analysed the highest-  
 406 order effect in each spatially unique cluster; thus, main effect areas that overlapped with areas  
 407 where an interaction occurred between Task and Session, Group and Task, etc., were interpreted  
 408 based on the interaction effect. Overall, there were 16 instances of overlapping clusters between  
 409 effects that were assigned to higher-level effects.

410

411 Using the coordinates for the centre of mass of activation for each effect, we extracted the  
 412 beta values in these areas for the Oldowan and Acheulian tasks, the three sessions, and the verbal  
 413 and nonverbal groups. In cases of a significant interaction, the averaged beta values of related  
 414 samples from Task and Session were compared using the Wilcoxon signed-rank test, while the  
 averaged beta values for Group were compared using the Mann-Whitney test. We also compared

415 beta values from the knapping conditions to the motor baseline conditions using the Wilcoxon  
416 signed-rank test to identify significant clusters that were unique to stone knapping and not simply  
417 general motor regions. The effect size for each cluster was calculated using an eta-squared  
418 analysis (Fritz et al., 2012). Only those significant clusters where post-hoc tests determined  
419 knapping activation to be significantly higher than motor baseline activation were included in the  
420 final results discussed in the main text (see Figs. 4, 6, and 8). Because the motor baseline task did  
421 not control for auditory stimulation while clicking rocks together, temporal cortex clusters were  
422 also included in the final results, even if the signal in these regions was not significantly higher  
423 than the motor baseline signal. The CA\_ML\_18\_MNIA atlas was used to assign labels to the  
424 centre of mass of significant clusters with AFNI's 'whereami' function.

425 Spheres 8 mm in diameter representing visual WM areas were constructed from  
426 published coordinates from a recent meta-analysis (Wijeakumar et al., 2015). Overlap between  
427 significant toolmaking clusters and constructed spheres was interpreted as evidence for WM  
428 involvement during toolmaking tasks.

429

### 430 *2.5.3. Analysis of Behavioural Data*

431

432 Out of all the behavioural measures tested, only three demonstrate an expected increase  
433 in skill over time, all of which reflect the efficient use of raw material. These include the  
434 proportion of flake mass removed, the proportion of core mass remaining, and the proportion of  
435 flakes produced. For both the Oldowan and Acheulian tasks, we performed a repeated measures  
436 ANOVA with session (1-3) and group (verbal, nonverbal) as factors on each of these measures,  
437 using SPSS software. We also performed LSD pairwise comparisons to determine whether the  
438 means from two sessions (e.g., session 1 vs. session 3) were statistically different. Because the  
439 data were not normal, we conducted Spearman's rank correlations to determine the strength of  
440 the monotonic relationship between these behavioural measures of knapping skill and the neural  
441 signals associated with significant clusters for each task and session.

442 To determine whether significant neural activity simply reflects differences in the  
443 frequency of the knapping behaviour (striking a hammer stone against a core or core tool), we  
444 used the total number of debitage elements (complete flakes, flake fragments, and shatter  
445 fragments) as a rough proxy for knapping frequency. We then performed Pearson correlations to  
446 test the extent of a linear relationship between the total number of debitage elements and the  
447 neural activation in significant clusters.

448

## 449 **2.6. Data Availability**

450

451 The datasets generated during the current study are available from the corresponding authors  
452 upon reasonable request. This form of data sharing complies with the requirements of the  
453 funding bodies and with institutional ethical approval.

454

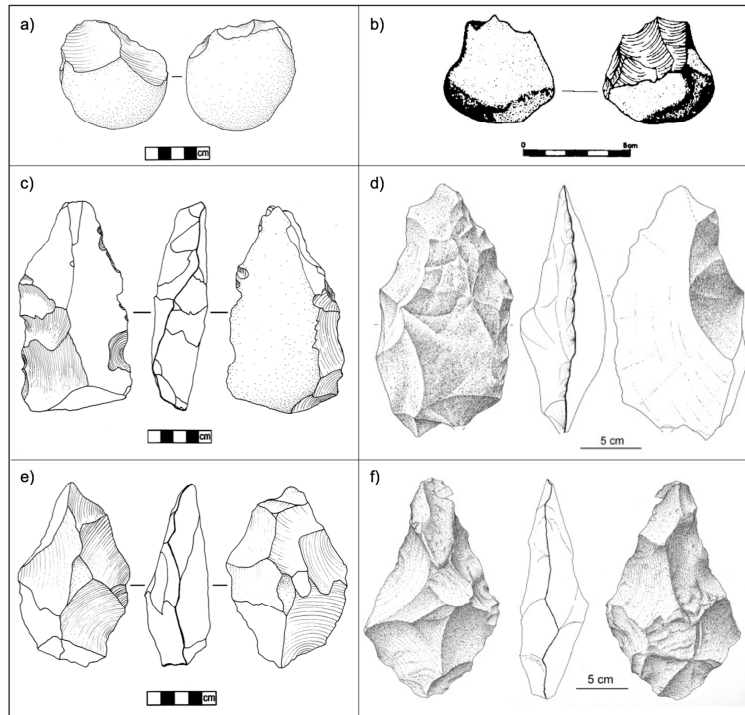
## 455 **3. Results**

456

### 457 **3.1. Behavioural Results**

458

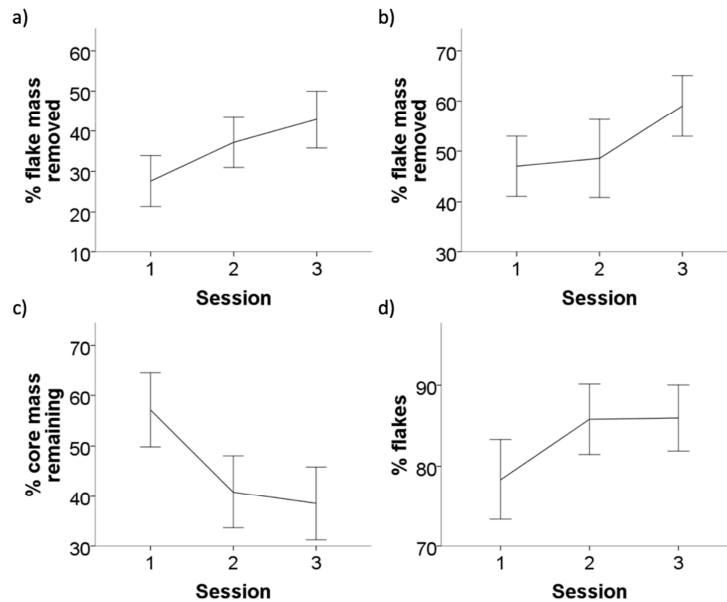
459 Tools and toolmaking debris produced during the Oldowan and Acheulian tasks during  
 460 the third neuroimaging session of the experiment, while generally smaller, resemble Oldowan  
 461 and early Acheulian artefacts discovered at the Gona site in Ethiopia (2.6 Ma) and at the Es2-  
 462 Lepolosi (1.75-1.4 Ma) and Konso (1.5-1.4 Ma) sites in Tanzania and Ethiopia, respectively  
 463 (Fig. 2; Tables S1-S2) (Beyene et al., 2013; Diez-Martín et al., 2014; Stout et al., 2010).  
 464



