

1        **Potential overestimation of community respiration in the western Pacific**  
2        **boundary ocean: what causes the putative net heterotrophy in oligotrophic**  
3        **systems?**

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28 ***Abstract***

29 Microbial metabolism is of great importance in affecting the efficiency of biological  
30 pump and global carbon cycles. However, the metabolic state of the oligotrophic ocean,  
31 the largest biome on Earth, remains contentious. We examined the planktonic and  
32 bacterial metabolism using *in vitro* incubations along the western Pacific boundary  
33 during September and October 2016. The integrated gross primary production (GPP) of  
34 the photic zone exhibited higher values in the region of 2°-8°N along 130°E and the  
35 western Luzon Strait, which is consistent with the regional variability of nutrients in the  
36 different ocean provinces. Spatially, the community respiration (CR) was less variable  
37 than the GPP and slightly exceeded the GPP at most of the sampling stations. Overall, the  
38 *in vitro* incubation results suggest a prevailing heterotrophic state in this region. A  
39 comparison of the metabolic rates from the *in vitro* incubations with recently published  
40 biogeochemical model results in the same region shows that our observed GPP values  
41 were close to those predicted by the model, but the measured CR was approximately 30%  
42 higher than the modelled values. We also found that most of the *in vitro* CR estimates  
43 were higher than the upper range of the empirical CR estimated from the sum of the  
44 contributions of the main trophic groups. Conversely, the estimates of the empirical CR  
45 support the rationality of the CR predicted by the biogeochemical model. In general, the  
46 results indicate that systematic net heterotrophy is more likely a result of the

47 overestimation of CR measured by the light-dark bottle incubation experiments, although

48 the exact cause of the methodological problem remains unknown.

49

50 ***Introduction***

51 Biological carbon production and consumption are two important ecological  
52 processes in the marine system and contribute significantly to the global carbon cycles  
53 [Longhurst, 1995]. Marine phytoplankton are responsible for almost half of global  
54 primary production [Field *et al.*, 1998]. Most of the organic carbon produced via  
55 photosynthesis is remineralized by heterotrophic organisms and released as dissolved  
56 inorganic carbon, and a tiny fraction of the particulate organic carbon is exported into the  
57 deep ocean, which is the so-called *biological pump* process [Sigman and Boyle, 2000].  
58 The difference between the gross primary production (GPP) and community respiration  
59 (CR), termed net community production (NCP), should theoretically be equal to the  
60 amount of organic carbon available for potential export and thus is suggested to be one of  
61 the best descriptors of the role of biota in oceanic absorption or release of atmospheric  
62 CO<sub>2</sub> [Ducklow and Doney, 2013; Giorgio *et al.*, 2005]. Increasing amounts of evidence  
63 indicate that in addition to primary production, the variability and magnitude of  
64 heterotrophic respiration also play important roles in the emergence of the geographic  
65 patterns of NCP or export production [Aranguren-Gassis *et al.*, 2011; Serret *et al.*, 2015].  
66 Therefore, accurate assessments of autotrophic and heterotrophic metabolism are required  
67 for a more comprehensive understanding of the efficiency of the biological pump at the  
68 global scale.

69 Over the last several decades, the metabolic state in the oligotrophic ocean has been  
70 actively debated in oceanography; the NCP signals derived from the *in vitro* incubation  
71 approach, typically using light-dark bottles, suggest a prevalence of heterotrophy in the  
72 oligotrophic ocean, which is in sharp contrast with the consistently positive NCP signals  
73 derived from incubation-free methods [*C. M. Duarte et al.*, 2013; *Ducklow and Doney*,  
74 2013; *P J L Williams et al.*, 2013]. The advantage of the incubation approach is that it  
75 allows us to estimate the integrated NCP from discrete depths and over 24 h, whereas  
76 most incubation-free techniques can only constrain the integrated rates at fixed depths,  
77 typically within the surface mixed layer. *C. M. Duarte et al.* [2013] compiled a global  
78 incubation-based dataset, and the scaling functions suggest that the open ocean, with  
79 values of GPP and chlorophyll-*a* (Chl-*a*) concentrations less than 2 mmol O<sub>2</sub> m<sup>-3</sup> d<sup>-1</sup> and  
80 0.44 mg m<sup>-3</sup>, respectively, tend to be systematically heterotrophic. In addition, *Regaudie-*  
81 *de-Gioux and Duarte* [2012] examined the sensitivity of primary production and  
82 respiration to temperature, and the results implied higher activation energy of respiration  
83 ( $0.66 \pm 0.05$  eV) than primary production ( $0.32 \pm 0.04$  eV). The implication is that all  
84 other things being equal, the CR is likely to exceed the GPP in the tropical and  
85 subtropical ocean. However, the purported heterotrophy suggested by *in vitro* incubation  
86 remains questionable in part because the carbon deficit is difficult to sustain based on the  
87 current understanding of ocean carbon cycling [*Ducklow and Doney*, 2013; *P J L*  
88 *Williams et al.*, 2013]. Recent improvements in understanding this controversy were

89 attempted by *Letscher and Moore* [2017], who first included globally optimized  
90 dissolved organic carbon cycling into an ecosystem-circulation ocean model to assess the  
91 metabolic rates around the global ocean, which provides a powerful approach to validate  
92 the observations of the metabolic state from a geochemical perspective.

93 Bacteria play a vital role in the nutrient and organic cycle [*Arrigo, 2005*] and mediate  
94 the carbon transfer efficiency from lower to higher trophic levels through the microbial  
95 loop, which in turn influences the organic export [*Azam et al., 1983; Jiao et al., 2010*].  
96 Bacterial respiration has been commonly considered to be the major part of CR.  
97 Especially in some unproductive marine ecosystems, bacterial respiration has been  
98 suggested to even exceed the net primary production [*Del Giorgio et al., 1997*].  
99 However, this view was challenged by *Calbet and Landry* [2004], who argued that  
100 because microzooplankton consume a substantial proportion (~70%) of primary  
101 production, their contribution to CR must not be negligible. Thus, quantification of  
102 bacterial activity is critical for defining the metabolic balance.

103 The western Pacific Ocean is a particularly important region in regulating the global  
104 ocean circulation and climate system by the active exchange and transport of water, heat  
105 and salinity with adjacent tropical and subtropical oceans [*Hu et al., 2015*]. The currents  
106 in the epipelagic zone are complicated and mainly include the North Equatorial Current  
107 (NEC), North Equatorial Countercurrent (NECC), Subtropical Countercurrent (STCC),

108 Kuroshio Current (KC) and Mindanao Current (MC) [Hu *et al.*, 2015]. This area is a  
109 water-mass crossroads [Fine, 1994] and is also a typical tropical-subtropical oligotrophic  
110 environment that is characterized by very low Chl-*a* and nutrient concentrations in the  
111 upper ocean [G Yang *et al.*, 2017c]. The present knowledge about this region is  
112 particularly focused on the hydrographic dynamics (see the review of Hu *et al.* [2015]),  
113 and the biological processes have been explored much less except for several reports on  
114 the geographic patterns of zooplankton distributions [G Yang *et al.*, 2017b] and nitrogen  
115 fixation [Shiozaki *et al.*, 2009]. The aim of this study is to determine the geographic  
116 pattern of planktonic and bacterial activity in the region of the still undersampled western  
117 Pacific boundary. Although incubation experiments using light-dark bottles are a  
118 straightforward and widely used method to measure metabolic rates in various  
119 environments, the different results in the oligotrophic ocean between this method and  
120 other incubation-free methods suggest that there might be a bias with this method,  
121 particularly in oligotrophic warm oceans [C. M. Duarte *et al.*, 2013; P J L Williams *et al.*,  
122 2013]. Of course, each methodology has its own assumptions and potential limitations. It  
123 is desirable to compare methods to reduce the uncertainty and enhance our understanding  
124 of the metabolic state of the oligotrophic ocean, which is the largest biome on Earth.  
125 Specifically, we compare our observational results with those of an excellent modelling  
126 study of the metabolism of the global ocean [Letscher and Moore, 2017]. We also try to



127 estimate CR by summing the contributions of major trophic groups based on independent  
128 measurements and various conversion factors reported in the literature.

