

1 Spatial memory and cognitive flexibility trade-offs: to be or not to be flexible, that is the
2 question

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21 Abstract

22 Cognitive flexibility allows animals to readily acquire new information even when learning
23 contingencies may rapidly change, as is the case in highly variable, but predictable
24 environments. While cognitive flexibility is broadly thought to be beneficial, animals
25 exhibit inter- and intra-specific variation, with higher levels of flexibility associated with
26 reduced memory retention and vice versa. In this review, we discuss when and why such
27 variation may exist and focus specifically on memory and memory flexibility. We argue
28 that retained memories may negatively affect the acquisition of new information, most
29 likely via proactive interference, and available data suggest that there may be a trade-off
30 between memory retention and acquiring new memories. We discuss neurogenesis-
31 mediated forgetting as the mechanism reducing memory interference, as new neurons
32 enhance learning new information, but also cause forgetting of older memories. Selection
33 may be expected to favor either end of the continuum between memory retention and
34 memory flexibility depending on life history and environment. More stable environments
35 may favor memory retention over flexibility whereas rapidly changing environments may
36 favor flexibility over retention. Higher memory capacity also seems to be associated with
37 higher memory interference, so higher neurogenesis rates associated with forgetting of
38 unnecessary information may be favored when higher capacity is beneficial such as in
39 food-caching species. More research is necessary to understand if inter- and intra-specific
40 differences in the association between memory retention and flexibility are related to some
41 general ecological patterns, whether this association is heritable, and whether
42 developmental conditions and experience have different effects on this association in
43 different species.

44

45 Keywords: cognitive flexibility; reversal learning, interference, forgetting, neurogenesis,
46 food caching birds, migratory birds

47

48 ***Introduction***

49 Animals are able to modify their behavior due in part to their ability to learn new
50 information associated with relevant contingencies in their environment. However, relevant
51 contingencies often change and animals must be able to switch their behavioral responses
52 to fit new contingencies and this is usually referred to as cognitive flexibility. Behavioral or
53 cognitive flexibility has been defined by psychologists as the ability to reverse
54 contingencies while learning new information (Badre & Wagner, 2006). More flexible
55 animals can readily learn continuously changing contingencies. Traditionally, within a
56 laboratory context, an animal is thought to be cognitively flexible if it can successfully
57 learn new information while either keeping or forgetting older information. To test
58 cognitive flexibility, the “reversal-learning task” is often used. This task uses an
59 experimental paradigm where contingencies of previously learned associations are changed
60 or reversed (Anderson & Neely, 1996; Strang & Sherry, 2014). For example, in a color
61 association reversal-learning task an animal may be presented with two different color
62 handles, and is first trained that pressing the blue handle will result in reinforcement, while
63 pressing the green handle results in no reinforcement. After the animal has reached a set
64 performance criterion, the relationship is reversed, such that pressing the green handle now
65 results in reinforcement and pressing the blue handle results in no reinforcement. An animal
66 that scores highly on a cognitive flexibility task is one that takes fewer trials to extinguish
67 the previously reinforced behavior and only responds to the new contingency. Similarly, in
68 spatial reversal-learning task, changing the location associated with reward also allows

69 testing for cognitive flexibility. In this case, a flexible individual is expected to learn the
70 new locations and stop visiting the location that no longer provides reward (e.g. Croston et
71 al. 2017). A standard serial reversal task frequently involves just a binary choice that keeps
72 changing between the same two available options with each reversal (two colors or two
73 spatial locations). An alternative version involves non-repeatable choices at each reversal
74 (e.g. different color at each reversal or different spatial location at each reversal).

75 Behavioral ecologists have greatly expanded the use of the term ‘behavioral
76 flexibility’ to include any behaviors that allow animals to adjust to changing environments,
77 including behavioral innovations and problem solving. Such diversification of the term has
78 produced confusion as different behaviors used to describe behavioral flexibility often have
79 different underlying neural and physiological mechanisms. This confusion prompted Audet
80 and Lefebvre (2017) to suggest that we should stop using the term flexibility and instead
81 focus on specific behaviors associated with flexibility.

82 Traditionally, cognitive flexibility has been viewed as a highly adaptive ability
83 (Dukas, 2004; Lefebvre, Reader, & Sol, 2004) that increases survival and reproductive
84 success (Snell-Rood, 2013). However, available data shows both inter- and intra-species
85 variation, with higher levels of flexibility associated with reduced memory retention and
86 vice versa. Throughout this review, we will consider (1) potential trade-offs among
87 memory retention, memory capacity, memory load, and cognitive flexibility, (2) forgetting
88 as a mechanism allowing this trade-off, (3) adult neurogenesis as a mechanism of
89 forgetting, and (4) future directions to investigate the proposed trade-offs. We will
90 specifically focus on memory and memory flexibility, which we define as the ability to
91 successfully learn new information when learning contingencies keep changing.