465  
 466 **Fig. 2.** A comparison of archaeological artefacts to experimental stone tools. A bifacial side chopper  
 467 produced by one of the participants (a) resembles a unifacial side chopper (EG10) from the early  
 468 Oldowan site of Gona (reproduced with permission from Springer Nature: *Nature* 2.5-million-year-old  
 469 stone tools from Gona, Ethiopia, Semaw et al., 1997) (b). Experimental bifaces (c and e) resemble large  
 470 cutting tools (00/104 and 10/307) from the early Acheulian site of ES2-Lepolosi (d and f) (reproduced  
 471 with permission from Elsevier: *Quaternary International* Early Acheulean technology at Es2-Lepolosi  
 472 [ancient MHS-Bayasi] in Peninj [Lake Natron, Tanzania], Diez-Martín et al., 2014). Experimental tool  
 473 sketches by Jodi Pope Johnson.  
 474

475 The toolmaking debris collected from each participant during the three neuroimaging  
 476 sessions demonstrates that stone toolmaking skills improved over the course of the experiment  
 477 (Fig. 3). The participants became more effective at removing flakes from the core over time, as  
 478 evidenced by a significant increase in the mean percentage of flake mass removed with each  
 479 session during both the Oldowan task ( $F = 6.2, p = 0.004$ ; Fig. 3a) and the Acheulian task ( $F =$   
 480  $3.5, p = 0.037$ ; Fig. 3b). Similarly, they wasted less raw material as they gained proficiency at the  
 481 Oldowan task, which is reflected by a decrease in the mean percentage of remaining core mass  
 482 over time ( $F = 11.8, p < 0.001$ ; Fig. 3c). An increase in the proportion of intentional flakes  
 483 relative to unintentional pieces of shatter shows that the participants made fewer errors over time  
 484 during the Oldowan task ( $F = 2.9, p = 0.066$ ; Fig. 3d). While the increase in the proportion of  
 485 flakes is not a significant effect overall, an LSD pairwise comparison indicates that there is a  
 486 significant difference between the first and last session ( $p = 0.024$ ). Participants in both the

487 verbal and nonverbal learning groups achieved similar levels of proficiency at stone tool  
 488 manufacture during the experiment (see Fig. S1 and Table S1). In sum, these results demonstrate  
 489 that greater levels of skill are indicated by an increase in the proportion of flake mass removed  
 490 for both tasks, while an increase in flakes produced and a decrease in the proportion of core mass  
 491 remaining is indicative of increased skill for the Oldowan task.  
 492



493  
 494 **Fig. 3.** Behavioural measures that show significant improvement across sessions: mean proportion of  
 495 flake mass removed from a core relative to total mass of a core prior to reduction during the Oldowan task  
 496 (a) and Acheulian task (b); mean proportion of core mass remaining after flake removal relative to total  
 497 mass of a core before reduction during the Oldowan task (c); and the mean proportion of intentional  
 498 flakes relative to unintentional shatter pieces removed from a core during the Oldowan task (d). Error bars  
 499 represent 95% confidence intervals.

500

### 501 3.2. fNIRS Results

502

503 The main goal of this paper is to test the hypothesis that early Acheulian tool production recruits  
 504 prefrontal areas that may be involved in WM to a greater extent than Oldowan tool production.  
 505 Herein, we define HbO neural activity as being “activated” (>0) or “suppressed” (<0) depending  
 506 on its relationship to the neural state at the start of the block (0) (recall that participants  
 507 completed a rest phase after each block). As we are primarily interested in effects that generalize  
 508 across both social transmission groups, this section reports findings from brain areas with  
 509 changes in HbO that were unaffected by the mode of social transmission assigned to participants  
 510 (i.e. verbal vs. nonverbal transmission of toolmaking skills). Therefore, this section includes the  
 511 findings from the Task main effect, Session main effect, and Task x Session effects. All HbO  
 512 results are reported in Tables 1-3 and S2-S5. To remain consistent with previous studies, the  
 513 following sections focus only on those clusters that were significantly activated relative to a  
 514 motor baseline task (marked by asterisks in results tables).

515 The effect of social transmission, that is, all Group-related effects are reported in  
 516 Supplementary Materials (Group main effect, Group x Task effect, Group x Session effect, and

517 Group x Task x Session effect). These results demonstrate that the context in which a new motor  
518 skill is learned, either with verbal instruction or nonverbal imitation, affects the cognitive  
519 strategies used to attend to the task.

520 HbR results are reported in Supplementary Materials (see Table S6 and Figs. S2-S4).  
521 Overall, twelve HbR clusters overlap spatially with significant HbO clusters, and of these, eight  
522 show an inverse relationship between HbR and HbO. None of the HbR clusters overlap with  
523 HbO clusters that are significantly more active during stone knapping in relation to a simple  
524 motor task.

525 Below, we present the first evidence of increased activity in dlPFC during early  
526 Acheulian tool manufacture relative to Oldowan tool manufacture. At no point in the experiment  
527 did participants show signs of transitioning to procedural memory while learning to make early  
528 Acheulian handaxes like they did while learning to make simple Oldowan flakes. Furthermore,  
529 we demonstrate a clear relationship between toolmaking skill and brain activity in different areas  
530 of the frontal cortex.