129 Based on these arguments, we ask the following two sets of questions:

130 1. Can we observe the net heterotrophic state in the tropical-subtropical and oligotrophic  
131 western Pacific boundary using the *in vitro* incubation method following the scaling laws  
132 proposed by Duarte et al. (2013)? Will the results be consistent with the model results of  
133 Letscher and Moore (2017) and other estimates? If the answers are yes, then we should  
134 search for evidence of lateral transport of dissolved organic matter in this region.

135 2. If the estimated NCP rates differ between methodologies, what are the sources in  
136 terms of the GPP or CR that cause this discrepancy? In other words, what types of  
137 measurements are the most likely to be biased?

138

## 139 ***Methods***

### 140 **Study sites**

141 The cruise was conducted in the western North Pacific Ocean along two transects at  
142 130°E (2°N- 20°N) and 20°N (120°E-132°E) from 7 September to 9 October, 2016, on  
143 RV “*KEXUE*” (Fig. 1). A total of 31 stations were investigated, and 11 stations were  
144 used for incubation experiments (red triangles in Fig. 1). The approximate fields of the  
145 main currents in the western North Pacific are shown in Fig. 1.

146

147 **Physical and chemical measurements**

148 The water temperature and salinity at each station were measured using a Sea-Bird  
149 Electronics CTD SBE 911plus probe. The CTD probe was calibrated immediately before  
150 the cruise. To determine the concentrations of inorganic nitrate plus nitrite, ammonium  
151 and silicate and phosphate, 100 ml water samples were collected at 6-8 discrete depths  
152 from 0 to 300 m using 20 L Niskin metal-free bottles attached to the rosette of the CTD.  
153 The water samples were subsequently analyzed using a Skalar Flow Analyzer (Skalar  
154 Ltd., Netherland), and the data quality was estimated via inter-calibration. The depth of  
155 the nitracline was determined as the depth where the nitrate concentration reached 5  
156  $\text{mmol m}^{-3}$ . The nitrate gradient across the base of the euphotic zone at each station was  
157 calculated as an index of the potential availability of nutrients in the euphotic zone by  
158 vertical diffusion from the deeper layer.

159

160 **Biological measurements and *in vitro* oxygen-based metabolism**

161 Seawater samples from five discrete depths, corresponding to 100%, 50%, 10%, and  
162 1% of the surface incident irradiance and the deep chlorophyll maximum (DCM), were  
163 collected at the incubation stations above 200 m water depth before dawn. If the depth of  
164 the DCM was coincident with the depth of 10% or 1% surface incident irradiance, an

165 additional depth between the layers of 50% and 1% surface incident irradiances was  
166 sampled. The sampled water was transferred into 10 L acid-cleaned carboys using a  
167 silicone tube. One L of water was filtered onto a Whatman GF/F filter to measure the Ch-  
168 *a* concentration. The Ch-*a* was extracted using 90% aqueous acetone in dark conditions  
169 for 12-20 h at 4°C and then measured by a Turner Trilogy fluorometer [*Welschmeyer*,  
170 1994].

171 The planktonic community metabolic rates were estimated from the changes in  
172 dissolved oxygen concentrations in the light-dark bottles over a 24-hour incubation  
173 period following the procedure of *Serret et al.* [1999]. The dissolved oxygen  
174 concentrations were determined by high-precision Winkler titration [*Huang et al.*, 2018;  
175 *Oudot et al.*, 1988] with an automated potentiometric end-point detection system  
176 (Metrohm-848, Switzerland). For each depth, the water samples were carefully siphoned  
177 into twelve calibrated 100 ml borosilicate bottles using silicon tubing, with more than 300  
178 ml overflowing. Then, four replicate bottles were immediately fixed by the Winkler  
179 reagents with  $\text{MnCl}_2$  (3 mol L<sup>-1</sup>) and NaI (4 mol L<sup>-1</sup>)/NaOH (8 mol L<sup>-1</sup>) to quantify the  
180 initial dissolved oxygen concentrations. The four light bottles were covered by neutral  
181 density meshes to adjust the light conditions to *mimic the in situ* irradiances of the  
182 corresponding sampling depths. The remaining quadruplicate bottles were placed inside  
183 dark bags as dark bottles. Both the light and dark bottles were incubated in a large tank

184 on the deck filled with running seawater pumped from the surface ocean and exposed to  
185 natural sunlight. After the 24-hour incubation period, the dissolved oxygen  
186 concentrations in the bottles were determined. GPP was calculated as the difference  
187 between the average dissolved oxygen concentrations in the light and dark bottles, and  
188 CR was calculated as the difference between the average dissolved oxygen  
189 concentrations in the initial and dark bottles. NCP was equal to GPP-CR. The average  
190 percentage coefficients of variation (% ratio of the standard deviation to the mean) of the  
191 dissolved oxygen replicates were 0.15%, 0.17% and 0.17% for the initial, light and dark  
192 bottles, respectively. The complete data set will be deposited in the public global  
193 respiration database: [https://www.uea.ac.uk/environmental-sciences/people/profile/carol-](https://www.uea.ac.uk/environmental-sciences/people/profile/carol-robinson#researchTab)  
194 [robinson#researchTab](https://www.uea.ac.uk/environmental-sciences/people/profile/carol-robinson#researchTab) (the dataset is maintained by Carol Robinson).

195 We noted that the on-deck incubation is subject to some problems such as changes of  
196 *in situ* light and temperature condition for the submarine samples during the incubation.  
197 The metabolic rates are temperature-dependent [*López-Urrutia et al.*, 2006; *Regaudie-de-*  
198 *Gioux and Duarte*, 2012]. The temperature in the incubator maintained by the running  
199 surface seawater would artificially elevate the *in situ* temperature conditions for the  
200 subsurface samples during the incubation. To minimize this effect, the metabolic rates  
201 below the surface were corrected by the activation energy of the GPP and CR reported by  
202 *Regaudie-de-Gioux and Duarte* 2012 (Supporting Information). It is also well known that

203 the spectral characteristics of submarine light differ from those of surface light, featured  
204 with a higher fraction of blue light [*Clarke and Oster*, 1934]. The use of neutral density  
205 screen in our study well simulated the attenuation of submarine light intensity, but failed  
206 to simulate submarine spectral composition. Since the peak absorption bands of most  
207 algal pigments lie in the blue region of the visible light spectrum, the Chl-*a* specific  
208 absorption coefficient for the phytoplankton in the sub-surface ocean would be higher in  
209 the same intensity dominated by blue light than white light. A previous study of *Edward*  
210 *A. Laws et al.* [1990] showed that real primary production rates would be underestimated  
211 by a factor of two if incubations are performed using surface light attenuated with neutral  
212 density screen. In the present study, the sampling depths were identified as different  
213 gradients of broad-band surface light estimated by the depth-averaged attenuation  
214 coefficient of water column ( $K_{\text{mean}}$ ). A study by *Kyewalyanga et al.* [1992] suggested that  
215 water-column primary production integrated from the sampling depths determined by  
216  $K_{\text{mean}}$  was not significantly different from the real primary production. Their results  
217 indicated that light field judged by  $K_{\text{mean}}$  gave higher light intensity at all depths  
218 compared to light intensity calculated using the spectral light value, then resulting in  
219 overestimating the *in situ* primary production. Thus, the negative bias due to the  
220 difference of spectral characteristics in the submarine would be partly compensated by  
221 the positive bias inherited from overestimated light intensity, leading to a final integrated  
222 value of primary production close to the real primary production. In the future study,

223 more improvements are expected to accurately achieve ambient *in situ* light condition and  
224 reduce the uncertainty by using the neutral and blue density screening or the incubation  
225 buoy if possible.

