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IMPAIRED CD4-CELL IMMUNE RECONSTITUTION UPON HIV THERAPY IN PATIENTS WITH TOXOPLASMIC ENCEPHALITIS COMPARED TO PATIENTS WITH PNEUMOCYSTIS PNEUMONIA AS AIDS INDICATING DISEASE

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Abstract

Objectives: There is only little data on immune reconstitution in antiretroviral naïve AIDS-patients with toxoplasmosis. The observation of several cases with reduced increase of CD4-cells upon start of antiretroviral treatment (ART) prompted us to investigate the topic using the ClinSurv cohort.

Methods: 17 German HIV treatment centers contribute to ClinSurv, a multicentre observational cohort under the auspices of the Robert Koch Institute. We retrospectively selected all antiretroviral-naïve patients with toxoplasmic encephalitis (TE) and - as comparator group - with pneumocystosis (PCP) between January 1999 and December 2005.

Results: A total of 257 patients were included in the analysis, 61 with TE and 196 with PCP. Demographic baseline data showed differences with regard to gender, transmission group, and baseline CD4⁺ counts (60.9 vs. 44.7/µl, p = 0.022). After ART-initiation the increase in CD4⁺ lymphocytes was lower in the TE-versus the PCP-group in the first, second and fourth three-month-period (74.4 vs. 120.3/µl, p = 0.006; 96.6 vs. 136.2/µl, p = 0.021; 156.5 vs. 211.5/µl, p = 0.013). Viral load (VL) was higher in the PCP-group at baseline (4.46 log₁₀cop/ml vs. 5.00 log₁₀cop/ml, p = 0.008), while virological success of ART was equal.

Conclusions: Our data show for the first time that the average CD4⁺ T-cell increase of patients with toxoplasmosis is impaired compared to PCP-patients. Most clinicians would not be prepared to discontinue follow-up TE-therapy unless CD4⁺ counts of $200/\mu$ l are reached. Explanation for our finding might be the myelosuppressive side effect of pyrimethamine, possible interactions of toxoplasmosis therapy with ART, or an unknown direct biological influence of toxoplasmosis on immune restoration.

Key words: Antiretroviral therapy, highly active; CD4⁺ lymphocyte count; HIV; pneumocystis jiroveci pneumonia; toxoplasmic encephalitis

List of Abbreviations: AIDS = Acquired immune deficiency syndrome, ART = Antiretroviral therapy, HPC = Patients from countries of high HIV-prevalence, M1, M2... = Month 1, month 2..., MSM = Men who have sex with men, OI = Opportunistic infection, PCP = Pneumocystis pneumonia, Q1, Q2... = Quarter 1, quarter 2..., TE = Toxoplasmic encephalitis, VL = Viral load

INTRODUCTION

Although the development of efficient antiretroviral treatment options has changed the face of the AIDS epidemic in industrialized countries, opportunistic infections like toxoplasmic encephalitis or pneumocystis pneumonia still present a considerable challenge [1-3]. Especially in patients in a late stage of HIV infection, symptoms of AIDS-defining diseases are a common reason for first hospital admission [4-6]. While detailed treatment guidelines exist for most opportunistic infections, the situation regarding toxoplasmosis is more difficult. Especially the question when to initiate antiretroviral treatment in order to avoid immune reconstitution problems, and when to stop the anti-infective therapy remains open in most guideline reviews [7]. One reason for this may be the significantly lower incidence of toxoplasmic encephalitis in the USA compared with European countries [8-10]. Only scarce data about immunological and virological response to highly active antiretroviral therapy initiated in patients treated for toxoplasmosis is to be found in the literature. Observation of such patients in the clinical setting led to the hypothesis of an impaired CD4+ lymphocyte response to ART.

