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# Evidence for widespread hydrated minerals on asteroid (101955) Bennu

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11 Evidence for widespread hydrated minerals on asteroid (101955) Bennu

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45 Early spectral data from the Origins, Spectral Interpretation, Resource 46 Identification, and Security–Regolith Explorer (OSIRIS-REx) mission reveal evidence for abundant hydrated minerals on the surface of near-Earth asteroid 47 48 (101955) Bennu in the form of a near-infrared absorption near 2.7 µm and thermal 49 infrared spectral features that are most similar to those of aqueously altered CM carbonaceous chondrites. We observe these spectral features across the surface 50 51 of Bennu, and there is no evidence of substantial rotational variability at the 52 spatial scales of tens to hundreds of meters observed to date. In the visible and near-infrared (0.4 to 2.4 µm) Bennu's spectrum appears featureless and with a 53 blue (negative) slope, confirming previous ground-based observations. Bennu 54 may represent a class of objects that could have brought volatiles and organic 55 56 chemistry to Earth.

57

58 The OSIRIS-REx mission began its Approach phase to asteroid (101955) Bennu in 59 August 2018. Before and just after arrival at Bennu on 3 December, the OSIRIS-REx Visible and InfraRed Spectrometer (OVIRS) and Thermal Emission Spectrometer 60 (OTES) collected hyperspectral data of this B-type asteroid, which is thought to be 61 related to the carbonaceous chondrite meteorites<sup>1</sup>. The OVIRS instrument<sup>2</sup> is a 62 63 hyperspectral, point spectrometer that measures the reflected and emitted energy of Bennu across the spectral region from 0.4 to 4.3  $\mu$ m (25,000 to 2,300 cm<sup>-1</sup>) with a 64 circular, 4-mrad field of view (FOV). The OTES instrument<sup>3</sup>, the first thermal infrared 65 spectrometer to visit an asteroid, is a hyperspectral, point spectrometer that measures 66 the emitted radiance of Bennu across the spectral region from ~1750 to 100  $\text{cm}^{-1}$  (~5.71 67

68 to 100 µm) with a circular, 8-mrad FOV. The primary role of visible-to-infrared 69 spectroscopy on the OSIRIS-REx mission is to characterize the mineralogy and chemistry of Bennu and aid in sample site selection<sup>4</sup>. The OTES radiance data also are 70 71 used in conjunction with thermophysical models to determine properties of the surface, 72 such as particle size and roughness, and to study the Yarkovsky effect<sup>5</sup>. The 73 mineralogy and chemistry of the surface of Bennu provide information about the 74 geological processes that have affected the asteroid, the potential for resource extraction, and the accuracy of telescopic spectral observations (with the final ground-75 76 truth coming from measurements of the returned sample).

77

On five days between 2 and 9 November 2018, both spectrometers obtained wholedisk (sub-FOV) spectra of Bennu for 4.5 hours, which is just over one full rotation period (~4.3 hours). In December 2018, both instruments collected spatially resolved spectra of Bennu as "ride-along" observations during imaging activities optimized for the PolyCam and MapCam imagers<sup>6</sup>.

83

## 84 Visible and near-infrared spectral characteristics

The ground-based, composite (0.4 to 2.4 µm) reflectance spectrum of Bennu shows a spectrally "blue" (negative) continuum slope across the visible and near infrared, characteristic of B-type asteroids<sup>1</sup>. *Clark et al.*<sup>1</sup> did not find strong spectral absorptions in the Bennu telescopic data, and they identified CI and CM carbonaceous chondrites as the most likely spectral matches, with a preference for a CM1-like composition. (Please note that throughout the paper we following the standard convention of

91 petrologic types for chondrites, such as CI1 and CM2, first introduced by Van Schmus and Wood<sup>7</sup>.) Thus, Bennu was predicted to have hydrated minerals, but no spectral 92 93 features attributable to hydration were observed. The average OVIRS disk-integrated 94 spectrum of Bennu compares very well with the telescopic data at these wavelengths, 95 also having a negative slope and no clear absorption features (Figure 1). There is no 96 variation in the spectra (above the noise) with rotational phase. Analysis of spatially 97 resolved data is ongoing and will be used to confirm or refute ground-based observations of spectral slope changes<sup>8</sup>. 98

99

100 A blue-sloped continuum could be explained in one or more ways; such a continuum 101 has been observed in some CI and CM carbonaceous chondrites and, in CI meteorites, 102 is attributed to the presence of fine-particulate magnetite and/or insoluble organic 103 material; it is also commonly associated with larger-particle-size samples and possibly space weathering<sup>9-11</sup>. Lauretta et al.<sup>12</sup> identify a candidate magnetite feature at 0.55 104  $\mu$ m<sup>13</sup> in the darkest materials imaged by the MapCam instrument; however, as of yet, no 105 106 such feature has been observed in OVIRS spectra that would confirm this detection or 107 its assignment to magnetite. Such a feature may become evident in the higher-spatial-108 resolution OVIRS data that will be collected later in the mission. Experimental space 109 weathering of carbonaceous materials can result in reddening or bluing of the spectral slope<sup>11,14,15</sup>; at present, we do not have sufficient information from OVIRS spectra to 110 111 draw any conclusions about the nature or degree of space weathering on Bennu as it 112 relates to Bennu's spectral slope or the presence of magnetite.

