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#### A REMARK ON THE DIXMIER CONJECTURE

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ABSTRACT. The Dixmier Conjecture says that every endomorphism of the (first) Weyl algebra  $A_1$  (over a field of characteristic zero) is an automorphism, i.e., if PQ-QP = 1 for some  $P, Q \in A_1$  then  $A_1 = K \langle P, Q \rangle$ . The Weyl algebra  $A_1$  is a  $\mathbb{Z}$ -graded algebra. We prove that the Dixmier Conjecture holds if the elements P and Q are sums of no more than two homogeneous elements of  $A_1$  (there is no restriction on the total degrees of P and Q).

Key Words: the Weyl algebra, the Dixmier Conjecture, automorphism, endomorphism, a  $\mathbb{Z}$ -graded algebra.

Mathematics subject classification 2010: 16S50, 16W20, 16S32, 16W50.

#### 1. INTRODUCTION

In the paper, K is a field of characteristic zero and  $K^* := K \setminus \{0\}$ . The algebra  $A_1 := K \langle X, Y \mid [Y, X] = 1 \rangle$  is called the *first Weyl algebra* where [Y, X] = YX - XY. The *n*'th tensor power of  $A_1, A_n := A_1^{\otimes n} = \underbrace{A_1 \otimes \cdots \otimes A_1}_{n \text{ times}}$ , is called the *n*'th Weyl

algebra. The algebra  $A_n$  is a simple Noetherian domain of Gel'fand-Kirillov dimension GK  $(A_n) = 2n$ , it is canonically isomorphic to the algebra of polynomial differential operators  $K\langle X_1, \ldots, X_n, \partial_1, \ldots, \partial_n \rangle$  (where  $\partial_i = \frac{\partial}{\partial x_i}$ ) via  $X_i \mapsto X_i, Y_i \mapsto \partial_i$  for  $i = 1, \ldots, n$ .

In his seminal paper [9], Dixmier (1968) found explicit generators for the group  $G = \operatorname{Aut}_K(A_1)$  of K-automorphisms of the Weyl algebra  $A_1$ . Namely, the group G is generated by the obvious automorphisms:

$$(X, Y) \mapsto (X, Y + \lambda X^n), \quad (X, Y) \mapsto (X + \lambda Y^n, Y), \quad (X, Y) \mapsto (\mu X, \mu^{-1}Y)$$

where  $\lambda \in K$ ,  $\mu \in K^*$  and  $n \in \mathbb{N}_+ := \{1, 2, \ldots\}$ .

In [9], Dixmier posed six problems: The first problem of Dixmier (in the list) asks if every endomorphism of the Weyl algebra  $A_1$  is an automorphism, i.e., given elements P, Q of A such that [P, Q] = 1, do they generate the algebra  $A_1$ ? A similar problem but for the n'th Weyl algebra is called the Dixmier Conjecture. Problems 3 and 6 have been solved by Joseph [10] (1975), Problem 5 and Problem 4 (in the case of homogeneous elements) have been solved by Bavula [4] (2005).

The Dixmier Conjecture implies the *Jacobian Conjecture* (see [2]) and the inverse implication is also true (see [11] and [8]); a short proof is given in [6]; see also [1]).

In [5], it is shown that for each K-endomorphism  $\phi : A_n \to A_n$  its image is very large, i.e., the left  $A_{2n}$ -module  ${}^{\phi}A_n{}^{\phi}$  is a holonomic  $A_{2n}$ -module (where for all  $a, b \in A_n$  and  $c \in {}^{\phi}A_n{}^{\phi}$ ,  $a \cdot c \cdot b := \phi(a)c\phi(b)$ ). In particular, it has finite length with simple holonomic factors over  $A_{2n}$  (see [5] for details). To prove that the Dixmier Conjecture holds for the Weyl algebra  $A_n$  it remains to show that the length is 1. Note, that the Gel'fand-Kirillov dimension of a simple  $A_{2n}$ -module can be  $2n, 2n + 1, \ldots, 4n - 1$ , and the last case is the generic case.

In [7], it is shown that every algebra endomorphism of the algebra  $\mathbb{I}_1 = K\langle x, \partial, f \rangle$  of polynomial integro-differential operators is an automorphism and it is conjectured that the same result holds for  $\mathbb{I}_n := \mathbb{I}_1^{\otimes n} = K\langle x_1, \ldots, x_n, \partial_1, \ldots, \partial_n, \int_1, \ldots, \int_n \rangle$ .