92

93 ***Why are some animals less flexible?***

94 One of the major questions in understanding the evolution of cognitive flexibility is why
95 there is inter- or intra-specific variation in cognitive flexibility and what are the
96 mechanisms underlying such variation. One of the earliest studies on this subject reported
97 that pigeons (*Columba livia*) were better at learning a reversal task (e.g. more flexible) but
98 showed worse memory retention, while goldfish (*Carassius auratus*) performed worse on
99 the reversal task (e.g. less flexible) but showed better memory retention (Gonzalez,
100 Behrend, & Bitterman, 1967). This study suggested that (a) species differ in memory
101 flexibility and (b) there appears to be a trade-off between cognitive flexibility and memory
102 retention.

103 Inter-specific variation in cognitive flexibility suggests that there should be a cost to
104 being cognitively flexible. Some of these costs may be energy-related, such that the energy
105 needed to maintain a long-term memory (Plaçais & Preat, 2013) or to process information
106 (Dukas, 1999; Mery & Kawecki, 2003). The physiological costs of cognition can affect
107 other traits like reproduction. For example, fruit fly (*Drosophila melanogaster*) lines
108 selected for enhanced learning abilities also showed a decline in larval competitive ability
109 (Mery & Kawecki, 2003).

110 Other costs might be related to potential trade-offs associated with cognitive
111 flexibility. While there is a paucity of comparative studies including both aspects of
112 cognitive flexibility (e.g. memory retention and memory flexibility), the few that do exist
113 are consistent with the idea of a trade-off between flexibility and memory retention and
114 suggest that a better ability in one is associated with a worse ability in the other.

115 Research on food-caching species exemplifies this trade-off. Food-caching black-
116 capped chickadees (*Poecile atricapillus*) with the overall better spatial memory ability than

117 non-caching dark-eyed juncos (*Junco hyemalis*), were less capable at learning a new
118 contingency after reversal, suggesting that older memories interfered with acquiring new
119 memories (Hampton, Shettleworth, & Westwood, 1998). Clark's nutcrackers (*Nucifraga*
120 *columbiana*), which are known for their extremely long lasting spatial memory, performed
121 significantly worse on a spatial reversal-learning task compared to their performance on an
122 initial spatial learning task (Lewis & Kamil, 2006). Finally, mountain chickadees (*Poecile*
123 *gambeli*) inhabiting high elevations performed worse on a spatial reversal-learning task
124 compared to low elevation chickadees (Croston et al., 2017; Tello-Ramos et al. 2018). At
125 the same time, our previous studies documented that high elevation chickadees performed
126 significantly better on an initial spatial memory task (both acquisition and retention), had a
127 larger hippocampus with more neurons, and exhibited higher rates of adult hippocampal
128 neurogenesis (Freas, LaDage, Roth, & Pravosudov, 2012). All of these findings are also
129 consistent with the idea of a potential trade-off between the ability to retain older memories
130 and the ability to rapidly acquire new memories.

131

132 ***Memory interference resulting in a major trade-off***

133 The cost of learning new information reflects the fact that the brain and the neural networks
134 that store memory patterns are finite, with networks eventually reaching saturation (i.e.,
135 memory load or capacity). Because memory storage or capacity is limited, the acquisition
136 of new memories may interfere with old memories, and vice versa, specifically when new
137 and old memories are similar (Anderson & Neely, 1996; Wixted, 2004). The essential
138 problem associated with interference is that the retrieval cues available at the time of recall
139 fail to access the target memory (Anderson & Neely, 1996). One way to explain this
140 phenomenon is that when a cue is linked to more than one memory, different memories

141 compete for access during the recall processes (Bjork, 1989). Interference should increase
142 with the number of competitors or distractors associated with the same cues. In humans, for
143 example, memory recall performance decreases when the number of memories that are
144 paired with the same cue increases - the cue-overload principle (Watkins & Watkins, 1976).
145 On the other hand, when cues and contexts used to learn are more dissimilar, interference
146 should decrease. For example, in Clark's nutcrackers, memory performance improved in a
147 spatial reversal learning task when given new spatial cues during the reversal phase
148 compared to performance in a reversal task with the same spatial cues as during the initial
149 learning (Lewis, Kamil, & Webbink, 2013). Similarly, lab rats showed significant
150 interference after learning a second task but such interference was significantly reduced
151 when the context, such as light, size of arena, and texture of arena, of each task was made
152 increasingly different from each other (Rodriguez, Borbely, & Garcia, 1993). Interference
153 is thought to occur specifically during memory retrieval (or recall) and depending on
154 whether old memories or the formation of new ones is impaired, interference can be
155 classified as retroactive or proactive interference.

156 Retroactive interference occurs when previously learned information is affected, or
157 forgotten, by learning new, similar information. For example, honeybees (*Apis mellifera*)
158 that were trained to turn right on a green land mark first and then trained to turn left on a
159 blue landmark, decreased their performance on a later test for the first task (Cheng &
160 Wignall, 2006).

