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1 **Use of Geographic Information Systems (GIS) for aquaculture and recommendations** 2 **for development of spatial tools**

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7 **Abstract**

8 There are many spatial issues associated with aquaculture which must be understood in order
9 to support sustainable development and mitigate other potential issues. Geographic
10 Information Systems (GIS) are used for investigation, analysis and modelling of aquaculture
11 and there have been a considerable number of studies since the late 1980's. However, despite
12 the range of applications, GIS is still often underutilised and stakeholders have requested more
13 GIS-based tools to support management and regulation of the sector. Consequently, there is a
14 need to establish a knowledge base of existing applications and identify the challenges and
15 opportunities to encourage development of tools that address user needs. This study presents a
16 quantitative analysis of primary scientific literature, focusing on over 200 studies, to enable a
17 comprehensive overview of the application of GIS and the trends associated with its use for
18 aquaculture. Furthermore, there is a detailed assessment of the considerations when developing
19 GIS-based tools for aquaculture which culminates in five key recommendations regarding 1)
20 Usability of the tool, 2) Data requirements, 3) Accessibility to end user, 4) Capabilities and
21 training requirements, and 5) Longevity of the tool. These recommendations can guide future
22 development and application of tools to support aquaculture planning and management and
23 assess spatial issues relevant for the sector.

24

25 **Key words: Aquaculture, Decision support, Geographic Information Systems, Location,**
26 **Spatial, Tool**

27

28 **1. Introduction**

29 From farm to fork, throughout the value chain, many aspects of aquaculture have a spatial
30 element. Key decisions in the planning phase regarding what site, species, system and
31 technology to use are outlined by geographical issues. These include the heterogeneity of
32 natural resources (Sequeira et al., 2008; Silva et al., 2011), the physical environment (Falconer
33 et al., 2013a), social aspects such as effects on visual amenity (Pérez et al., 2005; Falconer et
34 al., 2013b), job creation and improved livelihoods, and economics, such as market access (van
35 Brakel and Ross, 2011). In operation, aquaculture management practices and production cycles
36 have spatial or spatio-temporal differences that affect the quantity, quality and profitability of
37 the farmed product. Moreover, there is frequently a spatial element to health and welfare issues,
38 such as the spread of disease (Tavornpanich et al., 2012). Consequently, the development of
39 cost-efficient sustainable aquaculture is dependent on spatial analysis for environmental
40 impacts, optimising productivity and day-to-day management.

41 It is of utmost importance to understand the spatial issues associated with aquaculture because
42 for the foreseeable future aquaculture is expected to continue to expand, intensify and increase
43 production (FAO, 2018), while other activities will also compete for the finite space and
44 resources (Godfray et al., 2010). In addition, ambitious plans for Blue Growth require spatial
45 management of multiple interacting economies (Klinger et al., 2018). Consequently, to ensure
46 sustainable planning and management of aquaculture, spatial issues must be investigated,
47 analysed and assessed. Though there are several ways to achieve this the most commonly used
48 is Geographic Information Systems (GIS). GIS can be broadly defined as an organised

49 collection of computer hardware, software, people and organisational infrastructure that
50 enables the acquisition and storage of geographic and related attribute data for processing,
51 analysis, synthesis and visualizing spatial information (Kennedy, 2013; Longley et al., 2015).
52 Use of GIS can range from simple spatial queries to more complex analysis and modelling
53 (Longley et al., 2015; Falconer et al., 2018) and often the process and outcomes are used in
54 decision support, allowing stakeholders to make informed choices.

55 The use of tools and models for aquaculture decision-making varies throughout the world,
56 leading to inconsistent approaches to aquaculture management and regulation, which can affect
57 aquaculture development and sustainability. In recent years there has been increasing use of
58 GIS for aquaculture and many, including the Food and Agriculture Organization of the United
59 Nations (FAO), recognise GIS as an important tool for the sector (Aguilar-Manjarrez et al.,
60 2008; Ross et al., 2013). Nevertheless, GIS is still often underutilised, and the wide range of
61 potential applications of the technology is not fully exploited, particularly as a statutory tool
62 for management and regulation.

63 A recent consultation on aquaculture licensing and regulation found that many European
64 aquaculture stakeholders would like more GIS-based tools available for aquaculture planning
65 and management (Kane et al., 2017), suggesting that existing applications are insufficient, not
66 easily accessible or stakeholders are not aware of what is available. The European Commission
67 has also identified availability of space and conflict with other users as limiting factors to
68 sustainable development of European aquaculture and coordinated spatial planning is one of
69 the four priority areas that must be addressed (European Commission, 2013).

70 Clearly, there is a need to assess how GIS has been used for aquaculture so that existing or
71 potential applications that support sustainable planning and management can be identified and
72 made more widely available. However, to date, though there have been several general

73 overviews and reviews of GIS use for aquaculture (including Nath et al., 2000; Ross, et al,
74 2009; Aguilar-Manjarrez et al., 2010; Falconer et al., 2018), there has been little quantitative
75 assessment of primary scientific literature regarding its application. Consequently, while it has
76 been demonstrated since the mid-1990s that GIS is useful for aquaculture development, it is
77 timely to analyse the scientific knowledge base and to evaluate how GIS-based tools can be
78 developed and made more widely available for stakeholders to use.

79 The aims of this study were to 1) examine primary scientific literature in the form of peer-
80 reviewed journal articles to identify and quantify the trends associated with the use of GIS for
81 aquaculture, and 2) to evaluate the use of GIS as a tool for aquaculture stakeholders and make
82 recommendations for its future tool development and application for aquatic food production.

