

1 **Manipulation of Induced Resistance to Viruses**

2 Peter Palukaitis<sup>1</sup>, Ju-Yeon Yoon<sup>2</sup>, Seung-Kook Choi<sup>3</sup>, and John P. Carr<sup>4</sup>

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4 1. Department of Horticultural Sciences, Seoul Women's University, Seoul 01797,

5 Republic of Korea

6 2. Virology Unit, Department of Horticultural and Herbal Environment, National

7 Institute of Horticultural and Herbal Science, RDA, Wanju, 55365, Republic of Korea

8 3. Department of Vegetable Research, National Institute of Horticultural and Herbal

9 Science, RDA, Wanju, 55365, Republic of Korea

10 4. Department of Plant Sciences, University of Cambridge, Downing Street,

11 Cambridge CB2 3EA, United Kingdom

12

13 Corresponding author: Palukaitis, P ([scripath1@yahoo.co.uk](mailto:scripath1@yahoo.co.uk), [peterp@swu.ac.kr](mailto:peterp@swu.ac.kr))

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15 Other author contacts

16 Seung-Kook Choi [viroid@korea.kr](mailto:viroid@korea.kr)

17 Ju-Yeon Yoon [juyeon74@gmail.com](mailto:juyeon74@gmail.com)

18 John P. Carr [jpc1005@hermes.cam.ac.uk](mailto:jpc1005@hermes.cam.ac.uk)

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21 **Abstract** (109 words)

22 **Induced resistance against plant viruses has been studied for many years.**  
23 **However, with the exception of RNA silencing, induced resistance to viruses**  
24 **remains mechanistically less well understood than for other plant pathogens. In**  
25 **contrast, the induction processes involved in induced resistance, comprising**  
26 **basal resistance signaling, effector-triggered immunity, and phytohormone**  
27 **pathways, have been increasingly well characterized in recent years. This has**  
28 **allowed induced resistance to viruses to be placed in a broader conceptual**  
29 **framework linking it to other defense systems, which we discuss in this review.**  
30 **We also discuss the range of agents, including chemicals and beneficial**  
31 **microorganisms and application methods that can be used to induce resistance to**  
32 **viruses.**

33

#### 34 **Highlights**

- 35 • Plants possess multiple inducible defenses against viruses.
- 36 • The best understood is RNA silencing but others impact on virus replication  
37 and movement.
- 38 • It is now known that PAMP-triggered immunity inhibits infection by certain  
39 viruses.
- 40 • Various defense responses are triggered by naturally occurring plant signal  
41 molecules including the well established, such as salicylic acid, and novel  
42 signals including azelaic acid, glyceraldehyde 3-phosphate and pipercolic acid.
- 43 • A wide range of synthetic compounds and beneficial microbes that have been  
44 investigated as potential resistance inducers.

45

## 46 **Introduction**

47 Induced antiviral defense mechanisms attain full potency in response to microbial or  
48 chemical stimuli but are inactive or operational only at some basal level in  
49 unchallenged plants [1,2]. RNA silencing is an inducible adaptive antiviral  
50 mechanism [1,3]. However, since silencing has been recently reviewed by others  
51 [2,3], it will be discussed in detail here only where it functionally overlaps with, or  
52 reinforces, other induced resistance systems.

53 Induced resistance is exploitable through several approaches, including treatment with  
54 naturally occurring or synthetic chemicals [e.g. salicylic acid (SA) or BTH  
55 (benzothiadiazole/acibenzolar-S-methyl), respectively] (Figure 1), or beneficial  
56 microorganisms [2,4]. Alternatively, engineering genes encoding factors that regulate  
57 or execute induced resistance could enhance antiviral or general anti-pathogen  
58 defenses, although this will not be the focus of this article. Additional approaches  
59 may emerge from research on treatments that engender trans-generational epigenetic  
60 improvements in pathogen resistance [5,6].

