

Simulating excitation spectra with projected entangled-pair states

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We develop and benchmark a technique for simulating excitation spectra of generic two-dimensional quantum lattice systems using the framework of projected entangled-pair states (PEPS). The technique relies on a variational ansatz for capturing quasiparticle excitations on top of a PEPS ground state. Our method perfectly captures the quasiparticle dispersion relation of the square-lattice transverse-field Ising model, and reproduces the spin-wave velocity and the spin-wave anomaly in the square-lattice Heisenberg model with high precision.

Quantum phases of matter are commonly characterized by the order of the ground states that are realized in many-body systems at zero temperature. Yet, the most interesting manifestations, both from the theoretical and the experimental perspective, of a given quantum phase are more related to the low-energy excitations on top of the ground state. In the case of strongly-correlated quantum phases these excitations typically have a collective nature, in the sense that they cannot be adiabatically connected to the excitations of a free system. Therefore, perturbative expansions or mean-field approximations do not allow for an accurate description, and more advanced conceptual and numerical tools have to be devised to understand their properties. This is especially true for one- and two-dimensional quantum systems, where strong quantum correlations often lead to collective excitations with fractionalized quantum numbers and anyonic statistics.

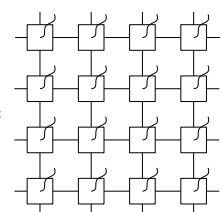
Tensor networks have emerged as a natural language for describing quantum phases of matter. It has been realized that these phases are characterized by the entanglement structure of their low-energy states, and that this structure can be captured by the class of tensor-network states^{1,2}. In one dimension, this yields the well-known class of matrix product states³ (MPS) describing ground states of generic spin chains, as well as a powerful formalism for capturing quasiparticle excitations on top of these strongly-correlated background states⁴. In this formalism, elementary excitations of the fully-interacting quantum Hamiltonian⁵ are naturally interpreted as interacting particles (including magnons, triplons spinons, chargeons, holons, etc.⁶) and a two-particle S matrix can be defined and computed⁷. For two-dimensional systems, the class of projected entangled-pair states (PEPS)⁸ provides the correct parametrization of ground states, and PEPS constructions for describing quasiparticles such as spinons⁹ and anyons^{10,11} have been proposed.

Yet, these constructions of quasiparticles against a PEPS background have been used numerically on fine-tuned wavefunctions only^{12,13}, and have

not been applied variationally to characterize the spectrum of a given model Hamiltonian. Indeed, PEPS simulations have been restricted to the study of ground-state properties of ordered quantum magnets^{14–17}, (chiral) spin liquids^{18–20}, and strongly-correlated electrons^{16,21}, but, thanks to considerable progress in MPS techniques^{22,23}, in recent years a second generation of PEPS algorithms has started to form. The variational optimization of PEPS ground-state approximations has been made possible^{24,25}, which allows to obtain higher accuracy on ground-state energies and order parameters. This, in turn, has made it possible to develop accurate extrapolation techniques^{26,27}. In addition, quantities such as momentum-resolved structure factors or the energy variance can be computed with high accuracy²⁵.

These techniques now make it possible to go beyond ground-state properties, and to simulate the excitation spectrum of a given Hamiltonian with PEPS. A first step was taken in Ref. 22, but limited to the special case of frustration-free Hamiltonians. In this work, we generalize this framework to simulate the excitation spectrum of generic Hamiltonians on two-dimensional lattices in the thermodynamic limit directly, and benchmark this method on the transverse-field Ising model and the square-lattice Heisenberg model.

The algorithm— The PEPS simulation of the excitation spectrum of a given model Hamiltonian $H = \sum_i h_i$ consists of two steps. In a first step, we find an optimal approximation for the model's ground state in terms of a PEPS tensor A . The ground-state wavefunction can be written down as

$$|\Psi(A)\rangle = \text{contraction of a 4x4 grid of tensors } A, \quad (1)$$


which represents the contraction of an infinite net-

work of the same five-leg tensor A ,

$$A_{u,r,l,d}^s = l \begin{array}{c} u \\ \downarrow \\ \boxed{} \\ \downarrow \\ s \\ \downarrow \\ r \\ \downarrow \\ d \end{array} . \quad (2)$$

The index s corresponds to the physical spin at each site, and the four virtual legs carry the correlations through the system; the dimension of these virtual legs is the so-called bond dimension D of the PEPS, and serves as a control parameter in all PEPS simulations. Different algorithms were developed for finding an optimal PEPS representation with a given bond dimension in a variational way. In this work, we have used gradient-search methods²⁵ that variationally optimize the energy density directly in the thermodynamic limit.

In a next step, we build elementary excitations on top of this ground state. For these excitations we consider the variational ansatz

$$|\Phi_{\vec{q}}(B)\rangle = \sum_{\vec{m} \in \mathcal{L}} e^{i\vec{q} \cdot \vec{m}} \begin{array}{cccc} \boxed{} & \boxed{} & \boxed{} & \boxed{} \\ \boxed{} & \boxed{} & \bigcirc^{\vec{m}} & \boxed{} \\ \boxed{} & \boxed{} & \boxed{} & \boxed{} \\ \boxed{} & \boxed{} & \boxed{} & \boxed{} \end{array} . \quad (3)$$

Here a new tensor B is introduced at one site in the network (the round tensor), and a superposition is taken with momentum $\vec{q} = (q_x, q_y)$, which, because we work directly in the thermodynamic limit, ranges through the full Brillouin zone. Because the perturbation also acts on the virtual degrees of freedom in the PEPS, it can create a dressed object against a correlated background; in that sense, it can be interpreted as the PEPS generalization of the Feynman-Bijl ansatz²⁸ or single-mode approximation²⁹.

In the ansatz wavefunction all variational freedom is contained within the tensor B . Moreover, as the wavefunction is clearly linear in the tensor B , the ansatz defines a linear subspace. The inner product within this subspace is found by computing the overlap between two states,

$$\langle \Phi_{\vec{q}'}(B') | \Phi_{\vec{q}}(B) \rangle = 4\pi^2 \delta^{(2)}(\vec{q} - \vec{q}') (\mathbf{B}')^\dagger \mathbf{N}_{\vec{q}} \mathbf{B}, \quad (4)$$

where bold symbols denote the vectorized versions of the corresponding tensors. Up to a δ function normalization for the momenta, the inner product is determined by the effective norm matrix $\mathbf{N}_{\vec{q}}$. As was shown in Ref. 22, some choices for the tensor B give rise to a zero state in Eq. (3). Also, we want to confine our variational space to states that are locally orthogonal to the ground state. In the end, these restrictions lead to a basis \mathbf{P} for the reduced subspace such that the projected norm matrix $\mathbf{P}^\dagger \mathbf{N}_{\vec{q}} \mathbf{P}$ is full rank.

