

Landscapes of Urbanisation and De-urbanisation: Integrating Site Location Datasets from Northwest India to Investigate Changes in the Indus Civilisation's Settlement Distribution

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3
4 *Abstract*

5 Archaeological survey data plays a fundamental role in studies of long-term socio-cultural
6 change, particularly those that examine the emergence of social complexity and urbanism. Re-
7 evaluating survey datasets reveals lacunae in survey coverage, encourages the reconsideration of
8 existing interpretations, and makes it possible to integrate the results of multiple projects into
9 large scale analyses that address a broad range of research questions. This paper re-evaluates
10 settlement site location reports that relate to the major phases of the Indus civilisation, whose
11 Mature Harappan period (c. 2600-1900 B.C.) is characterised by numerous village settlements
12 and a small number of larger urban centres. By the end of the Mature Harappan period, people
13 appear to have left these cities, and a de-nucleated pattern of settlement is evident in the
14 subsequent Late Harappan period. Survey data from the plains of northwest India are key to
15 understanding this process of de-urbanisation, as it has been argued that there was an increase in
16 the region's settlement density as the cities declined. Assembling site locations from multiple
17 surveys into an integrated relational database makes it possible to conduct geographical
18 information systems (GIS)-based analyses at larger scales. This paper finds that the number of
19 settlements on the plains of northwest India increased between c.1900 and 700 B.C., and that
20 some settings within this region were favoured for settlement, resulting in new landscapes of de-
21 urbanisation. These results lay the foundation for future research that will ask whether this shift
22 in settlement location occurred at the expense of alternative social processes, such as movement
23 to highland areas, fortification of nodes of long distance exchange, and political consolidation.

24 More broadly, investigating the Indus civilisation's landscapes has the potential to reshape
25 models of social complexity by revealing how it emerged and transformed across extensive and
26 varied environmental settings.

27

28 *Introduction*

29 Investigating transformations in the distribution and density of past settlements is crucial
30 to the identification of "signature landscapes," which are those generated by specific social,
31 cultural and economic processes within specific physical environments (Wilkinson 2003:4-9).
32 Comparative research has revealed an array of signature landscapes that have been associated
33 with the emergence, transformation, and dissolution of social complexity across the globe (e.g.
34 Algaze 2005; McIntosh 2005; Ur 2010; Wilkinson et al 2014; Chase and Chase 2016; Lawrence
35 et al. 2016, 2017). The identification and analysis of signature landscapes contributes a large-
36 scale dimension to models of social change, revealing interactions between dynamic and
37 transforming environments and particular social forms. Such investigations also have the
38 potential to transform these models, casting into high relief social processes that are dispersed
39 across a broader landscape, and may be hidden or obscured at the level of an archaeological
40 excavation at a single site.

41 Patterns in settlement distribution, especially the frequency with which sites appear
42 within a given area or environment, play a useful role in these studies by revealing settings that
43 people favoured as prevailing social conditions changed through time. However, archaeological
44 surveys are also often constrained to specific areas by the logistics of fieldwork, limiting the
45 scale of their interpretation and analyses. To investigate large-scale changes in settlement
46 distribution, it is necessary to assemble and analyse large synthetic datasets built over many

47 years by multiple teams (e.g. Lawrence and Bradbury 2012). Successfully integrating datasets
48 requires recognising the limitations and errors incumbent to the production of each constituent
49 survey project.

50 Northwest India was a key setting for the emergence of South Asia's earliest complex
51 society, the Indus civilisation. Indus cities arose around 2600 B.C. across ecologically diverse
52 areas of western South Asia (Fig. 1), and concentrations of archaeological sites have been
53 reported in the modern states of Rajasthan, Haryana, and Punjab in India (e.g. Stein 1942; S.
54 Bhan 1975; Joshi et al. 1984; Possehl 1999; Singh et al. 2008, 2010, 2011; Chakrabarti and Saini
55 2009; Dangi 2009, 2011; Kumar 2009; Shinde 2010; Pawar 2012). It has frequently been noted
56 that the density of settlements across the alluvial plains of northwest India appears to increase
57 after c.1900 B.C. (e.g. Madella and Fuller 2006; Kumar 2009; Wright 2010:317-318; Wright
58 2012; Petrie et al. 2017). Climate change appears to have played a role in this shift, as changes in
59 settlement density seem to have favoured the variability of local environmental conditions in
60 northwest India in the face of a weakening in the Indian Summer Monsoon around 2200-2100
61 B.C. (Madella and Fuller 2006; Giosan et al. 2012).

62 The increase in settlement density in northwest India may have been due to the strong
63 possibility that this region received more reliable rainfall from a weakened monsoon (Petrie et al.
64 2017). As people left Indus cities, they appear to have populated particular areas, establishing
65 new small-scale settlements and re-occupying mounds that had been abandoned in earlier
66 periods. This apparent shift resulted from and contributed to a process of 'de-urbanisation',
67 wherein smaller and more dispersed settlements replaced larger population aggregations. De-
68 urbanisation is a theoretical counterpoint to the process of urbanisation characterised the

69 emergence of Indus cities, which appear to have been home to multiple groups of specialised
70 artisans and agro-pastoralists (e.g. Kenoyer 1997; Possehl 2002; Wright 2010).

71 To utilise multiple datasets in aggregate studies, it is necessary to compare the
72 approaches, questions, and methods that contributed to each researcher's agenda (following
73 Cooper and Green 2015). It has been noted that site location reports from northwest India vary in
74 the intensity of survey coverage, adherence to modern administrative boundaries, and
75 assumptions about the locations of past watercourses (Singh et al. 2008, 2010, 2011). To address
76 these challenges and evaluate the hypothesis that the Mature Harappan period saw the nucleation
77 of settled population, and that the Late Harappan period saw an increase in settlement density in
78 northwest India, this paper describes the assembly of a pilot database that integrates all site
79 location data from a sample region that encompasses two major surveys carried out by the *Land,*
80 *Water, and Settlement* project (Singh et al. 2010, 2011; Petrie et al. 2017). The data were then
81 analysed using geographic information systems (GIS) analyses, which is the first stage of a
82 larger effort to integrate site locations from northwest India into a single relational database,
83 which is being carried out for the *TwoRains* project. This approach is informed by Kintigh
84 (2006:573), who has advocated increasing the scale of archaeological investigations without
85 compromising the detail recorded in specific reports. It allows the analysis of site location data at
86 different levels of certainty (following Lawrence and Bradbury 2012). The results support the
87 interpretation that site density increased in particular locations with the decline of Indus cities. It
88 follows that the landscapes of urbanisation and de-urbanisation created by Indus populations
89 integrated a range of varied environments to produce and sustain social complexity.

90

91 *Landscape Archaeology and the Indus Civilisation*

92 Landscape archaeology provides the approaches necessary to frame research on past
93 social processes. It has been foundational to modelling social complexity in ancient
94 Mesopotamia (e.g. Adams 1966, 1981; Adams and Nissen 1972; Wilkinson 2003; Ur 2010;
95 Wilkinson et al. 2014; Lawrence and Bradbury 2012; Lawrence et al. 2016, 2017), and has been
96 critical to the study of complex societies across the globe (e.g. Kantner 2008; Chase et al. 2011;
97 Glover 2012; Kosiba and Bauer 2012; Luo et al. 2014). Large scale analyses are necessary for
98 outlining the interaction between emerging complex societies and their varied local settings,
99 revealing patterns that are difficult to explain vis-a-vis their local settings alone and thus must
100 have required greater regional integration (e.g. Lawrence et al 2017). By incorporating data from
101 locations across broad and varied environments, landscape approaches have the potential to
102 challenge traditional models of complexity and urbanism. Such approaches have revealed
103 processes such as the heterarchical clustering of settlements (e.g. McIntosh 2005) and alternative
104 political trajectories (e.g. Fargher et al. 2011).

105 Wilkinson (2003:4-9) argued that relationships between archaeological remains and their
106 environmental contexts result in “signature landscapes” that exemplify the prevailing
107 configurations of social, cultural and economic processes within specific environmental settings
108 and chronological period. Signature landscapes can be compared to one another to investigate
109 social change (Wilkinson 2003: 215). Site locations are key to this approach, but to address
110 large-scale processes that take place throughout a landscape typically requires aggregating data
111 built up by many projects. A framework for integrating heterogeneous survey datasets has been
112 set out by Lawrence and Bradbury (2012), who characterise site locations using factors such as
113 boundary certainty, geographical precision, and archaeological significance, reveals different
114 levels of certainty in archaeological datasets. Boundary certainty addresses the size of

115 archaeological sites and lies beyond the scope of this paper, but site location reports from
116 northwest India can be used to establish a basic level of certainty based on geographical
117 precision (locations) and archaeological significance (approximate chronology). Linking
118 multiple datasets has become essential to reveal how shifts in settlement density that illustrate
119 how populations engage with and retreat from local ecologies as social relations transform
120 (Lawrence et. al 2017). This approach is particularly applicable to northwest India, where
121 integrating a wide range of site location reports has the potential to cast the Indus civilisation's
122 signature landscapes, and interrelationships between varied local environments and social
123 complexity, into high relief.

