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Evolution and Expression of Tissue Globins in Ray-Finned Fishes

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Abstract

The globin gene family encodes oxygen-binding hemeproteins conserved across the major branches of multicellular life. The origins and evolutionary histories of complete globin repertoires have been established for many vertebrates, but there remain major knowledge gaps for ray-finned fish. Therefore, we used phylogenetic, comparative genomic and gene expression analyses to discover and characterize canonical "non-blood" globin family members (i.e., myoglobin, cytoglobin, neuroglobin, globin-X, and globin-Y) across multiple ray-finned fish lineages, revealing novel gene duplicates (paralogs) conserved from whole genome duplication (WGD) and small-scale duplication events. Our key findings were that: (1) globin-X paralogs in teleosts have been retained from the teleost-specific WGD, (2) functional paralogs of cytoglobin, neuroglobin, and globin-X, but not myoglobin, have been conserved from the salmonid-specific WGD, (3) triplicate lineage-specific myoglobin paralogs are conserved in arowanas (Osteoglossiformes), which arose by tandem duplication and diverged under positive selection, (4) globin-Y is retained in multiple early branching fish lineages that diverged before teleosts, and (5) marked variation in tissue-specific expression of globin gene repertoires exists across ray-finned fish evolution, including several previously uncharacterized sites of expression. In this respect, our data provide an interesting link between myoglobin expression and the evolution of air breathing in teleosts. Together, our findings demonstrate great-unrecognized diversity in the repertoire and expression of nonblood globins that has arisen during ray-finned fish evolution.

Key words: oxygen transport, ray-finned fish, globin gene family, phylogeny, evolution, gene expression.

Introduction

The globins are an ancient superfamily of hemeproteins that represent the most widespread oxygen-binding proteins among multicellular organisms (Hardison 1998; Weber and Vinogradov 2001; Vázguez-Limón et al. 2012; Vinogradov et al. 2013). The first discovered family members, hemoglobin (Hb) and myoglobin (Mb), are highly characterized and in vertebrates, have respective classic functions in oxygen transport and storage in blood and striated muscle, along with additional roles relating to nitric oxide (NO) and reactive oxygen species (ROS) metabolism [reviewed in Burmester and Hankeln (2014)]. More recently, multiple additional family members have been characterized that, similar to Mb, are classically considered "nonblood" or "tissue-expressed" globins [although, see Götting and Nikinmaa (2015), Corti et al. (2016a,b)], namely, neuroglobin (Ngb) (Burmester et al. 2000), cytoglobin (Cygb) (Kawada et al. 2001; Burmester et al. 2002; Trent and Hargrove 2002), Globin-X (GbX) (Roesner et al. 2005), globin-Y (GbY) (Fuchs et al. 2006), globin-E (GbE) (Kugelstadt et al. 2004), and androglobin (Adgb) (Hoogewijs et al. 2012). The functions and expression sites of these different globin genes in vertebrates are diverse and have been recently reviewed elsewhere (Burmester and Hankeln 2014).

Different globin family members are not conserved uniformly across different vertebrate lineages (Hoffman et al. 2011; Storz et al. 2011; Hoffman et al. 2012a,b; Storz et al. 2013). Thus, while some species conserve a full-range of globin types ancestral to jawed vertebrates, including coelacanths (Schwarze and Burmester 2013) and turtles (Schwarze et al. 2015), notable expansions and losses are known. For example, teleost ray-finned fish, the focus of the current study, retain functionally distinct duplicates of Cygb (Fuchs et al. 2005) and Hb alpha- and beta-type subunits (Quinn et al. 2010; Opazo et al. 2013) owing to whole genome duplication (WGD) in the teleost ancestor ~320–350 Ma

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(Jaillon et al. 2004; hereafter: teleost-specific WGD, tsWGD). Moreover, salmonids have undergone further expansions in their Hb repertoire (Quinn et al. 2010), due to a further round of WGD ~95 Ma (Macqueen and Johnston 2014; Lien et al. 2016; hereafter: salmonid-specific WGD, ssWGD). An independent WGD event in the ancestor to carp and goldfish (Cyprininae) ~8 Ma (Xu et al. 2014) allowed the only known retention of Mb gene duplicates in ray-finned fish, which have evolved unique expression patterns and functions, potentially related to hypoxia tolerance (Fraser et al. 2006; Helbo et al. 2012). In addition to such expansions, teleosts have lost key globin genes at various times during evolution, for example, GbY in the lineage ancestor (Burmester and Hankeln 2014; Opazo et al. 2015). More unusual among vertebrates is the loss of *Hb* in the ancestor to the Antarctic fish family Channichthyidae [reviewed in Sidell and O'Brien (2006)] as well as losses of Mb function and/or expression in multiple lineages, including channichthyids (Sidell and O'Brien 2006), sticklebacks (Gasterosteidae), and African butterflyfish Pantodon buchholzi (Pantodontidae) (Macqueen et al. 2014).

Beyond these findings, there remain gaps in our understanding of the globin repertoire of several ray-finned fish lineages, including teleosts. In particular, there remain major teleost groups, where, to the best of our knowledge, globin genes remain entirely unstudied. This includes the two rayfinned fish superorders Osteoglossomorpha and Elopomorpha, which, early in teleost evolution, split from the ancestor to the superorder Clupeocephala (including lineages where globin diversity has been well-characterized, e.g., zebrafish, Danio rerio), creating extensive scope for divergent outcomes post-tsWGD (Martin and Holland 2014). In addition, the "nonblood" globins of salmonids are largely uncharacterized, but are likely to be maintained as gene duplicates retained from ssWGD, which is the case for over half of all genes in the genome (Lien et al. 2016), leading to expanded gene families relative to other teleosts (Macqueen et al. 2010, 2013). Finally, a systematic overview of expression of nonblood globins is yet to be achieved across the major teleost lineages in comparison to a ray-finned fish that did not undergo tsWGD, e.g., spotted gar (Lepisosteus oculatus) (Braasch et al. 2016). This latter work may be useful to contextualize globin roles that have evolved specifically within teleosts, including with respect to tsWGD.