Evaluating the overlap between two excited-state wavefunctions [Eq. (4)], and a fortiori the computation of the effective norm matrix, requires an involved contraction. Indeed, this overlap reduces to a sum over all relative positions between the tensor B in the ket vector and the tensor B' in the bra. All these terms have the form of a two-point function

$$\begin{array}{cccccc} \bigcirc & \bigcirc & \bigcirc & \bigcirc & \bigcirc & \\ \bigcirc & \bigotimes & \bigcirc & \bigcirc & \bigcirc & \bigcirc \\ \bigcirc & \bigcirc & \bigcirc & \bigotimes & \bigcirc & \bigcirc \\ \bigcirc & \bigcirc & \bigcirc & \bigcirc & \bigcirc & \bigcirc \end{array}, \quad (5)$$

where we have taken a top view of the double-layer (bra and ket) tensor-network diagram, the simple circle represents the contraction of a ground-state tensor A and its conjugate, and the crosses denote where the B tensor in the ket layer and the B' tensor in the bra layer are situated. It should be clear that these terms are determined by the correlations in the ground state, and in Ref. 22 a contraction scheme was developed that provides an effective channel environment for reducing the above diagram to an essentially one-dimensional contraction. In fact, these channel environments enable the full summation of all relative positions of the tensors in an efficient way, and, therefore, allow for an evaluation of $\mathbf{N}_{\vec{q}}$. The accuracy of this channel construction is controlled by the environment bond dimension χ .

The variational optimization of the B tensor now requires minimizing the excitation energy. Because of the linearity of the variational subspace, this amounts to solving the generalized eigenvalue problem

$$(\mathbf{P}^\dagger \mathbf{H}_{\vec{q}} \mathbf{P}) \mathbf{x}_{\vec{q}} = \omega(\vec{q}) (\mathbf{P}^\dagger \mathbf{N}_{\vec{q}} \mathbf{P}) \mathbf{x}_{\vec{q}}, \quad (6)$$

for the smallest-real eigenvalue. Here we have introduced the effective energy matrix

$$\langle \Phi_{\vec{q}'}(B') | \tilde{H} | \Phi_{\vec{q}}(B) \rangle = 4\pi^2 \delta^{(2)}(\vec{q} - \vec{q}') (\mathbf{B}')^\dagger \mathbf{H}_{\vec{q}} \mathbf{B}. \quad (7)$$

The eigenvalue $\omega(\vec{q})$ is the approximate excitation energy at momentum \vec{q} , whereas the state $|\Phi_{\vec{q}}(B)\rangle$ with $\mathbf{B} = \mathbf{P} \mathbf{x}_{\vec{q}}$, approximates the excited-state wavefunction. The renormalized Hamiltonian \tilde{H} is obtained by subtracting the extensive ground-state energy, i.e. $\tilde{H} = \sum_i (h_i - \langle h_i \rangle_0)$, such that we find non-extensive excitation energies above the ground state.

The evaluation of the matrix elements of $\mathbf{H}_{\vec{q}}$ [Eq. (7)] involves the summation of three-point functions, but can, through a strategic positioning of channel environments, again be reduced to

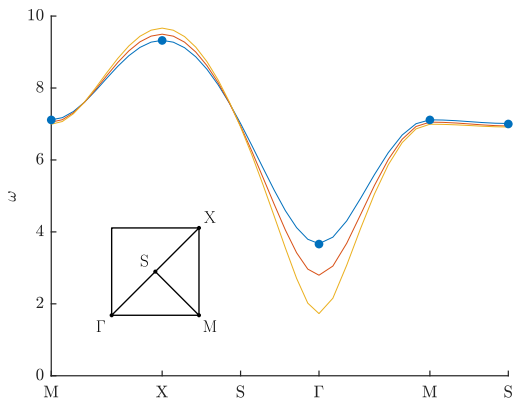


FIG. 1. The quasiparticle dispersion for the square-lattice transverse-field Ising model at $\lambda = 2.5$ (blue), $\lambda = 2.7$ (red) and $\lambda = 2.9$ (yellow), as computed from PEPS with bond dimension $D = 3$ and environment bond dimension $\chi = 50$. The blue dots are series-expansion data points extracted from Ref. 30.

a number of one-dimensional contractions. We refer to the supplemental material for a full exposition of all tensor-network diagrams. The hardest diagrams, and therefore the computational complexity of implementing the generalized eigenvalue problem [Eq. (6)], scales as $\mathcal{O}(D^6\chi^3 + D^8\chi^2)$.

Benchmark results—As a first example we test our method on the transverse-field Ising model, defined by the Hamiltonian

$$H_{\text{ising}} = - \sum_{\langle ij \rangle} S_i^z S_j^z + \lambda \sum_i S_i^x. \quad (8)$$

This model exhibits a quantum phase transition at $\lambda_c \approx 3.044$ from a symmetry-broken phase ($\lambda < \lambda_c$) to a polarized phase ($\lambda > \lambda_c$). In Ref. 25 it was shown that the ground state of this model can be accurately approximated as a PEPS across the phase transition.

We can now build excitations on top of this ground state, and compute the quasiparticle spectrum. In Fig. 1 our results are plotted for the quasiparticle dispersion relation at three different values of the field; for one value of the field we compare with results from series expansions³⁰. The dispersion clearly reaches a minimum at momentum $\vec{q} = (0, 0)$, yielding the value of the gap. The phase transition is signalled by the vanishing of the excitation gap; in fact, close to the phase transition, the gap should obey a scaling relation with a critical exponent $\nu \approx 0.6230$ ³⁰. In Fig. 2 we have plotted the gap as a function of the field, showing that the power-law scaling is reproduced over a large region. We find estimates for the critical exponent ν and the critical field λ within a percent precision.

A more challenging and interesting benchmark

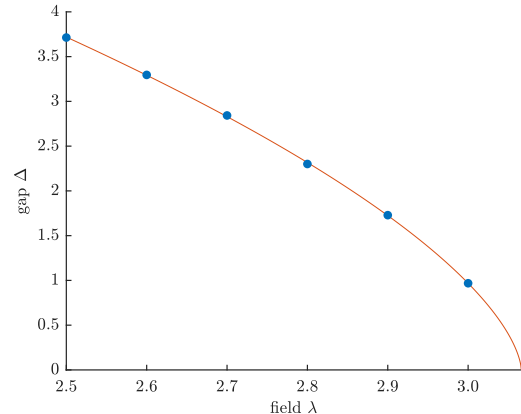


FIG. 2. The gap of the Ising model as a function of the field λ , with a fit $\Delta \propto |\lambda - \lambda_c|^\nu$; we find $\lambda_c \approx 3.066$ and $\nu \approx 0.627$. We have used $D = 3$ and $\chi = 50$.

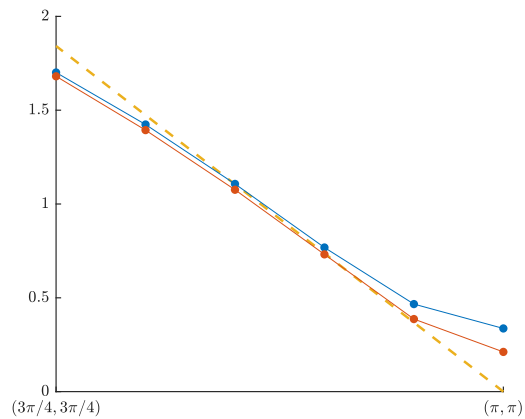


FIG. 3. The dispersion relation of the Heisenberg model approaching the gapless point $(\pi, 0)$ with bond dimension $D = 3$ (blue) and $D = 4$ (red) and environment bond dimension up to $\chi = 100$, as compared to the linear dispersion relation with $v_s \approx 1.65847$ ³¹ (yellow). Clearly, the finite bond dimension of the PEPS induces an artificial gap, which grows smaller as D increases. If we estimate the spin-wave velocity as the slope at the inflection point in the $D = 4$ curve, we find $v_s \approx 1.638$.

is provided by the Heisenberg model, defined by the Hamiltonian

$$H_{\text{heis}} = \sum_{\langle ij \rangle} S_i^x S_j^x - S_i^y S_j^y - S_i^z S_j^z. \quad (9)$$

Here we have introduced the minus signs through a sublattice rotation of the original Heisenberg Hamiltonian, such that the staggered magnetization is mapped to a uniform one. Therefore, we can approximate the ground state as a uniform PEPS with a one-site unit cell²⁵.