83

84 **2. Assessment of primary scientific literature**

85 **2.1 Methodology and scope**

86 The literature search followed guidance set by Preferred Reporting Items for Systemic Reviews
87 and Meta-Analysis (PRISMA) (Moher et al., 2009) and an overview of each step is provided
88 in Figure 1. A search of the Scopus database using the terms ‘GIS’ AND ‘aquaculture’ in ‘All
89 fields’ from the earliest record until the end of 2016 revealed 2511 items. The search used ‘All
90 fields’ to allow for a greater search extent as this also searched the associated reference lists.
91 To allow for journals that were not indexed in the Scopus database, a second search was
92 conducted, using the Web of Science database and the terms ‘*GIS*’ AND ‘*aquaculture*’ in the
93 abstract, keywords, title and topic. The Web of Science search revealed 326 items. All searches
94 were restricted to peer reviewed journal articles that were written in English. After duplicates
95 (186 items) were removed, there was an initial screening of the title and abstract and items
96 outside of the topic area of “aquaculture and GIS” were rejected from the process. Review

97 articles were also excluded. In the final eligibility assessment, the full text of the remaining
98 435 articles was assessed to identify those that would be considered within the study.

99 This study focused on the application of GIS software so although spatial analysis can be
100 performed using other programmes and software environments, only studies that made specific
101 reference to GIS and GIS software were included. Furthermore, it must be acknowledged that
102 the usual caveats apply and studies may have been missed due to the limitations of the search
103 and database contents. Nevertheless, the results from the search are based on a substantial
104 sample that provides an overview and assessment of the trends of GIS use for aquaculture.

105

106

107 **2.2. Overview**

108 At least 211 journal articles published between 1988 and 2016, involved the use of GIS to
109 assess or study an aspect(s) of aquaculture (Appendix I). Of these studies, aquaculture was the
110 primary focus for 139 articles (66%), while the remaining 72 articles (34%) included
111 aquaculture in their analysis, but it was not the main focus. The articles were published in 101
112 journals, although 64 journals only published a single study. Most of the journals were in the
113 research areas of aquaculture, environment or marine science. Two journals, Aquaculture and
114 Ocean & Coastal Management, were the dominant titles publishing 19 and 17 articles
115 respectively.

116 The earliest publication found in the search was from 1988 (Kapetsky et al., 1988). The increase
117 in GIS publications from 1988 onwards could have been driven by several factors, including
118 growth of aquaculture production and also technological advances which made GIS software
119 more accessible and easier to use. . It must also be acknowledged that other studies that were

120 not published in primary scientific literature, and not included in this analysis, have also
121 contributed to this area of research (e.g. Kapetsky et al. 1987).

122 Some articles (n=49, 23%) did not identify the GIS software that was used, while others used
123 several different softwares in the same study, but the most common software provider was
124 ESRI [ESRI, Redlands, California, USA] as ArcINFO or a version of the ArcGIS suite was
125 used in at least 120 (57%) studies. Another notable software provider is Clark Labs as IDRISI
126 [Clark Labs, Worcester MA, USA] was used in at least 24 (11%) studies. Although many used
127 commercial software it was not always the most up to date version for that time; this could be
128 due to familiarity with older versions or the cost of upgrading. In recent years free and open
129 source GIS have become more popular (Longley et al., 2015), examples found in the search
130 being QGIS [QGIS development team, www.qgis.org] (Brigolin et al., 2015; Dapuetto et al.,
131 2015; Ramos et al., 2015) and SPRING GIS [Brazilian National Institute for Space Research
132 (INPE), São Paulo, Brazil] (Santos et al., 2014; Viridis et al., 2014).

133 Most articles (n = 206, 98%) focussed on a study area(s) in one country, although six studies
134 (3%) considered multiple countries, either as separate case studies (Sequeira et al., 2008; Liu
135 et al., 2014), or as part of a regional (Giakoumi et al., 2013; Hofherr et al., 2015) or global
136 analysis (Campbell and Pauly, 2013). As the focus of the present study was on aquaculture,
137 where the research presented had additional case studies for other sectors (e.g. Tammi and
138 Kalliola (2014)), then only the aquaculture case study was considered. One study, Moreno
139 Navas et al. (2012), did not specify a country or area and instead described a neuro-fuzzy
140 classification method within GIS that was used to determine environmental vulnerability of
141 coastal aquaculture.

142 Ignoring the regional and global analyses, in total there were study areas in at least 44 countries
143 throughout the World (Figure 2). The administrative boundaries in Figure 2 were obtained from

144 Eurostat (European Commission Eurostat, 2017), the statistical office of the European Union,
145 so the countries had to correspond to those recognised in the shape file. For this reason, in
146 Figure 2 the six articles (Ross et al., 1993; Pérez et al., 2002; Corner et al., 2006; Sequeira et
147 al., 2008; Falconer et al., 2013ab) that had a study area in Scotland were listed under the UK
148 and the three articles (Tsai et al., 2006; Shih et al. 2009; Liang et al. 2010) that had a study area
149 in Taiwan were listed under China. Four studies (Pérez et al., 2003abc, 2005) that focused on
150 Tenerife and one study (Micael et al., 2015) that considered the Azores Archipelago were listed
151 as Spain and Portugal, respectively, as autonomous regions were not delineated in Figure 2.

152 The geographic spread of studies does not necessarily reflect those areas most in need of GIS-
153 based decision support, e.g. to support site selection, conflict resolution or assess
154 environmental impacts. Over half of the studies considered an area within Asia, with China,
155 India and Vietnam having the most studies (29, 23 and 14 respectively). The high number of
156 studies for these countries may be understandable given their major role in aquaculture
157 production; in 2015 these countries were first, third and fourth, respectively, with regard to the
158 highest aquaculture production by volume (FAO, 2017). However, it is also noticeable that
159 some countries with high production levels (for example Norway and Egypt) were not a key
160 focus for the published scientific studies. Of course, there may be GIS applications and tools
161 that have been developed for these countries, but they may not necessarily have been published
162 in scientific literature or shown up in the database search. However, it must be acknowledged
163 that the focus of scientific studies is not necessarily driven by stakeholder needs, there are other
164 factors that will influence such as funding requirements and scientific interest. Therefore, the
165 results may be skewed by the interests of researchers who may focus on an area or topic, for
166 example Tenerife was a key focus for a number of studies (Pérez et al., 2003abc, 2005).

