# THE STRONG APPROXIMATION THEOREM AND COMPUTING WITH LINEAR GROUPS 

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#### Abstract

We obtain a computational realization of the strong approximation theorem. That is, we develop algorithms to compute all congruence quotients modulo rational primes of a finitely generated Zariski dense group $H \leq \mathrm{SL}(n, \mathbb{Z})$ for $n \geq 2$. More generally, we are able to compute all congruence quotients of a finitely generated Zariski dense subgroup of $\operatorname{SL}(n, \mathbb{Q})$ for $n>2$.


## 1. Introduction

The strong approximation theorem (SAT) is a milestone of linear group theory and its applications [17, Window 9]. It has come to play a similarly important role in computing with linear groups [4].

Let $H$ be a finitely generated subgroup of $\operatorname{SL}(n, \mathbb{Z})$ that is Zariski dense in $\operatorname{SL}(n, \mathbb{C})$. Then SAT asserts that $H$ is congruent to $\operatorname{SL}(n, p)$ for all but a finite number of primes $p \in \mathbb{Z}$. Therefore, we can describe the congruence quotients of $H$ modulo all primes. Moreover, we can describe the congruence quotients of $H$ modulo all positive integers if $n>2$ (see [4] Section 4.1]).

The congruence quotients of $H$ provide important information about $H$; especially when $H$ is arithmetic, i.e., of finite index in $\operatorname{SL}(n, \mathbb{Z})$. In that case, the set $\Pi(H)$ of all primes $p$ such that $H \not \equiv \operatorname{SL}(n, p)$ modulo $p$ is (apart from some exceptions for $p=2$ and $n \leq 4$ ) the set of primes dividing the level of $H$, defined to be the level of the unique maximal principal congruence subgroup in $H$ [5, Section 2]. If $H$ is thin, i.e., dense but of infinite index in $\operatorname{SL}(n, \mathbb{Z})$, then we consider the arithmetic closure $\operatorname{cl}(H)$ of $H$ : this is the intersection of all arithmetic groups in $\operatorname{SL}(n, \mathbb{Z})$ containing $H$ [5], Section 3]. Note that $\Pi(H)=\Pi(\operatorname{cl}(H))$ determines the level of $\operatorname{cl}(H)$ just as it does when $H$ is arithmetic. The level is a key component of subsequent algorithms for computing with arithmetic subgroups, such as membership testing and orbit-stabilizer algorithms [7].

In [5] Section 3.2] and [4], we developed algorithms to compute $\Pi(H)$ when $n$ is prime or $H$ has a known transvection. This paper presents a complete solution: practical algorithms to compute $\Pi(H)$ for arbitrary finitely generated dense $H \leq \mathrm{SL}(n, \mathbb{Z}), n \geq 2$. We also give a characterization of density that allows us to compute $\Pi(H)$ without preliminary testing of density (although this can certainly be done; see [5, Section 5] and [6]). Our methods extend in a straightforward manner to handle input $H \leq \operatorname{SL}(n, \mathbb{Q})$.

As in [4], we rely on the classification of maximal subgroups of $\operatorname{SL}(n, p)$. Specifically, we follow the proof of SAT in [17, Window 9, Theorem 10], which credits C. R. Matthews, L. N. Vaserstein, and B. Weisfeiler. In Section 2 we prove results about maximal subgroups of $\mathrm{SL}(n, p)$ that are

[^0]needed for the main algorithms. Then Section 3 provides methods to compute $\Pi(H)$ for dense $H \leq$ $\mathrm{SL}(n, \mathbb{Q})$. In Section 4 we outline the algorithms, and in Section 5 demonstrate their practicality.

We now fix some basic terms and notation. Let $S=\left\{g_{1}, \ldots, g_{r}\right\} \subseteq \operatorname{SL}(n, \mathbb{Q})$ and $H=\langle S\rangle$. Then $R$ is the ring (localization) $\frac{1}{\mu} \mathbb{Z}$ generated by the entries of the $g_{i}$ and $g_{i}^{-1}$; here $\mu$ is a positive integer. Note that $R$ depends only on $H$, not on the choice of generating set $S$ for $H$. For $m$ coprime to $\mu$, the congruence homomorphism $\varphi_{m}$ induced by natural surjection $\mathbb{Z} \rightarrow \mathbb{Z}_{m}=\mathbb{Z} / m \mathbb{Z}$ maps $\mathrm{SL}(n, R)$ onto $\mathrm{SL}\left(n, \mathbb{Z}_{m}\right)$. Let $\Pi(H)$ be the set of all primes $p$ (not dividing $\mu$ ) such that $\varphi_{p}(H) \neq \mathrm{SL}(n, p)$. Overlining will denote the image modulo a prime $p$ of an element of $R$ or a matrix or set of matrices over $R$. In particular, $\bar{H}=\langle\bar{S}\rangle=\varphi_{p}(H)$. If $\bar{h} \in \bar{H}$ is given as a word $\Pi_{i} \bar{g}_{j_{i}}^{e_{i}}$ in $\bar{S}$, then the 'lift' of $\bar{h}$ is its preimage $h=\Pi_{i} g_{j_{i}}^{e_{i}}$.

Throughout, $\mathbb{F}$ is a field, $\mathbb{F}_{p}$ is the field of size $p, \operatorname{Mat}(n, \mathbb{F})$ is the $\mathbb{F}$-algebra of $n \times n$ matrices over $\mathbb{F}$, and $1_{n} \in \operatorname{Mat}(n, \mathbb{F})$ is the identity matrix. We write $\langle G\rangle_{D}$ for the enveloping algebra of $G \leq \mathrm{GL}(n, \mathbb{F})$ over a subring $D \subseteq \mathbb{F}$.

