

## Article

# **PULSE-I - Is rePetitive Upper Limb SEnsory stimulation early after stroke feasible and acceptable? A stratified single-blinded randomised controlled feasibility study**

Chatterjee, Kausik, Stockley, Rachel, Lane, Steven, Watkins, Caroline Leigh, Cottrell, Katy, Ankers, Brenda, Davies, Sioned, Fisher Morris, Mary, Fallon, Nick and Nurmikko, Turo

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# Title Page

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
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## Title

**PULSE-I - Is rePetitive Upper Limb SENSory stimulation early after stroke  
feasible and acceptable? A stratified single-blinded randomised controlled  
feasibility study.**

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1 **Abstract**

2 **Background**

3 Reduction in sensorimotor function of the upper limb is a common and persistent impairment after  
4 stroke, and less than half of stroke survivors recover even basic function of the upper limb after a  
5 year. Previous work in stroke has shown that repetitive sensory stimulation (RSS) of the upper limb  
6 may benefit motor function. As yet, there have been no investigations of RSS in the early-acute  
7 period despite this being the time window during which the neuroplastic processes underpinning  
8 sensorimotor recovery are likely to occur.

9 **Methods**

10 A single-blinded stratified randomised controlled feasibility study was undertaken at 2 NHS acute  
11 trusts to determine the recruitment rate, intervention adherence, and safety and acceptability of an  
12 RSS intervention in the early after stroke. Participants were recruited within two weeks of index  
13 stroke. Stratified on arm function, they were randomised to receive either 45 minutes of daily RSS  
14 and usual care or usual care alone (UC) for two weeks. Changes from baseline on the primary  
15 outcome of the Action Research Arm Test (ARAT) to measurements taken by a blinded assessor were  
16 examined after completion of the intervention (2 weeks) and at 3 months from randomisation.

17 **Results**

18 Forty patients were recruited and randomised (RSS: n=23; UC: n=17) with a recruitment rate of 9.5%  
19 (40/417) of patients admitted with a stroke of which 52 (12.5%) were potentially eligible, with 10  
20 declining to participate for various reasons. Participants found the RSS intervention acceptable and  
21 adherence was good. The intervention was safe and there were no serious adverse events.

22

23

1 **Conclusions**

2 This study indicates that recruitment to a trial of RSS in the acute period after stroke is feasible. The  
3 intervention was well tolerated and appeared to provide additional benefit to usual care. In addition  
4 to a definitive trial of efficacy, further work is warranted to examine the effects of varying doses of  
5 RSS upon arm function and the mechanism by which RSS induces sensorimotor recovery in the acute  
6 period after stroke.

7 **Trial registration:** This study was registered with ISRCTN in January 2017 (ISRCTN registry no:  
8 ISRCTN17422343; IRAS Project ID: 215137).

9 **Keywords:** Stroke, Upper Limb rehabilitation, Repetitive Sensory Stimulation

1 **Background**

2 Over 15 million people experience a stroke each year worldwide and, in high-income countries,  
3 stroke is the single main cause of acquired disability.[1] There are more than 1.2 million stroke  
4 survivors living in the UK with over 100,000 new cases of stroke each year.[2].

5

6 Advances in the acute care have dramatically reduced stroke mortality [3] but recovery of  
7 sensorimotor function of the upper limb remains problematic. Whilst two-thirds of stroke survivors  
8 go on to walk independently, less than 20% recover full upper limb function and over half do not  
9 regain basic functions of the upper limb after several years. [4, 5]

10

11 Completing even simple Activities of Daily Living (ADLs) often requires a substantial level of upper  
12 limb ability and so persistent impairments in upper limb function produce negative effects upon  
13 daily functioning and significantly reduce independence.[6, 7] Consequently, improving upper limb  
14 function is a core element of stroke rehabilitation.[8] Current treatment guidelines emphasize that  
15 rehabilitation should include high numbers of repetitions of motor tasks (repetitive task training,  
16 RTT) to improve sensorimotor function after stroke.[9] Recent work has also identified a five-week  
17 critical window after stroke in which most of the neuroplasticity that underpins recovery of  
18 sensorimotor control of the upper limb occurs.[10] This period presents a short but sensitive phase  
19 of increased responsiveness to rehabilitation after stroke. It also indicates that the intensity of  
20 training is likely to be key in this 5 week period to maximise neuroplastic processes and optimise the  
21 recovery of the upper limb. However, in practice, delivering high intensity RTT in the acute and early  
22 subacute period after stroke is challenging. Difficulties arise as it requires participants to be  
23 consistently and highly motivated, and rehabilitation staff need to have the time and resources to  
24 support RTT.[11, 12]

25

1 Consequently, there is a clear and urgent need to develop and evaluate new treatments. Such  
2 treatments need to be delivered in the early, sensitive period after stroke, must not require  
3 significant increases in staff time, cannot be reliant on consistently high levels of motivation in  
4 people after stroke, and able to be used by people with severe hemiparesis.