167 Most studies reviewed (n = 199, 94%) focused on a sub-national scale, often a waterbody or
168 coastal area. The paucity of studies considering national or international scale could be a

169 reflection of data availability and potential applications. National or international scale
170 assessment is likely to have coarse resolution due to the spatial extent covered. Such assessment
171 is useful for a general overview, assessment of trends or scenarios, large scale planning or for
172 potential development support but more specific spatial assessment for most decision-making
173 purposes would normally have to be at a more local scale with higher resolution. Some studies
174 (e.g. McLeod et al., 2002) employed a multi-stage approach which considered multiple spatial
175 scales, which may be a useful approach for end users.

176

177 **2.3. Types of study**

178 The articles were sub-divided into nine thematic groups relevant to aquaculture, based on their
179 aims and content (Table 1). More than one theme may have been applicable to some of the
180 studies but to avoid confusion each study was only assigned to the most predominant group.
181 The leading categories were ‘Site suitability and site selection’, ‘Temporal Change’ and
182 “Environmental impact”, with 73, 52 and 28 studies, respectively, accounting for more than
183 two-thirds of all studies. There were 11 articles that did not fit into any of the designated
184 categories, so they were assigned to a more generic group ‘Other’. Between 2000 and 2016 the
185 number of articles and the range of thematic groups increased considerably, and more than half
186 of all studies were published in or after 2012 (Figure 3).

187 The studies covered a range of different aquaculture systems and species. Figure 4 highlights
188 the different aquaculture systems covered by articles in the three main thematic groups.
189 Shellfish, marine cage and pond aquaculture dominate the site suitability and site selection
190 studies, although in recent years there have been wider applications and interest appears to be
191 developing towards aquatic plants and microalgae. Most temporal change studies focused on
192 pond systems (n = 34, 65% of this Type), and while some of the studies (n = 18, 35% of this

193 Type) did not distinguish the type of aquaculture system, it is likely that most of these studies
194 also considered pond culture. Ponds and marine cages were the main foci for environmental
195 impact studies, although three studies considered shellfish and there were four studies that did
196 not specify a type of aquaculture.

197

198 **2.3.1. Site suitability and site selection**

199 The suitability of a site for aquaculture production is of fundamental importance and GIS is
200 ideally suited for assessment (Falconer et al., 2018). It is not surprising therefore that the ‘Site
201 suitability and site selection’ category relates to largest group of studies (n = 73, 35% of total)
202 reviewed. Site suitability and site selection were also the earliest studies found in the wider
203 dataset, with five articles being published between 1988 and 1995. However, more than half of
204 the site suitability and site selection studies (n = 38, 52% of this Type) were published after
205 2010 testifying to the continued and ever-increasing use of GIS for this topic. Most site
206 suitability and site selection articles (n = 70, 96% of this Type) considered a sub-national study
207 area, focusing on coasts, catchments or administrative divisions. Only three studies (4% of this
208 Type) considered site suitability at a national level, and no studies considered an international
209 scale across multiple countries.

210 Most studies found in this category focused on the development of a site selection model,
211 though the type of parameters and number of spatial layers included within the models varied
212 greatly. This is expected as there are no standardised frameworks for developing site selection
213 models using GIS. However, some studies were adaptations of existing models, for example
214 the site selection model developed by Radiarta et al. (2008) was used and adapted by other
215 studies including Liu et al., (2014, 2015) and Aura et al. (2016). New iterations of a model can
216 be useful, particularly as new data, technology and knowledge becomes available. However,

217 updates and adaptations must be clearly stated, and justification is required as to why the
218 original model needed a revision, otherwise there may be confusion. This suggests the need for
219 a common framework for site selection modelling, which includes information for working at
220 different scales and location specific criteria. However, data are often the limiting factor for
221 the application of GIS models for site selection as data may not be available, the quality may
222 be poor or the spatial and temporal resolution not appropriate. In the studies within the site
223 selection and site suitability category, data sources included use of existing and available data,
224 fieldwork measurements and earth observation data.

225

226 **2.3.2. Temporal change**

227 There were 52 studies (25% of the total) found on temporal change, the earliest was from 2000,
228 but the majority (n = 35, 67%) were published from 2010 onwards. Most (n = 51, 98%) had a
229 study area at a sub-national scale, focusing on a catchment or coastal area. The main type of
230 change considered was general land use variation associated with pond production, followed
231 by studies that specifically focussed on mangrove utilisation mostly associated with shrimp
232 culture. The latter is not surprising since this is one of the main concerns regarding impacts of
233 shrimp aquaculture development (Naylor et al., 2000).

234 Almost all temporal change studies (n = 50, 96%) used satellite data, although some studies
235 used a combination of aerial photographs or maps in addition to satellite data. Data obtained
236 from Landsat were the most popular with at least 38 (75%) studies using at least one scene
237 from one of the Landsat satellite sensors. The popularity of Landsat is likely due to it being the
238 first, and the longest, earth observation (EO) programme designed to collect data about natural
239 resources so there is an extensive archive covering over 40 years, from the original Landsat-1
240 to the most recent Landsat-8 mission (Lillesand et al., 2015). Consequently, Landsat data are

241 very useful for monitoring temporal change over many years. Although the resolution varies
242 between the satellite sensors, Landsat is considered a moderate resolution system (when
243 moderate is defined as 4m – 80m) (Lillesand et al., 2015), and this resolution is useful for
244 monitoring changes across catchments or coastal areas. Significantly, the United States
245 Geological Survey (USGS) have made the full data publicly available and downloadable at no
246 cost since 2008 (Lillesand et al., 2015). This may also be a reason for the popularity of Landsat
247 use in temporal change studies for aquaculture; 33 out of the 38 studies which used Landsat
248 were published after 2008. However, this may change in the future with consideration of
249 charges to access Landsat satellite data (Popkin, 2018). Other options include the free and open
250 data from the recently launched Sentinel satellites which are part of the Copernicus programme
251 (Aschbacher, 2017).

252

253 **2.3.3. Environmental impact**

254 Environmental impact is a key area for regulation and management of aquaculture. GIS can be
255 advantageous as a framework for decision support tools as many aspects of environmental
256 impact have a spatial element. There were 28 studies (13% of the total) grouped in the
257 ‘Environmental impact’ theme. The earliest study was published in 2001, but more than 60%
258 (n = 17) in this group were published after 2010. All had a sub-national scale, focusing mainly
259 on ponds and marine cages (Figure 4), and a broad range of topics were covered, including
260 waste dispersion, salinization of land and groundwater, and nutrient loading.