124

125 *The Indus Civilisation in northwest India*

126 After a period of protracted village-based occupation, the first cities in South Asia
127 appeared during the Mature Harappan period of the Indus civilisation (c. 2600-1900 B.C.), and
128 they were the largest of thousands of settlements distributed across areas that today lie in western
129 India and Pakistan (Marshall 1931; Sankalia 1962; Wheeler 1953, 1966, 1968; Fairservis 1967,
130 1971; Lal 1993, 1997; Chakrabarti 1999; Kenoyer 1998; Possehl 1999, 2002; Agrawal 2007;
131 Wright 2010; Coningham and Young 2015; Ratnagar 2016). Five Indus sites are typically
132 considered cities, and their locations in contrasting environments support the interpretation that
133 they were to some degree politically discrete (Kenoyer 1997, 2006; Wright 2010; Petrie 2013;
134 Sinopoli 2015; Petrie et al. 2017) (Fig. 1). At the same time, the aspects of Indus material culture
135 that were shared across such a vast and varied extent suggest that the Indus civilisation's political
136 organisation contributed to signature landscapes that differed from those materialised by other
137 early complex societies. Excavations at Indus sites have produced evidence of a broad range of

138 sophisticated technologies (K. K. Bhan et al 1994; Vidale 2000; Agarwal 2009; Miller 2007),
139 including copper metallurgy (Hoffman and Miller 2009), standardised weights and measures
140 (Ratnagar 2003; Kenoyer 2010; Miller 2013), and engraved stamp seals (Joshi and Parpola 1987;
141 Shah and Parpola 1991; Parpola et al. 2010; Green 2016). Indus settlements also present
142 examples of civic coordination and planning, though they lack direct evidence for the extreme
143 forms of social differentiation and political hierarchy reported in other complex societies (Wright
144 2010, 2016; Green 2017).

145 Landscape approaches and archaeological surveys have been essential to challenging past
146 narratives that suggest that the Indus civilisation was socio-culturally uniform and homogeneous
147 (e.g. Piggott 1950; Wheeler 1966). Initial surveys highlighted its great extent (e.g. Stein 1942;
148 Sankalia 1962), and subsequent studies identified local variation in material culture (S. Bhan
149 1969, 1975; Possehl 1980; Mughal 1971, 1982; Possehl and Raval 1989; Possehl and Herman
150 1990). The increase in fieldwork in India between 1960 and 1980, predominantly recorded in
151 *Indian Archaeology: A Review*, has been used by multiple researchers to generate site location
152 lists. One such study by Joshi et al. (1984: 513) suggested that the distribution of site locations
153 revealed “economic pockets” during the Mature Harappan period, which were apparent
154 concentrations of settlements that were ‘closely knit’ and perhaps economically self-sufficient.
155 As features of the Urban Phase, economic pockets were thought to support one or more large
156 settlement (Joshi et al. 1984: 514).

157 Smaller settlements, which have many of the same characteristics as the cities
158 themselves, comprise the majority of Indus sites. (Chakrabarti 1999; Wright 2010; Petrie 2013;
159 Sinopoli 2015). Surveys of the settlement distribution along the Beas river in Pakistan’s Punjab
160 revealed that the economic diversification and intensification apparent in assemblages from the

161 city of Harappa is also apparent in the material assemblages of nearby smaller settlements
162 (Wright et al. 2001, 2003). Other studies have used survey data to clarify site distribution
163 patterns in other Indus regions, including Sindh in Pakistan (e.g. Flam 1993, 2013; Jansen 2002;
164 Shaikh et al. 2003; Mallah 2008), and Gujarat in India (Possehl and Raval 1989; Possehl and
165 Herman 1990; Shinde 1992; Possehl 1999; Sonawane and Ajitprasad 1994).

166 The plains of northwest India are characterized by a range of alluvial environments, an
167 absence of mineral resources, extensive irrigation farming, and numerous archaeological sites
168 from all periods. Some site locations were initially reported as early as 1832, and relatively
169 informal excavations at Indus sites in this region began in the early twentieth century (Possehl
170 1999; Lahiri 2006). Field methods and recording improved with the reinvigoration of the
171 Archaeological Survey of India under Sir John Marshall, but remained rudimentary by modern
172 standards (Lahiri 2006). Parts of what is now northwest India were later explored by Stein (1942)
173 and Ghosh (1952), who assumed that settlement densities in the region resulted from proximity
174 to now-dry watercourses. Further surveys through 1970s and 1980s brought to light many
175 important Indus sites, including Mitathal and Rakhigarhi (S. Bhan 1975; S. Bhan and Shaffer
176 1978; Frankfort 1985), and there were several attempts to collate these data (e.g. Joshi et al.
177 1984; Possehl 1999).

178 Unfortunately, the majority of these studies predate the use of global positioning systems
179 (GPS), so there is a degree of imprecision in the reported site location coordinates (Petrie and
180 Singh 2008; Singh et al. 2008). During the same period, excavations were also undertaken at the
181 sites of Kalibangan (Thapar 1975; Lal 1979, 2003), Banawali (Bisht 1978, 1987, 1989, 2005;
182 Bisht and Asthana 1979), and Mitathal (S. Bhan 1975). These excavations were essential to
183 developing ceramic typologies for northwest India, which typically include pottery vessel types

184 and styles like those found at the cities of Harappa and Mohenjo-daro along with other types and
185 styles with local characteristics. Subsequently excavations were carried out at Rakhigarhi, which
186 appears to have been urban in scale and complexity (Nath 1998, 1999, 2000; see also Shinde
187 2016), and the smaller sites of Bhirrana (L.S. Rao et al. 2004) and Kunal (Khatri and Acharya
188 1995). More recent excavations at Farmana have unearthed large mud-brick houses, a
189 coordinated street plan, and an extensive cemetery, revealing additional associations between
190 elements of material culture found at other major Indus cities and local artefact styles (Shinde et
191 al. 2011). Material culture assemblages from these sites are believed to correspond to the periods
192 nested within the overarching chronology of the Indus civilisation (e.g. Meadow and Kenoyer
193 1997, 2003; Possehl 2002; Wright 2010, 2012), which include the Early Harappan, Mature
194 Harappan, and Late Harappan periods. Following the Indus civilisation comes a sequence of
195 phases marked by distinctive pottery types, such as Painted Grey Ware. This framework is
196 widely utilized in South Asian archaeology, though the attribution of many types and styles to
197 specific periods is not straightforward (Parikh and Petrie 2017; Parikh in prep).

198 Since 2000 there have been many surveys conducted in several states in northwest India,
199 including Haryana (e.g. Dangi 2009, 2011; Shinde et al. 2010; Parmer et al. 2013), Rajasthan
200 (e.g. Pawar 2012) and Punjab (Sharan forthcoming). Most archaeological surveys in northwest
201 India have employed a ‘village-to-village’ methodology, wherein a survey team visits the
202 contemporary villages within an administrative unit and asks local informants where
203 archaeological materials can be found (see discussion in Singh et al. 2010, 2011). The number of
204 villages and intensity of agricultural land use therefore impact the results of these surveys. Many
205 earlier unpublished surveys are only readily accessible through secondary studies that reinforce
206 the notion that the region was home to several dynamic settlement concentrations, though they

207 differ on specific interpretations (e.g. Chakrabarti and Saini 2009; Kumar 2009). For example,
208 Kumar (2009:17) argued that settlement density in northwest India increased markedly during
209 the Late Harappan period, while Chakrabarti and Saini (2009:77) suggested that the change in
210 population between the Mature and Late Harappan periods was less dramatic, indicating that that
211 migration from the declining cities may be unlikely.

212 It has been clear for some time that a high-resolution evaluation of these site location data
213 will improve scholarly understanding of the processes of urbanisation and de-urbanisation that
214 created and transformed the Indus civilisation's signature landscapes. The *Land, Water and*
215 *Settlement* (hereafter LWS) project produced two complementary site location datasets that can
216 anchor data assembly projects. LWS focused on rural life in northwest India, and expanded and
217 refined a subset of site location datasets from this region (Singh et al. 2008, 2010, 2011; Petrie et
218 al. 2017). The LWS surveys demonstrated that during the Mature Harappan period there was an
219 overall reduction in settlement density that sustained the emergence of larger urban settlements
220 like Rakhigarhi (Singh et al. 2010, 2011). During the Late Harappan period, the number of sites
221 in northwest India appears to increase, but these settlements are typically small in size (e.g.
222 Madella and Fuller 2006, Kumar 2009; Singh et al. 2010). This transformation is likely
223 associated with climate change, and it has been suggested that a weakening summer monsoon
224 prompted communities in northwest India to diversify their agricultural practices (e.g. Madella
225 and Fuller 2006). However, it is clear that this diversity emerged well before cities and may
226 have provided the risk buffering and mitigation necessary to maintain food surpluses in the face
227 of climate change (Petrie et al. 2016, 2017; Petrie 2017; Petrie and Bates 2017).

228 New landscape approaches to the Indus civilisation have the potential to reveal how
229 complexity integrates vast and varied environments in the face of dramatic changes in social

230 scale. However, the environmental and socio-cultural diversity and variation across the vast
231 region occupied by Indus populations inhibit the understanding Indus landscapes if site location
232 reports remain confined to the spatial silos of individual studies. Assembling Indus site location
233 reports into larger integrated databases creates an opportunity to critically assess settlement
234 densities and identify research strategies that will increase certainty by revealing areas where
235 data need to be reviewed and re-examined and locations that will benefit from additional survey.