The Weyl algebra  $A_1 = \bigoplus_{i \in \mathbb{Z}} A_{1,i}$  is a  $\mathbb{Z}$ -graded algebra  $(A_{1,i}A_{1,j} \subseteq A_{1,i+j} \text{ for all } i, j \in \mathbb{Z})$  where  $A_{1,0} = K[H]$ , H = YX and, for  $i \ge 1$ ,  $A_{1,i} = K[H]X^i$  and  $A_{1,-i} = K[H]Y^i$ . For a nonzero element a of  $A_1$ , the number of *nonzero homogeneous* components is called the *mass* of a, denoted by m(a). For example,  $m(\alpha X^i) = 1$  for all  $\alpha \in K[H] \setminus \{0\}$  and  $i \ge 1$ . The aim of this paper is to prove the following theorem.

**Theorem 1.1.** Let P, Q be elements of the first Weyl algebra  $A_1$  with  $m(P) \leq 2$  and  $m(Q) \leq 2$ . If [P,Q] = 1 then  $P = \tau(Y)$  and  $Q = \tau(X)$  for some automorphism  $\tau \in \operatorname{Aut}_K(A_1)$ .

### 2. Proof of Theorem 1.1

The Weyl algebra is a generalized Weyl algebra. Let D be a ring with an automorphism  $\sigma$  and a central element a. The generalized Weyl algebra  $A = D(\sigma, a)$  of degree 1, is the ring generated by D and two indeterminates X an Y subject to the relations [3]:

 $X\alpha = \sigma(\alpha)X$  and  $Y\alpha = \sigma^{-1}(\alpha)Y$ , for all  $\alpha \in D$ , YX = a and  $XY = \sigma(a)$ .

The algebra  $A = \bigoplus_{n \in \mathbb{Z}} A_n$  is a  $\mathbb{Z}$ -graded algebra where  $A_n = Dv_n$ ,  $v_n = X^n$  (n > 0),  $v_n = Y^{-n}$  (n < 0),  $v_0 = 1$ . It follows from the defining relations that

$$v_n v_m = (n, m) v_{n+m} = v_{n+m} < n, m > 0$$

for some elements  $(n,m) = \sigma^{-n-m} (\langle n,m \rangle) \in D$ . If n > 0 and m > 0 then

$$\begin{split} n &\geq m \; : \; (n,-m) = \sigma^n(a) \cdots \sigma^{n-m+1}(a), \; (-n,m) = \sigma^{-n+1}(a) \cdots \sigma^{-n+m}(a), \\ n &\leq m \; : \; (n,-m) = \sigma^n(a) \cdots \sigma(a), \; (-n,m) = \sigma^{-n+1}(a) \cdots a, \end{split}$$

in other cases (n, m) = 1.

Let K[H] be a polynomial ring in a variable H over the field K,  $\sigma : H \to H - 1$ be the K-automorphism of the algebra K[H] and a = H. The first Weyl algebra  $A_1 = K\langle X, Y | YX - XY = 1 \rangle$  is isomorphic to the generalized Weyl algebra

$$A_1 \simeq K[H](\sigma, H), \ X \mapsto X, \ Y \mapsto Y, \ YX \mapsto H.$$

We identify both these algebras via this isomorphism, that is  $A_1 = K[H](\sigma, H)$  and H = YX.

If n > 0 and m > 0 then

$$\begin{split} n &\geq m \; : \; (n,-m) = (H-n) \cdots (H-n+m-1), \; (-n,m) = (H+n-1) \cdots (H+n-m), \\ n &\leq m \; : \; (n,-m) = (H-n) \cdots (H-1), \; (-n,m) = (H+n-1) \cdots H, \\ \text{in other cases } (n,m) = 1. \end{split}$$

The localization  $B = S^{-1}A_1$  of the Weyl algebra  $A_1$  at the Ore subset  $S = K[H] \setminus \{0\}$ of  $A_1$  is the skew Laurent polynomial ring  $B = K(H)[X, X^{-1}; \sigma]$  with coefficients from the field  $K(H) = S^{-1}K[H]$  of rational functions where  $\sigma \in \operatorname{Aut}_K K(H)$  and  $\sigma(H) = H - 1$ . The map  $A_1 \to B$ ,  $a \mapsto a/1$  is an algebra monomorphism. We identify the algebra  $A_1$  with its image in the algebra B via  $A_1 \to B$ ,  $X \mapsto X$ ,  $Y \mapsto HX^{-1}$ . The algebra  $B = \bigoplus_{i \in \mathbb{Z}} B_i$  is a  $\mathbb{Z}$ -graded algebra where  $B_i = K(H)X^i$ . The algebra  $A_1$ is a  $\mathbb{Z}$ -graded subalgebra of B.