Since the ground state breaks a continuous symmetry, the spectrum exhibits a gapless Goldstone mode. The slope of the dispersion relation around

	$(\pi/2, \pi/2)$	$(\pi, 0)$
QMC ³⁴	2.4085	2.13
pCUT ³⁵	2.375	2.2
ED ³⁶	2.4144	2.2281
DMRG	2.40	2.06-2.07
$D = 4$	2.39	2.19

TABLE I. The estimates for the excitation energies at wavevectors $\vec{q} = (\pi/2, \pi/2)$ and $\vec{q} = (\pi, 0)$ as computed by some state-of-the-art numerical techniques, and compared to our PEPS result at $D = 4$.

the gapless point or spin-wave velocity v_s is a crucial quantity in any low-energy field theory for this model^{32,33} and is directly accessible in e.g. neutron-scattering experiments. In Fig. 3 we have plotted the dispersion relation in a small portion of the Brillouin zone where the gap is expected to close. Because the finite bond dimension induces a finite correlation length in the ground state^{26,27}, the dispersion relation exhibits an artificial gap. This effect is clearly seen in our results, but is diminished as the bond dimension increases. Besides this effect, we reproduce the linear dispersion and we obtain an estimate for the spin-wave velocity that is close to the Monte-Carlo estimate.

A more interesting feature of the spectrum is the shape of the dispersion relation at higher energies. Linear spin-wave theory predicts that the excitation gap is constant on the line between the wavevectors $(\pi, 0)$ and $(\pi/2, \pi/2)$, but various numerical approaches^{34–40} and experimental measurements⁴¹ have shown that the excitation energy is suppressed at $(\pi, 0)$ and elevated at $(\pi/2, \pi/2)$ as compared to the spin-wave result. The physical origin of this discrepancy has been argued to follow from spinon deconfinement around the wavevector $(\pi, 0)$. In Fig. 4 we have plotted our results for the dispersion in this region, showing a significant dip in the dispersion. In Table I we compare our excitation energies to some other numerical results available in the literature. As to the physical origin of the spin-wave anomaly, we should note that the fact that our quasiparticle ansatz can accurately reproduce the excitation energy at $(\pi, 0)$ suggests that this state is quite far from a deconfined two-spinon state.

Outlook— In this work we have presented a PEPS method for simulating excitation spectra of generic Hamiltonians in two dimensions, and have benchmarked the method on the Ising and Heisenberg models on the square lattice. The method can be readily extended to other lattice structures and PEPS ground states with larger unit cells. Although we have applied it to models that exhibit a local order parameter, our method can be ap-

plied equally well to more exotic quantum phases

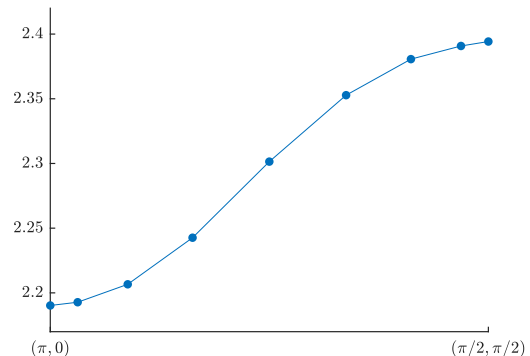


FIG. 4. The dispersion relation of the Heisenberg model between the points $(\pi, 0)$ and $(\pi/2, \pi/2)$, computed with PEPS at $D = 4$ and $\chi = 100$.

such as spin liquids and systems with topological order. In particular, the PEPS framework allows to directly target the fractionalized quasiparticles in these systems.

On the technical side many improvements of the method can be made. The conditioning of the generalized eigenvalue problem is, due to small eigenvalues in the effective norm matrix $\mathbf{N}_{\vec{q}}$, a source of large errors, and, therefore, a well-chosen preconditioner is required. Also, the implementation of $\mathbf{H}_{\vec{q}}$ requires the contraction of a large number of different diagrams and is therefore computationally demanding; better contraction schemes can speed up the simulations considerably. This, in turn, would make higher values of the PEPS bond dimension feasible, which would enable the use of extrapolation techniques for e.g. the excitation energies. Finally, the exploitation of symmetries in the PEPS representation of the ground state as well as the quasiparticle excitations will lead to much more efficient simulations.

In the context of matrix product states, this method of describing excitation spectra falls within the set of so-called tangent-space methods⁴². This numerical framework starts from the idea that the class of uniform MPS constitutes a manifold that describes ground states of spin chains, but realizes that the low-energy dynamics around such a ground state is contained within the tangent space on this manifold. In this work, we show that these tangent-space methods can also be applied to the PEPS manifold, and we expect that this will prove extremely useful in the simulation of the low-energy dynamics of two-dimensional quantum matter.

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APPENDIX: IMPLEMENTING THE PEPS QUASIPARTICLE ANSATZ

In this appendix we provide the details for implementing and optimizing the PEPS excitation ansatz. We work on the square lattice for which the ground state can be represented as a translation- and rotation-invariant PEPS, and we take the case of a translation invariant Hamiltonian with terms acting on a plaquette of four sites. We assume that we have an optimized ground-state approximation, for the details of how to variationally optimize the ground-state PEPS tensor we refer to Ref. 25. In order to make this appendix self-contained, we recapitulate the construction of effective channel environments²² in a first section, and then explain the excitation ansatz in detail.

1. Uniform PEPS and effective environments

We assume that the ground state of the Hamiltonian is given by a PEPS,

$$|\Psi(A)\rangle = \text{Diagram of a 4x4 grid of tensors } A \text{ with wiggly lines on the outer edges.} \quad (1)$$

where the wiggly lines correspond to the physical degrees of freedom. The tensors appearing in the PEPS are all copies of the same tensor A ,

$$A_{u,r,d,l}^s = \text{Diagram of a single tensor } A \text{ with indices } u, s, r, d. \quad (2)$$

and we assume that the tensor is rotation invariant,

$$A_{u,r,d,l}^s = A_{l,u,r,d}^s = A_{d,l,u,r}^s = A_{r,d,l,u}^s, \quad (3)$$

so that the state $|\Psi(A)\rangle$ is too (everything below can be readily generalized to non-rotation invariant case).