226

## 227 **Bacterial production**

228 Bacterial production (BP) was measured followed the protocols of <sup>3</sup>H-lecine  
229 incorporation [Chen *et al.*, 2014; Kirchman, 1993]. Four 1.8-mL aliquots of water were  
230 collected from each depth and added to 2-mL sterile microcentrifuge tubes (Axygen, Inc.,  
231 USA), and they were incubated with a saturating concentration (10 nmol L<sup>-1</sup>) of <sup>3</sup>H-  
232 lecine (Perkin Elmer, USA) for 2 hours in the dark. One sample was immediately killed  
233 by adding 100% trichloroacetic acid (TCA) as a control, and the other three incubations  
234 were stopped by the addition of TCA at the end of the 2-hour incubation. Five vacuum  
235 cups filled with the seawater from the corresponding sampling depths were used as the  
236 incubators for BP to stimulate the *in situ* temperature during the 2-hour incubation. After  
237 the incubation, the water samples were filtered onto 0.2- $\mu$ m polycarbonate filters (GE  
238 Water & Process Technologies, USA). The filters were rinsed twice with 3 mL of 5%  
239 TCA and twice with 2 mL of 80% ethanol before being frozen at -20°C. Upon return to  
240 the laboratory, the dried filters were placed in scintillation vials with 5 mL of Ultima  
241 Gold scintillation cocktail (Perkin-Elmer, USA). The radioactivity retained on the filters

242 was measured as disintegrations per minute using a Tri-Carb 2800TR liquid scintillation  
243 counter (Perkin Elmer, USA). The rate of incorporation of  $^3\text{H}$  leucine was calculated  
244 from the difference between the treatment and control tubes.

245       Seven experiments were conducted to determine empirical factors to convert from  
246 the leucine incorporation rates to bacterial carbon production. Predator-free water was  
247 obtained by filtering seawater through  $1\ \mu\text{m}$  polycarbonate membrane filters and then  
248 diluted to 10% by  $0.2\ \mu\text{m}$  filtered seawater. The leucine incorporation rates and bacterial  
249 abundance were monitored every 4 to 6 hours for a maximum of 2 days. The cumulative  
250 method was used to derive the empirical conversion factor by linear regression of the  
251 bacterial number yields against the integrated leucine incorporation rates [*Bjørnsen and*  
252 *Kuparinen*, 1991]. The factor of  $30.2\ \text{fg C cell}^{-1}$  was applied to convert bacterial  
253 abundance to carbon biomass [*Fukuda et al.*, 1998]. The conversion factors in our  
254 measurements varied from  $0.20$  to  $0.91\ \text{kg C mol Leu}^{-1}$ , and we used the geometric mean  
255 value of  $0.37\ \text{kg C mol Leu}^{-1}$  to convert the incorporation of leucine to carbon units.

256

### 257 **Integrated metabolism rates derived from the biogeochemical ocean model**

258       The model-based metabolism used in our study was based on the results derived  
259 from a recently published biogeochemical model in the same region [*Letscher and*  
260 *Moore*, 2017]. We chose this model because the organic carbon concentrations are well

261 calibrated in the model. In *Letscher and Moore* [2017], three types of allochthonous  
262 organic carbon sources (contemporary rivers, atmospheric deposition and realistic semi-  
263 labile and refractory marine dissolved organic carbon pool) were integrated into the  
264 Biogeochemical Elemental Cycling (BEC) v1.2.2 module of the Community Earth  
265 System Model (CESM). The model outputs include both GPP and CR within the euphotic  
266 zone, which allows us to directly compare them with our measured values from the light-  
267 dark bottles. In addition, the physical forcing of the western Pacific boundary has been  
268 well resolved in the ecosystem-circulation model; therefore, we feel confident that the  
269 results in this region would be reasonable.

270 Briefly, the GPP in this model was computed from the phytoplankton nitrogen  
271 demand satisfied by nitrate, ammonium, and N<sub>2</sub>-fixation. CR was calculated as the sum  
272 of the carbon losses induced by the mortality of phytoplankton and zooplankton,  
273 phytoplankton grazed by zooplankton, and respiration of both particulate and dissolved  
274 organic carbon. Therefore, NCP is equal to GPP minus CR. The horizontal resolution of  
275 the model outputs is 1° × 1° with a higher resolution near the equator. The vertical  
276 resolution is 10 m in the upper 160 m. The daily volumetric metabolism (GPP and CR)  
277 from the model output is monthly climatology with 20-year averages (1946-2007) in  
278 units of mmol O<sub>2</sub> m<sup>-3</sup> d<sup>-1</sup>. The integrated euphotic GPP and CR were calculated by  
279 trapezoidal integration of the volumetric data from the surface to the depth of 1% incident



280 irradiance (typically 100-120 m in this study). Because our study was conducted between  
281 September and October, we compared our results with the model outputs for both  
282 September and October. The spatial variations of the euphotic zone integrated GPP and  
283 CR in this region are presented in Fig. S2. To conduct a paired comparison of the  
284 metabolism at each sampling station, we extracted the volumetric GPP and CR from the  
285 biogeochemical model in the corresponding grid cells within which our sampling stations  
286 were located.

287

#### 288 **Estimates of the empirical CR from the contributions of different plankton groups**

289 Because our measured GPP values are consistent with the model results from  
290 *Letscher and Moore* [2017] (see Results), we are confident in the GPP estimations in this  
291 area and attempt to estimate the respiration rates of major groups based on the GPPs and  
292 published growth efficiencies of corresponding groups to provide additional constraints  
293 on the CR [*Morán et al.*, 2007; *Robinson et al.*, 2002; *Robinson and Williams*, 2005].  
294 Mesozooplankton are usually considered to be poorly sampled by *in vitro* procedures in  
295 small volumes (i.e., 100 ml in this study) because of their low abundances. Therefore, we  
296 assume that the major groups in our incubation system are composed of heterotrophic  
297 bacteria, phytoplankton (dominated by *Prochlorococcus* and *Synechococcus*) and  
298 microzooplankton. Considerable errors are associated with the estimates of each group,  
299 but importantly, the results showed that even under the conditions of the maximum

300 possible contributions, it is still difficult to bridge the gap between the *in vitro* measured  
301 respiration and the estimated respiration.

302 For phytoplankton respiration, *Carvalho et al.* [2017] reported that the global new  
303 respiration (which is mainly contributed by phytoplankton) ranges from 10 to 30% of  
304 GPP and that the remainder of the respiration (namely, old respiration) is contributed by  
305 other groups, including phytoplankton. If phytoplankton account for part of the old  
306 respiration as well, the corresponding ratio of phytoplankton respiration to GPP would be  
307 similar to the published ratio (-35%) [*Carlos M Duarte and Cebrián, 1996*]. In a lab  
308 experiment, *Marra and Barber* [2004] observed that phytoplankton respired up to 40% of  
309 daylight primary production when exposed to 12:12 h light:dark conditions. Therefore, it  
310 is reasonable to constrain the possible range of phytoplankton respiration assuming a  
311 range of 15-40% of daily GPP. Based on a meta-analysis of grazing rates around the  
312 global ocean, *Calbet and Landry* [2004] suggested that approximately 50-60% of the  
313 GPP in the oligotrophic ocean is grazed by microzooplankton. The growth efficiency for  
314 proto- and metazooplankton is generally considered to be in the range of 50-70% based  
315 on allometric scaling of protistan growth and respiration rates (*Fenchel and Findlay 1983*)  
316 as well as direct assessments from protistan carbon budgets (e.g., *Verity* [1985]). We also  
317 compared three previously reported empirical functions that related the bacterial growth  
318 efficiency (BGE) to temperature [*Rivkin and Legendre, 2001*], Chl-*a* [*López-Urrutia and*

319 *Morán, 2007*] and BP [*Roland and Cole, 1999*]. Irrespective of the different assumptions,  
320 the resulting values of these three BGEs in our study were strongly correlated and yielded  
321 average values of  $7.41 \pm 0.03\%$  for the temperature-based BGE,  $7.93 \pm 0.02\%$  for the  
322 Chl-*a* based BGE, and  $9.09 \pm 0.01\%$  for the BP-based BGE (Table S1). These estimated  
323 BGEs are very similar to the *in situ* measured BGEs in the offshore stations of the North  
324 Atlantic, which have a mean value of 9% [*Alonso-Sáez et al., 2007*]. Another uncertainty  
325 associated with the estimation of the bacterial respiration is CF, which is a crucial  
326 parameter for estimating BP and the additional impact on the magnitude of the estimated  
327 respiration contributed by bacteria. Our measured CFs varied by a factor of 4.5 (0.2-0.9  
328 kg C mol Leu<sup>-1</sup>) with a mean value of 0.37 kg C mol Leu<sup>-1</sup>. Admittedly, applying a single  
329 mean value of the conversion factor to estimate BP might bias the estimate of bacterial  
330 respiration.