113

114 At longer wavelengths (>2.4  $\mu$ m), both disk-integrated and spatially resolved OVIRS 115 spectra display a ~2.7-µm absorption feature. The 2.7-µm feature is apparent in all 116 OVIRS spectra collected thus far and is similar to the feature observed in aqueously altered CM1 and CM2 carbonaceous chondrites<sup>16-19</sup>. In analog meteorites measured 117 118 under appropriate conditions (Figure 2), this absorption is due primarily to structural -OH 119 ions in hydrous clay minerals (typically poorly-ordered to crystalline phyllosilicates of the 120 kaolinite-serpentine group), which are common in CI and CM carbonaceous chondrites<sup>19-21</sup>. Among carbonaceous chondrites, hydrated minerals also are a 121 component of CR chondrites<sup>22</sup>. Adsorbed H<sub>2</sub>O in CI/CM meteorite samples (commonly 122 terrestrial in origin) exhibits a broad feature centered closer to 3.1 µm<sup>19</sup>. Any potential 123 124 H<sub>2</sub>O feature in the OVIRS spectrum is weak and will be examined in greater detail using 125 higher spatial resolution data.

126

127 The exact position of the  $\sim$ 2.7-µm band minimum in phyllosilicates shifts with mineral structure and composition<sup>19,23</sup> and there is experimental evidence that its position may 128 be altered by space weathering<sup>24</sup>. The band center in the OVIRS data is at 2.74 µm 129 (±0.01). Takir et al.<sup>19</sup> showed that CI and CM chondrites display three distinct types of 130 131 spectra based on the position of this feature. In "Group 1" spectra, this feature ranges in 132 position from 2.77 to 2.80 µm and is associated with petrologic subtypes between CM2.3 and 2.6 (where decimal values indicate relative alteration within type 2, with 133 134 smaller values representing greater alteration). The band center for "Group 2" 135 meteorites ranges from 2.76 to 2.78 µm and includes petrologic subtypes CM2.1 to 2.2, 136 which are the most aqueously altered petrologic type 2 meteorites. Finally, "Group 3"

137 meteorites are also CM2.1 to 2.2 but have a band center at 2.72 µm. Ivuna, the only CI1 138 in the study, has a band center at 2.71 µm. The OVIRS band center lies between 139 Groups 2 and 3 and is consistent with meteorites having petrologic types of CM2.1 – 140 2.2. Meteorites with these petrologic types are among the most aqueously altered 141 samples studied. Space weathering effects on asteroids in this spectral region do not always match predictions<sup>25</sup> but if solar wind irradiation is affecting this band in a manner 142 143 consistent with experimental data on Murchison (CM2.5), the predicted effect would be 144 to shift the band center to slightly longer wavelengths (a maximum of 0.03 µm for Murchison) and introduce a concave shape<sup>24</sup>. As seen in Figure 2, spectra of CI and 145 CM1 and low petrologic type CM2 meteorites can display concave shapes in the 146 147 absence of irradiation. The concavity of the Bennu spectrum is visibly less than that 148 observed in the analogue meteorites, therefore, we cannot uniquely ascertain whether 149 or not the shape of the Bennu spectrum in this region is indicative of space weathering. 150

Prior studies identify four classes of so-called "3– $\mu$ m" band shapes among Ccomplex Main belt asteroids, which includes the region of the 2.7– $\mu$ m feature. These classes are named for their type examples: the asteroids Ceres, Pallas, and Themis and the Jovian moon Europa<sup>26-29</sup>. These classes correspond to different dominant surface materials. Bennu's spectrum, with its smooth rise from 2.85 to ~3.3 µm and blue spectral slope, falls into the Pallas-like class, consistent with what is presumed to be a phyllosilicate-dominated composition.

158

159 Spectra of Cb-type<sup>30</sup> asteroid (162173) Ryugu measured by the near-infrared

spectrometer on the JAXA-led Hayabusa2 mission exhibit a weak, narrow 2.72-µm
hydroxyl band that does not vary spatially and is interpreted as indicating the presence
of Mg-rich phyllosilicates<sup>31</sup>. The best meteorite analogues for the observed feature are
thermally-metamorphosed CI chondrites and shocked CM chondrites, suggesting that
Ryugu has experienced more heating than Bennu, although other interpretations are
possible<sup>31</sup>. Regardless of the interpretation, it is clear that Ryugu differs from unheated
or slightly heated, phyllosilicate-rich carbonaceous chondrites and from Bennu.