### 531 3.2.1. Acheulian vs. Oldowan toolmaking

532 We identified a total of six clusters where there was a significant difference between the  
533 Oldowan and Acheulian toolmaking tasks, that is, a Task main effect (Table 1). Two left  
534 hemisphere clusters in the dlPFC, which overlap with the visual WM network (Wijeakumar et  
535 al., 2015), exhibited significantly increased neural activity during one of the knapping tasks than  
536 during the motor baseline task. These include clusters in the middle frontal gyrus (MFG-1) and  
537 the *pars triangularis* in the inferior frontal gyrus (IFG), which extends into MFG. In both cases,  
538 activity was greater during the Acheulian task than during the Oldowan task; however, the effect  
539 was driven largely by suppression of neural activity during the Oldowan task in the left MFG-1  
540 (Fig. 4a), while in the left IFG, the effect was driven by greater activation during the Acheulian  
541 task (Fig. 4b). The MFG-1 cluster overlaps with the precentral gyrus (PrG) cluster that was  
542 identified during Acheulian tool production post-training (Putt et al., 2017). Its deactivation  
543 during the Oldowan task may be the result of a process called neural repetition suppression,  
544 which optimizes the efficiency of neural circuits by facilitating deactivation in dlPFC once  
545 learning is successfully completed (León-Carrión et al., 2010).

548  
549

550 **Table 1.** Brain areas showing significant activation in the Oldowan-Acheulian (task) contrast

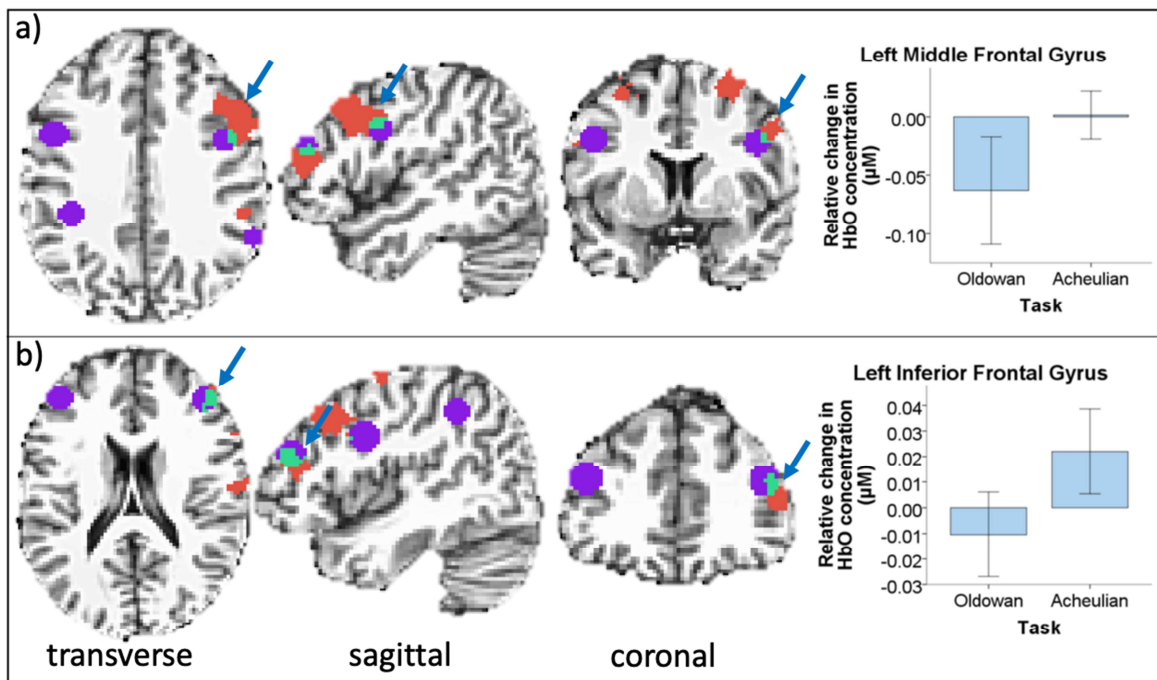
Localization <sup>1</sup>		Sig. Effect <sup>2</sup>	MNI Coordinates (mm)			Volume (mm <sup>3</sup> )	M ΔHbO (μM) ± SEM	η <sup>2</sup>
			x	y	z			
Left	Middle frontal gyrus (dlPFC)*	A>O	-47.9	16.1	35.9	5360	6.9 ± 0.07	0.03
Left	Inferior frontal gyrus (dlPFC)*	A>O	-47.2	41.0	12.7	1672	4.90 ± 0.03	0.04
Left	Inferior frontal gyrus	O>A	-58.9	22.1	12.5	480	7.04 ± 0.31	0.04
Right	Paracentral lobule	A>O	7.2	-20.7	82.8	392	5.46 ± 0.12	0.02
Right	Precentral gyrus	A>O	62.9	8.5	29.1	232	5.08 ± 0.13	0.04
Right	Postcentral gyrus	O>A	56.3	-20.7	51.7	232	5.01 ± 0.12	0.02

551 <sup>1</sup>Areas listed include clusters with a significant task main effect ( $p < 0.05$  with family-wise correction using  $\alpha=0.05$ )  
552 from the Task x Group x Session ANOVA that were not subsumed under a higher-level interaction effect.

553 <sup>2</sup>A=Acheulian, O=Oldowan

554 \*Indicates cluster where knapping activation is significantly higher than motor baseline activation

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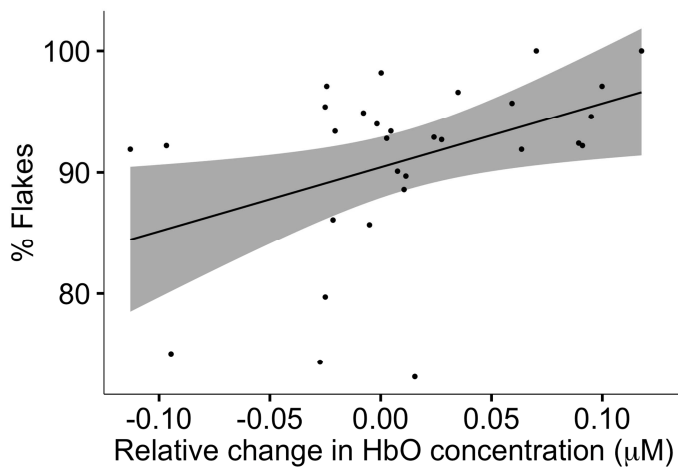
582

**Fig. 4.** Significant task results (red) showing greater neural activity in left MFG-1 (a) and IFG (b) clusters in the dlPFC during Acheulian toolmaking compared to Oldowan toolmaking ( $n = 33$ , ANOVA  $F = 4.21$ ,  $p < 0.05$ ). Blue arrows indicate the labelled area. These clusters overlap with visual WM areas (purple). Overlapping voxels are represented by the colour teal. Error bars represent 95% confidence intervals. Figure is in radiological coordinates (left hemisphere is on right side of the transverse and coronal slices).