5

6 Repetitive sensory stimulation (RSS) is a largely passive treatment which has been recognized in  
7 healthy people to produce neuroplastic changes, similar to those elicited by repetitive task  
8 training.[13] These include lasting changes in corticospinal excitability which may be elicited via a  
9 GABA-ergic disinhibition and long-term potentiation produced by glutaminergic mechanisms [14].

10

11 RSS interventions have predominantly been evaluated in studies of people many months or even  
12 years after stroke [15-19] with benefits to sensation, arm and hand function. Recently, a small  
13 randomised, sham-controlled trial evaluating a 2 week RSS intervention in people commenced in the  
14 early subacute stage[20] (at least 3 or 4 weeks) after stroke showed significant benefits to  
15 sensorimotor function including tactile discrimination and global hand function.[21] However, no  
16 studies have used RSS in the acute/very early subacute period (first few days or weeks) after stroke,  
17 despite this being likely to be the optimal period for recovery of sensorimotor function.[10]

18 However, there may be practical factors which influence the feasibility and acceptability of using the  
19 RSS in the first few days after stroke and of recruiting to and conducting a trial of its effectiveness  
20 during this period. Therefore, a study was conducted to determine: the feasibility and acceptability  
21 of using RSS in the first 2 weeks after stroke (acute and early subacute period)[20]. Collectively this  
22 information will inform a future adequately sized randomised controlled clinical trial of RSS early  
23 after stroke.

24

25

26

## 1 **Methods**

### 2 **Study design**

3 The study was designed as a single-blind stratified randomised controlled trial, **designed and funded**  
4 **to recruit and follow up 40 patients within one and half years.** Patients were recruited at Stroke units  
5 at the Countess of Chester Hospital NHS Foundation Trust between January and November 2017 and  
6 at Basildon and Thurrock University Hospitals NHS Foundation Trust from September to November  
7 2017. Ethical approval was obtained from North West-Liverpool Central Research Ethics Committee  
8 (ref no: 16/NW/07/71). **The recruitment was stopped as it enrolled the required number of patients.**  
9

### 10 **Participants**

11 Participants were included if they were over 18 and had suffered a unilateral, confirmed stroke in  
12 the past 2 days to 2 weeks, which had left them with sensorimotor deficits of their arm. Those who  
13 did not have a National Institute of Health Stroke Scale (NIHSS) arm motor score between 1 and 4  
14 **(NIHSS arm score ranges from 0: no weakness to 4: no movement)** and/or a pre-stroke modified  
15 Rankin scale score (mRS) between 0 and 3 **(where 0=no disability, 3=Moderate disability, requiring**  
16 **some help, but able to walk unassisted)** were not included [23,26]. **Both of these tools were chosen**  
17 **as they are widely recognised in both clinical and research settings and are recommended by the**  
18 **Stroke Rehabilitation Research Roundtable. [25]** Potential participants were also excluded if they  
19 had epilepsy, a permanent pacemaker, dermatitis or oedema of the affected hand or if they could  
20 not give verbal or written consent.

21 **After going through the inclusion and exclusion criteria, all eligible patients were invited by a Good**  
22 **Clinical Practice trained healthcare professional to take part in this trial. As this was a feasibility trial,**  
23 **only those who could provide a signed informed consent or witnessed verbal consent were allowed**  
24 **to participate in the trial.**



1 *Stratification and Randomisation*

2 After giving informed consent, participants were randomised to either the experimental group  
3 comprising 45 minutes of RSS delivered daily for 2 weeks via a glove plus usual care (RSS) or usual  
4 care (UC) alone. **Randomisation was stratified by both NHS trust and the patients' NIHSS arm score**  
5 **(1-2; 3-4). Block randomisation with block sizes, 2, 4 and 6 were used to generate the randomisation**  
6 **lists for each trust and NIHSS arm score.** Group allocations were placed in serially numbered sealed  
7 envelopes to be opened after consenting, **this was done by the trial statistician. Each trust had two**  
8 **randomisation lists, one for each NIHSS arm score strata. Researchers undertaking recruitment and**  
9 **randomisation had no prior knowledge or involvement in the generation of the randomisation lists.**

10

11 *Interventions*

12 The RSS group were provided with an appropriate sized glove and stimulator box (Figure 1). The RSS  
13 glove was placed on the affected hand by the participant with aid from a rehabilitation assistant  
14 and/or a family member, as required. Supra-sensory pulses were delivered at a frequency of 20 Hz  
15 with an intensity of 1 to 20mA by electrodes within each glove positioned on the distal and proximal  
16 phalanges, providing stimulation to all fingers. The intensity of the current was increased to the  
17 highest level that the participant could tolerate and, once this intensity was reached, the participant  
18 received 45 minutes of stimulation. This duration was chosen as 30 minutes of supra-sensory hand  
19 stimulation has been shown to increase cortical excitability, which plateaus by 45 minutes [27-29].  
20 RSS was repeated daily for two weeks (14 sessions, total time: 630 minutes)[21].

