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1 **Adaptive Evolution of Deep-Sea Amphipods from the Superfamily**

2 **Lysiassanoidea in the North Atlantic**

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17

18 **Keywords:** amphipod, evolution, phylogenetics, adaptation, deep-sea

19 **Abstract**

20

21 In this study we reconstruct phylogenies for deep sea amphipods from the
22 North Atlantic in order to test hypotheses about the evolutionary mechanisms driving
23 speciation in the deep sea. We sequenced five genes for specimens representing 21
24 families. Phylogenetic analyses showed incongruence between the molecular data
25 and morphological taxonomy, with some morphologically distinct taxa showing close
26 molecular similarity. Approximate dating of nodes based on available calibration
27 suggested adaptation to the deep sea around the Cretaceous-Palaeogene boundary,
28 with three identified lineages within the deep-sea radiation dating to the Eocene-
29 Oligocene transition. Two of those lineages contained species currently classified in
30 multiple families. We reconstructed ancestral nodes based on the mouthpart
31 characters that define trophic guilds (also used to establish the current taxonomy), and
32 show a consistent transition at the earliest node defining the deep-sea lineage, together
33 with increasing diversification at more recent nodes within the deep-sea lineage.
34 The data suggest that the divergence of species was adaptive, with successive
35 diversification from a non-scavenging ancestor to ‘opportunistic’, ‘obligate’ and
36 ‘specialised’ scavengers. We propose that the North Atlantic species studied provide
37 a strong case for adaptive evolution promoted by ecological opportunity in the deep
38 sea.

39

40 **Keywords:** deep sea; amphipod; invertebrate; adaptation; phylogenetics

41 **1. Introduction**

42 It has been proposed that effectively continuous marine environments with
43 few obvious geographical barriers should allow broad dispersal and promote panmixia
44 (reviewed in Palumbi 1994), inhibiting reproductive isolation and speciation (known
45 as the ‘marine speciation paradox’; Bierne et al. 2003). There are two main
46 hypotheses generally put forward to explain the observed patterns of speciation in the
47 marine environment. One is that species divergence is the result of ecological
48 speciation (Puebla 2009) generating adaptive radiations, when multiple lineages
49 evolve from a single common ancestor at a rapid pace. The other involves
50 differentiation across geographic barriers which may include oceanographic factors
51 such as current systems or thermal fronts (though typically less clearly defined than
52 boundary systems in terrestrial environments). According to the first idea, relaxed
53 ecological constraints (abundant resources and reduced competition) may create
54 ecological opportunity in the colonisation of new habitats resulting in adaptive
55 divergence (Schluter 1996; Schluter 2000; Puebla 2009). For example, speciation in
56 the Pacific rockfish genus (*Sebastes*) is associated with divergence in habitat depth
57 and depth-associated morphology, in the absence of geographic barriers (Ingram
58 2011).

59 According to the second idea, tectonically-driven changes to ocean basins or
60 oceanographic factors may generate physical barriers to dispersal in vicariance events
61 resulting in allopatric or parapatric speciation (reviewed in Palumbi 1994). Fully
62 allopatric speciation has been observed across barriers such as the Isthmus of Panama
63 (e.g. Marko 2002) but such clear examples are relatively rare in the marine
64 environment. The same mechanisms that generate vicariance may generate ecological
65 opportunity by releasing habitat that can then be colonised.

66 Adaptive radiations can be difficult to identify, but should be characterised by
67 a correlation between phenotype and environment, novel phenotypes providing a
68 selective advantage (difficult to prove without experimentation), and speciation
69 should be rapid, with the emergence of multiple species from a recent common
70 ancestor (see Schluter 2002). They have been frequently described for terrestrial and
71 freshwater ecosystems, including well-known cases such as the Galapagos finches
72 (e.g Schluter & Grant 1984) and cichlids of the African rift lakes (e.g. Seehausen
73 2006).

74 In aquatic ecosystems, habitat shifts from marine to freshwater have been
75 shown to promote species diversification (e.g. Hou et al. 2011). Adaptive radiations
76 described for marine systems include reef fish (e.g. Taylor & Hellburg 2005; Puebla
77 2007) and Antarctic fish species (Clarke & Johnson 1996). However, habitat shifts
78 from shallow to deep-sea environments have been less well supported in the literature
79 (but see Distel et al. 2000). Historically, deep-sea environments were thought to
80 harbour reduced species diversity due to harsh environmental conditions (see Hessler
81 & Sanders 1967). It was further suggested that rates of evolution were much slower
82 at depth, leading to the idea that the deep sea was a refuge for ancient relics
83 (Zenkevitch & Birstein 1960). More recently however, greater species diversity has
84 been documented in various groups in the deep sea, including bivalves, gastropods,
85 polychaetes and isopod crustaceans (reviewed in Wilson & Hessler 1987; Grassle
86 1989).

87 Here we examine the phylogeny of deep-sea amphipods in order to investigate
88 the evolutionary processes driving their speciation in the deep sea. Amphipods
89 occupy almost all aquatic environments as well as some subterranean and terrestrial
90 habitats (Barnard & Karaman 1991). Despite their widespread distribution, the

91 relationships among and within the major amphipod taxonomic groups are poorly
92 resolved, possibly due to the effects of convergent evolution (Englisch et al, 2003;
93 Macdonald et al, 2005; Hou et al; 2007; Fiser et al, 2008; Ito et al, 2008; Havermans
94 et al, 2010). We focus our analysis on amphipods collected at our study sites at the
95 mid-Atlantic ridge, which can be classified within the superfamily Lysianassoidea
96 (the taxonomy of which remains controversial, see below). Lysianassoid amphipods
97 can be found from the colder waters of the Polar Regions (De Broyer *et al.*, 2004) to
98 the tropics (Lowry & Stoddart, 2009) and from the intertidal to the deepest ocean
99 trenches (Jamieson et al., 2010). Many members of the Lysianassoidea are known to
100 be epibenthic, and infaunal scavengers and carnivores. They are numerically and
101 taxonomically the most important group of deep-sea scavengers (Wolff, 1970; Hessler
102 *et al.*, 1978; Smith, 1985; Thurston, 1990).

103 There have been numerous studies of the amphipod scavenging fauna in the
104 deep sea, including biodiversity, distribution, ecology, taxonomy, and respiration and
105 pressure effects (e.g. Hargrave, 1985; De Broyer, 2004; Premke & Graeve, 2009;
106 Thurston 1979; 1990). However, despite the fact that the group contains some of the
107 most primitive amphipods (Bousfield & Shih, 1994), little attention has been paid to
108 studies of the molecular phylogeny of this group, and the Amphipoda in general have
109 a history of taxonomic instability in the higher ranks (Superfamily and higher) to the
110 extent that that they are generally listed alphabetically (e.g. see Martin & Davis,
111 2001). However, a recent study by Havermans et al (2010), looked at the molecular
112 phylogeny of Antarctic lysianassoids in the families, Lysianassidae and Uristidae,
113 based on nuclear 28S rRNA and mitochondrial cytochrome oxidase subunit I genes,
114 and showed that the molecular and morphological taxonomies of these groups are
115 largely incongruent and did not support the monophyly of several of the currently

116 proposed genera (including *Abyssorchomene*, *Orchomenella*, *Pseudorchomene* and
117 *Falklandia*). In particular, their study indicated the need for a revision of the higher
118 level systematics within the Lysianassoidea due to the apparent polyphyly of the
119 Lysianassidae (Tryphosinae).

120 The major problems appear to stem from the use of the mouthpart morphology
121 in higher level classification. In scavenging amphipods the mouthparts have evolved
122 to fill an ecological niche associated with necrophagy in a sparse environment
123 (Thurston, 1979, Dahl, 1979, De Broyer et al., 2004). For example, species from at
124 least two groups (Uristidae and Lysiassanidae) have evolved morphology
125 characteristic of ‘opportunistic’ scavengers with a tritulative mandibular molar for
126 grinding food and shorter foregut (see De Broyer et al., 2004). It is probable that this
127 has occurred more than once during the evolution of the Lysianassoidea. Other
128 studies of amphipod phylogenetics have also illustrated that morphological and
129 molecular evolution may become uncoupled during their radiation, giving rise to close
130 genetic relatives with extreme morphological and ecological divergence (Macdonald
131 et al., 2005).

132 In this study we use a multi-locus approach to generate a consensus tree with
133 strong congruence, and consider the resultant lineage structure in the context of
134 phenotypic characteristics related to foraging. We model the evolution of phenotypic
135 traits along the phylogeny in order to test the hypothesis that phenotype and lineage
136 structure are correlated. We assess diversification rate changes among lineages to
137 test the hypothesis that there was an increased diversification rate in the deep-sea
138 lineage, as expected in association with adaptive radiations. We estimate node dates
139 based on published calibration points and test the hypothesis that the amphipods in the
140 deep-sea environments of the North Atlantic radiated when habitat associated with

141 foraging opportunity was made available by environmental change associated with
142 geologic transitions.

143

144 **2. Materials and Methods**

145 *2.1 Sampling*

146 The majority of specimens (see Table S1) used for this study were collected
147 using baited (with mackerel) traps at ~2500m depth, during several expeditions to the
148 Mid-Atlantic Ridge (MAR; Table S2; see Horton et al, 2013 for full sampling details).

149 This represented an extensive sampling program and involved the screening of 4900
150 ethanol-preserved specimens from which the included species were identified.

151 Further samples came from baited traps at the Crozet Islands at 4192m (Cousins et al,
152 in press) and offshore Angola at 2002m. Additional material was obtained from the
153 Museum für Naturkunde in Berlin for 18 outgroup species representing 14 families
154 (sequencing 1-2 samples per species; Table S1) from a range of habitats including
155 marine pelagic and benthic, subterranean groundwater and freshwater. Fourteen
156 ingroup species represented six families from the superfamily Lysianassoidea and one
157 from the family Alicellidae (sequencing 1-4 specimens per species; Table S1).

158 Although baited traps preferentially collect necrophagous amphipods (see Horton et
159 al. 2013), there was good species representation for the Lysianassoid taxa.

160 The species *Abyssorchomene chevreuxi* and *A. abyssorum*, *Orchomenella*
161 *gerulicorbis*, *Paralicella caperesca* and *Eurythenes gryllus*, are thought to have a
162 cosmopolitan distribution, whereas the remaining eight ingroup species are believed
163 to be confined to the Atlantic Ocean. These species include two recently described as
164 new to science (*Hirondellea namarensis*, Horton & Thurston 2013; *Centromedon zoe*,
165 Horton & Thurston 2011) and a further 5 species as yet undescribed but most

166 probably also new to science (*Cyclocaris* sp. nov., *Tmetonyx* sp. nov., *Orchomene* aff.
167 *oxystoma*, *Orchomene* aff. *pectinata*, *Paracallisoma* sp. 1; see Horton et al. 2013).
168 We focus on the ingroup of species present in the deep-sea habitat near the mid-
169 Atlantic ridge, and the resolution of higher-level taxonomic groupings is beyond the
170 scope of this study. Outgroups were included to provide calibration points and
171 support for assessing the topology of the ingroup.

172

173 2.2 DNA Extraction and Amplification

174 Total genomic DNA was extracted from pereopods or whole organisms using
175 a phenol-chloroform protocol, and many species were represented by multiple
176 specimens (see Table S1). Amplification of the mitochondrial 16S and COI loci, and
177 the nuclear, 18S and 28S rRNA and Histone 3 loci were carried out using both
178 published primers and primers designed in this study (based on the comparison of
179 published sequences in GenBank; Table S3). The reaction mix (50µl) contained a
180 final concentration of 0.2mM each dNTP, 1.5mM MgCl₂, 0.5µM each primer and
181 1.25 units of Taq DNA Polymerase (Promeaga GoTaq). The PCR conditions were as
182 follows: 2 minutes at 95 °C followed by 35 cycles of 40s at 94 °C, 40s at T_a °C (given
183 in Table S3) and 40s at 72 °C, and a final extension for 10 minutes at 72 °C. Purified
184 products were sequenced in both directions using an ABI DNA sequencer. All loci
185 were sequenced for all samples except for a subset which could not be amplified, and
186 some which were available from the Genbank database (see Table S4 for details and
187 accession numbers).