331       Based on the studies described above, we attempted to constrain the upper and lower  
332 boundaries of the empirical CR at the sampling stations (Table 1). To quantify the upper  
333 boundary of the empirical CR, we assumed the case with values of 40% of daily GPP  
334 respired by phytoplankton, 60% of daily GPP grazed by zooplankton, 50% zooplankton  
335 growth efficiency, 0.9 kg C mol Leu<sup>-1</sup> of CF and 7.4% of BGE in this region.  
336 Correspondingly, we constrained the lower boundary of the empirical CR by assuming  
337 that 15% of daily GPP is respired by phytoplankton, 30% of daily GPP is grazed by

338 zooplankton, the zooplankton growth efficiency is 70%, CF is 0.2 kg C mol Leu<sup>-1</sup>, and  
 339 BGE is 9.1% in this region.

340 **Table 1.** Estimates of the empirical community respiration contributed by major trophic  
 341 groups. Details about the calculations are described in the text. Resp: respiration; GPP:  
 342 gross primary production; BP: bacterial production; BGE: bacterial growth efficiency;  
 343 CF: conversion factor.

344

Trophic group	Definition	Methods	References
Phytoplankton	Upper boundary Lower boundary	Resp=0.34*GPP Resp=0.15*GPP	Carvalho et al. (2017) Marra and Barber (2004) Duarte and Cebrián (1996)
Microzooplankton	Upper boundary Lower boundary	Resp=0.65*0.7*GPP Resp=0.5*0.5*GPP	Calbet and Landry (2004) Straile (1997); Fenchel and Findlay (1983); Verity (1985)
Heterotrophic Bacteria	Upper boundary Lower boundary	Resp=(BP/0.07)-BP;(CF=0.90); Resp=(BP/0.09)-BP; (CF=0.2)	López-Urrutia and Morán (2007); Rivkian et al. (2001); Roland and Cole (1999)

345

### 346 Statistical analysis

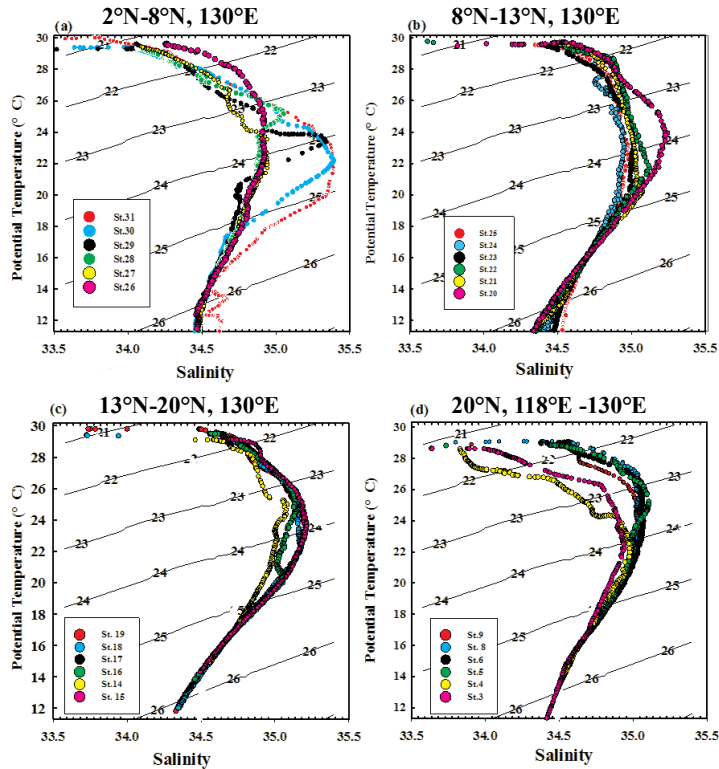
347 The rates integrated over the euphotic zone were calculated by trapezoidal  
 348 integration of the volumetric data from the surface to the depth of 1% incident irradiance.  
 349 The standard errors for the integrated values were estimated by the propagation  
 350 procedures for independent measurements described by *Miller and Miller* [1988]. We  
 351 used a respiratory quotient of 1.2 to convert the carbon-based metabolism to an oxygen  
 352 basis based on the assumption that inorganic nitrogen was released from organic matter  
 353 in the form of ammonium [*Hedges et al.*, 2002; *Edward A Laws*, 1991]. All GPP, CR,

354 NCP and BP values are presented as mean values with standard error. The data were log-  
355 transformed to satisfy the assumption of normality, which was confirmed (after  
356 transformation) via a Kolmogorov–Smirnov test. The correlations between the variables  
357 were examined by Pearson correlation. The linear regressions between the GPP and CR  
358 were conducted by reduced major axis regression analysis (model II linear regression)  
359 using the R software [Core, 2014]. The spatial variabilities of GPP and CR were  
360 evaluated by calculating the coefficient of variation (% ratio of the s.d. to the mean) of  
361 the integrated metabolism between the stations. The paired *t*-test was conducted to  
362 examine the difference between the metabolism rates at each sampling station derived  
363 from the O<sub>2</sub>-based incubation and the geochemical model predictions. The significance  
364 was satisfied if the type I error rate (*p*) was less than 0.05. Figs. 1 and 2 were plotted  
365 using the Ocean Data View software [Schlitzer, 2012].

366

## 367 ***Results***

### 368 **Physical parameters and biochemical characterizations of the two transects**



369

370 **Figure 2.** Temperature-salinity diagrams (upper 300 m of water) of the sampling stations  
 371 in the western Pacific Ocean. Black contours indicate  $\sigma_\theta$  (units: potential density-1000 kg  
 372  $\text{m}^{-3}$ ). Different colors represent different stations.

373 The characteristics of the potential temperature and salinity in the upper 300 m for

374 each station are shown in Fig. 2. In this region, the water masses were relatively

375 complicated due to the interactive influences of different currents. In the southernmost

376 stations (St. 29-31), we observed higher salinity (>35.25) at 200-300 m (Fig. 2a). This

377 high salinity water originated from the South Pacific Tropical Water (SPTW) and was

378 carried by the New Guinea Coastal Current (NGCC) and the New Guinea Coastal

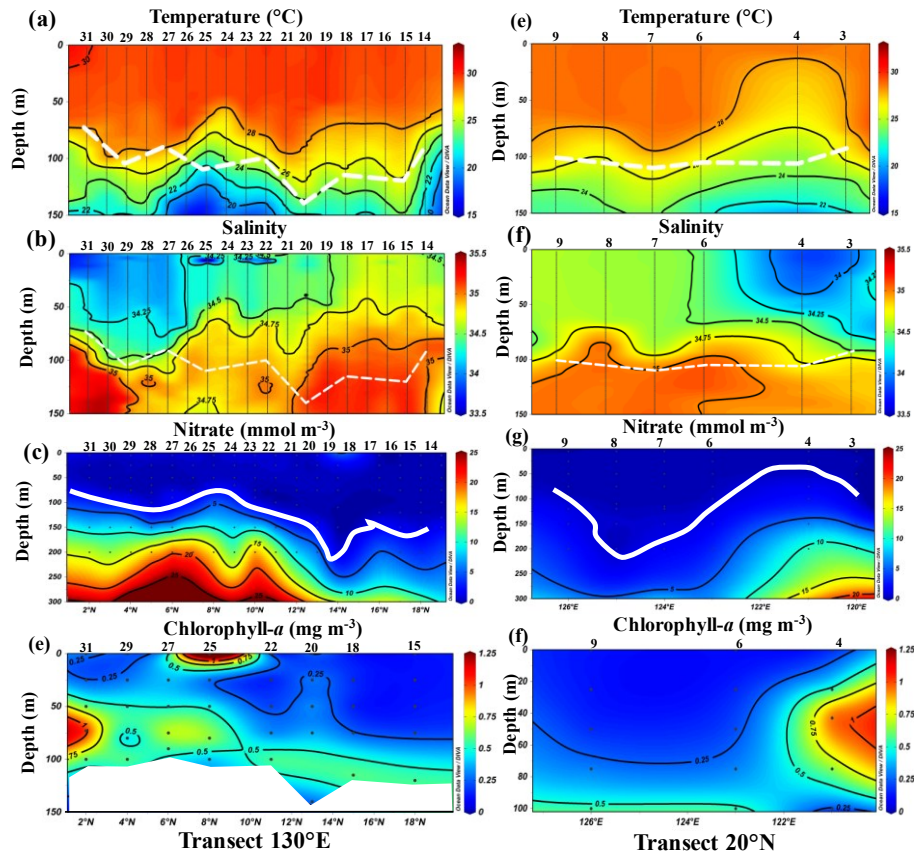
379 Undercurrent (NGCUC) from the South Pacific (Qu et al. 1999; Zhou et al. 2010). At St.