Although the total number of debitage elements (flakes, flake fragments, and shatter fragments) from a sample of 14,738 was significantly higher during the Acheulian task than the Oldowan task ( $K-S = 4.0$ ,  $p < 0.001$ ), it was not significantly correlated with activation in either the MFG-1 or IFG clusters ( $p = 0.126$  and  $0.100$ , respectively). Therefore, higher activation of dlPFC during the Acheulian task relative to the Oldowan task cannot be explained simply by a higher frequency of striking the hammer stone against the core tool, the behaviour that this measure roughly estimates. Therefore, a cognitive explanation for the difference in dlPFC activation is warranted.

Moore and colleagues (2006) have shown that increased skill at a visual task is accompanied by increased recruitment of dlPFC during WM encoding and maintenance. We investigated whether a similar pattern of increased dlPFC activation is associated with increased stone toolmaking skill. A positive correlation exists between MFG-1 activation during the Acheulian task and the proportion of flakes produced during the third session (*Spearman's rho* =  $0.377$ ,  $p = 0.040$ ; Fig. 5), showing that the most skilled toolmakers in the study had the highest HbO signal in this WM area of the brain. No such relationship was found between the left IFG cluster and any of the behavioural measures. The correlation between MFG-1 activation and the proportion of flakes produced suggests that the skill required to make flakes during the Acheulian task relies on WM and possibly other cognitive functions such as planning and decision-making.



583  
584

**Fig. 5.** Positive relationship between left MFG-1 activation during the Acheulian task and the proportion of flakes made by participants in the third session (grey band shows 95% confidence intervals).

598

## 599 3.2.2. Learning networks

600

601 Three clusters showed a significant effect across the three sessions for both toolmaking  
602 tasks (i.e., a Session main effect), all occurring within the left hemisphere (Table 2). The left  
603 dorsal PrG, however, was the only cluster showing significantly greater activity when compared  
604 to the motor baseline task, suggesting that the other clusters were mainly involved in improving  
605 visuo-motor coordination with training. This result is consistent with studies of motor learning  
606 suggesting that the left dorsal PrG contributes to the cognitive aspects of motor learning rather  
607 than contributing directly to movement execution (Hardwick et al., 2013). We found that activity  
608 in this area decreased from session to session, indicating an increased efficiency with  
609 learning/practice (Kelly et al., 2005) (Fig. 6).

610

611 **Table 2.** Brain areas with a significant effect across sessions (both toolmaking tasks included)

Localization <sup>1</sup>	Sig. Effect	MNI Coordinates (mm)			Volume (mm <sup>3</sup> )	<i>M</i> ΔHbO (μM) ± SEM	η <sup>2</sup>
		x	y	z			
Left Superior frontal gyrus	3>2>1	-23.8	3.8	65.5	1528	3.99 ± 0.04	0.01
Left Precentral gyrus*	1>2>3	-23.5	-18.8	75.3	568	4.05 ± 0.08	0.07
Left Postcentral gyrus	2>1>3	-60.5	-1.9	26.5	544	3.86 ± 0.06	0.05

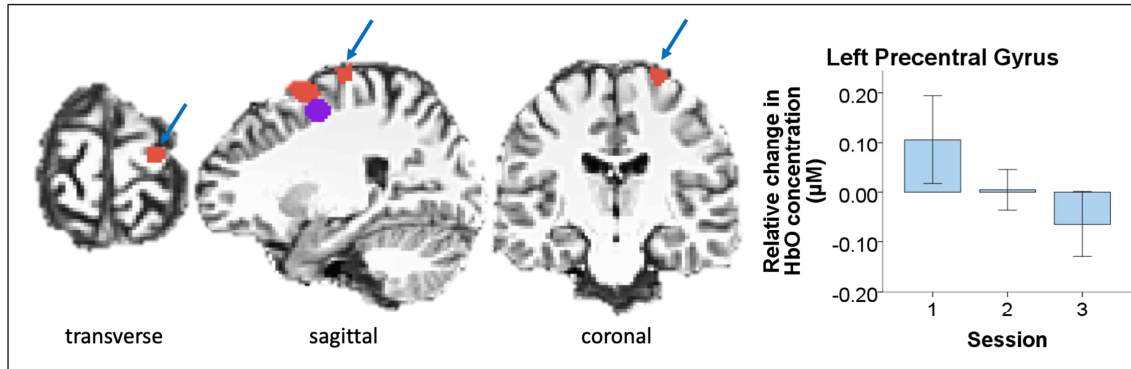
612 <sup>1</sup>Areas listed include session main effect significant clusters ( $p < 0.05$  with family-wise correction using  $\alpha=0.05$ )  
613 from the Task x Group x Session ANOVA that were not subsumed under an interaction effect.