167

There is not yet unambiguous evidence of organic features in the whole-disk or spatially resolved OVIRS spectra of Bennu above the level of the noise in the data shown. The whole-disk observations filled only ~40% of the FOV, and the spatially resolved data were acquired at moderate phase angles (~40-50°) on relatively hot (~340 K) surfaces, which increases the contribution from thermal emission at the wavelengths where organic bands would be expected. Planned higher-spatial-resolution data on colder surfaces may yet reveal such signatures.

175

176 Thermal infrared spectral characteristics

Whole-disk emissivity spectra of Bennu acquired in 2007 by the Infrared
Spectrograph on the Spitzer Space Telescope have no discernible spectral features
above the noise level of the data<sup>32</sup> although a comparison is shown by<sup>33</sup>. Comparable
disk-integrated OTES observations require additional calibration because Bennu does
not fill the OTES FOV. However, spatially resolved (80 m/spot) OTES observations
reveal thermal infrared (TIR) spectra having a spectral contrast of ~2% that do not vary

in shape with rotational phase above the level of the noise (Figure 3).

184

185 The average TIR spectrum of Bennu exhibits a Christiansen feature (a peak on the 186 high wavenumber/short wavelength side of the first major, usually silicate, absorption) 187 position that is most similar to that of the CM1/2 and CM2 petrologic types. The 188 spectrum also exhibits an absorption at the lowest wavenumbers (longest wavelengths) 189 that is very similar to that observed in CI and CM carbonaceous chondrites (Figure 4). Meteorites in the CI and CM groups are volumetrically dominated (>55 vol.%<sup>34,35</sup>) by 190 191 hydrated silicate minerals of the phyllosilicate group and are widely accepted to have been aqueously altered during their history within a parent body<sup>36-38</sup>. Therefore, we can 192 193 infer that Bennu's surface is volumetrically dominated by phyllosilicates and represents 194 aqueous alteration of the parent body.

195

It is notable that we have not yet observed a distinct Mg-OH feature near 625 cm<sup>-1</sup> 196 197 (16 µm), as this feature is common to many meteorites of the CI and CM groups. The 198 absence of this feature may be indicative of a non-Mg endmember (Fe-bearing) 199 phyllosilicate composition, modest heating, disorder, and/or a particle size effect. 200 Although there is no "smoking gun" match to Bennu among the aqueously altered 201 meteorites, spectra of Bennu are distinctly dissimilar to carbonaceous meteorite groups 202 that have either not undergone hydrothermal aqueous alteration or have experienced alteration but are now "dry" (e.g., CO, CB, CV, CK<sup>39</sup>) (Figure 4 and Methods). Bennu's 203 204 spectral signature also is dissimilar to meteorites of the CR group, which may be 205 aqueously altered but typically contain lesser amounts of phyllosilicates with abundant

olivine and pyroxene<sup>34</sup> and have features that would be evident in the Bennu spectrum
(Figure 4)<sup>39-41</sup>.

208

OTES spectra of Bennu also exhibit two features at 555 and 340 cm<sup>-1</sup> that are likely 209 210 attributable, at least in part, to magnetite (Figure 5) and may support the proposed detection of a magnetite feature at ~0.55  $\mu$ m in the darkest regions of the asteroid<sup>12</sup>. 211 212 Magnetite is believed to be a product of aqueous alteration and is present at 213 abundances up to ~10% in CI chondrites. Magnetite abundance varies widely in CM chondrites, from  $\sim 0.3 - 8.4\%$  depending on petrologic subtype<sup>34,35</sup>. The abundance of 214 215 magnetite on Bennu has not yet been tightly constrained, but it is present at 216 abundances of at least a few percent and its detection is consistent with our other 217 observations that support an affinity with these meteorite groups.

218

The spectral slope of Bennu from 1500 to 1110 cm<sup>-1</sup> (~6.6 to 9  $\mu$ m) is relatively 219 220 shallow and featureless—it does not clearly exhibit the spectral shapes and emissivity 221 reductions in this region that are common to fine-particulate sample spectra and result 222 from volume scattering (Figure 4b). The region of silicate stretching bands (~1100 to 700 cm<sup>-1</sup>; ~9 to 14.3  $\mu$ m) exhibits a broad, bowl-like shape that is not well reproduced 223 224 by spectra equivalent to solid and coarse-particulate (e.g., >125 µm) meteorites or fine-225 particulate (<125 µm) meteorites measured in vacuum with an induced thermal gradient 226 (Figure 4). Although there are similarities in the shape and breadth of the fine-227 particulate Orgueil (CI) chondrite spectrum and Bennu in this region, there are distinct 228 differences between these spectra at higher wavenumbers, so this feature shape might

alternatively indicate an amorphous/disordered component rather than production oftransparency features resulting from volume scattering.