236 More research on the diverse range of social processes that played out in early complex
237 societies is also needed. It is critical to determine when transformations in past landscapes
238 reinforce current models of social complexity, and when they demand the revision of traditional
239 models, and the Indus civilisation is particularly important in the regard. Investigating the Indus
240 civilisation's signature landscapes may reveal how particular environments, and variation within
241 them at smaller scale, interact with 'heterarchical' social processes (following Crumley 1995;
242 McIntosh 2005). Most classic studies of site location data tend to emphasize the relationship
243 between an early complex society and a particular environments (e.g. Wilkinson 2003). The
244 Indus offers a fundamentally different challenge: an example of an extensive early complex
245 society that encompassed a great range of different environments.

246

247 *Methods*

248 Assembling archaeological survey data from northwest India into a single relational
249 database facilitates the comparison, quantification, and spatial analysis of multiple heterogeneous
250 datasets. Though there have been several attempts to synthesize northwest India's settlement
251 distributions (e.g. Joshi et al. 1984; Possehl 1999; Chakrabarti and Saini 2009; Kumar 2009), the
252 inherent limitations and discrepancies between datasets are rarely considered. Singh et al. (2008,

253 2010, 2011) noted that some reports omit precise coordinates, utilised inconsistent naming
254 protocols, and only implicitly define their survey boundaries. Moreover, many of the primary
255 surveys that underpin these datasets used modern administrative boundaries to delimit study
256 areas (e.g. districts or blocks; Petrie et al. 2017), and survey coverage is often strongly
257 influenced by assumptions about the location of watercourse locations. Combining “other
258 people’s data” into larger datasets requires identifying comparable attributes across datasets and
259 assembling them into formats that can be cross-referenced (Atici et al. 2012). Integrating site
260 location data within a single relational database is the first step toward developing a cyber-
261 structure that preserves the character of particular datasets (see Cooper and Green 2015). Toward
262 this end, this paper aggregates site location reports to generate a novel tabulation that integrates
263 all previously reported site locations within a sample area.

264

265 *Sources*

266 The site locations from four secondary studies were digitized to provide initial tables for
267 the pilot database (Joshi et al. 1984; Possehl 1999; Chakrabarti and Saini 2009; Kumar 2009).
268 These secondary studies analysed overlapping geographical regions using multiple primary site
269 location reports. Two of these studies examine settlement patterns across the entire extent of the
270 Indus civilisation (Joshi et al. 1984; Possehl 1999), while the two later studies selected areas that
271 were assumed to be in proximity to past watercourses in northwest India (Chakrabarti and Saini
272 2009; Kumar 2009). For the pilot database, some primary site location reports were confirmed by
273 multiple sources, which can be found in the works cited.

274 A series of unpublished tables based on previous efforts to combine Indus site locations
275 into an integrated database was also included in the pilot database. These started with Possehl’s

276 (1999) tabulations, and incorporated an additional table of site locations developed as a .kmz file
277 using Google Earth by Randall Law. This .kmz file presented Possehl's tabulation in a format
278 that could be read by Google Earth and projected onto satellite imagery. Law enhanced this
279 dataset by visiting many locations, adding to or adjusting their coordinates. Although it was not
280 formally published, Law's .kmz file was made available to the scholarly community, and
281 contains important supplementary notes for many locations mentioned in the synthetic studies.
282 Comparison between the Possehl and Law datasets was carried out by Edward Cork and
283 Cameron Petrie in 2008.

284 Additional tables derived from recent primary site location reports were drawn from
285 location reports from the LWS surveys (Singh et al. 2010, 2011), a survey of the Mansa district
286 of India's Punjab (Sharan et al. 2013) and a report of site locations in the districts of Fatehabad
287 in India's Haryana and Mansa and Sangrur in India's Punjab (Dangi 2011). The LWS surveys
288 employed GPS and aimed for complete coverage within their bounded study regions. The
289 *Rakhigarhi Hinterland Survey* (RHS) investigated a circular area roughly within a 15km radius
290 surrounding the major Indus city of Rakhigarhi (Singh et al. 2010), while the *Ghaggar*
291 *Hinterland Survey* (GHS) targeted a previously un-surveyed area around the middle course of an
292 important watercourse that is largely known from remote sensing imagery (Singh et al. 2011).
293 These LWS surveys prioritised questions about site and water catchments over administrative
294 districts.

295

296 *Pilot Database Development*

297 To assemble the pilot database, tables derived from the above sources were imported into
298 a relational database using FileMaker Pro (v15), which facilitated the speedy examination of

299 attributes from non-corresponding tables prior to developing related fields through comparison.
300 After importing the selected tables, each site location was given a unique identifying value: the
301 Pilot *TwoRains* Identification Number (*ptr_id*). The resulting *ptr_id* list was initially extensive,
302 including over 10,000 entries. Overlap between the original tables initially resulted in significant
303 duplication of entries. To reduce the *ptr_id* list, entries that shared a common location were
304 reclassified, which reduced the number of *ptr_id*'s. As records based on the same site location
305 were linked to the same key *ptr_id*, it became possible to query information about the same
306 location derived from multiple sources. Duplicates were then assigned the same *ptr_id*'s by
307 projecting the site table in a GIS and examining each location against ESRI's World Imagery.

308 While the resulting *ptr_id* table allowed the querying of related fields across multiple
309 tables, standardising the information available for each site location and reconstructing its history
310 and characteristics required the review of each record. To evaluate settlement density in
311 northwest India, *ptr_id*'s from a sample area were selected for more detailed assessment. The
312 sample area consists of a projected rectangle that encloses both LWS survey areas that was
313 automatically generated (Fig. 2). In addition to the LWS site locations, the entire sample region
314 was included within the research areas of all the major synthetic studies of Indus civilisation site
315 distribution mentioned above. The sample area encloses a projected area of 10476.77 square km
316 and includes 695 reported site locations.

317 Bibliographic information was assembled for each site location and cross-referenced with
318 the original publications to the extent that primary sources were available, and assessments of
319 site location accuracy and precision were included in the resulting table. Outright errors, reported
320 locations that lacked complete geographical information, were located outside of South Asia, or
321 were unlikely to be related to a specific location in the landscape, were flagged with the

322 assistance of GIS analyses undertaken using ArcGIS 10.4.1 and QGIS v2.18.2. The apparent
323 precision of site location reports was noted (also indicated by whether full geographical
324 coordinates were included). Reported periodisation for each site location was also compiled and
325 included in the resulting table. The pilot database compiled the history of study for each site
326 location, along with its earliest likely discovery date, and the tabulated results of this compilation
327 are presented in the supplement accompanying this paper (ST. 1).

328

329 *Results*

330 The aggregate site location data assembled in the pilot database facilitated the
331 development and testing of interpretations about Indus settlement density in northwest India
332 (Fig. 3). Most site locations were reported between 1981-1990, and there was a resurgence in
333 archaeological survey that appears to have dramatically increased the number of reported site
334 locations in the sample region following the year 2000 (Fig. 4). Unstandardized reporting
335 conventions raise the need to examine the relationship between contemporary villages and
336 archaeological sites in detail, as many locations in the database, especially in earlier reports, are
337 known to reflect the location of nearby villages rather than the location of specific settlement
338 mounds. The sample area included 695 previously reported site locations, 80% of which were
339 reported with geographical coordinates that include degrees, minutes, and seconds (n=554).
340 However, there are also site locations that include seconds but are likely to be imprecise, with
341 reported values of 00, 15, 30, or 45. Reassessment of these locations will be carried out in future
342 stages of data consolidation and a sample of these locations will be updated after future
343 fieldwork. Those reported without full geographical coordinates were typically documented in
344 2002 or earlier (n=64), prior to the regular use of GPS. A negligible number (n=14) of site

345 locations appear to have been reported erroneously, either in recording of the site location in the
346 field or in later re-publishing. Erroneous site locations have coordinates that appear to be
347 incomplete or refer to locations that did not likely correspond to archaeological sites (as
348 indicated in ESRI's World Imagery Basemap). Though the great majority of site locations were
349 reported with precise geographical coordinates, only 386 were likely collected with the aid of
350 GPS (Fig. 5). It is clear that many of the reports in the northeast quadrant of the study area were
351 recorded without the assistance of GPS, and may warrant re-investigation.

352 As survey coverage is not uniform, many sites likely remain to be discovered in areas that
353 were ostensibly covered by secondary studies, but which may not actually have been surveyed
354 extensively (Fig. 3). Around half (n=372) of the site locations in the pilot database have only
355 been reported once. Of those, 43% (n=161) are site locations that pre-date the LWS surveys and
356 do not appear to have been revisited or reconfirmed, while the remaining site locations (57%,
357 n=211) consist of new reports by the LWS or later surveys. This pattern of reporting has
358 important implications for the identification of site concentrations: areas that have particularly
359 high site densities and may correspond to what Joshi et al. 1984 described as the Mature
360 Harappan period's economic pockets. Similar concentrations may remain unreported in areas
361 that have not been recently surveyed, which is a possibility that warrants further testing.