161 Proactive interference occurs when previously learned information interferes with
162 learning and remembering new information. Proactive interference appears to be one of the
163 main mechanisms affecting cognitive flexibility because it may directly influence learning
164 of new information. Most common tests for proactive interference include learning

165 reversals and serial learning reversal tasks. When serial reversal task is used with just two
166 possible choices (e.g. Cauchloix, Hermer, Chaine, Morand-Ferron, 2017), animals are
167 expected to learn the rule that they need to switch when the previously rewarding choice is
168 no longer rewarding. Such task does not allow testing for memory retention and how
169 memory retention may affect learning of new associations via proactive interference. A
170 more appropriate reversal task (whether single or serial reversal) should require an animal
171 to learn new associations that have not been used before during each reversal stage. Such
172 approach allows direct assessment of both retention of memories of previously rewarded
173 associations and acquisition rates of new associations.

174 For instance, highly specialized food-caching Clark's nutcrackers that were given
175 either one or two "lists" of rewarded locations performed worse during recall of the second
176 list than during the recall for the first list, demonstrating proactive interference (Lewis &
177 Kamil, 2006). Likewise, Florida scrub-jays (*Aphelocoma coerulescens*) that performed
178 better in an initial learning task did worse in a reversal task and vice versa, again suggesting
179 there may be a trade-off between initial and reversal learning ability (Bebus, Samll, Jones,
180 Elderbrock, & Schoech, 2016). If learning a useful association in the environment interferes
181 with learning a new association in the future, animals would not be able to quickly adjust
182 their behavior in a rapidly changing environment.

183 Based on human studies, it appears that retroactive interference is stronger when the
184 delay between learning new information and recalling old information is short. When more
185 time has passed between learning new information and recalling the older information,
186 retroactive interference decreases. Conversely, proactive interference increases with more
187 time between learning new information and recalling it – older information is recalled more

188 accurately than the newer information as more time has passed (Postman, Stark, & Fraser,
189 1968; Storm & Bjork, 2016).

190 Overall, it appears that the extent of proactive interference is a critical mechanism
191 involved in the trade-off between memory retention and the ability to acquire new
192 memories. Better memory retention seems to be associated with a higher level of proactive
193 interference and hence with less cognitive flexibility while higher cognitive flexibility
194 appears to be associated with less proactive interference and with worse memory retention.
195 As such, the rest of this review will focus on proactive interference.

196

197 *Forgetting as a mechanism reducing interference and increasing cognitive flexibility*

198 At least one mechanism known to reduce proactive interference is forgetting, as forgetting
199 allows the acquisition of new memories without interference with older memories
200 (Anderson & Neely, 1996). Forgetting, described as the absence of expression for
201 memories that once caused expression, is no longer regarded as memory failure (Kuhl &
202 Wagner, 2009). Instead, given the dynamic nature of the environment, forgetting is likely
203 an essential component of any adaptive memory system that increases behavioral and
204 cognitive flexibility and therefore, remembering and forgetting are intimately related (e.g.
205 Kraemer & Golding 1997). Although forgetting might reflect actual memory loss (decay), it
206 can also be explained as a failure to retrieve existing memories (interference) and can
207 reduce memory load. In humans, deliberate attempts to forget specific information can
208 improve learning new information (Festini & Reuter-Lorenz, 2014). Imagine for example
209 the memory task of a restaurant cook, for whom it would be advantageous to forget an
210 order once it has been completed. The advantage of forgetting a completed order, is that it

211 reduces confusion (proactive interference) when trying to remember other current orders
212 (Bjork, 1970). Work on animals implicated adult neurogenesis as one of the main neural
213 mechanisms that facilitate forgetting (Frankland, Köhler, & Josselyn, 2013).

214

215 *Neurogenesis as a mechanism of forgetting and reducing proactive interference*

216 Adult neurogenesis is a process of neuronal replacement during adulthood first described
217 by Altman and Das in the 1960's (Altman & Das, 1965,1967). Adult neurogenesis consists
218 of neuron proliferation, migration, survival, and finally incorporation into the existing
219 neural circuits, usually in the hippocampus, a brain region involved in memory (van Praag,
220 Christie, Sejnowski, & Gage, 1999). Adult hippocampal neurogenesis does not result in
221 increasing the total number of neurons – instead adult neurogenesis and apoptosis, or
222 neuron death, operate simultaneously and result in adult neuron replacement (Barnea &
223 Pravosudov, 2011; Olson, Eadoe, Ernst, & Christie, 2006; van Praag et al., 1999). The
224 majority of new neurons actually die without being recruited into neural circuits, and it is
225 hypothesized that new neurons may form a neurogenic reserve that can provide new
226 neurons when needed (Kempermann, 2008).

227 Most research on adult neurogenesis has been focused on its role in facilitating new
228 learning and almost all existing hypotheses about the function of neurogenesis suggest that
229 it improves learning (Gould et al. 1999; van Praag et al., 1999; Kempermann 2008).

230 Ecological research, in particular, primarily considers neurogenesis as the neural
231 mechanism that enhances learning of new information (Barnea & Pravosudov, 2011).

232 More recently, however, it was discovered that adult neurogenesis is also directly
233 involved in forgetting and that enhanced learning of new information associated with

234 neurogenesis appears to be, at least in part, due to forgetting of older information (Akers et
235 al., 2014; Epp et al. 2016). New findings show that new neurons enhance the acquisition of
236 new memories, but at the same time death of old neurons associated with incorporation of
237 new neurons appears to cause forgetting of older memories which decreases proactive
238 interference (Akers et al., 2014; Epp et al. 2016). Interestingly, it seems that apoptosis of
239 old neurons is not random, but rather a targeted replacement of old memories that are no
240 longer useful with new neurons produced by neurogenesis.