261 Most of the studies in this category differed from one another in nature and it was not possible
262 to generalise their data use and/or methodology. Even when focusing on a similar topic such
263 as waste dispersion from marine cages there were differing approaches. Pérez et al., (2002)
264 combined a spreadsheet-based model with GIS to estimate the distribution of particulate waste

265 from marine fish cage sites, whereas Corner et al. (2006) developed a fully integrated GIS
266 model using a specific software module. However, the dynamic nature of the marine
267 environment can be difficult to model solely in GIS, so Tironi et al. (2010) and Moreno Navas
268 et al. (2011) both employed more complex approaches involving 3D hydrodynamic models,
269 particle tracking and GIS to estimate waste distribution from cage aquaculture and implications
270 for the wider environment. It can be useful to integrate GIS into a wider framework with
271 multiple components in this way, as the strengths and limitations of each can be matched and
272 the overall outcome improved. This is not just advantageous for environmental impact studies
273 as similar approaches were evident in other thematic groups, where studies such as Nocchi and
274 Salleolini (2013) and Ferreira et al (2014, 2015) used a combination of models and software in
275 addition to GIS. However, there is also a risk of increasing complexity which could limit
276 potential applications beyond a specific study area.

277

278 **2.3.4. Remaining thematic groups**

279 Although site selection, temporal change and environmental impact studies dominate the
280 primary scientific literature, applications have become more diverse in recent years (Figure 3).
281 For example, between 2012 and 2016, six studies (3% of the total) were published on
282 ecosystem services, suggesting the use of GIS to evaluate aquaculture and ecosystem services
283 could be an emerging area of interest and follows the similar increasing trend of the broader
284 ecosystem services discourse noted by Chaudhary et al. (2015). Furthermore, it is apparent
285 from the wide range of studies within the 'Other' category that more thematic groupings could
286 emerge in the future as more studies are published.

287

288 **3. Use of GIS as tool for aquaculture stakeholders**

289 It is clear that GIS has many advantages for aquaculture stakeholders, notably the ability to
290 process and store a vast range of data sources, resolutions and time-series data (Falconer et al.,
291 2018). Consequently, GIS can be used efficiently and effectively to explore spatial and
292 temporal aspects of aquaculture, linking between biology, physiology, environment,
293 production systems, legal frameworks, socio-economics and infrastructure.

294

295 **3.1. Availability and need for GIS-based tools**

296 A tool is something that enables a user to perform a task or particular function in order to
297 answer questions. GIS can be used as a tool to explore, analyse and model spatial issues, and
298 it can also be used to develop bespoke, fixed and standalone tools (Longley et al., 2015). While
299 both uses are important for aquaculture planning and management, arguably the former is more
300 useful for academic researchers as this provides the flexibility to explore a research question,
301 while the latter is more beneficial to aquaculture stakeholders as the tool will have been
302 designed for a specific purpose and does not necessarily need advanced technical skills. During
303 a consultation on European aquaculture licensing and regulation, stakeholders requested more
304 such GIS-based tools to assist the decision-making process (Kane et al., 2017).

305 The assessment of primary scientific literature revealed that most studies used GIS as a tool to
306 investigate a research question or issue, with fewer examples using GIS to develop a tool for
307 use by stakeholders. Where GIS was used for tool development this was rarely developed to a
308 fully usable and functional end-product, though there will be indirect influences on non-
309 academic or commercial applications. Nevertheless, the findings of the primary literature
310 assessment, together with the results of the stakeholder consultation (Kane et al., 2017), suggest
311 there is a gap between scientific research and development for practical, GIS-based end-user
312 tools.

313

314

315 **3.2. Considerations when developing a GIS-based tool**

316 **3.2.1. Stakeholder needs and tool capabilities**

317 Developing a GIS-based tool can be a challenging and time-consuming task but there are some
318 steps that can make the process more efficient and should lead to better uptake by stakeholders.
319 First and foremost, the developer must determine the overall purpose of the tool and the
320 intended users as this will influence how the tool is structured and how it can and should be
321 operated. It is vital to consider the capabilities of the end user and training requirements as
322 issues can arise through misuse of a GIS tool by individuals operating without the necessary
323 skills or knowledge (Longley et al., 2015). GIS-based tools can be targeted to focus on a
324 specific purpose, so it is important to define the aim, as well as the intended function to allow
325 appropriate use by stakeholders. Part of the process should include a review of existing tools,
326 to ensure any new or improved tools are building on existing approaches or filling gaps and
327 not simply duplicating previous efforts unnecessarily.

328 Research in other sectors has shown that ease of use, cost-effectiveness, performance and
329 relevance are amongst the most important factors for end users (Hochman and Carberry, 2011;
330 Rose et al., 2016). Stakeholder needs, and the capabilities of technology and developers, should
331 be defined from the start to avoid unrealistic expectations. Throughout the development
332 process, a continuous focus on user needs should ensure the tool is relevant and useful. To
333 facilitate this, it may be useful to implement the design thinking method where developers
334 follow a process which focuses on the needs and perspectives of users (Goodspeed et al., 2016).
335 This approach can be adapted for aquaculture (Table 2). The advantage of design thinking is
336 that it provides a structure and clear agenda for the entire tool development process (Goodspeed

337 et al., 2016). Empathising with users at the start of the project is essential to understanding
338 their needs and requirements. At this stage the developer can also ascertain the technical skills
339 and knowledge of the users. Following the consultation, the developer must define the scope
340 of the tool, before embarking on a creative, brainstorming process where potential ideas are
341 discussed and prioritised. While there may be clear goals and ideas regarding the structure and
342 content, it is important to allow new or different ideas to be explored at this stage as this there
343 could be a simple or innovative solution for a more efficient and useful tool. A prototype
344 should be designed, with stakeholder consultation as part of the process, and then tested with
345 users, allowing time to refine the tool based on feedback. This process will require time,
346 resources and effort from developers and users (Goodspeed et al., 2016), but the investment
347 will usually be rewarded at the end with a tool that addresses the needs of the stakeholders and
348 therefore is more likely to be used.