362 Recent efforts to improve survey coverage in northwest India have transformed
363 projections of site density in the study area, reinforcing previously identified patterns and
364 revealing new ones. Figure 6 presents contrasting 'heat maps' of location density for sites
365 identified before and after 2009 for all periods. These were created using the Heatmap Plugin
366 v0.2 for QGIS v2.18.2. The plugin was used to rasterise vector data derived from the pilot site
367 location table (sorted by earliest year reported) using a radius value of 5mm and a maximum

368 automatic value. The best rendering quality setting was used, and the resulting raster layers were
369 exported through a print composer that presented both side by side. These raster images assign
370 each pixel a value according to the number of nearby site locations. The results of surveys prior
371 to 2009 reveal several site location concentrations apparent in the dataset, including
372 concentrations to the northwest and southeast of the modern city of Ratia in the northwest
373 quadrant of the study area and a slight concentration around the site of Banawali southwest of
374 Ratia. A clear concentration was found around the site of Rakhigarhi, which appears to be
375 aligned with linear concentrations of settlements extending toward the southwest. In line with
376 this concentration near Rakhigarhi are concentrations near Jind and northeast of the modern town
377 of Hansi. In the northeast quadrant, a further concentration appears northeast of the town of
378 Narwana, not unlike those found in association with Rakhigarhi. Three concentrations in the
379 northeast quadrant are largely based on the findings of older surveys (S. Bhan 1975; S. Bhan and
380 Shaffer 1978). Recent surveys have enhanced the clarity of these findings (Fig 6A). Given that
381 increased survey efforts confirmed previously identified patterns, it will be critical for future
382 surveys to reassess the concentrations identified in the northeast quadrant, which have not yet
383 been revisited.

384 It is unclear whether areas with few reported site locations, such as between the LWS
385 survey areas, were in fact thinly occupied, or whether they simply require additional study. There
386 is a gap in survey coverage within the southwest quadrant of the sample area, extending around
387 today's city of Hisar and the village of Barwala. Site density in the northeast corner of the study
388 area, however, is similar to that seen in the areas covered by the LWS surveys. While reported
389 sites in the northeastern quadrant of the survey area are numerous, none of the locations were
390 collected with the assistance of GPS (Fig. 5). The site locations reported in the north-eastern

391 quadrant of the sample area are nonetheless characterised by a clear pattern. Figure 6A depicts
392 each site according to the number of times it has been reported (as increasing size) and the
393 earliest year of its report (darker blue is more recent). Those in the northeast quadrant have been
394 re-reported often, and although their original reports are quite early (e.g. S. Bhan and Shaffer
395 1978), they have not been revisited. While some concentrations of sites in the northwest and
396 southeast quadrants have a similar pattern in reporting, they have been surveyed more intensively
397 in recent years.

398 The northeast quadrant exhibits patterns in site proximity that are similar to those in the
399 LWS survey areas (Fig. 7B). Assuming a settlement's overall spatial plan was approximately
400 circular, a buffer of 1km around a site location would encapsulate the entire area of even the
401 largest Indus cities (Mohenjo-daro's largest reported area exceeds 200 hectares [Jansen 1993]).
402 Calculating the number of site locations that fall within 1 km of one another reveals that each site
403 is proximal to a mean of two others. Twenty-eight site locations are within 1km of 5 other site
404 locations, and four are within a kilometre of more than six other sites. In the more intensively
405 surveyed northwest and southeast quadrants, high-proximity sites are often associated with major
406 settlements, such as Rakhigarhi and Banawali. The northeast quadrant, in contrast, has not
407 benefited from recent survey efforts, and yet high proximity site locations exist within this
408 quadrant..

409 Reported chronological data reveals diachronic changes in the locations that were
410 favoured for settlement as people left Indus cities beyond (Fig. 8). Just over half of the site
411 locations in the sample (n=343) have been characterised as Early (n=207), Mature (n=122),
412 and/or Late Harappan (n=278) (Fig. 9). Many site locations have components that post-date the
413 Indus civilisation, with materials that belong to the Painted Grey Ware (n=84), Early Historic

414 (n=245), and/or Medieval (n=221). These figures support the hypothesis that the overall number
415 of settlements decreased during the Mature Harappan period and increased as the major cities
416 were depopulated after c.1900 B.C (Fig. 9). The spatial dimensions of these trends support
417 previous research on settlement density and northwest India, and can be used to develop new
418 research questions.

419

420 *Discussion*

421 This paper supports the interpretation that the number of settlements in northwest India
422 decreased during the Indus civilisation's Mature Harappan period. Notably, the LWS surveys did
423 not document increases in post-urban occupation in either of the areas of the primary surveys,
424 which suggests that any increases occurred elsewhere (Petrie et al. 2017). Settlement increases
425 may have occurred in the northeast quadrant of the sample area, contributing to the increasing of
426 the settlement density of northwest India in the Late Harappan and Painted Gray Ware periods.

427 It is reasonable to state that sites that have been characterized as Early Harappan were
428 evenly distributed within surveyed regions, which is the view proposed by Chakrabarti and Saini
429 (2009) and supported by subsequent projects (e.g. Dangi 2011). Gaps in the distribution of Early
430 Harappan sites around the future urban centre of Rakhigarhi, and concentrations in the
431 distribution of GHS sites in the northwest corner of the sample area have, however, been
432 detected (Singh et al. 2010:41; 2011:100). Early Harappan settlements thus appear to have been
433 numerous, but tended to be some distance apart from one another. This apparent pattern may be
434 the result of data quality, as the most widely distributed site locations appear to correspond to
435 older surveys (Figure 7A), but the patterns are not mutually exclusive, and their co-occurrence
436 suggests that the people who established these early settlements did not adopt a single approach

437 to obtaining or accessing water. Petrie et al. (2017) have suggested that this distribution likely set
438 the stage for the Indus civilisation's later emergence, positioning settlements to take advantage of
439 a wide variety of water sources.

440 The Mature Harappan period saw an overall reduction in the absolute number of site
441 locations (Fig. 9). There is no consensus as to whether the emergence of Indus cities required
442 dramatic changes in water use. Chakrabarti (1988, 1999:327) has long argued that canal based
443 irrigation may have been important, and there is evidence for major water storage facilities at
444 sites like Dholavira (Bisht 2005; Wright 2010). Others have proposed that Indus settlements had
445 a wide variety of low-cost irrigation techniques at their disposal (Miller 2006, 2015; Wright
446 2010:33-34; Petrie 2017), but our understanding of water supply in Indus period northwest India
447 remains nascent. That there are fewer site locations in the Mature Harappan period than in the
448 Early Harappan period indicates a general concentration of settlement in specific areas (Fig. 8B).
449 The pattern appears to have been variable, however, and the reduction of settlement in the
450 northwest corner of the sample area (Singh et al 2011:101) was more pronounced than the
451 reduction in the number of Mature Harappan sites near Rakhigarhi (Singh et. al 2010:46; Petrie
452 et al. 2017). Given the apparent diversity in cropping practices that is evident in northwest
453 India's Mature Harappan period (e.g. Petrie et al. 2016, 2017; Bates et al. 2017a, 2017b; Petrie
454 and Bates 2017), and the problematic linkage between site location and watercourses that has
455 often been assumed (reviewed in Petrie et al. 2017; see also Singh et al. 2010:44, 2011:102), it is
456 essential to further investigate the socio-economic and environmental dynamics that contributed
457 to this concentration of settlement during the height of the Indus civilisation.

458 The Late Harappan period marked a return to the widespread distribution of site locations
459 observed during the Early Harappan period (Fig. 8A, 8C). This reassessment has confirmed that

460 around Rakhigarhi, Late Harappan settlement site locations are more numerous than, but
461 generally proximal to, their Mature Harappan predecessors, which is a pattern previously
462 identified by Singh et al. (2010:42). The results presented here, however, confirm that site
463 locations in the northwest corner of the sample area are dramatically reduced overall in the Late
464 Harappan period (Singh et. al 2011; Petrie et al 2017). The northeast quadrant of the sample area
465 appears to have been densely occupied in the Early Harappan period and re-occupied later. There
466 thus appears to have been a shift in settlement locus from the northwest to the northeast of the
467 sample area during the closing years of the Mature Harappan period (Figure 8B), and potentially
468 also movement of populations into the northeast from outside of the study area. It has been
469 argued that this particular area of the plain may have had more reliable monsoon rainfall (see
470 Petrie 2017; Petrie et al. 2017). A shift toward this part of the plain may have been a key strategy
471 for building resilience in the changing climatic conditions that characterize the end of the Mature
472 Harappan period (Petrie et al. 2017). However, it remains unclear to what extent this Late
473 Harappan shift towards the northeast quadrant of the study area may be an artefact of early
474 methods and assumptions.

475 Determining the veracity of the Late Harappan shift is critical, considering that in the
476 subsequent periods (Figure 8C, 8D) no site locations have yet been reported in the northeast
477 quadrant of the sample area. This, again, may reflect survey methods, the chronological breadth
478 of surveys, and/or the research interests of surveyors, rather than an actual absence of sites.
479 There are, however, numerous reports of Painted Grey Ware sites in the northwest quadrant, and
480 a further increase in settlement there in the Early Historic period (Singh et al. 2011). It is notable
481 that many of these later sites contribute to the growing concentration of sites stretching from
482 immediately east of Ratia to just north of Fatehabad, which is shown to striking effect in Figure

483 5A. The distribution of Painted Grey Ware sites also breaks with the concentration of Late
484 Harappan sites near Rakhigarhi (Singh et al. 2010:46).