241 Learning and memory, and the decrease in proactive interference are positively
242 associated with adult neurogenesis rates because at least one function of neurogenesis
243 represents a decay process that continually clears out old memories from the hippocampus
244 (Frankland et al., 2013). Newly born cells are different compared to older neurons; they are
245 both more excitable and more sparse, which is why adult neurogenesis seems to promote
246 pattern separation, a process by which overlapping patterns of neural activation are mapped
247 to less overlapping representations, effectively reducing interference (Becker, 2005).
248 Computational models of neural networks also show that neuronal turnover should improve
249 learning of new information specifically by discarding older memories (e.g. forgetting)
250 (Chambers, Potenza, Hoffman, & Miranker, 2004; Crick & Miranker, 2006; Weisz &
251 Argibay, 2012).

252 It appears that hippocampal neurogenesis directly mediates the continuous process
253 of forgetting older hippocampus-dependent memories by reconfiguring neural circuits and
254 resulting in the dissociation of memory cues with previously stored memories (Akers et al.,
255 2014). Neurogenesis is more active in younger animals, which are also more forgetful (and
256 more flexible), but experimentally reduced hippocampal neurogenesis rates in adulthood
257 result in longer lasting memories (but less flexibility; Akers et al., 2014). For instance, in

258 adult mice, experimentally increasing neurogenesis rates induced forgetting, while in young
259 animals with naturally occurring higher neurogenesis rates, experimental reduction in
260 neurogenesis increased memory retention (Akers et al., 2014; Kitamura et al., 2009). In a
261 different study, increased neurogenesis rates associated with more running resulted in
262 reduced memory retention, but also resulted in better performance in a reversal learning
263 task (Epp et al. 2016). Experimental suppression of adult neurogenesis resulted in better
264 memory retention but also in worse performance in a reversal learning task (Epp et al.
265 2016). These findings support the idea that increased neurogenesis reduces proactive
266 interference and enhance learning of new information, but at a cost of reduction in memory
267 retention (e.g. forgetting), while reduced neurogenesis enhances memory retention but
268 impedes learning new information because of proactive interference.

269 As mentioned earlier, the neurogenic reserve hypothesis (Kempermann, 2008)
270 suggests that new neurons form a pool that may be used when needed. This hypothesis is
271 consistent with the idea of adult neurogenesis aiding forgetting and not just the idea that
272 new neurons are recruited only to increase memory ability (e.g. Johnson et al., 2010).
273 Incorporation of new neurons into the existing circuits should disrupt older memories (e.g.
274 forgetting), therefore, at the time when animals may consistently acquire new memories,
275 such as the case with food-caching animals when they actively store food, they would not
276 benefit from higher neurogenesis as it will result in forgetting existing caches as memories
277 of new caches are being constantly formed.

278 Overall, there is mounting evidence that adult hippocampal neurogenesis improves
279 the acquisition of new information while at the same time causing forgetting and increasing
280 cognitive flexibility (Akers et al. 2014; Swan et al. 2014; Garthe, Behr, & Kempermann,
281 2009; Burghardt et al. 2012; Frankland et al. 2013; Weisz & Argibay 2012; Hardt, Nader,

282 & Nadel, 2013; Kitamura et al. 2009; Guskjolen, Epp, & Frankland, 2017; Feng et al. 2001;
283 Martinez-Canabal 2015; Becker, MacQueen, & Wojtowicz, 2009; Epp, Mera,
284 Kohler, Jesselyn & Frankland, 2016; Yau, Li, & So, 2015).

285

286 *Need to re-evaluate how we view neurogenesis in many ecologically relevant paradigms*

287 Most of research on forgetting and neurogenesis have been conducted with model systems
288 such as lab rodents and focused directly on the mechanistic relationship between
289 neurogenesis, memory and forgetting. At the same time, there is great historical paucity of
290 research addressing inter- and intra-specific variation in this relationship and how such
291 variation might be associated with different selection pressures despite great interest in
292 inter- and intra-specific variation in adult neurogenesis rates (Barnea & Pravosudov 2011).

293

294 *Food-caching animals*

295 Recent advances in our understanding of adult neurogenesis involvement in forgetting
296 requires reconsideration of most current ecologically-based hypotheses about role of adult
297 neurogenesis. Previously, adult hippocampal neurogenesis has always been considered only
298 as a mechanism enhancing spatial learning (Barnea & Pravosudov, 2011). Starting with
299 Barnea and Nottebohm's (1994) study reporting seasonal differences in hippocampal
300 neurogenesis and connecting these differences to variation in food caching activity, all
301 studies of neurogenesis in food-caching birds and mammals attempted to explain any
302 differences in hippocampal neurogenesis rates by memory benefits associated with
303 increased neurogenesis rates (Barnea & Nottebohm, 1994; Hoshooley & Sherry, 2007;
304 Roth et al., 2012; LaDage et al., 2010, 2011; Barker et al., 2005; Johnson et al., 2010).