231

232 Despite the lack of strong evidence for abundant, volume-scattering (fine) 233 particulates at the ~80-m spatial scale of these observations, it is possible that these 234 spectra represent a mixture of a small amount of fine (<125 µm) and greater amount of 235 coarse (>125 µm) particulate materials, as well as the boulders that are present across the surface<sup>5,42</sup>. The lack of variation in the spectra indicates that at these spatial scales, 236 237 the distribution of particle sizes on the surface does not vary substantially. The thermal inertia of Bennu is  $350 \pm 20$  J m<sup>-2</sup> K<sup>-1</sup> s<sup>-1/2</sup>, does not vary with rotational phase, and 238 indicates a mean particle size on the order of 0.5 to 5 cm<sup>5</sup>. However, thermal inertia is 239 240 not uniquely interpretable in terms of particle size, and the presence of numerous 241 boulders for this relatively low value of thermal inertia could be interpreted as indicating 242 that there also may be smaller particles present than the mean particle size estimate 243 would suggest. On the other hand, it may be that the assumption about the thermal 244 inertia of boulders on Bennu is inaccurate and that their thermal inertia is lower than what is assumed for typical planetary materials<sup>5</sup>. The lack of rotational variability in 245 246 thermal inertia is consistent with the lack of variability in the apparent particle size 247 distribution from spectroscopy, despite their differing depth sensitivities.

248

OSIRIS-REx spectroscopic observations from visible through thermal infrared
 wavelengths are highly complementary and show that the pristine sample that will be
 returned from Bennu has the potential to inform our understanding of water in the early

solar system and its origins on Earth. Bennu's spectra indicate that the surface is
consistent with and dominated volumetrically by some of the most aqueously altered
CM chondrites. We cannot rule out the presence of a lesser component of CI material
based on both the presence of magnetite and the visual variability among materials on
the surface<sup>5</sup>.

257

258 The spectral datasets presented here are consistent with a surface having range of 259 particle sizes that does not vary spatially at scales down to 80 m as evidenced by the 260 lack of variation in the spectral reflectance and emissivity. Other observed properties 261 may help explain the apparent spatial uniformity of the spectral signatures at relatively 262 large scales if there are compositional variations present among the mobile materials, 263 but material movement leads to homogenization of their distribution. The lack of 264 rotational and spatial variation in particle size distribution may reflect surficial 265 redistribution processes rather than compositional uniformity, given the observed variations in albedo<sup>5</sup>. Redistribution processes are supported by the geopotential at 266 267 Bennu's surface, which reveals that disturbed material moves towards the equator and/or escapes<sup>43</sup>. Additionally, analysis of the geological characteristics of Bennu's 268 269 surface indicates that it is an old rubble pile but has experienced recent dynamical and geological processes<sup>42</sup>. With these and future, higher-spatial-resolution spectral 270 271 observations, we will be able to 1) provide vital context for analysis of the returned 272 sample; 2) address the history and degree of aqueous alteration experienced by 273 Bennu's parent body based on details of mineral distribution, abundance, and 274 composition (e.g., Mg/Fe proportions in phyllosilicates and abundance of magnetite);

and 3) constrain the presence or absence of organics.

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405

#### 406 Author Contributions

V.E.H. is the spectral analysis working group lead, the OTES Deputy Instrument
 Scientist, and wrote this manuscript. A.A.S. is the spectral analysis working group

409 deputy, the OVIRS Deputy Instrument Scientist, and led the calibration of the OVIRS 410 data and production of the disk-integrated average spectrum. P.R.C. is the OTES 411 Instrument Scientist and led the calibration of OTES data. D.C.R. is the OVIRS 412 Instrument Scientist. B.E.C. is the OSIRIS-REx Mission Asteroid Scientist. M.A.B., 413 H.H.K., R.D.H., and A. P. contributed to the analysis of the OVIRS 2.7 µm band. N.E.B. 414 hosts the laboratory that made the simulated asteroid environment spectral 415 measurements. W.V.B. is the Mission Instrument Scientist and contributed to ensuring 416 the mission plan enables the instruments to meet their observation requirements. 417 J.R.B., E.A.C., S. F., C. L., J.-Y.L., F.M., S.A.S., C.A.T., and Z.-D. Z. contributed to the development of science pipeline software. H.C.C., Jr. is the Mission Sample Scientist 418 419 and helped guide the selection and acquisition of the meteorite samples used in this 420 work. K.L.D.H. measured the samples shown in Figure 4b. J.P.E. and B. R. contributed 421 to the subtraction of thermal emission from OVIRS spectra. H.L.E. is the Deputy 422 Principal Investigator for the OSIRIS-REx mission. C.W.H. contributed to the data 423 processing and analysis of OTES spectra. E.S.H. contributed to the development of 424 science pipeline software and provided manual processing of some of the data shown in 425 this manuscript. L.P.K. and T.J.M. helped guide the selection and acquisition of the 426 meteorite samples used in this work. L.F.L. contributed to extensive discussions about 427 the laboratory measurements. M.C.N. is the Science Team Chief and contributed the 428 resampled solar spectrum used in the calibration of OVIRS data. D.L.S. contributed to 429 the preparation and characterization of meteorite samples used in this work. D.S.L. is 430 the OSIRIS-REx Principal Investigator and the entire OSIRIS-REx Team made the 431 Bennu encounter possible.