188

189 2.3 Phylogenetic Reconstruction

190 Sequences were aligned using Clustal X (Thompson et al. 1997) after
191 checking sequence accuracy through the assessment of chromatograms and
192 comparison of forward and reverse sequences (no errors detected). As a screen
193 against the inclusion of pseudogenes in the analyses, coding gene sequences were
194 translated into amino acids (using MEGA; Tamura et al. 2011) and checked for stop
195 codons. Phylogenetic analyses were conducted on separate and combined data sets.
196 Parsimony and maximum likelihood analyses were carried out using PAUP 4.0b10
197 (Swofford 2002). The best evolutionary model was determined using JModeltest
198 0.1.1 (Posada 2008). Alignment gaps were treated as missing data. Heuristic
199 searches were carried out with random sequence addition (100 replicates) and using
200 tree bisection reconnection (TBR) branch swapping. Branch support was estimated
201 with bootstrap analysis using 1000 replicates. Partitioned Bremer support was used to
202 evaluate the contribution of individual data partitions in the combined analysis (Baker
203 and DeSalle, 1997). This was done by generating constrained trees in TreeRot V.2
204 (Sorenson 1999) and analysing them in combination with PAUP 4.0b10.

205 Bayesian analyses were conducted on the combined dataset using MrBayes
206 3.1.2 (Ronquist and Huelsenbeck, 2003) with five partitions. The best-fit model for
207 each partition was selected using JModeltest 0.1.1 (Posada 2008). Each Bayesian
208 analysis was run for ten million generations sampling every 100 generations (every
209 1000 generations was also tested, with no change in outcome). The level of
210 convergence was monitored and the 'burn-in' value set accordingly. The first 25% of
211 trees (25,000) were discarded and the remaining trees were used to reconstruct a
212 consensus tree and estimate Bayesian posterior probabilities (BPP). The strategy is
213 summarised in Table S5

214

215 2.4 Molecular Dating Analysis

216 Fossil records of amphipod crustaceans are rare, however several specimens
217 have been found in Baltic amber, dated late Eocene, c. 35-40 Ma. These specimens
218 most resemble the *Niphargus* species of the subgenus *Phaenogammarus* (Jazdzewski
219 and Kulicka 2000; Coleman & Myers 2000), *Paeleogammarus*, a fossil species of the
220 Family Crangonyctidae (Jazdzewski and Kulicka 2002; Coleman 2004) and
221 *Stygobromus* sp. (Coleman 2006). We can therefore date the appearance of these
222 lineages prior to the late Eocene and can use this date for molecular clock calibration.
223 We used this date as an approximation for the upper boundary of divergence time of
224 this monophyletic group of species. The origins of the genus *Gammarus* is proposed
225 to have been ~61 Ma (Hou et al. 2011), and this provided a further reference point to
226 test against dates determined in this analysis (though based on a molecular clock
227 estimate, and therefore not as robust as the fossil calibrations).

228 The divergence times were obtained by applying a Bayesian method
229 implemented in BEAST 1.6.1. We used the relaxed molecular clock model, GTR+
230 I+G for the substitution model (for all genes except 16S where HKY+I+G was used
231 as above), and a normal distribution with SD of 1 as priors on the calibration node to
232 accommodate for calibration uncertainty. The Markov chain Monte Carlo was run for
233 50 million generations and sampled every 1,000 generations. Two independent runs
234 were performed to help assess convergence which was examined using the effective
235 sample sizes of each parameter (>200) in TRACER v1.4 (Rambaut & Drummond
236 2004). The last 40 million generations were used to construct the maximum clade
237 credibility tree and the associated 95% highest posterior density distributions around
238 the estimated node ages.

239

240 2.5 Morphological Analyses

241 We used our consensus phylogeny to examine trait evolution for seven
242 morphological traits (Table 1). The traits were chosen based on gut and mandible
243 morphology (as discussed in De Broyer et al, 2004) to define trophic guilds according
244 to foraging strategy. In particular, species were distinguished as non-scavenger,
245 obligate scavenger, obligate specialist or opportunistic scavenger. These same traits
246 are often used in support of the classification of Lysianassoidea. We used a Bayesian
247 method implemented in the *BayesTraits* v 1.0 package (available at
248 www.evolution.rdg.ac.uk; Pagel et al. 2004) to reconstruct ancestral morphological
249 character states at selected nodes in the phylogeny. *BayesTraits* uses a reversible-
250 jump Markov chain Monte Carlo (MCMC) method to derive posterior probabilities on
251 the trait values at ancestral nodes (Pagel et al. 2004). *BayesMultiState* was selected as
252 the model of evolution, allowing for rapid state changes. We used a hyperprior
253 approach specifying a gamma prior with its mean and variance seeded from uniform
254 distributions on the interval 0 to 10. Thus, acceptance rates in the preferred range of
255 20–40% were achieved as recommended (Pagel et al. 2004). The average acceptance
256 rate was 32.4%.

257

258 2.6 Diversification Rate Shifts

259 We used the program *SymmeTree* v1.1 (Chan & Moore 2004) to test the
260 hypothesis that the branches of our amphipod phylogeny have diversified at
261 significantly different rates, with respect to a specified node. The tree analysed
262 included only a single copy of each known or putative species. As this tree showed
263 the same topology as our consensus tree (Figure 1), we undertook the single-tree
264 analysis in *SymmeTree*. We used the random resolution option for resolving

265 polytomies (only present in outgroup). We report results using the taxon-size
266 sensitive equal-rates Markov random-branching model (TSS-ERM) for the random
267 resolution of polytomies, as the authors note that this is conservative with respect to
268 the null hypothesis (no significant diversification rate variation). The default number
269 of 100,000 replicates was applied for random resolution and for approximating null
270 distributions. Whole-tree rate variation is estimated in the program based on two rate-
271 shift statistics (M_{II} and M_{Σ} ; Chan & Moore, 2002) and a tree imbalance statistic (B_1 ;
272 Shao and Sokal, 1990). To locate the position of diversification rate shifts we
273 followed default settings and report the significance levels for the two shift statistics,
274 delta 1 and delta 2 (see Chan & Moore 2004).

275

276 **3. Results**

277 *3.1 Phylogenetic Reconstruction*

278 A final combined dataset of 2,442bp (18S – 1141bp, 28S – 345bp, H3 –
279 242bp, 16S – 354bp and COI - 346bp) was used in the analyses. Different selection
280 criterion (Akaike Information Criterion and Bayesian Information Criterion)
281 identified the same best-fit substitution model: the general time-reversible substitution
282 model (GTR + I + G) for all partitions except 16S, where it identified the Hasegawa,
283 Kishino and Yano model (HKY + I +G) as the best-fit model. A lack of multiple or
284 ambiguous peaks confirmed that nuclear genes for the individuals included were
285 homozygous and not compromised by multiple sequences from different isoforms of a
286 given locus. Partitioned Bremer analysis provided support for homogeneity amongst
287 genes in the combined dataset, though not all genes were informative for all nodes
288 (Figure 1, Figure S2). Similar topologies were obtained for the separate data sets of
289 all five genes, and conflicting nodes received low support. In the combined analyses,

290 lineages were supported by high bootstrap values (ML analysis) and Bayesian
291 posterior probabilities (Figure 2; Parsimony showed similar support – data not
292 shown). Note that although multiple specimens of a given species are including in the
293 tree shown, trees including only one representative of each showed the same lineage
294 structure (e.g. Figure 1).

295 The phylogeny supports four main lineages, one representing the outgroup,
296 and the other three (labelled A, B & C in Figure 2) the deep-sea species. Within the
297 deep-sea lineage, genera are all shown to be monophyletic however the parsimony,
298 maximum likelihood analyses and Bayesian inference all gave clear evidence for
299 polyphyly for the families Uristidae and Lysianassidae (Figure 2). In all analyses,
300 three main lineages consistently received high bootstrap values and Bayesian
301 posterior probabilities with one of these lineages incorporating species from four
302 named families, and another incorporating two (Figure 2). *Hirondellea namarensis*,
303 recently described as new to science (see Horton & Thurston 2013) and
304 *Paracallisoma* sp. 1 are sister-species to the three well-supported lineages, which is
305 consistent with the understanding that these genera are more ‘primitive’ scavengers,
306 based on their morphology, without close relationships to other extant lysianassoid
307 groups (Lowry & Stoddart 2010).

308

309 *3.2 Ancestral State Reconstruction & Molecular Dating Analysis*

310 The ancestral state reconstruction (see Table 1; Figure S1) indicates that five
311 shifts have occurred: one transition from non-scavenger to opportunistic scavenger;
312 two independent shifts from opportunistic scavenger to obligate scavenger and one
313 shift from obligate to ‘specialised’ scavenger in *Stephonyx biscayensis* (Figure 3).
314 The transition from non-scavenger to opportunistic scavenger occurred at the most

315 recent common ancestor (MRCA) to the deep-sea species (node a, Figure 4). Based
316 on the reference points and our data, this node can be dated to ~60 Ma (95%HPD: 45
317 – 90 Ma) overlapping the transition to the Palaeogene. The origins of the genus
318 *Gammarus* (dated at ~61 Ma (95%HPD: 45 – 83Ma) by Hou et al. 2011) is illustrated
319 with a black dot in Figure 3 and is dated to 55 - 105 Ma (95 % HPD) in our study.

320 The shifts from opportunistic to obligate scavenger occur independently twice,
321 firstly in the root of Lineage C (Figure 2) dated at 40 Ma (95%HPD: 30 – 65 Ma)
322 (node d, Figure 4) and then again more recently along the branch to *Abyssorchomene*
323 and *Orchomenella* dated at 20 Ma (95%HPD: 15 - 30 Ma) (node e, Figure 4). The
324 shift from obligate to ‘specialised’ scavenger in *Stephonyx biscayensis* can be dated to
325 33 Ma (95%HPD: 24 – 50 Ma; node c, Figure 4). The fossil evidence for the
326 *Stygobromus*, *Crangonyx*, and *Niphargus* specimens found in amber dates the origin
327 of their shared lineage to before 35-40 Ma. This provides a calibration node at the
328 base of this lineage (illustrated with a grey dot, Figure 4).

329 In general, over all traits, lineage A retains the state of the ancestral node
330 representing the origin of the deep-sea lineage, whereas multiple shifts occur in the
331 other two lineages and the end node states are mostly derived (see Table 1; Figure
332 S1). The radiations of lineages A, B and C (Figure 2) can be dated to approximately
333 35 Ma (95%HPD: 28 – 55 Ma), 33 Ma (95%HPD: 26 – 50 Ma) and 40 Ma (95%HPD:
334 30 – 65 Ma) respectively (Figure 4).

335

336 3.4 Diversification Rate Shifts

337 Among the four tests for significant diversification across the full tree, three
338 were significant after Bonferonni correction ($I_c = 0.008$; $M_{II} = 0.004$; $M_{\Sigma} = 0.005$) and
339 one was not ($B_I = 0.107$). The results of two likelihood-ratio tests to locate shifts in

340 diversification identified one node, closest to significance at the 0.05 level, indicated
341 in Figure 2 by a star, and reflecting the base of the deep-sea lineage ($p\Delta 1 = 0.066$;
342 $p\Delta 2 = 0.066$).

343

344 **4. Discussion**

345 *4.1 Polyphyly of Scavenging Amphipods*

346 Our phylogeny does not support the current taxonomy within our focal deep
347 sea ingroup. Most genera were monophyletic (apart from paraphyly in
348 *Abyssorchomene*) however, two families, Uristidae and Lysianassidae, are
349 polyphyletic, appearing in multiple well-supported lineages (Figure 2). One of these
350 lineages contains specimens from four different families (Uristidae, Alicellidae,
351 Eurytheneidae, Cyclocaridae) as currently classified (lineage C in Figure 2). Our
352 focus in this study is on the nature of the radiation of this group of species in the deep-
353 sea environment, and most details about the taxonomy will be published elsewhere.
354 However, we focus briefly on the positioning of *Orchomenella gerulicorbis* and
355 *Stephonyx biscayensis* as illustrative.