380 17-28, the upper water masses were mainly influenced by the typical NPTW and were

381 characterized by salinities slightly lower ( $34.75 < S < 35.25$ ) than the SPTW (Fig. 2b and

382 2c; Fine 1994; Qu et al. 1999). St. 14-16 were located along the boundary between the  
383 NEC and the STCC, where energetic meso-scale eddies were very active, and the water  
384 masses in this region have both tropical and subtropical gyre characteristics (Fig. 2c). On  
385 the transect along 20°N, the water masses at St. 5-9 were dominated by the Kuroshio  
386 water, which featured higher salinity and temperature than the water in the adjacent South  
387 China Sea (Fig. 2d). At St. 3-4, the upper water was a mixture of relatively fresh and cold  
388 water from the South China Sea and saltier and warmer water from the intrusion of the  
389 KC at depths of 200-300 m (Fig. 2d).

390 The main hydrographic features along the two transects are shown in Fig. 3. The  
391 water along the 130°E transect was characterized by high surface temperatures with a  
392 mean value of  $29.8 \pm 0.2^\circ\text{C}$ . The surface salinity along this transect generally increased  
393 from 33.8 at the southernmost station (31) to 34.4 at St. 14. On the transect along 20°N,  
394 the temperature and salinity exhibited a westward trend toward colder and less saline  
395 waters. The average surface temperature along the 20°N transect ( $28.4 \pm 0.2^\circ\text{C}$ ) was  
396 slightly lower than that along the 130°E transect. The lowest salinity along the 20°N  
397 transect was observed at the westernmost station (St. 4).



398

399 **Figure 3.** Vertical distributions of temperature, salinity, nitrate and chlorophyll-*a* on the  
 400 south-north transect along 130°E and the west-east transect along 20°N. The white  
 401 dashed and white solid lines represent the bottom of the euphotic zone and the depth of  
 402 the nitracline, respectively. The numbers above the figures indicate the sampling stations.

403

404 The depths of the euphotic zone in the two transects (white lines in Fig. 3) were  
 405 generally at approximately 100-150 m. Along the 130°E transect, the nitrate conditions  
 406 showed higher concentrations and a shallower depth of the nitracline at 2°-8°N (white  
 407 dashed lines in Fig. 3e). In the 20°N transect, the lowest average nitrate concentration  
 408 ( $0.87 \pm 0.37 \mu\text{mol L}^{-1}$ ) in the upper 300 m was found in the region of the eastern Luzon



409 Strait. The patterns of  $\text{NH}_4^+$ ,  $\text{PO}_4^{3-}$ , and  $\text{SiO}_3^{2-}$  generally followed the trend of the nitrate  
410 concentrations in the water masses (Yu et al., unpublished data).

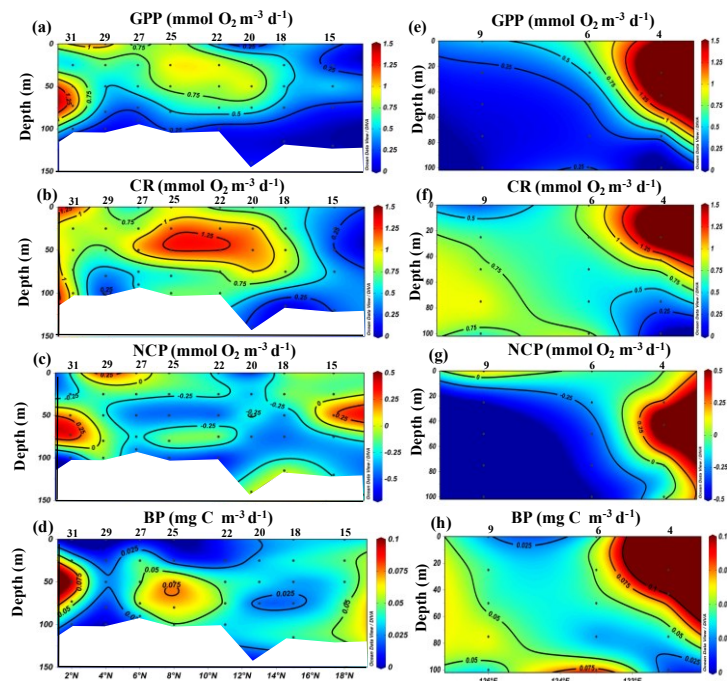
411 The Chl-*a* concentrations at the incubation stations are shown in Figs. 3e and 3f.  
412 Along the 130°E transect, the surface Chl-*a* concentrations were lower in the surface  
413 water ( $<0.25 \text{ mg m}^{-3}$ ), except for the presence of high values at the surface at St. 27. A  
414 well-developed deep Chl-*a* maximum (DCM) was observed at the base of the euphotic  
415 zone. The Chl-*a* concentrations at the DCM decreased to the north from  $1 \text{ mg m}^{-3}$  at St.  
416 31 to less than  $0.5 \text{ mg m}^{-3}$  at St. 15. Along the 20°N transect, shallower DCMs were  
417 observed at approximately 50 m in the region of the eastern Luzon Strait compared to the  
418 stations to the west.

419

#### 420 **Plankton community metabolism along the two transects**

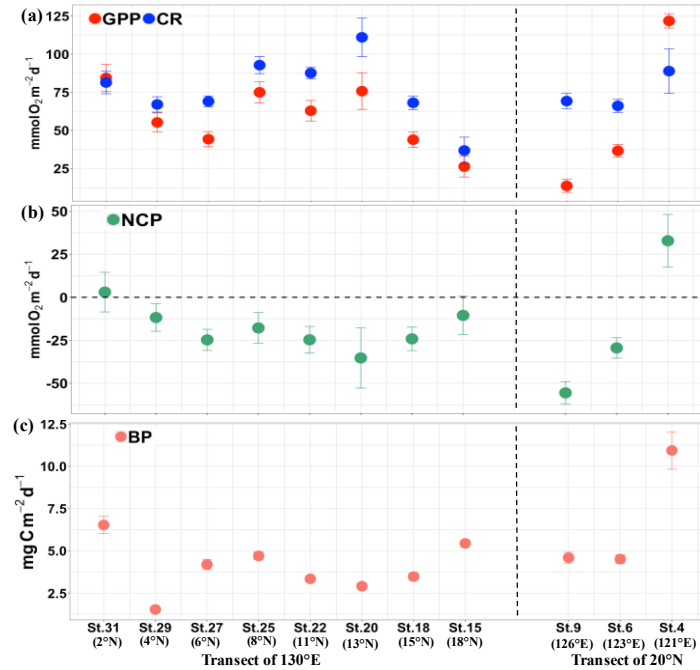
421 Along the 130°E transect, the volumetric GPP ranged between  $0.1 \text{ mmol O}_2 \text{ m}^{-3} \text{ d}^{-1}$   
422 and  $1.2 \text{ mmol O}_2 \text{ m}^{-3} \text{ d}^{-1}$  and generally decreased with depth (Fig. 4a). Higher volumetric  
423 GPPs were found in the region of 2°-8°N (St. 25-St. 31) and were associated with high  
424 nitrate and Chl-*a* concentrations. The range of volumetric CRs was similar to that of the  
425 volumetric GPP, and the highest volumetric CR was located at the surface at St. 31 (Fig.  
426 4b). The vertical gradient of CR was relatively homogenous along this transect (Fig. 4b).  
427 The volumetric NCP varied from  $-0.6 \text{ mmol O}_2 \text{ m}^{-3} \text{ d}^{-1}$  at the surface at St. 20 to 0.4

428 mmol O<sub>2</sub> m<sup>-3</sup> d<sup>-1</sup> at 70 m at St. 31 (Fig. 4c). Positive volumetric NCPs were mainly  
 429 located in some surface and subsurface waters at low latitudes (St. 25-31; Fig. 4c). In  
 430 terms of the euphotic zone integrated metabolism, the integrated GPP generally decreased  
 431 to the north with higher values in the region of 2°-8°N (Fig. 5a). The spatial variation of  
 432 the integrated CR had a similar pattern to that of the GPP although with a smaller  
 433 amplitude (Fig. 5b). The O<sub>2</sub> integrated NCPs at St. 31 and St. 29 were close to zero,  
 434 whereas a persistent net heterotrophic state was found from 5°N to 20°N (Fig. 5c).  
 435



436  
 437 **Figure 4.** Vertical distributions of volumetric gross primary production (GPP),  
 438 community respiration (CR), net community production (NCP) and bacterial production  
 439 (BP) along two transects (130°E and 20°N) in the western Pacific Ocean. The numbers  
 440 above the figures indicate the sampling stations.