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479

#### 480 Main figure legends

481 Figure 1. Average whole-disk, full-rotation OVIRS spectrum of Bennu compared

with the ground-based spectrum. The OVIRS radiance factor spectrum (black) and
ground-based spectrum<sup>1</sup> (red) are normalized to a reflectance of 1.0 at 0.55 µm. The

484 OVIRS data were acquired on day of year (DOY) 306 (2 November 2018), and the field

485 of view was ~40% filled during these observations.

486

487 Figure 2. Average DOY 306 OVIRS spectrum between 2.3 and 3.5 µm compared to

488 spectra of example carbonaceous chondrites. The carbonaceous chondrites were

489 measured in vacuum after heating<sup>19</sup> (see Methods for full meteorite names). The

490 spectra are normalized to a reflectance of 1.0 at 2.4  $\mu$ m and offset vertically for clarity.

491 The vertical line at 2.74 μm denotes the Bennu band minimum position (see Methods).

492

Figure 3. Average OTES spectrum of Bennu between 1500 and 200 cm<sup>-1</sup>. The
Bennu spectrum (black) represents slightly more than one full rotation of the asteroid as
measured on DOY 347 (13 December 2018). The gray spectrum shows the standard
deviation (offset +0.98).

497

498 Figure 4. Average OTES spectrum of Bennu compared to spectra of whole-rock

499 and fine-particulate carbonaceous chondrite meteorites. a, Comparison with whole-

500 rock samples. **b**, Comparison with fine-particulate (<125  $\mu$ m) samples. Spectra have

been scaled and offset for comparison (see Methods). Vertical lines at 1110 and 530
cm<sup>-1</sup> indicate the positions of diagnostic peaks in the Bennu spectrum, and the vertical
line at 440 cm<sup>-1</sup> denotes a diagnostic absorption.

504

# 505 Figure 5. Average OTES Bennu spectrum compared to a spectrum of pure, fine-

506 particulate (<90 μm) magnetite. Spectra have been scaled and offset for comparison.

507 Vertical lines at 555 and 340 cm<sup>-1</sup> indicate the positions of diagnostic absorptions in

- 508 both spectra.
- 509

## 510 Methods

#### 511 OVIRS instrument, calibration, and data processing

512 The OVIRS design is derived from the New Horizons LEISA portion of the Ralph instrument<sup>44</sup> with an extended wavelength and simplified optics. The spectrometer uses 513 514 five linear variable filters to collect the spectrum. Details of the various operating modes (e.g., super pixel summing) are described elsewhere<sup>2</sup>. To measure compositional 515 516 spectral features with >5% absorption depth at spatial resolutions of 5 to 50 m, OVIRS 517 meets a performance requirement of a signal-to-noise ratio (SNR) of >50 across the 518 entire spectral range assuming an asteroid surface albedo of ~3-4% at a solar range of 519 1.2 AU and 300 K thermal radiation. To characterize and map variations in space 520 weathering on surfaces with an albedo of >1%, OVIRS meets an accuracy requirement 521 of 2.5% with a precision of 2%. OVIRS calibrations and performance assessments were 522 performed on the ground and in-flight during the OSIRIS-REx Earth encounter in September 2017<sup>45</sup>. 523