Methods

To further investigate this hypothesis we used the database of the "ClinSurv HIV" cohort, a multicentre cohort of 17 German HIV clinics led by the Robert Koch Institute in Berlin. The data reported biannually includes clinical and laboratory parameters, patient demographics, transmission route, date of HIV-diagnosis, clinical stage, AIDS related or AIDS defining diseases, antiretroviral treatment and date of death. We retrospectively searched this database for patients who matched the following criteria: Diagnosis of either toxoplasmic encephalitis or pneumocystis pneumonia in the period between January 1999 and December 2005; ART-naïve; initiation of ART in the 2 months following the OI-diagnosis; sufficient documentation of ART-regimen, viral load and CD4+ T-cell count (defined by at least three measurements of each laboratory parameter) in the 12 months following ART-initiation; and a CD4⁺ lymphocyte count below $200/\mu$ l at baseline. PCP-cases were chosen as a control group due to the hypothesis that this group would provide a sufficient number of patients with a comparably impaired immunologic status. Diagnosis of PCP was established by detection of *Pneumocystis jiroveci* in the broncho-alveolar lavage. Toxoplasmosis was diagnosed by brain imaging, clinical signs, serology and response to anti-Toxoplasma treatment according to the European AIDS case definition [11] and the CDC "1993 Revised Classification System for HIV Infection and Expanded Surveillance Case Definition for AIDS Among Adolescents and Adults" [12].

The two groups were compared regarding their demographic characteristics, baseline viral load and CD4+/CD8+ T-cell count, as well as virological and immunological response in the first 12 months of ART. Every laboratory test performed in this period was assigned to a one-month-window (M1, M2, M3 ... and M12) and in a second step, because of the high amount of missing values, to a three-month-window (quarter Q1, Q2, Q3 and Q4) starting from the date of ART-initiation. For windows containing more than one value an arithmetic mean was calculated. If a window contained viral-load values both below detection limit (<50 copies/ml) and above, only the latter were taken into account. Virological response to ART was compared using cross tables and Chi-squared test for percentage of patients with a VL below the limit of detection after 6 and 12 months of ART and performing Kaplan-Meier survival analysis for time to viral load suppression (defined by two consecutive VLmeasurements <50 cop/ml). Mean values of absolute CD4⁺ lymphocyte count, total lymphocytes and delta-CD4 (increase in CD4+ T-cells in comparison to baseline) were compared by T-test. In order to look at a more clinically relevant parameter for a positive antiretroviral treatment outcome, immunological response was defined as reaching a CD4⁺ lymphocyte count of 200/µl or higher and compared performing a Kaplan-Meier analysis regarding time to this event. A uni- and multivariate analysis of factors with possible influence on the probability of immunological response in Q2 was performed. Therefore the odds ratios as estimators of the relative risk to reach immunological response were calculated using cross tables (univariate) and logistic regression analysis (multivariate). The multivariate analysis adjusted for age and sex and included all factors with a p < 0.2 in the univariate analysis.

RESULTS

BASELINE CHARACTERISTICS

A total of 257 patients within the CLINSURV data set of 17,521 patients enrolled in the cohort at time of data collection met the selection criteria: 61 with diagnosis of toxoplasmosis, and 196 with diagnosis of pneumocystis pneumonia (see Table 1 for details). No

Table 1. Overview of baseline characteristics in the compared groups; $SD = standard deviation$, $MSM = men who have sex with$						
men, HPC = patients from countries of high HIV prevalence, ART = antiretroviral therapy, IQR = interquartile range, NNRTI =						
antiretroviral regimen based on NNRTI, PI = antiretroviral regimen based on PI, NRTI or NNRTI/PI = antiretroviral regimen ei-						
ther consisting of NRTI only or including NNRTI and PI; p-values calculated by T-test, Chi-squared or Mann-Whitney-U test.						

	TE $(n = 61)$		PCP (r	PCP $(n = 196)$	
	n / mean	% / SD	n / mean	% / SD	р
Age	40.8	8.4	41.0	10.5	0.876
Female	16	26.2%	28	14.3%	0.031
Migrant	13	21.3%	41	20.9%	0.948
Transmission risk					
MSM	25	41.0%	114	58.2%	0.013
HPC	11	18.0%	14	7.1%	
Other	25	41.0%	68	34.7%	
ART initiation date	Apr 02	34 months	Sep 01	35 months	0.631
	(median)	(IQR)	(median)	(IQR)	
Firstline-ART					
NNRTI	11	18.0%	34	17.3%	0.069
PI	45	73.8%	119	60.7%	
NNRTI/PI	3	4.9%	37	18.9%	
NRTI	2	3.3%	6	3.1%	
Baseline values					
CD4 /µl	60.9	44.2	44.7	42.1	0.022
CD8/µl	637.2	301.2	726.4	492.3	0.222
%CD4	6.8	4.3	4.8	3.9	0.013
%CD8	67.0	13.3	65.2	15.9	0.565
VL (log ₁₀ Kop/ml)	4.46	1.20	5.00	1.02	0.008
Lymphocytes/µl	981.8	544.1	1,056.0	887.39	0.635

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significant differences were shown for age, proportion of patients with migration background, date of ARTinitiation, baseline CD8-cell-count and total lymphocyte count.