305 While species/population comparisons did find that animals with higher demands for food

306 caches indeed have higher hippocampal neurogenesis rates (Roth et al., 2012; Freas et al.,
307 2012), these results might also be explained by both improvements in memory flexibility
308 allowing learning new information and forgetting associated with retrieval of more food
309 caches.

310 A lack of any significant associations between adult hippocampal neurogenesis rates
311 and food-caching activity in all previous seasonal comparisons (review in Pravosudov &
312 Roth 2013; Pravosudov et al., 2015) is inconsistent with the previous hypotheses. If
313 hippocampal neurogenesis is involved specifically in memory improvements associated
314 with more food caching, it is expected that within a year, higher food caching activity
315 should be associated with higher neurogenesis rates (e.g. Barnea & Nottebohm, 1994).
316 None of the available data match these predictions (Hoosholey & Sherry, 2004; Hoshooley
317 et al., 2007; Hoosholey & Sherry, 2007). Even the first landmark study (Barnea &
318 Nottebohm, 1994), which is always used as an example of association between adult
319 hippocampal neurogenesis and food caching, actually showed highest neuron incorporation
320 rates by end of November-December in birds injected with a new neuron marker in October
321 (Pravosudov et al., 2015). The peak of food caching, on the other hand, is usually in
322 September-early October (e.g. Pravosudov, 2006), so these new neurons were not likely
323 there during the peak of food caching.

324 The observed seasonal patterns, however, fit much better if we assume that higher
325 neurogenesis rates are associated with cache retrieval-based forgetting. Chickadees start
326 retrieving caches in late fall and likely continue through spring (e.g. Pravosudov, 2006). At
327 the same time, the actual cache retrieval pattern may depend on weather, availability of
328 other food, etc. – and so variation in highest neurogenesis rates between November and
329 spring may be explained by variation in cache retrieval activity.

330 In the lab, chickadees that were allowed to cache and retrieve food on a daily basis
331 had higher hippocampal neurogenesis rates than birds experimentally prevented from
332 caching (LaDage et al., 2010). Again, this finding is consistent with neurogenesis-based
333 forgetting as chickadees were both caching and retrieving caches. Interestingly, in non-
334 caching, parasitic cowbirds (*Molothrus ater*), which use spatial memory for host nests
335 throughout the breeding season, the highest neurogenesis was reported in late summer after
336 breeding (Guigueno et al. 2016), which is also consistent with the idea of neurogenesis-
337 based forgetting of no longer relevant information.

338

339 *Migratory behavior*

340 It has been hypothesized that migratory behavior is associated with more spatial memory
341 use and at least some comparisons indeed showed that compared to non-migratory
342 subspecies, migratory subspecies performed better in a spatial memory task (Cristol et al.,
343 2003; Pravosudov et al., 2006). Migratory birds were hypothesized to have higher reliance
344 on spatial memory similar to food-caching birds since they may need to remember details
345 of permanent stopover locations as well as details of both breeding and wintering areas.
346 Migratory species/subspecies have larger hippocampus than non-migratory species, but at
347 least in one comparison of migratory and non-migratory white-crowned sparrows
348 (*Zonotrichia leucophrys*), adult migratory birds had higher hippocampal neurogenesis rates
349 than adult non-migratory sparrows, but similar to that in juveniles from both subspecies
350 (LaDage et al., 2011). Non-migratory adults, on the other hand, had lower hippocampal
351 neurogenesis rates than the juveniles from both subspecies. Reduction in hippocampal
352 neurogenesis rates with age is a well-known phenomenon and it has also been associated
353 with more cognitive flexibility and less memory retention in younger individuals and the

354 opposite relationship in older animals (Akers et al. 2014). Migratory adults, however, did
355 not have reduced neurogenesis rates compared to juveniles, at least at the time of sampling
356 during fall after they arrived at the wintering grounds. Higher neurogenesis at that time
357 might be associated with discarding past memories formed during migration allowing for
358 higher memory flexibility at wintering grounds. Since no comparative data are available on
359 either memory retention or memory flexibility in migratory and non-migratory
360 species/subspecies, it is too early to make any conclusions about potential trade-offs among
361 memory retention, memory capacity/load, memory flexibility and adult neurogenesis. But
362 we can make predictions based on hypothesized associations that migratory species (1)
363 should have higher memory capacity and larger memory load and (2) should be less
364 cognitively flexible compared to non-migratory species. Higher neurogenesis rates in
365 migratory birds may be associated with the need to reduce memory load and to reduce
366 proactive interference. In this case, similar to that in food-caching species, neurogenesis
367 might function to reduce the negative effects of proactive interference associated with
368 stronger memories.

369

370 **Memory capacity, proactive interference and neurogenesis**

371 So far, available data suggest that better memory retention is associated with reduced
372 cognitive flexibility, and higher cognitive flexibility is associated with worse memory
373 retention. At the same time, adult neurogenesis-mediated forgetting appears to be one of the
374 mechanisms involved in maintaining cognitive flexibility – higher neurogenesis rates
375 decrease memory retention but increase cognitive flexibility, while lower neurogenesis
376 rates seem to increase memory retention but decrease cognitive flexibility (Akers et al.
377 2014; Frankland et al. 2013; Epp, Mera, Kohler, Jesselyn & Frankland, 2016).