The norm of a PEPS can be represented as

$$\langle \Psi(A) | \Psi(A) \rangle = \begin{array}{cccc} \circ & \circ & \circ & \circ \\ | & | & | & | \\ \circ & \circ & \circ & \circ \\ | & | & | & | \\ \circ & \circ & \circ & \circ \\ | & | & | & | \\ \circ & \circ & \circ & \circ \end{array}, \quad (4)$$

where the round tensor represents the contraction of an A tensor with its complex conjugate along the physical dimension, and we have grouped two legs into one in each direction,

$$\begin{array}{c} \{u, u'\} \\ \circ \\ \{r, r'\} \\ \{d, d'\} \end{array} = \sum_{s, s'} \delta_{s, s'} A_{u, r, d, l}^s \bar{A}_{u', r', d', l'}^{s'}. \quad (5)$$

The contraction of this infinite tensor network is performed by finding the fixed point of the linear transfer matrix in the form of an MPS on the virtual level. This MPS obeys the fixed-point equation

$$\begin{array}{cccccc} \square & \square & \square & \square & \square \\ | & | & | & | & | \\ \circ & \circ & \circ & \circ & \circ \\ | & | & | & | & | \\ \square & \square & \square & \square & \square \end{array} \propto \begin{array}{cccccc} \square & \square & \square & \square & \square \\ | & | & | & | & | \\ \square & \square & \square & \square & \square \end{array}. \quad (6)$$

Here, the MPS is represented by a triple of tensors $\{A_l, A_r, C\}$ which obey the conditions

$$\begin{array}{c} \square \\ | \\ \square \end{array} \begin{array}{c} \circ \\ | \\ \square \end{array} = \begin{array}{c} \circ \\ | \\ \square \end{array} \begin{array}{c} \square \\ | \\ \square \end{array}, \quad (7)$$

and

$$\begin{array}{c} \square \\ | \\ \square \end{array} = \left(, \quad \begin{array}{c} \square \\ | \\ \square \end{array} \right) = \right). \quad (8)$$

The ‘norm per site’ $f = -\frac{1}{N} \log(\langle \Psi(A) | \Psi(A) \rangle)$ corresponding to the PEPS is then defined as

$$f = -\log \lambda, \quad (9)$$

where λ is given as the leading eigenvalue of the following eigenvalue equations

$$\begin{array}{c} \square \\ | \\ \square \end{array} \begin{array}{c} \square \\ | \\ \square \end{array} = \lambda \begin{array}{c} \square \\ | \\ \square \end{array}, \quad \begin{array}{c} \square \\ | \\ \square \end{array} \begin{array}{c} \square \\ | \\ \square \end{array} = \lambda \begin{array}{c} \square \\ | \\ \square \end{array}. \quad (10)$$

The corresponding eigenvectors G_l and G_r are normalized such that

$$\frac{1}{\lambda} \begin{array}{c} \square \\ | \\ \square \end{array} \begin{array}{c} \square \\ | \\ \square \end{array} = \begin{array}{c} \square \\ | \\ \square \end{array} \begin{array}{c} \square \\ | \\ \square \end{array} = 1 \quad (11)$$

We can now rescale the PEPS tensor A as

$$A \rightarrow A/\sqrt{\lambda}, \quad (12)$$

such that we have $f = 0$, and all PEPS expectation values are well-defined in the thermodynamic limit.

In addition, we define a corner tensor S which is the leading eigenvector of the equation

$$\begin{array}{cccc} \square & \square & \square & \square \\ | & | & | & | \\ \square & \square & \square & \square \\ | & | & | & | \\ \square & \square & \square & \square \\ | & | & | & | \\ \square & \square & \square & \square \\ | & | & | & | \\ \square & \square & \square & \square \\ | & | & | & | \\ \square & \square & \square & \square \end{array} \propto \begin{array}{c} \square \\ | \\ \square \end{array}, \quad (13)$$

which can be simplified to

$$\text{Diagram (14)} \propto \text{Diamond symbol} \quad (14)$$

In order to compute expectation values we find four environments $\{M_l, M_r, C\}_i$ which we use to determine the contraction of the norm of the PEPS as

$$\text{Diagram (15)} \quad (15)$$

The MPS tensors $\{M_l, M_r\}$ are given by $\{A_l, A_r\}$ but normalized such that the following eigenvalue equation has leading eigenvalue $\mu = 1$:

$$\text{Diagram (16)} = \mu \rho \quad (16)$$

The PEPS can now be fully normalized by rescaling the corresponding eigenvector ρ such that

$$\langle \Psi(A) | \Psi(A) \rangle = \text{Diagram (17)} = 1 \quad (17)$$

We also define the fixed point in the other direction $\tilde{\rho}$ as

$$\text{Diagram (18)} = \mu \tilde{\rho} \quad (18)$$

and normalize it such that

$$\text{Diagram (19)} = 1 \quad (19)$$

The norm of the PEPS is then also given by

$$\langle \Psi(A) | \Psi(A) \rangle = \text{Diagram (20)} = 1 \quad (20)$$

We now define the corner environment

$$\text{Diagram (21)} \quad (21)$$

where the corner matrix S is normalized such that, again, the norm of the PEPS is one:

$$\langle \Psi(A) | \Psi(A) \rangle = \text{[Tensor Network]} = 1. \quad (22)$$

We also define two-site channel fixed points as

$$\text{[Diagram]} = \mu \text{[Diagram]}. \quad (23)$$

Because of the redefinition of M_l and M_r , the eigenvalue μ should be (approximately) one. We also define the other fixed point,

$$\text{[Diagram]} = \mu \text{[Diagram]}. \quad (24)$$

Note that we overload the symbols for tensors, where its definition depends on the number of legs it has. The energy density expectation value is given by the two equivalent diagrams

$$e = \text{[Diagram]} = \text{[Diagram]}. \quad (25)$$

where we have introduced the dashed lines to indicate the four sites on which the Hamiltonian plaquette operator acts.

2. The ansatz wavefunction

We use the following ansatz for an excitation with momentum (q_x, q_y)

$$|\Phi_{\vec{q}}(B)\rangle = \sum_{k,l} e^{iq_x k} e^{iq_y l} \text{[Tensor Network]} \quad (26)$$

where the round tensor denotes a new tensor B ,

$$B_{u,r,d,l}^s = \begin{array}{c} u \quad s \\ \circlearrowleft \\ r \\ d \end{array}, \quad (27)$$

containing all variational degrees of freedom in the excited state. The overlap between the ground state and an excited state can be easily computed. We first introduce the following notation for a double-layer tensor containing a B tensor in the ket-level,

$$\begin{array}{c} \{u,u'\} \\ \circlearrowleft \\ \{r,r'\} \\ \{d,d'\} \end{array} = \sum_{s,s'} \delta_{s,s'} B_{u,r,d,l}^s \bar{A}_{u',r',d',l'}^{s'}, \quad (28)$$

so that the overlap is given by

$$\langle \Psi(A) | \Phi_{\vec{q}}(B) \rangle = 2\pi\delta(q_x) 2\pi\delta(q_y) \begin{array}{c} \rho \\ \circlearrowleft \\ \rho \\ \rho \end{array} \quad (29)$$

$$= 2\pi\delta(q_x) 2\pi\delta(q_y) \begin{array}{c} \rho \\ \circlearrowleft \\ \rho \\ \rho \end{array}. \quad (30)$$

The overlap with the ground state can be written as $\langle \Psi(A) | \Phi_{\vec{q}}(B) \rangle = 4\pi^2 \delta^{(2)}(\vec{q}) \mathbf{g}^\dagger \mathbf{B}$, so that we confine the variational subspace to tensors that are orthogonal to \mathbf{g} . Here we have introduced bold-face notation for the vectorized version of a tensor.

As such, the variational subspace is ill defined because of the presence of zero modes. Indeed, the particular choice for the B tensor

$$\begin{array}{c} \circlearrowleft \\ \circlearrowleft \end{array} = e^{iq_x} \begin{array}{c} \square \\ \square \end{array} - \begin{array}{c} \square \\ \square \end{array}, \quad (31)$$

with X a random matrix, yields a zero state

$$\langle \Phi_{\vec{q}}(B) | \Phi_{\vec{q}}(B) \rangle = 0. \quad (32)$$

Equivalently, the choice

$$\begin{array}{c} \circlearrowleft \\ \circlearrowleft \end{array} = e^{iq_y} \begin{array}{c} \square \\ \square \end{array} - \begin{array}{c} \square \\ \square \end{array}, \quad (33)$$

yields a zero mode.