## 2. MAXIMALITY OF SUBGROUPS IN $\operatorname{SL}(n, p)$

Let $G \leq \operatorname{SL}(n, p)$. We show how to recognize when $G$ is not in any maximal subgroup of $\mathrm{SL}(n, p)$, i.e., when $G=\mathrm{SL}(n, p)$. Our approach, which characterizes maximal subgroups by means of the adjoint representation, is motivated by [17, Window 9, Section 2].

We identify the adjoint module for $\operatorname{SL}(n, \mathbb{F})$ with the $\mathbb{F}$-space

$$
\mathfrak{s l}(n, \mathbb{F})=\{x \in \operatorname{Mat}(n, \mathbb{F}) \mid \operatorname{trace}(x)=0\}
$$

of dimension $n^{2}-1$ on which $\operatorname{SL}(n, \mathbb{F})$ acts by conjugation. Let ad : $\mathrm{SL}(n, \mathbb{F}) \rightarrow \mathrm{GL}\left(n^{2}-1, \mathbb{F}\right)$ be the corresponding linear representation.

The set of maximal subgroups of $\operatorname{SL}(n, p)$ is the union of Aschbacher classes $\mathscr{C}_{1}, \ldots, \mathscr{C}_{8}, \mathscr{S}$ (see [1] and [17] p. 397]). The classes $\mathscr{C}_{4}$ and $\mathscr{C}_{7}$ involve tensor products, for which we adopt the following convention. If $H_{1} \leq \operatorname{GL}(a, \mathbb{F})$ and $H_{2} \leq \mathrm{GL}(b, \mathbb{F})$ then $H_{1} \times H_{2}$ acts on $\mathbb{F}^{a} \otimes \mathbb{F}^{b}$. The associated matrix representation of degree $a b$ has $\left(h_{1}, h_{2}\right) \in H_{1} \times H_{2}$ acting as the matrix Kronecker product $h_{1} \dot{\times} h_{2}$. The group generated by these Kronecker products is denoted $H_{1} \otimes H_{2}$.

Proposition 2.1. Let $G$ be a proper absolutely irreducible subgroup of $\operatorname{SL}(n, p)$ such that $\operatorname{ad}(G)$ is irreducible. Then $G$ lies in a maximal subgroup in $\mathscr{C}_{6} \cup \mathscr{S}$.

Proof. Since $G$ is absolutely irreducible, it cannot be in a subgroup in $\mathscr{C}_{1}$. Class $\mathscr{C}_{5}$ is irrelevant over a field of prime size. For each of the remaining Aschbacher classes other than $\mathscr{C}_{6}$ or $\mathscr{S}$, we identify a proper submodule $T$ of the adjoint module $A$ for $\operatorname{SL}(n, p)$.
$\mathscr{C}_{2}$. A maximal subgroup lies in $W=\operatorname{GL}(a, p)$ 亿 $S_{b}$ with $n=a b$. Let $T \leq A$ be the subspace spanned by block matrices with $b$ blocks from $\left\{1_{a}, 0_{a},-1_{a}\right\}$ and zero trace. Clearly $T$ is preserved under conjugation by $W$ and has dimension $b-1$.
$\mathscr{C}_{3}$. A maximal subgroup here has a normal subgroup $N \cong \operatorname{SL}\left(a, p^{b}\right)$ with $n=a b, 1<a, b<$ $n$. Each 'entry' of $N$ is a $b \times b$ submatrix. The set of matrices in the center of $N$ with trace 0 is a proper submodule of $A$.
$\mathscr{C}_{4}$. A maximal subgroup $L$ is $\operatorname{SL}(a, p) \otimes \mathrm{SL}(b, p)$ for some $a, b<n$ such that $n=a b$. If $x \in \mathfrak{s l}(a, p)$ and $y=x \dot{\times} 1_{b}$ then $\operatorname{trace}(y)=0$ and thus $y \in A$. Let $T$ be the space spanned by all such products. Then $L$ acts on $T$ by the adjoint action of the $\operatorname{SL}(a, p)$-part of elements on the $x$-components of such products. Thus $T \leq A$ is invariant under $L$, so is a proper submodule of $A$.
$\mathscr{C}_{7}$. We use an argument similar to the preceding one. Here a maximal subgroup is generated by $\operatorname{Sym}(b)$ and $\operatorname{SL}(a, p) \otimes \cdots \otimes \operatorname{SL}(a, p)$ with $b$ factors, where $n=a^{b}$ and $1<a, b<n$. Let $T$ be the subspace of $A$ spanned by all Kronecker products of length $b$ with every factor $1_{a}$ except for one, drawn from the adjoint module of $\operatorname{SL}(a, p)$. Then $T$ is invariant under action by the maximal subgroup.
$\mathscr{C}_{8}$. A maximal subgroup that stabilizes a form preserves its own adjoint module (see, e.g., [17, p. 398] or [11, Section 1.4.3]), which cannot be $A$.

Remark 2.2. (Cf. [17, p. 392].) Even if $\operatorname{ad}(G)$ is absolutely irreducible, $G$ could still be in a maximal subgroup in $\mathscr{C}_{6}$. For example, $\operatorname{SL}(8,5)$ contains the maximal subgroup $4 \circ 2^{1+6} \cdot \operatorname{Sp}_{6}(2) \in \mathscr{C}_{6}$ which acts absolutely irreducibly on $A$; see [3, p. 399].
Theorem 2.3. There exists a function $f$, depending only on the degree $n$, such that $|G| \leq f(n)$ for any proper absolutely irreducible subgroup $G$ of $\operatorname{SL}(n, p)$ such that $\operatorname{ad}(G)$ is irreducible.
Proof. (Cf. [17] p. 398]). By [3, Section 2.2.6], $L \leq \mathrm{SL}(n, p)$ in $\mathscr{C}_{6}$ has order bounded by a function of $n$ only. By Proposition 2.1, then, let $L \in \mathscr{S}$. That is, $L=N_{\mathrm{SL}(n, p)}(K)$ with $K \leq \mathrm{SL}(n, p)$ simple non-abelian and $C_{L}(K)=\left\langle 1_{n}\right\rangle$. As $L$ is embedded in $\operatorname{Aut}(K)$, a bound on $|K|$ implies a bound on $|L|$.