485 Prior to 2009, a total of 455 sites had been reported within the sample area. This number
486 has increased substantially since then, increasing the total reported site locations while increasing
487 survey coverage in less than half of the sampled area. If similar quantities of new site locations
488 are reported throughout the entire sample extent, the number of total site locations could well
489 increase another twofold. Future data integration work will address these issues, as will iterative
490 phases of fieldwork to ground truth and update site location data. Moreover, the category of
491 “site” needs to be expanded to specify different kinds of archaeological phenomena in northwest
492 India, and it is essential to conduct complementary intensive surveys at individual sites,
493 systematically assessing surface materials to identify and delineate the specific spatial
494 distribution of different classes of artefacts and features, an approach which has yielded
495 considerable insights into social relations between the Indus city of Harappa and its surrounding
496 settlements in Pakistan’s Punjab (e.g. Wright et al 2001; 2003). Adopting these techniques could
497 contribute new regional perspectives on patterns in material culture that are unbound by the site
498 concept (e.g. Kantner 2008; Howey and Burg 2017).

499 The ptr_id table has provided a means of tentatively assessing certainty in site location
500 datasets from northwest India. At this stage, the pilot database speaks primarily to the
501 archaeological significance and geographical precision of site location reports, though continued
502 database development will allow the assessment of variables such as site boundary certainty and
503 thus site size. There remain many unpublished and at present inaccessible site location datasets
504 that must be digitised and added to the database. As this database grows and the findings

505 presented here are confirmed (or refuted) through further fieldwork, it will be possible to identify
506 further gradations of certainty in site location data, and test hypotheses at larger scales.

507 The study is also important because it reveals the necessity of examining the ‘silos’ in
508 which we generate and analyse our data. Projecting site locations merely as ‘dots on a map’ can
509 lure researchers into thinking they understand previous settlement patterns better than they do,
510 while site locations that remain more or less unmoved after multiple ‘on the ground’ surveys are
511 of particular value. The Indus civilisation in northwest India is particularly different in this
512 regard; as it takes many different survey datasets to understand the Indus civilisation’s settlement
513 distribution, incorporating some areas that have been surveyed again and again. This very fact
514 means that certain trends in settlement are surer than others. Further investigation of the Indus
515 civilisation’s signature landscapes also has the potential to enhance alternative models of social
516 complexity, revealing how heterarchical social relations may have materialised and supported
517 social relations across vast and varied environments.

518

519 ***Conclusion***

520 Archaeological survey data are essential for understanding the dynamics of social
521 complexity. Identifying the signature landscapes that materialised the prevailing social processes
522 that underpin these dynamics requires large scale analysis that exceed the boundaries of most
523 individual field survey projects. By integrating site location data from multiple projects, this
524 paper offers new support for the interpretation that northwest India comprised one or more of the
525 Indus civilisation’s signature landscapes, where settlement densities chart trajectories of
526 urbanisation and de-urbanisation, involving agglomeration and dispersal into areas with suitably
527 favourable environmental conditions. Site location concentrations appear to generally correspond

528 to previous survey coverage, and there has been an overall underestimation of northwest India's
529 settlement density across both time and space. There remain many areas where systematic
530 surveys are needed, such as the broad area between the LWS surveys, and many areas would
531 benefit from re-visitation and re-evaluation, such as the site locations reported in the northeast
532 quadrant of the study area. An extensively occupied landscape appears to have emerged during
533 the Early Harappan period and was largely re-occupied during the Late Harappan period, as there
534 appears to have been a displacement of settlement into specific parts of the plain. It remains
535 necessary to test the veracity of this re-occupation by reassessing sites located in the northeast
536 corner of the surveyed area and closing gaps in survey coverage. Engaging in such reassessment
537 will contribute to research on the signature landscapes that inform scholarly understanding of
538 urbanisation and de-urbanisation and the impact of variable and changing environments on
539 settlement distributions in the past.

540

541

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863

864 **Figure Captions**

865

866 **Figure 1:** Geographical context and extent of the Indus civilisation. Sites that have been
867 identified as cities are shown as well as the sample area considered in this paper. Extent was
868 derived from secondary sources. Basemap Source:

869 <http://earthobservatory.nasa.gov/Features/BlueMarble>

870

871 **Figure 2:** Primary and Secondary Studies that Overlap the Sample Area (Dashed Line). Areas of
872 light blue have been discussed primarily in extensive secondary studies, while darker blue
873 denotes areas that have been subject to recent primary surveys. Basemap Source: Google Earth.

874

875 **Figure 3:** Distribution of Site Locations included in the Pilot Study. Basemap Source: Google
876 Earth.

877

878 **Figure 4:** Bar Graph Depicting the Number of Sites Reported in the Decades Following 1970.

879

880 **Figure 5:** Distribution of Site Locations Collected with or without the use of GPS. Basemap
881 Source: Google Earth.

882

883 **Figure 6:** Density of Site Locations Prior to (A) and Subsequent to 2009 (B). Concentrations are
884 depicted using a 'heat map' color gradient between areas of high density (red) and low density
885 (blue). Basemap Source: Google Earth.

886

887 **Figure 7:** Analysis of Site Location Characteristics. Site locations depicted according to number
888 of times reported, year of earliest report, and proximity to sites within one kilometer. Basemap
889 Source: Google Earth.

890

891 **Figure 8:** Changes in Site Location Distribution through Time. Basemap Source: Google Earth.

892

893 **Figure 9:** Bar Graph Derived from the Number of Reported Sites Belonging to Particular
894 Chronological Periods.

895

896 **Supplementary Table 1:** Site Locations from the Pilot *TwoRains* Database in the Sample Area

Author Biographies

Adam S. Green is an anthropological archaeologist who is interested in the comparative study of early complex societies through the lenses of technology, landscapes, and political economy. He specialises in the archaeology of South Asia and of the Indus civilisation. As a member of the *TwoRains* project, he is combining systematic archaeological fieldwork with emerging digital and computational tools to refine, enhance, and expand settlement distribution data from northwest India.

Cameron A. Petrie is the Principal Investigator of the *TwoRains* project, a multi-disciplinary investigation of climate change and the Indus civilisation in northwest India. He has conducted research on the archaeology of India, Pakistan, and Iran, focusing on the investigation of complex societies and the relationships between humans and their environments. In collaboration with Prof. R.N. Singh at Banares Hindu University, he is leading field component of the *TwoRains* project, which builds on the results of the previous collaborative Land, Water and Settlement Project.