378 Existing evidence connecting adult neurogenesis with memory retention and
379 flexibility comes from a few model species, primarily lab rodents. A big question is
380 whether this relationship is maintained across species with different life histories or even
381 across populations experiencing different environments and hence differential selection
382 pressures. In other words, can we expect that species/populations with higher hippocampal
383 neurogenesis rates have worse memory retention and higher memory flexibility than
384 species/populations with lower neurogenesis rates? While there are little data available on
385 this question, they actually seem to show the opposite. For example, food-caching species
386 seem to have higher hippocampal neurogenesis rates (Hoshooley & Sherry, 2007) than non-
387 caching species, but they have better spatial memory (Biegler, McGregor, Krebs, & Healy,
388 2001; Pravosudov & Roth 2013) and also show more proactive interference/less cognitive
389 flexibility (Hampton et al. 1998; Lewis & Kamil 2006; Croston et al. 2017). In food-
390 caching chickadees, birds in harsher winter environments have better spatial memory
391 (Pravosudov & Clayton 2002; Roth et al. 2012), including longer memory retention (Freas
392 et al. 2012), but they also have higher hippocampal neurogenesis rates compared to birds
393 from milder environments (Chancellor et al., 2011; Roth et al. 2012; Freas et al. 2012). At
394 the same time, chickadees in harsher environments seem to show less cognitive flexibility
395 compared to chickadees from milder environments (Croston et al. 2017).

396 Indeed, in food-caching species, it appears that better spatial memory is associated
397 with higher hippocampal neurogenesis rates, but with lower memory flexibility due to
398 higher levels of proactive interference. We propose memory capacity and load as the
399 missing, but crucial component in interspecies comparisons (Fig. 1). Higher memory
400 capacity has been suggested to increase proactive interference (Steinwascher & Meiser,
401 2016), and it is likely that higher memory load should also increase proactive interference.

402 Species with high demands on memory, such as food-caching species, are likely to
403 have higher memory capacity than non-caching species, as they need to store an enormous
404 number of memories for food cache locations. Chickadee populations in harsher
405 environments also cache more food compared to chickadees from milder winter
406 environments (Roth et al., 2012; Freas et al., 2012), which is likely associated with larger
407 memory load. Higher memory capacity and the need to remember more caches (e.g. more
408 memory load) are likely mediated by a larger hippocampus and a larger total number of
409 hippocampal neurons (Pravosudov & Roth, 2013). However, food-caching species and
410 populations in harsher environments also have higher neurogenesis rates (Hoshooley &
411 Sherry, 2007; Roth et al., 2012; Freas et al., 2012), which is thought to reduce memory
412 retention and increase memory flexibility. We hypothesize that increased hippocampal
413 neurogenesis is a mechanism to reduce negative effects of proactive interference associated
414 with larger memory capacity and load without affecting the retention of relevant memories
415 by mediating forgetting of no longer relevant memories. If cognitive flexibility is
416 advantageous and older memories associated with larger memory load should increase
417 proactive interference and reduce cognitive flexibility, it can be expected that selection
418 should favor some mechanisms that would allow reducing proactive interference while still
419 maintaining long-lasting memories. Rapid neurogenesis-assisted forgetting specifically of
420 no longer relevant memories, such as memories of retrieved caches, may serve as such a
421 mechanism.

422 Species with specialized memory, such as food-caching species, deal with
423 constantly changing information making some memories irrelevant while other memories
424 remain relevant. Considering that selection for memory retention of relevant memories in
425 food-caching species is likely high (e.g. Biegler et al., 2001; Pravosudov & Roth, 2013),

426 irrelevant memories associated with recovered food caches should be rapidly discarded,
427 which should reduce memory load and hence reduce proactive interference. Therefore,
428 higher neurogenesis rates in species or populations that cache more food may serve a
429 critical function of constantly clearing memories of recovered caches. At the same time,
430 higher neurogenesis rates might not fully compensate for higher memory retention and
431 higher memory capacity and load, which might explain why food-caching
432 species/populations with better memory may still have lower memory flexibility and higher
433 levels of proactive interference compared to species/populations with less memory use
434 associated with less caching.

435 Finally, all scatter-hoarding species, such as chickadees and jays, space their food
436 caches so that they are not close together. Such a strategy which was previously argued to
437 reduced cache pilferage (Waite & Reeve, 1993), should also reduce proactive interference,
438 as different caches would be associated with a different set of cues (Croston et al., 2017;
439 Lewis et al., 2013).

440 The extent of memory flexibility and proactive interference might be a trade-off
441 among memory retention, memory capacity and load, and adult neurogenesis rates. Given
442 the same memory capacity/load, memory retention and adult neurogenesis might determine
443 the extent of memory flexibility, but the need for larger memory capacity associated with
444 larger memory load should change the association between memory retention and memory
445 flexibility. To test these ideas, we need more comparative analyses of different
446 species/different populations with different degrees of specialization of memory in
447 combination with experiments manipulating memory load.