349

350 **3.2.2. Data**

351 Data are at the heart of a decision-making tool. However, data collection can be costly and
352 there are always trade-offs between the data that should be collected and the data that can
353 realistically be obtained. In the case of aquaculture, there may be commercial confidentiality
354 associated with data which may affect any analyses or development of a tool, particularly if
355 that tool is designed to be widely available. Online repositories, often backed by national
356 governments and international organisations, can be an extremely valuable data source but
357 there is still a need to consider the data quality and the appropriateness within an application
358 as the data may have originally been produced for a different purpose. Data should always be
359 accompanied by documentation, known as metadata, that describes the dataset and includes
360 key information such as age, ownership, quality and any restrictions for use (Maguire and

361 Longley, 2005), and there are established standards for this (ISO2014ab). It is important to
362 clearly outline any data restrictions or issues with data quality within the metadata to prevent
363 misuse. In some cases, ethical and legal issues could arise due to errors in the data or if data
364 are used incorrectly within a tool as part of the decision making process, and there are debates
365 regarding who would be accountable, responsible and ultimately liable for such issues
366 (Goodman, 2016). This may be particularly relevant if tools are employed as part of a
367 regulatory process or to make financial decisions and misuse leads to a breach of compliance,
368 unacceptable impacts or monetary losses. Therefore, caveats and disclaimers play an important
369 role, yet it is also necessary to strike a balance as too many warnings will render the data
370 unusable.

371 Open data provides increased transparency, reduces duplication of efforts and facilitates
372 collaboration (Pfenninger et al., 2017). However, while this is the ideal situation, particularly
373 in an academic setting where it is also often a requirement of funding bodies (Fecher et al.,
374 2015), in reality for applications that will be used by industry, the situation is more complicated
375 and open data may not be achievable. When using data from other sources it is vital to comply
376 with the associated terms and conditions. In many cases datasets are available for educational
377 use or non-commercial applications which could limit their use in industry tools. So there may
378 be a need to reach an agreement, perhaps for a one-off or subscription fee, with the original
379 owner or provider of the data. This is a barrier to many scientific tools becoming commercial
380 realities. For some aquaculture applications, data may be commercially sensitive and there may
381 be security and privacy risks if data is not secured properly (Zissis and Lekkas, 2012). Data
382 providers and/or end users will need strong assurances and guarantees that any confidential
383 information is stored and used in an appropriate manner.

384 As with any application, if the data are not fit for purpose then, regardless of how simple or
385 sophisticated the tool is, it will be of limited use and the outputs may be misleading. Errors

386 introduced in the data acquisition stage can propagate throughout analyses, affecting the output
387 (Biljecki et al., 2018). When developing a tool, a developer has a choice to either populate a
388 tool with some or all of the necessary data or allow the user to input their own data. In some
389 cases, the former is suitable for a regulatory decision-making environment, but it may lack the
390 flexibility required to investigate alternative scenarios. As with agriculture (Rose et al., 2016),
391 if end users are unable to tailor a tool to their own needs then they may find it irrelevant, but
392 this is something that should be identified during the development phase (Table 2).

393

394 **3.2.3. Accessibility and longevity**

395 Accessibility and longevity are important factors in the use and acceptability of tools. A tool
396 must be made available in an appropriate format for stakeholders to use, but there are different
397 ways to develop a GIS-based tool for different purposes and the lifespan of a tool may also
398 vary. Some tools have been developed as add-on modules for specific GIS software. For
399 example, Corner et al. (2006) developed a GIS-based waste dispersion model that was
400 developed as a module for the IDRISI GIS software. However, this relies on the user having
401 access to that specific software and there may be compatibility issues with future versions of
402 the software. If GIS based tools have been developed as a commercial product then there is
403 often a support package included or available as an add-on, this can be extremely valuable for
404 end users as usually advice and solutions can be provided for troubleshooting, bug fixing and
405 general enquiries. Although often associated with a fee, the user has the assurance that there
406 is help if required and this increases the overall accessibility of the tool.

407 Web-based tools can be useful. However, they must be maintained, which may require time
408 and resources beyond the initial lifespan of a project. It is also important to ensure that once a
409 GIS-based tool is made available via the web it is necessary to ensure the content is relevant

410 and up to date, this is particularly important if the tool is freely available and open to all
411 stakeholders. Increasingly, online data portals and web-based services are becoming a popular
412 way to share GIS outputs (Longley et al., 2015) but if they are operated by another organisation
413 the original developer may have limited options for maintenance and over time such platforms
414 may change, or the content may become inaccessible. Bricker et al. (2016) added a GIS layer
415 to an existing web-based GIS tool for aquaculture site selection. However, the links provided
416 are no longer active.

417

418 **4. Future of GIS and aquaculture**

419 GIS has evolved considerably since the 1980's when it was first used for aquaculture. While
420 once GIS was reserved for technical specialists with access to heavy duty computing power, it
421 is now far more accessible and used for many different purposes by users and developers with
422 varying degrees of expertise (Longley et al., 2015). Most smartphones and tablets now have
423 the capability to operate as a mobile GIS device, moving GIS from the office and out into the
424 field (or farm) and can be an efficient way of collecting spatial data and performing a quick
425 analysis or visualization. This is particularly useful for stakeholders with limited time and
426 resources. Dedicated GIS software are regularly updated with new features and specific
427 modules. In recent years, the rise of open source GIS software such as QGIS has encouraged
428 the development of plugins that can be used for a particular purpose. For aquaculture there is
429 the potential to develop something specific or use broader applications such as QSWAT (Dile
430 et al., 2018), which could offer potential solutions for catchment-based management within a
431 GIS environment. Furthermore, GIS is commonly complemented by other software and
432 programming languages. Python has been used for a number of years and is firmly integrated
433 within the ArcGIS suite enabling quick and efficient data manipulation and automation of

434 routines (Zandbergen, 2014), however R also has growing library of spatial packages and its
435 strong statistical capabilities make it very useful for processing and analysing spatial data
436 (Brunsdon and Comber, 2015).