356 The molecular data suggests that *Orchomenella gerulicorbis* would be better
357 placed in a clade alongside *Abyssorchomene*, and it could perhaps be argued that since
358 the genus *Abyssorchomene* is likely a derived group of deep-sea scavengers within the
359 Orchomenid group, that *Abyssorchomene* should be placed within the family
360 Lysianassidae rather than placing *Orchomenella* within the Family Uristidae.
361 Havermans et al. (2010) also found a cluster of *Orchomenella* (*Orchomenopsis*)
362 *cavimanus* and the clade of *A. chevreuxi*, *Abyssorchomene* sp. and *A. scotianensis*,
363 and suggested similar changes to the higher level taxonomy of that group.

364 The situation of *Stephonyx biscayensis* is more problematic. It has been
365 classified as Uristidae based, among other characteristics, on the possession of the 7/4
366 crown arrangement of setae on the Maxilla 1 outer plate and the setose tongue
367 mandibular molar. The genus does not have the subchelate (or imperfectly subchelate)
368 gnathopod 1 as defined by Hurley 1963 (see Figure 5), used by Lowry & Stoddart
369 (1992) as a defining characteristic of the Uristidae. Lowry & Stoddart (1997)
370 acknowledge that the assumption that the 7/4 crown arrangement could be used as a
371 synapomorphy for the Uristidae lineage, is tenuous. This is a concern supported by
372 our phylogeny, which shows the Uristidae to be polyphyletic, and therefore suggests
373 homoplasy for this characteristic.

374 It is possible that the chelate gnathopod 1 of *S. biscayensis* (Figure 5) is an
375 adaptation to ‘picking’ carcasses rather than cutting and slicing flesh as practised by
376 other scavengers, and may indicate a more derived state of this genus from a primitive
377 scavenging ancestor. This and the results of the phylogenetic analysis suggest that
378 *Stephonyx* would be better placed in a new Family. However the nature of this level
379 of classification requires further assessment beyond the scope of this study, in
380 particular given the presence of four named families in the lineage shared by *S.*
381 *biscayensis* in our phylogeny.

382

383 4.2 Evolution of Trophic Adaptation in the Deep Sea

384 The current classification of deep-sea scavenging amphipod species is based
385 on traits representing trophic adaptations, especially the morphology of the
386 mouthparts and digestive tract (e.g. Lowry & Stoddart, 1992; 1997; De Broyer et al.,
387 2004; Dahl, 1979). *Centromedon zoe* and *Tmetonyx* sp. 1, (in lineage A, Figure 2)
388 along with *Orchomene* aff. *oxystoma* and *O.* aff. *pectinata* (lineage B) are

389 characteristic of ‘opportunistic’ scavengers, with a triturative mandibular molar for
390 grinding food and shorter foregut (see De Broyer et al., 2004). The results of the
391 Bayestraits analysis show that such traits are likely to have first appeared in the
392 scavenging ancestor (Table 1; Figures 3 & S1). This opportunist ancestor then
393 diverged firstly into a group of genera primarily (but not exclusively) inhabiting deep-
394 sea habitats (*Eurythenes*, *Paralicella*, and *Cyclocaris*,) and then adapted to obligate
395 necrophagy (lineage C, Figures 2 - 4) with several morphological modifications
396 (Thurston, 1979, Dahl, 1979, De Broyer et al., 2004). This occurred at approximately
397 30 Ma (Figure 4) as discussed below. The split between lineage A and B occurred
398 subsequently, and the ancestral characters are retained in lineage one (*Centromedon*
399 and *Tmetonyx* species) but lineage B is shared by both opportunist (*Orchomene*
400 complex of genera) and obligate (*Abyssorchomene* genus) scavengers (Figure 3, Table
401 1).

402 The morphological adaptations towards necrophagy in scavenging amphipods
403 have been reported elsewhere (Thurston, 1979, Dahl, 1979, De Broyer et al., 2004)
404 and in general the changes include a modification of the mandibular molar (Figure
405 S1) from subcolumnar with a triturative surface (in opportunistic scavengers) capable
406 of tearing and grinding tissue, through to a ridge-shaped mandibular molar with
407 reduced triturative surface in more derived scavengers (e.g *Abyssorchomene*), and
408 ultimately, in those species presumed to be obligate necrophages, to a non-triturative
409 conical flap (e.g. *Hirondellea*, *Eurythenes* and *Paralicella*; De Broyer et al., 2004;
410 Figure S1). These adaptations allow larger fragments of food to be passed directly
411 into the oesophagus, and combined with increased capacity for dilation of the midgut,
412 mean that these species are capable of ingesting 10 times their body weight in food
413 (Thurston, 1979). This suggests that deep-sea scavengers have the potential to survive

414 for long periods of time without feeding, which is an obvious adaptation to life in an
415 environment where food supply is sparse (Smith & Baldwin, 1982). *S. biscayensis* is
416 probably adapted as a ‘specialist’ scavenger and is the only species in this study to
417 have adapted the ‘pincer’-like chelate gnathopod 1, discussed above (see Figure 5).

418 Our analyses indicate that traits associated with necrophagy have arisen
419 independently multiple times during the radiation of Lysianassoidea in the deep sea,
420 consistent with data presented by Havermans et al. (2010). The fact that multiple end
421 character states have arisen, some independently multiple times, suggests that the
422 deep-sea scavenger species evolved into novel niches as a result of ecological
423 opportunity. Adaptive radiations have been seen in freshwater amphipods elsewhere
424 (Hou et al 2011), and the most extreme example is from Lake Baikal (MacDonald et
425 al. 2005).

426 We used a method that assesses whole-tree topology to determine if there is a
427 signal for rate differentiation within the tree, indicative of an adaptive radiation.
428 Although not all tests were significant at the 0.05 level, there was evidence in support
429 of rate differentiation, and the suggestion that this occurred in association with the
430 deep-sea lineage. These methods are affected to some extent by taxon sampling, and
431 our ingroup is not meant to be an inclusive representation of the broader group,
432 instead focussing on those species found in the North Atlantic near the mid-Atlantic
433 ridge. Our outgroup reflects available database sequences to some extent. However,
434 the ingroup is if anything under-sampled, which may be expected to make it harder to
435 identify a signal for lineage differentiation.

436

437 *4.3 Deep-Sea Colonisation and Radiations*

438 Our results indicate that the colonisation of the deep-sea environment by a
439 shallow water ancestor occurred at ~70 Ma at the Cretaceous-Palaeogene boundary
440 and that the three identified lineages among the deep-sea scavenging species date to
441 the Eocene-Oligocene boundary. Accurate dating with such a limited fossil record is
442 difficult, although when interpreted in the context of geological changes, these
443 estimated date ranges, though broad, are realistic and a good fit with a study on the
444 timing of the freshwater diversification of *Gammarus* sp. (Hou et al. 2011; see Figure
445 4). Further, the available fossils place a minimum date on nodes at the same level in
446 the phylogeny, sometime before the late Eocene.

447 The Cretaceous-Palaeogene boundary coincides with the timing of the
448 transition of the North Atlantic from narrow, silled basins to the deep marine trenches
449 of the modern Atlantic (Norris et al. 2001). This provided habitat for colonisation in
450 the deep sea, and likely promoted the adaptations towards necrophagy that define this
451 lineage. The Eocene-Oligocene transition was characterised by a climate change from
452 'hothouse' to 'icehouse' (Lear et al. 2008). During this period atmospheric CO₂
453 levels decreased, deep-sea waters cooled (Miller et al, 1987; Zachos et al. 2001) and
454 primary productivity increased (Lear et al. 2008; Pearson et. al. 2008). It is suspected
455 that this cooling during the Palaeogene may have caused extinctions in some taxa and
456 this has been well documented for deep-sea Foraminifera and Ostracoda (Benson et
457 al., 1985; Kaiho, 1998). Our results suggest that this is not the case for deep-sea
458 Amphipoda for which the Eocene/Oligocene cooling may instead have been
459 beneficial providing the opportunity for adaptive speciation.

460 This period is also concurrent with an increased speciation rate in cetaceans, a
461 radiation that is thought to be driven by the development of the Antarctic circumpolar
462 current and increased silicate upwelling which may have spurred the evolution of

463 filter-feeders (Steeman et al. 2009). Increased cetacean diversity and abundance,
464 along with the increased primary productivity during this time, would increase the
465 availability of carcasses on which scavenging amphipods could feed, although of
466 course we have no direct evidence of an association with amphipod diversification.
467 The hypothesis that habitat shifts promote adaptive speciation via ecological
468 opportunity is well studied in terrestrial systems. We propose that the deep-sea
469 Lysianassoidea provide a strong case in support of this hypothesis in the marine
470 environment. The development of the deep-sea habitat, coupled with increased
471 productivity and the availability of novel food resources free from competitive
472 restraints could have provided this opportunity.

473

474

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476

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725

726 Figure Captions

727

728 Figure 1: Strict consensus tree built using PAUP, indicating Bremer Support Indices
729 for each gene (in order: 18S, 28S, COI, H3, 16S) at each node.

730

731 Figure 2: Bayesian phylogenetic tree with posterior probabilities based on the
732 combined analysis (18S, 28S, COI, H3, 16S). The three deep-sea clades are labelled
733 A, B & C (*c.f.* Table 1). Families are labelled: Uristidae, ①; Lysiassanidae, ②;
734 Alicellidae, ③; Eurytheneidae, ④; Cyclocaridae group, ⑤; Scopelocheiridae, ⑥; and
735 Hirondeleidae, ⑦). Branch nodes show Bayesian posterior probability support
736 followed by ML bootstrap support (in italics). A shift in rate diversification is
737 suggested by the SymmeTree analysis at the node denoted with a star.

738

739 Figure 3: Phylogenetic analysis of scavenger ‘type’ amongst deep-sea Lysiassanoids.
740 Species were assigned to a trophic guild on the basis of 7 morphological traits. The
741 probability of each trophic type occurring at ancestral nodes is indicated with pie
742 charts at the nodes. Non-scavengers are shown in black (blue online), opportunistic
743 scavengers are shown in dark gray (green online), obligate scavengers are shown in
744 light gray (red online) and specialist in white (purple online).

745

746 Figure 4: Maximum clade credibility diagram inferred from a BEAST dating analysis.
747 Nodes a-e marked with open circles (red online) are nodes of interest (see explanation
748 in text), and horizontal bars show 95 % highest posterior density intervals of the
749 posterior distributions. Node 1 (light gray dot, green online) is used for calibration.

750 The black dot (yellow online) shows the origin of the *Gammarus* genus, dated to
751 ~61Ma (Hou et al. 2011). NG= Neogene; Q = Quaternary.






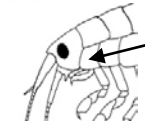

752

753 Figure 5: Diagram showing the more specialised chelate gnathopod 1 of *Stephonyx*
754 *arabiensis* (reproduced from Diffenthal & Horton, 2007), probably used for picking
755 carcasses.