614 \*Indicates cluster where knapping activation is significantly higher than motor baseline activation

615

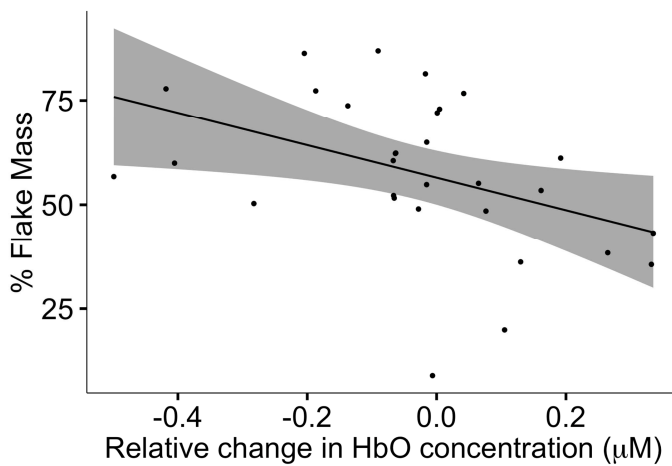
616 The total number of sampled debitage elements increased from a mean of 69.06 during  
617 the first session, to 76.47 during the second session, and 85.55 during the third session; however,  
618 this change over time was not significant ( $F = 1.8$ ,  $p = 0.168$ ). Moreover, the increase in debitage  
619 elements over time was not significantly correlated with activation in dorsal PrG ( $p = 0.743$ ).  
620 Therefore, the activation of this cluster does not appear to be associated with the frequency of  
621 striking the hammer stone against the core or core tool. Rather, the noticeable decrease and

622 eventual suppression of dorsal PrG activity over the course of the experiment is consistent with  
 623 dorsal PrG contributing to motor learning.  
 624



625 **Fig. 6.** Significant session result (red), with both toolmaking tasks included, showing a decrease in  
 626 neuronal activity in dorsal PrG over time as the participants gained more experience in stone knapping ( $n$   
 627 = 33, ANOVA  $F = 3.17$ ,  $p < 0.05$ ). Blue arrows indicate the labelled area. Error bars represent 95%  
 628 confidence intervals.  
 629

630  
 631 Because the dorsal PrG plays a role in learning during the stone knapping tasks, we  
 632 expected to find a correlation between neural activation in this area and behavioural indices of  
 633 learning. Deactivation of the left PrG was associated with a greater percentage of flake mass  
 634 produced in the Acheulian task (*Spearman's rho* = -0.509,  $p = 0.004$ ), which denotes higher skill  
 635 on the part of the knapper (Fig. 7). Notably, this relationship was statistically robust only by the  
 636 third session, after participants had the most extensive amount of practice.  
 637



651 **Fig. 7.** Significant correlation between participants' left PrG neural activity and the percentage of flake mass during the third session for the Acheulian task (grey band shows 95% confidence intervals).

### 652 3.3.3. Learning network differences by task

653  
 654 Eight clusters spanning the frontal, parietal, and temporal cortices were differentially  
 655 activated depending on the task across the three neuroimaging sessions (i.e., a Session x Task  
 656 interaction; Table 3). Three of these clusters, including right PrG, postcentral gyrus (PoG), and

657 left MFG-2, exhibited significantly more neural activity during one of the knapping tasks than  
658 during the motor baseline task.

659 The right dorsal PrG cluster showed high activation during the Oldowan task in the first  
660 session that decreased in later sessions, while the Acheulian task showed the inverse pattern (Fig.  
661 8a). The PrG cluster falls within or near the frontal eye field (FEF), which is involved in eye  
662 movements and associated cognitive processes such as attentional orienting, visual awareness,  
663 and decision making, as well as planning complex movements (Vernet et al., 2014). A cluster in  
664 the left MFG-2 followed a similar pattern (Fig. 8b).

665

666 **Table 3.** Brain areas that show a significant interaction between task and session

	Localization <sup>1</sup>	Sig. Effect <sup>2</sup>	MNI Coordinates (mm)			Volume (mm <sup>3</sup> )	M ΔHbO (μM) ± SEM	η <sup>2</sup>
			x	y	z			
Right	Precentral gyrus*	O:1>3>2; A:3>2>1	36.5	-8.1	64.3	3440	4.3 ± 0.04	0.04
Right	Inferior parietal lobule	O:1>3>2; A:3>2>1	55.5	-37.9	46.7	2264	3.9 ± 0.04	0.04
Left	Middle frontal gyrus*	O:1>3>2; A:3>2>1	-37.0	18.5	52.2	1552	3.6 ± 0.02	0.05
Left	Superior temporal gyrus <sup>‡</sup>	O:2>1>3; A:3>2>1	-58.1	-34.1	18.7	680	3.5 ± 0.03	0.05
Right	Middle temporal gyrus <sup>‡</sup>	O:1>2>3; A:3>2>1	68.5	-38.6	6.2	400	3.4 ± 0.03	0.04
Left	Inferior frontal gyrus	O:1>3>2; A:2>3>1	-61.5	7.0	15.3	368	3.7 ± 0.09	0.04
Right	Supramarginal gyrus	O:2>1>3; A:2>3>1	64.1	-19.3	36.5	232	3.8 ± 0.10	0.05
Right	Postcentral gyrus*	O:2>3>1; A:1>2>3	37.1	-33.7	69.9	232	4.0 ± 0.10	0.05

667 <sup>1</sup>Areas listed include session x task interaction effect significant clusters ( $p < 0.05$  with family-wise correction using  
668  $\alpha=0.05$ ) from the Task x Group x Session ANOVA that were not subsumed under a larger interaction effect.

669 <sup>2</sup>A=Acheulian, O=Oldowan

670 \*Indicates cluster where knapping activation is significantly higher than motor baseline activation