By the classification of finite simple groups, $K$ can be alternating, or of Lie type, or sporadic. Sporadic groups are of course bounded in order.

If $K \cong \operatorname{Alt}(k)$ then [9, Theorem 5.7A, corrected] shows that $n \geq \frac{2 k-6}{3}$; i.e., for fixed $n$, the permutation degree $k$ and hence $|K|$ is bounded.

Now let $K=Y_{l}\left(r^{e}\right)$ for a Lie class $Y$, Lie rank $l$, and $r$ prime. If $r \neq p$ then [22, Table 1] gives lower bounds for the smallest coprime degree $n$ in which $K$ has a faithful projective representation. These bounds are functions $a\left(l, r^{e}\right)$, independent of $p$, such that $a\left(l, r^{e}\right) \rightarrow \infty$ as $l \rightarrow \infty$ or $r^{e} \rightarrow \infty$. Thus, in bounded degree $n$, only a finite number (up to isomorphism) of groups $Y_{l}\left(r^{e}\right)$ are candidates for $K$.

If $r=p$ then [17, p. 398] shows that $K$ and $L$ must be in a proper connected algebraic subgroup, and so do not act irreducibly on the adjoint module $A$.
Corollary 2.4. Let $G \leq \mathrm{SL}(n, p)$, and let $f(n)$ be as in Theorem 2.3. If $\operatorname{ad}(G)$ is absolutely irreducible and $|G|>f(n)$ then $G=\mathrm{SL}(n, p)$.

Proof. Working over the algebraic closure of $\mathbb{F}_{p}$, suppose that $G$ is block upper triangular with main diagonal $\left(G_{1}, G_{2}\right)$ where $G_{i}$ has degree $n_{i}<n$. Then $\operatorname{ad}(G)$ leaves invariant the subspace of the adjoint module consisting of all block upper triangular matrices with main diagonal ( $x, 0_{n_{2}}$ ), where $\operatorname{trace}(x)=0$. Hence $G$ must be absolutely irreducible. By Theorem 2.3, $G=\mathrm{SL}(n, p)$.
Remark 2.5. Theorem 2.3 and Corollary 2.4 remain valid if we let $f(n)$ be a bound on $\exp (G)$, or a bound on the largest order of an element of $G$.

Using the formulae for the smallest representation degree of alternating groups, and of Lie-type groups in cross-characteristic, it would be possible to give a rough upper estimate of $f(n)$. We do not attempt this. In Section 5.1, we instead use the tables of [3, Chapter 8] to give tight values for $f(n)$ in degrees $n \leq 12$, extending the values in [4] Remark 3.3].

## 3. REALIZING STRONG APPROXIMATION COMPUTATIONALLY

Let $H$ be a dense subgroup of $\mathrm{SL}(n, R), R=\frac{1}{\mu} \mathbb{Z}$. By Corollary 2.4 and Remark 2.5 , if $\operatorname{ad}\left(\varphi_{p}(H)\right)$ is absolutely irreducible and $f(n)$ is exceeded by $\varphi_{p}(H)$, then $\varphi_{p}(H)=\operatorname{SL}(n, p)$.

This result, and a well-known equivalent statement of density, comprise the background for our main algorithm.

Input groups for all the algorithms are finitely generated. Sometimes we write input as a finite generating set, or as the group itself.
3.1. Preliminaries. We start by giving two auxiliary procedures.
3.1.1. Bounded order test. The first auxiliary procedure is a slight generalization of the one in [4, Section 2.1].

Lemma 3.1. If $k$ is a positive integer and $H \leq \mathrm{GL}(n, R)$ is infinite, then $\varphi_{p}(H)$ has an element of order greater than $k$ for almost all primes $p$.

Proof. The proof is the same as in [4, Section 2.1].
Lemma 3.2. Suppose that $H \leq \mathrm{SL}(n, R)$ and $\varphi_{p}(H)=\mathrm{SL}(n, p)$ for some prime $p$. If $n \geq 3$ or $p>2$ then $H$ is infinite.

Proof. See [4] Lemma 2.1]; a finite subgroup of $\operatorname{SL}(n, R)$ can be conjugated into $\operatorname{SL}(n, \mathbb{Z})$.
The procedure PrimesForOrder $(H, k)$ accepts an infinite subgroup $H \leq \mathrm{GL}(n, R)$ and a positive integer $k$, and returns the finite set of all primes $p$ such that $\varphi_{p}(H)$ has maximal element order at most $k$. This output obviously contains all primes $p$ such that $\left|\varphi_{p}(H)\right| \leq k$.
3.1.2. Testing absolute irreducibility. For this subsection, we refer to [8, p. 401] and [5, Section 3.2].

Let $N$ be the normal closure $\langle X\rangle^{H}$ where $X$ is a finite subset of a finitely generated group $H \leq$ $\mathrm{GL}(n, \mathbb{F})$. The procedure BasisAlgebraClosure $(X, S)$ computes a basis $\left\{A_{1}, \ldots, A_{m}\right\}$ of $\langle N\rangle_{\mathbb{F}}$, thereby deciding whether $N$ is absolutely irreducible, i.e., whether $m=n^{2}$.