In general the overlap between two states in our variational space can be written as

$$\langle \Phi_{\vec{q}'}(B') | \Phi_{\vec{q}}(B) \rangle = 2\pi\delta(q_x - q'_x) 2\pi\delta(q_y - q'_y) N_{\vec{q}}(B, B'), \quad (34)$$

and, similarly, for overlaps of the Hamiltonian we find

$$\langle \Phi_{\vec{q}'}(B) | H | \Phi_{\vec{q}}(B) \rangle = 2\pi\delta(q_x - q'_x) 2\pi\delta(q_y - q'_y) M_{\vec{q}}(B, B'). \quad (35)$$

In addition, since the parametrization of the states $|\Phi(B)\rangle$ is clearly linear in the tensor elements of B , we can rewrite these expressions as

$$N_{\vec{q}}(B, B') = (\mathbf{B}')^\dagger \mathbf{N}_{\vec{q}} \mathbf{B} \quad (36)$$

$$M_{\vec{q}}(B, B') = (\mathbf{B}')^\dagger \mathbf{M}_{\vec{q}} \mathbf{B}, \quad (37)$$

where \mathbf{B} denotes the vectorized version of the tensor B , and $\mathbf{M}_{\vec{q}}$ and $\mathbf{N}_{\vec{q}}$ are (D^2d) -dimensional hermitian matrices.

The variational optimization of the excitation ansatz

$$\min_{B \in \mathcal{R}} \frac{\langle \Phi_{\vec{q}}(B) | H | \Phi_{\vec{q}}(B) \rangle}{\langle \Phi_{\vec{q}}(B) | \Phi_{\vec{q}}(B) \rangle}, \quad (38)$$

can now be rephrased as a generalized eigenvalue problem

$$(\mathbf{P}^\dagger \mathbf{H}_{\vec{q}} \mathbf{P}) \mathbf{x}_{\vec{q}} = \omega(\vec{q}) (\mathbf{P}^\dagger \mathbf{N}_{\vec{q}} \mathbf{P}) \mathbf{x}_{\vec{q}}, \quad (39)$$

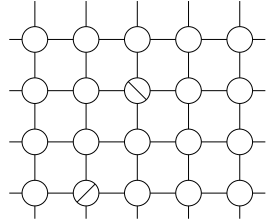
where \mathbf{P} is a reduced basis for the B tensors where all zero modes have been eliminated.

3. The effective norm matrix

We compute the effective norm matrix, for which we have to compute the matrix elements

$$\langle \Phi_{\vec{q}'}(B') | \Phi_{\vec{q}}(B) \rangle = 2\pi\delta(q_x - q'_x) 2\pi\delta(q_y - q'_y) N_{\vec{q}}(B, B'). \quad (40)$$

The expression for $N_{\vec{q}}$ consists of a sum over all relative orientations of B and B' tensors, i.e. diagrams of the form

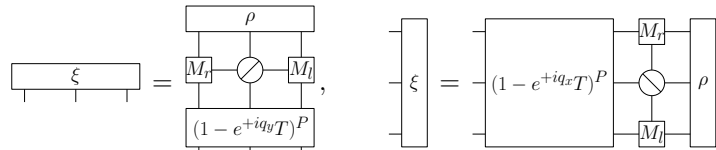


$$, \quad (41)$$

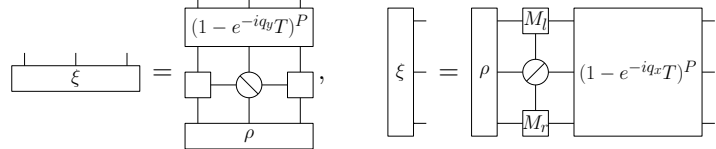
where we have introduced the tensor notation

$$\begin{matrix} \{u, u'\} \\ \circlearrowleft \\ \{l, l'\} \\ \{r, r'\} \\ \circlearrowright \\ \{d, d'\} \end{matrix} = \sum_{s, s'} \delta_{s, s'} A_{u, r, d, l}^s \bar{B}'_{u', r', d', l'}. \quad (42)$$

First we need to define the following tensor capturing the infinite sum of the B tensor going in the upper channel

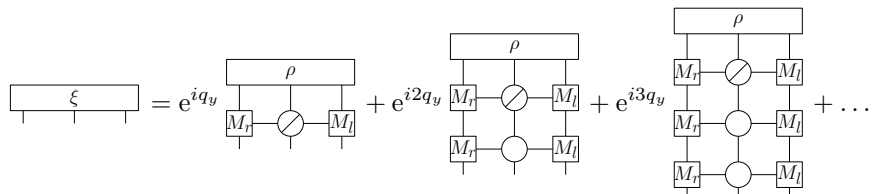


$$, \quad (43)$$



$$. \quad (44)$$

Here we have introduced the 'pseudo inverse' of a channel operator, which appears whenever we want to take an infinite sum of contributions along a channel. Indeed, if we want to represent



$$, \quad (45)$$

we should be able to compute

$$\sum_{n=0}^{\infty} e^{iq_y(n+1)} \left(\begin{array}{c} \boxed{M_r} \text{---} \bigcirc \text{---} \boxed{M_l} \\ \text{---} \end{array} \right)^n. \quad (46)$$

This series converges if the spectral radius of the 'channel operator' T ,

$$T = \begin{array}{c} \boxed{M_r} \text{---} \bigcirc \text{---} \boxed{M_l} \\ \text{---} \end{array}, \quad (47)$$

is smaller than one. But, as we have seen above, we have normalized the tensors M_l and M_r such that the leading eigenvalue of this operator is exactly one, and the corresponding fixed point is given by ρ . Therefore we can write

$$\sum_{n=0}^{\infty} e^{iq_y(n+1)} \left(\begin{array}{c} \boxed{M_r} \text{---} \bigcirc \text{---} \boxed{M_l} \\ \text{---} \end{array} \right)^n = e^{iq_y} \sum_{n=0}^{\infty} e^{iq_y n} \left(\begin{array}{c} \boxed{\rho} \\ \text{---} \bigcirc \text{---} \\ \boxed{\bar{\rho}} \end{array} \right)^n + e^{iq_y} \left(1 - e^{iq_y} \left(\begin{array}{c} \boxed{M_r} \text{---} \bigcirc \text{---} \boxed{M_l} \\ \text{---} \end{array} \right) \right)^P, \quad (48)$$

where the notation $(\dots)^P$ implies that we have projected out the fixed point subspace from the channel operator. The fixed-point projector contains a potential divergence, but, since we always make sure that Eq. (29) is zero, this contribution will always drop out.