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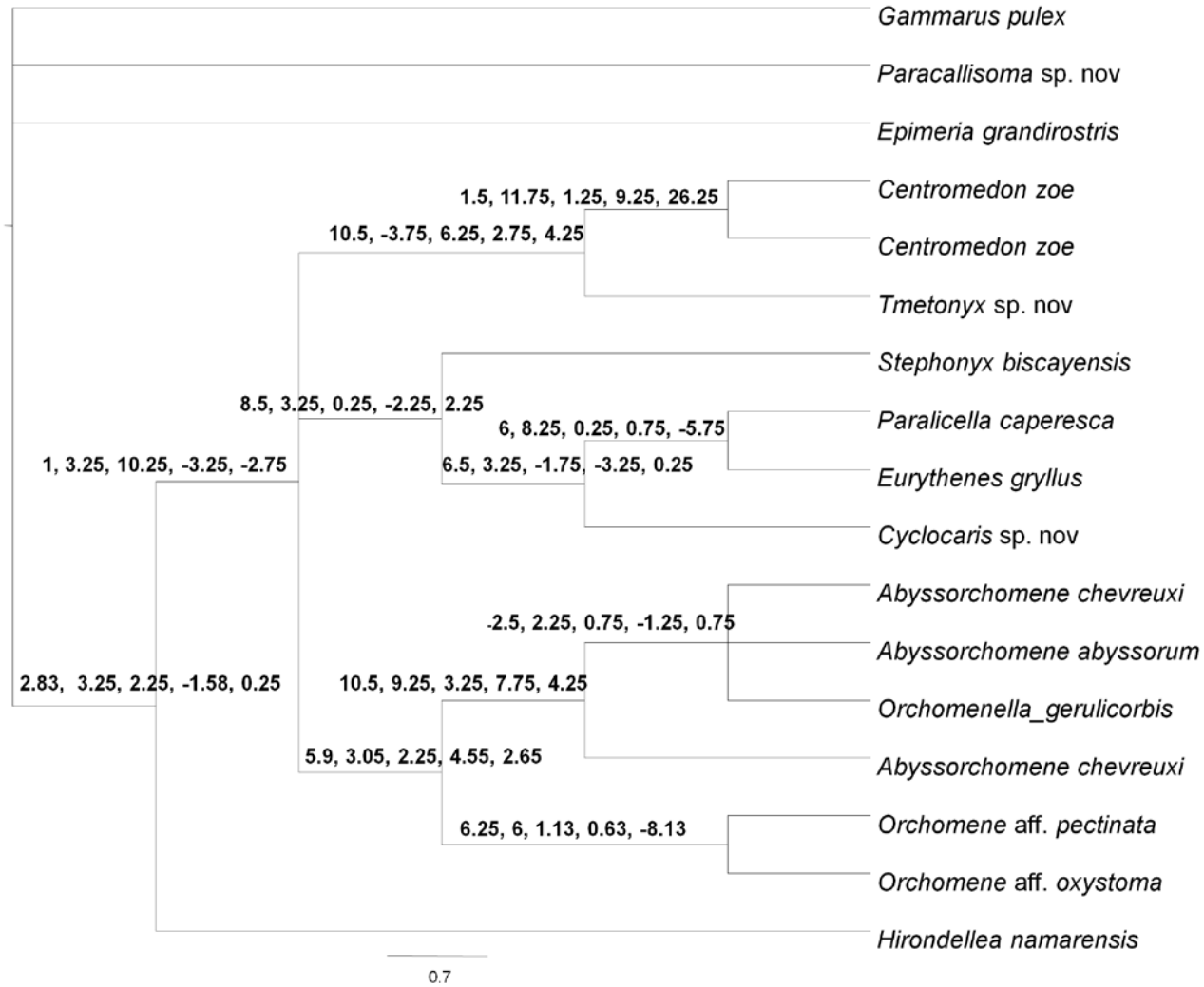
Table 1. Maximum probability ancestral character states at nodes (from BayesTraits).

Trait	Diagram	Root	Deep-sea ancestral node	Lineage A	Lineage B	Lineage C
Maxilla 1 inner plate setation		1 (fully setose)	2 (2 apical setae)	2	2	3 (>2 apical setae)
Maxilla 1 outer plate tooth arrangement		1 (>11 spine teeth)	2 (7-4 crown)	2	3 (6-5 crown)	4 (8-3 crown)
Mandibular molar		1 (columnar)	2 (conicolaminate)	2	1&2	2
Gnathopod 1		1 (subchelate)	1	1	1	2 (parachelate)
Gnathopod 2		1 (subchelate)	2 (mitten)	2	2&3 (C: chelate)	4 (minute)
Coxa 1		1 (normal)	2 (tapered)	2	3 (expanded)	4 (vestigial)
Gut storage		1 (normal)	2 (elongated)	2	2	3 (midgut)

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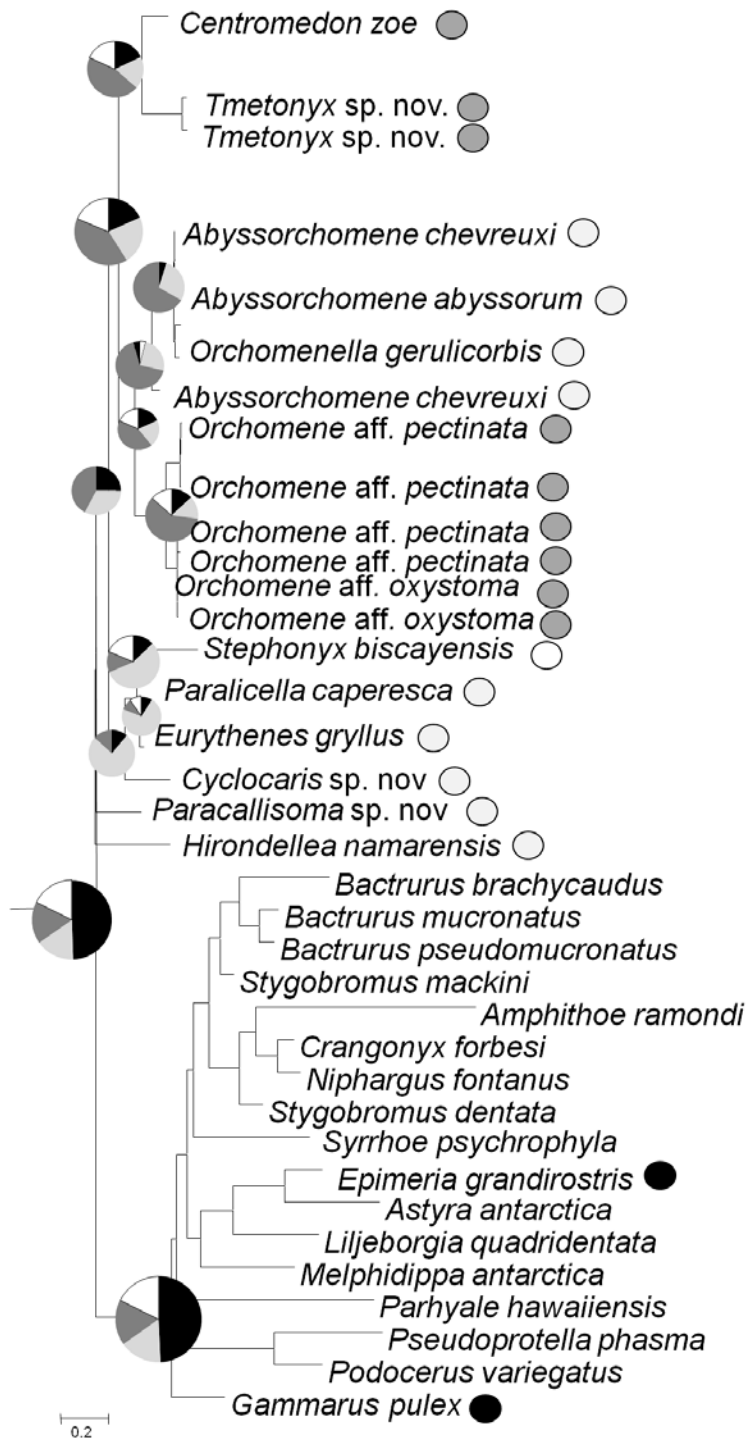
For each trait, 1-4 represents progressive change (defined parenthetically). The 'deep-sea ancestral node' defines lineages A-C (see figures 1&2).