Fig.1

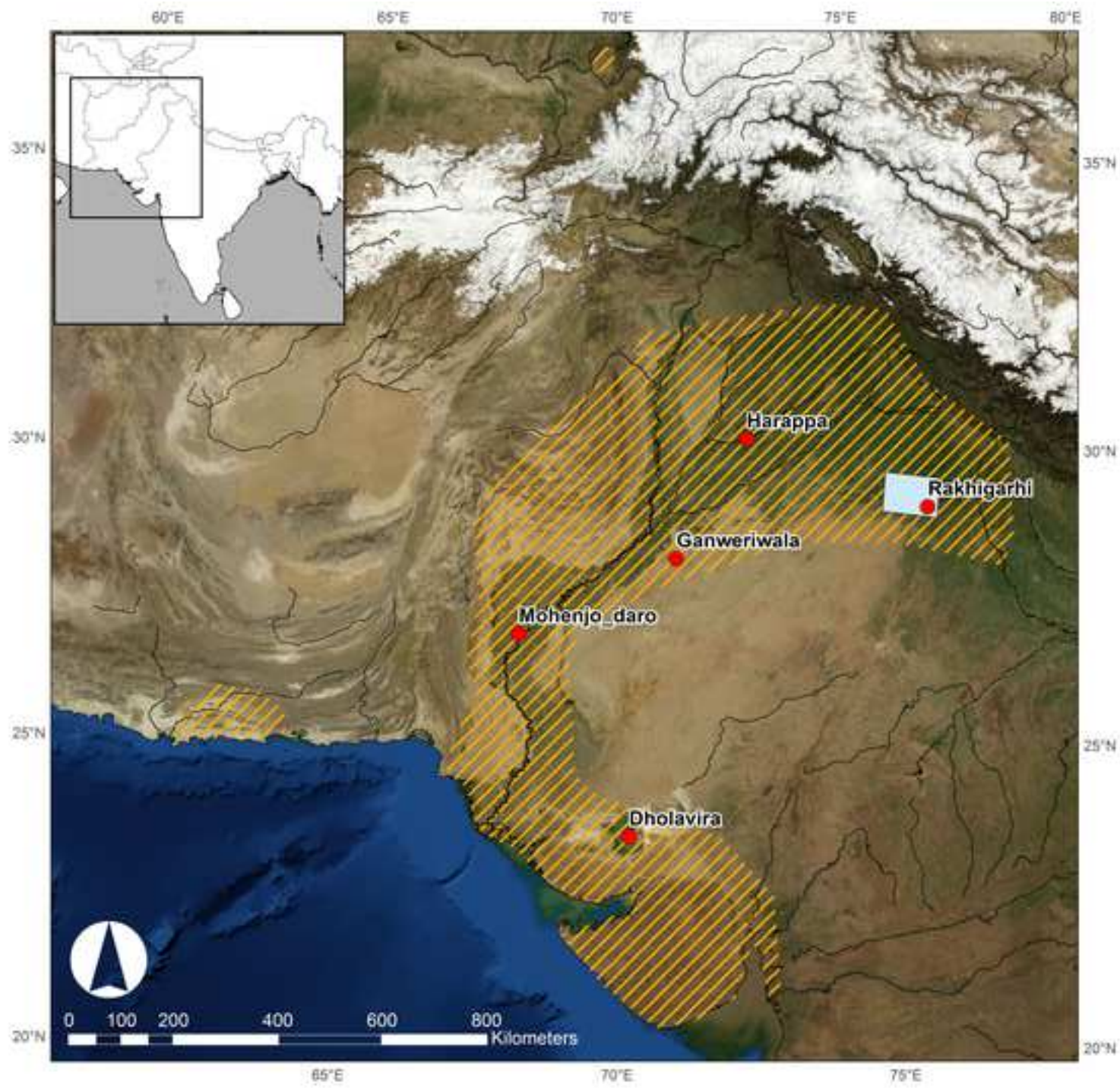


Fig. 2

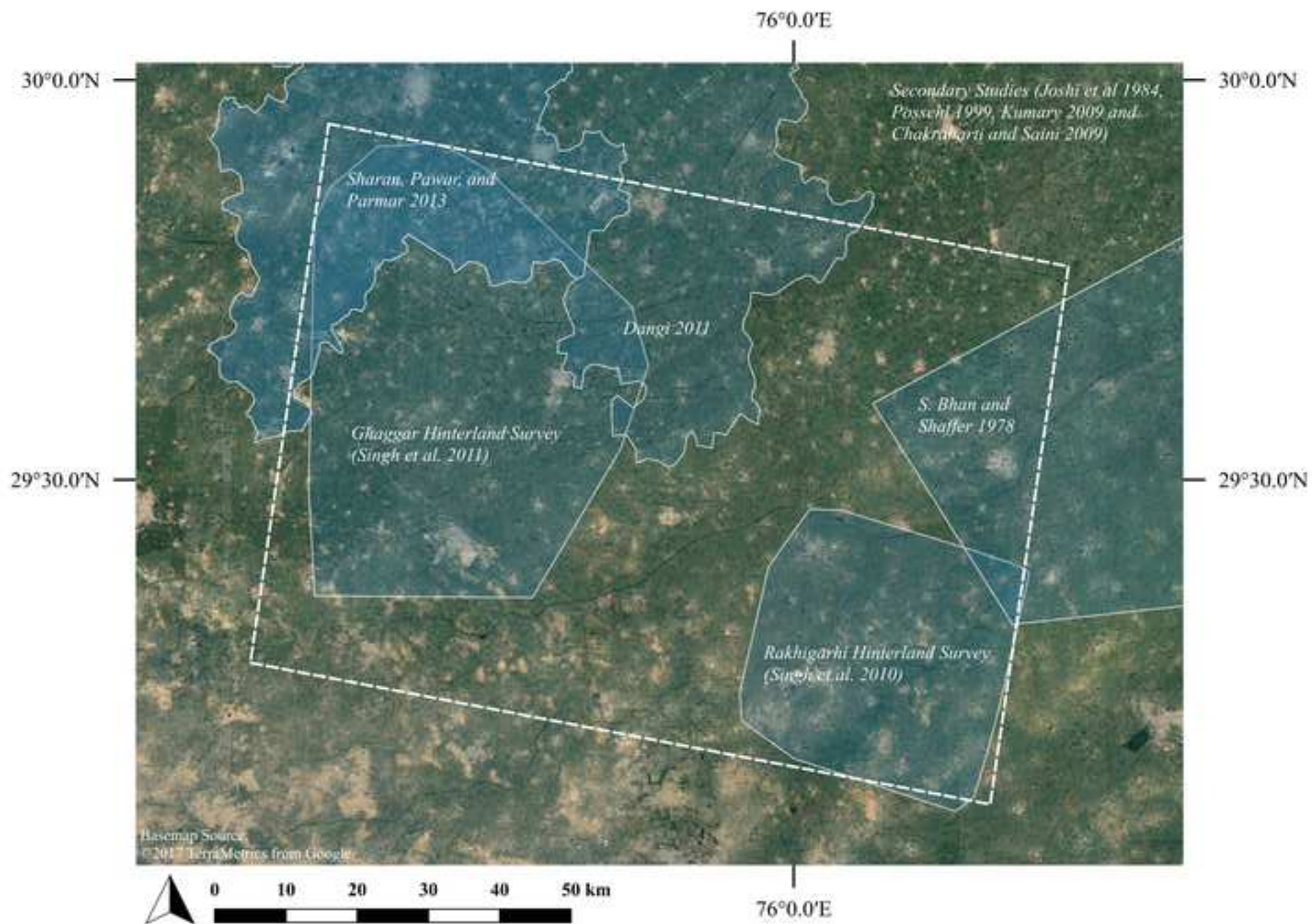


Fig. 3

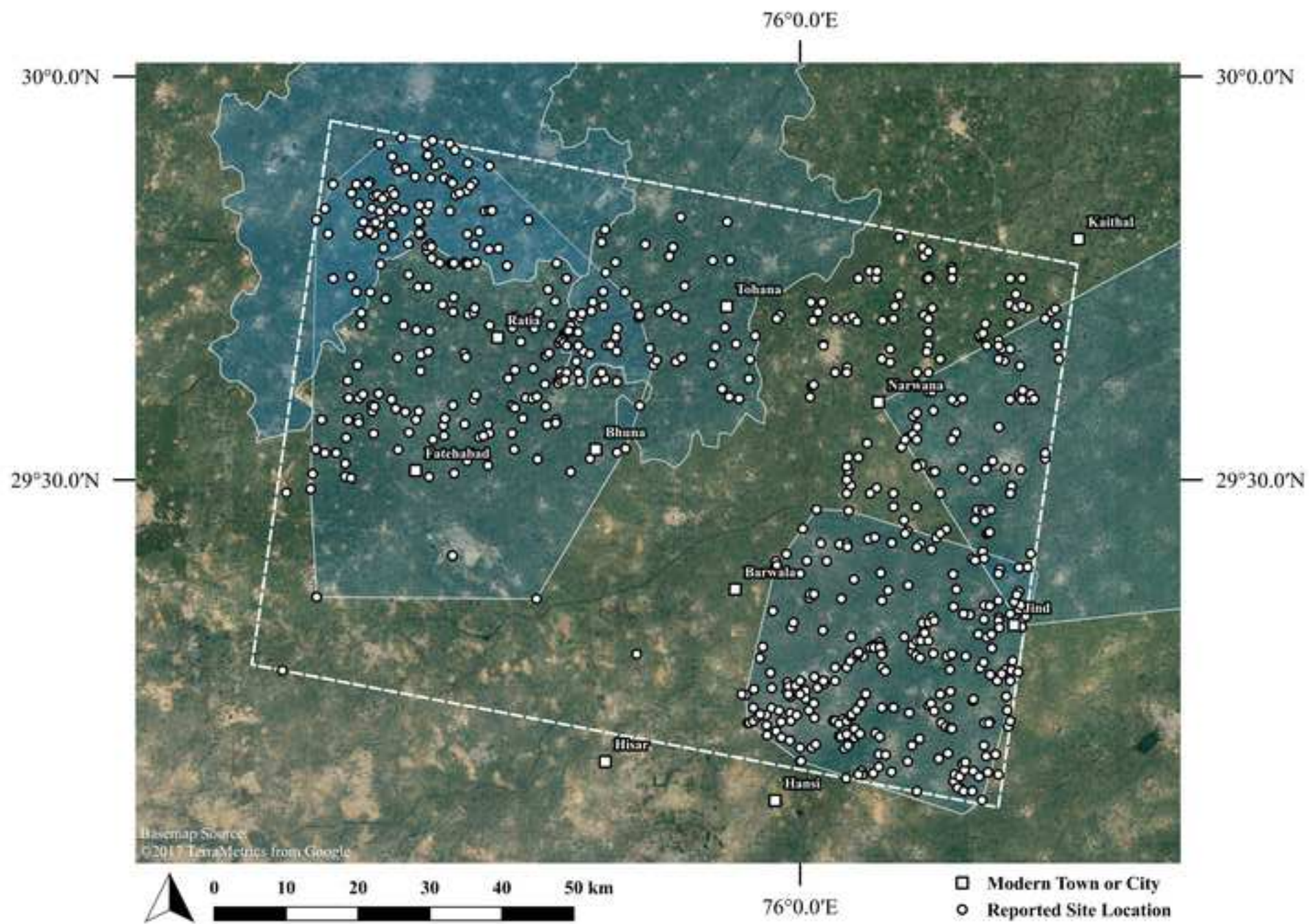