Therefore, the primary objective of this study was to improve our understanding of the evolutionary diversity of nonblood/tissue globin family members in ray-finned fish, including the role played by WGD events. We employed phylogenetic, comparative genomic, and quantitative expression analyses to define and characterize full gene repertoires from a range of lineages, many previously unstudied. As a secondary objective, we included in our expression analyses two separate pairs of lineages where evolutionary losses of high cardiac Mb expression evolved independently (Macqueen et al. 2014), allowing us to explore potential impacts on the regulation of other globin family members. Our findings provide new insights into the remarkable functional-evolutionary diversity of globin genes in different fish lineages.

Materials and Methods

Bioinformatics

Globin gene family (protein-coding) sequences from a number of vertebrate species were obtained from NCBI (http://blast. ncbi.nlm.nih.gov/Blast.cgi), Ensembl (http://www.ensembl. org/index.html), Salmobase (http://www.salmobase.org), animalgenome.org (http://www.animalgenome.org/), and various transcriptome databases (including from: Vidotto et al. 2013; Martin and Holland 2014; Wyffels et al. 2014; Braasch et al. 2016) using the BLAST algorithm (Altschul et al. 1997). Full details of databases and accession numbers are provided in supplementary table S1, Supplementary Material online. Seventeen globin gene family member sequences used in the study that were acquired from unpublished transcriptome databases for osteoglossiform species (more details in supplementary table S1, Supplementary Material online) are provided within the supplementary material (supplementary dataset S1, Supplementary Material online). We also downloaded scaffold68 (accession number KV411197; containing three Mb paralogs) from the Asian arowana Scleropages formosus genome assembly (accession: ASM162426v1) (Bian et al. 2016) and used Spidey (Wheelan et al. 2001) to predict Mb intron-exon structures. Comparative analyses of synteny for genomic neighborhoods proximal to GbX genes was determined manually by inspection of assemblies from Nile tilapia Oreochromis niloticus, northern pike Esox lucius. Atlantic salmon Salmo salar, and spotted gar L. oculatus (assembly versions used provided in supplementary table S1, Supplementary Material online).

Phylogenetic Analysis

Vertebrate globin protein sequences (n = 177) representing putative Mb, Ngb, GbX, Cygb, GbE, and GbY family members (identity initially assigned by BLAST), were collected and aligned using MAFFT v.7 (Katoh and Standley 2013). Adgb was not included in our study, due to its distant relationship to all other globin family members (Hoogewijs et al. 2012). The GUIDANCE2 algorithm (Sela et al. 2015) was employed to gain statistical confidence for each aligned site (overall GUIDANCE score: 0.95). The final alignment, consisting of 177 sequences and 194 aligned sites (supplementary dataset S2, Supplementary Material online), was uploaded to Mega v.6.0 (Tamura et al. 2013) and the best-fitting amino acid substitution model probabilistically determined. Phylogenetic tree building was performed using the Bayesian phylogenetic program BEAST v.1.8.2 (Drummond et al. 2012), employing the best-fitting amino acid substitution model (JTT + G: Jones et al. 1992), an uncorrelated lognormal relaxed molecular

clock (Drummond et al. 2006), a Yule speciation prior (Gernhard et al. 2008), and a UPGMA tree as the start point. The BEAST analysis was run twice with a Markov chain Monte Carlo (MCMC) chain length of 25 million generations, logging the estimated parameters every 2,500 generations. Convergence and appropriate mixing of the MCMC chains were assessed using TRACER v.1.6 (http://tree.bio.ed. ac.uk/software/tracer/), where final effective samples size (ESS) values were above 200 for all sampled parameters. A maximum clade credibility (MCC) tree from one run was created using TreeAnnotator v.1.8.2 (Drummond et al. 2012), after removing the first 10% of sampled trees.

Further phylogenetic analyses were performed on GbX, Mb, and Cygb nucleotide protein-coding sequences, with the goal to provide better resolution to poorly resolved branching patterns (i.e., in the above phylogenetic analysis of amino acid sequences) within major globin family clades (rationale within the "Results and Discussion" section). Thus, n = 40, 76, and 55 respective protein-coding sequences for GbX, Mb, and Cygb were aligned separately using MAFFT v.7 (supplementary datasets S3–S5, Supplementary Material online, respectively). To check for the presence of substitution saturation in these alignments, which might limit accurate phylogenetic inference, we implemented the test of Xia et al. (2003) in DAMBE v.5.67 (Xia 2013). This test revealed that all three nucleotide alignments contained extensive phylogenetic signal. Specifically, in each case, comparison of the index of substitution saturation (Iss) to the critical Iss value (Iss.c) revealed that Iss was significantly lower than Iss.c under all permutations of the analysis (Xia et al. 2003). The tree-building analysis for the GbX, Mb and Cygb nucleotide alignments was performed in BEAST v.1.8.2, as described above, except using GTR + G+I as the best-fitting substitution model (determined in Mega v.6.0) and an MCMC chain of 100 million generations (logging estimated parameters each 10,000th generation). Analysis of the MCMC traces and generation of the MCC trees was performed as described above, again after first removing 10% of the sampled trees.

Tests for Positive Selection on Osteoglossiform Mb

Complete protein-coding sequences of *Mb* from eleven osteoglossiform species (all sequences embedded within supplementary dataset S3, Supplementary Material online; codon alignment provided separately as supplementary dataset S6, Supplementary Material online) were manually aligned and uploaded to the Datamonkey webserver (Delport et al. 2010). Subsequently, a branch-site test was ran incorporating a random effects likelihood approach [described in Kosakovsky Pond et al. (2011)] and fixing a tree topology inferred by Bayesian phylogenetic analysis (section 2.2; tree provided in Newick format within supplementary dataset S6, Supplementary Material online). The visual output of the branch-site test provided by Datamonkey was used in the preparation of figure 3b.