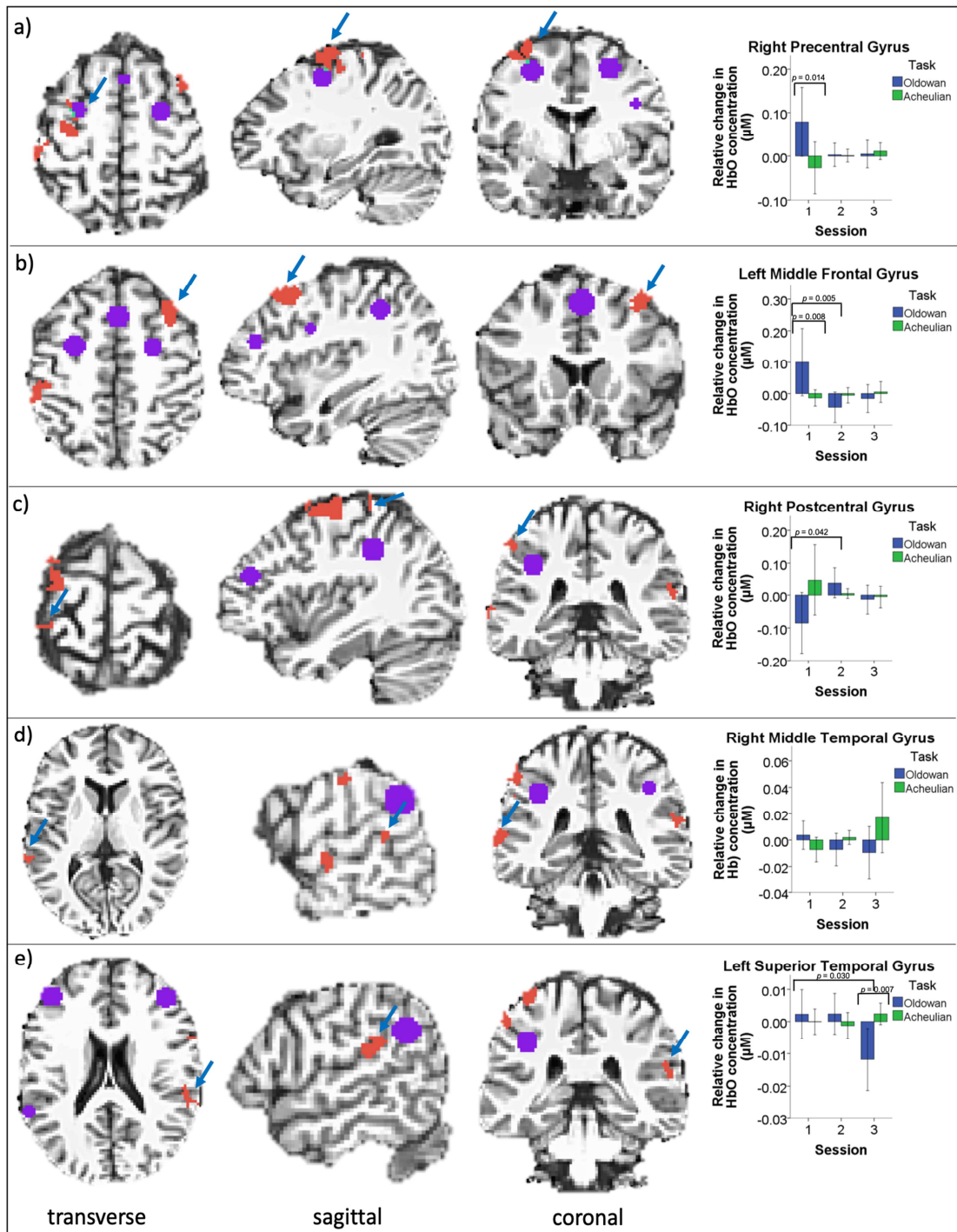
671 <sup>‡</sup>Temporal areas included in discussion despite not having significantly higher knapping activation than motor  
672 baseline activation (see Methods)

673

674 Neural activity in the right PoG area increased from the first to second session during the  
675 Oldowan task but decreased during the Acheulian task (Fig. 8c). By the third session, there were  
676 minimal activation differences between the two tasks. Previous studies looking at brain  
677 activation changes across several neuroimaging sessions recorded a similar pattern of decreasing  
678 activity in the contralateral primary sensorimotor cortex during the execution of trained hand and  
679 wrist movements (Carel et al., 2000; Loubinoux et al., 2001). Together, our results implicate the  
680 involvement of this area in a sensorimotor integrative learning process related to the contralateral  
681 hand (the left hand in the current study). In the case of Acheulian stone knapping, this might be  
682 related to the demands of learning how best to position the core to remove a flake and handling  
683 the core with the left hand after delivering a forceful blow with the right hand.

684 The motor baseline task controlled only for similar motor activity relative to stone  
685 knapping and not for the sound of the two rocks when they were struck against each other. For  
686 this reason, we would not expect any clusters in the temporal cortex to be significantly more  
687 active relative to the motor baseline task. Therefore, we discuss the relevance of two temporal  
688 areas that showed a significant interaction effect between task and session. These include the  
689 right middle temporal gyrus (MTG) and the left superior temporal gyrus (STG; Fig. 8d-e). Both  
690 of these clusters were activated during exclusively Acheulian toolmaking post-training (Putt et  
691 al., 2017). The current analysis demonstrates that these temporal areas are likely important for  
692 learning during the Acheulian task, as evidenced by an increase in activation over the course of

693 the three sessions. Moreover, similar to our previous findings, right MTG was not heavily  
 694 recruited at any point in learning during the Oldowan task relative to the Acheulian task, and by  
 695 the third session, left STG is significantly suppressed during the Oldowan task relative to the  
 696 Acheulian task and relative to previous sessions.



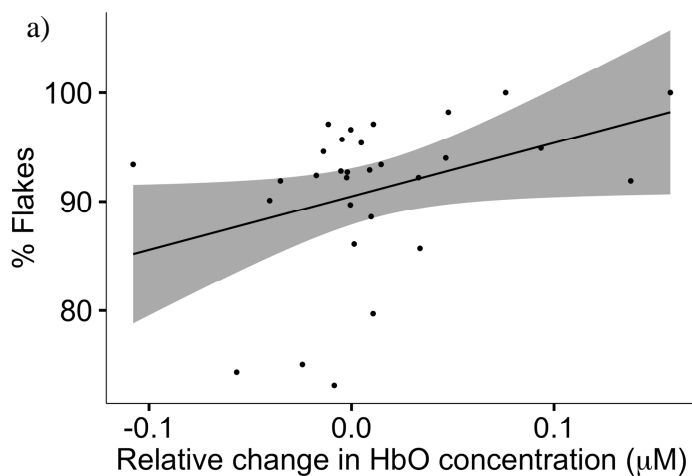
697  
 698 **Fig. 8.** Significant results (red) showing differential patterns of neural activity between Acheulian and  
 699 Oldowan toolmaking over the course of three sessions in right PrG in or near to FEF (a), left MFG-2 (b),

700 right PoG in sensorimotor cortex (c), and right MTG (d) and left STG (e) in the temporal cortex ( $n = 33$ ,  
 701 ANOVA  $F = 3.17$ ,  $p < 0.05$ ). Blue arrows indicate the labelled area. The right PrG cluster overlaps with a  
 702 visual working memory area (purple). Overlapping voxels are represented by the colour teal. Error bars  
 703 represent 95% confidence intervals.