The procedure PrimesForAbsIrreducible from [4, Section 2.2] will operate in the same way for absolutely irreducible $H \leq \mathrm{GL}(n, R)$ : it accepts a generating set $S$ of $H$, and returns the (finite) set of primes $p$ such that $\varphi_{p}(H)$ is not absolutely irreducible. The first step is to compute a basis of $\langle H\rangle_{\mathbb{Q}}$. By making a small adjustment, we get PrimesForAbsIrreducible $(X, S)$; for absolutely irreducible $N=\langle X\rangle^{H}$, it returns the primes $p$ such that $\varphi_{p}(N)$ is not absolutely irreducible.

If $\bar{H}=\varphi_{p}(H)$ is absolutely irreducible (e.g., $\bar{H}=\operatorname{SL}(n, p)$ ) and $\left\{\bar{A}_{1}, \ldots, \bar{A}_{n^{2}}\right\}$ is a basis of $\langle\bar{H}\rangle_{\mathbb{F}_{p}}$, then $H$ is absolutely irreducible and $\left\{A_{1}, \ldots, A_{n^{2}}\right\}$ is a basis of $\langle H\rangle_{\mathbb{Q}}$. Thus, we can simplify PrimesForAbsIrreducible by computing a basis of the enveloping algebra over a finite field and then lifting it to a basis of $\langle H\rangle_{\mathbb{Q}}$ (cf. [4], Section 2.2]).
3.2. Density and strong approximation. Now we give elementary proofs of some properties of dense groups, including strong approximation (cf. [16], [17, Theorem 9, p. 396], and [4, Corollary 3.10]).

The following is fundamental.
Proposition 3.3 ([21], p. 22]). A subgroup $H$ of $\operatorname{SL}(n, \mathbb{C})$ is dense if and only if $H$ is infinite and $\operatorname{ad}(H)$ is absolutely irreducible.

Let $H$ be a finitely generated subgroup of $\operatorname{SL}(n, R)$.
Lemma 3.4. $\varphi_{p}(\operatorname{ad}(H))=\operatorname{ad}\left(\varphi_{p}(H)\right)$ for all primes $p$ (coprime to $\mu$ ).

Corollary 3.5. If $\operatorname{ad}(H)$ is absolutely irreducible then $\operatorname{ad}\left(\varphi_{p}(H)\right)$ is absolutely irreducible for almost all primes $p$.

Lemma 3.6. If $\varphi_{p}(H)=\mathrm{SL}(n, p)$ then $\operatorname{ad}(H)$ is absolutely irreducible.
Proof. By Lemma 3.4. $\varphi_{p}(\operatorname{ad}(H))=\operatorname{ad}(\operatorname{SL}(n, p))$. Since the latter is absolutely irreducible, its preimage ad $(H)$ is too.

Proposition 3.7. The following are equivalent.
(i) $H$ is dense.
(ii) $H$ surjects onto $\mathrm{SL}(n, p)$ for almost all primes $p$.
(iii) $H$ surjects onto $\mathrm{SL}(n, p)$ for some prime $p>2$.

Proof. Suppose that (i) holds. Then by Lemma 3.1, Proposition 3.3, and Corollary 3.5, $\operatorname{ad}\left(\varphi_{p}(H)\right)$ is absolutely irreducible and $\left|\varphi_{p}(H)\right|>f(n)$ for almost all primes $p$. By Corollary $2.4, \varphi_{p}(H)=$ SL $(n, p)$ for such $p$.

Suppose that (iii) holds. By Lemma 3.6, $\mathrm{ad}(H)$ is absolutely irreducible, and by Lemma 3.2. $H$ is infinite. Therefore $H$ is dense by Proposition 3.3

## 4. The main algorithms

In this section we combine results from Sections 2 and 3 to obtain the promised algorithms to compute $\Pi(H)$ for dense groups $H$. These consist of the main procedure, a variation aimed at improved performance, and an alternative that could be preferable in certain degrees.

Our main procedure, based on Corollary 2.4, follows.
PrimesNonSurjectiveSL
Input: a finite generating set of a dense group $H \leq \mathrm{SL}(n, R)$.
Output: $\Pi(H)$.

1. $\mathcal{P}:=$ PrimesForOrder $(H, f(n)) \cup$ PrimesForAbsIrreducible $(\operatorname{ad}(H))$.
2. Return $\left\{p \in \mathcal{P} \mid \varphi_{p}(H) \neq \operatorname{SL}(n, p)\right\}$.

Step 2 is performed via standard methods for matrix groups over finite fields (e.g., as in [18]).
Proposition 4.1. PrimesNonSurject iveSL returns $\Pi(H)$ for dense input $H$.
Proof. Proposition 3.3 implies that Step 1 terminates. Then $\varphi_{p}(H)=\mathrm{SL}(n, p)$ for any $p \notin \mathcal{P}$ by Corollary 2.4 and Lemma 3.4
4.1. Testing irreducibility. Testing absolute irreducibility of $\operatorname{ad}(H)$ for $H$ of degree $n$ entails computation in degree about $n^{4}$, which is comparatively expensive. However, Theorem 2.3 offers a way to bypass this test. That is, we adapt Meataxe ideas [13, 20] to determine all primes modulo which the adjoint representation is merely reducible. For simplicity, the discussion will be restricted to $R=\mathbb{Z}$.

Recall the following special case of Norton's criterion for the natural module $V$ of a matrix algebra $\mathcal{A}$.