The percentage of female patients in the TE-group was significantly higher than in the PCP-group. The distribution of patients over the different HIV transmission risk categories also diverged significantly, especially with regards to the proportion of men who have sex with men (MSM) and patients from countries of high HIV-prevalence (HPC). In both groups, ARTregimens based on a boosted protease inhibitor (PI) were chosen as first line antiretroviral treatment in more than 60% of the cases, followed in frequency by non-nucleoside reverse transcriptase inhibitor (NNR-TI) based regimens. An unusually high amount of PCP-patients was initially treated with a combination including both PI and NNRTI. Almost 50% of the TE-patients started antiretroviral treatment within a month after OI-diagnosis, while in over 60% of the PCP-group ART-initiation was delayed until the 1st month after diagnosis.

The TE-patients in this analysis showed a higher absolute and relative CD4-cell count at baseline compared to the PCP-group. At the same time the baseline viral load was significantly lower in the TE-group.

VIROLOGICAL AND IMMUNOLOGICAL RESPONSE

Virologic response to ART was comparable in both groups; not only regarding the percentage of patients with viral load below detection limit after 6 (68.4% vs. 77.5%, p = 0.279) and 12 months (87.5% vs. 77.5%, p = 0.369), but also comparing both groups in regards to "time to the second consecutive HIV-PCR with a result of <50 cop/ml". The Kaplan-Meier analysis showed a median time to this event of 8 months in both groups (p = 0.919, log-rank test).

Absolute CD4⁺ lymphocyte count, delta-CD4, and total lymphocyte count were numerically lower in the TE-group in all 4 quarters. In Q1, TE-patients showed a significantly lower absolute CD4⁺ lymphocyte count (129.1/µl vs. 163.6/µl, p = 0.043) as well as a reduced CD4⁺ T-cell increase (+74.4/µl vs. +120.3/µl, p = 0.006) and less total lymphocytes (1,205.3/µl vs. 1,669.4/µl, p<0.001). In the 2nd and 4th quarter, only delta-CD4 and total lymphocyte count remained significantly impaired in the TE-group (see Fig. 1).

The percentage of TE-patients reaching an average CD4⁺ lymphocyte count of at least 200/µl was well below that of PCP-patients in Q1 and Q2, while in the second half of the observation period this difference seems to disappear (see Fig. 2). A Kaplan-Meier analysis comparing time to the event "first absolute CD4⁺ lymphocyte count \geq 200/µl" showed a non-significant advantage for the PCP-group with a median time to event of 8 months (vs. 10 months in the TE-group, p = 0.269, log-rank test).

To identify other factors possibly related with reduced immunological response, uni- and multivariate analyses were performed. Besides TE (vs. PCP) they included the factors age, gender, MSM, HPC, PI- and NNRTI-based first-line ART-regimen, date of ART-

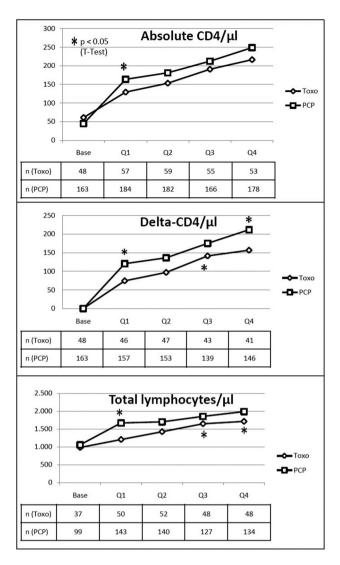


Fig. 1. Course of mean absolute CD4-cell count, delta-CD4 and total lymphocytes through the four quarters of the observation period; TE patients show reduced values in all three parameters. * = p < 0.05