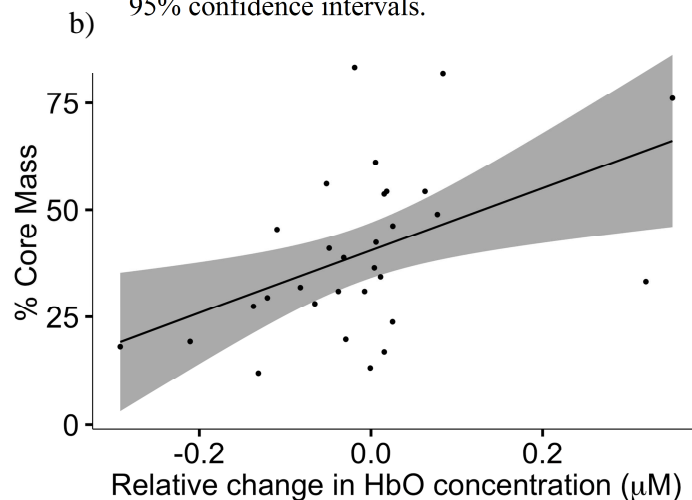
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705 There were significant correlations between neural activity in the right PrG and PoG  
 706 clusters and the lithic skill measures (Fig. 9). At the group level, the PrG cluster was not strongly  
 707 associated with Acheulian tool production; however, neural activity in this area was correlated  
 708 with Acheulian skill acquisition at the individual level (*Spearman's rho* = 0.373,  $p = 0.042$  Fig.  
 709 9a). Individuals with an activated PrG tended to perform better than others by producing a larger  
 710 proportion of flakes. This correlation was significant during the third neuroimaging session, at  
 711 which point there was the highest activation change during the Acheulian task. Because motor  
 712 learning appears to be associated with relatively decreased activity in the primary sensorimotor  
 713 cortex by the third session, we expected to find higher skill measures to be negatively associated  
 714 with neural activity in the right PoG. This was the case in the third neuroimaging session during  
 715 the Oldowan task: individuals with reduced activity in this area were more likely to leave behind  
 716 a lower proportion of core mass (*Spearman's rho* = 0.502,  $p = 0.005$ ), which is a sign of  
 717 enhanced skill in this task.

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**Fig. 9.** Relationship between lithic skill measures and neural activity in regions that demonstrate a significant Task  $\times$  Session interaction effect based on an ANOVA. Neural activity in the right PrG was positively correlated with the proportion of flakes produced during the third session in the Acheulian task (a). Increased skill as measured by the proportion of remaining core mass (b) was associated with higher neural activity in the right PoG during the third session of the Oldowan task. Grey band 95% confidence intervals.



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#### 4. Discussion and Conclusions

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749 The goal of the present study was to shed new light on questions related to human  
750 cognitive evolution based on evidence from functional brain activation data that we collected  
751 from modern-day human participants as they replicated naturalistic prehistoric stone tool  
752 manufacture. Specifically, we tested whether the complex early Acheulian core-shaping task  
753 elicits increased neural activity in the prefrontal cortex relative to the simpler Oldowan flaking  
754 task during early stages in training. We also identified the neural sites that are involved in the  
755 cognitive aspect of learning these motor skills by comparing data to a motor baseline.

756 Our main finding is that neural activity in the dlPFC while making early Acheulian tools  
757 is significantly higher than it is while making Oldowan tools. WM and potentially other  
758 executive functions associated with this area probably play an important role in learning this  
759 complex task; however, this remains to be explicitly tested by localizing WM areas within-  
760 subjects using an established WM task. Secondly, we found evidence of learning from both lithic  
761 debris and neural activation pattern changes across sessions. Furthermore, we show that some  
762 lithic indicators of skill may be predictive of frontal activation. This is significant because it  
763 could allow archaeologists to infer the level of activation of certain brain areas of once living  
764 hominins based on the stone tool artefacts that they left behind, provided that most of the lithic  
765 reduction process for a tool is preserved at an archaeological site.

766 Our results lend support for the hypothesis that selective pressures during the early  
767 Pleistocene resulted in an enhancement of WM capacity in early *Homo*. Clusters in left dlPFC  
768 are relatively more activated during early Acheulian handaxe production than during Oldowan  
769 flake production, especially when the skills related to this task are first being learned. These  
770 clusters overlap spatially with the results of a visual WM meta-analysis (Wijeakumar et al.,  
771 2015). Furthermore, a significant positive correlation between MFG-1 activation and the  
772 proportion of intentional flakes produced during the third session of the Acheulian task indicates  
773 that the most skilled toolmakers recruited dlPFC to a greater extent than less skilled toolmakers  
774 by the end of the study. Together, these results suggest that WM, and perhaps other executive  
775 functions linked to dlPFC, such as planning, reasoning, and inhibition, play an important role in  
776 the process of learning to make complex stone tools, specifically early Acheulian handaxes.  
777 Although we demonstrate spatial overlap between the current study's results and known visual  
778 WM centres, future replication studies should include WM and other executive function tasks in  
779 addition to toolmaking tasks to confirm the collocation of the responses of these tasks within  
780 individuals. This would help pinpoint which cognitive functions are involved during these  
781 toolmaking tasks.

782 It is possible that this positive result can be attributed to our use of modern human  
783 participants who could possess a derived WM adaptation to solve novel problems (Coolidge &  
784 Wynn, 2005). If true, our study would have few, if any, implications for extinct hominin species.  
785 However, if this were the case, then there should be similar levels of prefrontal activation during  
786 the Oldowan task as well. The fact that this is not observed indicates that dlPFC involvement is

787 contingent upon task complexity, as Acheulian toolmaking is the more complicated of the two  
788 tasks.