61 Induced resistance has advantages for combating viruses because no agrochemicals  
62 analogous to fungicides or insecticides exist that can be used to prevent virus diseases  
63 under field conditions. Inducers stimulate endogenous resistance mechanisms that are  
64 less likely to harm non-target or beneficial organisms; also true for genetically-  
65 engineered plants with enhanced or faster-responding defenses. There are potential  
66 disadvantages, likely to be surmountable through additional research. For example,  
67 whilst some current inducers provide prolonged protection [7], others may engender  
68 incomplete or transient resistance (discussed in [2,4]), or decrease fitness and yield  
69 [8]. Additionally, more research is needed on the factors that control and execute

70 anti-viral resistance, which are less well delineated than those mediating induced  
71 resistance against fungi, oomycetes and prokaryotes [1,9].

72

### 73 **Basal resistance to viruses: a potential role for PAMP-triggered** 74 **immunity**

75 Plants are resistant to most potential cellular pathogens by detecting conserved  
76 pathogen-associated molecular patterns (PAMPs) with pattern recognition receptors  
77 (PRRs) that activate PAMP-triggered immunity (PTI) [10]. The best-studied  
78 *Arabidopsis thaliana* PRR, FLS2, perceives flagellin utilizing a partner kinase, BAK1  
79 (SERK3), which also facilitates the activity of other PAMP and hormone-responsive  
80 PRRs including the BRI1 brassinosteroid receptor [11].

81 PTI manipulation may have potential for increasing crop resistance to viruses but it  
82 was once debatable whether or not it affected viruses [1]. Recently, BAK1 was found  
83 to be necessary for the limitation of the accumulation of tobamoviruses and turnip  
84 crinkle virus (TCV) [12,13]. Titer and symptoms for TCV and two tobamoviruses  
85 were enhanced in *bak1* and *bkk1* (BKK1 encodes another BRI1 partner) mutants  
86 [12,13]. Viral dsRNAs may also be able to trigger resistance via PTI, in addition to  
87 their ability to initiate antiviral silencing [14].

88

89 A geminivirus nuclear shuttle protein binds the tomato BAK1-related factor NIK1  
90 [15,16] and the plum pox virus coat protein inhibits PTI against that virus in  
91 *Arabidopsis* and *Nicotiana benthamiana* [17]. These viral proteins appear to be acting  
92 as *effectors*; i.e., a pathogen-encoded counter-defense molecules [18]. In *Arabidopsis*,

93 cucumber mosaic virus triggers PTI without any apparent effect on virus  
94 accumulation [19]. However, this up-regulates glucosinolate biosynthesis, which  
95 inhibits prolonged feeding by aphid vectors, and promotes their onward migration.  
96 This suggests that CMV manipulates PTI to enhance insect-mediated transmission  
97 [19].

98

### 99 **Effector-triggered immunity and systemic acquired resistance**

100 Pathogens that overcome PTI exert powerful selection pressures on plants, driving  
101 evolution of dominant resistance (*R*) genes [18]. Most *R* genes encode leucine-rich-  
102 nucleotide-binding domain (NB-LRR) proteins enabling direct or indirect detection of  
103 effectors (effector-triggered immunity, ETI) [18]. ETI induces a strong local  
104 hypersensitive response (HR) that restricts pathogens to inoculation sites, which may  
105 trigger plant-wide resistance enhancement (systemic acquired resistance, SAR).  
106 Several of the best-characterized *R* genes provide virus resistance, although antiviral  
107 NB-LRRs are not different in overall structure to those that condition resistance to  
108 other pathogens [1,18]. However, it is notable that viruses (in contrast to cellular  
109 pathogens) are less able to evolve to generate viable mutants able to overcome genetic  
110 resistance [20].

111 ETI and SAR depend upon local and systemic signaling. SA and jasmonic acid (JA)  
112 are the best-studied defensive signal molecules [1], although recent findings have  
113 pointed to important roles in SAR induction for azelaic acid, glycerol-3-phosphate,  
114 and pipercolic acid as local and systemic defense signals [2,21] (Figure 1). SA plays  
115 important roles in virus resistance; however, the JA signaling network can influence  
116 susceptibility to infection. Transgenic tomato plants with increased systemin levels

117 were less susceptible to CMV infection and necrosis induction by a satellite RNA [22].  
118 Systemin is a peptide hormone that is part of the JA-mediated signaling network in  
119 tomato, associated most notably with wound-induced resistance to chewing insects  
120 [23], which makes its effect on CMV surprising.