Suppose that $B \in \mathcal{A}$ has $\operatorname{rank} \operatorname{rk}(B)=n-1$. Assume that $v \mathcal{A}=V$ for some non-zero $v$ in the nullspace of $B$, and $\mathcal{A} w=V^{\perp}$ and for some non-zero $w^{\top}$ in the nullspace of $B^{\top}$. Then $V$ is irreducible.
Now let $\mathcal{A} \subseteq \operatorname{Mat}(n, \mathbb{Q})$ be a $\mathbb{Z}$-algebra, and suppose that the following hold.
(1) We have found $B \in \mathcal{A}$ such that $\operatorname{rk}(B)=n-1$.
(2) For a non-zero $v$ in the nullspace of $B$, the $\mathbb{Z}$-span $v \mathcal{A}$ contains $n$ linearly independent vectors $v_{1}, \ldots, v_{n}$.
(3) For a non-zero $w^{\top}$ in the nullspace of $B^{\top}$, there are $n$ linearly independent vectors $w_{1}, \ldots$, $w_{n} \in \mathcal{A} w$.

Norton's criterion, applied to the above configuration modulo $p$, shows that $\varphi_{p}(\mathcal{A})$ is irreducible unless

$$
\begin{aligned}
& \operatorname{rk}\left(\varphi_{p}(B)\right)<n-1, \text { or } \\
& \varphi_{p}\left(v_{1}\right), \ldots, \varphi_{p}\left(v_{n}\right) \text { are linearly dependent, or } \\
& \varphi_{p}\left(w_{1}\right), \ldots, \varphi_{p}\left(w_{n}\right) \text { are linearly dependent. }
\end{aligned}
$$

To find (a finite superset of) the set of primes $p$ for which $\varphi_{p}(\mathcal{A})$ is reducible, we form the union of three sets, namely the prime divisors of $\operatorname{det}\left(M_{1}\right), \operatorname{det}\left(M_{2}\right)$, and $\operatorname{det}\left(M_{3}\right)$, where
$M_{1}$ is a full rank $(n-1) \times(n-1)$ minor of $B$ (modulo other primes, $B$ has rank $n-1$ ), $M_{2}$ is the matrix with rows $v_{1}, \ldots, v_{n}$ (modulo other primes, $v$ spans the whole module), $M_{3}$ is the matrix with rows $w_{1}, \ldots, w_{n}$.
To make this into a concrete test PrimesForIrreducible, let $\mathcal{A}=\langle\operatorname{ad}(H)\rangle_{\mathbb{Z}}$. Take a small number (say, 100) of random $\mathbb{Z}$-linear combinations $B \in \mathcal{A}$ until a $B$ of rank $n-1$ is detected. Although we do not have a justification that such elements occur with sufficient frequency, they seem to (as observed in [19]); in every experiment so far we found such a $B$. (Also note that there are irreducible $H$ such that $\langle H\rangle_{\mathbb{Q}}$ does not have an element of rank $n-1$; but if $H$ is absolutely irreducible then such elements always exist.)

We now state a version of PrimesNonSurjectiveSL that may have improved performance in many situations (see Section 5).

PrimesNonSurjectiveSL, modified.

1. If PrimesForIrreducible confirms that $\operatorname{ad}(H)$ is irreducible then

$$
\begin{aligned}
& \mathcal{P}:= \text { PrimesForOrder }(H, f(n)) \cup \text { PrimesForAbsIrreducible }(H) \\
& \cup \text { PrimesForIrreducible }(\operatorname{ad}(H)) ; \\
& \text { else } \\
& \quad \mathcal{P}:= \text { PrimesForOrder }(H, f(n)) \cup \text { PrimesForAbsIrreducible }(\operatorname{ad}(H)) . \\
& \text { 2. Return }\left\{p \in \mathcal{P} \mid \varphi_{p}(H) \neq \operatorname{SL}(n, p)\right\} .
\end{aligned}
$$

else

Proposition 4.2. The above modification of PrimesNonSurjectiveSL terminates, returning $\Pi(H)$ for input dense $H$.

Proof. This follows from Theorem 2.3 and Proposition 4.1 .
Remark 4.3. Suppose that PrimesForIrreducible completes, i.e., $\operatorname{ad}(H)$ is confirmed to be irreducible. Then $H$ is dense if it is infinite and absolutely irreducible. This gives a more efficient density test than the procedure IsDenseIR2 in [6].
4.2. Individual Aschbacher classes. Some Aschbacher classes may not occur in a given degree. For example, the tensor product classes $\mathscr{C}_{4}$ and $\mathscr{C}_{7}$ are empty in degree 4 . Consonant with the approach of [4], we show how to determine the primes $p$ such that $\varphi_{p}(H)$ lies in a group in $\mathscr{C}_{i} \notin$ $\left\{\mathscr{C}_{4}, \mathscr{C}_{7}, \mathscr{S}\right\}$, using tests that do not involve $\operatorname{ad}(H)$. The following is vital.

Lemma 4.4. Let $H \leq \mathrm{SL}(n, \mathbb{Q})$ be dense. If $N \unlhd H$ is non-scalar then $N$ is dense, thus absolutely irreducible.

Proof. This follows from Proposition 3.7 since $N$ is non-scalar, $\varphi_{p}(N)$ is a normal non-scalar subgroup of $\operatorname{SL}(n, p)$ for almost all primes $p$.
4.2.1. Testing imprimitivity. Suppose that $H \leq \mathrm{GL}(n, \mathbb{F})$ is imprimitive, so $H \leq \mathrm{GL}(a, \mathbb{F})$ z $\operatorname{Sym}(b)$ for some $a, b>1$ such that $n=a b$. If $\operatorname{Sym}(b)$ has exponent $k$ then $\left\langle h^{k}: h \in H\right\rangle \leq$ $\mathrm{GL}(a, \mathbb{F})^{b}$ is reducible. Hence we have the following procedure.

PrimesForPrimitive
Input: dense $H=\langle S\rangle \leq \mathrm{SL}(n, \mathbb{Q})$.
Output: the set of primes $p$ for which $\varphi_{p}(H)$ is imprimitive.

