

## EMERGING CONCEPTS IN HIV-ASSOCIATED CRYPTOCOCCAL MENINGITIS

Dr David S Lawrence (david.s.lawrence@lshtm.ac.uk)<sup>1,2†</sup>,

Dr Timothée Boyer-Chammard (timothee.boyer-chammard@pasteur.fr)<sup>3,4</sup>,

Prof Joseph N Jarvis (joseph.jarvis@lshtm.ac.uk)<sup>1,2</sup>

<sup>1</sup> Botswana-Harvard AIDS Institute Partnership, Private Bag BO 320, Gaborone, Botswana

<sup>2</sup> Department of Clinical Research, Faculty of Infectious and Tropical Diseases, London School of Hygiene and Tropical Medicine, Keppel Street, London, WC1E 7HT, UK

<sup>3</sup> Molecular Mycology Unit and National Reference Centre for Invasive Mycoses, Institut Pasteur, Paris, France

<sup>4</sup> Paris Descartes University, Necker Pasteur Centre for Infectious Diseases and Tropical Medicine, Necker Hospital, Paris, France

†Corresponding author: david.s.lawrence@lshtm.ac.uk +267 7246 4834

## **ABSTRACT**

**Purpose of review:** HIV-associated cryptococcal meningitis (CM) remains a significant contributor to AIDS-related mortality despite widened access to antiretroviral therapy. Even in clinical trial settings 10-week mortality is roughly 40%. A number of important clinical trials have either recently concluded or are actively recruiting.

**Recent findings:** Global burden of disease estimates suggest CM causes 181,100 deaths annually. Screening blood for cryptococcal antigen (CrAg) in HIV-infected individuals with CD4 cell counts <100 cells/ $\mu$ L and pre-emptive antifungal treatment for those with detectable CrAg reduces the incidence of CM and is likely to reduce mortality. CM treatment with conventional 14-day courses of amphotericin are associated with high toxicity and mortality and can be reduced to seven days if given alongside flucytosine. Flucytosine is a significantly superior adjunct to amphotericin treatment compared to fluconazole. In settings without amphotericin B dual oral antifungal combinations of flucytosine and fluconazole offer an effective alternative treatment. A single, high-dose of liposomal amphotericin is effective at reducing fungal burden and is being tested in a phase III trial.

**Summary:** Recently completed and ongoing clinical trials are increasing our understanding of how to optimise induction therapy for CM. Advocacy efforts are needed to broaden access to amphotericin formulations and flucytosine.

**Keywords:** Cryptococcal meningitis, HIV, AmBisome, Clinical Trial

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## **INTRODUCTION**

HIV-associated cryptococcal meningitis (CM) remains a significant contributor to AIDS-related mortality globally, despite roll-out of antiretroviral therapy (ART) (1). Treatment in low and middle income countries (LMICs) is often based on 14-day courses of amphotericin B and fluconazole which is associated with a ten-week mortality of roughly 40%(2, 3). A number of important clinical trials have either recently completed or are currently recruiting participants to help reduce these high mortality rates(2-4). In this paper, we discuss recent trends in the epidemiology of CM, innovation in diagnosis and screening, and discuss the findings of recently completed randomised controlled trials.

## **EPIDEMIOLOGY**

A recent systematic review examining the global prevalence of cryptococcal antigenaemia (CrAg) in HIV-infected individuals with low CD4 cell counts found a pooled global CrAg prevalence of 6.5% in individuals with CD4<100 cells/ $\mu$ l, and 2% among those with CD4 counts 101-200 cells/ $\mu$ l(5). Updated global burden of disease estimates suggest there were approximately 278,000 HIV-infected people positive for CrAg, and an estimated 223,100 incident cases of CM globally in 2014, with 73% of these occurring in sub-Saharan Africa. Annual global deaths from CM were estimated at 181,100 (Figure 1)(1). Globally, CM is responsible for roughly 15% of AIDS-related deaths and is associated with significant long-term disability(6, 7).