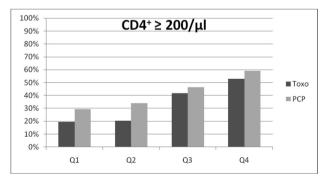


Fig. 2. Lower proportion of TE patients with immunologic response, defined as average CD4-cell count $\geq 200/\mu$ l, in Q1 (19.3% vs. 29.3%, p = 0.135) and Q2 (20.3% vs. 34.1%, p = 0.047, calculated by Chi-squared test).

initiation, baseline viral load and baseline CD4⁺ T-cell count. Of all these factors only diagnosis of toxoplasmosis and a baseline CD4⁺ lymphocyte count below 50 cells/ μ l were associated with a significantly lower probability of reaching the defined CD4⁺ goal of

	Univariat	Multivariate Analysis		
Factor	odds ratio	р	odds ratio	p
TE (vs. PCP)	0.576	0.047	0.403	0.033
Age $\ge 40 \text{ (vs. } < 40)$	1.308	0.067	1.582	0.171
Female gender (vs. male)	0.827	0.555	0.931	0.872
MSM (vs. other transmission risk)	1.141	0.29		
HPC (vs. other transmission risk)	0.797	0.614		
PI-based first line ART (vs. other)	0.961	0.708		
NNRTI-based first line ART (vs. other)	0.978	0.941		
ART-initiation before 11/01 (vs. 11/01 and later)	0.821	0.176	0.926	0.816
Baseline VL >5 \log_{10} (vs. \leq 5 \log_{10})	1.148	0.318		
Baseline CD4 $< 50/\mu l$ (vs. $\geq 50/\mu l$)	0.603	< 0.001	0.281	< 0.001

Table 2. Uni- and multivariate analysis showing significant influence on immunologic response, here defined as average CD4-cell count $\geq 200/\mu l$ in Q2, only for the factors TE and baseline CD4 $< 50/\mu l$.

 $200/\mu$ l in Q2. This effect remained also significant in the multivariate analysis adjusting for age, sex and date of ART-initiation (as the only factor with a p<0.2 in the univariate analysis) (see Table 2).

DISCUSSION

Our analysis shows that immunological response to ART initiated in patients with diagnosis of toxoplasmosis is impaired when compared to patients with PCP. This difference comprises lower absolute CD4+ lymphocyte counts and delta-CD4, as well as a significantly reduced probability of reaching $\geq 200 \text{ CD4}^+ \text{ T-}$ cells/µl in the second quarter of the observed first year under ART. This negative effect gets weaker (and disappears) in the second half-year period following the start of ART. The observed lower CD4⁺ lymphocyte count seems to derive mainly from a reduced total lymphocyte count in the TE-group. A plausible explanation could be the known myelosuppressive side effects of anti-infective agents used as recommended standard treatment for toxoplasmosis (pyrimethamine) [13-15]. The substitution of folic acid routinely provided during TE-therapy with pyrimethamine only partially prevents this side effect. The standard PCP treatment with cotrimoxazole can impair the bone marrow as well, but it has a smaller myelosuppressive potency than pyrimethamine, furthermore the suggested duration of PCP-therapy is shorter than for TE [7, 16].

If this conclusion will prove correct in further studies, guidelines suggesting a solely CD4-cell guided duration of maintenance therapy would have to be reviewed, in order to avoid a sort of "vicious circle": Low CD4+ T-cell count leads to ongoing pyrimethamine-treatment leads to low CD4+ T-cell count etc. D'Egidio et al. have already showed that with sustained viral load suppression the termination of secondary PCP-prophylaxis should be possible also for patients with a CD4-cell count below $200/\mu$ [17]. To evaluate a similar concept for toxoplasmic encephalitis further research is necessary. Another consequence should be an intensified search for alternative anti-toxoplasmosis drugs with less hematotoxic side effects [18-21], as well as strategies to directly enhance CD4⁺ lymphocyte increase, always considering the possibility of multiple drug interactions in this setting of extensive treatment [22, 23].

A less probable reason for the observed difference would be a direct interaction of the opportunistic infection with the immune system, for example by suppressing myelopoiesis, as it has been shown for generalized infection with *Mycobacterium avium* complex (MAC) [25-27]. A similar myelosuppressive effect caused by Toxoplasma has been demonstrated in the acute infection in mice. Finally, the use of corticosteroids in initial PCP-therapy could be proposed as a reason for higher CD4-cell counts. There have been reports from small HIV-patient populations showing an increase in CD4-cells when patients were treated with prednisolone [29, 30]. As exact data about steroid use in both groups is lacking, we did not include this factor in our analysis.