121 Beneficial bacteria can stimulate or prime increased resistance to pathogens, as seen  
122 with increased resistance of Arabidopsis to CMV engendered by strains of *Serratia*  
123 *marcescens* and *Bacillus pumilus* [24,25]. The identities of microbial signals  
124 responsible for resistance stimulation remain unclear but the bacteria have been  
125 shown to trigger induced systemic resistance (ISR) [24]. ISR is a resistance  
126 phenomenon induced by non-pathogenic microbes that is dependent predominantly on  
127 JA- and ethylene-mediated signaling, which often takes the form of priming of  
128 defense-related gene expression, rather than immediate transcriptional activation [1]  
129 (Figure 2).

### 130 **Salicylic acid-induced resistance to viruses**

131 SA was first associated with plant defense through its effects on virus infection over  
132 30 years ago, but SA-induced virus resistance in plants remains imperfectly  
133 understood [1,9,26,27]. SA is well known to have protective effects in plants and  
134 animals. Recent work by Klessig and colleagues suggest that organisms of both  
135 Kingdoms share some of the same target molecules for SA (reviewed in [26,27]),  
136 suggesting that this simple molecule has a long evolutionary history in regulation of  
137 stress and defense responses in diverse living organisms.

138 Depending upon the virus-plant combination, SA can inhibit replication, intercellular  
139 trafficking or systemic movement [1,2,21,28]. For at least one virus, SA has direct  
140 antiviral effects, rather than acting as a resistance-inducing signal molecule. Via an

141 interaction with the host enzyme glycerol 3-phosphate dehydrogenase, SA inhibits  
142 positive-strand viral RNA synthesis by the replicase protein of tomato bushy stunt  
143 virus [29], but it is not known how common such direct antiviral effects of SA on  
144 replication are for other viruses. Plastids and mitochondria facilitate SA-induced  
145 resistance through signal transduction leading to altered nuclear gene expression, or  
146 through indirect effects on plasmodesmal function [1,30,31]. SA-induced virus  
147 resistance is not dependent on factors vital for resistance to cellular pathogens, such  
148 as pathogenesis-related proteins or NPR1 ('non-expressor of PR1') [1]. Although  
149 RNA silencing may contribute to SA-induced virus resistance, experiments with  
150 silencing mutants showed it to be dispensable [32]. SA stimulates gene expression  
151 and enzyme activity of the phytohormone-inducible silencing factor RNA-dependent  
152 RNA polymerase 1 (RDR1) [28]. RDR1 does not contribute to SA-induced resistance  
153 in inoculated tissues and appears to work in co-ordination with other, unknown, SA-  
154 induced mechanisms to inhibit viral invasion of developing tissues to ameliorate  
155 symptoms [28]. A recent study by Alazem and colleagues [33] indicates that another  
156 phytohormone, abscisic acid, has wider ranging effects than SA on silencing  
157 components.

158 The virus-SA relationship is an ambiguous one. SA is needed for a successful HR  
159 and either SA pre-treatment or induction of endogenous SA biosynthesis renders  
160 plants less susceptible to viruses. However, some viruses (potyviruses and CMV, for  
161 example) trigger SA accumulation (discussed in [9]) and the CMV 2b protein  
162 possesses domains facilitating this [34]. It is unknown why these viruses increase  
163 levels of this potent defense signal.

164

## 165 **Engineering and application of induced resistance**

166 In addition to genetic methods (see [35-37] of this issue), three general approaches  
167 have been used to inhibit virus accumulation and virus disease (usually, but not  
168 always linked), involving ectopic application to plants: (i) various metabolites from  
169 plants; (ii) synthetic chemicals, including phytohormone derivatives; and (iii) plant  
170 growth-promoting rhizobacteria (PGPR) plus bacterial-encoded proteins. The first of  
171 these approaches has been explored over many years with mixed and largely limited  
172 success, but the search continues. In addition, many of these plant substances have  
173 been shown to be activators of defense responses (reviewed in [2,4]). In fact, all three  
174 approaches seem to lead to one or more defense pathways mediated by the  
175 phytohormones: SA, JA, ethylene, abscisic acid and brassinosteroids (BR) [38]  
176 (Figure 1). Direct application of phytohormones can provide resistance; e.g., BR  
177 [39,40]. While SA or JA can also be used, the direct application of SA and JA to  
178 plants was superior, with JA followed by SA (1-3 days later) giving the best response  
179 [41,42]. Table 1 lists many of the various inducers or primers of defense that have  
180 been used and Figure 1 shows several resistance inducing chemicals and endogenous  
181 signals. In other cases, short peptides have been used, which are described in [43].