Animals and Tissue Sampling

We accessed previously sampled tissues from spotted gar (n=4) and African butterflyfish (n=5) (after: Macqueen et al. 2014) and Atlantic salmon (after: Macqueen et al. 2013). We also sampled four further species: (1) threespined stickleback *Gasterosteus aculateus* (n = 5; each a pool of five individuals with mean mass: 0.35 g, SD: 0.01 g, mean 35 mm, SD = 3.2 mm), (2) European ruffe lenath: Gymnocephalus cernua (n = 4, mean mass: 8.3 g, SD: 2.7 g, mean length: 91 mm, SD: 8 mm), (3) Peters' elephantnose fish Gnathonemus petersii (n = 4, mean mass: 8.8 g, SD: 2.2 g; mean length: 170 mm, SD: 9 mm), and (4) rainbow trout (Oncorhynchus mykiss) (n = 5; mean mass: 480.9 g, SD: 161.4 g; mean length: 30.9 cm; SD: 2.68 cm). Full details about the animals are provided in supplementary table S2, Supplementary Material online. Animals were acclimated in re-circulating freshwater tanks (for no less than 72 h) at the Institute of Biological and Environmental Sciences, University of Aberdeen at their optimum habitat temperature prior to Schedule-1 killing under UK Home Office auidelines. A set of tissues (including brain, heart ventricle, gill, liver, spleen, stomach, lower intestine, skin, swim bladder, and skeletal muscle) was sampled from each species, flash-frozen in liquid N₂, and stored at -80 °C.

Gene Expression Analysis

Total RNA was extracted from sampled tissues using TRI Reagent" (Sigma-Aldrich) following the manufacturer's instructions. RNA concentration and purity were determined using a Nano-drop 1000 system (Thermo Scientific) and by gel electrophoresis, respectively. Reverse transcription of 1 µg total RNA was performed using a Quantitect Reverse Transcription Kit (Qiagen) following the manufacturer's instructions, including a step to remove genomic DNA. Globin gene expression was measured using quantitative polymerase chain reaction (qPCR) for first-strand cDNAs synthesized from the panel of tissue RNAs sampled from spotted gar, African butterflyfish, Peters' elephantnose fish, Atlantic salmon, Eurasian ruffe and three-spined stickleback, using a Stratagene Mx3005P system (Agilent Technologies). We performed 15 μ l reactions including 5 μ l first-strand cDNA (100 \times dilution of stock), 7.5 µl Brilliant III Ultra-fast SYBR Green (Agilent Technologies) and 500 nM sense/antisense primers. All qPCR primers used in the study are provided in supplementary table S3, Supplementary Material online. Where possible, at least one primer in a pair was designed to cross the boundary between two exons. Cycling conditions were: 1× cycle of 3 min at 95 °C, followed by 40 cycles of 20 s at 64 °C, finishing with 30 s at 55 °C. This was followed by a DNA dissociation analysis, where single peaks were observed in all assays.

All samples were run in technical duplicate, along with duplicate no-template controls (NTC) (i.e., 5 μ l water in place of cDNA) and -RT controls (i.e., RNA that was not reverse-transcribed replacing cDNA). The threshold fluorescence level was set at 3,000, in the linear phase of amplification for all assays. Samples with a cycle-crossing threshold (Cq) value >35 were considered to have no expression.

Expression data from all species was analysed in Genex 5.4.3 (MultiD Analysis) and normalized to four reference genes: *ACTB* (Bower et al. 2008), *RPS13* and *RPS29* (Macqueen et al. 2014), and *RPL8* (newly designed in this study), before being placed on a relative scale comparable across different globin genes within a species. The *RPS13*, *RPS29*, and *RPL8* primers were designed in regions highly conserved across a broad phylogenetic representation of ray-finned fish (Macqueen et al. 2014), incorporating a small number of degenerate sites to account for limited sequence variation across lineages. Thus, these primer pairs were explicitly designed to work in any ray-finned fish species. *ACTB* primers were not originally designed for this purpose (Bower et al. 2008), but nonetheless are highly conserved across ray-finned fish lineages.

Results and Discussion

Phylogenetic Analysis of Nonblood Globins Spanning Ray-Finned Fish Evolution

We performed searches for *Mb*, *Cygb*, *GbY*, *GbE*, *GbX*, and *Ngb* genes across all major jawed-vertebrate lineages, including 20 ray-finned fish species—13 previously unexamined in terms of these globins. Three of the included fish species did not experience the tsWGD, i.e., spotted gar, bowfin *Amia calva*, and Adriatic sturgeon *Acipenser naccarii*. For the included teleosts, two Anguilliform species were sampled from Elopomorpha, along with representatives from several families within Osteoglossiformes. Finally, our searches included two salmonids along with one species of Esociformes, a sister group to salmonids that never experienced ssWGD (Macqueen and Johnston 2014; Lien et al. 2016).

A Bayesian approach incorporating a relaxed molecular clock model was used to establish phylogenetic relationships among the resultant sequences (fig. 1). The root of the tree was maximally supported (i.e., posterior probability value: 1.0) and split a monophyletic group containing Ngb and GbX from a large grouping containing separate Mb, Cygb, GbE, and GbY clades (fig. 1). While the divergence of Ngb and GbX was also maximally supported (posterior probability value: 1.0), along with the crown of all individual vertebrate globin family member clades, there was statistical uncertainty surrounding the branching arrangements of Mb, Cygb, GbE, and GbY (fig. 1). Nonetheless, the overall branching of different globin family members, including the basal position of the

Ngb–GbX clade, was consistent with previous studies that did not incorporate a molecular clock model (Hoffman et al. 2011, 2012a,b; Schwarze and Burmester 2013; Opazo et al. 2015; Schwarze et al. 2015). Finally, branching patterns within each defined globin clade other than GbE (which, as previously reported, was restricted in its phylogenetic distribution; see Hoffman et al. 2011, 2012a,b; Burmester and Hankeln 2014; Schwarze et al. 2015), were indicative of novel evolutionary diversity in ray-finned fish that we go on to describe in the following sections.

GbY Lost in Teleost Ancestor—Yet Retained during Nonteleost Fish Evolution

GbY has previously been identified in reptiles, amphibians, coelacanth, platypus and elephant shark, but not teleosts, placental mammals or birds (Fuchs et al. 2006; Patel et al. 2008; Hoffman et al. 2011; Schwarze and Burmester 2013; Burmester and Hankeln 2014; Opazo et al. 2015). Likewise, we did not identify a GbY gene in previously unstudied teleosts from Osteoglossiformes, Elopomorpha, or Protoacanthopterygii (i.e., northern pike and salmonids) (fig. 1). However, in all three studied nonteleost ray-finned fish (a sturgeon and two holosteans), hitherto unknown GbY genes were identified based on their presence within a maximally supported vertebrate GbY clade (fig. 1). Even though the branching of these early branching ray-finned fish was paraphyletic, these data offer strong support for the conservation of functional GbY genes across the last 400 Myr of ray-finned fish evolution (after Near et al. 2012) and a probable single ancestral loss of *GbY* in the common teleost ancestor.