1. Select $h \in H$ such that $h^{e}$ is non-scalar, where $e=\exp (\operatorname{Sym}(n))$.
2. $\mathcal{P}:=$ PrimesForAbsIrreducible $\left(h^{e}, S\right)$.
3. Return all $p \in \mathcal{P}$ such that $\varphi_{p}(H)$ is imprimitive.

Once more [18] is used in implementing the last step. Lemma 4.4 guarantees termination and correctness of the output.

If we happen to know a prime $p$ such that $\varphi_{p}(H)=\mathrm{SL}(n, p)$, then PrimesForPrimitive simplifies in the familiar way (i.e., by computing in a congruence image and then lifting).

PrimesForPrimitive, modified.

1. Let $p$ be a prime for which $\varphi_{p}(H)=\mathrm{SL}(n, p)$.
2. Find $n^{2}$ elements $h_{i} \in H$ such that the $\varphi_{p}\left(h_{i}^{k}\right)$ span $\operatorname{Mat}\left(n, \mathbb{F}_{p}\right)$, where $k:=\exp (\operatorname{Sym}(n))$.
3. Return all $p \in$ PrimesForAbsIrreducible $\left(h_{1}^{k}, \ldots, h_{n^{2}}^{k}\right)$ such that $\varphi_{p}(H)$ is imprimitive.

The $h_{i}$ exist by Step 1 and Lemma 4.4
4.2.2. Testing for field extensions. The second derived subgroup $G^{(2)}$ of $G \in \mathscr{C}_{3}$ is quasisimple and reducible (see [3] p. 66] and [14, §4.3]). Accordingly, PrimesForReducibleSecondDerived selects a non-scalar double commutator $g$ in the dense group $H$ then returns PrimesForAbsIrreducible $(g, S)$. By Lemma 4.4, this will yield all primes modulo which $H$ is in a group in $\mathscr{C}_{3}$.

If we know a prime $p$ such that $\varphi_{p}(H)=\mathrm{SL}(n, p)$ then PrimesForReducibleSecondDerived can be modified along the lines of our modification of PrimesForPrimitive. We search for double commutators (rather than $k$ th powers) in $\varphi_{p}(H)$ that span $\operatorname{Mat}\left(n, \mathbb{F}_{p}\right)$; these exist because $\varphi_{p}(H)=\mathrm{SL}(n, p)$ is perfect (if $n>2$ or $p>3$ ).
4.2.3. Excluding classes. For prime $n$ or $n=4$, the results of Sections 4.2.1 and 4.2.2, together with those of [4], enable us to avoid $\operatorname{ad}(H)$ in computing $\Pi(H)$. We use the procedures below to rule out individual Aschbacher classes in those degrees.

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\(\mathscr{C}_{1}\) : PrimesForAbsIrreducible.
\(\mathscr{C}_{2}\) : PrimesForPrimitive.
\(\mathscr{C}_{3}\) : PrimesForReducibleSecondDerived.
\(\mathscr{C}_{6}, \mathscr{S}\) : PrimesForOrder.
\(\mathscr{C}_{8}\) : PrimesForSimilarity, as in [4, Section 2.5].
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## 5. EXPERIMENTS

Our algorithms have been implemented in GAP [10], enhancing previous functionality for computing with dense groups [6]. The software can be accessed at http: / /www.math. colostate. edu/~hulpke/arithmetic.g

We report on experiments undertaken with the implementation. One major task is computing all congruence quotients of a finitely generated dense group $H \leq \mathrm{SL}(n, \mathbb{Z})$ from $\Pi(H)$, as explained in [4] Section 4.1].
5.1. Explicit order bounds. We will let $f(n)$ be a bound on the largest element order for the absolutely irreducible groups of degree $n$ in $\mathscr{C}_{6} \cup \mathscr{S}$ that are irreducible in their adjoint representation. The tables in [3] Section 8] furnish bounds for $n \leq 12$. We construct an example of each such group in $\mathscr{C}_{6} \cup \mathscr{S}$ using the MAGMA [2] implementation that accompanies [3]. Then we use GAP to calculate conjugacy class representatives and their orders.

For completeness, Table 1 gives maximal subgroup order, maximal element order, and the least common multiple of exponents. The column 'Geometric' lists the number $i$ of each Aschbacher class $\mathscr{C}_{i}$ that can occur.

We include, for degrees $n \in\{3,4,5,7,11\}$, the element order bounds from [4] for all groups in $\mathscr{C}_{6} \cup \mathscr{S}$. The rows with these bounds have $n \mathscr{S}$ in the Degree column. For $n=3,4,5$ the bounds agree, and so we have omitted the row beginning with $n$.

| Degree | Geometric | Group Order | Element order | Exponent lcm |
| :--- | :---: | ---: | ---: | ---: |
| $3 \mathscr{S}$ | $1,2,3,6,8$ | 1080 | 21 | 1260 |
| $4 \mathscr{S}$ | $1,2,3,6,8$ | 103680 | 36 | 2520 |
| $5 \mathscr{S}$ | $1,2,3,6,8$ | 129600 | 60 | 3960 |
| 6 | $1,2,3,4,8$ | 39191040 | 60 | 2520 |
| 7 | $1,2,3,6,8$ | 115248 | 56 | 168 |
| $7 \mathscr{S}$ |  | 115248 | 84 | 168 |
| 8 | $1,2,3,4,6,8$ | 743178240 | 120 | 5040 |
| 9 | $1,2,3,6,7,8$ | 37791360 | 90 | 360 |
| 10 | $1,2,3,4,8$ | 4435200 | 120 | 9240 |
| 11 | $1,2,3,6,8$ | 244823040 | 198 | 637560 |
| $11 \mathscr{S}$ |  | 244823040 | 253 | 637560 |
| 12 | $1,2,3,4,8$ | 5380145971200 | 156 | 360360 |