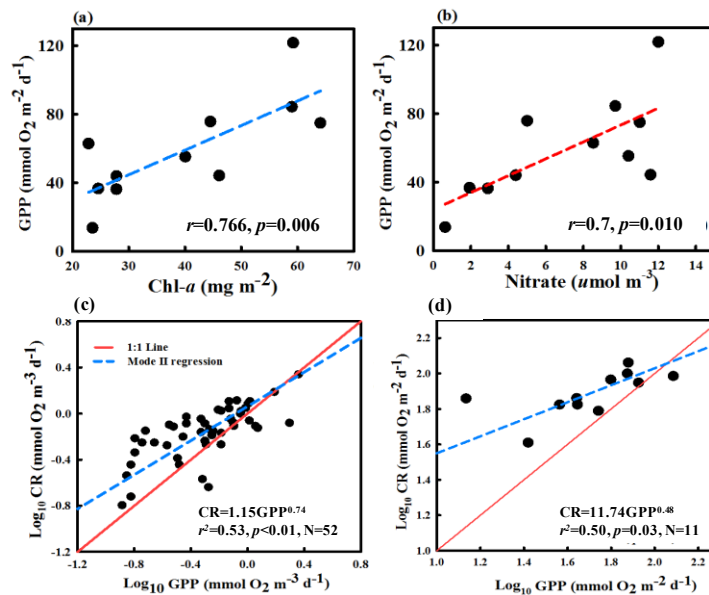
441 For the 20°N transect, the maximum volumetric GPP ( $2.3 \text{ mmol O}_2 \text{ m}^{-3} \text{ d}^{-1}$ ) was  
442 coincident with the occurrence of the maximum volumetric CR ( $2.1 \text{ mmol O}_2 \text{ m}^{-3} \text{ d}^{-1}$ ) at  
443 the surface at St. 4 (Fig. 4e), where the waters were mixed by relatively nutrient-rich  
444 seawater from the adjacent South China Sea. At St. 6 and St. 9, which were affected by  
445 the oligotrophic KC, the volumetric GPP decreased to very low values, whereas the  
446 volumetric CR remained at intermediate values (Fig. 4e and 4f). As a result, positive  
447 volumetric NCP was observed throughout the water column at St. 4, and negative NCP  
448 was observed at St. 6 and St. 9 (Fig. 4g). The euphotic zone integrated GPP decreased to  
449 the east along this transect from  $122 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$  at the westernmost station to 13  
450  $\text{mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$  at the easternmost station (Fig. 5a). The range of the euphotic zone  
451 integrated CR in this transect was only one-third of the GPP, ranging from  $66 \text{ mmol O}_2$   
452  $\text{m}^{-2} \text{ d}^{-1}$  at St. 9 to  $96 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$  at St. 4 (Fig. 5b). The integrated NCP showed  
453 pronounced shifts from a net autotrophic state at St. 4 to heterotrophic at St. 6 and 9 (Fig.  
454 5c).  
455



456

457 **Figure 5.** Spatial variations of integrated (a) gross primary production (GPP) and  
 458 community respiration (CR), (b) net community production (NCP), and (c) bacterial  
 459 production (BP) along the north-south transect at 130°E and the east-west transect at  
 460 20°N in the western Pacific Ocean. The error bars represent the standard errors of the  
 461 measurements.

462



463

464 **Figure 6.** (a) Pearson correlation between integrated gross primary production (GPP) and  
465 integrated Chl-*a*. (b) Pearson correlation between integrated gross primary production  
466 (GPP) and average nitrate concentration in the upper 300 m. (c) Regression between  
467 volumetric GPP and community respiration (CR). (d) Regression between integrated GPP  
468 and CR in the western Pacific Ocean.



470 **Table 2.** Pearson correlations of the integrated metabolic rates with environmental variables (n = 11). The *p* values are shown in the brackets.  
 471 The significant relationships are shown in bold (*p* < 0.05). ∫ GPP: gross primary production. ∫ CR: community respiration. ∫ NCP: net community  
 472 production. ∫ BP: integrated bacterial production rate. SST: surface temperature. SS: surface salinity. ∫ Chl-*a*: integrated chlorophyll *a*.

473

	∫Chl- <i>a</i>	Surface Chl- <i>a</i>	SST	SS	Nitrate gradient	∫CR	∫NCP	∫BP
∫ GPP	<b>0.73 (0.011)</b>	0.41 (0.212)	0.08 (0.819)	<b>-0.68 (0.020)</b>	<b>0.75 (0.002)</b>	<b>0.70 (0.015)</b>	<b>0.72 (0.013)</b>	0.63 (0.064)
∫ CR	0.47 (0.148)	0.41 (0.216)	0.23 (0.497)	-0.25 (0.461)	0.31 (0.351)		0.01 (0.968)	0.14 (0.679)
∫ NCP	0.57 (0.066)	0.14 (0.678)	-0.11 (0.750)	<b>-0.72 (0.012)</b>	<b>0.70 (0.013)</b>			0.41 (0.208)
∫ BP	0.30 (0.372)	-0.01 (0.995)	-0.43 (0.186)	-0.41 (0.215)	-0.11 (0.742)			

474

In general, the pooled dataset for these two transects suggests that the spatial variation of GPP was greater than that of CR, which is reflected by the larger coefficient of variation of the integrated GPP (52%) than that of CR (27%). The euphotic zone integrated GPP was positively correlated with the integrated Chl-*a* ( $r = 0.76$ ,  $p = 0.011$ ; Table 2, Fig. 6a) and the nitrate gradient across the base of the euphotic zone ( $r = 0.70$ ,  $p = 0.001$ ; Table 2, Fig. 6b). The CR can be regressed to GPP using the equations  $CR = 1.15 * GPP^{0.74}$  ( $r^2 = 0.53$ ,  $p < 0.001$ ; Fig. 6c) for the volumetric values and  $CR = 11.74 * GPP^{0.48}$  ( $r^2 = 0.50$ ,  $p = 0.03$ ; Fig. 6d) for the integrated values. The slopes of the equations for GPP and CR indicate that the CR rates were slightly higher than the GPP; therefore, negative NCP prevailed at most of the stations. Based on the relationship between the GPP and CR, the thresholds of the euphotic zone integrated and volumetric GPP (below which the system is net heterotrophic) were  $110 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$  and  $1.7 \text{ mmol O}_2 \text{ m}^{-3} \text{ d}^{-1}$ , respectively.

### **Bacterial production**

Along the 130°E transect, the volumetric BP varied between  $0.01 \text{ mg C m}^{-3} \text{ d}^{-1}$  and  $0.076 \text{ mg C m}^{-3} \text{ d}^{-1}$  with a mean value of  $0.056 \text{ mg C m}^{-3} \text{ d}^{-1}$  (Fig. 4d). We observed maxima of the volumetric BP in the intermediate layer along this transect (Fig. 4d). Along the 20°N transect, the volumetric BP at the eastern stations tended to be lower than those at the western stations (Fig. 4h). The maximum volumetric BP of  $0.53 \text{ mg C m}^{-3} \text{ d}^{-1}$  was found at the surface at St. 4, which was consistent with the maximum volumetric GPP and CR (Fig. 4h). In terms of depth-integrated values, the



integrated BP did not show a pronounced spatial pattern in either the latitudinal or meridional transects (Fig. 5c). Except for the two peak values at St. 31 and St. 4, the integrated BPs along the two transects were both relatively constant and had intermediate values (Fig. 5c). The correlation between the integrated GPP and BP for the pooled dataset of the two transects was insignificant (Pearson  $p = 0.06$ ).