525	The observing sequence on day of year (DOY) 306 consisted of pointing OVIRS at
526	Bennu for 4.5 hours while scanning in a slight "up and down" pattern but keeping Bennu
527	within the FOV at all times to obtain whole-disk measurements. The phase angle during
528	these observations was ~5.2°. The spectrum shown in Figures 1 and 2 is the average of
529	17,061 radiance factor (RADF) or I/F spectra where Bennu filled approximately 40% of
530	the FOV; the excursions in the spectra are representative of the point-to-point scatter in
531	the data. The OVIRS calibrated radiance spectra were obtained according to methods
532	described by <sup>2,45</sup> . In brief, OVIRS raw data are converted from counts/second to absolute
533	radiance units using an automated calibration pipeline. First, the closest space view is
534	identified to create an average background file. The background subtracted counts are
535	converted to physical units using radiometric and out-of-band coefficients derived from
536	ground testing and in-flight calibration activities. The full calibration approach is
537	described in more detail elsewhere <sup>45</sup> . Slight adjustments were made to the previously
538	derived radiometric and out-of-band coefficients to adjust the response in a few spectral
539	regions based on the Bennu Approach data to ensure filter overlap regions aligned.
540	Calibrated radiances are then resampled onto a common wavelength axis by removing
541	outlying noise spikes more than 1.8 standard deviations from the mean and a
542	performing a weighted average on the remaining spectral points in each wavelength bin.
543	The common wavelength axis has a spectral sampling of 2 nm from 0.4 to 2.4 $\mu m$ and 5
544	nm from 2.4 to 4.3 $\mu\text{m}.$ Data are then converted to radiance factor (I/F) by dividing by
545	the solar spectrum scaled for Bennu's distance.

547 The OVIRS disk-integrated data shown in this work are not photometrically 548 corrected. The geometric albedo of Bennu (0.044±0.002) as determined from imaging results is given by<sup>46</sup>. The geometric albedo of asteroids (extrapolated to 0° phase) is 549 550 known to be higher than the values measured in laboratory settings at 30° phase, where 551 for Bennu's phase function, this scale factor is  $\sim 2$ . If we apply this scaling factor to meteorite albedo values presented in Figure 4 of<sup>1</sup>, CI and CM chondrite values are most 552 553 comparable to the geometric albedo of the hemispherically-integrated observation of 554 Bennu and meteorites of the CK, CO, CV, CR, and CH groups are not consistent. 555 However, because there is evidence in higher resolution imaging of materials on Bennu's surface having considerably higher albedos<sup>5</sup>, we are not prepared to assert 556 557 that any compositions are ruled out by the global geometric albedo value.

558

559 Analysis of OVIRS spectra beyond ~2 µm requires removal of the contribution to the signal from thermal emission. We have tested two methods for removing this "thermal 560 561 tail", with both giving similar results; we show the spectrum obtained by the first method. 562 The first approach to computing the thermal contribution to the total radiance uses a 563 smooth-surface thermophysical model assuming a spherical asteroid. The thermal 564 portion of the measured flux was estimated assuming that the spectrum of Bennu is flat 565 from 2.2 to 4.0 µm. The thermal model was run while varying thermal inertia and 566 asteroid size to fit the thermal portion of the measured flux for each OVIRS spectrum. 567 The reflected radiance was computed as a straightforward subtraction of the model 568 thermal radiance from the total measured radiance. In this approach, all the uncertainty 569 and any remaining calibration artifacts are assumed to reside in the reflected radiance.

570 Because the absolute uncertainties remain unchanged but the radiance itself is 571 decreased substantially at wavelengths with significant thermal contribution, the relative uncertainties at these longer wavelengths increase, leading to an apparent increase in 572 573 noise at longer wavelengths in the subtracted spectrum. For purposes of searching for 574 potential spectral features, we also computed total model radiance by adding the 575 thermal model radiance to a model reflected radiance (computed by scaling the solar 576 spectrum to OVIRS radiance at 2.2 µm), then divided the measured OVIRS spectra by 577 the model total spectra (Figures 1 and 2).

578

579 In the second method, the thermal contribution to the total radiance was computed using the OSIRIS-REx thermal model described in<sup>5</sup>. The computation was performed 580 581 independently for each OVIRS spectrum for the instantaneous spacecraft distance and rotation phase of Bennu, using the shape of Bennu derived from OSIRIS-REx images<sup>47</sup>. 582 583 We used the v13 shape model at the lowest (12-m) resolution. The disk-integrated 584 thermal models are not affected by the small changes in the newer version (v20) of the 585 shape model. For some rotation phases, the model thermal radiance does not perfectly 586 match the OVIRS measurements due to remaining imperfections in the shape model. 587 We therefore scaled the model thermal radiance to the average measured radiance 588 (averaged from 3.5 to 4.0 µm) of each spectrum before subtracting from the total 589 radiance. For scaling purposes, the measured thermal radiance was estimated 590 assuming that the reflectance of Bennu is flat from 2.2 to 4.0 µm. The reflected radiance 591 was computed as a straightforward subtraction of the model thermal radiance from the 592 total measured radiance. In this approach, all the uncertainty and any remaining

calibration artifacts are assumed to reside in the reflected radiance as described above.

595 Determination of the 2.7-um band center was calculated (after the correction for 596 thermal emission) using two methods that give virtually the same result to within the 597 uncertainty of the measured spectrum. The first method is to fit a sixth-order polynomial 598 to the measured spectrum between 2.65 and 2.85 µm and find the minimum of that fit; this is the same method used by<sup>19</sup> although those authors did not report the wavelength 599 600 range over which they did their fitting. This fit was calculated for both versions of the 601 average thermal-radiance-removed Bennu spectrum. The derived minima vary by a 602 single channel between the two spectra, being fit at 2.74 and 2.745 µm. Because the 603 thermal emission correction can influence the position of this band, and these spectra 604 represent a whole-disk measurement with variable temperatures and phase angles, this 605 result suggests our uncertainty is relatively small (on the order of the channel to channel 606 uncertainty).