In Botswana, one of the first countries in sub-Saharan Africa to implement a country-wide ART programme, despite excellent ART coverage there is still a substantial burden of

advanced HIV, with the 2013-2014 incidence of CM comparable to pre-ART era rates seen in South Africa(8). Data from South Africa also show minimal or no recent decline in the number of CM cases with widespread ART roll out(9). This is likely to result from increasing numbers of patients on long-term ART interrupting, stopping, or failing therapy, offsetting any decline in the numbers of patients presenting for the first time with advanced HIV-disease. The number of patients in South Africa with a CD4 <100 cells/uL and the proportion of those who are CrAg positive does not appear to have changed in recent years, however 51% of patients with a CD4 <50cells/uL are now ART experienced(9, 10). Similarly, in Kampala, Uganda, 59% of patients presenting with CM are now ART experienced (11). These studies highlight the growing complexity of CM patients who often have ART resistance and difficulty with adherence.

## **DIAGNOSTICS**

Although the gold standard for CM diagnosis remains a positive *cryptococcus* CSF culture, the current mainstay of cryptococcal diagnosis is the detection of CrAg, a polysaccharide of the cryptococcal capsule which is shed in CSF and blood (Figure 2). CM diagnosis has been revolutionised by the widespread availability of reliable point-of-care Lateral Flow Assays (LFAs) which detect CrAg. The IMMY LFA remains the most sensitive and specific rapid diagnostic test (RDT) available(12). A potential substitute to the IMMY LFA, the StrongStep, was found to have low sensitivity when used on plasma(12). A recently developed RDT, the semi-quantitative Biosynex/BioRad Crypto PS test, incorporates an additional positive line aimed to detect high titers of CrAg in both blood and CSF. Preliminary results from Cameroon found this test to have comparable performance to the IMMY LFA for antigen screening(13), however further validation in larger studies is needed.

In areas where CrAg tests are not available the alternative rapid test is India Ink staining of CSF, but sensitivity is around 70%(14). A study in Uganda has shown that acridine orange, which stains fungal nucleic acids rather than the capsule, was more sensitive than India ink (96% vs 69%) with reference to CrAg (14).

Cryptococcal colony forming units (CFUs) can be counted on serially diluted samples of CSF to enable the quantification of fungal burden, and have been shown to be a strong prognostic indicator(15). However, such quantitative cultures are time consuming and difficult to perform, hence alternatives methods to rapidly assess fungal burden are needed. Trypan blue staining and automated cell counting using the TC20 automated cell counter has been evaluated in Uganda, but found to be poorly predictive of quantitative CFU counts (16). Flow cytometry using a BD LSRFortessa flow cytometer did provide a rapid and accurate measurement of fungal burden in patients with CM in a small pilot study in South Africa(17), providing proof of principle for the technique, and if combined with a cryptococcal viability stain(18), could potentially be used to assess the response to treatment. Another alternative to quantitative culture is a quantitative polymerase chain reaction (PCR) test for *cryptococcus* in both blood and CSF being developed by researchers at the Institut Pasteur.

## **PREVENTION**

CrAg can be detected in the blood many weeks before the onset of CM symptoms(19). WHO guidelines recommended CrAg screening as the preferred CM prevention strategy in all patients with a CD4 <100 cells/ $\mu$ L(20). Patients who test positive for serum CrAg should be

screened for symptoms of CM, and ideally offered a lumbar puncture even in the absence of meningitis symptoms. In cases where patients decline a lumbar puncture, and for all patients without evidence of meningitis, high-dose pre-emptive fluconazole (800-1200mg/day) is recommended for two weeks followed by standard fluconazole consolidation and maintenance treatment(20). The importance of performing CSF analysis to identify patients in need of more intensive treatment was highlighted in a recent South African study showing that 34% of asymptomatic CrAg positive patients had CM(21). A systematic review and meta-analysis of CrAg screening studies assessing the prevalence of asymptomatic CM in CrAg positive patients, the incidence of CM, and all-cause mortality rates, reported a pooled prevalence of asymptomatic CM in CrAg positive participants of 33% (95% CI, 21-45%). The incidence of CM in CrAg positive individuals was 21.4% (95% CI, 11.6-34.4%) without preemptive fluconazole and 5.7% (95% CI, 3.0-9.7%) with preemptive fluconazole therapy initiated at 800mg/day(22).