A polynomial  $f(H) = \lambda_n H^n + \lambda_{n-1} H^{n-1} + \cdots + \lambda_0 \in K[H]$  of degree n is called a monic polynomial if the leading coefficient  $\lambda_n$  of f(H) is 1. A rational function  $h \in K(H)$  is called a monic rational function if h = f/g for some monic polynomials f, g. A homogeneous element  $u = \alpha x^n$  of B is called monic if  $\alpha$  is a monic rational function. We can extend the concept of degree of polynomial to the field of rational functions by the rule deg  $h = \deg f - \deg g$  where  $h = f/g \in K[H]$ . If  $h_1, h_2 \in K(H)$ then deg  $h_1h_2 = \deg h_1 + \deg h_2$  and  $\deg(h_1 + h_2) \leq \max\{\deg h_1, \deg h_2\}$ . We denote by sign(n) and by |n| the sign and the absolute value of  $n \in \mathbb{Z}$ , respectively.

Let A be an algebra and  $a \in A$ . The subalgebra of A,  $C_A(a) = \{b \in A \mid ab = ba\}$ , is called the *centralizer* of the element a in A.

**Proposition 2.1** ([4], Proposition 2.1). (Centralizer of a Homogeneous Element of the Algebra B)

(1) Let  $u = \alpha X^n$  be a monic element of  $B_n$  with  $n \neq 0$ . Then the centralizer  $C_B(u) = K[v, v^{-1}]$  is a Laurent polynomial ring for a unique element  $v = \beta X^{\operatorname{sign}(n)s}$  where s is the least positive divisor of n for which there exists an element  $\beta = \beta_s \in K(H)$ , necessarily monic and uniquely defined, such that

(1) 
$$\beta \,\sigma^s(\beta) \,\sigma^{2s}(\beta) \cdots \sigma^{(n/s-1)s}(\beta) = \alpha, \text{ if } n > 0.$$

(2) 
$$\beta \, \sigma^{-s}(\beta) \, \sigma^{-2s}(\beta) \cdots \sigma^{-(|n|/s-1)s}(\beta) = \alpha, \quad \text{if} \quad n < 0.$$

(2) Let 
$$u \in K(H) \setminus K$$
. Then  $C_B(u) = K(H)$ 

Let  $A_{1,+} := K[H][X;\sigma]$  and  $A_{1,-} := K[H][Y;\sigma^{-1}]$ . The algebras  $A_{1,+}$  and  $A_{1,-}$  are (skew polynomial) subalgebras of  $A_1$ .

**Lemma 2.2** ([4]). If  $u \in A_{1,\pm} \setminus \{0\}$  then  $C_A(u) \subseteq A_{1,\pm}$ .

The K-automorphism of the Weyl algebra  $A_1$ ,

(3) 
$$\xi: A_1 \to A_1, \ X \mapsto Y, \ Y \mapsto -X,$$

reverses the  $\mathbb{Z}$ -grading of the Weyl algebra  $A_1$ , that is

(4) 
$$\xi(A_{1,i}) = A_{1,-i} \text{ for all } z \in \mathbb{Z}.$$

By the degree of an element of  $A_1$  we mean its total degree with respect to the canonical generators X and Y of  $A_1$ . Let  $A_{1,\leq i} := \{p \in A \mid \deg(p) \leq i\}$  for  $i \in \mathbb{N}$ . Then  $\{A_{1,\leq i}\}_{i\in\mathbb{N}}$  is the standard filtration of the algebra  $A_1$  associated with the generators X and Y. For all  $i \in \mathbb{Z} \setminus \{0\}$  and  $f \in K[H] \setminus K$ ,

(5) 
$$\deg \sigma^i(f) = \deg f \text{ and } \deg(1 - \sigma^i)(f) = \deg f - 1.$$

**Proof of Theorem 1.1:** (i) If  $P, Q \in A_{1,\leq 1}$  then  $P = \tau(Y)$  and  $Q = \tau(X)$  for some  $\tau \in \operatorname{Aut}_K(A_1)$ : Clearly,  $P = aY + bX + \lambda$  and  $Q = cY + dX + \mu$  for some  $a, b, c, d, \lambda, \mu \in K$ . Then 1 = [P, Q] = ad - bc. So, the automorphism  $\tau$  can be chosen of the form

$$\tau(Y) = aY + bX + \lambda$$
 and  $\tau(X) = cY + dX + \mu$ .