448

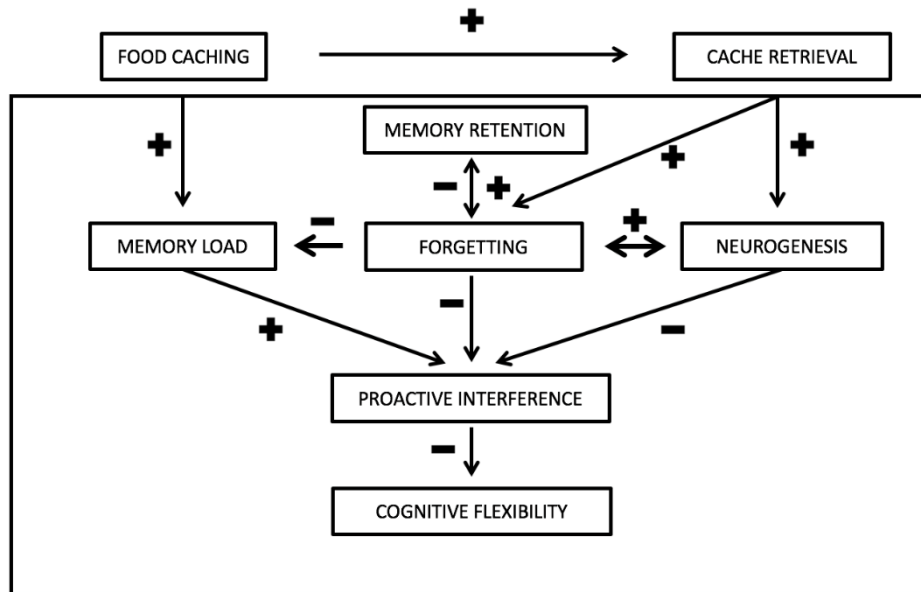
449 *Memory specialization and better memory retention versus memory generalization and*
450 *higher cognitive flexibility– which is better?*

451 The predictability of the environment and ecology of different species should be the main
452 factors predicting whether cognitive flexibility should be advantageous. When the
453 environment is predictably variable, meaning that a change in the environment is to be
454 expected, animals should benefit the most from being cognitively flexible (Lefebvre et al.,
455 2004; Pravosudov & Roth, 2013). Conversely, completely unpredictable environments that
456 do not provide reliable cues should select against learning altogether (Dunlap & Stephens,
457 2009). Results from cross-generational studies with the fruit fly showed that certain
458 regimens of environmental changes will favor the evolution of learning while others will
459 select against it (Dunlap & Stephens, 2009). At the other extreme, when the environment is
460 very predictable, natural selection should favor the evolution of innate behaviors rather than
461 learning.

462 In between these two extremes, the degree of environmental unpredictability likely
463 determines whether cognitive flexibility would be advantageous. The key here is whether
464 memory retention and cognitive flexibility indeed represent a trade-off. If there is no cost of
465 flexibility it should always be favored, but species and populations do differ in degree of
466 cognitive flexibility (Lefebvre et al. 2004; Croston et al. 2017; Bond, Kamil & Balda,
467 2007), suggesting some costs must exist. If there is a trade-off between memory retention
468 and memory flexibility, different conditions would favor either longer retention or higher
469 flexibility. If the environment changes rapidly and rather unpredictably, the value of
470 previously learned information should be low and the ability to rapidly learn new
471 associations should be favored. If the environment changes more predictably and
472 previously-learned associations retain their value over long periods of time, memory

473 retention should be favored over memory flexibility. For example, in food-caching species,
 474 food caches may be used for months after they were originally stored, therefore, memory
 475 retention is essential for successful cache retrieval. At the same time, food-caching species
 476 seem to exhibit more proactive interference than non-caching species (Hampton et al. 1998)
 477 and so appear less cognitively flexible. In contrast, it may be predicted that nomadic species
 478 that constantly move should have the highest degree of cognitive flexibility as the value of
 479 being able to learn and then discard new information as they move should be higher than
 480 retaining past memories which are no longer relevant.

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484
 485 Figure 1. Hypothesized relationships among memory components thought to influence
 486 proactive interference and cognitive flexibility. Memory load is expected to increase

487 proactive interference and reduce cognitive flexibility while forgetting should reduce
488 memory load and to increase flexibility. Higher memory retention may reduce forgetting,
489 while retrieval of memories resulting in no longer relevant information should increase
490 forgetting via increased hippocampal neurogenesis rates. Above the frame is the example
491 of food caching where more food caching increases memory load and provides more
492 opportunities for cache retrieval. More cache retrieval, in turn, should increase forgetting of
493 retrieved caches and so should reduce memory load and reduce interference while also
494 being associated with increased adult hippocampal neurogenesis rates.