National CrAg screening programmes have now been implemented in several African countries, with economic analyses suggesting the intervention should be cost-effective in African settings(23, 24). However, early implementation has been challenging, with low rates of uptake when testing is left to the discretion of individual clinicians(25), suggesting automatic, or “reflex” screening of CD4 samples with low CD4 counts is preferable. Even with reflex screening strategies, losses to follow-up or delays in receiving and acting on CrAg results can adversely impact the effectiveness of the intervention(26). Point of care CrAg testing may avoid these losses and delays(27), and the IMMY CrAg assay can be performed effectively on finger prick blood samples(28). In settings where CrAg screening is not possible, primary fluconazole prophylaxis remains an option for CM prevention in

individuals with advanced HIV-disease(20), with recent data confirming its effectiveness in reducing the incidence of cryptococcal disease(29).

## **TREATMENT**

The treatment of HIV-associated CM is formed of three phases. An initial two-week induction phase, an eight-week consolidation phase of fluconazole 800mg/day and maintenance with fluconazole 200mg/day until the CD4 count reaches >200 cells/ $\mu$ L. Until recently the WHO recommended 14-days of daily intravenous amphotericin B given with oral flucytosine or fluconazole for induction therapy in low and middle income countries (LMICs). The recent Advancing Cryptococcal Meningitis Treatment for Africa (ACTA) trial aimed to determine whether amphotericin can be given for seven rather than 14 days; determine the efficacy of flucytosine compared to fluconazole as the oral partner drug to amphotericin B; and test a dual oral antifungal combination(2). A total of 721 patients were randomised to one of five arms: (i) 14-days of amphotericin B with flucytosine; (ii) 14-days of amphotericin B with fluconazole; (iii) Seven-days of amphotericin B with flucytosine, (iv) Seven-days of amphotericin B with fluconazole; (v) 14-days of flucytosine and fluconazole. Pooled analysis of patients receiving amphotericin B demonstrated that seven days was non-inferior to 14 days in terms of all-cause mortality (Figure 3). Among those taking flucytosine as the partner drug, 7 days of amphotericin B had lower ten-week mortality (24%, 95% CI: 16-32%) compared to 14-days of amphotericin B plus flucytosine(38%, 95% CI: 29-32%, hazard ratio 0.56, 95% CI: 0.35-0.91). Notably, the poorest performing arm of the ACTA trial was seven-days amphotericin B plus fluconazole, so a reduction from 14 to seven-days of amphotericin B is only possible in contexts where flucytosine is available. One week of amphotericin B was associated with less drug-induced toxicity, a reduced need for laboratory monitoring, shorter hospital admissions and fewer adverse effects such as

anaemia requiring blood transfusions. ACTA also confirmed that flucytosine is a significantly superior partner drug for amphotericin B based treatments, leading to a substantial mortality reduction of 38%(95% CI: 16-55%,  $p=0.002$ ) compared to fluconazole. The oral combination arm (fluconazole and flucytosine) was the second best performing arm with a 10-week mortality of 35%(95% CI 29-41%). In light of these trial data, and a subsequent Cochrane review(30), updated WHO guidelines recommended first-line induction treatment of seven-days of amphotericin B and flucytosine 100mg/kg/day, followed by seven-days of fluconazole 1200mg/day (Table 1)(20).