So, till the end of the proof we assume that at least one of the polynomials P or Q does not belong to the space  $A_{1,\leq 1}$ . In view of the relation 1 = [P,Q] = [-Q,P], we can assume that  $P \notin A_{1,\leq 1}$ . In view of Equation (4), we can assume that the highest homogeneous part of P, say  $P_p \in A_{1,p}$ , satisfies the condition that  $p \geq 2$ . Since  $m(P) \leq 2$ , either  $P = P_p$  (if m(P) = 1) or otherwise  $P = P_r + P_p$  for some nonzero  $P_r \in A_{1,r}$  where r < p.

(*ii*)  $(m(P), m(Q)) \neq (1, 1)$ : Suppose that m(P) = m(Q) = 1, we seek a contradiction. Then  $P = \alpha X^p$  and  $Q = \beta Y^p$  for some nonzero polynomials  $\alpha, \beta \in K[H]$ . Then

$$1 = [P,Q] = \alpha \sigma^{p}(\beta)(p,-p) - \beta \sigma^{-p}(\alpha)(-p,p)$$
$$= \alpha \sigma^{p}(\beta)(p,-p) - \beta \sigma^{-p}(\alpha) \sigma^{-p}((p,-p)) = (1 - \sigma^{-p})(\alpha \sigma^{p}(\beta)(p,-p)).$$

Since  $p \ge 2$  (or  $P \notin A_{1,\le 1}$ ),

$$0 = \deg 1 = \deg (1 - \sigma^{-p})(\alpha \sigma^{p}(\beta)(p, -p)) = \deg \alpha + \deg \beta + \deg (p, -p) - 1$$
  
(by Equation (5))  $\geq 0 + 0 + p - 1 \geq 2 - 1 = 1$ , a contradiction.

(iii)  $(m(P), m(Q)) \neq (1, 2)$ : Suppose that m(P) = 1 and m(Q) = 2. Then  $P = \alpha X^p$  for some  $p \geq 2$  and  $Q = Q_s + Q_q$  where  $Q_s \in A_{1,s}$ ,  $Q_q \in A_{1,q}$  and s < q. By Lemma 2.2, the equality [P, Q] = 1 implies that  $[P, Q_s] = 1$  and  $[P, Q_q] = 0$ . By the case (ii), this is not possible.

(iv) Suppose that m(P) = 2 and m(Q) = 1. Then  $P = P_r + P_p$  and  $Q = Q_q$ . By Lemma 2.2 the equality [P,Q] = 1 implies that  $[P_p,Q_q] = 0$  and  $[P_r,Q_q] = 1$ . Then,  $q \ge 0$ , by Lemma 2.2. The case q = 0 is not possible since then both  $P_r, Q_q \in K[H]$  and this would contradict the equality  $[P_r,Q_q] = 1$ . Therefore, q > 0. Then  $P_r = \beta Y^q$  and  $Q_q = \alpha X^q$  for some nonzero elements  $\beta, \alpha \in K[H]$ . Then

$$1 = [Q_q, P_r] = (1 - \sigma^{-q})(\alpha \sigma^p(\beta)(q, -q))$$

implies that

$$0 = \deg(-1) = \deg(1 - \sigma^{-q})(\alpha \sigma^p(\beta)(q, -q)) = \deg\alpha + \deg\beta + q - 1$$

by Equation (5). Hence, q = 1,  $\alpha, \beta \in K^*$  and  $\beta = -\alpha^{-1}$ . Then  $P, Q \in A_{1,\leq 1}$ , and, by the statement (i), the pair (P, Q) is obtained from the pair (Y, X) by applying an automorphism of  $A_1$ .

 $(v) (m(P), m(Q)) \neq (2, 2)$ : Since m(P) = m(Q) = 2, we can write  $P = P_r + P_p$  and  $Q = Q_s + Q_q$  as sums of homogeneous elements where  $r < p, P_r \in A_{1,r}, P_p \in A_{1,p}$  and  $s < q, Q_s \in A_{1,s}, Q_q \in A_{1,q}$ . The equality [P, Q] = 1 implies that

$$[P_r, Q_s] = 0$$
 and  $[P_p, Q_q] = 0$ ,

see Lemma 2.2. By Lemma 2.2, the elements r and s have the same sign (i.e., either r < 0, s < 0 or r = s = 0 or r > 0, s > 0) and also the elements p and q have the same sign. Since  $p \ge 2$ , we must have q > 0.