182

## 183 **Better living through chemistry**

184 A number of approaches have been made to put into practice the knowledge gained  
185 from molecular analysis of the pathways involved in SAR and ISR (see Table 1).  
186 These include direct application of SA analogs such as BTH; chemicals that induce  
187 SAR, such as BABA, chitosans, dufulin, eudesmanolides, eugenol, laminarins,  
188 lentinan, *p*-aminobenzoic acid, probenazole, strobilurin and tiadinil; chemicals that  
189 induce SAR and ISR, such as ningnanmycin; chemicals that induce an ABA-mediated



190 response, such as chitosans; proteins inducing SAR, such as harpin, lactoferin and  
191 PeaT1; and rhizobacteria that induce either ISR or a mixture of ISR and SAR. Many  
192 of these substances have only been tested for SAR responses against TMV in tobacco  
193 plants containing the *N* gene for resistance to TMV. Since the treated leaves were  
194 inoculated with TMV, this test is actually for local acquired resistance rather than  
195 SAR. In most cases, the ability of the compound to either induce SAR or affect the  
196 systemic spread of a virus in the absence of an HR has not been evaluated.

197

198 The modes of action of many of these substances have been studied and generally  
199 affect one or both of the two major resistance pathways: SAR and ISR (Table 1).  
200 However, BR can activate a brassinosteroid disease resistance pathway independent  
201 of SAR [39,40], chitosans can induce SA and/or ABA mediated responses (reviewed  
202 in [44] and [38]), and laminarin, an algal  $\beta$ -1-3-glucan polymer induces an ethylene-  
203 mediated response, whereas sulfated laminarin induces an SA-mediated response [45].  
204 While dufulin binds to harpin-binding protein 1 and activates SAR [46], dufulin or a  
205 derivative also interacts directly with viral capsid proteins of TMV [47] and cucumber  
206 mosaic virus [48], as well as a non-structural protein of southern rice black streaked  
207 dwarf virus [49]. Ningnanmycin also binds to the capsid proteins of TMV and  
208 inhibits particle formation [50]. The mechanism of action of soluble orthosilicic acid  
209 against viruses is not known, but for fungi, it appears that resistance involves  
210 pathways activated by SA, JA and ethylene [38].

211

## 212 **What does not kill you makes you stronger**

213 Although researchers from numerous countries have contributed significantly to this  
214 field, a large effort has been made in China over recent years to discover new

215 antivirals that can be used in agriculture. These include the use of esterified milk  
216 whey (lactoferin), neutral polysaccharides from shiitake mushrooms (*Lentinus*  
217 *edodes*) (lentinan and sulfonated lentinan), oil of cloves (eugenol), sesquiterpine  
218 lactones (eudesmanolides) from *Wedelia trilobata*, degraded triterpene lactones  
219 (quassinoids) from *Brucea javanica*, a phytohormone (epibrassinolide), a cytidine-  
220 derivative antibiotic (ningnanmycin) from the bacteria *Streptomyces noursei* var  
221 *xichangensis*, a protein (PeaT1) from the fungus *Alternaria tenuissima*, a harpin  
222 protein (PopW) from the bacteria *Ralstonia solanacearum*, and synthetic compounds  
223 such as bis-pyrazoles, cyano-acrylates and  $\alpha$ -amino phosphonate (dufulin). These  
224 induce varying ranges of resistance, from 25% to nearly 100%, yet the search goes on.  
225 Factors such as the cost of the agent, its required frequency of application, and to the  
226 extent to which it has a broad spectrum of activity and affects the plant all come into  
227 play as to its success in the field.