Table 1. Order bounds in small degrees

### 5.2. Implementation and experimental results.

5.2.1. Triangle groups. Let $\Delta(3,3,4)$ be the triangle group $\left\langle a, b \mid a^{3}=b^{3}=(a b)^{4}=1\right\rangle$. In [15, Theorem 1.1], a four-dimensional real representation of $\Delta(3,3,4)$ is defined by

$$
\rho_{k}(a)=\left(\begin{array}{cccc}
k\left(3-4 k+4 k^{2}\right) & -1-4 k-8 k^{2}+16 k^{3}-16 k^{4} & 0 & 0 \\
1-k+k^{2} & -1-3 k+4 k^{2}-4 k^{3} & 0 & 0 \\
k\left(1-2 k+2 k^{2}\right) & -3-4 k-2 k^{2}+8 k^{3}-8 k^{4} & 1 & 0 \\
2\left(1-k+k^{2}\right) & -2\left(1+2 k-4 k^{2}+4 k^{3}\right) & 0 & 1
\end{array}\right)
$$

$$
\rho_{k}(b)=\left(\begin{array}{rrrr}
1 & 0 & -4 & 0 \\
0 & 1 & 0 & -1 \\
0 & 0 & -1 & -1 \\
0 & 0 & 1 & 0
\end{array}\right)
$$

Let $H(k)=\left\langle\rho_{k}(a), \rho_{k}(b)\right\rangle$. If $k \in \mathbb{Z}$ then $H(k) \leq \operatorname{SL}(4, \mathbb{Z})$.
Let $F(k)$ be the image under $\rho_{k}$ of $\left\langle[a, b],\left[a, b^{-1}\right]\right\rangle$. Calculations by D. F. Holt (personal communication) using kbmag [12] establishes that the latter is a free subgroup of $\Delta(3,3,4)$. All groups $H(k)$ (resp. $F(k)$ ) are 2 -generated, and of the same structure; as $k$ varies we are just changing the size of matrix entries. Note that the entries of the generators of $F(k)$ have roughly twice the number of digits as those of $H(k)$. Our experiments justify that $H(k), F(k)$ are dense (for $H(k)$ this follows independently from [15]), and non-arithmetic, i.e., thin. As $\mathscr{C}_{4}$ and $\mathscr{C}_{7}$ do not figure in degree 4, the algorithm from Section 4.2 can be utilized here. This will illustrate the benefit of the improvements in Sections 4.1 and 4.2 .

In Table 2, $M$ is the level of $\operatorname{cl}(H)$ and 'Index' is $|\mathrm{SL}(4, \mathbb{Z}): \operatorname{cl}(H)|$. We remark that computing $\Pi(H), M$, and indices is not possible with our previous methods [4, 5]. Other columns give runtimes in seconds on a 3.7 GHz Xeon E5 ( 2013 MacPro ). Column $t_{A}$ gives the runtime of PrimesNonSurjectiveSL. Column $t_{I}$ gives the time of the Meataxe-based algorithm from Section 4.1 Due to the randomized nature of the Meataxe calculations, timings turned out to be variable. Consequently we give a timing of ten experiments and list minimum, maximum, and average runtime in the format min-max; average. Column $t_{B}$ gives runtimes of the algorithm in Section 4.2 (computing $\Pi(H)$ without $\operatorname{ad}(H)$ ), and the final column $t_{M}$ is runtime to compute $M$ and Index from $\Pi(H)$.

| $H$ | $M$ | Index | $t_{A}$ | $t_{I}$ | $t_{B}$ | $t_{M}$ |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: |
| $H(1)$ | $2^{5} 7^{2}$ | $2^{41} 3^{3} 5^{3} 7^{6} 19$ | 63 | $7-69 ; 27$ | 4 | 7 |
| $H(2)$ | $2^{3} 313$ | $2^{17} 3^{2} 5^{2} 13 \cdot 97 \cdot 101 \cdot 181^{2}$ | 54 | $10-104 ; 30$ | 7 | 1373 |
| $H(3)$ | $2^{5} 7 \cdot 199$ | $2^{43} 3^{6} 5^{3} 7 \cdot 11 \cdot 19 \cdot 13267 \cdot 19801$ | 62 | $9-90 ; 43$ | 7 | 334 |
| $H(4)$ | $2^{3} 7 \cdot 607$ | $2^{21} 3^{5} 5^{5} 7 \cdot 13 \cdot 19 \cdot 101 \cdot 7369 \cdot 9463$ | 90 | $22-65 ; 37$ | 19 | 5938 |
| $H(5)$ | $2^{5} 5^{2} 409$ | $2^{44} 3^{3} 5^{6} 17 \cdot 31 \cdot 55897 \cdot 83641$ | 73 | $13-107 ; 48$ | 11 | 2883 |
| $H(6)$ | $2^{3} 7 \cdot 31 \cdot 97$ | $2^{27} 3^{7} 5^{5} 7 \cdot 13 \cdot 19 \cdot 37$ | 85 | $14-144 ; 63$ | 7 | 308 |
|  |  | $\cdot 331 \cdot 941 \cdot 3169$ |  |  |  |  |
| $H(10)$ | $2^{3} 5^{2} 7 \cdot 919$ | $2^{26} 3^{8} 5^{8} 7^{2} 13 \cdot 17 \cdot 19^{2} 31$ | 93 | $67-390 ; 235$ | 14 | 30382 |
|  |  | $\cdot 37 \cdot 101 \cdot 113 \cdot 163$ |  |  |  |  |
| $F(1)$ | $2^{5} 3^{2} 7^{2}$ | $2^{53} 3^{8} 5^{4} 7^{6} 19$ | 77 | $595-707 ; 645$ | 3 | 16 |
| $F(2)$ | $2^{4} 3^{2} 7 \cdot 13 \cdot 313$ | $2^{38} 3^{9} 5^{6} 7 \cdot 13 \cdot 17 \cdot 97 \cdot 101 \cdot 181^{2}$ | 78 | $689-831 ; 750$ | 11 | 5986 |
| $F(3)$ | $2^{5} 3^{2} 7 \cdot 29$ | $2^{62} 3^{15} 5^{6} 7^{3} 11 \cdot 19 \cdot 67 \cdot 137$ | 106 | $718-851 ; 769$ | 10 | 10094 |
| $F(4)$ | $\cdot 37 \cdot 199$ | $2^{4} 3^{3} 7 \cdot 59 \cdot 607$ | $2^{37} 3^{15} 5^{7} 7 \cdot 13 \cdot 13267 \cdot 19 \cdot 29 \cdot 101$ | 102 | $719-899 ; 798$ | 19 |
|  |  | $\cdot 1741 \cdot 7369 \cdot 9463$ |  |  | 74079 |  |
| $F(5)$ | $2^{5} 3^{3} 5^{2} 7$ | $2^{66} 3^{15} 5^{10} 7 \cdot 17 \cdot 31 \cdot 2521$ | 139 | $700-1010 ; 881$ | 27 | 129470 |
|  | $\cdot 71 \cdot 409$ | $\cdot 55897 \cdot 83641$ |  |  |  |  |