Analysis of Mb Clade Reveals Triplicate Paralogs in Arowanas

The Mb clade recovered in our main phylogenetic analysis diverged into clades for ray and lobe-finned fish with maximal posterior support (fig. 1). Within ray-finned fish, early branching lineages that did not experience tsWGD branched outside teleosts (fig. 1) as expected (Near et al. 2012; Braasch et al. 2016). Moreover, single Mb sequences from the included elopomorphs branched as a monophyletic group near the base of teleosts (fig. 1). The next group of Mb sequences split euteleosts (i.e., Acanthopterygii and Protoacanthopterygii) from a group containing Osteoglossiformes and two Ostariophysi members (fig. 1). However, African butterflyfish (Pantodontidae) branched more closely to Ostariophysi than other members of Osteoglossiformes, suggesting a branching artifact (fig. 1). Within Osteoglossiformes, there was evidence for three distinct Mb copies in two arowana species (Osteoglossidae) (fig. 1). Interestingly, while we failed to identify multiple Mb copies in other osteoglossiform lineages, a single Mb sequence from elephantnose fish (Mormyridae) branched as a sister clade to one of the arowana Mb duplicates with moderate posterior support, potentially indicating



Fig. 1.—Bayesian phylogenetic analysis of "nonblood" globin gene family members spanning jawed vertebrate evolution (194 aligned amino acid sites; supplementary dataset S1, Supplementary Material online). Posterior probability support values for every reconstructed node are provided on a quantitative color scale (see provided legend in the top left corner; red = maximal support) and also indicated by the size of circles. The chromosomal locations of salmonid-specific gene duplicates are provided. Mb2* highlights a putative *Mb* pseudogene from rainbow trout that codes a truncated amino acid sequence (see main text). Accession numbers for all sequences are provided in supplementary table S1, Supplementary Material online.

an ancestral duplication in the Osteoglossidae-Mormyridae ancestor (fig. 1).

To improve resolution around these novel features within the teleost Mb clade, we attempted to increase the available

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phylogenetic signal via a nucleotide-level analysis with broader representation of species, potentially offering more informative characters (fig. 2). Indeed, the resultant tree added clarity to branching patterns within teleosts, including the divergence

GBE



Fig. 2.—Bayesian phylogenetic analysis of vertebrate *Mb* sequences (465 aligned nucleotide sites; supplementary dataset S3, Supplementary Material online). Monophyletic clades matching to major established taxonomic groups are highlighted. For deeper reconstructed nodes in the tree, all posterior probability support values are shown. For shallower nodes, only posterior probability support values > 0.5 are shown. The light brown shaded box highlights newly discovered triplicate *Mb* paralogs in the arowana lineage. Accession numbers for all included sequences are provided in supplementary table S1, Supplementary Material online. of Mb paralogs within Osteoglossiformes (fig. 2). Specifically, we recovered maximal support for a clade of Mb paralogs including all the studied arowana species, which, in contrast to fig. 1, did not include Mb from other osteoglossiform lineages (fig. 2). These genes have been named $Mb-\alpha$, $Mb-\beta$, and $Mb-\gamma$ to avoid confusion with lineage-specific Mb duplicates conserved in carp and goldfish, named Mb1 and Mb2 (Fraser et al. 2006). The included arowanas are all from the Osteoglossinae subfamily. The crown of Osteoglossinae is represented in our analysis (i.e., divergence of silver and Asian arowana, which each retain an ortholog of the three Mb paralogs; fig. 2) and dates to ~90 to 110 Ma (Lavoué 2015). Thus, the triplicate Mb paralogs of arowana have an ancient origin within Osteoglossidae (expanded in next section), though it remains to be established whether they exist in Arapaiminae (i.e., arapaima spp.), the other subfamily of Osteoglossidae that split from Osteoglossinae ~140 Ma (Lavoué 2015).

In addition to the increased resolution in the phylogeny of early teleost lineages, other established ray-finned fish groups branched in their expected phylogenetic positions with respect to established species relationships (Near et al. 2012) (fig. 2), leading us to conclude that nucleotide alignments provide stronger phylogenetic signal than amino acids for considering branching patterns *within* vertebrate globin gene family members. Finally, with respect to ssWGD, we found no evidence for two salmonid-specific *Mb* paralogs in the Atlantic salmon genome (Lien et al. 2016), but evidence of two *Mb* copies in rainbow trout (fig. 1), one that has evidently been pseudogenized by a frameshift mutation deleting eight bases in the first exon and 29 bases from the second exon, leading to a truncated amino acid sequence that is unlikely to be functional.

Origin and Evolution of Novel Mb Paralogs in Arowanas

The existence of Mb paralogs in arowana is intriguing given that to date, teleost *Mb* duplicates have only been discovered in cyprinid fish (Fraser et al. 2006). Considering the broad opportunity for the generation and retention of gene duplicates in teleost evolution, for example during tsWGD and ssWGD as well as by local duplication mechanisms, along with the high frequency of retained teleost duplicates for other globin family members (e.g., this study), the frequent absence of Mb duplicates suggests it is often not advantageous (or even deleterious) to maintain more than a single Mb gene. We sought to gain more understanding of the circumstances surrounding the unusual retention of arowana Mb paralogs. Interestingly, all three genes are located in close tandem proximity within a ~35 kb region of the Asian arowana genome (Bian et al. 2016) (fig. 3a). This confirms they arose by a distinct mechanism to WGD, for example, unequal crossing-over in a germline cell population. Each of the three Mb paralogs retains a conserved and highly compact intron-exon structure, common to Mb genes of most teleosts (Macqueen et al. 2014) including other osteoglossiform lineages (fig. 3a). In our phylogeny (fig. 2), $Mb-\alpha$ is the sister group to the clade containing Mb- β and Mb- γ and this pattern is reflected by the closer physical proximity of the two latter genes (fig. 3a). Thus, it is likely that an ancestral (Mb gene duplicated to form $Mb-\alpha$ along with a $Mb-\beta/\gamma$ "protogene" that later duplicated again to form separate *Mb-\beta* and *Mb-\gamma* genes.