21 Usual Care (UC) comprised a range of individually tailored interventions (necessary for the individual  
22 patient) delivered by physiotherapists and occupational therapists who were specialised in  
23 neurological rehabilitation. The RSS and UC groups were not matched for time and attention but the  
24 **therapy** duration of UC and RSS were noted after each treatment session (**Table 1**).

1 Acceptability was evaluated by sending 21 participants/carers in the RSS group at Chester a postal  
2 questionnaire after the completion of the study. The questionnaire was developed specifically for  
3 this study and comprised 10 open, free-text questions (see appendix 1). These were completed by  
4 the participant and/or their carer and asked about: their perception of the RSS glove, ease of use,  
5 positive and negative aspects of using it, whether they felt it helped, if so how, what they did when  
6 wearing it, would they recommend it to others and would they use it again in future plus provide any  
7 other comments about their experience.

## 8 *Measurements*

9 Demographic data comprising type of stroke (ischaemic or haemorrhagic), pre-stroke and immediate  
10 post-stroke function (mRS), stroke severity (NIHSS) was collected on all participants prior to  
11 commencement of the study. Outcome tools that were anticipated to be the primary indicators of  
12 effectiveness in a future trial were used to measure arm function. The anticipated primary outcome  
13 tool was the Action Research Arm Test (ARAT). The ARAT is a 19 item observational tool. Items are  
14 categorised into four subscales (grasp, grip, pinch and gross movement) with increasing difficulty. A  
15 participant's performance is rated on a 4-point scale, ranging from 0 (no movement) to 3  
16 (movement performed normally). It is well-validated in stroke rehabilitation and is recommended as  
17 the key functional outcome tool of arm activities after stroke by an international, multi-disciplinary  
18 expert group. [25] Total scores either indicate no upper limb capacity (0-10), poor capacity (11-21),  
19 limited capacity (22-42), notable capacity (43-54) or full capacity (55-57).[33,34] The ARAT was  
20 assessed by an independent blinded assessor by viewing the video recording of the participants  
21 performing completing this test both at two weeks and at the end of three months from the  
22 randomisation.[30]

23 Secondary anticipated outcomes included the Fugl-Meyer Assessment of upper extremity outcome  
24 tool (FMA-UE) which is a well-recognised and recommended observational measure of upper limb  
25 impairment [25]. This test comprises 4 sections for the upper limb and each of the 33 items is a

1 scored on a 3 point Likert scale (0=cannot perform to 3=performs fully). A maximum score of 66  
2 indicates full upper limb capacity, a score between 48 to 53 indicates notable capacity, 32 to 47  
3 limited capacity, 23 to 31 poor capacity and 0 to 22 no capacity. The time taken to complete the  
4 nine hole peg test (NHPT) was also used to indicate dexterity.[30,31] These outcomes were re-  
5 assessed two weeks after starting the intervention and at 3 months follow up by a blinded assessor  
6 who viewed video recordings of participants completing the items on each outcome tool.

## 7 **Analysis**

8 Feasibility was evaluated by examining:

- 9 • Ease of recruitment expressed as a proportion of enrolled participants/proportion of the  
10 screened participants from inpatients on the stroke units
- 11 • Adherence of using the RSS glove (expressed as a percentage of the maximum time of 630  
12 minutes if the glove was worn for 45 minutes, every day for 2 weeks). This was collected  
13 both manually by asking patients or their family member to complete a daily treatment diary  
14 during the treatment period which was subsequently compared with the data downloaded  
15 from the RSS generator, so was not reliant on participant recollection. Reasons for non-  
16 adherence were collected, where possible.
- 17 • Safety of the intervention over 3 months (including the 2 week intervention period). Several  
18 potential adverse events were specifically identified and were:
  - 19 ○ any damage to the skin integrity of the hand (including ulcers, necrosis) within 30  
20 days of enrolment,
  - 21 ○ epileptic seizures,
  - 22 ○ the presence of a painful shoulder on the affected upper limb,
  - 23 ○ contracture of the affected hand, and
  - 24 ○ any other adverse events reported by the investigator.

1 Acceptability was judged from RSS participant’s responses to the postal questionnaire sent after the  
2 study had finished.