TABLE 2. Experimental data for the groups $H(k), F(k) \leq \mathrm{SL}(4, \mathbb{Z})$

After computing $M$, we can find all congruence quotients of $H(k)$, and hence a set of finite quotients of $\Delta(3,3,4)$. We see from the results for $k=1,2$ that $\Delta(3,3,4)$ has quotients $\operatorname{PSL}(4, p)$ for $p>2$. On the other hand, a calculation with the GAP operation GQuotients shows that $\Delta(3,3,4)$ has no quotient isomorphic to $\operatorname{PSL}(4,2)$. Furthermore, since $\Delta(3,3,4)$ has quotients isomorphic to Alt(10), which cannot be a section of a matrix group of degree 4 over a finite field, $H(k)$ is thin for all $k \in \mathbb{Z}$. The $F(k)$ are thin because they are free.
5.2.2. Other experiments. We used the following constructions of dense groups, including examples that permit tensor decomposition modulo some primes.
(i) Let $K(a, b, m)$ be the subgroup of $\operatorname{SL}(a b, \mathbb{Z})$ generated by $\operatorname{SL}(a, \mathbb{Z}) \otimes \operatorname{SL}(b, \mathbb{Z})$ and the elementary matrix $m t_{1, a+1}$ (two generators per factor of the Kronecker product).
(ii) For distinct monic polynomials $p(\mathrm{x}), q(\mathrm{x}) \in \mathbb{Z}[\mathrm{x}]$ of equal degree $n$, let $C(p, q)$ be the subgroup of $\operatorname{SL}(n, \mathbb{Z})$ generated by the companion matrices $C_{p}$ and $C_{q}$ for $p(\mathrm{x})$ and $q(\mathrm{x})$.
Regarding density of the $K(a, b, m)$, cf. [4, Lemma 3.15]. By [21, Theorem 1.5], $C(p, q)$ is dense if it is non-abelian, $C_{q}$ has infinite order, and $p(\mathrm{x})$ is irreducible with Galois group $\operatorname{Sym}(n)$.

The runtimes in Table 3 have the same interpretation as in Table 2 Some computations with the larger groups did not complete for several hours. In that event, the pertinent column entry is blank. Indices are not listed for space reasons.

| Group | Degree | Primes | $M$ | $t_{A}$ | $t_{I}$ | $t_{M}$ |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: |
| $K(2,2,275)$ | 4 | 5,11 | $5^{2} 11$ | 101 | $1-3 ; 1$ | 8 |
| $K(2,3,441)$ | 6 | 3,7 | $3^{3} 7^{2}$ | 37951 | $4-47 ; 17$ | 107 |
| $K(3,2,8959)$ | 6 | 17,31 | $17^{2} 31$ | 39873 | $8-43 ; 28$ | 3946 |
| $K(2,4,100)$ | 8 | 2,5 | $2^{4} 5^{2}$ |  | $17-96 ; 53$ | 956 |
| $K(3,3,11979)$ | 9 | 3,11 | $3^{3} 11^{3}$ |  | $81-246 ; 180$ | 4283 |
| $C\left(x^{4}-x+1, x^{4}+5 x^{3}-x^{2}+1\right)$ | 4 | 11,61 | $11 \cdot 61$ | 58 | $3-26 ; 8$ | 2131 |
| $C\left(x^{6}+2 x^{4}+x+1, x^{6}-x^{2}+1\right)$ | 6 | 7,23 |  |  | $12-305 ; 73$ |  |
| $C\left(x^{8}+x+1, x^{8}-x+1\right)$ | 8 | 2 | $2^{2}$ |  | $52-368 ; 150$ | 10 |
| $C\left(x^{8}+2 x+1, x^{8}+x^{4}+1\right)$ | 8 | $2,3,5$ | $2^{4} 3 \cdot 5$ |  | $33-1982 ; 505$ | 35813 |

Table 3. Experimental data for the groups $K(a, b, m)$ and $C(p, q)$
5.2.3. Performance. The runtime to find $\Pi(H)$ is roughly proportional to the magnitudes of its elements. In fact, runtime is dominated by tests to ensure that no prime $p$ returned is a false positive, i.e., that the $p$-congruence image really is a proper subgroup of $\operatorname{SL}(n, p)$.

The timings show that the method of Section 4.2 is clearly superior to the default, with the Meataxe-based algorithm performing better unless matrix entries become very large. This pattern becomes more pronounced in larger degrees.

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