### **Comparison of metabolism estimates derived from the *in vitro* incubations, geochemical model and empirical estimation**

A comparison of the integrated metabolism derived from the *in vitro* incubations and the geochemical model along the two transects is presented in Fig. 7. In general, the model of *Letscher and Moore* [2017] predicted moderate autotrophy in this region during September and October, with an average NCP of  $7 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$  (Fig. 7a). By contrast, our measurements indicated a prevalence of net heterotrophic conditions in this region (Fig. 7a). Similar to our field observations of higher metabolism rates at low latitudes, the GPPs predicted from the geochemical model had slightly higher values at the low latitude stations, although the spatial variability was less pronounced than our field observations (Fig. 7b). The GPPs estimated from the geochemical model along the two transects ranged from  $42 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$  to  $67 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$ , yielding no statistical differences with the GPPs measured by  $\text{O}_2$ -based incubation ( $p = 0.90$  for the model output in September and  $p = 0.86$  for the model output in October, paired  $t$ -test; Fig. 8b). However, our field-observed CRs were statistically higher than those predicted by the geochemical model during September (paired  $t$ -test,  $p < 0.001$ ) and October (paired  $t$ -test,  $p < 0.001$ ; Fig. 8c).

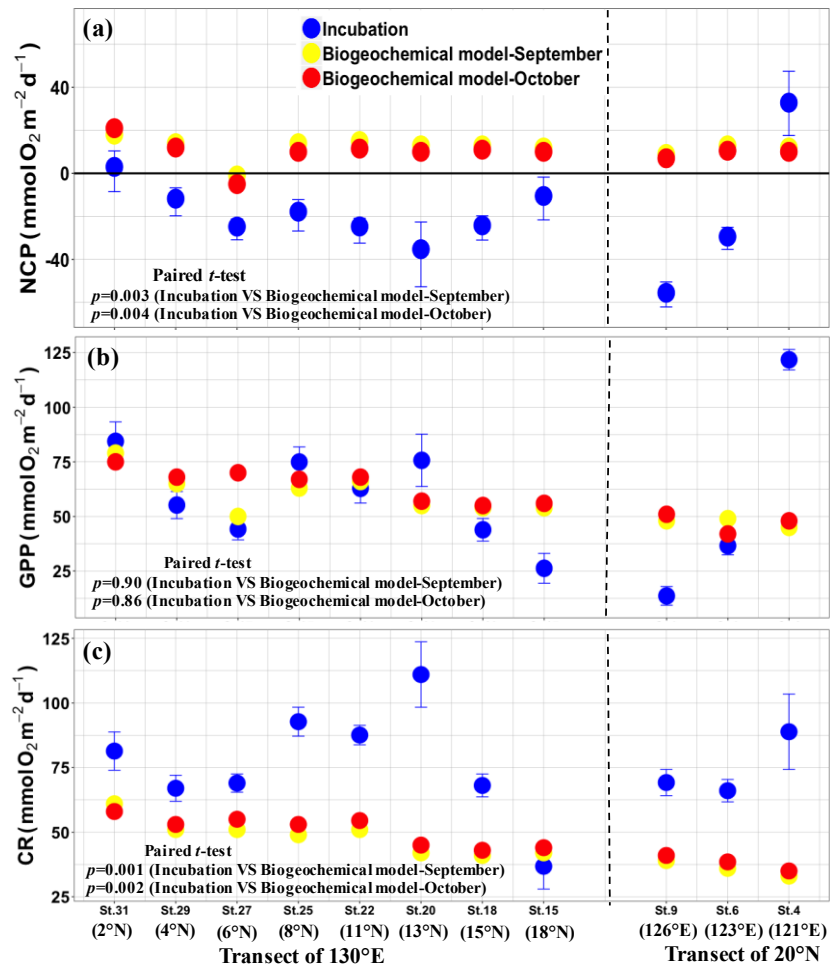


Figure 7. Comparison between the integrated metabolism at each sampling station derived from O<sub>2</sub>-based incubation and the geochemical model of Letscher and Moore (2017). GPP: gross primary production; CR: community respiration; NCP: net community production.

The comparison of the CRs from the empirical estimates and the oxygen-based incubation approach showed that at 8 of the 11 stations, the measured CR exceeded the upper boundary of the empirical CR estimates, leaving a mean of 24 mmol O<sub>2</sub> m<sup>-2</sup> d<sup>-1</sup> of respiration unaccounted for in this region (Fig. 8). Conversely, most of the CRs predicted by the biogeochemical model of *Letscher and Moore* [2017] fell within the range of values derived from the empirical estimations (Fig. 8).

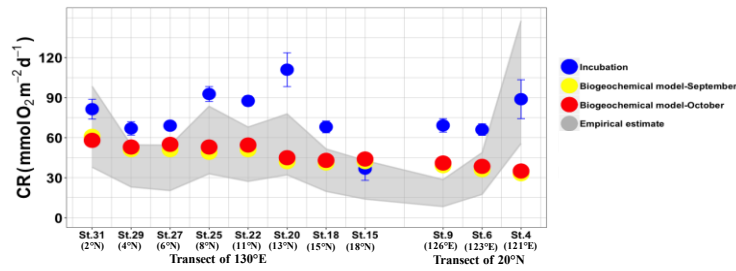


Figure 8. Comparison of the community respirations (CRs) derived from O<sub>2</sub>-based incubation, empirical estimates and the geochemical model of *Letscher and Moore* [2017].

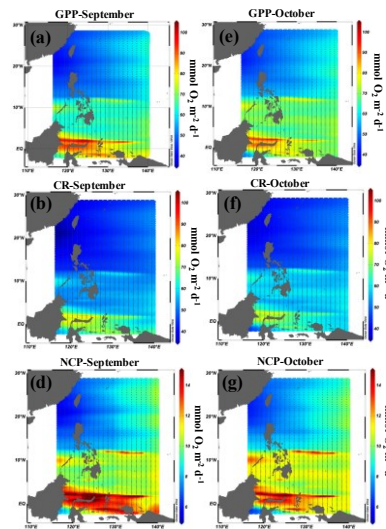


Figure S2. The integrated metabolism in the western Pacific Ocean within the euphotic zone during September and October derived from the biogeochemical model of *Letscher and Moore* (2017). GPP: gross primary production; CR: community respiration; NCP: net community production.

### Discussion

The limited and uneven geographic distributions of the measured metabolic rates in the global ocean and reconciling the results of the metabolic balance derived from the incubation approach and the biochemical budget in a meaningful way remain major obstacles to a comprehensive understanding of the trophic status in the oligotrophic ocean [*Ducklow and Doney, 2013; Westberry et al., 2012*]. This study contributes to the currently limited dataset in the western boundary currents of the

North Pacific Ocean and, and more broadly, adds insight into the unresolved debate about the autotrophy versus heterotrophy in the oligotrophic ocean.

### **Discrepancy of the regional metabolic state between the incubation and geochemical model predictions**

The comparisons between the regional metabolic rates from the incubation approach and the model outputs address our first question. As we expected, the observations based on the oxygen changes during incubation exhibited a prevalence of net heterotrophic states in the warm and oligotrophic western Pacific Ocean. More than 80% of the volumetric NCP values were negative (Fig. 4c and 4g), and 8 of the 11 stations showed net heterotrophic states integrated over the entire water column (Fig. 5c). In this region, the environmental conditions feature high surface temperatures ( $>28$  °C) and very low nutrient availability in the upper layers (Fig. 3). The mean Chl-*a* and volumetric GPP were only approximately  $0.14 \text{ mg m}^{-3}$  and  $1.6 \text{ mmol O}_2 \text{ m}^{-3} \text{ d}^{-1}$ , respectively, which fall into the conditions for a heterotrophic state according to the scaling laws proposed by *C. M. Duarte et al.* [2013].