Suppose that  $r \ge 0$ , we seek a contradiction. Then  $s \ge 0$  and so the elements P and Q are elements of the subring  $A_{1,+} = \bigoplus_{i\ge 0} K[H]X^i$ . Now,

$$K[H] \ni 1 = [P,Q] \in [A_{1,+}, A_{1,+}] \subseteq \bigoplus_{i \ge 1} K[H] X^i$$

a contradiction. Therefore, r < 0 and s < 0.

The equality  $1 = [P, Q] = [P_r, Q_q] + [P_p, Q_s]$  and Lemma 2.2 imply that r + q = 0 and p + s = 0, that is r = -q and s = -p. So,

$$P = P_{-q} + P_p$$
 and  $Q = Q_{-p} + Q_q$ 

The elements  $P_p$  and  $P_{-q}$  are homogeneous elements of the Weyl algebra  $A_1$ . The Weyl algebra  $A_1$  is a homogeneous subalgebra of the algebra

$$K(H)[X, X^{-1}; \sigma] = K(H)[Y, Y^{-1}; \sigma^{-1}]$$

where K(H) is the field of rational functions in the variable H and the automorphism  $\sigma$  of K(H) is given by the rule  $\sigma(H) = H - 1$ . By [4, Proposition 2.1(1)], the centralizer  $C_B(P_p)$  of the element  $P_p$  in B is a Laurent polynomial algebra

$$K[\alpha X^n, (\alpha X^n)^{-1}]$$

for some nonzero element  $\alpha \in K(H)$  and  $n \geq 1$ . In general,  $\alpha \notin K[H]$ . Similarly,

$$C_B(P_{-q}) = K[\beta Y^m, (\beta Y^m)^{-1}]$$

for some nonzero element  $\beta \in K(H)$  and  $m \ge 1$ .

Since  $[P_p, Q_q] = 0, Q_q \in C_B(P_p)$  and

$$P_p = \lambda(P_p)(\alpha X^n)^i = \lambda(P_p)\alpha\sigma^n(\alpha)\cdots\sigma^{n(i-1)}(\alpha)X^{ni} = \alpha_{n,i}X^p,$$
  

$$Q_q = \lambda(Q_q)(\alpha X^n)^j = \lambda(Q_q)\alpha\sigma^n(\alpha)\cdots\sigma^{n(j-1)}(\alpha)X^{nj} = \alpha'_{n,j}X^q,$$

for some nonzero scalars  $\lambda(P_p), \lambda(Q_q) \in K^*$  and some  $i \ge 1$  and  $j \ge 1$  where

$$\alpha_{n,i} = \lambda(P_p)\alpha\sigma^n(\alpha)\cdots\sigma^{n(i-1)}(\alpha) \in K[H], \ p = ni,$$
  
$$\alpha'_{n,j} = \lambda(Q_q)\alpha\sigma^n(\alpha)\cdots\sigma^{n(j-1)}(\alpha) \in K[H], \ q = nj.$$

Since  $[P_{-p}, Q_{-p}] = 0, Q_{-p} \in C_B(P_{-q})$  and

$$P_{-q} = \lambda(P_{-q})(\beta Y^m)^s = \lambda(P_{-q})\beta\sigma^{-m}(\beta)\cdots\sigma^{-m(s-1)}(\beta)Y^{ms} = \beta_{m,s}Y^p,$$
$$Q_{-p} = \lambda(Q_{-p})(\beta Y^m)^t = \lambda(Q_{-p})\beta\sigma^{-m}(\beta)\cdots\sigma^{-m(t-1)}(\beta)Y^{mt} = \beta'_{m,t}Y^q,$$

for some nonzero scalars  $\lambda(P_{-q}), \lambda(Q_{-p}) \in K^*$  and some  $s \ge 1$  and  $t \ge 1$  where

$$\begin{split} \beta_{m,s} &= \lambda(P_{-q})\beta\sigma^{-m}(\beta)\cdots\sigma^{-m(s-1)}(\beta) \in K[H], \ p = ms, \\ \beta_{m,t}' &= \lambda(Q_{-p})\beta\sigma^{-m}(\beta)\cdots\sigma^{-m(t-1)}(\beta) \in K[H], \ q = mt. \end{split}$$