Fig. 4

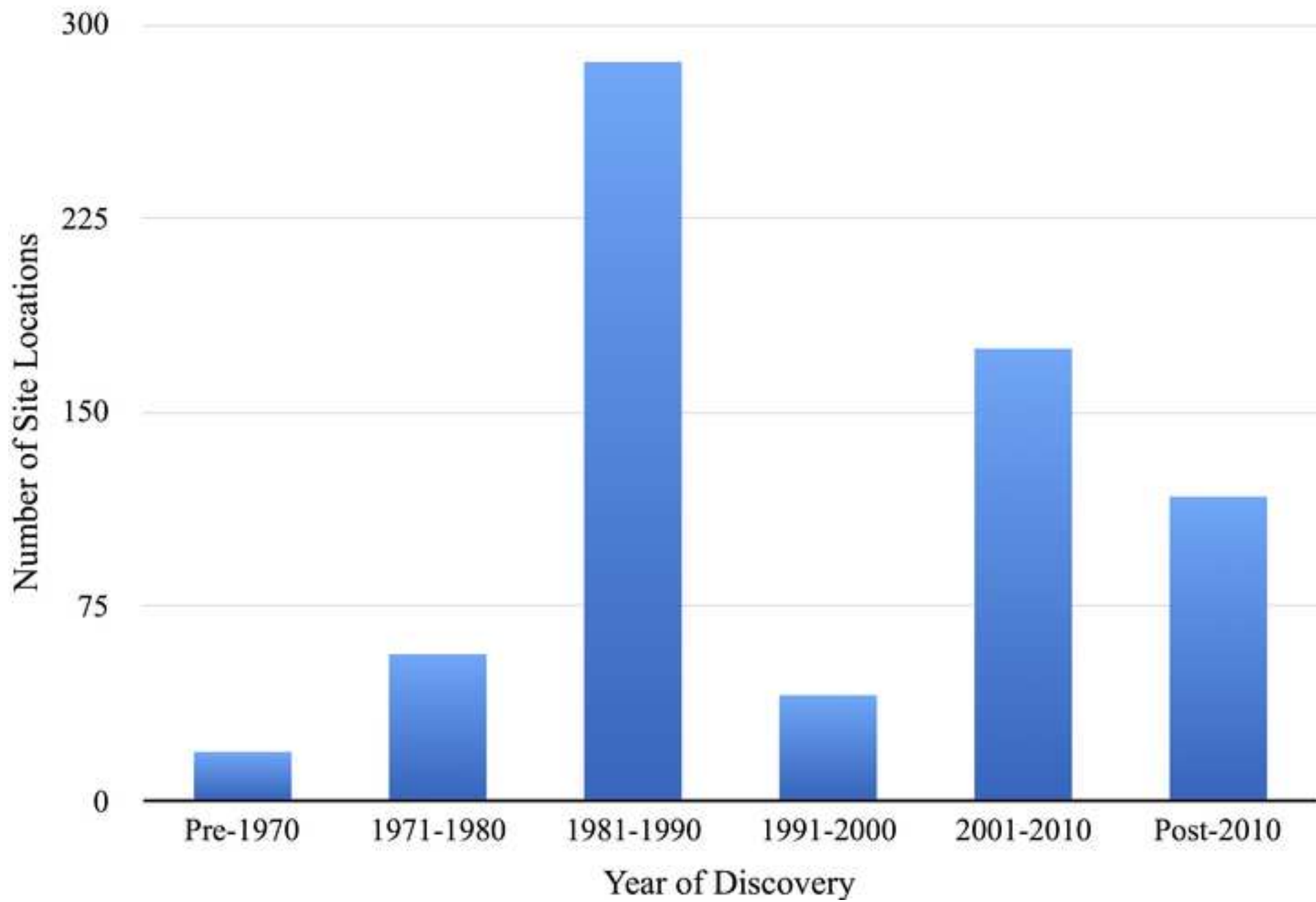


Fig. 5

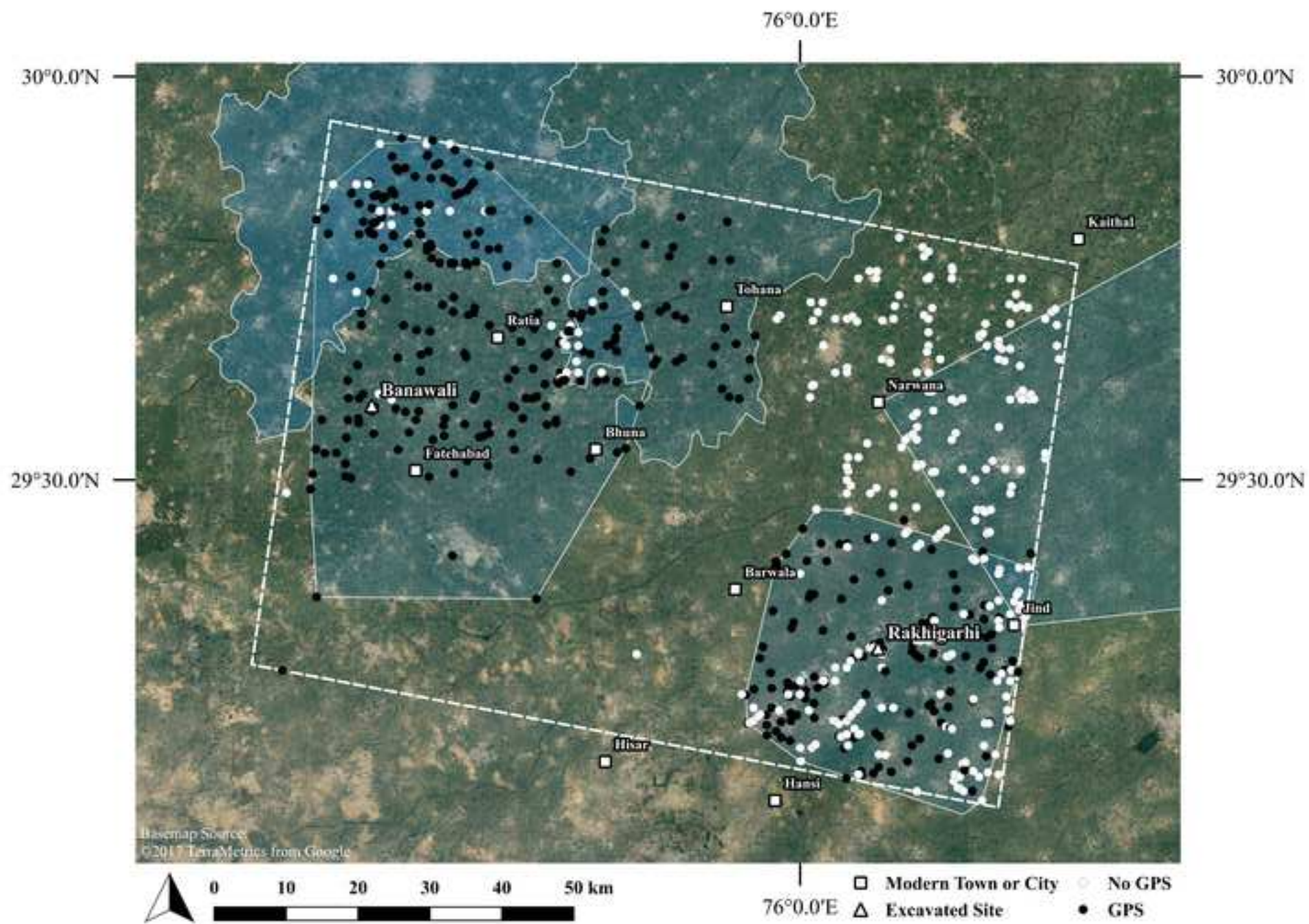


Fig. 6