437 Potential data sources are also increasing and becoming more diverse. Existing sources of data,
438 such as remote sensing and EO, are more popular and widespread than ever before, and the
439 resolution and frequency of data continues to improve (Palmer et al., 2015; Aschbacher 2017).
440 Novel approaches, such as the use of citizen science, where researchers collaborate with the
441 public, are being used more and more to collect data that would otherwise be too costly or time
442 consuming to obtain by a small team (Brewin et al., 2017; Støttrup et al., 2018). However, this
443 must be carefully managed as there can be issues with engagement, training and data quality
444 (Kosmala et al., 2016). The integration of near and real-time data with GIS can be a powerful
445 way of assessing impacts (Qin et al., 2017) or potential hazards (Lagmay et al., 2017) and
446 allowing action to be taken. However, although technological advances must be welcomed and
447 embraced, care must be taken as there can be unintended consequences from reacting too
448 quickly to real-time spatial information (Miller, 2018). Context is key and in most cases people
449 should use the data and analysis to make the final decision, rather than automate the process.

450 Increasingly the world is connected via the internet. The Internet of Things (IoT), is a broad
451 term used to refer to the extension of the internet to physical items and ‘smart objects’ which
452 are all connected and exchange data and information continuously (Miorandi et al., 2012;
453 Gubbi et al., 2013). This offers potential for collection of spatial data, automated spatial
454 analysis and real-time decision making (Nourjou and Hashemipour, 2017) that could facilitate
455 aquaculture planning, management and even emergency response. However, it is also
456 important to note that in many parts of the world aquaculture is practiced in rural and often
457 poor communities which remain unconnected to the virtual world. Thus, while IoT offers

458 exciting development for some parts of the sector, there are other farming systems that have
459 more basic requirements and any GIS-based tools would have to take this into consideration.

460

461 **5. Conclusions and recommendations**

462 The world is facing unprecedented challenges in the face of the growing human population and
463 climate change. Space and resources are already limited and competition amongst users will
464 only continue to increase. Spatial issues must be explored and analysed to ensure aquaculture
465 is planned and managed appropriately. The review of primary scientific literature has shown
466 that GIS can play a valuable role in aquaculture planning and management and the number and
467 types of studies have increased considerably as production has grown.

468 The most common GIS applications in the present study were related to site suitability and site
469 selection, temporal change and environmental impact. These are certainly key to effective and
470 efficient aquaculture production and increasing environmental sustainability. However, at
471 present there seems to be inconsistent use of GIS technology and its application of data
472 collected for this purpose, resulting in the outcomes and decisions made also being inconsistent
473 and variable in usefulness. Therefore, there are a number of recommendations which can be made
474 from the present study outcomes to help address this situation:

475 **Recommendation 1 (Usability of the tool):** The use of GIS for spatial planning for
476 aquaculture development is important. However, effort is needed by developers to ensure that
477 the tools developed are relevant to the activity and stakeholders needs, that they are made
478 available in a form useable to the end-user and can be tailored to the end-users needs. This is
479 where a design thinking method can be useful (see Table 2) to account for the What, Why and
480 How the system will be used. This suggests that there could be some methodological
481 development of frameworks to guide different GIS activities and uses.

482 **Recommendation 2 (Data requirements):** All studies show that the major limiting factor
483 regarding the use of GIS for aquaculture is data. Data availability, data quality and data
484 suitability affect any application and use in a tool. If the data are not fit for the desired purpose,
485 then the application will be inappropriate and its use as a tool could result in misleading outputs.
486 Therefore, in order to increase the number of GIS-based tools, existing and newly collected
487 data should be evaluated according to the following criteria and used accordingly:

- 488 • It must be available and used at an appropriate spatial scale for the decision reached to
489 be meaningful.
- 490 • It must be of a suitable quality to fulfil the requirements of the tool and decisions
491 reached.
- 492 • It must be up-to-date enough to fulfil the requirements of the tool and decisions reached.
493 For example, online data portals and shared information can quickly go out of date.
- 494 • The data provider should ensure that sufficient information is made available for a user
495 to determine if that data is useful.
- 496 • The tool developer and/or user has a duty to ensure the information used in the tool is
497 appropriate for the decisions to be taken.

498 **Recommendation 3 (Accessibility to end user):** Tools must be made accessible in a format
499 which the end user can or has the ability to employ. This will encourage uptake of the tools
500 for decision making and ensure the decisions are appropriate for a particular situation. For
501 example, it would be inappropriate to use IoT to develop a sophisticated real-time GIS based
502 flood risk model if the community does not have sufficient access to the internet.

503 **Recommendation 4 (Capabilities and training requirements):** Capabilities of the end user
504 for use of a tool should be considered to prevent misuse and mis-interpretation of the outcomes.
505 Consideration of training requirements to use any developed tool should be considered at

506 inception. Clearly this is linked to the end-point and technical sophistication of the
507 tool/software and what the end-point of the tool is. Consequently, tools should only be used by
508 end-users with appropriate knowledge to use and apply the tool.

509 **Recommendation 5 (Longevity of the tool):** Maintenance of the GIS tool is an important
510 factor to consider at its inception. Sophisticated and well-designed GIS web-tools are of little
511 use if there is no provision made for their maintenance after developed. Circulated software of
512 add-in based tools must also be updated to allow for new underlying software developments
513 and data formats.

514 In conclusion, it is expected that academic studies in the use of GIS and aquaculture will
515 continue to follow the trend of increasing in number and type. However, further work is needed
516 to bridge the gap between scientific studies and user needs. The tools that are most useful for
517 aquaculture producers may not necessarily require state-of-the-art technology and should
518 instead focus on how to address the user needs, efficiently solve the problem or make the
519 decision in the most cost-effective way. Moreover, the recommendations outlined here can be
520 used to guide the process. Spatial issues must be at the forefront of aquaculture planning and
521 management. Without doubt, studies which focus on pure intellectual challenges and those
522 which are more applied both have a valuable role to play in understanding and analysing the
523 spatial issues associated with aquaculture. This will support the sector to maximise its
524 contribution to food and nutritional requirements, minimise environmental impacts and
525 manage use of resources.