3 Changes in the anticipated primary and secondary outcome measures for a future trial were  
4 examined using descriptive statistics; in this application non-parametric methods were used due to  
5 the data being not normally distributed. Logistic regression was also used to assess the association  
6 between intervention and outcome, both directly and adjusted for baseline ARAT scores. It should  
7 be noted that as this is pilot study the study is not powered to detect differences in outcome  
8 measures and as a consequence no formal hypothesis testing is undertaken. The changes in scores in  
9 RSS and UC groups were compared to published values of minimal clinical important differences  
10 (MCID) in acute and chronic stroke. [32-35] A pre-specified set of criteria of “successful outcome” for  
11 the primary outcome measure (ARAT) was developed based on the improvement in the ARAT score  
12 used by Shaw et al in BoTULS trial.[37] A successful outcome was defined as:

- 13           ▪  $\geq 3$  points improvement if baseline ARAT score of 0-3.
- 14           ▪  $\geq 6$  points improvement if baseline ARAT score of 4-51
- 15           ▪ An ARAT score of 57 or above if baseline ARAT score was  $>51$

16 Using these pre-specified criteria of good outcome, a calculation using a 80% power was used to  
17 indicate the sample size needed to detect an increase in the proportion of good outcomes from 45%  
18 to 57.5% with treatment (  $\alpha = 0.05$ , 2-tailed). [40] All data were analysed using IBM SPSS software  
19 version 24.

## 1 **Results**

### 2 **Feasibility**

3 From 9<sup>th</sup> of January 2017 to 10<sup>th</sup> of November 2017 (10 months), 417 people admitted after a stroke  
4 were screened and 52 of them were eligible to participate; of which 40 (23 females, four left  
5 handed) were included in the trial, giving a recruitment rate of 77% of those eligible to participate.

6 The reasons for exclusions non-recruitment and participant flow through the study are illustrated in  
7 Figure 2.

8 After providing consent, participants were randomised to usual care (UC, n=17) or RSS groups (RSS,  
9 n=23). All participants had suffered an ischaemic stroke except one who had a haemorrhagic stroke  
10 and was randomised to the RSS group. Twenty eight participants had known hypertension (RSS=16,  
11 UC=12), 12 had a history of AF (RSS=5, UC=2) and 5 had survived a previous stroke (RSS=3, UC=2).  
12 Seven participants had a stroke affecting their dominant side in the RSS group, with 8 having a stroke  
13 on their dominant side in the UC group. Baseline characteristics of participants in the RSS and UC  
14 groups are shown in Table 1.

15 Both groups received over 18 hours of therapy during the intervention but, as groups were not  
16 matched for time and attention, the RSS group received somewhat more (combined occupational  
17 and physio therapy; RSS median, range: 1305, 70-7095 minutes; UC: 1085; 0-3380 minutes).

18 However, the amount of upper limb specific physiotherapy time was not different between the  
19 groups (combined upper limb physiotherapy; RSS median, range: 210, 135-335 minutes; UC: 215; 0-  
20 445 minutes).

21 Adherence to the RSS intervention appeared good. Eleven participants (48%) completed 45 minutes  
22 in every session and so received the maximum dose of RSS (630 minutes, 100%) and a further 8  
23 (35%) received over 75% of the maximum dose (over 495 minutes). Only two participants (4%)  
24 completed less than 50% of the sessions as they had a carotid surgery (endarterectomy) during the

1 study period. Other reasons for non-completion of all the sessions was machine dysfunction (n=2,  
 2 9%), and patients choice (n=6, 26%).

3 Few adverse events (Table 2) were recorded and were generally mild. Shoulder pain was reported by  
 4 8(35%) people in the RSS group and 5(29%) in the UC group. One person in the RSS group reported  
 5 pain in the web space of their thumb which had been there since having their stroke and was not  
 6 worsened by the intervention. No participants had any seizures, but one participant in the UC passed  
 7 away during the study period.

8 **Table 2: Adverse event**

|               |     | RSS + Standard treatment | Standard treatment |
|---------------|-----|--------------------------|--------------------|
| Shoulder Pain | No  | 15 (65%)                 | 12 (71%)           |
|               | Yes | 8 (35%)                  | 5 (29%)            |
| Hand pain     | No  | 22 (96%)                 | 17 (100.0%)        |
|               | Yes | 1 (4%)                   |                    |

9

10 *Acceptability to participants*

11 Nine participants and/or their carers from the RSS group completed and returned questionnaires  
 12 (return rate: 43% of 21 participants). Two reported that they found the RSS glove easy to use, 7  
 13 found it 'fiddly' initially but 6 of these 7 reported that this got easier with practice. Three  
 14 participants felt the glove had not worked, but 3 participants felt they had more movement in their  
 15 hand after the intervention. Five participants reported no negative effects after using the glove, 2  
 16 felt it was slightly painful and the remaining two respondents reported that it was quite tight with  
 17 one noting that their skin appeared dry after using it. Five people would recommend the RSS glove  
 18 to other people who have had a stroke whilst one would not. Two would recommend it if it was  
 19 shown to be beneficial, whilst one participant stated it was worth trying.