In high-income settings, an alternative induction regimen is 14-days of liposomal amphotericin and flucytosine(31). Liposomal amphotericin (L-AmB) is associated with fewer drug induced toxicities than the standard deoxycholate formulation, and well suited for use in short-course induction treatment due to the potential for high dosing made possible by the lower rates of toxicity, the long tissue half-life and its effective penetration into brain tissue(32). The recently completed AMBITION phase II trial was performed with the primary objective of determining the rate of clearance of *cryptococcus* from the cerebrospinal fluid, the Early Fungicidal Activity (EFA), of three alternative schedules of intermittent high dose L-AmB in comparison with standard daily L-AmB(4). Eighty participants were recruited at sites in Botswana and Tanzania and randomised to one of four treatment arms: (i) L-AmB 10 mg/kg day one (single dose); (ii) L-AmB 10 mg/kg day one, L-AmB 5 mg/kg day three (two doses); (iii) L-AmB 10 mg/kg day one, L-AmB 5 mg/kg days three and seven (three doses). The control arm (iv) was standard 14-day L-AmB (3mg/kg/day). All were given with high dose fluconazole (1200mg/day). The primary analysis showed that the EFA in all three short-course high-dose arms was non-inferior to the control arm. There was no evidence for any



dose response effect with additional L-AmB doses, suggesting maximal fungicidal activity was achieved with a single 10mg/kg dose(33). The AMBITION phase III trial is currently comparing a single, high-dose of L-AmB given with 14-days of flucytosine and fluconazole against the current WHO recommended first-line regimen (ISRCTN: 72509687).

### **Alternative antifungal agents**

The recently completed adjunctive sertraline for the treatment of HIV-associated cryptococcal meningitis (ASTRO) phase III trial explored the addition of sertraline to standard induction with amphotericin and fluconazole, which had shown some promise in a phase II trial(34). Unfortunately, this trial was stopped after recruiting 460 of a planned 550 patients as there was found to be no impact on mortality(35). In an ongoing search for other drugs that could be repurposed, one research team in Vietnam are currently recruiting patients to a phase II trial adding tamoxifen to the standard induction regimen with results expected in 2019. Researchers in the USA have developed a new investigational fungal Cyp51 inhibitor called VT-1129 which has demonstrated potent in vitro activity against *cryptococcus*(36). Finally, the antihelminth drug flubendazole has shown potential promise(37), along with multiple calcium channel blockers demonstrating action against *cryptococcus*(38). To date, no clinical trial has been conducted using these agents.

### **Steroids**

The CRYPTODEX placebo-controlled trial investigated the role of adjunctive dexamethasone during the first six weeks of CM treatment and was conducted in six countries across Africa and Asia(3). The trial was stopped after the recruitment of 451 patients at the planned mid-point interim analysis which found that steroids did not reduce mortality, significantly

increased adverse events and disability, and led to slower rates of decline in EFA. Dexamethasone increased the rate of decline in CSF TNF- $\alpha$ , which could be an explanation for the slower fungal clearance and poor outcomes(39). Steroids are therefore not a suitable adjunctive treatment during the induction phase, but continue to play a role in the treatment of CM immune reconstitution inflammatory syndrome (IRIS)(20).

### **Neurapheresis**

A research group in the USA have recently published a proof of concept using an animal model wherein they filtered *cryptococcus* out of the CSF of rabbits using a process called neurapheresis(40). This approach is in its infancy but warrants further exploration.

### **Therapeutic Lumbar Punctures**

Lumbar punctures are also essential for the recognition and effective management of raised intracranial pressure (ICP) which is associated with improved outcomes(41). In many high-burden settings manometers are not reliably available. As an alternative in such settings, one study in South Africa found the optimal cut-off value for defining high pressure using a standard 22-G spinal needle is  $\geq 40$  drops/min(42). In addition to a shortage of equipment, clinicians must often navigate complex cultural barriers to obtaining CSF samples in circumstances whereby patients refuse LP, either for fear of pain – compounded by limited use of local anaesthetic – or concerns that LPs cause death(43).