Now,

$$1 = [P,Q] = [P_p,Q_{-p}] + [P_{-q},Q_q] = [\alpha_{n,i}X^p,\beta'_{m,t}Y^p] + [\beta_{m,s}Y^q,\alpha'_{n,j}X^q]$$
  
=  $\alpha_{n,i}\sigma^p(\beta'_{m,t})(p,-p) - \beta'_{m,t}\sigma^{-p}(\alpha_{n,i})(-p,p)$   
+ $\beta_{m,s}\sigma^{-q}(\alpha'_{n,j})(-q,q) - \alpha'_{n,j}\sigma^q(\beta_{m,s})(q,-q).$ 

Using the equalities  $(-p, p) = \sigma^{-p}((p, -p))$  and  $(-q, q) = \sigma^{-q}((q, -q))$ , the last equality above can be rewritten as follows 1=ab

(6) 
$$1 = (1 - \sigma^{-p})(a) + (1 - \sigma^{-q})(b)$$

where  $a = \alpha_{n,i}\sigma^p(\beta'_{m,t})(p,-p) \in K[H]$  and  $b = \alpha'_{n,j}\sigma^q(\beta_{m,s})(q,-q) \in K[H]$ . Recall that  $P = P_{-q} + P_p$ ,  $Q = Q_{-p} + Q_q$ , 2=ab

(7) 
$$p = mt = ni \ge 2 \text{ and } q = ms = nj \ge 1.$$

Suppose that p = q, and so  $P = P_{-p} + P_p$ ,  $Q = Q_{-p} + Q_p$ . Then  $Q = \lambda P_p$  for some  $\lambda \in K^*$ . Notice that

$$1 = [P,Q] = [P,Q - \lambda P], m(P) = 2 \text{ and } m(Q - \lambda P) = 1.$$

By the case (iv), the pair  $(P, Q - \lambda P)$  is obtained from the pair (Y, X) by applying an automorphism of the Weyl algebra  $A_1$ .

So, either p < q or p > q. In view of (P, Q)-symmetry (1 = [P, Q] = [-Q, P]), it suffices to consider, say, the first case only. Since p < q, the equalities (7) imply that i < j and t < s. Then, using Equation (5) and the fact that  $\deg(p, -p) = p$  for all  $p \ge 1$ , we see that

$$\deg a = \deg \alpha_{n,i} + \deg \beta'_{m,t} + p - 1, \deg b = \deg \alpha'_{n,j} + \deg \beta_{m,s} + q - 1.$$

Since i < j and t < s, deg  $\alpha_{n,i} < \deg \alpha'_{n,j}$  and deg  $\beta'_{m,t} < \deg \beta_{m,s}$ . In particular, deg  $a < \deg b$ . This equality contradicts Equation (6) since, by Equation (5),

$$0 = \deg 1 = \deg a - 1 - \deg b + 1 = \deg a - \deg b > 0.$$

This means that the cases p < q and p > q are impossible. The proof of the theorem is complete.  $\Box$ 

**Corollary 2.3.** Let P, Q be elements of the first Weyl algebra  $A_1$  with m(P) = 1 or m(Q) = 1. If [P,Q] = 1 then  $P = \tau(Y)$  and  $Q = \tau(X)$  for some automorphism  $\tau \in \operatorname{Aut}_K(A_1)$ .

**Proof:** Without loss of generality we may assume m(Q) = 1 and  $m(P) \ge 3$ . That is  $Q = Q_q$  and  $P = \sum_{i \in I} P_i$ , where  $I \subset \mathbb{Z}$  is a finite set,  $q \in \mathbb{Z} \setminus \{0\}$  and the elements  $Q_q$  and  $P_i$  are homogeneous in  $A_1$ . By Equation (4), we may assume that q > 0. Then

$$1 = [P,Q] = \sum_{i} [P_i,Q_q]$$

implies that  $-q \in I$ ,  $[P_{-q}, Q_q] = 1$  and  $[P_j, Q_q] = 0$  for all  $j \in I$  such that  $j \neq -q$ . By Theorem 1.1,

$$q = 1, Q_1 = \lambda X$$
 and  $P_{-1} = \lambda^{-1} Y$  for some  $\lambda \in K^*$ .

By Lemma 2.2,  $C := P - P_{-1} \in C_A(X) = K[X]$ . Then  $P = \tau(Y)$  and  $Q = \tau(X)$  where  $\tau : A_1 \to A_1, X \mapsto \lambda X, Y \mapsto \lambda^{-1}Y + C$ , is an automorphism.  $\Box$ 

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