These tensors we can use to define the following auxiliary tensor

$$\begin{array}{c} \boxed{\gamma} \\ \text{---} \end{array} = e^{-iq_x} \left(\begin{array}{c} \boxed{\rho} \\ \text{---} \bigcirc \text{---} \\ \xi \end{array} \right) + \left(\begin{array}{c} \boxed{\rho} \\ \text{---} \bigcirc \text{---} \\ \rho \end{array} \right) + \left(\begin{array}{c} \boxed{\rho} \\ \text{---} \bigcirc \text{---} \\ (1 - e^{+iq_y T})^P \end{array} \right) \quad (49)$$

and we define three other γ tensors that are related to the one above by a simple rotation and an interchange of the momenta. The full matrix element is then given by

$$\begin{aligned} N_{\bar{q}}(B, B') = & \left(\begin{array}{c} \boxed{\rho} \\ \text{---} \bigcirc \text{---} \\ \rho \end{array} \right) + e^{+iq_y} \left(\begin{array}{c} \boxed{\gamma} \\ \text{---} \bigcirc \text{---} \\ \rho \end{array} \right) \\ & + e^{+iq_x} \left(\begin{array}{c} \boxed{M_l} \\ \text{---} \bigcirc \text{---} \\ \rho \end{array} \right) + e^{-iq_y} \left(\begin{array}{c} \boxed{\rho} \\ \text{---} \bigcirc \text{---} \\ \gamma \end{array} \right) + e^{-iq_x} \left(\begin{array}{c} \boxed{M_r} \\ \text{---} \bigcirc \text{---} \\ \rho \end{array} \right) + e^{-iq_y} \left(\begin{array}{c} \boxed{\rho} \\ \text{---} \bigcirc \text{---} \\ \gamma \end{array} \right) \end{aligned} \quad (50)$$

$$= \left(\begin{array}{c} \boxed{\rho} \\ \text{---} \bigcirc \text{---} \\ \rho \end{array} \right) + e^{+iq_y} \left(\begin{array}{c} \boxed{\gamma} \\ \text{---} \bigcirc \text{---} \\ \rho \end{array} \right) + \text{rotations.} \quad (51)$$

where three rotated versions of the last diagram should be added with the appropriate momentum factors.

4. The effective energy matrix

We want to compute the matrix elements

$$\langle \Phi_{\vec{q}'}(B') | H | \Phi_{\vec{q}}(B) \rangle = 2\pi\delta(q_x - q'_x) 2\pi\delta(q_y - q'_y) M_{\vec{q}}(B, B') \quad (52)$$

The matrix elements M have a number of different contributions.

Purely local contributions

The local contributions are given by all orientations where both B and B' are located on top of h . We first locate B' on the upper-left site of the h plaquette, and B can hop on the four different sites. The other orientations of B' are then obtained by simply rotating the same diagrams, and inserting the correct momentum factors:

$$\begin{aligned}
 M_{q_x q_y}^{\text{local}}(B, B') = & \text{Diagram 1} + e^{iq_x} \text{Diagram 2} \\
 & + e^{iq_x} e^{-iq_y} \text{Diagram 3} + e^{-iq_y} \text{Diagram 4} \\
 & + \text{rotations.} \quad (53)
 \end{aligned}$$

Disconnected sums

The next series of contributions correspond to the situations where two of the three objects are on the same site, whereas the third one is disconnected. Let us therefore first define a few new tensors, which contain infinite sums of disconnected operators. The first is the sum of disconnected B tensors,

$$\xi = \frac{\text{Diagram}}{(1 - e^{+iq_y T})^P} \quad (54)$$

Secondly, we have the sum of disconnected h operators

$$\eta = \begin{array}{c} \rho \\ \hline \begin{array}{c} M_r \quad \circ \quad \circ \quad M_l \\ \hline M_r \quad \circ \quad \circ \quad M_l \end{array} \\ \hline (1-T)^P \end{array}, \quad (55)$$

We further define the following tensors

$$\xi_l = e^{-iq_x} \begin{array}{c} \rho \\ \hline \begin{array}{c} \xi \\ \hline \circ \\ \hline M_l \end{array} \\ \hline (1 - e^{iq_y T_l})^P \end{array} + \begin{array}{c} \rho \\ \hline \begin{array}{c} \rho \\ \hline \circ \\ \hline M_l \end{array} \\ \hline (1 - e^{iq_y T_l})^P \end{array}, \quad (56)$$

$$\xi_r = e^{+iq_x} \begin{array}{c} \rho \\ \hline \begin{array}{c} M_r \\ \hline \circ \\ \hline \xi \end{array} \\ \hline (1 - e^{iq_y T_r})^P \end{array} + \begin{array}{c} \rho \\ \hline \begin{array}{c} M_r \\ \hline \circ \\ \hline \rho \end{array} \\ \hline (1 - e^{iq_y T_r})^P \end{array}, \quad (57)$$

and their two-site versions

$$\begin{array}{c} \xi_l = e^{-2iq_x} \begin{array}{c} \rho \\ \hline \begin{array}{c} \xi \\ \hline \circ \quad \circ \\ \hline M_l \end{array} \\ \hline (1 - e^{iq_y T_l})^P \end{array} \\ + e^{-iq_x} \begin{array}{c} \rho \\ \hline \begin{array}{c} \rho \\ \hline \circ \quad \circ \\ \hline M_l \end{array} \\ \hline (1 - e^{iq_y T_l})^P \end{array} + \begin{array}{c} \rho \\ \hline \begin{array}{c} \rho \\ \hline \circ \quad \circ \\ \hline M_l \end{array} \\ \hline (1 - e^{iq_y T_l})^P \end{array} \\ \xi_r = e^{+2iq_x} \begin{array}{c} \rho \\ \hline \begin{array}{c} M_r \\ \hline \circ \quad \circ \\ \hline \xi \end{array} \\ \hline (1 - e^{iq_y T_r})^P \end{array} \end{array}, \quad (58)$$

$$+ e^{iq_x} \left[\text{Diagram 1} \right] + \left[\text{Diagram 2} \right]. \quad (59)$$

The Hamiltonian versions of these tensors are

$$\eta_l = \left[\text{Diagram 1} \right] + \left[\text{Diagram 2} \right]. \quad (60)$$

$$\eta_r = \left[\text{Diagram 1} \right] + \left[\text{Diagram 2} \right]. \quad (61)$$

Here we have introduced the channel operators T_l and T_r as

$$T_l = \boxed{M_l} - \bigcirc - \boxed{M_l}, \quad T_r = \boxed{M_r} - \bigcirc - \boxed{M_r}. \quad (62)$$

Semi-local contributions

We can use these tensors to add the contributions where B' is on either B or h , while the other is disconnected. First, we have the contributions where h is disconnected; we need to sum all diagrams of the form

$$\text{Diagram} \quad (63)$$

where h is located completely in the upper-left part of the lattice. This sum amounts to the two following diagrams,

$$M_{q_x q_y}^{\text{sl},1}(B, B') = \begin{array}{c} \begin{array}{c} \eta_l \\ \hline \begin{array}{c} M_l \\ \otimes \\ \rho \end{array} \\ \hline \rho \end{array} \\ + \begin{array}{c} \rho \\ \hline \begin{array}{c} \eta \\ \hline \begin{array}{c} M_l \\ \circ \\ \rho \end{array} \\ \hline \rho \end{array} \end{array} + \text{rotations}, \end{array} \quad (64)$$

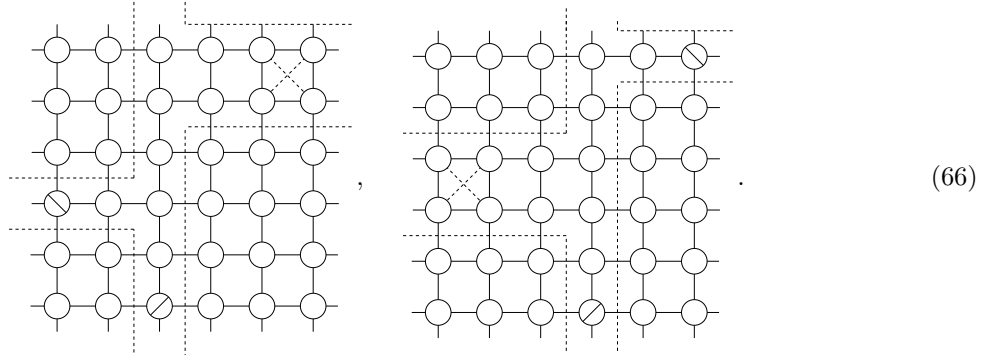
and we have three rotated versions corresponding to the orientations of h in the other quarters of the lattice.