763 Figure 1:
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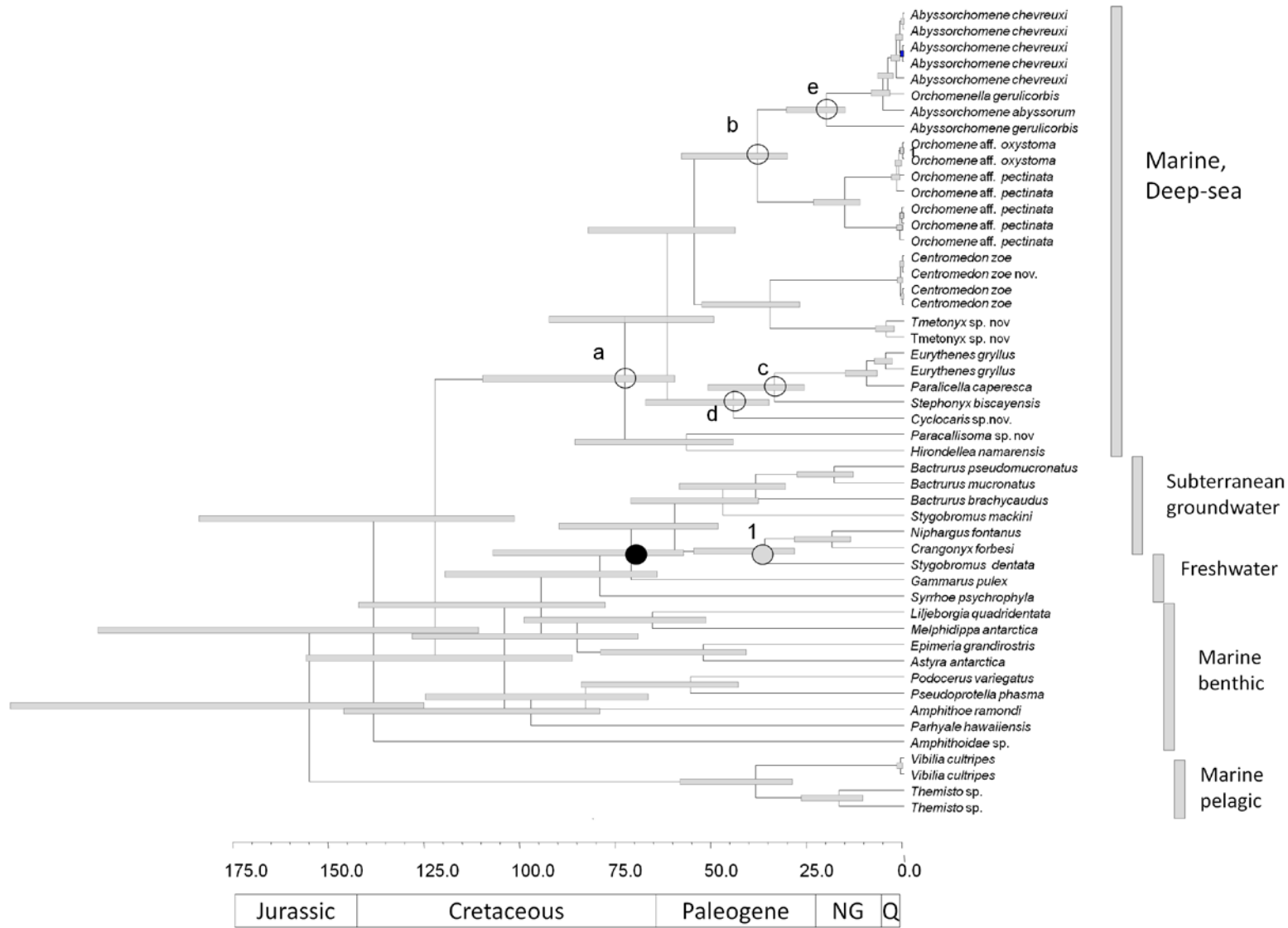


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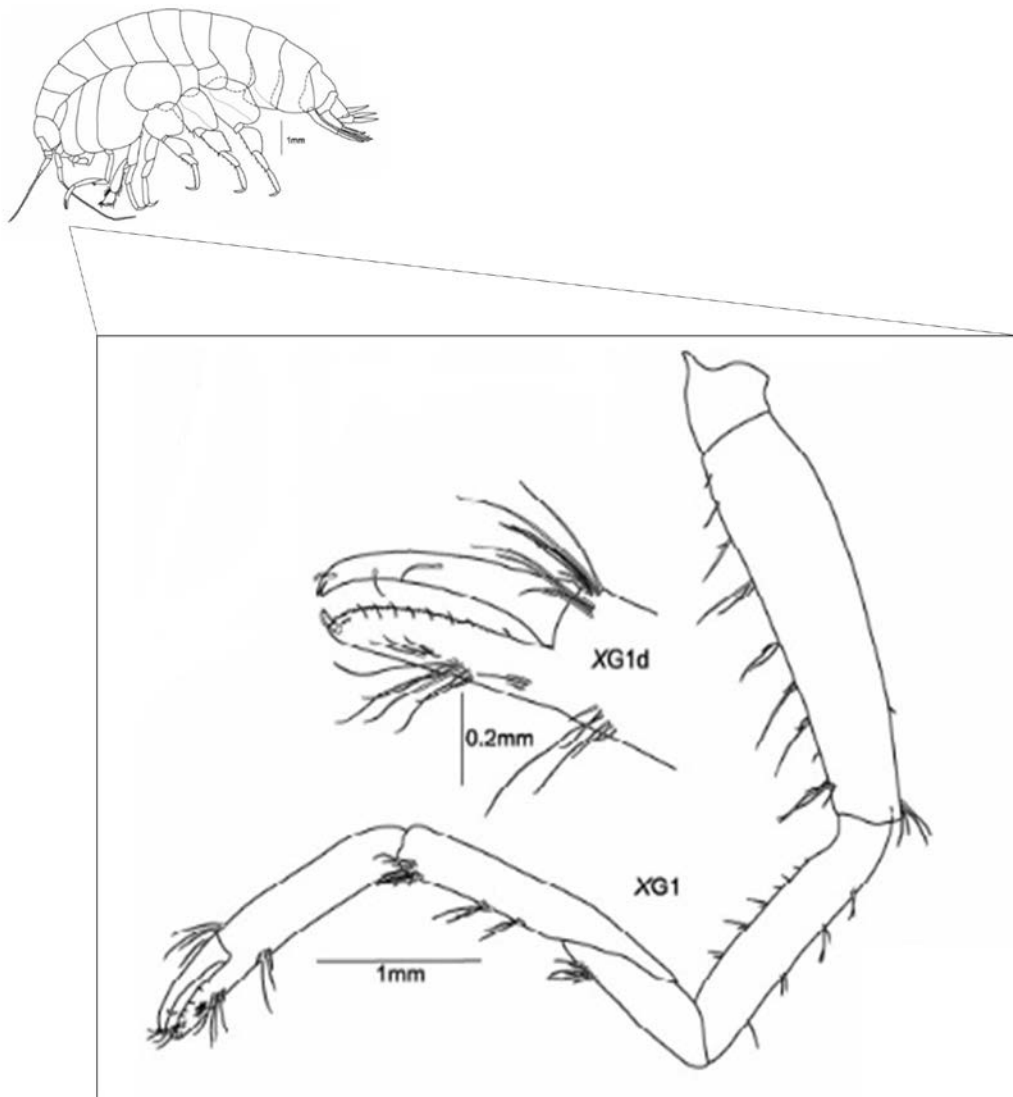
769 Figure 3:
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772 Figure 4:
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775 Figure 5:
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777

Supplementary material:

Table S1. Data including depth and location of trapped taxa used in the phylogenetic study (including links to taxon pages on the World Register of Marine Species, Appletans et al., 2012). MAR = mid-Atlantic Ridge.

Family	Genus	Species	Number of specimens sequenced	Depth, m	Locality
Ingroup taxa:					
Uristidae Hurley, 1963	<i>Tmetonyx</i>	sp. nov.	2	2500	MAR
Uristidae Hurley, 1963	<i>Abyssorhomene</i>	chevreuxi (Stebbing, 1906)	3	2564	MAR
Uristidae Hurley, 1963	<i>Abyssorhomene</i>	abyssorum (Stebbing, 1888)	1	2500	MAR
Uristidae Hurley, 1963	<i>Centromedon</i>	zoe (Horton & Thurston 2011)	4	2453-2564	MAR
Uristidae Hurley, 1963	<i>Stephonyx</i>	biscayensis (Chevreux, 1908)	2	2564	MAR
Lysianassidae Dana, 1849	<i>Orchomenella</i>	gerulicorbis (Shulenberger & Barnard, 1976)	1	4192	CROZET
Lysianassidae Dana, 1849	<i>Orchomene</i>	aff. pectinata	4	2500	MAR
Lysianassidae Dana, 1849	<i>Orchomene</i>	aff. oxystoma	3	2500	MAR
Alicellidae Lowry & De Broyer, 2008	<i>Paralicella</i>	caperesca (Schulenberger & Barnard, 1976)	1	4192	CROZET
Eurytheneidae Stoddart & Lowry, 2004	<i>Eurythenes</i>	gryllus (Lichtenstein, 1822)	2	2453	MAR
Cyclocaridae Lowry & Stoddart, 2011	<i>Cyclocaris</i>	sp. nov.	1	1975	ANGOLA
Scopelocheiridae Lowry & Stoddart, 1997	<i>Paracallisoma</i>	sp. nov.	1	2500	MAR
Hirondelleidae Lowry & Stoddart, 201	<i>Hirondellea</i>	namarensis (Horton & Thurston, 2012)	1	2500	MAR
Outgroup taxa:					
Vibiliidae Dana, 1852	<i>Vibilia</i>	cultripes (Vosseler, 1901)	2		MAR
Hyperiididae Dana, 1852	<i>Themisto</i>	sp.	2		MAR
Crangonyctidae Bousfield, 1973	<i>Bactrurus</i>	brachycaudus (Hubricht & Mackin, 1940)	1		
Crangonyctidae Bousfield, 1973	<i>Crangonyx</i>	forbesi (Hubricht & Mackin, 1940)	1		
Crangonyctidae Bousfield, 1973	<i>Stygobromus</i>	dentata (Hubricht, 1943)	1		
Crangonyctidae Bousfield, 1973	<i>Stygobromus</i>	mackini Hubricht, 1943	1		
Crangonyctidae Bousfield, 1973	<i>Bactrurus</i>	mucronatus (Forbes, 1876)	1		
Crangonyctidae Bousfield, 1973	<i>Bactrurus</i>	pseudomucronatus (Koenemann & Holsinger, 2000)	1		
Hyalidae Bulychева, 1957	<i>Parhyale</i>	hawaiiensis (Dana, 1853)	1		

Ampithoidae Stebbing, 1899	<i>Amphithoe</i>	<i>ramondi</i> (Audouin, 1826)	1		
Gammaridae Leach, 1814	<i>Gammarus</i>	<i>pulex</i> (Linnaeus, 1758)	1		
Epimeriidae Boeck, 1871	<i>Epimeria</i>	<i>grandirostris</i> (Chevreux,1912)	1		
Pariambidae Laubitz, 1993	<i>Pseudoprotella</i>	<i>phasma</i> (Montagu, 1804)	1		
Niphargidae Bousfield, 1977	<i>Niphargus</i>	<i>fontanus</i> (Bate, 1859)	1		
Stilipedidae Holmes, 1908	<i>Astyra</i>	<i>antarctica</i> (Andres, 1997)	1		
Synopiidae Dana, 1853	<i>Syrrhoe</i>	<i>psychrophyla</i> (Monod, 1926)	1		
Melphidippidae Stebbing, 1899	<i>Melphidippa</i>	<i>antarctica</i> (Schellenberg, 1926)	1		
Liljeborgiidae Stebbing, 1899	<i>Liljeborgia</i>	<i>quadridentata</i> (Schellenberg, 1931)	1		
Podoceridae Leach, 1814	<i>Podocerus</i>	<i>variegatus</i> (Leach, 1814)	1		

Table S2: Sample site location and sampling protocol. Time given is GMT. Duration = deployment time.

Site	Station #	Latitude	Longitude	Depth	Deployed	Time	Surfaced	Time	Duration	Trap Type
MAR NE	JC011/098	54°04.08'N	34°09.43'W	2500	09Aug2007	1313	11Aug2007	1215	46: 58	VET/DEMAR
MAR NE	JC011/114	54°02.31'N	34°09.60'W	2453	12Aug2007	1725	13Aug2007	1540	22: 15	VET/DEMAR
MAR NW	JC011/079	53°56.44'N	36°11.56'W	2564	05Aug2007	1951	07Aug2007	1400	42:09	VET/DEMAR
MAR NW	JC037/060	53°58.46'N	36°06.12'W	2340	27Aug2009	2143	30Aug2009	1115	61:32	VET/CORE
MAR SE	JC011/013	49°01.16'N	27°42.29'W	2627	19Jul2007	2322	20Jul2007	1230	13:08	VET/DEMAR
MAR SE	JC037/013	49°02.00'N	27°43.44'W	2501	08Aug2009	2235	10Aug2009	1620	41:45	VET/DEMAR
MAR SE	JC037/018	49°01.20'N	27°42.03'W	2500	10Aug2009	1920	17Aug2009	2108	169:48	VET/DEMAR
MAR SE	JC037/025	49°02.23'N	27°53.66'W	1830	17Aug2009	2311	18Aug2009	1520	16:09	VET/DEMAR
ANGOLA	56755#2	6.30342°S	10.68768°W	1975	26Oct2005	-	-	-	-	ROBIO
CROZET	15775#24	48°59'S	51°13'E	4192	03Jan2006	0631	04Jan2006	09:25	24:45	FRESP

Table S3 Primer sequences T_a

Locus	Primer	Primer sequence 5' - 3'	T _a (°C)	Reference
COI	COI2f	TTYGAYCCIDYIGGRGGAGGAGATCC	45	Otto & Wilson 2001
	COIuR	TAAACTTCAGGGTGACCAAAAAATCA		
16S	16Sbr	CCGGTTTGAACCTCAGATCATG	49	France & Kocher 1996
	16Sar	CGCCTGTTTATCAAAAACAT		
18S	18S1f	CGATAAGATACCGCCCTA	55	This study
	18S1r	GTCTCGTTCGTTATCGGA		
H3	HisH3f	AAATAGCYCGTACYAAGCAGAC	45	This study
	HisH3r	ATTGAATRTCYTTGGGCATGAT		
28S	28Sftw	AGGCGGAATGTTGCGT	50	This study
	28Srtw	CTGAGCGGTTTCACGGTC		

Table S4: Sequence data summary; accession numbers for previously published sequences shown in italics. ‘No amp’ means that the PCR reaction did not produce usable product.