607

608 The second method for determining the 2.7-µm band position fits a smoothing spline 609 function to the spectrum between 2.69 and 2.85 µm for the DOY 306 (2 November 610 2018) average spectrum; the best fit is obtained using a smoothing value of 0.999999. 611 The first derivative is then calculated, and the inflection point is used to determine the 612 position of the band, which is  $2.74 \pm 0.007$ . Applying the same analytical approach to all 613 of the spectra acquired on DOY 306, we obtain the same answer, to within the 614 uncertainty of the data. Based on the consistency of the results obtained by these two 615 methods and their estimated uncertainties, we conservatively identify the feature as

616 being located at  $2.74 \pm 0.01 \,\mu\text{m}$ .

617

#### 618 OTES calibration and data processing

The OTES instrument<sup>3</sup> is a Michelson interferometer with heritage from the Mars 619 620 Exploration Rovers Mini-Thermal Emission Spectrometer (Mini-TES) and Mars Global Surveyor Thermal Emission Spectrometer (TES)<sup>48,49</sup>. Spectral sampling is 8.66 cm<sup>-1</sup> 621 622 across the entire spectrum. To confidently identify spectral features having a >5% band 623 depth and achieve a 1.5% total emitted radiance accuracy requirement, OTES meets a 624 SNR of 320 at a reference temperature of 325 K and has a single-spectrum radiometric precision of  $\leq 2.2 \times 10^{-8}$  W cm<sup>-2</sup> sr<sup>-1</sup> /cm<sup>-1</sup> between 1350 and 300 cm<sup>-1</sup>. The absolute 625 integrated radiance error is <1% for scene temperatures ranging from 150 to 380 K. 626 627

628 Observing sequences designed to obtain whole-disk OTES spectra consisted of 629 pointing OTES at Bennu for 4.5 hours (a little longer than one full rotation of the 630 asteroid) without scanning. However, the standard calibration of OTES data depends on 631 the scene and the calibration targets all filling the FOV; when the scene (Bennu) fills 632 only a portion of the FOV, wavelength-dependent, off-axis modulation of energy through 633 the interferometer results in an apparent low signal at short wavelengths. Correcting this 634 effect requires a substantially more complex calibration approach, which is under 635 consideration. As such, we show here spectra acquired on DOY 347 (13 December 636 2018) when the FOV was fully filled and the standard calibration approach is appropriate for the observations. The average phase angle during these observations 637 638 was ~45.5°. The DOY 347 observations cover the equator and southern (relative to the

plane of the ecliptic) hemisphere and are equally representative of observations in the
 northern hemisphere collected during Preliminary Survey sequences on other days.

642 The calibration of OTES data generally consists of an automated processing pipeline 643 that transforms OTES raw interferograms into voltage spectra and then into absolute radiance units<sup>3</sup>. More specifically, the measured voltage spectrum is the difference 644 645 between the radiance of the scene, foreoptics, and the detector times the instrument 646 response function (IRF); the radiance of the detector and the IRF are unknowns, but 647 can be determined by periodically observing space and an internal calibration target, at 648 which point it becomes possible to solve for the scene radiance and account for 649 temperature fluctuations of the instrument (detector) that result from the instrument 650 heater cycling during the observations. After the acquisition of Earth observations in 651 September 2017, an adjustment was made to the calibration pipeline to account for 652 slopes in the interferograms that occur during the transition between cold space and a 653 hot target (e.g., Earth or Bennu). This slope results from the time constant associated 654 with the DC-correction electronics (which is longer than the 2-second integration) and, if uncorrected, results in high-frequency "ringing" in the spectra. In addition, many of the 655 656 "ride-along" observation sequences in Approach and Preliminary Survey<sup>4</sup> that were 657 designed for imaging did not include periodic views of space, instead measuring space 658 only at the start and end of sequences that lasted on the order of 4.5 hr. As a result, an 659 alternative calibration approach was developed to account for instrument (detector) 660 temperature fluctuations during these sequences; this involves using a look-up table 661 that correlates in-flight measurements of the temperature measured by a thermistor

adjacent to the detector to the detector radiance.