At the protein level, the arowana Mb paralogs share no more than 69% amino acid identity to one another, indicating high potential for functional divergence. To reconstruct the ancestral selective pressures involved, we employed a codon-based probabilistic d_N/d_s test for positive selection (Kosakovsky



Fig. 3.—Evolution of *Mb* paralogs in the arowana lineage. (a) Genomic organization and intron–exon structure of tandem *Mb* duplicates in the Asian arowana genome. (b) Summary of the results of a branch-site test of selective pressure performed for *Mb* genes sampled across Osteoglossiformes. The thickness of each branch shows the strength of statistical support for episodic positive selection, while colors shown along branches represent the proportion of codon sites that fit into different modeled site classes (red: positive selection; blue: purifying selection; grey: neutral evolution). The mormyrid species are the same as included in figure 2. For more detailed information about the results of the branch-site test, see supplementary table S4, Supplementary Material online.

Pond et al. 2011) along the osteoglossiform Mb phylogeny (fig. 3b, supplementary table S4, Supplementary Material online). Interestingly, there is evidence for positive selection on the ancestral branch leading into Mb- β and Mb- γ (corrected P=0.011), as well the ancestral Mb- γ branch (corrected P=0.026), but not along the branch leading to $Mb-\alpha$ (corrected P=1.0) or, with just one exception (see below), elsewhere in Osteoglossiformes (fig. 3b; supplementary table S4, Supplementary Material online). The branch-site test indicates that ~20% of codons experienced positive selection on the ancestral Mb- β and Mb- γ branch in a background of strong purifying selection (fig. 3b; supplementary table S4. Supplementary Material online). For the ancestral Mb- γ branch, the test indicates that ~5% of codons experienced strong positive selection in a background of moderate purifying selection (fig. 3b; supplementary table S4, Supplementary Material online). There was also evidence for ongoing positive selection in Silver arowana Mb- β (fig. 3b; supplementary table S4, Supplementary Material online). The only other branch inferred to be under positive selection was for the Mb gene of a Campylomormyrus elephantfish (supplementary table S4, Supplementary Material online; all other branches, corrected P = 1.0). Together, this data suggests that the retention of Mb duplicates in arowana was at least partially driven by positive selection on the ancestral genes to Mb- β and Mb- γ . However, these data only represent an interesting starting point. It will be important now to establish actual functional consequences, as done for the Mb paralogs of common carp (Helbo et al. 2012), ultimately linking such data back to lineage-specific aspects of arowana physiology. In this respect, it will be important to test whether the arowana Mb paralogs are differentially expressed under situations that change demands on oxygen transport or aerobic metabolism.

Teleosts Retain Two GbX Copies from tsWGD

Branching patterns within the GbX clade recovered in our main phylogenetic analysis revealed a complex evolutionary history (fig. 1), consistent with a recent proposal that the common ancestor to vertebrates possessed four copies of *GbX* following WGD and/or local gene duplication events, which were differentially retained among different vertebrate lineages (Opazo et al. 2015). In our tree, ray-finned fish split into two major clades, one containing just teleosts, the other teleosts plus lineages that did not undergo tsWGD (fig. 1). However, the deep branching patterns within one of these clades were poorly statistically supported (fig. 1). Therefore, we conducted an additional phylogenetic analysis of *GbX* nucleotide sequences to gain better resolution surrounding the evolution of GbX in fish (fig. 4).

In the GbX phylogeny, teleosts split into two sister clades that, in turn, were sister to GbX2 of spotted gar (fig. 4), consistent with the hypothesis that they originated during

the tsWGD event. One group, named *GbX2a*, contained *GbX* genes from Osteoglossiformes, Ostariophysi, Protacanthopterygii, and Acanthopterygii, whereas the other, named *GbX2b*, contained genes from Osteoglossiformes, Protacanthopterygii, and Acanthopterygii (fig. 4). There was also evidence of divergent retention of the two putative tsWGD paralogs in different osteoglossiform groups, as African butterflyfish (Pantodontidae) branched within the *GbX2b* clade, whereas other families branched within the *GbX2a* clade (fig. 4).

To add weight to our phylogenetic findings on the origin of *GbX2a* and *GbX2b*, we examined synteny in the genomic neighborhood of these genes in comparison to *GbX1* and *GbX2* (fig. 5). *GbX1* and *GbX2* appear on different chromosomes and do not share synteny in their immediate genomic neighborhoods (fig. 5). However, *GbX1* and *GbX2* orthologs from different species resided in regions of conserved synteny (fig. 5). Moreover, we observed evidence of double conserved synteny comparing *GbX2a* and *GbX2b* of teleosts with *GbX2* of spotted gar. These data also suggest that *GbX2a* and *GbX2b* arose in the common teleost ancestor, likely via the tsWGD event considering the scale of the duplicated region.

Finally, salmonid-specific paralogs of *GbX2a*, but not *GbX2b*, each coding full-length protein sequences, were recovered in our phylogenetic analyses (figs. 1 and 4). Both genes were located on different chromosomes in regions maintaining double-conserved synteny to the single *GbX2a* gene of northern pike (fig. 5), consistent with an origin through ssWGD. This conclusion is reinforced by the fact that *GbX2a1* and *a2* are each embedded within large duplicated blocks that share extensive collinearity among ssWGD paralogs (Lien et al. 2016).

Salmonid-Specific Ngb Paralogs

In our main phylogenetic analysis, the vertebrate Ngb clade diverges into two main groupings of tetrapods and ray-finned fish, with branching patterns matching expected relationships barring small exceptions, including the presence of coelacanth within the ray-finned fish clade (fig. 1). Within the ray-finned fish group, spotted gar branches as the sister group to teleosts, as expected (fig. 1). However, notably, African butterflyfish branches outside all other teleosts (posterior probability: 1.0), with remaining Osteoglossiformes lineages forming a separate monophyletic group (fig. 1). While we did not find any evidence for two Ngb duplicates retained from tsWGD in any single species, the strong support for the position of African butterflyfish might be interpreted as the retention of the alternative Ngb tsWGD duplicate comparing this species to other teleosts.