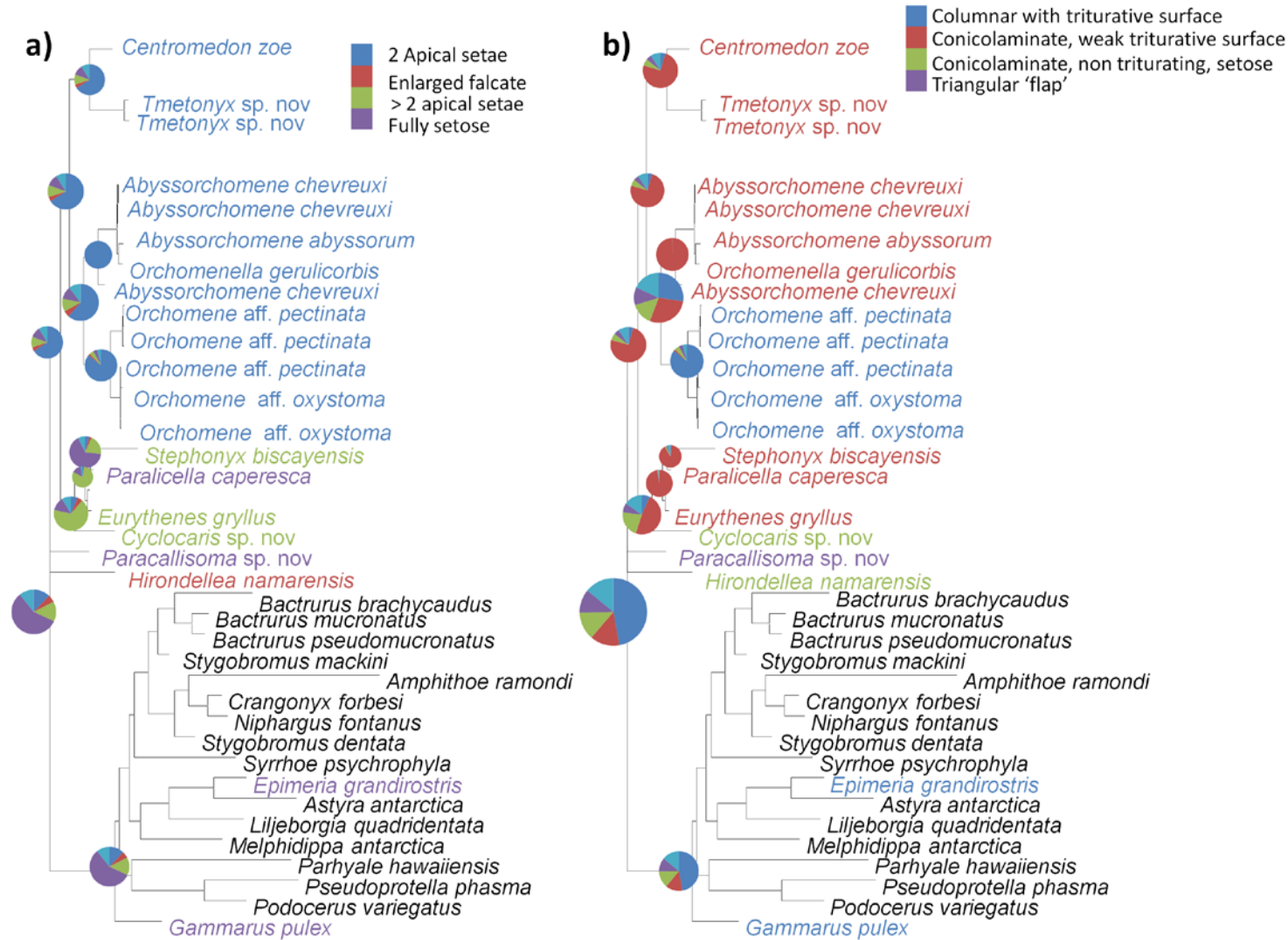
Genus	Species	16S	COI	18S	28S	Histone 3
<i>Tmetonyx</i>	sp. nov.	KF430274	KF430247	KF430232	KF430304	KF484703
<i>Abyssorchomene</i>	<i>chevreuxi</i>	KF430265	KF430238	KF430223	KF430295	KF484694
<i>Abyssorchomene</i>	<i>abyssorum</i>	KF430266	KF430239	KF430224	KF430296	KF484695
<i>Centromedon</i>	<i>zoe</i>	KF430263	KF430236	KF430221	KF430293	KF484692
<i>Stephonyx</i>	<i>biscayensis</i>	KF430264	KF430237	KF430222	KF430294	KF484693
<i>Orchomenella</i>	<i>gerulicorbis</i>	KF430267	KF430240	KF430225	KF430297	KF484696
<i>Orchomene</i>	aff. <i>pectinata</i>	KF430268	KF430241	KF430226	KF430298	KF484697
<i>Orchomene</i>	aff. <i>oxystoma</i>	KF430269	KF430242	KF430227	KF430299	KF484698
<i>Paralicella</i>	<i>caperesca</i>	KF430270	KF430243	KF430228	KF430300	KF484699
<i>Eurythenes</i>	<i>gryllus</i>	KF430273	KF430246	KF430231	KF430303	KF484702
<i>Cyclocaris</i>	sp. nov.	KF430272	KF430245	KF430230	KF430302	KF484701
<i>Paracallisoma</i>	sp. nov.	KF430271	KF430244	KF430229	KF430301	KF484700
<i>Hirondellea</i>	<i>namarensis</i>	KF430275	KF430248	KF430233	KF430305	KF484704
<i>Vibilia</i>	<i>cultripes</i>	KF430277	No amp	KF430235	KF430307	KF484706
<i>Themisto</i>	sp.	KF430276	KF430249	KF430234	KF430306	KF484705
<i>Bactrurus</i>	<i>brachycaudus</i>	KF430278	No amp	<i>AF202984</i>	KF430308	KF484707
<i>Crangonyx</i>	<i>forbesi</i>	KF430285	KF430256	<i>AF202980</i>	No amp	KF484714
<i>Stygobromus</i>	<i>dentata</i>	KF430281	No amp	<i>AF419233</i>	KF430311	KF484710
<i>Stygobromus</i>	<i>mackini</i>	KF430287	KF430257	<i>DQ377995</i>	KF430316	KF484716
<i>Bactrurus</i>	<i>mucronatus</i>	KF430291	KF430261	<i>AF202978</i>	KF430322	KF484722
<i>Bactrurus</i>	<i>pseudomucronatus</i>	KF430292	KF430262	<i>AF202985</i>	KF430323	KF484723
<i>Parhyale</i>	<i>hawaiiensis</i>	KF430279	KF430250	<i>AY826957</i>	KF430309	KF484708
<i>Amphithoe</i>	<i>ramondi</i>	KF430280	KF430251	<i>DQ378024</i>	KF430310	KF484709
<i>Gammarus</i>	<i>pulex</i>	KF430282	KF430253	<i>AF202982</i>	KF430312	KF484711
<i>Epimeria</i>	<i>grandirostris</i>	KF430283	KF430254	<i>DQ378007</i>	KF430313	KF484712

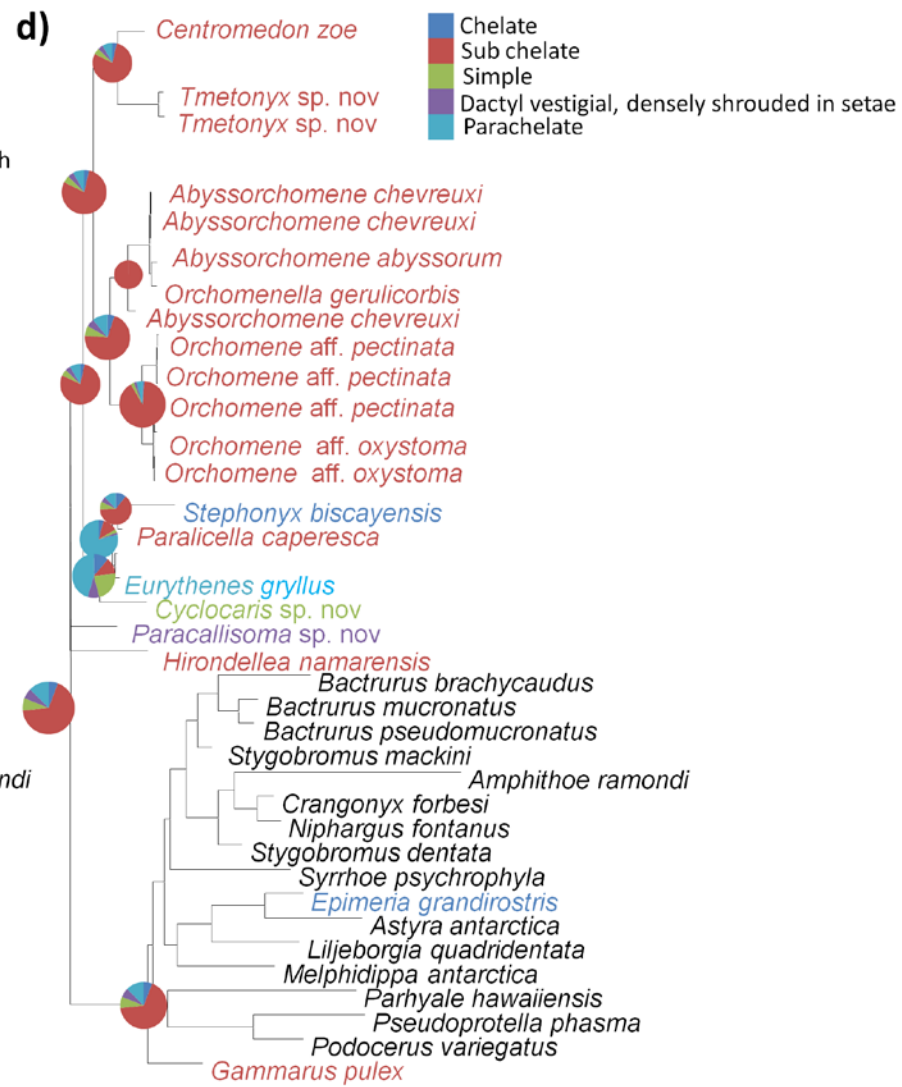
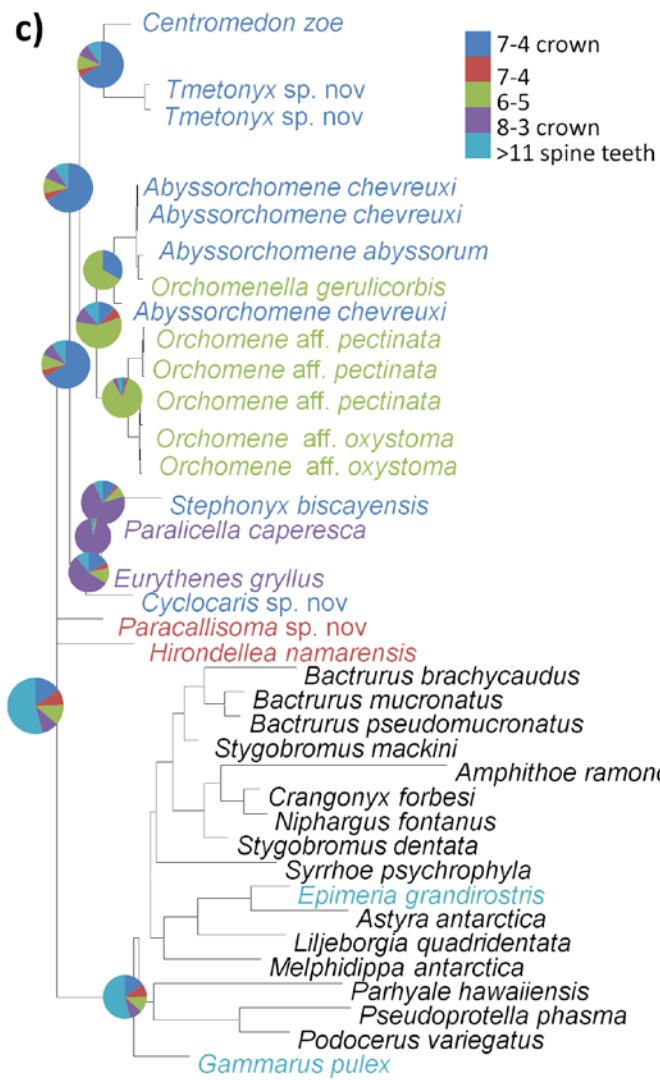
<i>Pseudoprotella</i>	<i>phasma</i>	KF430284	KF430255	<i>DQ378041</i>	KF430314	KF484713
<i>Niphargus</i>	<i>fontanus</i>	KF430286	<i>DQ064702</i>	<i>AF202981</i>	KF430315	KF484715
<i>Astyra</i>	<i>antarctica</i>	KF430288	KF430258	<i>DQ377999</i>	KF430317	KF484717
<i>Syrrhoe</i>	<i>psychrophyla</i>	No amp	KF430259	<i>DQ378030</i>	KF430318	KF484718
<i>Melphidippa</i>	<i>antarctica</i>	KF430289	No amp	<i>DQ377998</i>	KF430319	KF484719
<i>Liljeborgia</i>	<i>quadridentata</i>	KF430290	KF430260	<i>DQ378013</i>	KF430320	KF484720
<i>Podocerus</i>	<i>variegatus</i>	No amp	No amp	<i>DQ378022</i>	KF430321	KF484721