789 Both toolmaking tasks are relatively difficult, requiring multiple hours of training to  
790 master. For example, our participants completed seven hours of training on these tasks, and none  
791 of these participants could be considered expert toolmakers by the end of the experiment. This is  
792 reflected in the overall reduction in left dorsal PrG activity over the course of the experiment.  
793 The dorsal PrG plays a key role in visually guided reaching, but recent evidence also indicates its  
794 involvement during the performance of sequential movements internally generated from memory  
795 after extended practice (Ohbayashi et al., 2016). The decrease in activity in dorsal PrG across  
796 sessions may indicate that participants began to anticipate upcoming actions as they gained more  
797 experience. While this behaviour relies on visual guidance when first being learned, the fact that  
798 a higher proportion of flake mass is associated with lower activity in this area after more than  
799 seven hours of training suggests that sequential flaking becomes automatized over time.  
800 Alternatively, it is possible that activation differences across technologies and between sessions  
801 are driven by overt behavioural differences, for example, the number of strikes of the hammer  
802 stone against the core or the frequency of amplitude of sounds generated by the knapper's  
803 actions. The total number of debitage elements, a rough proxy for the frequency of striking the  
804 core with the hammer stone, does not appear to contribute to neural activation differences. This  
805 suggests that the changes in neural activity that we observed can be attributed to internal rather  
806 than external factors; however, an event-related analysis based on observable behaviours would  
807 shed more light on this issue.

808 Archaeologists have long suspected that the complexity of Acheulian tools and the  
809 procedure involved in their production necessitate a greater degree of cognitive capacity than  
810 that required for the Oldowan industry (Stout et al., 2014; Toth & Schick, 2018; Wynn, 1985,  
811 1993). Neuroimaging studies largely support this claim (Putt et al., 2017; Stout & Chaminade,  
812 2007; Stout et al., 2008, 2011, 2015), and the current study demonstrates that this difference in  
813 technological complexity is reflected in the neural networks that are involved in learning  
814 Oldowan and early Acheulian stone toolmaking skills. Specifically, it appears that Oldowan  
815 toolmaking quickly transitions from controlled processing guided by the dorsal visual attention  
816 network during the first session to automatic processing in fewer than four hours of training.

817 The Oldowan task recruits a visual attention network during the first neuroimaging  
818 session, involving the inferior parietal lobe and dorsal premotor cortex, regions that are  
819 interconnected via recurrent fibres that pass through the superior longitudinal fasciculus (Ptak,  
820 2012). Activated clusters in this network include left MFG-2 and right dorsal PrG. The right  
821 MTG and left STG also are recruited. By the second session, both frontal regions and the right  
822 MTG become suppressed. In their place arises the right PoG, a primary sensorimotor area, which  
823 reaches its peak activation during the second session before decreasing below baseline by the  
824 third session. Individuals with the lowest levels of PoG activation in the third session tended to  
825 be the most efficient at removing flake mass from a core, which is the main goal of the task. By  
826 this point, participants' Oldowan products resembled those from the archaeological record (Fig.  
827 2a-b), suggesting that the simple removal of flakes without the added element of shaping a core  
828 tool was well rehearsed and therefore did not demand active attention. This pattern of  
829 deactivation of cognitive control areas and activation of a sensorimotor area is likely the result of  
830 a transition to procedural memory after fewer than four hours of practice. Note that deeper brain  
831 structures associated with procedural memory, such as the cerebellum (Molinari et al., 1997),

832 could not be recorded using fNIRS to confirm this assertion; however, Stout and Chaminade  
833 (2007) report cerebellum involvement during Oldowan toolmaking after four hours of practice.

834 We did not find a similar pattern of deactivation of cognitive areas and activation of  
835 sensorimotor areas over time as participants learned to make early Acheulian handaxes. Rather,  
836 the left MFG-2, right PrG (FEF), and bilateral temporal areas increased with additional practice,  
837 and activation in the right PoG decreased with more training. This pattern of increasing  
838 activation of the control network and coinciding deactivation of a primary sensorimotor area  
839 during Acheulian tool production indicates an emphasis on the employment of cognitive  
840 strategies at all measured stages of learning. Individuals with the highest levels of PrG (FEF)  
841 activation in the third session tended to make the fewest mistakes, in the form of unintentional  
842 shatter. Combined with the aforementioned dlPFC activation, these results indicate that handaxe  
843 production is likely a visuospatial WM task that consistently engages WM areas, even after  
844 multiple hours of training. These results depict early *Homo* as curious, attentive, and capable of  
845 some degree of flexible thinking as they learned toolmaking skills.

846 Toolmaking can be a physically strenuous task, which may influence systemic blood  
847 pressure and respiration. Although this study utilized a depth-resolved fNIRS technique (in the  
848 form of short channels) to control for extracerebral hemodynamics and tPCA to target and  
849 eliminate motion artefacts, systemic signals were not directly measured. Systemic confounds  
850 may sometimes lead to false positives and false negatives in fNIRS data (Tachtsidis &  
851 Scholkmann, 2016); therefore, this is a limitation of the study. An analysis of HbR in addition to  
852 HbO (see Supplementary Materials), however, reveals the typical inverse relationship between  
853 the two chromophores. This likely means that systemic signals did not confound the  
854 hemodynamic response; nevertheless, the only way to be certain would be to measure systemic  
855 signals and neural activity simultaneously.

#### 856 857 4.1. Conclusions

858  
859 We argue that the results of the current study and previous neuro-archaeological  
860 experiments (Putt et al., 2017; Stout et al., 2015) support a novel hypothesis: positive selective  
861 forces acted on hominin WM networks of the brain as early as 1.8 Ma, when the more complex  
862 early Acheulian industry began to emerge in the archaeological record. Under this hypothesis,  
863 individuals with derived WM capabilities were the most successful at learning the crucial skills  
864 associated with handaxe production. In turn, they and their offspring were more reproductively  
865 successful than their counterparts because of the facilitated access to calorically dense and  
866 diverse food resources that these tools imparted. It may not be coincidence that a step increase in  
867 brain size also occurred around this time (Shultz et al., 2012) that was driven by a  
868 disproportionate expansion of the prefrontal and temporal cortices (Bruner & Holloway, 2010),  
869 the same areas that are selectively activated by the Acheulian task in the current study. Tool use  
870 and WM strongly correlate with brain size (Posthuma et al., 2003; Reader & Laland, 2002).  
871 Thus, selection for enhanced WM may have led to an increase in brain size, particularly in the  
872 prefrontal and temporal cortices, that occurred near the beginning of the Pleistocene, which set  
873 *Homo* on the path to becoming human.

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875



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## 1040 Additional Materials

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## 1042 Author Contributions

1043

1044 SSJP and JPS conceived of and designed the experiment. SSJP and SW collected and analysed  
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 1046 SW. All three authors critically revised and approved the final version to be published.

1047