### **ANTIRETROVIRAL THERAPY AND IRIS**

IRIS remains a significant complication of CM. Individuals presenting with a first episode of CM manifesting as an unmasking IRIS may suffer worse outcomes than those who have

been ART exposed (44). A recent Cochrane review has appraised four trials comparing the impact of early ART (within the first four weeks of antifungal initiation) compared with delayed ART (after four weeks) and has concluded that ART should be delayed until at least four weeks due to a potential higher risk of mortality among early initiators(45).

The specific host and pathogen attributes that lead to the development of CM-IRIS are still not fully understood. Development of CM-IRIS has been strongly associated with high central nervous system (CNS) expression of the chemokines MCP-1(CCL2) and MIP-1 $\alpha$ (CCL3) at initial CM presentation. It is hypothesised that when immune restoration occurs this leads to an influx of inflammatory cells into the CNS, excessive dysregulated local inflammation, and IRIS(46, 47). A recent study has shown lower levels of plasma IgM antibodies to some of the cryptococcal polysaccharide antigens and total plasma IgM in cases of CM-IRIS(48), although the role of antibody-mediated protection in CM remains uncertain. This observation may indicate an important role for antibody-mediated protection during CM, and fit with the overarching hypothesis that a poor initial immune response and subsequent failure of effective immune clearance of cryptococcal antigens are key predisposing factors for IRIS (49).

## **ADVOCACY**

Increasing access to L-AmB in LMICs will help reduce the drug induced toxicities encountered with conventional amphotericin B. Gilead have recently announced an expansion of their preferential pricing programme for visceral leishmaniasis to include CM which could have a dramatic impact on mortality(50). In addition the FDA have recently included treatments for CM in their priority review voucher scheme which could spur further

drug development in this field(51). Furthermore, despite the strong evidence supporting the use of flucytosine for the treatment of CM, flucytosine remains unregistered and unavailable in African and Asian LMICs with very few companies manufacturing the drug. It is hoped that with an increasing acknowledgement of the role of flucytosine its production, registration and distribution will broaden(52).

## **CONCLUSION**

Cryptococcal meningitis continues to pose a major clinical challenge in resource limited settings. Screening and diagnosis have been revolutionised by the highly sensitive lateral flow assay, and seminal clinical trials have improved our understanding of how to optimise induction therapy, with shorter-courses of amphotericin given with flucytosine to reduce mortality rates, and the avoidance of harmful adjunctive steroids. However, advocacy efforts are urgently needed to ensure that patients with CM have access to these novel diagnostics and treatments so that recent advances in evidence can be translated into clinical practice.

## **KEY POINTS**

- Cryptococcal meningitis remains a significant cause of AIDS-associated mortality
- Treatment with conventional 14-day courses of amphotericin are associated with high toxicity and mortality and can be reduced to seven days if given alongside flucytosine.
- Flucytosine is a significantly superior adjunct to amphotericin treatment compared to fluconazole.

- A single, high-dose of liposomal amphotericin is effective at reducing fungal burden and is being tested in a phase III trial.

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**Figure 1 (previously published):** Estimated annual incidence of cryptococcal infection by country in 2014(1)

**Figure 2 (original):** Negative and positive cryptococcal antigen lateral flow assay (left), cryptococcal culture on Sabouraud agar medium (top right) and India ink staining showing *cryptococcus* in CSF (lower right).

**Figure 3 (previously published):** Cumulative all cause mortality from the ACTA trial(2).

**A:** Cumulative all-cause mortality by week 10 according to treatment arm.

**B:** Comparison of cumulative all-cause mortality by week 10 between flucytosine and fluconazole as amphotericin B partner treatment

**C:** Cumulative all-cause mortality by week 10 according to the treatment strategy

**Table 1 (original):** WHO 2018 guidelines for management of cryptococcal disease in HIV-infected adults (20).