20 **Outcome**

1 Changes from baseline to two weeks and three months on the outcome measures used are presented  
 2 in Table 3. A change in the ARAT scores indicating a successful outcome from baseline was seen for 16  
 3 (70%) people in the RSS group and 8 (47%) in the UC group at 2 weeks. At 3 months, this increased in  
 4 both groups (RSS: 17 people, 74%; UC: 11 people, 69%).

5

6 **Table 3: Outcome**

| Outcome tool            | 2 weeks       |              | 3 months      |               |
|-------------------------|---------------|--------------|---------------|---------------|
|                         | RSS<br>(n=23) | UC<br>(n=17) | RSS<br>(n=23) | UC#<br>(n=16) |
| <b>Change in ARAT</b>   |               |              |               |               |
| Median (IQR)            | 8 (19)        | 3 (16)       | 16 (30)       | 7 (23)        |
| Range                   | 0 – 38        | -9 - 30      | 0-51          | 0-55          |
| <b>Change in FMA-UE</b> |               |              |               |               |
| Median (IQR)            | 12 (15)       | 6 (12)       | 16 (14)       | 11.5 (13)     |
| Range                   | -1 – 33       | -11 - 22     | -6 – 45       | -6 -31        |
| <b>Change in NHPT*</b>  |               |              |               |               |
| Median (IQR)            | -6 (-162)     | 0 (-82)      | -55 (-163)    | -9 (-206)     |
| Range                   | -282 – 17     | -252 - 26.1  | -269 – 0      | -265 – 11.8   |

7

8 # indicates n=16 as 1 participant in the UC group died before 3 months. Positive changes indicate  
 9 improvement except for NHPT. ARAT – Action Research Arm Test; FMA UE Fugl Meyer Assessment

1 Upper Extremity, NHPT – nine hole peg test\* if participants could not undertake the test, they were  
2 scored as taking 300 seconds.

3

4 To further quantify the improvement in outcome after using the intervention, logistic regression was  
5 used to calculate an odds ratio that showed that after using the glove the patient was over 3 times  
6 more likely to reach a good outcome at 2 weeks (OR = 3.27, 95% Confidence interval (0.88, 12.13))  
7 and 1.5 times at three months (OR = 1.55, 95% Confidence interval (0.44, 5.53)). As those in the  
8 intervention group had a lower baseline ARAT score, this variable was then added to the model to  
9 estimate an adjusted odds ratio. After adjusting for baseline ARAT score those patients who used the  
10 glove were still over 3 times more likely to achieve a good outcome at 2 weeks (Adjusted OR = 3.10,  
11 95% confidence interval (0.79, 11.39)) and 1.3 times at three months (Adjusted OR = 1.36, 95%  
12 confidence interval 0.37, 5.02)). **These differences are not statistically significant, but the study was  
13 not powered to detect a statistically significant difference. However, it provides sufficient evidence of  
14 efficacy to go forward to a definitive trial.**

15 The change in median ARAT and FME-UE score in both groups are illustrated in Figures 3 and 4 (for  
16 waterfall plots on individual changes in ARAT score please see the supplementary Figure 1A and 1B)  
17 In the RSS group, 10 participants (from 23, 44%) had a change exceeding the minimal clinical  
18 important difference (MCID, 12 points) in acute stroke[34] compared to 4 people (from 17, 24%) in  
19 the UC group exceeded the MCID at 2 weeks. At 3 months, the number of people in the RSS group  
20 who exceeded the MCID of 5.7 points on the ARAT for chronic stroke[33] increased to 16 (70%) and  
21 to 9 in the UC group (56%).

22 The FMA-UE scores showed that 13 people in the RSS group exceeded the MCID of 9 points at 2  
23 weeks compared to 4 in the UC group[38, 39]. At three months, 15 people in the RSS group and 9 in  
24 the UC group had improved by over 9 points. On the NHPT 10 participants exceeded the minimal



1 detectable change of 33 seconds in the RSS group, compared to 5 in the UC group; these values were  
2 unchanged at 3 months.[39]

3 Based on these results, the sample size needed for a definitive trial of effectiveness of RSS in the  
4 acute period after stroke will be 550 participants, including a 10% attrition rate. A trial with 247  
5 patients per group would have 80% power to detect an increase in the proportion of positive clinical  
6 outcomes from 45% to 57.5% using the intervention ( $\alpha = 0.05$ , 2-tailed) [40]. If we upped the power  
7 to 90% then we would require 331 per group and again allowing for 10% attrition a total sample size  
8 of 736 would be required to detect an increase of 12.5% in the proportion of positive clinical  
9 outcomes.