228

229 PGPR and other saprophytes have been used successfully to induce systemic  
230 resistance in several crops, resulting in loss of symptoms and reductions in viral titers.  
231 In most cases, the effects are through ISR, although *Trichoderma* species can also  
232 induce SAR (Table 1). In addition, bacterial proteins such as harpins [51] and PeaT1  
233 [52] induce resistance to virus infection through SAR/ISR or just SAR, respectively.  
234 Both have seen application to the field, with PeaT1 being used in 4 million ha in  
235 China during the first two years of production [53], although the nature of the crops  
236 and breadth of virus resistance have not been reported. Therefore, there are currently  
237 a number of promising and apparently successful inducers available to engender virus  
238 resistance. And yet, the search goes on.

239

**240 Conclusions and Future Potential**

241 Although we have gained an improved understanding of how induced antiviral  
242 resistance mechanisms work, our knowledge is incomplete and perhaps we know  
243 more about factors (such as RNA silencing) that do not execute resistance, than those  
244 that do. The problem remains that induced resistance using activators – chemicals or  
245 resistance inducing microbes – does not necessarily provide virus resistance that is  
246 complete or prolonged [4]. However, the field has been far from stagnant. There  
247 have been some surprises in recent work such as the similarities between some  
248 induced resistance mechanisms shared between plant and animals [26,27] and PTI has  
249 been shown to affect viruses [12-14,17], which may provide new targets for novel  
250 resistance inducing chemicals. Additionally, recent progress in formulation of  
251 resistance-inducing compounds for improved treatment of plant tissues has allowed  
252 the delivery of molecules to induce RNA silencing of viruses [54] without the need  
253 for plant transformation or expression from engineered viral vectors (Figure 2). This  
254 offers the potential of improving induced resistance through direct effects on viruses  
255 by RNA silencing, in combination with other resistance inducers or through using the  
256 system to inhibit expression of negative regulators of resistance.

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258

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**269 Author declaration**

270 All authors reviewed the final draft. The corresponding author had final responsibility  
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272

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549 The authors show that the non-protein amino acid BABA, long used as an ‘artificial’  
550 resistance inducer, occurs *in planta* and may be an endogenous resistance priming  
551 agent.

552 **Table 1.** Agents used to trigger or prime induced resistance in plants.

Inducer/Primer	Virus <sup>a</sup>	Host plant	Infection	Response	Reference
$\beta$ -aminobutyric acid (BABA)	TMV	Tobacco	HR <sup>b,c</sup>	SAR	[55]
Benzothiadiazole (BTH)	CCYV	Melon	Systemic	SAR	[56]
	CMV	Tomato	Systemic	SAR	[57]
	CMV	Cantaloupe	Systemic	SAR	[58]
	TMV	Tobacco	Systemic	SAR	[59]
	TSWV	Tobacco	Systemic	SAR	[60]
Bis-pyrazoles	TMV	Tobacco	HR	ND	[61]
Brassinosteroids	CMV	Zucchini	Systemic	BDR	[40]
	TMV	Tobacco	HR	BDR	[39]
Chitosans	TBSV, TNV	Bean	HR	ABA/SAR	[38]
	7 viruses	Bean	HR/Systemic	ND	[44]
	AMV, PSV	Pea	Systemic	ND	[44]
	6 viruses	Solanaceae	HR/Systemic	ND	[44]
	4 viruses	Quinoa	HR	ND	[44]
Cyano-acrylates	TMV	Tobacco	HR	ND	[62]
Dufulin	SRBSDV	Rice	Systemic	SAR	[63]
	TMV	Tobacco	HR	SAR	[47]
Eudesmanolides	TMV	Tobacco	Systemic	SAR	[64]
Eugenol	TYLCV	Tomato	Systemic	SAR	[65]
Harpin (PopW)	TMV	Tobacco	HR	SAR, ISR	[51]
Lactoferrin	TMV	Tobacco	HR	SAR	[66]
	TYLCV	Tomato	Systemic	ND	[67]
Laminarin (sulfated)	TMV	Tobacco	HR	SAR/ET	[45]
Lentinan	TMV	Tobacco	HR	SAR?	[68]
Ningnanmycin	TMV	Tobacco	Systemic	SAR, ISR	[69]
<i>p</i> -aminobenzoic acid (PABA)	CMV	Capsicum	Systemic	SAR	[70]
PeaT1	TMV	Tobacco	HR	SAR	[52]