As mentioned, our main phylogenetic analysis recovered two Ngb paralogs (tentatively called *Ngb1* and *Ngb2*; each coding full-length proteins) in salmonids, conserved in both Atlantic salmon and rainbow trout (fig. 1). Based on the fact that these duplicates branched as a sister group to Ngb of northern pike, which did not undergo ssWGD, and are located



Fig. 4.—Bayesian phylogenetic analysis of vertebrate *GbX* sequences (520 aligned nucleotide sites; supplementary dataset S3, Supplementary Material online). Posterior probability support values >0.5 are shown for each reconstructed node. The position of ssWGD and tsWGD events are highlighted; putative teleost-specific *GbX* paralogs retained from tsWGD are highlighted by red (*GbX2a*) and red (*GbX2b*) branches. A supporting analysis of synteny around vertebrate *GbX* genes is provided in figure 5.

in verified duplicated ssWGD regions within the Atlantic salmon genome (Lien et al. 2016) in close proximity to *GbX2* paralogs (i.e., on Chr. 1 for *Ngb1* and Chr. 9 for *Ngb2*), we conclude their origin was via ssWGD.

Complex Evolution of Cygb Clade in Ray-Finned Fish

While *Cygb* exists as a single gene in tetrapods, cartilaginous fish and nonteleost ray-finned fish (Hoffman et al. 2011; Storz

et al. 2013), teleosts conserve two Cygb paralogs (*Cygb1* and *Cygb2*), that likely originated from tsWGD (Fuchs et al. 2005). In our analysis, while most teleost species retained two *Cygb* genes (or more in salmonids, see below), only one *Cygb* gene was recovered in elopomorphs, in addition to all osteoglossiform species barring African butterflyfish (which retains two *Cygb* genes coding full length proteins). However, in our main phylogenetic analysis, the branching of different Cygb clades



Fig. 5.—Synteny analysis of *GbX* genes from different vertebrate lineages, including *GbX2a* and *GbX2b* genes from teleosts. Each gene is shown as a colored arrow. A phylogeny is provided depicting the relationships of included *GbX* genes (after fig. 4). The position of ssWGD and tsWGD events are highlighted on this tree and putative teleost-specific *GbX* paralogs are highlighted by red (*GbX2a*) and red (*GbX2b*) branches. The chromosomal locations of *GbX* paralogs from Atlantic salmon and northern pike are provided.

did not match expectations of tsWGD (fig. 1). Specifically, several poorly supported groupings were recovered separating teleost Cygb1 and Cygb2 sequences into paraphyletic groups, with tetrapods branching as the sister to a group of ray-finned fish Cygb sequences, including both teleosts and lineages that did not experience tsWGD (fig. 1).

We performed an additional nucleotide phylogenetic analysis to gain better resolution surrounding the evolution of Cygb (supplementary fig. S1, Supplementary Material online). This approach was partially successful, as tetrapods and teleosts diverged as monophyletic groups and holostean fish branched as the earliest ray-finned fish clade (supplementary fig. S1, Supplementary Material online). Moreover, this tree included monophyletic Cygb clades for Elopomorpha and Osteoglossiformes that branched with strong support outside Cygb1 and Cygb2 clades largely represented by Clupeocephala lineages (supplementary S1, fiq. Supplementary Material online). Taken literally, these data do not support an ancestral divergence of Cygb1 and Cygb2, despite the fact that tsWGD is shared by all teleosts. One possible explanation for this finding comes from the suggestion that independent rediploidization outcomes (and hence paralog divergence) may have arisen frequently in the major different teleost subdivisions (Martin and Holland 2014). However, even this suggestion is weakened by the unexpected branching of a second Cygb gene from African butterflyfish within the Cygb2 clade (supplementary fig. S1, Supplementary Material online). Overall, we conclude that the phylogenetic signal within the ray-finned fish *Cygb* clade is too weak to resolve the evolution of this globin family member with respect to tsWGD.

However, as mentioned, novel Cygb paralogs coding fulllength proteins were identified in salmonid genomes, for both Cygb1 (tentatively named Cygb1a and Cygb1b) and Cygb2 (tentatively named Cygb2a and Cygb2b) as well for Cygb1 in common carp (tentatively named Cygb1X and Cygb1Y) (supplementary fig. S1, Supplementary Material online). The distinct nomenclature is suggested to reflect the lineagespecific origins of these Cyab paralogs. For salmonids, the genomic locations of Cygb1a and Cygb1b (on Chr. 2 and Chr. 12, respectively) and Cygb2a and Cygb2b (on Chr. 6 and Chr. 3, respectively) are embedded within verified duplicated regions of the Atlantic salmon genome retained from ssWGD (Lien et al. 2016). Taken with their branching as respective sister groups to the single copy Cygb1 and Cygb2 genes of northern pike, which did not undergo ssWGD, our data suggests the retention of four unique salmonid Cyab genes owing to ssWGD.

Great Diversity of Globin Family Member Expression across Ray-Finned Fish

The final goal of our study was to characterize globin gene family member expression phenotypes spanning the evolutionary history of ray-finned fish. We used qPCR to measure mRNA expression levels of all identified nonblood globin genes across an overlapping panel of tissues sampled from six species (from four distantly related lineages) acclimated under normoxia, including spotted gar as an outgroup to tsWGD (summarized in fig. 6; data for each species provided in supplementary Figs. S2–S7, Supplementary Material online). As part of our study design, we included two pairs of lineages where the ancestral vertebrate condition of high cardiac Mb expression (Macqueen et al. 2014; Opazo et al. 2015) was independently lost by distinct mechanisms (fig. 6), allowing downstream impacts on the expression of other globin genes to be explored. While the measured expression levels are not directly quantitatively comparable across species, it is informative to compare (i.e., across-species) the betweentissue expression levels of different globin family members quantified within each species. However, our data are representative of entire tissues, which limits inferences at the level of specific cell types. Moreover, an inherent limitation of comparing gene expression between species is the potential for cofounding effects of ontogeny, though all species included in our study were sampled at adult stages. Despite such provisos, our study nonetheless highlights an overall remarkable diversity in tissue globin expression in different fish lineages (fig. 6), which we describe and breakdown below.

Evolution of Globin Expression in Heart Muscle

In all species with red hearts (spotted gar, elephant nose, Atlantic salmon and European ruffe; see Macqueen et al. 2014), Mb was the most abundantly-expressed (by large magnitudes) globin in heart and other tissues (fig. 6; supplementary figs. S2, S4, S5, and S7, Supplementary Material online). This result is unsurprising and reflects the large mRNA population required to maintain a high abundance of oxygen-bound Mb protein in myocytes, which provides red pigmentation in heart muscle. Our data also independently confirms previous findings (Macqueen et al. 2014) that the pale-hearted African butterflyfish and three-spined stickleback have low and zero respective Mb mRNA levels in heart muscle (fig. 6; supplementary fig. S3 and S6, Supplementary Material online). A follow up question of interest was whether other globin family members might compensate for such losses of cardiac Mb expression?