10 The full trial will use a centralised web-based computerised randomisation system usually used by  
11 our Clinical Trial Unit based at the University of Central Lancashire.

12

## 1 **Discussion**

2 This is the first study to examine an RSS intervention in the acute, very early period after stroke and  
3 provides important data regarding the feasibility of a trial of RSS and the safety and acceptability of  
4 the RSS intervention during this time. The rehabilitation undertaken in the first few days and weeks  
5 after stroke is likely to be immensely influential on long-term outcomes[41, 42]. The finding of this  
6 study indicate that RSS intervention delivered in the acute and early subacute phases after  
7 stroke[21] appears safe and acceptable and may benefit upper limb function when used to augment  
8 usual care.

## 9 **Feasibility and acceptability**

10 The first aim of the study was to examine the feasibility of an RSS intervention during the early acute  
11 period after stroke. The majority of inpatients after stroke did not conform to the inclusion criteria  
12 (n=365) or declined to participate (n=10) resulting in a recruitment rate of 11%. The largest number  
13 of potential participants were excluded as they had no or very mild arm involvement after stroke  
14 and/or had significant functional restrictions prior to having their stroke. Other trials using forms of  
15 RSS have reported some challenges in recruiting suitable participants.[21] This may have been  
16 exacerbated in the current study as participants were approached in the first days after stroke and  
17 so may have been more likely to decline to participate whilst others were unable to clearly give  
18 informed consent due to cognitive or communication problems (n=37). These findings indicate that  
19 for a future trial of RSS, a multi centred design will be required to ensure the study is adequately  
20 powered and that different formats of presenting information and gaining consent for those with  
21 communication difficulties and/or cognitive problems should be considered to broaden inclusion.  
22 Once recruited to the study, adherence to the use of the RSS glove was good with 19 from 23  
23 participants completing over 75% of the entire treatment dose. There were few adverse events and  
24 those that were reported were relatively minor (dry skin, shoulder discomfort). There were no drop

1 outs from the RSS group, suggesting that the intervention was well tolerated. From those that  
2 returned the questionnaire, participants found the glove relatively easy to use after practice and  
3 familiarisation and most reported benefit. These findings agree with other reports of RSS in subacute  
4 stroke [21] and indicate that RSS may be an attractive treatment for people early after stroke but are  
5 limited as a standardised tool to quantify acceptability was not used, and it is not known why less  
6 than half of those asked returned their questionnaires and hence this part of the result should be  
7 interpreted with some caution.

## 8 **Changes after the intervention**

9 The ARAT, FMA-UE and 9HPT all indicated somewhat greater improvement in the RSS group when  
10 compared to the UC group, with benefits exceeding the MCID for the majority of RSS participants on  
11 the ARAT and FMA-UE. These outcomes were chosen as they are recommended by a recent  
12 roundtable for stroke rehabilitation experts and have demonstrated excellent validity, reliability and  
13 responsiveness to rehabilitation interventions for the upper limb after stroke [25]. Improvements on  
14 all outcomes were most marked immediately after the intervention period and the rate of  
15 improvement appeared to attenuate after the intervention had ceased. This might indicate that an  
16 intervention period longer than two weeks used in the current study might elicit even greater  
17 improvements. Few have used an intervention period of more than 2 weeks when evaluating RSS.  
18 Peurala et al. (2002) applied RSS twice a day for three weeks in 59 people with chronic stroke;  
19 Conforto et al., (2010) used it for three times a week for 1 month in 22 people with subacute and  
20 chronic stroke whilst participants in Smith et al.'s (2009) study received 9 minutes of sensory  
21 stimulation four times a week for six weeks. [15, 18, 44] Whilst all reported some improvements in  
22 upper limb function, none used similar outcome measures either to each other or to the current  
23 study, making direct conclusions about the effects of dose impossible. This indicates that future  
24 studies should consider the effect of dose on response to inform the clinical use of RSS.