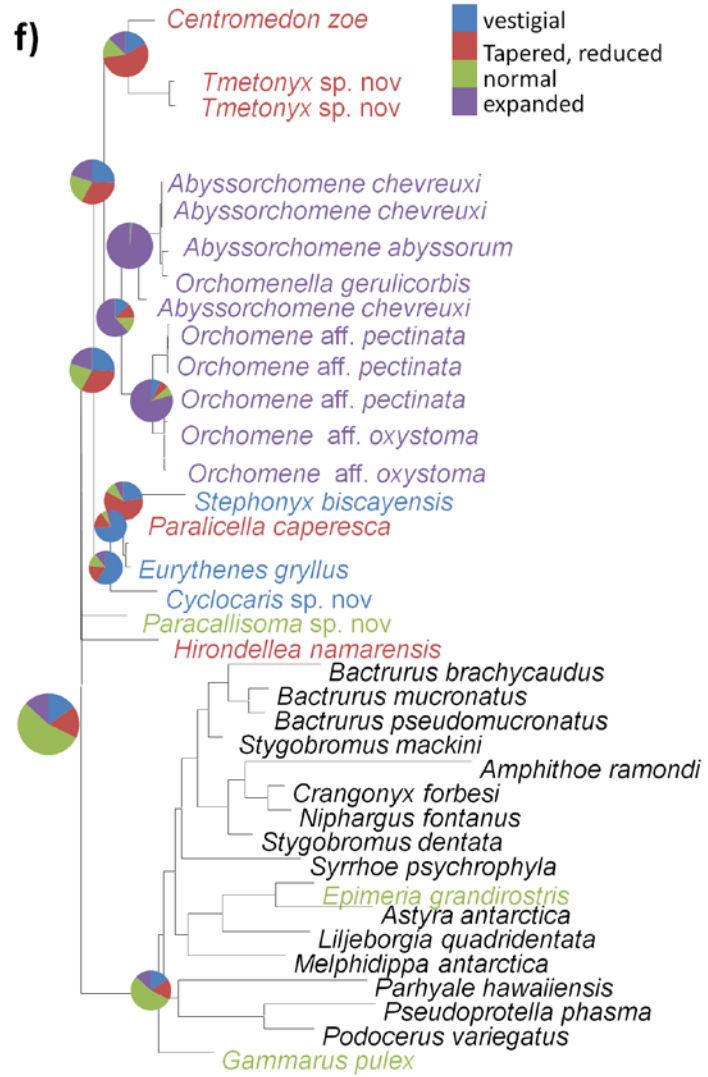
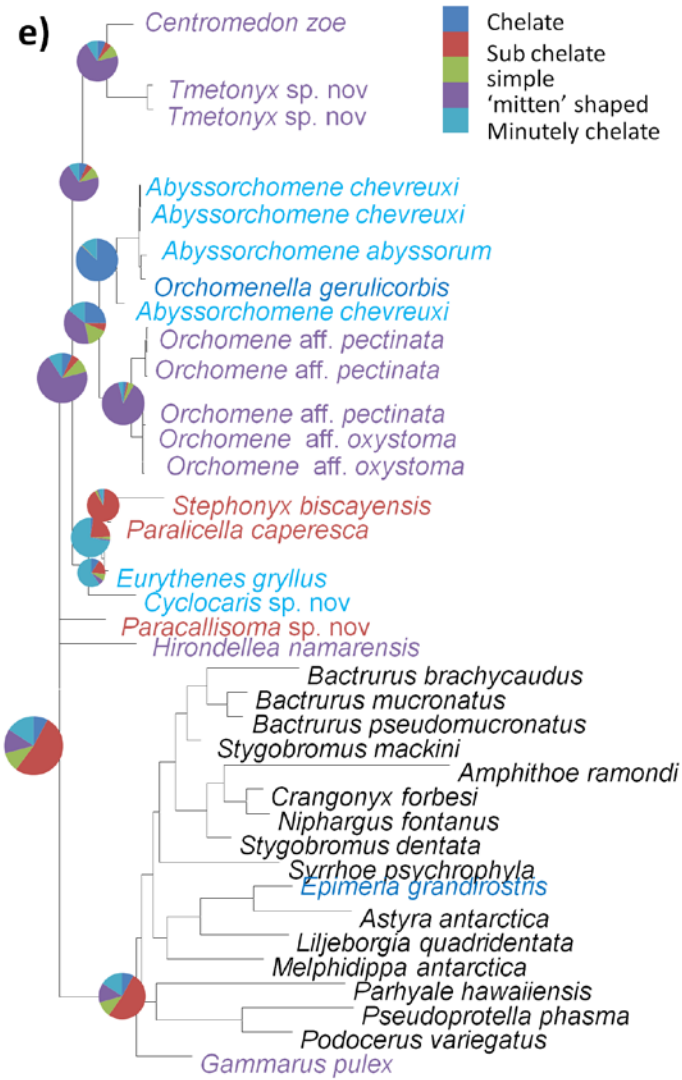
Table S5: Substitution models and model parameter prior for each gene in the MrBayes runs.

Gene Partition	Model	Rate Variation	Substitution Rates	Nucleotide frequencies	Shape parameter	Proportion of Invariable sites	Topology	Branch lengths
16S	HKY	Invgamma	Dirichlet (1,1,1,1)	Dirichlet (1,1,1,1)	Uniform (0,200)	Uniform (0,1)	Uniform	Unconstrained: Exp(10.0)
COI	GTR	Invgamma	Dirichlet (1,1,1,1)	Dirichlet (1,1,1,1)	Uniform (0,200)	Uniform (0,1)	Uniform	Unconstrained: Exp(10.0)
18S	GTR	Invgamma	Dirichlet (1,1,1,1)	Dirichlet (1,1,1,1)	Uniform (0,200)	Uniform (0,1)	Uniform	Unconstrained: Exp(10.0)
28S	GTR	Invgamma	Dirichlet (1,1,1,1)	Dirichlet (1,1,1,1)	Uniform (0,200)	Uniform (0,1)	Uniform	Unconstrained: Exp(10.0)
H3	GTR	Invgamma	Dirichlet (1,1,1,1)	Dirichlet (1,1,1,1)	Uniform (0,200)	Uniform (0,1)	Uniform	Unconstrained: Exp(10.0)

Figure S1: Bayesian trees and Bayestrait analyses of a) maxilla 1 inner plate setation; b) mandibular molar; c) maxilla 1 outer plate tooth arrangement; d) gnathopod 1; e) gnathopod 2; f) coxa 1; g) gut storage. Pie charts illustrate relative trait probabilities at a given node.







g)

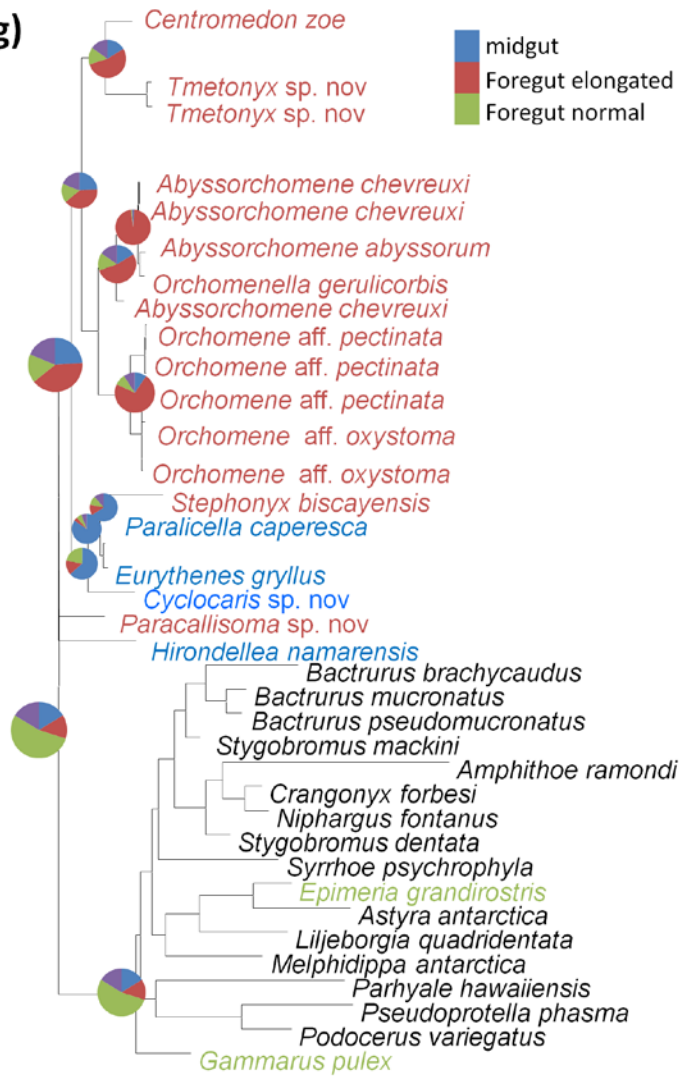
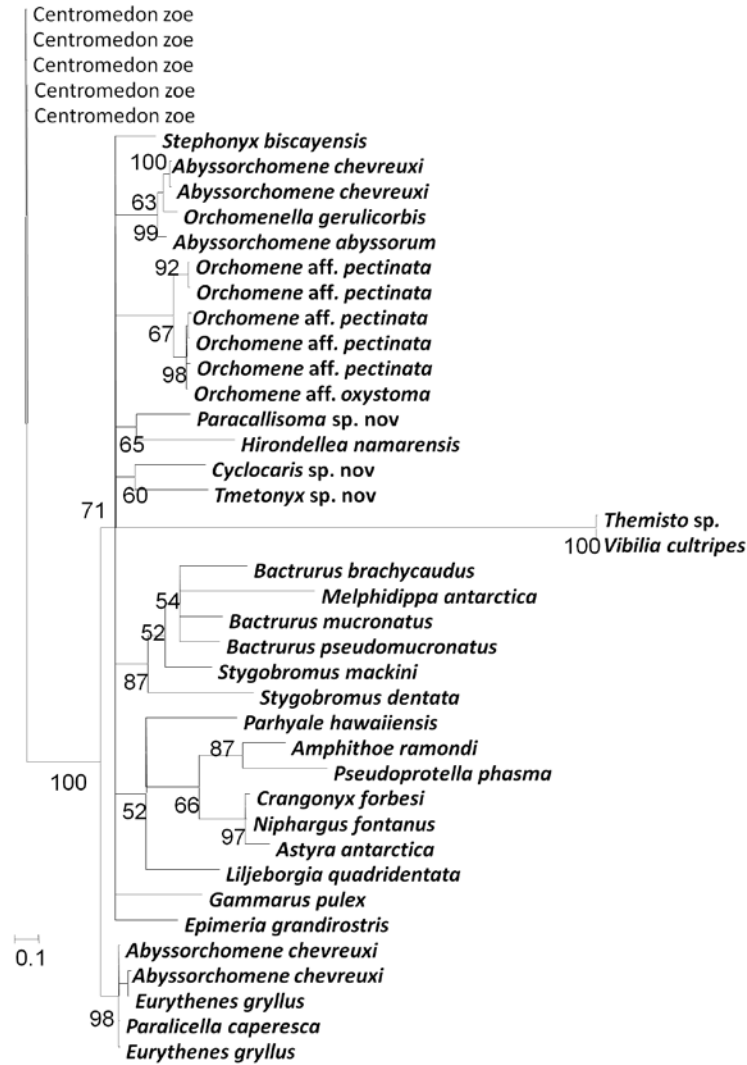
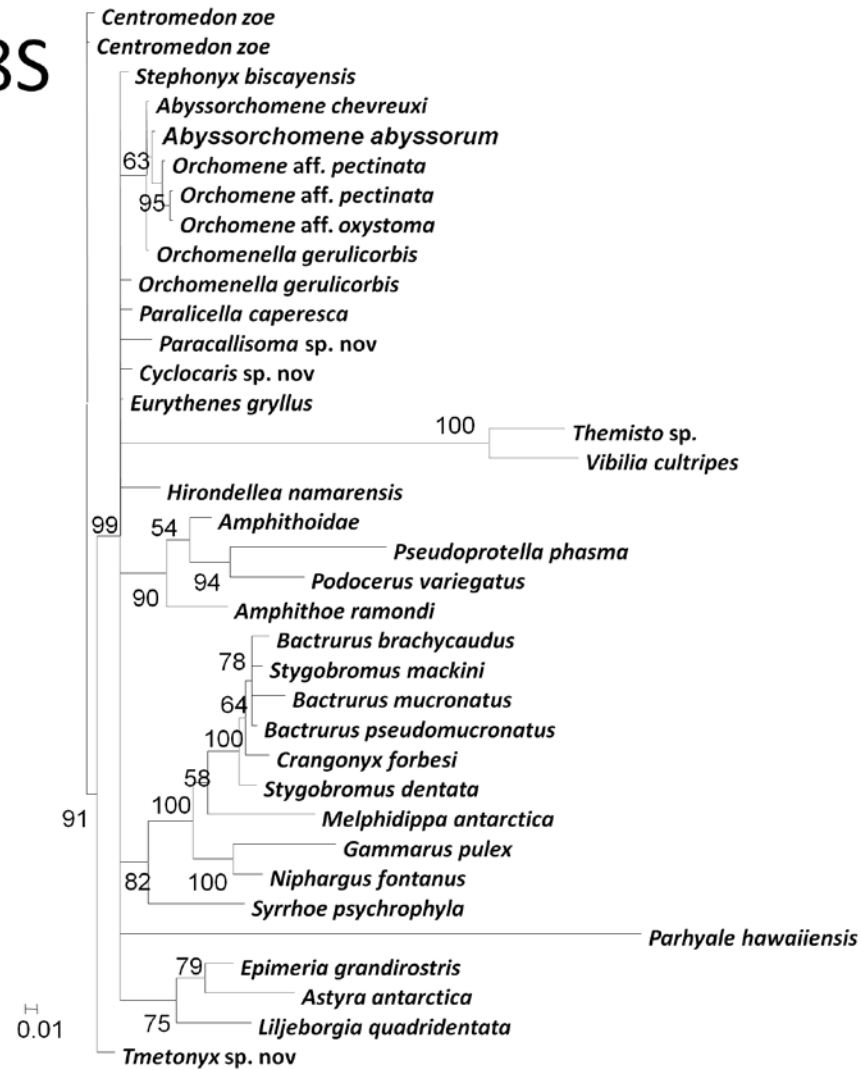