Secondly, when B is disconnected, we sum all possible locations of B , and B' is located on the first site of h . All other diagrams are then related through rotations:

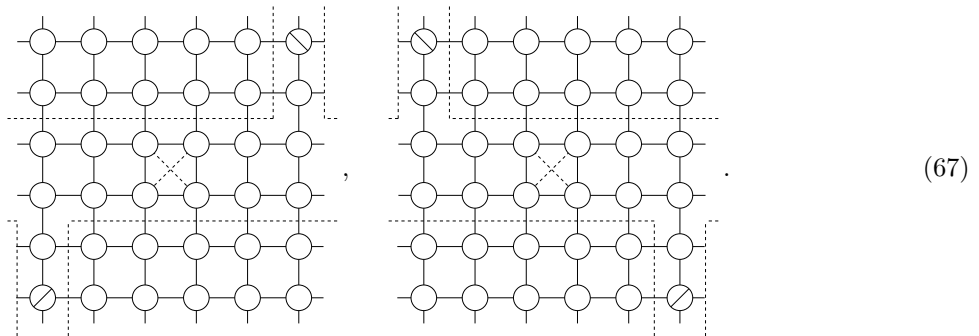
$$M_{q_x q_y}^{\text{sl},2}(B, B') = \begin{array}{c} e^{iq_y} \left(\begin{array}{c} \xi \\ \hline \begin{array}{c} M_r \\ \otimes \\ \rho \end{array} \\ \hline \rho \end{array} + e^{iq_x} \begin{array}{c} \xi_r \\ \hline \begin{array}{c} M_l \\ \otimes \\ \rho \end{array} \\ \hline \rho \end{array} \right) \\ + e^{i2q_x} \left(\begin{array}{c} \rho \\ \hline \begin{array}{c} M_r \\ \otimes \\ \rho \end{array} \\ \hline \rho \end{array} \right) + e^{-2iq_y} \left(\begin{array}{c} \rho \\ \hline \begin{array}{c} M_l \\ \otimes \\ \rho \end{array} \\ \hline \rho \end{array} \right) \\ + e^{-iq_x} \left(\begin{array}{c} \rho \\ \hline \begin{array}{c} M_r \\ \otimes \\ \rho \end{array} \\ \hline \rho \end{array} \right) + e^{-iq_y} \left(\begin{array}{c} \rho \\ \hline \begin{array}{c} M_l \\ \otimes \\ \rho \end{array} \\ \hline \rho \end{array} \right) \\ + \text{rotations}. \end{array} \quad (65)$$

Non-local contributions

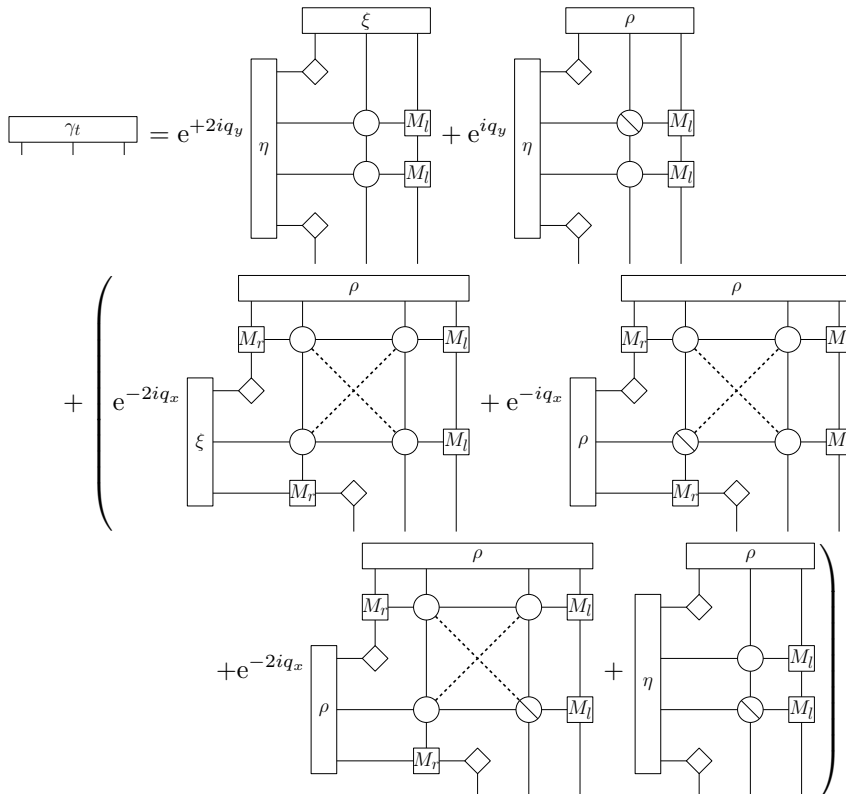
We now compute all contributions where we can divide the lattice into two halves, where B and h are above the bipartition and the B' tensor is below the line. That means we will have diagrams of the form



Do note that we have diagrams which allow for two different bipartitions, for example



In the following we will only take the diagrams of the left, so that we don't count diagrams twice. Define the auxiliary tensors



$$\begin{aligned}
 & + e^{-2iq_x} \left[\text{Diagram 1} \right] + e^{-iq_x} \left[\text{Diagram 2} \right] + \left[\text{Diagram 3} \right] \tag{68}
 \end{aligned}$$

and

$$\begin{aligned}
 & \left[\text{Diagram 4} \right] = e^{+2iq_y} \left[\text{Diagram 5} \right] \\
 & + e^{iq_y} \left(\begin{aligned}
 & e^{-2iq_x} \left[\text{Diagram 6} \right] + e^{-iq_x} \left[\text{Diagram 7} \right] \\
 & + \left[\text{Diagram 8} \right] \end{aligned} \right) \tag{69}
 \end{aligned}$$

$$\tag{70}$$

such that we can define

$$\left[\text{Diagram 9} \right] = \frac{\left[\text{Diagram 10} \right]}{(1 - e^{+iq_y T})^P}, \quad \left[\text{Diagram 11} \right] = \frac{\left[\text{Diagram 12} \right]}{(1 - e^{+iq_y T})^P}. \tag{71}$$

Now we are in a position to add all contributions that we can obtain by making a bipartition. We group the terms in four groups, according to the orientation of h . First we sum the contributions where h is situated in the up-right corner to B' , i.e. in the region

$$\left[\text{Diagram 13} \right], \tag{72}$$

and B can be anywhere. All terms where B and h are to the right of B' are contained in the γ tensor,

so that we have the following diagrams:

$$\begin{aligned}
 \overline{\alpha_1} &= e^{iq_x} \left(\text{Diagram 1} + \text{Diagram 2} \right) \\
 &+ e^{i2q_y} \left(\text{Diagram 3} + \text{Diagram 4} + \text{Diagram 5} \right) \\
 &+ e^{-iq_x} \left(\text{Diagram 6} + \text{Diagram 7} \right).
 \end{aligned} \tag{73}$$