526

527 **Acknowledgements**

528 This work has been conducted as part of the Tools for Assessment and Planning of Aquaculture
529 Sustainability (TAPAS) project which has received funding from the EU H2020 research and
530 innovation programme under Grant Agreement No 678396.

531

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785 **Appendix I**

786

787 List of papers that were used in the assessment of primary scientific literature.

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1426 Table 1: Primary scientific literature categorised by thematic group

Type of study	Number of articles
Site suitability and site selection	73
Temporal change	52
Environmental Impact	28
Risk to aquaculture	11
Inventory and mapping	11
Spatial conflict and planning	10
Ecosystem services	6
Animal and human health	5
Livelihoods and socio-economic issues	4
Other	11

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1447 Table 2. Adapting design thinking to Aquaculture GIS tools (after Goodspeed et al, 2016).

	Empathize	Define	Ideate	Prototype	Test
What	Observe, listen to and engage with users, to obtain clear knowledge of their needs	Define bottlenecks and problems to be solved	Brainstorm ideas for tool development. Prioritize	Create physical representation of the tool	Develop prototype
Why	Ensure you know users needs	Focus on the problem the tool shall solve	Give all creative ideas a chance	First test and feedback from users	Second test and feedback from users
How	Workshops, interviews	Analyses of interviews, workshop		Create wireframes	Working online prototype to share with test group

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1467 **Figure legends**

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1469 Figure 1: Overview of the literature search and identification of articles on GIS and
1470 aquaculture for further analysis based on the guidance set by Preferred Reporting Items for
1471 Systemic Reviews and Meta-analysis (PRISMA) (Moher et al., 2009)

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1474 Figure 2: Number of articles and location of study area. © EuroGeographics for the
1475 administrative boundaries.

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1477 Figure 3: Number of articles published each year in the thematic groups

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1479 Figure 4: Type of aquaculture system featured in site suitability and site selection, temporal
1480 change and environmental impact studies

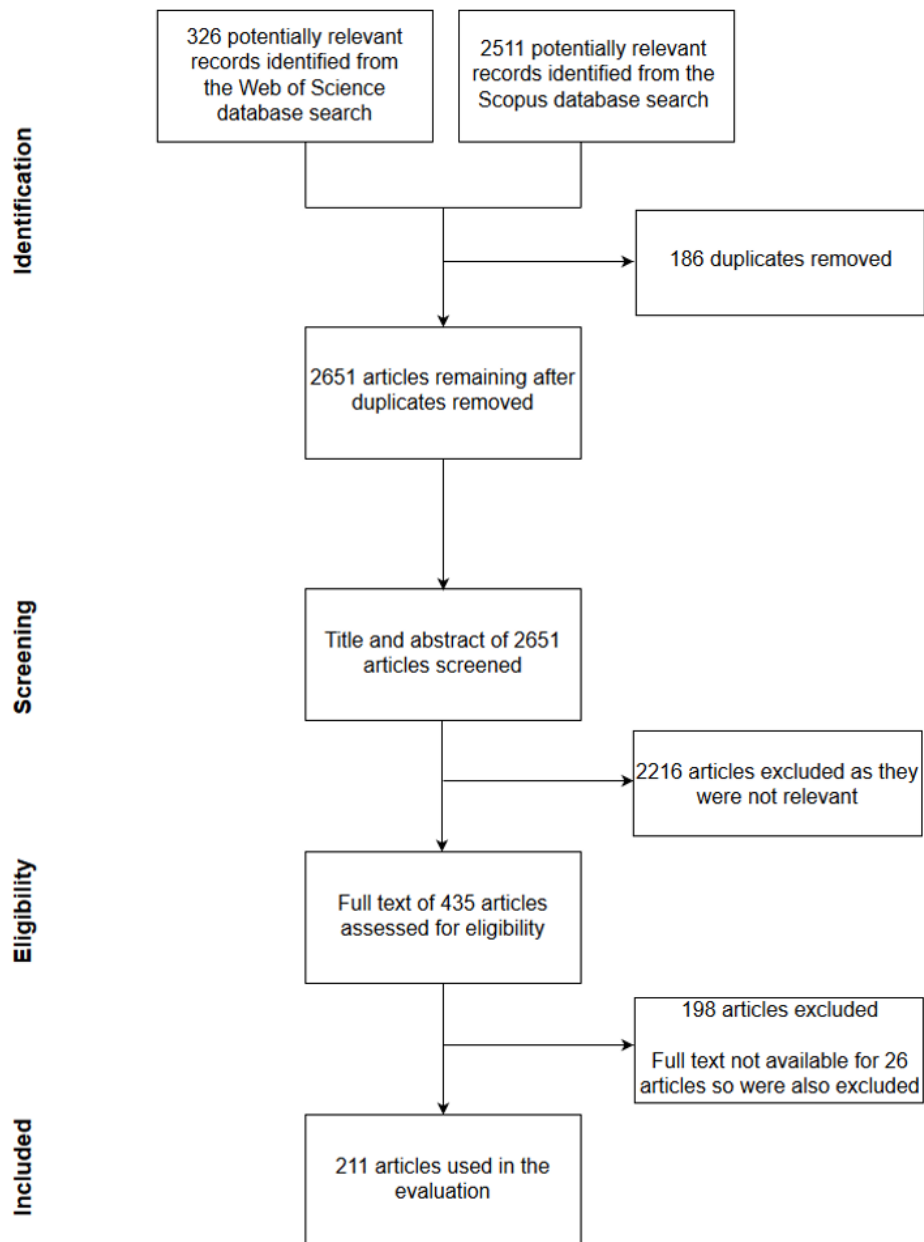
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1487 **Figure 1**