Figure S2: MrBayes phylogenies based on single genes showing node support (Bayesian Posterior Probabilities with 10,000 trees sampled).

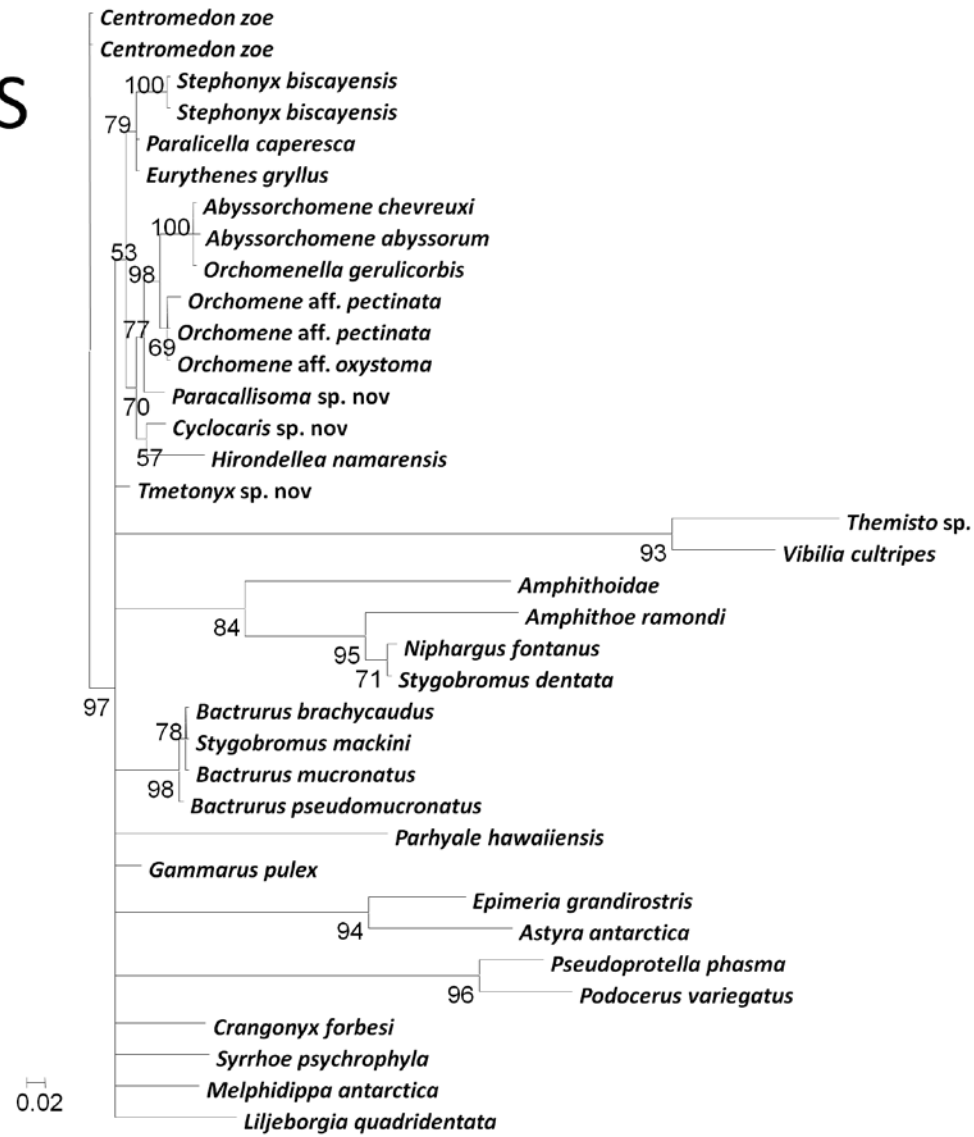
16S



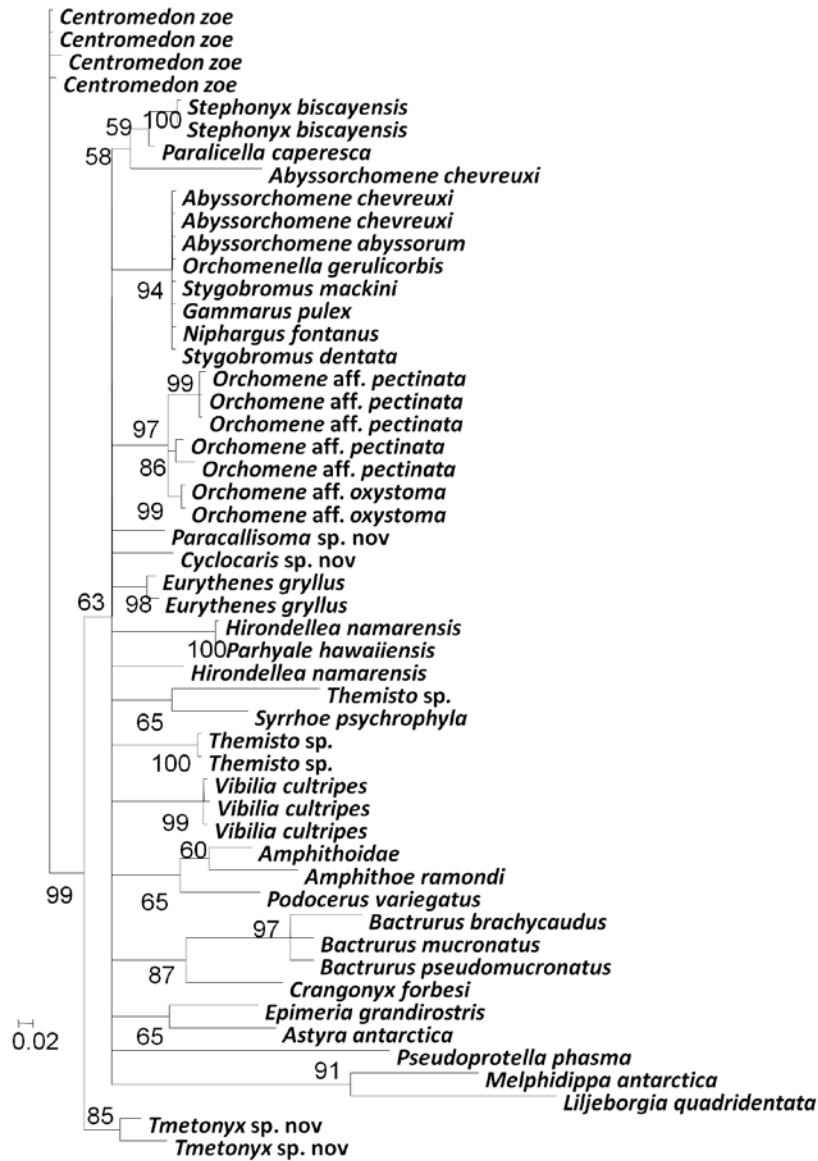
18S

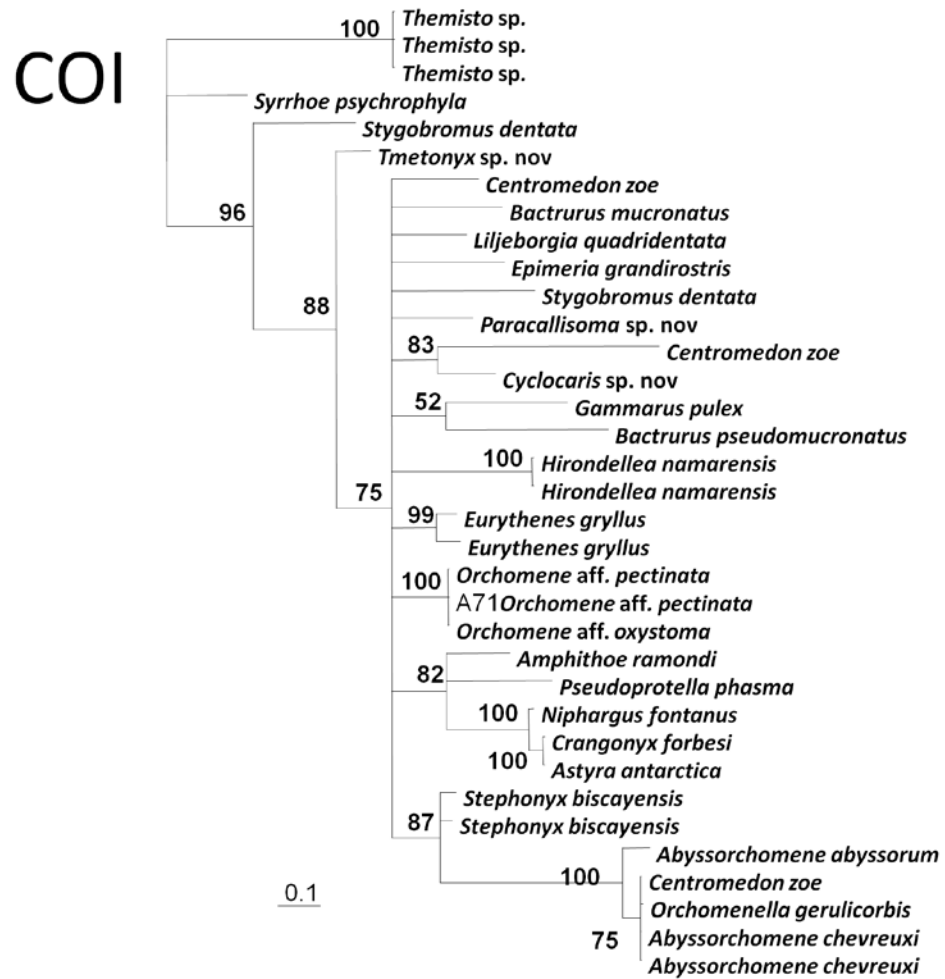


28S



H3





Supplement References

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