However, the model of *Letscher and Moore* [2017] predicted a moderately autotrophic state in the western Pacific Ocean (Fig. 7a), which supports the metabolic state in the oligotrophic ocean that has been diagnosed by incubation-free methods in many previous studies [*Emerson, 2014; B Yang et al., 2017a*]. Further comparisons of GPP and CR imply that our measured GPP values were consistent with the geochemistry-based values, but there was an apparent anomaly in the CR between

these two approaches (Fig. 7b and 7c). At the global scale, the validity of gross O<sub>2</sub> production rates has been tested in numerous studies by comparing concurrent measurements of primary production determined from <sup>14</sup>C incorporation [Bender *et al.*, 1999; Grande *et al.*, 1989; Michael *et al.*, 1987]. These results suggest that the GPP measured from *in vitro* O<sub>2</sub> change incubation generally tracks the distributions of <sup>14</sup>C-based primary production and could represent the true rates of autotrophic production. In this study, our measured GPPs were consistent with the changes in nutrient availability and Chl-*a* concentrations at regional scales (Fig. 6a and 6b). In the broader Pacific Ocean, our regional mean GPP values ( $59.8 \pm 8.7 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$ ) were similar to the primary production in the central gyre of the North Pacific, which has similarly oligotrophic conditions ( $61 \pm 5.9 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$ , *P J L B Williams et al.* [2004]), but were significantly lower than the corresponding rates previously reported in the eastern equatorial Pacific ( $211 \pm 64 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$ ; *Wambeke et al.* [2008]) and western subarctic Pacific ( $78 \pm 24 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$ ; *Furuya* [1995]), as determined by similar approaches. This latitudinal tendency of the GPP reflected by oxygen-based incubation is consistent with the current knowledge of higher nutrient availability in the colder and well-mixed Arctic water and the widespread occurrence of upwelling systems in the equatorial ocean, which adds further evidence of the rationality of GPP measurements at both regional and latitudinal scales.

In contrast to the consistency of the GPP between the incubation and biogeochemical model outputs, most of the CRs derived from the incubation approach

exceeded the model predictions (Fig. 7c). In addition to the locally produced organic carbon, the model simulation of *Letscher and Moore* [2017] explicitly included the fluxes of semi-labile organic carbon and the lateral supply of allochthonous and terrigenous organic carbon, which are considered a key pathway to fuel the respiration if the prevalent heterotrophy is real. The apparent CR anomaly implies that *in vitro* estimates of CR are difficult to reconcile from the perspective of biogeochemical cycles. Unlike primary production, for which several independent incubation approaches (i.e.,  $^{14}\text{C}$ -based incorporation rates) can be used to constrain the global magnitude and trends, it appears that there is no comparable incubation approach to directly measure the CR except for the oxygen consumption in dark bottles. Similar to many previous studies that showed the relative constancy of the geographical patterns of CR [*Aranguren-Gassis et al.*, 2011; *Morán et al.*, 2004; *Wang et al.*, 2014], our depth-integrated CRs tended to be less variable than the GPPs, which casts further doubt on the accuracy of CR measurements.

### **Reconciling the signal of community respiration determined by the incubation**

The comparison between the incubation results and model outputs appears to support our GPP measurements, but it leaves some doubts about the magnitude of the *in vitro* CR. To further validate the CR between the model output and incubation approach, we performed another independent estimate of the respiration contributed by the major trophic groups of plankton at each station (Table 1) with the goal of constraining the possible CR based on the magnitude of the measured GPP and BP.

Heterotrophic bacteria have long been considered to perform most of the respiration in the open ocean; therefore, individual measurements of BP are also a key factor influencing the magnitudes of our empirical CR estimates. The average rates of BP in our study region were at the low end of previously reported values in the Pacific systems and other oligotrophic systems (Table 3). The calculated BP requires a conversion factor to transform the leucine incorporation rates into carbon production. Low leucine incorporation rates are typically found in oligotrophic, subtropical waters, and our measured leucine incorporation rates were comparable with the values in the oligotrophic ocean in ALOHA [*Viviani and Church, 2017*]. Therefore, the major possible cause of low BP might be related to the conversion factor of leucine to carbon. In many previous studies, an empirical value of the leucine-to-carbon conversion factor (i.e., 1.5 kg C mol leu<sup>-1</sup>) was used assuming no isotopic dilution [*Kirchman, 1993*]. Growing experimental evidence suggests that CF depends in part on the composition of the substrates and the nutrient status and that it decreases markedly from the coastal areas to the open ocean [*Alonso-Sáez et al., 2007; Zubkov et al., 2000b*]. Our measured CF values (average of 0.37 kg C mol Leu<sup>-1</sup>) are well within the range of measured CFs in the oligotrophic system [*Alonso-Sáez et al., 2007; Vázquez-Domínguez et al., 2008; Zubkov et al., 2000b*], which further indicates that the application of theoretical values of CF may potentially overestimate the bacterial activity in the oligotrophic ocean.

**Table 3.** Review of euphotic zone integrated bacterial metabolism (mean  $\pm$  standard error) in the Pacific Ocean, adjacent ocean and subtropical oceans.

Region	Leu incorporation	Leu CF	Bacterial Production	References
	pmol m <sup>-2</sup> h <sup>-1</sup>	Kg C mol <sup>-1</sup> leu	mg C m <sup>-2</sup> d <sup>-1</sup>	
Northern Pacific gyre	739 $\pm$ 140	1.5	27 $\pm$ 2.1	<i>Viviani and Church</i> [2017]
Eastern South Pacific	4360 $\pm$ 1200	1.5	160 $\pm$ 46	<i>Wambeke et al.</i> [2008]
Western subarctic Pacific	1572 $\pm$ 740	1.06	40 $\pm$ 14	<i>Sherry et al.</i> [2002]
Northern South China Sea	3941 $\pm$ 1200	0.37	35 $\pm$ 7.2	<i>Wang et al.</i> [2014]
Northern Atlantic gyre	958 $\pm$ 123	0.73	17 $\pm$ 2.3	Morán et al. (2007)
Western Pacific boundary	627 $\pm$ 260	0.37	5.6 $\pm$ 1.2	This study

We found that an appreciable amount of measured CR could not be completely explained by the sum of the independent assessments of the different trophic groups at most of the stations (Fig. 8). Although considerable errors are associated with the CR estimates for each group, the results showed that even under the conditions of the maximum possible contribution, it is still difficult to bridge the gap between the *in vitro* measured respiration and the estimated respiration. Interestingly, most of the CRs predicted by the geochemical model fell within the possible range of the empirically estimated CRs, which in turn provides cross-validation of the rationality



of the CR predicted by the geochemical model (Fig. 8). This analysis thus reveals that *in vitro* measurements of CR, rather than GPP measurements, are most likely responsible for the observation of net heterotrophy in this area. A similar finding was reported by *Morán et al.* [2007], who demonstrated that in the North Atlantic gyre, approximately 48% of the measured CR from changes in oxygen in dark bottles could not be explained by the contributions of trophic groups of plankton. The author related this discrepancy to the fundamental flaw associated with long-term dark incubation (24 h) in an enclosed system. Several previous studies highlighted the diel synchrony of the growth of photosynthetic prokaryotes in cultures and the ocean [*Jacquet et al.*, 2001; *Zubkov et al.*, 2000a]. Long-term dark incubation might disrupt the diel synchrony of the dominant community of picoplankton. In spite of the still unclear consequences of this effect, it is likely that rapid disruption of the diel synchrony would lead to an elevation of the metabolic cost (i.e., respiration) for picoplankton under stress. Increases in bacterial abundances and substrate assimilation rates during bottle incubation have been revealed due to the exclusion of large zooplankton that feed on microheterotrophs, especially in oligotrophic systems characterized by tightly coupled microbial communities [*Evelyn et al.*, 1999; *Pomeroy et al.*, 1994]. This effect of eliminating large predators in respiration measurements would be more apparent in the size-fraction incubation when  $>1 \mu\text{m}$  organisms were removed, yielding a 50% overestimation of respiration in the bottle [*Aranguren-Gassis et al.*, 2012]. In addition, “new surfaces” for bacterial attachment in the container may be favorable for the growth of attached bacteria, enhancing respiration

during bottle incubation. However, the precise mechanism of the overestimation of CR by *in vitro* incubations is beyond the scope of our current data. A useful caveat of our study might be a request to further check the possible methodological problem, especially that associated with dark incubation.

## **Conclusion**

This study is the first to report plankton community and bacterial metabolism on the western boundary of the northern Pacific Ocean based on *in vitro* incubation. The combination of analyses across different approaches allows us to enhance our understanding of the metabolic state of the oligotrophic ocean, particularly in the interpretation of net heterotrophy determined from light-dark bottles. Our comparison with the biogeochemical model and the contributions of major plankton groups suggests that the negative NCP may stem from systematically overestimated *in vitro* measured CR, although the exact cause of the problem is unresolved and requires further study.

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