495

496 *Future directions*

497 Unfortunately, most existing studies on the relationship among memory retention, memory
498 interference, memory capacity/load, forgetting and neurogenesis are based on just a few
499 model species, such as humans and lab rodents. Historically, psychologists studying animal
500 learning have focused on general principles of learning, which is well suited to study in a
501 few model species. While inter- and intra-specific variation in spatial memory (mainly
502 acquisition and retention) has received considerable attention (e.g. Sherry 2006;
503 Pravosudov & Roth 2013), there is lack of comparative studies investigating such variation
504 in memory flexibility and only a handful of studies attempted comparative analyses of
505 memory flexibility in different species/populations that differ in their life history traits and
506 in their memory needs and use (e.g. Gonzalez et al., 1967; Hampton et al., 1998; Bond et
507 al., 2007; Croston et al., 2017). Most cognitive traits including memory appear to have
508 genetic basis (e.g. Croston et al. 2015), which suggests that they may be affected by natural
509 selection resulting in both inter- and intra-specific variation.

510 Comparing multiple species has many challenges considering numerous species
511 differences that may affect cognitive performance (e.g. Pravosudov & Roth 2013) and so it
512 is critical to consider these differences when designing comparative studies. Within-
513 species, population comparisons seem to provide a good alternative which allows
514 comparing cognition in the same species, which is likely to respond similarly to
515 experimental procedures (Pravosudov & Roth 2013). At the same time, comparing
516 populations that may be under different selection pressures should allow better
517 understanding of suggested trade-offs between memory and memory flexibility.
518 Experimental manipulations now possible with wild animals in their natural environment
519 should also allow direct tests for such trade-offs. Small resident birds in particular provide a
520 convenient model to test many of the questions discussed here and link individual variation
521 in cognitive traits to variation in fitness (Croston et al. 2017; Cauchoix 2017). Finally, some
522 traits such as forgetting, might be difficult to measure, but, on the other hand, memory
523 retention can be measured and memory load can also be manipulated experimentally both
524 in the lab and in the field. So, a combination of memory retention, performance in reversal
525 tasks and manipulation of memory load should allow testing the hypotheses discussed here.

526 In the end, however, only comparative analyses in combination with careful
527 experimentation can provide the answers to the following questions:

528 (1) Do memory retention and memory flexibility indeed represent a trade-off? A
529 few existing studies seem to support the idea of such trade-off as a few species compared
530 and studies of lab rodents suggest that animals that retain memories longer do worse in a
531 reversal learning task (less flexible) and animals that do better at reversal learning are
532 worse at memory retention (Akers et al., 2014). Moreover, experimental studies
533 manipulating hippocampal neurogenesis rates also suggest that improving memory

534 retention by reducing neurogenesis rates leads to less memory flexibility and improving
535 flexibility by increasing neurogenesis rates leads to reduced memory retention (Akers et al.,
536 2014; Epp et al. 2016). More studies comparing species/populations that differ in either
537 memory retention or memory flexibility are needed to address the generality of this
538 hypothesis and, most importantly, whether potential inter and intra-specific differences in
539 the association between memory retention and memory flexibility have been shaped by
540 natural selection. It is also important to use reversal tasks that do not rely on binary and re-
541 usable choices (e.g. Cauchoix et al. 2017) as such tasks mainly test animals' ability to learn
542 the rules that the rewarding choices always alternate. To test for potential trade-offs
543 between memory retention and flexibility, reversal or serial reversal tasks should involve
544 learning non-repeatable associations during each reversal, which will allow testing both
545 memory retention and memory flexibility as a function of increasing memory load.

546 (2) Does memory load directly affect memory retention, proactive interference and
547 memory flexibility? It is difficult to test for potential differences in memory capacity, but it
548 is possible to experimentally manipulate memory load. Even when we see the suggested
549 relationship between memory retention and memory flexibility, it might potentially be a
550 product of differences in memory experiences and, more specifically, memory load. If
551 individuals have higher memory load (e.g. food-caching species/populations with higher
552 food caching rates), such load might directly affect memory flexibility. By experimentally
553 increasing memory load, it should be possible to test (a) whether larger memory load
554 increases proactive interference and (b) whether such effect is the same or different among
555 different species with potentially different memory demands (e.g. food-caching vs non-
556 caching species). Combining experimentation with memory load and comparative approach
557 using species/populations with different demands on memory should allow for better

558 understanding of the relationship between these memory components and relative
559 contributions of experience and natural selection.

560 (3) Does variation in developmental conditions affect the relationship between
561 memory retention, proactive interference and memory flexibility? There are studies
562 showing that developmental stress negatively affects hippocampal neurogenesis rates
563 throughout life (Lemaire, Koehl, Le Moal, & Abrous, 2000). As adult neurogenesis seems
564 to be involved in forgetting/memory retention/proactive interference relationship, it is thus
565 possible that developmental stress might also affect all memory components.

566 (4) Finally, new research focused on neurogenesis in ecologically-relevant
567 comparisons addressing inter- and intra-specific variation should consider neurogenesis
568 role in forgetting and in reducing proactive interference associated with memory flexibility
569 within the memory retention-memory flexibility paradigm. Measuring neurogenesis rates in
570 all suggested above studies would bring better understanding of how neurogenesis may be
571 involved in mediating memory and memory flexibility trade-offs.

572

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576

577 *References*

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