3-pentanol	CMV	Capsicum	Systemic	SAR, ISR	[71]
Probenazole (& saccharin)	TMV	Tobacco	HR	SAR	[72]
Quassinoids	PepMoV	Capsicum	Systemic	ND	[73]
	TMV	Tobacco	Systemic	ND	[74]
Silicon (orthosilicic acid)	TMV,TRSV	Tobacco	Systemic	ND	[75]
Spermine (polyamines)	CMV	Arabidopsis	Systemic	ND	[76]
Strobilurin (fungicide)	TMV	Tobacco	HR	SAR	[77]
Tiadinil	TMV	Tobacco	HR	SAR	[78]
PGPR: <i>Bacillus amyloliquefaciens</i>	BBWV,CMV, PepMoV	Capsicum	Systemic	ISR	[79]
PGPR: <i>Bacillus pumilus</i>	CMV	Arabidopsis	Systemic	ISR	[24]
PGPR: <i>Pseudomonas fluorescens</i>	CMV	Tobacco	Systemic	ISR?	[80]
PGPR: <i>Serratia marcescens</i>	CMV	Arabidopsis	Systemic	ISR	[24]
<i>Penicillium simplicissimum</i>	CMV	Tobacco	Systemic	ISR	[81]
<i>Trichoderma harzianum</i>	CMV	Tomato	Systemic	SAR/ISR	[82]

553

554 <sup>a</sup> Viruses: alfalfa mosaic virus (AMV), broad bean wilt virus (BBMV), cucumber chlorotic yellows  
555 virus (CCYV), cucumber mosaic virus (CMV), peanut stunt virus (PSV), pepper mottle virus  
556 (PepMoV), southern rice black-streaked dwarf virus (SRBSDV), tobacco mosaic virus (TMV), tobacco  
557 necrosis virus (TNV), tobacco ringspot virus (TRSV), tomato bushy stunt virus (TBSV), tomato  
558 spotted wilt virus (TSWV), and tomato yellow leaf curl virus (TYLCV).

559 <sup>b</sup> Other abbreviations: ABA = abscisic acid-mediated resistance; BDR = brassinosteroid-mediated  
560 disease resistance; ET = ethylene-mediated resistance; HR = hypersensitive response; ISR = induced  
561 systemic resistance; ND = not determined; SAR = systemic acquired resistance.

562 <sup>c</sup> HR indicates that there was an enhancement of HR/ETI-type resistance, which strictly is local  
563 acquired resistance.

564

565 **FIGURE LEGENDS**

566 **Figure 1. Chemical resistance inducers and defense signals.** (a) A selection of  
567 chemicals used as plant treatments for studies of induced resistance. Acetylsalicylic  
568 acid (Aspirin) (I) and 2,6-dichloroisonicotinic acid (II) were used in earlier studies of  
569 SAR induction [83,84]. Other inducers shown are benzothiadiazole (BTH) (III),  
570 probenazole (IV), *p*-aminobenzoic acid (V), and the non-protein amino acid  $\beta$ -  
571 aminobutyric acid (BABA) (VI). The inducers I-IV are synthetic chemicals. BABA  
572 (VI) was recently found to occur in plant tissue and to accumulate in response to  
573 pathogen attack [85]. (b) A selection of plant defensive signal molecules mentioned in  
574 this article: salicylic acid (VII), ethylene (VIII), azelaic acid (IX), jasmonic acid (X),  
575 brassinolide, a brassinosteroid (XI), abscisic acid (XII), pipecolic acid (XIII), and  
576 glycerol-3-phosphate (XIV). A number of the chemicals in (b), in particular VII, have  
577 also been used experimentally as exogenous inducers of resistance.

578

579 **Figure 2. Overview of approaches used to induce resistance by treatment of**  
580 **plants with exogenous agents.** A simplified representation of exogenous agents used  
581 to elicit induced systemic resistance, systemic acquired resistance (including  
582 enhancement of the hypersensitive response/effector-triggered immunity) and RNA  
583 silencing. Some of the endogenous signals involved in induction of these resistance  
584 mechanisms are indicated (also refer to main text, Table 1, and Figure 1).  
585 Abbreviations: abscisic acid (ABA); azelaic acid (Aza); glycerol 3-phosphate (G3P);  
586 jasmonic acid (JA), pipecolic acid (PA) and salicylic acid (SA).