25

## 1 **Limitations**

2 A key limitation of this study was that the RSS and UC groups were not matched for time and  
3 attention and so the differences between groups may be simply attributable to a greater dose of  
4 therapy or the effect of more time spent with a health professional in the RSS group, and unrelated  
5 to the intervention content. The RSS group received over 165 minutes more treatment (RSS and  
6 usual care) than the UC group during the intervention period and the treatment received after the  
7 intervention had finished was not standardised nor monitored in either group. Others have shown  
8 that more intensive treatments can elicit greater improvements in upper limb function[44] and it has  
9 been suggested that this may be independent of the content of the intervention to some  
10 degree.[42] In their randomised controlled trial of RSS in the acute/sub-acute period after stroke,  
11 Kattenstroth et al. (2018) included a time-matched control intervention (sham) and found that there  
12 were very few significant differences between groups on individual outcome measures, including the  
13 NHPT used in the current study.[21] This highlights inclusion of an appropriate sham treatment is  
14 vital in a future trial of RSS to ensure treatment times and expectations of benefit are as closely  
15 matched as possible so that the presence and magnitude of any effect of RSS can be clearly  
16 identified.

17 Another limitation of the current study was that the groups were not fully equivalent at baseline.  
18 The RSS group was randomised to start their treatment two days earlier than the UC group, and the  
19 RSS group had slightly better function prior to their stroke (median pre-stroke mRS scores, IQR: RSS=  
20 1, 2; UC=2, 2) and marginally better stroke status (median NIHSS scores, IQR: RSS:6, 5; UC:7, 7).  
21 However, despite stratification on NIHSS arm scores the RSS group demonstrated poorer arm  
22 function at baseline (ARAT FMA-UE), suggesting that the NIHSS arm score may not be sensitive or  
23 suitable to stratify groups in a definitive trial. Other tools which could be used to stratify groups to  
24 ensure equality in a future trial include the SAFE score and/or PREP2 algorithm.[46,47] These tools  
25 have shown an ability to predict the recovery of arm function in 75% of people after stroke but are

1 complicated by their need to use transcranial magnetic stimulation, which may not be available in  
2 some clinical settings.[48]

3 Despite potential practical limitations, exploration of sensorimotor cortical function does have an  
4 important role in providing an understanding of the mechanisms by which RSS may elicit changes in  
5 upper limb function after stroke. Some have reported specific reductions in GABA-ergically mediated  
6 intra-cortical inhibition in the motor cortex which can be present even after a single 2 hour RSS  
7 session in people with chronic stroke (n=9) [48] whilst others have found that a longer 4 week  
8 duration of thrice weekly RSS in people with chronic stroke did not significantly alter corticomotor  
9 excitability from baseline.[18] These findings are supported by others [19] and indicate that the  
10 primary mechanism of RSS is likely to be potentiation via glutamatergic connections between the  
11 primary sensory and motor cortices, rather than alterations in intra-cortical excitability.[14]  
12 However, further research is needed to inform an 'optimum' dose of RSS to benefit motor function  
13 after stroke as inconsistencies in the data mean that there is little evidence on which to base current  
14 treatment parameters.

## 15 **Conclusions**

16 The results from this single blinded randomised controlled feasibility study show that RSS is  
17 acceptable to use in the early acute period after stroke and that recruitment to a trial to determine  
18 its effectiveness is feasible but is likely to require a multi-centre design. This is the first study of RSS  
19 in the acute period after stroke and showed that an RSS intervention was well-tolerated and that  
20 participants were largely adherent to the daily RSS programme over two weeks. The differences  
21 between groups at baseline suggest that a definitive trial of the effectiveness of RSS for people in the  
22 early period after stroke should consider using a more sensitive measure of arm function and/or a  
23 prognostic indicator to stratify groups to ensure equality. The RSS intervention appeared to elicit a  
24 **tendency towards** larger improvements during the intervention period than usual care alone, but  
25 groups were not matched for time and attention **and the trial was too small to identify any**

1 **significant statistical difference**. Therefore, a future trial should include a credible control  
2 intervention, such as a sham glove. The differences between the measures of upper limb function  
3 between the UC and RSS groups were most marked during the intervention and were attenuated at  
4 3 months. Whilst many studies have used a shorter intervention period than the current work, these  
5 findings suggest that further research is necessary to determine if a longer or more intensive  
6 programme of RSS could elicit larger changes in upper limb function than those seen here and to  
7 elucidate the mechanism by which RSS may improve sensorimotor function.