In this respect, while the two pale-hearted species do not show a major upregulation of other tissue globin genes in heart (fig. 6), sticklebacks, which have lost the *Mb* gene entirely (Macqueen et al. 2014), expressed a level of *Cygb1* in heart similar to that observed in several other tissues (fig. 6e; supplementary fig. S6, Supplementary Material online). Conversely, in their close relative—European ruffe, which expresses high levels of cardiac *Mb*, *Cygb1* was not detectable in heart, despite being expressed in several other tissues (fig. 6f; supplementary fig. S7, Supplementary Material online). Given that the level of *Cygb1* heart expression in sticklebacks is minor compared with the expression of *Mb* in red-hearted species, it is unlikely to compensate for the classic role of *Mb* in facilitating oxygen transport into myocytes, as suggested following loss of *Mb* expression in Anuran (amphibian) hearts (Xi et al. 2007). Instead, the frequency with which high *Mb* expression has been lost in heart muscle during teleost evolution suggests that loss of classic *Mb* oxygen transport functions are widely tolerated among teleosts, including sticklebacks, for reasons that remain poorly characterized (Macqueen et al. 2014).

Nonetheless, it remains plausible that higher Cygb1 expression in sticklebacks vs. red-hearted relatives compensates for loss of Mb enzymatic functions, for example NO decomposition/production or ROS scavenging (Flögel et al. 2001; Hendgen-Cotta et al. 2008; Helbo et al. 2012), which were lost completely at the point of *Mb* pseudogenization. Equally, such roles could be compensated for by the low observed expression of Ngb in stickleback hearts (fig. 6e; supplementary fig. S6, Supplementary Material online), which was also observed in several other species, including ruffe (fig. 6). In this respect, such enzymatic roles are a recognized function of both Cygb1 and Ngb in zebrafish (Corti et al. 2016a). Interestingly, the pale-hearted African butterflyfish expresses higher levels of Cygb in heart than Mb (fig. 6b; supplementary fig. S3, Supplementary Material online). However, this is unlikely to represent compensatory expression, given that a functional Mb protein-coding gene is conserved in this species (Macqueen et al. 2014) and expressed at notable levels in several tissues (fig. 6b; supplementary fia. S3. Supplementary Material online) (see section below). Moreover, given that the closest relative of African butterflyfish included in our study, Peters' elephantnose fish, also expresses low levels of Cygb in heart, this might simply represent an ancestral condition in Osteoglossiformes or indeed all teleosts. However, it is notable that Cyab expression was not detected in spotted gar heart, (fig. 6a; supplementary fig. S2, Supplementary Material online), a finding in common with a cartilaginous fish, the elephant shark (Opazo et al. 2015).

Clearly, future work is required to better understand the functional implications of cardiac *Mb* loss in different species. In our view, the current evidence does not support a major compensatory remodeling of expression of other globin family members in heart, which fits with the idea that this gene can be dispensable under specific physiological, ecological and environmental circumstances (Sidell and O'Brien 2006; Macqueen et al. 2014).

Link between Mb Expression and Air-Breathing in Teleosts?

While African butterflyfish does not express high *Mb* levels in heart, it does show remarkably high levels in swim bladder higher than any other tissue globin across all examined tissues (fig. 6b; supplementary fig. S3, Supplementary Material



Fig. 6.—Summary of mRNA level globin family member gene expression analysis spanning ray-finned fish evolution. For each included species, acrosstissue expressions of all globin genes identified in our study are shown, along with a tree to depict globin family phylogenetic relationships. The area of each circle represents the mean expression level from different biological replicates (n = 4 or 5 per species). Each study species is shown, along with a phylogenetic tree of all included species, in a panel at the figures bottom right. The tree also shows two independent events in evolution where the ancestral condition of high *Mb* expression in heart muscle was lost via either downregulation of mRNA-level gene expression (*'Mb* \checkmark) or by pseudogenization (*Mb*) (after Macqueen et al. 2014). For spotted gar and Atlantic salmon, data is shown with and without inclusion of *Mb* expression data in heart, due to the obscuring effect of high expression on other visualized data. Individual data for all species and genes, including means +SD is provided in supplementary figs. S2–S7, Supplementary Material online. online). For illustration, the level of expression is within 1 Cg (gPCR cycle threshold) of that observed for RPL8, which codes a highly expressed ribosomal protein. While unconfirmed at the protein-level, it would be surprising if such high mRNA levels did not have physiological significance. This species is an obligate air-breather and uses a physostomous swim bladder as its primary respiratory organ (the ABO, or "air-breathing organ"; Graham 1997). Unfortunately, we did not originally sample the swim-bladder from the other species. Hence, to clarify if high Mb expression is associated with air breathing specifically, we measured the expression of Mb in the physostomous swim-bladder of rainbow trout, which is not used for respiration, along with other tissues for comparison (supplementary fig. S8, Supplementary Material online). In trout, Mb is expressed at similar levels in the swim-bladder and brain (supplementary fig. S8, Supplementary Material online), whereas in African butterflyfish the level of Mb in ABO is 75 times higher than in brain (supplementary fig. S3, Supplementary Material online). Moreover, the Mb mRNA level in trout swim-bladder is approximately 10 Cg values higher (~1,000 times more lowly expressed) than RPL8, in stark contrast to African butterflyfish (see above).

The African butterflyfish fills its ABO by gulping air from the atmosphere, before gases are exchanged with blood via a thin respiratory epithelium (Graham 1997). It is possible that Mb facilitates oxygen transport (e.g., from the ABO to blood), although this is counterintuitive given that Mb binds to oxygen more strongly than Hb. Alternatively, high Mb expression in ABO may perform enzymatic functions, for example in regulating NO or ROS (Flögel et al. 2001; Hendgen-Cotta et al. 2008; Helbo et al. 2012). Clearly, understanding the potential role of *Mb* in air breathing will require additional work beyond the scope of this study.