Secondly, we have the terms where h is right above B' on the right side,

$$\begin{aligned}
 &\text{Diagram 8} \\
 &\tag{74}
 \end{aligned}$$

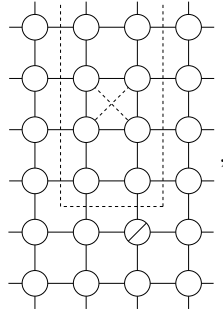
and B anywhere above B' , with the diagrams

$$\overline{\alpha_2} = e^{2iq_x} \left(\text{Diagram 9} + \text{Diagram 10} \right)$$

$$\begin{aligned}
 & + e^{iq_x} \left(e^{i2q_y} \left(\begin{array}{c} \xi \\ \rho \\ M_l \\ M_l \end{array} \right) + e^{iq_y} \left(\begin{array}{c} \rho \\ \rho \\ M_l \\ M_l \end{array} \right) \right. \\
 & \quad + \left. \left(\begin{array}{c} \rho \\ \rho \\ M_l \\ M_l \end{array} \right) + \left(\begin{array}{c} \eta \\ \rho \\ M_l \\ M_l \end{array} \right) \right) \\
 & + e^{i2q_y} \left(\begin{array}{c} \xi \\ \rho \\ M_l \\ M_l \end{array} \right) + e^{iq_y} \left(\begin{array}{c} \rho \\ \rho \\ M_l \\ M_l \end{array} \right) \\
 & \quad + \left(\begin{array}{c} \rho \\ \rho \\ M_l \\ M_l \end{array} \right) + \left(\begin{array}{c} \eta \\ \rho \\ M_l \\ M_l \end{array} \right) \\
 & + e^{-iq_x} \left(\begin{array}{c} \rho \\ \xi \\ M_l \\ M_l \end{array} \right) + \left(\begin{array}{c} \eta \\ \rho \\ M_l \\ M_l \end{array} \right) \\
 & \quad + e^{iq_y} \left(\begin{array}{c} \rho \\ \xi_r \\ M_l \\ M_l \end{array} \right) \Bigg) .
 \end{aligned}$$

(75)

Thirdly, we have h in the region



(76)

with the diagrams

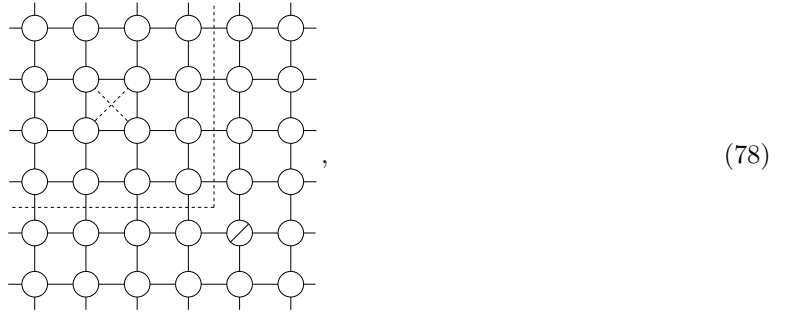
$$\begin{aligned}
 \boxed{\alpha_3} &= \\
 & \left(e^{iq_x} \left(\begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} \right) + e^{iq_y} \left(\begin{array}{c} \text{Diagram 3} \end{array} \right) \right) \\
 & + \left(e^{i2q_y} \left(\begin{array}{c} \text{Diagram 4} \\ \text{Diagram 5} \end{array} \right) + \begin{array}{c} \text{Diagram 6} \\ \text{Diagram 7} \end{array} \right)
 \end{aligned}$$

The diagrams are as follows:

- Diagram 1:** A 2x2 grid of circles. The top row is connected to a box labeled η . The left and right sides are connected to boxes labeled ρ and ξ respectively. A box labeled M_l is on the bottom edge, and a diamond is on the right edge.
- Diagram 2:** A 2x2 grid of circles. The top row is connected to a box labeled ρ . The left and right sides are connected to boxes labeled ρ and ξ respectively. Boxes labeled M_l and M_r are on the bottom edge, and a diamond is on the right edge.
- Diagram 3:** A 2x2 grid of circles. The top row is connected to a box labeled ρ . The left and right sides are connected to boxes labeled ρ and ξ_l respectively. Boxes labeled M_l and M_r are on the bottom edge, and a diamond is on the right edge.
- Diagram 4:** A 2x2 grid of circles. The top row is connected to a box labeled ξ . The left and right sides are connected to boxes labeled ρ and ρ respectively. Boxes labeled M_l and M_r are on the bottom edge, and a diamond is on the right edge.
- Diagram 5:** A 2x2 grid of circles. The top row is connected to a box labeled ρ . The left and right sides are connected to boxes labeled ρ and ρ respectively. Boxes labeled M_l and M_r are on the bottom edge, and a diamond is on the right edge.
- Diagram 6:** A 2x2 grid of circles. The top row is connected to a box labeled ρ . The left and right sides are connected to boxes labeled ρ and ρ respectively. Boxes labeled M_l and M_r are on the bottom edge, and a diamond is on the right edge.
- Diagram 7:** A 2x2 grid of circles. The top row is connected to a box labeled η . The left and right sides are connected to boxes labeled ρ and ρ respectively. A box labeled M_r is on the bottom edge, and a diamond is on the right edge.

$$\begin{aligned}
 & + e^{-iq_x} \left(e^{i2q_y} \left(\begin{array}{c} \xi \\ \rho \end{array} \right) + e^{iq_y} \left(\begin{array}{c} \rho \\ \rho \end{array} \right) \right. \\
 & \quad \left. + \left(\begin{array}{c} \rho \\ \rho \end{array} \right) + \left(\begin{array}{c} \eta \\ \rho \end{array} \right) \right) \\
 & + e^{-i2q_x} \left(\left(\begin{array}{c} \eta \\ \xi \end{array} \right) + \left(\begin{array}{c} \xi_r \\ \rho \end{array} \right) \right). \tag{77}
 \end{aligned}$$

The last contribution is given by the orientation of h as given by



where this time we have to make sure that we don't add the contributions that can be obtained by another bipartition:

$$\begin{array}{c} \alpha_4 \end{array} = e^{iq_x} \left(e^{iq_y} \left(\begin{array}{c} \eta \\ \xi_l \end{array} \right) + \left(\begin{array}{c} \eta_r \\ \xi \end{array} \right) \right)$$

$$\left(e^{2iq_y} \text{Diagram 1} + e^{iq_y} \text{Diagram 2} + \text{Diagram 3} \right) \quad (79)$$

Now we construct the tensor which adds these four contributions (with $\alpha_t = \sum_{i=1\dots 4} \alpha_i$)

$$\alpha = \frac{\alpha_t}{(1 - e^{iq_y} T)^P} \quad (80)$$

and, finally

$$M_{\vec{q}}^{nl}(B, B') = e^{iq_y} \text{Diagram} + \text{rotations.} \quad (81)$$

Special disconnected contributions

In this subsection, we compute all diagrams which cannot be obtained by making a horizontal bipartition in the lattice where B' is on the one side, and B and h are on the other. We compute all terms for which h is in the first quarter as defined by B' , i.e. in the upper-left region

$$\text{Diagram} \quad (82)$$

and B can be anywhere such that there is no bipartition possible. The three other orientations of h are related through rotations of these diagrams.

All terms are given by

$$M_{\vec{q}}^{sp}(B, B') = \text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} + \text{Diagram 4} \quad (83)$$

$+ e^{-iq_x}$

$+ e^{-iq_y}$

$+ e^{iq_x}$

$+ e^{iq_x} e^{iq_y}$

$+ e^{iq_y}$

$+ e^{2iq_y}$

(83)