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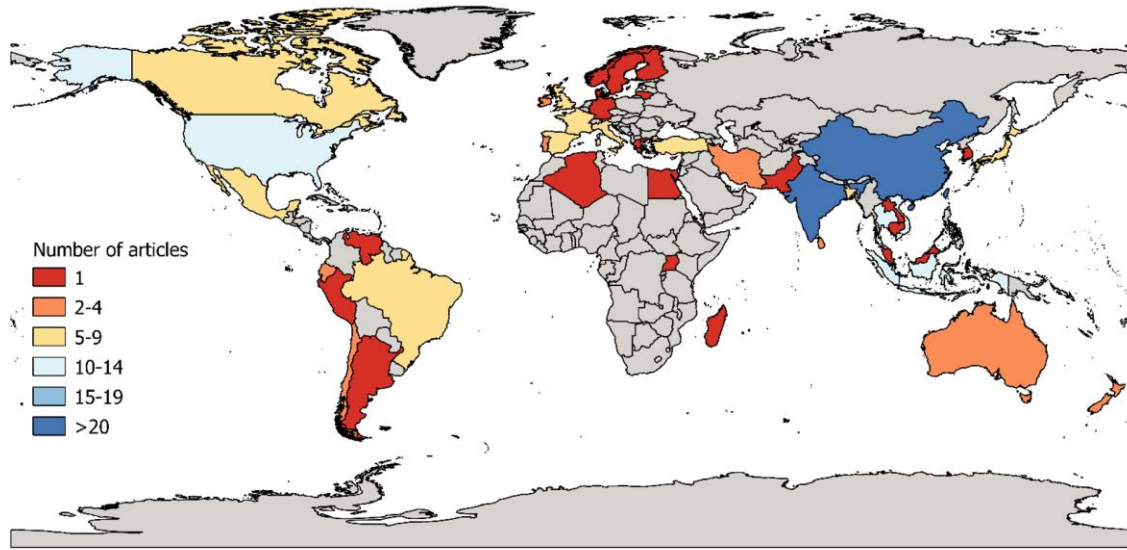
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1496 **Figure 2**

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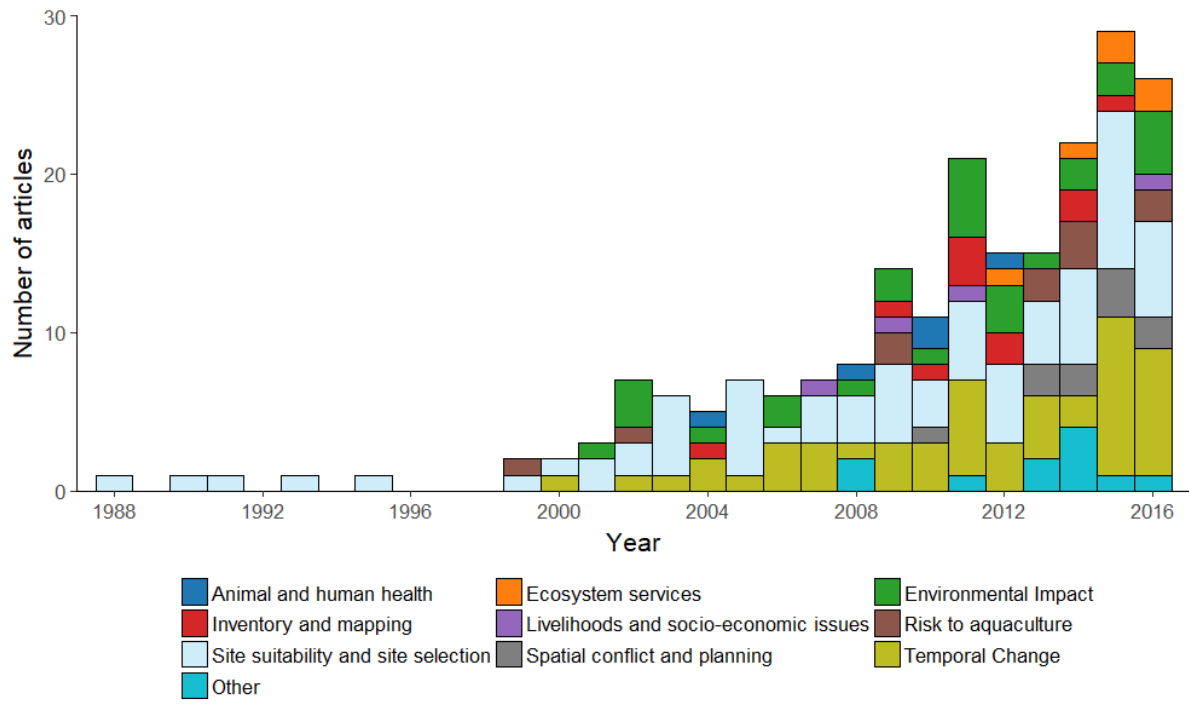
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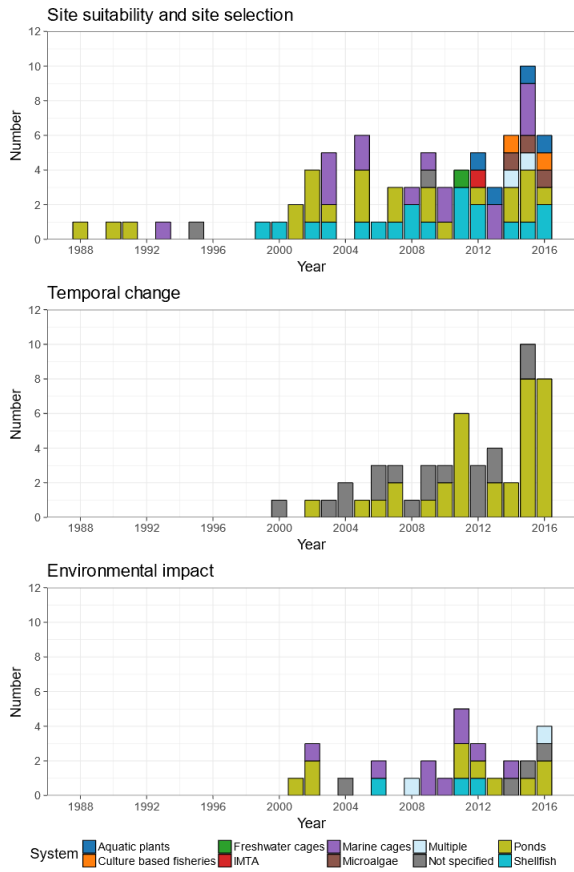
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1507 **Figure 3**

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1512 **Figure 4**

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