663

664	The afternoon local time of the DOY 347 observations (~15:00 - 15:30) results in
665	viewing surfaces having different temperatures (e.g., sunlit and shadowed) thus
666	requiring an emissivity-temperature separation that allows for the fitting of multiple
667	temperatures. We fit the OTES calibrated radiances using a non-negative linear least
668	squares algorithm <sup>50</sup> that takes as input a suite of Planck functions having temperatures
669	between 150 and 380 K. The mixture of Planck functions that provides the best fit to the
670	measured radiance is divided into the measured Bennu radiances to obtain emissivity,
671	where the maximum emissivity is assumed to be 0.97 based on reflectance
672	measurements of relevant carbonaceous chondrite meteorites <sup>39</sup> . The Bennu spectrum
673	shown in Figures 3 and 4 is the average of 974 spectra having spatial resolutions of
674	~80-90 m/spot collected on DOY 347.

675

# 676 <u>Meteorite samples</u>

The meteorites shown in Figure 2 are Ivuna (CI1), LaPaz Icefield (LAP) 02277 677 (CM1), Meteorite Hills (MET) 00639 (CM2), and Cold Bokkeveld (CM2)<sup>19</sup>. Cold 678 679 Bokkeveld may have been very mildly and briefly heated based on Raman 680 spectroscopy of the insoluble organic material, but the evidence is somewhat ambiguous<sup>51,52</sup> and there is no mineralogical evidence of heating that would change our 681 682 interpretation of the observed 2.71-µm feature (where mineralogy is the property to which the laboratory and remote sensing measurements shown here are sensitive). 683 Because meteorites have interacted with the Earth's environment, even if briefly, they 684

are prone to mineralogical and chemical alteration, including the adsorption and
absorption of terrestrial water (which can be recognized through oxygen isotope
analysis). The spectra shown in Figure 2 were measured under vacuum after the
samples were heated to between 400 and 475 K, which drives out adsorbed and
absorbed terrestrial water. The laboratory spectra have been resampled to the OVIRS
spectral sampling. See<sup>19</sup> for details of sample preparation, characterization, and
measurement.

692

693 The meteorites shown in Figure 4 are Orgueil (CI1), Allan Hills (ALH) 83100 694 (CM1/2), Murchison (CM2), Miller Range (MIL) 090001 (CR2), Allende (CV3ox), and 695 Vigarano (CV3<sub>red</sub>). All of these spectra were acquired as part of the development of the 696 OSIRIS-REx spectral library for the analysis of OTES data and have been resampled to 697 the OTES spectral sampling. The spectral acquisition methods are described below. 698 The text indicates that thermal infrared spectra of meteorite groups CO, CB, and CK do 699 not resemble the OTES spectrum of Bennu; spectra of these groups are contained in 700 the research collection of V.E.H. and are not shown here but have been shown elsewhere<sup>39</sup>. 701

702

# 703 Laboratory spectroscopy

The meteorite spectra shown in Figure 4a were measured by V.E.H. in reflectance on uncoated thin sections using a Thermo Scientific Nicolet iN10 microscope at Southwest Research Institute in Boulder, CO. The microscope is equipped with a KBr beamsplitter and a nitrogen-cooled, extended-range mercury-cadmium-telluride (MCT)

detector and measures spectra from 4,000 to 400 cm<sup>-1</sup>; the optical geometry of this
microscope is such that the spectra are equivalent to emission spectra according to
Kirchhoff's Law<sup>53</sup>. The spectra have been scaled by differing amounts to minimize
spectral contrast variations and simplify the comparison of spectral shapes. These
spectra are appropriate for comparison to OTES emissivity spectra of coarse
particulates and solids that do not exhibit volume scattering and are not susceptible to
thermal gradients<sup>54,55</sup>.

715

716 Figure 4b shows fine particulate (<125  $\mu$ m) versions of the same meteorite samples 717 measured by K.L.D.H. in a simulated asteroid environment at Oxford University; the 718 sample preparation, characterization, and spectral measurements are described in detail by<sup>56</sup>. The <90–µm magnetite spectrum in Figure 5 was measured under the same 719 720 conditions and its spectrum is virtually identical to magnetite spectra measured as 721 coarse materials and under ambient conditions. All of these spectra are appropriate for 722 comparison to OTES emissivity spectra of dominantly fine particulates that exhibit 723 volume scattering and are potentially susceptible to the development of thermal 724 gradients.

725

#### 726 Data Availability Statement

The data that support the plots within this paper and other findings of this study are available from the corresponding author upon reasonable request. Raw and calibrated spectral data will be available via the Small Bodies Node of the Planetary Data System (PDS) (https://pds-smallbodies.astro.umd.edu/). Data are delivered to the PDS

731	according to the OSIRIS-REx Data Management Plan available in the OSIRIS-REx PDS		
732	archive. Higher-level products, such as reflectance and emissivity spectra, will be		
733	available in the PDS 1 (one) year after departure from the asteroid. Laboratory spectral		
734	data are deposited in the spectral library hosted by Arizona State University		
735	(http://speclib.mars.asu.edu/).		
736			
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