Evolution of Globin Expression in Brain

Our data allow us to consider the evolution of globin expression in the vertebrate brain, where several different genes are thought to have key functions (Burmester and Hankeln 2014). All studied fish expressed a diverse repertoire of brain globin mRNAs, but with notable differences among species (fig. 6). In spotted gar, *Ngb* and *Cygb* mRNA were each abundant and there was also expression of *GbX1* and *GbX2* (fig. 6a; supplementary fig. S2, Supplementary Material online). However, *GbY* was not detected in the gar brain (fig. 6a), which contrasts with the situation in elephant shark, which also expresses *Cygb* and *GbX* in brain, but lacks a *Ngb* gene, which was probably lost in a cartilaginous fish ancestor (Opazo et al. 2015).

Ngb was always less abundant in teleost brains (fig. 6), possibly reflecting an evolved change from the ancestral state for ray-finned fish. Nonetheless, we observed high diversity in the brain expression of different globins among teleosts (fig. 6). While *Cygb* genes were most highly expressed in

Acanthopterygii species (fig. 6e, f, supplementary figs. S6 and S7, Supplementary Material online) and African butterflyfish (fig. 6b; supplementary fig. S3, Supplementary Material online), GbX2a1 was most abundant in Atlantic salmon brain (fig. 6d; supplementary fig. S5, Supplementary Material online). In elephantnose fish, Mb was most abundant in brain and other tested globins were still present at relatively high levels (fig. 6c). Notably, in all species but elephantnose fish, Mb was the least expressed brain globin (fig. 6). The elephantnose belongs to Mormyridae, an osteoglossiform family that evolved an extraordinarily large brain demanding 60% of all O₂ consumption—a value that stands far and above all other vertebrates (Nilsson 1996). Thus, the high levels of *Mb* in the elephantnose brain may facilitate O₂ transport to mitochondria or perform enzymatic functions (e.g., to scavenge ROS). Elephantnose fish also has a high tolerance to hypoxia (Nilsson 1996), which matches our observation of high Mb expression across tissues beyond brain and heart (fig. 6c).

Further work is needed to understand the biology underlying such interspecific differences, but our data presumably reflects lineage-specific evolutionary variation in brain physiology, including differences in metabolism or hypoxia tolerance (Williams et al. 2008; Avivi et al. 2010).

Evolution of Globin Gene Duplicate Expression

Our study, including the first complete overview of tissueglobin expression in spotted gar and Atlantic salmon, allows us to consider divergence in the expression of globin duplicates following two different WGD events. It has been demonstrated elsewhere that Cygb paralogs of zebrafish are differentially expressed, with Cygb2 being more abundant in neural tissues (Fuchs et al. 2005). This differential expression pattern is observed in several species within our study, including from Acanthopterygii (fig. 6e and f; supplementary fig. S6 and S7, Supplementary Material online) and the African butterflyfish (fig. 6b; supplementary fig. S3, Supplementary Material online) and may have evolved in the teleost ancestor. However, in comparison to spotted gar, most teleosts express both Cygb duplicates more broadly across tissues (fig. 6), except for Atlantic salmon, where both Cygb1 genes are restricted to brain (fig. 6d). This is also the case for teleost-specific duplicates of GbX2. Additionally, while in spotted gar, the single copy GbX2 gene is lowly expressed under normoxia and brain-restricted, in teleosts, GbX2 genes tend to be more broadly expressed across tissues (fig. 6). Interestingly, in Atlantic salmon, the only tested species retaining GbX2 duplicates from tsWGD, there was evidence of divergent expression among tsWGD paralogs (fig. 6d). Specifically, while GbX2a and GbX2b had overlapping expression domains in tissues, GbX2b was expressed in additional tissues relative to its paralog (fig. 6d).

In Atlantic salmon, there was also evidence for either quantitative divergence in expression of salmonid-specific paralogs restricted to the same tissues (i.e., *Cygb1a* vs. *Cygb1b*), or extreme asymmetric divergence in expression (i.e. *Cygb2a* vs. *Cygb2b*, *GbX2a1* vs. *GbX2a2*, and *Ngb1* vs. *Ngb2*) with one paralog broadly expressed across tissues and the other undetected (fig. *6d*). However, each salmonid paralog that was not expressed (i.e., *Cygb2b*, *GbX2a2*, and *Ngb2*) codes a full-length protein (evidenced in supplementary dataset S2, Supplementary Material online), have complete intron–exon structures (not shown) and are also retained in rainbow trout (fig. 1). Therefore, they are evidently functional genes that have evolved specialized expression patterns, perhaps restricted to tissues/cells outside our investigation, or only induced under specific physiological conditions.

Clearly, more work will be required to better understand the functional divergence of teleost globin genes beyond this rudimentary tissue expression data. In this respect, we feel the recent discovery that zebrafish GbX plays an important role in NO generation in red blood cells (Corti et al. 2016b) will be particularly worthy of further investigation with respect to the three duplicated copies of *GbX2* retained in salmonid fish, where circulatory physiology is of great current interest.

GbY Expression in Spotted Gar

Finally, it is worth briefly comparing the expression of GbY in spotted gar with previous reports in elephant shark (Opazo et al. 2015), which may provide clues into the early evolution of this gene's functional role. We found the highest levels of GbY expression in spotted gar gill, followed by spleen (fig. 6a). However, in elephant shark, GbY expression was highest in intestine (a site where GbY was not expressed in spotted gar; fig. 6a) and found at much lower levels in spleen and gill (Opazo et al. 2015). While the relevance of these differences among lineages remains unclear, this data adds knowledge to a highly limited body of evidence concerning the functional role of GbY in vertebrates (Burmester and Hankeln 2014).

Conclusions

We have successfully demonstrated novel evolutionary diversity within the globin gene family of teleosts, including with respect to gene duplication and WGD events. We also provided evidence for a number of novel globin tissue expression sites. We hope that our findings and broadly encompassing expression data will stimulate ongoing attempts to characterize how diverse globin gene repertoires and tissue expression patterns have contributed to the unmatched natural physiological diversity of fishes.

Supplementary Material

Supplementary data are available at *Genome Biology and Evolution* online.

Author Contributions

Designed research: DJM. Performed experiments: MDG. Both authors analyzed and interpreted data, designed figures/ tables and wrote the manuscript.

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