8

9

1 **Table 1 Baseline characteristics of participants in the RSS and UC groups**

|  |              | RSS + Usual Care<br>(n=23) | Usual Care (n=17) |
|--|--------------|----------------------------|-------------------|
| Time from Stroke to Randomisation (days)             | median (IQR) | 4 (3)                      | 6 (8)             |
|  | Range        | 2-11                       | 2-14              |
| NIHSS Arm score                                      | median (IQR) | 1 (3)                      | 2 (3)             |
|  | Range        | 1-4                        | 1-4               |
| Dominant hand  | Left         | 1 (4.3%)                   | 3 (17.6%)         |
|  | Right        | 22 (95.7%)                 | 14 (62.4%)        |
| NHSS Arm group                                       | 1-2          | 16 (70%)                   | 11 (65%)          |
|  | 3-4          | 7 (30%)                    | 6 (35%)           |
| Age  | Median (IQR) | 72.09 (15)                 | 77.15 (21)        |
|  | Range        | 37-90                      | 53-92             |
| Gender   | Female       | 12 (52%)                   | 11 (65%)          |
|  | Male         | 11 (48%)                   | 6 (35%)           |
| Pre stroke Rankin score                              | Median (IQR) | 1 (2)                      | 2 (2)             |
|  | Range        | 0-3                        | 0-3               |
| Total NIHSS Score                                    | Median (IQR) | 6 (5)                      | 7 (7)             |
|  | Range        | 2-17                       | 2-27              |
| Amount of Physiotherapy received (in minutes)        | Median (IQR) | 580 (1180)                 | 520 ((838)        |
|  | Range        | 0-3625                     | 0-1240            |
| Amount of Occupational Therapy received (in minutes) | Median (IQR) | 520 (1210)                 | 560 (1260)        |
|  | Range        | 70 -3470                   | 0-1850            |
| FMA-UE   | Median (IQR) | 95 (46)                    | 104 (34)          |

|                               |              |           |           |
|-------------------------------|--------------|-----------|-----------|
|                               | Range        | 43-118    | 36-117    |
| FMA-UE (Section H: Sensation) | Median (IQR) | 10 (4)    | 11 (5.5)  |
| (Maximum score:12)            | Range        | 0-12      | 0-12      |
| ARAT                          | Median (IQR) | 26 (47)   | 39 (54)   |
|                               | Range        | 0-57      | 0-57      |
| NHPT Time (s)                 | Median (IQR) | 300 (151) | 300 (259) |
|                               | Range        | 29-300    | 27-300    |

- 1 NIHSS – National Institute of Health Stroke Scale, FMA-UE- Fugl Meyer Assessment Upper Extremity,
- 2 ARAT – Action Research Arm Test, NHPT – Nine Hole Peg Test, if participants could not undertake
- 3 the test, they were scored as taking 300 seconds (maximum time allocated before terminating the
- 4 test).



- 1 **List of abbreviations**
- 2 ADLs: Activities of Daily Living
- 3 ARAT: Action Research Arm Test
- 4 FMA-UE: Fugl-Meyer Assessment of upper extremity
- 5 MCID: minimal clinical important differences
- 6 mRS: modified Rankin scale
- 7 NIHSS: National Institute of Health Stroke Scale
- 8 NHPT: nine hole peg test
- 9 OR: odds ratio
- 10 RSS: repetitive sensory stimulation
- 11 RTT: repetitive task training
- 12 UC: usual care

1 **Declarations**

2 ***Ethics approval and consent to participate***

3 Ethical approval was obtained from North West-Liverpool Central Research Ethics Committee  
4 (ref no: 16/NW/07/71; IRAS ID: 215137) and Health Research Authority (HRA) prior to the  
5 commencement of the trial. A written consented was obtained from all participants by a GCP  
6 trained researcher, prior to enrolment and randomisation. This study was performed in  
7 compliance with the Helsinki Declaration.

8 ***Consent for publication***

9 Not Applicable.

10 ***Availability of data and material***

11 All data analysed during this study are included in this published article (as a supplementary  
12 information files).

13 ***Competing interests***

14 Nothing to declare.

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19 trial material.

20 ***Authors' contributions***

21 Kausik Chatterjee: Acted as a Chief Investigator and made a substantial contribution for the  
22 design, analysis and interpretation of data and been involved in drafting the manuscript and  
23 revising it critically for important intellectual content.

1 Rachel C Stockley: Is involved in drafting the manuscript and revising it critically for important  
2 intellectual content.

3 Steven Lane: Has made a substantial contribution analysis and interpretation of data and been  
4 involved in critically revising the manuscript for important intellectual content.

5 Caroline Watkins: Is involved in and critically revising the manuscript for important intellectual  
6 content.

7 Katy Cottrell: Acted as a co-investigator and made a substantial contribution for the design,  
8 acquisition of data and been involved in revising the manuscript critically for important  
9 intellectual content.

10 Brenda Ankers: Has made a substantial contribution for running the trial at the multiple sites and  
11 in the acquisition of data.

12 Sioned Davies: Has acted as a blind assessor and made a substantial contribution in the  
13 acquisition of data.

14 Mary Fisher Morris: Acted as a trial manager and made a substantial contribution for the design  
15 and been involved in drafting the manuscript and revising it critically for important intellectual  
16 content.

17 Nick Fallon: Is involved in critically revising the manuscript for important intellectual content.

18 Turo Nurmikko: Is involved in critically revising the manuscript for important intellectual  
19 content.

20 All authors read and approved the final manuscript.

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