LIMITATIONS

Due to the retrospective nature of the ClinSurv cohort, the authors could not confirm each diagnosis of TE / PCP by assessing the diagnostic procedures that were applied. Diagnosis was performed by the clinicians at the treatment centers who were asked to report only cases of confirmed TE / PCP diagnosis, established according to the above mentioned guidelines. Especially for TE a bias due to incorrectly diagnosed OI cannot be ruled out.

As mentioned above the compared groups significantly diverged along the following baseline characteristics: gender, HIV-transmission group, first line ARTregimen, time from OI diagnosis to ART-initiation, baseline CD4⁺ lymphocyte count and viral load. These differences are difficult to explain and may mainly result from the low number of cases or from a bias due to incorrectly diagnosed OI. A reason for the higher percentage of patients from HPC in the TE-group could be a higher seroprevalence of *Toxoplasma gondii* in hot and humid regions and countries with lower hygiene standards [9]. An explanation for the uncommon choice of first-line ART in the PCP group (18.9 % PI/NNRTI containing regimen) may be a higher baseline viral load and lower baseline CD4⁺ lymphocyte count in that treatment-group $(\log_{10}\text{VL} 5.21 \text{ vs.} 4.82\text{cop/ml}, p = 0.007, CD4^+ 36.9 \text{ vs.} 50.8/\mu \text{l}, p = 0.075$, compared to all patients with different first-line ART) as rationale for a more intense ART-regimen. The different time to ART-initiation may have its cause in the treatment guidelines: Waiting until the initial anti-infective treatment shows clinical response is suggested for several OI including PCP, while for toxoplasmosis clear indications for the ideal time to start with ART are missing in the guidelines [7, 31].

In a sensitivity analysis adjusting for all these factors, the above mentioned influence of a low CD4⁺ T-cell count ($<50/\mu$ l) on the probability of reaching \geq 200 CD4⁺/ μ l proved highly significant, "TE" only marginally missed significance-level in this analysis (p = 0.059). Therefore, the risk of a bias caused by these differences should be negligible.

Regarding the differences in baseline VL and CD4cell count, there is some evidence that a lower viral load and/or a lower CD4-cell count before starting ART is associated with a higher risk of discordant immunologic and virologic response to treatment [24]. This leaves us with the dilemma that, on the one hand, the lower baseline viral load in the TE group could have led to the impaired CD4-cell increase in this group, while on the other hand the higher baseline CD4-cell count in the TE patients should have had the opposite effect.

Data collection for the ClinSurv cohort consists of a preselected catalogue of parameters. This catalogue does not necessarily take into account all information which could have been of interest for some of the questions this retrospective analysis brought up. Not recorded were data like the kind and duration of antiinfective treatment or ART adherence. Further, as ClinSurv is an observational cohort, data collection is not connected to predefined follow-up time points. Therefore laboratory parameters like CD4+ lymphocyte count or viral load are measured in very divergent intervals. The only inclusion criteria regarding quantity of laboratory data for this analysis were a minimum of three CD4+ T-cell count and viral load measurements performed during the observation period. Consequently some of the patients contribute information to the analysis only for part of the year observed. Furthermore calculating averages for quarters with more than one lab value could have led to a bias by overrating single values. Excluding patients with missing values for one or more of the four quarters would have led to a substantial loss of information. On the other hand the minimum quantity of measurements could have led to a selection bias towards patients with favorable courses of their diseases, taking into account that none of the selected patients died during the oneyear follow-up period.

CONCLUSION

Toxoplasma encephalitis seems to be associated with an impaired immunological response to ART compared to patients with pneumocystis pneumonia. This regards mainly the first months after ART-initiation and may be caused by the myelosuppressive side effects of anti-toxoplasmosis drugs. Further investigations about this effect including direct biological influence of toxoplasmosis on immune restoration and drug interactions between ART and anti-infective treatment are of great interest. The search for new drugs against *Toxoplasma gondii* should be intensified as well. With respect to clinical management, other hematotoxic drugs should be avoided in this setting. Guidelines regarding time of initiation and duration of anti-toxoplasmosis treatment should be critically reviewed, especially to avoid the "vicious circle" of decisions guided solely by CD4-cell count.

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