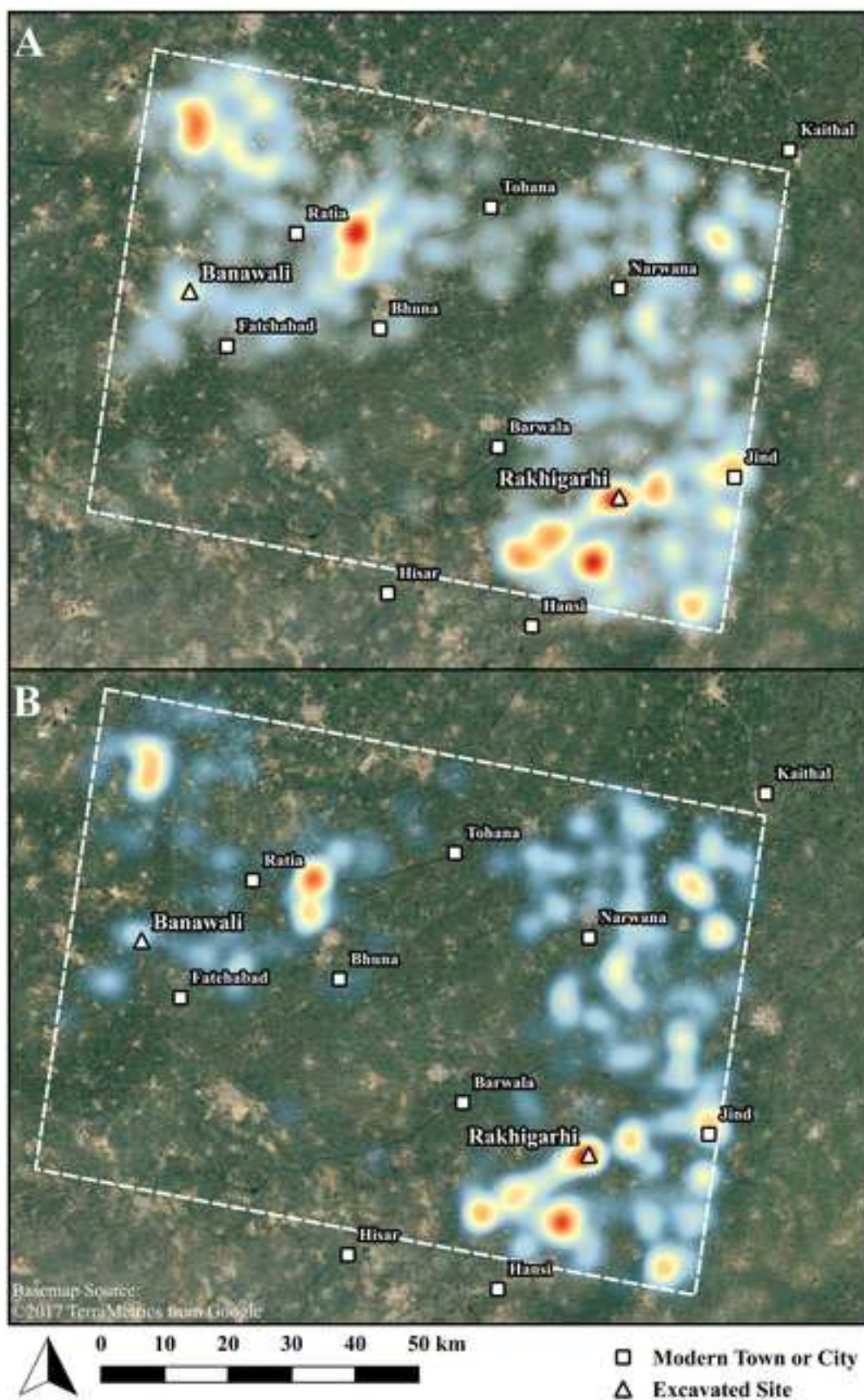


Fig. 7

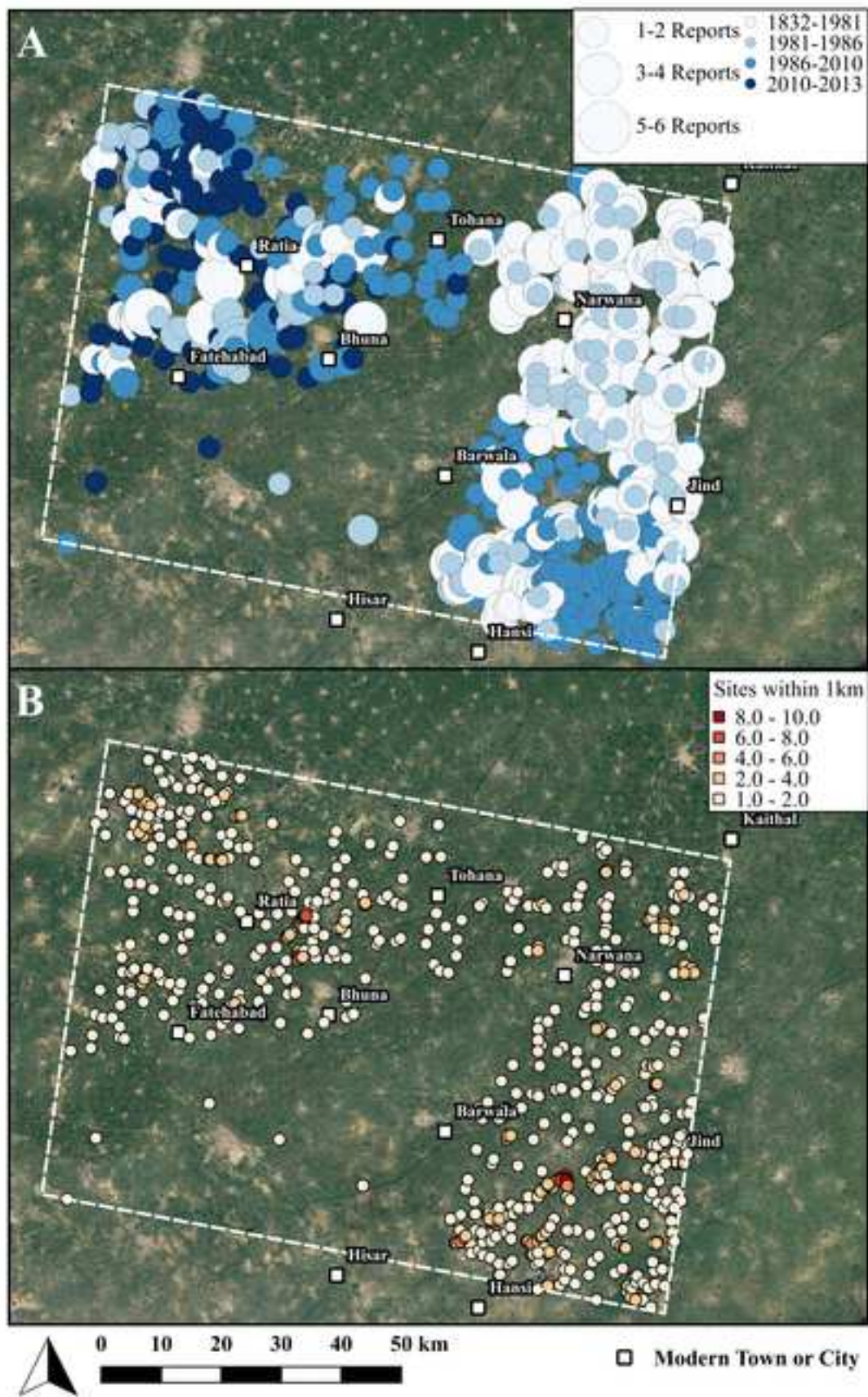


Fig. 8

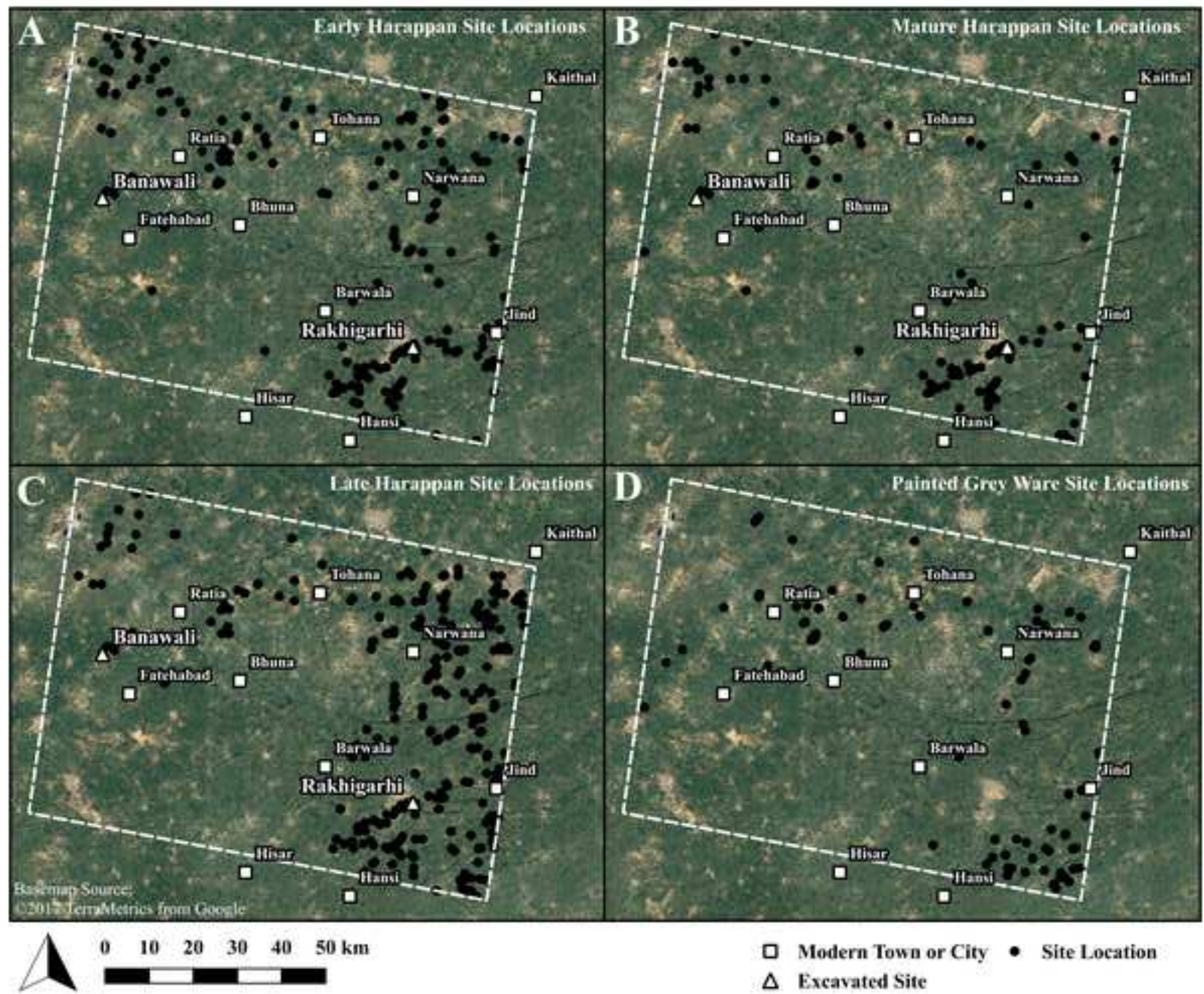


Fig. 9

